

COMPLIANCE QUESTIONNAIRE – ATTACHED TO THE AGREEMENT

Contracted Company Data:

Corporate Name:
CNPJ:

LEGAL NOTICE

For product resale modalities, it is not allowed to advertise or resell on Casa & Vídeo channels:

- Products and/or content that violate or with evidence of violation of the laws of trademarks, copyrights, patents, models and/or industrial designs, geographical indications or designations of origin and/or any other rule related to intellectual and industrial property rights, without the authorization of the holder.
- Products and/or content with evidence of fraud and/or non-compliance with authorizations and/or approvals from government, self-managed federal entities, regulatory agencies or any other regulatory body;
- Products and/or content with information and/or evidences and/or discriminatory connotations of race/color, ethnicity, sex, gender, status or social condition, political or religious position.

In any event, Casa & Vídeo, at its sole discretion, reserves the right to remove the advertisement and/or content and/or withdraw products from sale, without prior notice, without causing any direct or indirect damage, and the advertiser is exclusively responsible for any consequences arising from its advertisement or products.

In all cases of resale or provision of services, the contractor undertakes to comply with all applicable laws, rules, regulations, agreements and covenants, in particular to defend competition and fight money laundering and corruption, as well as to act with honesty, loyalty, integrity and good faith, undertaking to observe and respect the Code of Conduct available on the website www.casaevideo.com.br.

Without prejudice to the applicable law, in all cases of resale or provision of services, the contractor undertakes not to give or receive, offer or request, directly or indirectly, to anyone, payment or benefit that constitutes an undue advantage or, moreover, illegal practice or any personal benefit of entities or individuals whose purpose is an undue or inappropriate result, which would not occur if it were not for the undue advantage.

Any violations of the laws applicable to the activities shall be reported by the Confidential Channel on the website www.casaevideo.com.br.



1. What is the main activity of the contractor?

2. What is the type of control of the contractor's capital?

☐ Public capital ☐ Private capital ☐ Mixed capital

3. What is the origin of the contractor's capital?

☐ Brazil ☐ Foreign

4. Does the contractor have any member of its management, relatives¹, including (ex)spouses, (ex)partners, in direct lineage, who currently work or who have worked at Casa e Vídeo or at Casa e Vídeo companies?

☐ Yes ☐ No

If yes, please inform the relationship to you: _____

5. Does the contractor have any member of its management, relatives, including (ex)spouses, (ex)partners, in direct lineage, who holds, held or is a candidate in the Federal, District, State and/or Municipal Government for less than six (6) months?

☐ Yes ☐ No

If yes, please inform the relationship to you: _____

6. Does the contractor have any member of the management, relatives, including (ex)spouses, (ex)partners, in a direct lineage, who is related to Politically Exposed Persons (PPE) who hold or have held, in the last 5 years, in Brazil or in countries, territories and foreign facilities, offices, jobs or relevant public duties?

☐ Yes ☐ No

If yes, please inform the relationship to you: _____

7. Does the contractor have any member of the management, relatives, including (ex)spouses, (ex)partners, in a direct lineage, who has applied for any public office, in the last 5 years, in Brazil or in countries, territories and foreign facilities?

☐ Yes ☐ No

If yes, please inform the office: _____

8. What is the relevance of the business conducted with the Government in contractor's result?

☐ Very important ☐ Moderately important ☐ Important ☐ Slightly important

☐ It does not have a contract with the Government

9. Does the contractor or any other company belonging to the same economic group have any subsidiary, parent company, affiliates or controlled companies located abroad with which it maintains commercial relations?

☐ Yes ☐ No

10. Has the contractor or any other company belonging to the same economic group already been disqualified to contract with the Government in the last five (5) years?

☐ Yes. ☐ No.

¹spouse and partner; mother and father; child; brother; stepmother and stepfather; stepson and stepdaughter; uncle; nephew and niece; father-in-law and mother-in-law; son-in-law, daughter-in-law, brother-in-law and sister-in-law; grandfather and grandmother; great-grandfather and great-grandmother; great-grandchild



11. Has the contractor or any other company belonging to the same economic group and the members of their managements already been fined for hindering the investigation and/or inspection of a Government Agency in the last five (5) years?

() Yes. () No.

12. Has the contractor or any other company belonging to the same economic group and the members of their managements been charged, investigated, arrested, prosecuted or convicted of fraud or corruption in the last 10 years?

() Yes. () No.

If yes, please explain the circumstances of the event:

13. Does the contractor have any member of the management or any other company belonging to the same economic group that is prosecuted or has already been prosecuted, or was found guilty of practices contrary to Law No. 12,846/13 Anti-Corruption Law against its company or any other company of the same economic group?

() Yes () No

If yes, please specify:

14. Does the contractor or any other company belonging to the same economic group, and the members of their managements are prosecuted or have been prosecuted for moral and/or sexual harassment?

() Yes () No

If yes, please specify:

15. Does the contractor or any other company belonging to the same economic group employ or hire companies that use labor practices analogous to slavery, or child labor and under eighteen (18) years old, except in case of young apprentice, either directly or indirectly?

() Yes () No

If yes, please specify:

16. Does the contractor or any other company belonging to the same economic group use practices of negative discrimination and limiting access in the employment relationship or its maintenance, such as, by reason of sex, origin, race, color, physical and/or social condition, religion, marital status and age?

() Yes () No

If yes, please specify:

17. Does the contractor have a Code of Ethics?

() Yes.

() It does not have it, but it intends to create one in the next two years.

() It does not have it and it does not intend to create one in the next two years.



18. Does the contractor have a *Compliance* program?

☐ Yes.

☐ No.

If yes, please specify the stage at which it is implemented:

19. Does the company keep the record of its corporate structure updated before public agencies?

☐ Yes ☐ No

If no, please inform the reason:

20. Has the contractor or any other company belonging to the same economic group ever conducted any corporate transaction with any company disqualified to contract with the Government?

☐ Yes.

☐ No.

If yes, has the damage been fully repaired?

21. Does the contractor or any other company belonging to the same economic group make or made the distribution of gifts, sending of presents, *facilitation fees*, offering of trips, payments for leisure and entertainment activities, or any improper advantage practiced by the company or any other company belonging to the same economic group in favor of any member of the Government?

☐ Yes.

☐ No.

22. Are the contractor and the other companies belonging to the same economic group subject to internal or external audit processes?

☐ No.

☐ Yes, internal audit only.

☐ Yes, external audit only.

☐ Yes, internal and external audit.

23. Do the contractor and the other companies belonging to the same economic group verify public information available in the market of their partners before proceeding to any contract?

☐ Yes.

☐ No.

24. Does the contractor and the other companies belonging to the same economic group encourage and guide their agents, representatives, intermediaries or other contracted third parties to report acts of corruption practiced in the company?

☐ Yes

☐ No



25. Is the contractor aware that members of the management, employees and/or their relatives in a direct lineage and/or any other company belonging to the same economic group and outsourced have already offered, paid, promised to pay, authorized the payment, directly or indirectly, or have been accused of offering, paying, promising to pay or authorizing the payment, directly or indirectly, of any money or anything of value to any individual or entity who holds office, employment or public duties², as well as to consultants, representatives, partners, or any third parties for the purpose of influencing any act or decision of the agent or government, or directing business?

() Yes. It did it myself. () Yes. I know someone who has done this in the company. () No.

If yes, please specify the case:

26. Is the contractor aware that its own management and/or members of the management and/or any other company belonging to the same economic group, have been sued or are currently investigated in any Civil Inquiry or as defendants in any public civil action?

() Yes. () No.

If yes, please specify the content of the investigation/action, as well as its current stage, clarifying whether or not there has already been a conviction:

27. Is the contractor aware that its own management and/or members of the management and/or any other company belonging to the same economic group, have been criminally sued or are currently investigated or are defendants in any criminal action?

() Yes. () No.

If yes, please specify the content of the investigation/criminal action, as well as its current stage, clarifying whether there has already been a conviction:

28. Does the contractor have knowledge if its own management and/or members of the management and/or any other company belonging to the same economic group are investigated or parties in a Municipal, State, District or Federal proceeding of special rendering of accounts?

() Yes. () No.

If yes, please specify the content of the investigation/proceeding, as well as its current stage, clarifying whether there has already been a conviction:

29. Does the contractor is aware if its own management and/or members of the management and/or any other company belonging to the same economic group are investigated or parties in an administrative accountability proceeding of before the Office of the Federal Controller General?

() Yes. () No.

If yes, please specify the content of the investigation/proceeding, as well as its current stage, clarifying whether there has already been a conviction:

²Including those who hold offices in parastatal entities, foundations, public companies, mixed-capital companies or self-managed entities.

30. If the contractor is a supplier of goods for resale, do the marketed products require certification, authorization and/or governmental approvals, from self-managed federal entities, regulatory agencies or any other regulatory body for circulation?

() Yes. () No. () Not applicable.

If yes, are governmental certifications, authorizations and/or approvals of self-managed entities, regulatory agencies or any other regulatory body for the circulation of products legitimate and in force?

() Yes. () No.

If no, please specify the pending issues and the current stage:

I declare that I have completed the questionnaire above, believing that the answers are true and correct to the extent of my knowledge and belief.

Date:	Responsible for Information:
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