# **Tutorial: Thermal transport from NEMD and HNEMD**

From GPUMD

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## Introduction

- In this tutorial, we use the NEMD and HNEMD methods to study heat transport in graphene at 300 K and zero pressure, from the ballistic to the diffusive transport regime. The spectral decomposition method as described in [Fan 2019] is used here.
- All the input and output files can be found here (https://github.com/brucefan1983/GPUMD/tree/master/e xamples/gpumd/thermal\_transport\_nemd\_and\_hnemd).

## **Preparing the Inputs**

## The inputs for the NEMD simulation

## The xyz.in file

■ We consider a graphene sheet of size of about 25 nm x 43 nm (40400 atoms). The transport is in the *y* direction. We divide the length in the *y* direction into 9 groups. Group 0 (a small one) will be fixed. Group 1 (about 9 nm long) will act as a heat source and group 8 (about 9 nm long) will act as a sink. The remaining middle part is evenly divided into a few groups (group 2 to group 7).

#### The run.in file

■ The run.in input file is given below:

```
!notential
             potentials/tersoff/Graphene Lindsay 2010 modified.txt 0
velocity
ensemble
             nvt_ber 300 300 0.01
fix
time_step
             1
dump_thermo
             1000
run
             100000
ensemble
             heat_lan 300 100 10 1 8
lfix
             0 10 100 temperature
compute
compute shc
             2 250 1 1000 400.0 group 0 4
lrun
```

- The first line of command with the potential keyword tells that the potential to be used is specified in the file Graphene\_Lindsay\_2010\_modified.txt (https://github.com/brucefan1983/GPUMD/blob/master/potentials/tersoff/Graphene Lindsay 2010 modified.txt).
- The second line of command with the velocity keyword tells that the velocities will be initialized with a temperature of 300 K.
- There are two runs. The first run serves as the equilibration stage.
  - Here, the NVT ensemble (the Berendsen thermostat) is used. The target temperature is 300 K and the coupling constant is 0.01 (dimensionless) for the thermostat.
  - The time step for integration is 1 fs.
  - Atoms in group 0 will be fixed.
  - The thermodynamic quantities will be output every 1000 steps.
  - There are  $10^5$  steps (100 ps) for this run.
- The second run is for production.
  - Here, two local Langevin thermostats are applied to generate a nonequilibrium heat current. The time parameter in the Langevin thermostats is 0.1 ps (100 time steps). The target temperature of the heat source is 300+10=310 K and the target temperature of the heat sink is 300-10=290 K. Atoms in group 1 are in the heat source region and atoms in group 8 are in the heat sink region.
  - Atoms in group 0 will be fixed.
  - The compute keyword is used to compute the group temperatures and energy transfer rate. Here, grouping method 0 is used, and the relevant data are sampled every 10 time steps and averaged for every 100 data points before written out.
  - The line with the compute\_shc keyword is used to compute the spectral heat current (SHC). The SHC will be calculated for group 4 in grouping method 0. The relevant data will be sampled every 2 steps and the maximum correlation time is  $250 \times 2 \times 1$  fs = 500 fs. The transport directions is 1 (y direction). The number of frequency points is 1000 and the maximum angular frequency is 400 THz.
  - There are  $10^6$  steps (1 ns) in the production run. This is just an example. To get more accurate results, we suggest you use  $10^7$  steps (10 ns).

## Inputs for the HNEMD simulation

#### The xyz.in file

• We consider a graphene sheet of size of about 25 nm x 25 nm (24000 atoms). The transport is in the y direction. We divide the length in the y direction into 2 groups, with 4000 atoms in group 0 and 20000 atoms in group 1.

### The run.in file

The run.in file reads:

```
potential potentials/tersoff/Graphene_Lindsay_2010_modified.txt 0
velocity 300

ensemble nvt_nhc 300 300 100
time_step 1
dump_thermo 1000
run 1000000

ensemble nvt_nhc 300 300 100
compute_hnemd 1000 0 0.00001 0
compute_shc 2 250 1 1000 400.0 group 0 0
run 10000000
```

- The first two lines are the same as in the NEMD simulation.
- There are two runs. The first run serves as the equilibration stage.
  - Here, the NVT ensemble (the Nose-Hoover chain thermostat) is used. The target temperature is 300 K and the thermostat coupling constant is 0.1 ps (100 time steps).
  - The time step for integration is 1 fs.
  - The thermodynamic quantities will be output every 1000 steps.
  - There are  $10^6$  steps (1 ns) for this run.
- The second run is for production.
  - Here, the global temperature is controlled by the Nose-Hoover chain thermostat with the same parameters as in the equilibration stage.
  - The compute\_hnemd keyword is used to add a driving force and compute the thermal conductivity using the HNEMD method [Fan 2019]. Here, the conductivity data will be averaged for each 1000 steps before written out, and the driving force parameter is  $10^{-5}$  A<sup>-1</sup> and is in the y direction.
  - The line with the compute\_shc keyword is used to compute the SHC. The parameters are the same as those in the NEMD simulation.
  - There are  $10^7$  steps (10 ns) in the production run. This is just an example. To get more accurate results, we suggest you use  $2 \times 10^7$  steps (20 ns) and do a few independent runs and then average the relevant data.

## **Results and discussion**

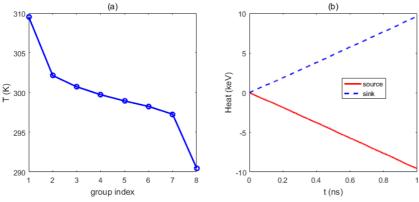
## Computation time

Using a laptop with a GeForce RTX 2070 GPU:

- The NEMD simulation takes about 20 min.
- The HNEMD simulation takes about 2.5 hours.

### **NEMD Results**

### Results from the compute.out file



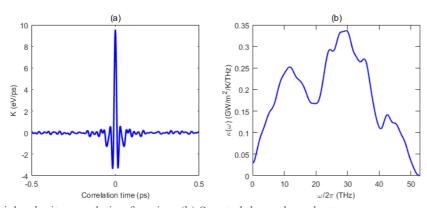
(a) Temperature profile in the NEMD simulation. (b) Energies accumulated in the thermostats.

- The figure above shows the temperature profile and the energies accumulated in the thermostats. The energy of the thermostat coupling to the heat source region is decreasing, because energy is transferred from the thermostat to the atoms in the source region. The energy of the thermostat coupling to the heat sink region is increasing, because energy is transferred from the atoms in the sink region to the thermostat. The absolute values of the slopes of the lines in (b) should be the same; otherwise it means energy is not conserved. The absolute slope is the energy transfer rate Q = dE/dt.
- The thermal conductance in this system can be calculated as

$$G=rac{Q/S}{\Delta T}$$

where S is the cross-sectional area and  $\Delta T$  is the temperature difference, which is 19 K here (slightly smaller than the target value of 20 K). The calculated thermal conductance is about 10 GW m<sup>-2</sup> K<sup>-1</sup>. This is the classical value.

## Results from the shc.out file

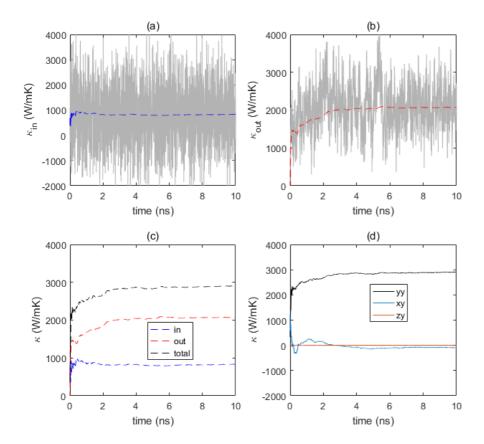


(a) Virial-velocity correlation function. (b) Spectral thermal conductance.

• The figure above shows the virial-velocity correlation function K(t) and the spectral thermal conductance  $G(\omega)$ . See Theoretical formulations for the definitions of these quantities. Using the spectral thermal conductance, one can perform quantum correction, see [Li 2019].

## **HNEMD** results

### Results from the kappa.out file



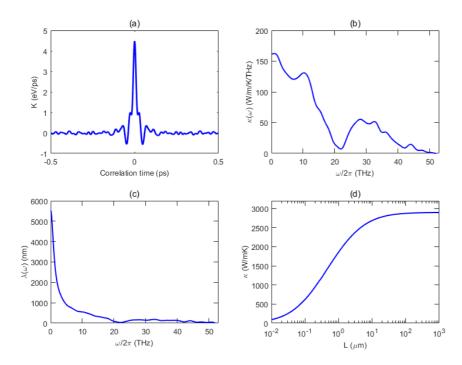
(a) In-plane thermal conductivity  $\kappa_{\rm in}(t)$  as a function of production time. (b) Out-of-plane thermal conductivity  $\kappa_{\rm out}(t)$  as a function of production time. (c) In-plane, out-of-plane, and total thermal conductivity as a function of production time. (d) Comparing  $\kappa_{yy}$ ,  $\kappa_{xy}$ , and  $\kappa_{zy}$ .

• (a) The gray line represents the instant in-plane thermal conductivity  $\kappa_{\rm in}(t)$  from the kappa.out file. The dashed line represents the cumulative time average:

$$\kappa_{
m in}^{
m ave}(t) = rac{1}{t} \int_0^t \kappa_{
m in}(t') dt'$$

- (b) Similar to (a), but for the out-of-plane thermal conductivity  $\kappa_{
  m out}(t)$  and  $\kappa_{
  m out}^{
  m ave}(t)$ .
- (c) Cumulative time averaged in-plane, out-of-plane, and total thermal conductivity:  $\kappa_{\rm in}^{\rm ave}(t)$ ,  $\kappa_{\rm out}^{\rm ave}(t)$  and  $\kappa_{\rm total}^{\rm ave}(t) = \kappa_{\rm in}^{\rm ave}(t) + \kappa_{\rm out}^{\rm ave}(t)$ . It is clear that the out-of-plane phonons have a much large average phonon relaxation time (scattering time) than the in-plane phonons, and the thermal conductivity in pristine graphene is dominated by out-of-plane (flexural) phonons. This is consistent with the results from the EMD simulation as discussed in the other tutorial: Tutorial: Thermal conductivity from EMD.
- (d) Some cumulative time averaged thermal conductivity components of the tensor:  $\kappa_{yy}^{\text{ave}}(t)$ ,  $\kappa_{xy}^{\text{ave}}(t)$  and  $\kappa_{zy}^{\text{ave}}(t)$ . It is clear that the off-diagonal components of the thermal conductivity tensor are zero.

#### Results from the shc.out file



(a) Virial-velocity correlation function K(t). (b) Spectral thermal conductivity  $\kappa(\omega)$ . (c) Spectral phonon mean free path  $\lambda(\omega)$ . (d) Length-dependent thermal conductivity  $\kappa(L)$ .

- The above figure shows the results from the HNEMD simulation [Fan 2019].
  - (a) The virial-velocity correlation function K(t). See Theoretical formulations for the definition of this quantity.
  - (b) The spectral thermal conductivity  $\kappa(\omega)$ . See Theoretical formulations for the definition of this quantity.
  - (c) The spectral phonon mean free path calculated as [Fan 2019]

$$\lambda(\omega) = \kappa(\omega)/G(\omega),$$

where  $G(\omega)$  is the quasi-ballistic spectral thermal conductance from the above NEMD simulation.

• (d) The length-dependent thermal conductivity calculated as [Fan 2019]

$$\kappa(L) = \int rac{d\omega}{2\pi} rac{\kappa(\omega)}{1 + \lambda(\omega)/L}.$$

## References

- [Fan 2019] Zheyong Fan, Haikuan Dong, Ari Harju, and Tapio Ala-Nissila, *Homogeneous nonequilibrium molecular dynamics method for heat transport and spectral decomposition with many-body potentials* (https://doi.org/10.1103/PhysRevB.99.064308), Phys. Rev. B **99**, 064308 (2019).
- [Li 2019] Zhen Li, Shiyun Xiong, Charles Sievers, Yue Hu, Zheyong Fan, Ning Wei, Hua Bao, Shunda Chen, Davide Donadio, and Tapio Ala-Nissila, *Influence of Thermostatting on Nonequilibrium Molecular Dynamics Simulations of Heat Conduction in Solids* (https://doi.org/10.1063/1.5132543), J. Chem. Phys. 151, 234105 (2019).

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