



#### Rationale

- Transport layer is an important part of Internet protocol stack
- Understanding complete protocol stack is important
- Transport layer services are used by application layer
  - Even if someone does not care about networking details, understanding which transport layer protocol to use (and why) is really important
- Congestion control in transport layer shows how resource sharing is achieved without central control



#### Objectives

- Explain the process of connection setup and teardown in TCP
- Evaluate causes of congestion and possible solutions in a transport layer
- Analyze TCP approaches to mitigate congestion



#### Prior Knowledge

- Transport layer connect application processes
  - Different transport layer protocols depending on requirements
- Transport layer features in last lesson
  - De-/multiplexing
  - Reliability



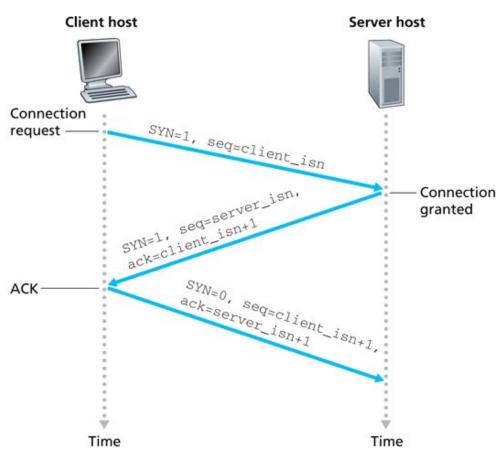
## Orchestrated Discussion (Hand Raise): Lesson Reflection Feedback

• Discuss questions and comments on Lesson Reflection from prior lesson



## TCP Connection Management

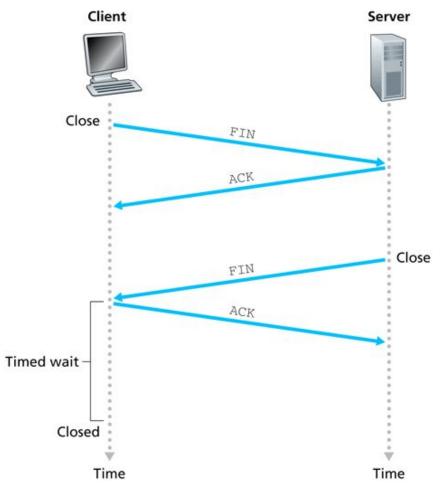
- Connection setup
  - Three-way handshake
    - SYN
    - SYN/ACK
    - ACK
  - SYN counts as one byte
  - ACK may carry data already
  - Flag in header identifies SYN
    - Used in network systems to identify new connections





#### TCP Connection Management

- Connection teardown
  - Each side closes when transmission is complete
    - FIN
    - ACK
  - Final FIN or ACK may get lost
    - Need to be able to retransmit
  - Connection cleanup after timed wait





## Document Cam: Performance Expectations

 Read "For Impatient Web Users, an Eye Blink Is Just Too Long to Wait" (by Steve Lohr, New York Times, 2/29/2012)



## Group Discussion and Report Back (Short Answer): Performance Expectations

- Answer the following questions:
  - What are users' expectations for Internet interactions?
  - What are possible ways for providers to satisfy users?



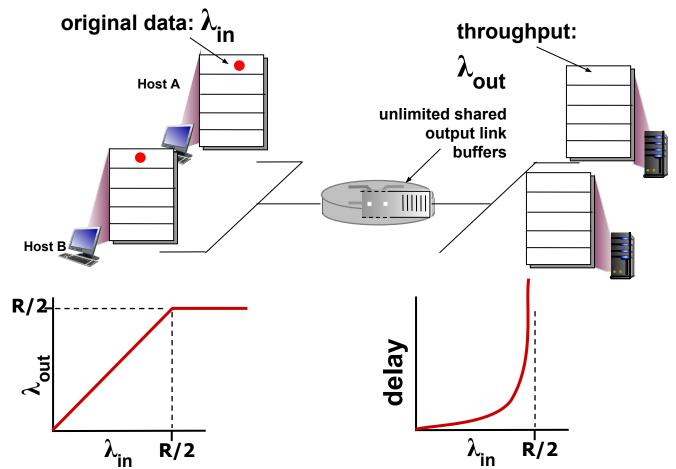
#### Network Congestion

- What is the problem when all providers send always at "full speed"?
  - Network resources are limited
  - Network links will be fully utilized
  - Router buffers may fill up and drop packets
- Network congestion occurs when too much traffic is sent by end-systems
  - Can be localized: congested link
  - Can be larger: multiple congested links and routers



## Whiteboard: Cause of Congestion - Scenario 1

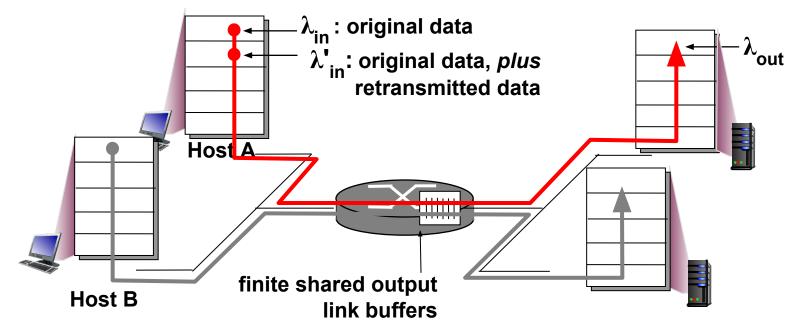
- Baseline scenario:
  - Two senders, two receivers
  - one router, infinite buffers
  - output link capacity: R
  - No retransmission



- maximum per-connection throughput: R/2
- large delays as arrival rate,  $\lambda_{in}$ , approaches capacity

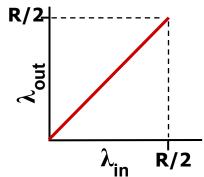


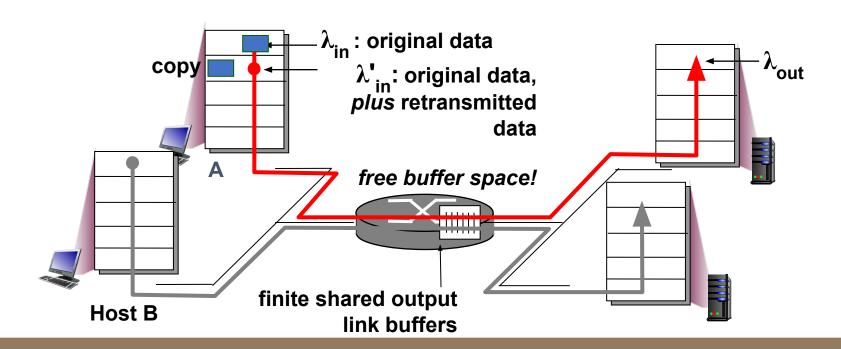
- One router, **finite** buffers
- Sender retransmission of timed-out packet
  - Application-layer input = application-layer output:  $\lambda_{in} = \lambda_{out}$
  - Transport-layer input includes <u>retransmissions</u>:  $\lambda_{in}' >= \lambda_{in}$





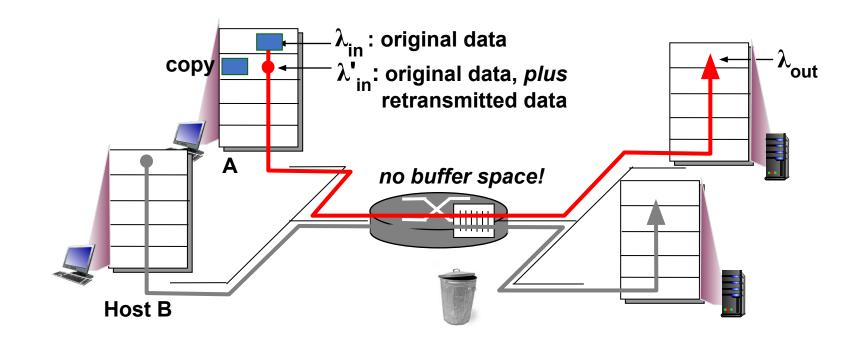
- Idealization: perfect knowledge
  - Sender sends only when router buffers available





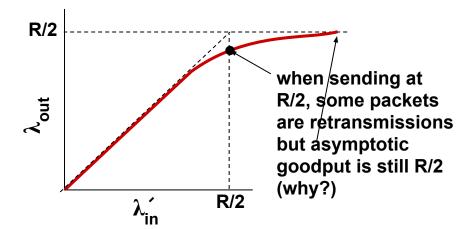


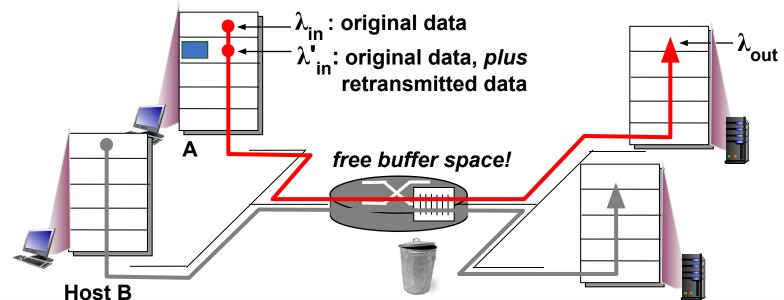
- Idealization: known loss
  - Packets can be lost, dropped at router due to full buffers
  - Sender only resends if packet known to be lost





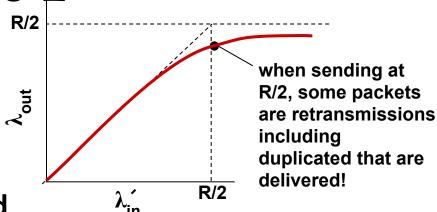
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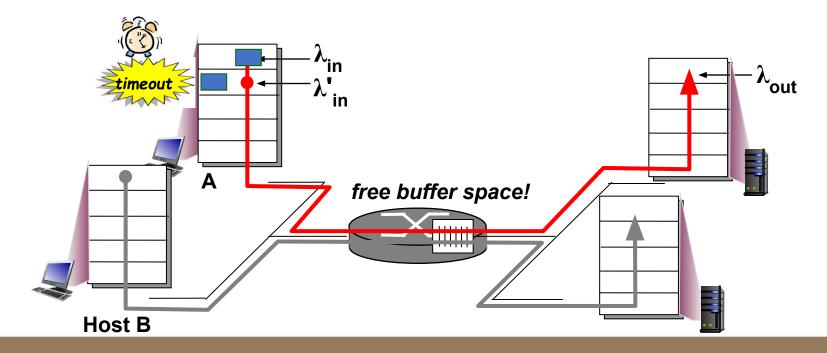






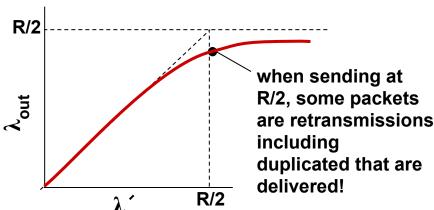
- Realistic: duplicates
  - Packets can be lost, dropped at router due to full buffers
  - Sender times out prematurely, sending two copies, both of which are delivered







- Realistic: duplicates
  - Packets can be lost, dropped at router due to full buffers
  - Sender times out prematurely, sending two copies, both of which are delivered
- "Costs" of congestion:
  - More work (retransmission) for given "goodput"
  - Unneeded retransmissions: link carries multiple copies of packets
  - Decreasing goodput

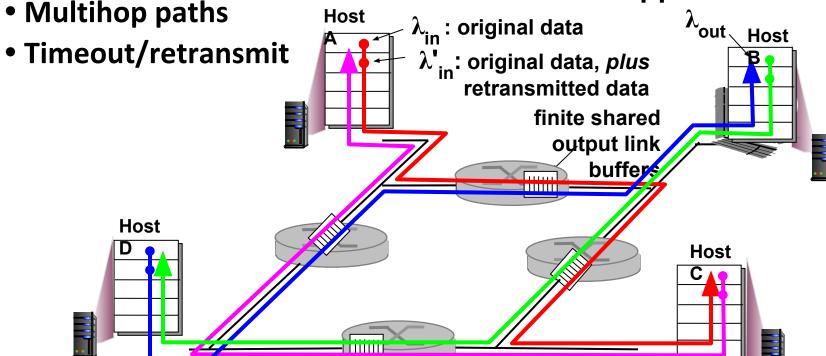




 $\underline{\mathbf{Q}}$ : What happens as  $\lambda_{in}$  and  $\lambda_{in}$  increase?

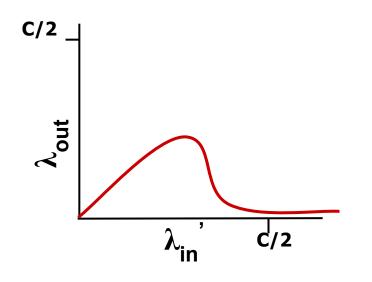
- Scenario:
  - Four senders

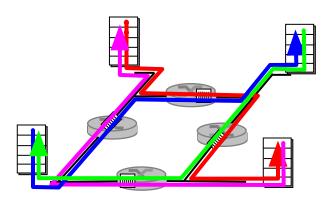
Multihon naths



A: As red  $\lambda_{in}$  increases, all arriving blue packets at upper queue are dropped, blue throughput  $\rightarrow$  0







- Another "cost" of congestion:
  - When packet dropped, any "upstream transmission capacity used for that packet was wasted!



# Orchestrated Discussion (Hand Raise): Solving the Congestion Problem

- What can we do about the congestion problem?
  - We will brainstorm as a class



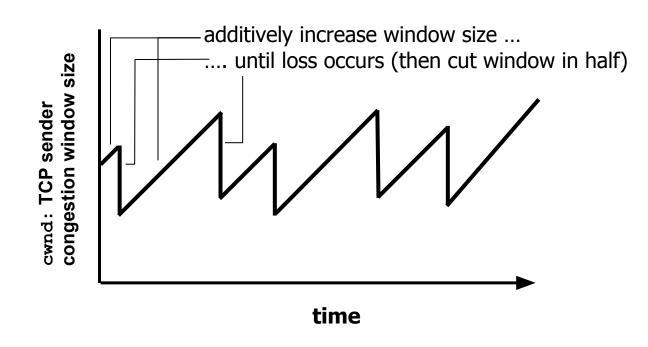
#### Congestion Control Approaches

- Reserving resources (cf. circuit switching)
  - As for permission before sending
  - Send at permitted rate
  - Congestion can be avoided through managing reservations
- Adapting sending rate (cf. packet switching)
  - Detect congestion (e.g., packet losses cause NAKs)
  - Reduce sending rate to avoid congestion collapse
- Tradeoff: central control vs. distributed approach



## **TCP Congestion Control**

- Additive increase, multiplicative decrease (AIMD)
- Approach: sender increases transmission rate (window size), probing for usable bandwidth, until loss occurs
  - Additive increase: increase cwnd by 1 MSS every RTT until loss detected
  - Multiplicative decrease: cut cwnd in half after loss

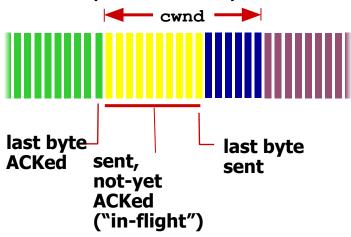


AIMD saw tooth behavior: probing for bandwidth



## **TCP Congestion Control**





Sender limits transmission:

LastByteSent- 
$$\leq$$
 cwnd LastByteAcked

 cwnd is dynamic, function of perceived network congestion

#### TCP sending rate:

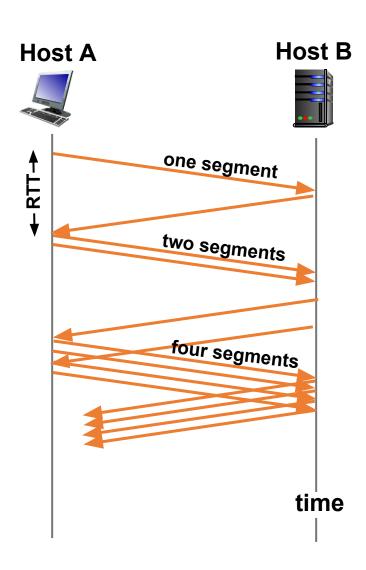
 Roughly: send cwnd bytes, wait RTT for ACKS, then send more bytes

rate 
$$\approx \frac{\text{cwnd}}{\text{RTT}}$$
 bytes/sec



#### **TCP Slow Start**

- When connection begins, increase rate exponentially until first loss event:
  - Initially cwnd = 1 MSS
  - Double cwnd every RTT
  - Done by incrementing cwnd for every ACK received
- <u>Summary:</u> initial rate is slow but ramps up exponentially fast





#### **TCP Loss Detection and Reaction**

- Loss indicated by timeout:
  - cwnd set to 1 MSS;
  - Window then grows exponentially (as in slow start) to threshold, then grows linearly
- Loss indicated by 3 duplicate ACKs: TCP RENO
  - Duplicate ACKs indicate the network is capable of delivering some segments
  - cwnd is cut in half, window then grows linearly
- TCP Tahoe always sets cwnd to 1 (timeout or 3 duplicate ACKs)



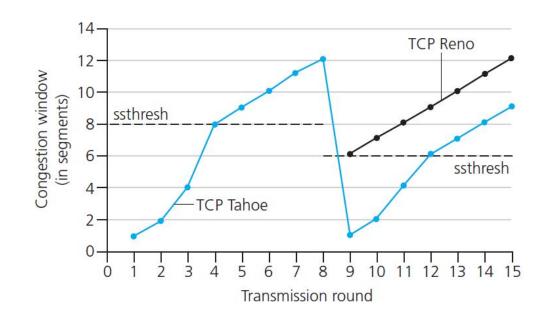
## TCP Slow Start and Congestion Avoidance

**Q:** When should the exponential increase switch to linear?

A: When cwnd gets to 1/2 of its value before timeout.

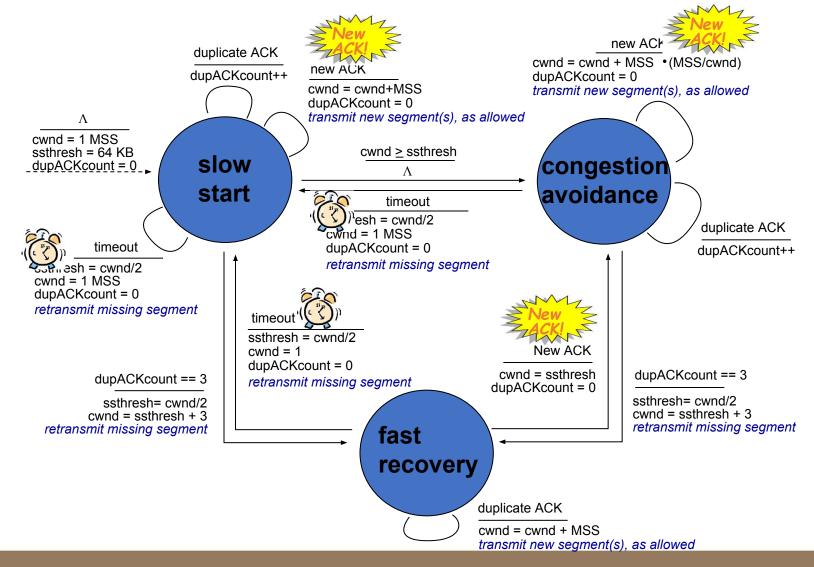
#### **Implementation:**

- Variable ssthresh
- On loss event,
  ssthresh is set
  to 1/2 of cwnd
  just before loss event





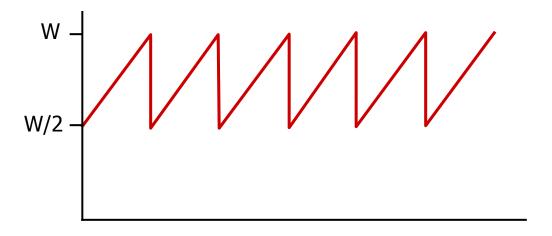
## TCP Congestion Control Summary





#### Poll: TCP Throughput

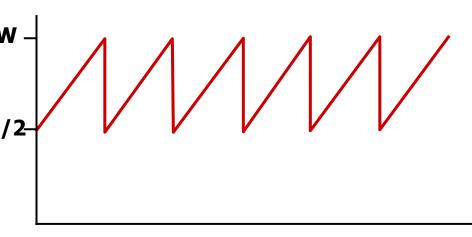
- What is the average TCP throughput as a function of window size W and RTT?
  - Ignore slow start, assume always data to send





## TCP Throughput

- What is the average TCP throughput as function of window size W and RTT?
  - Ignore slow start, assume always data to send
- W: window size (measured in bytes) where loss occurs
  - Average window size (# in-flight bytes) is ¾ W
  - Average throughput is 3/4W per RTT

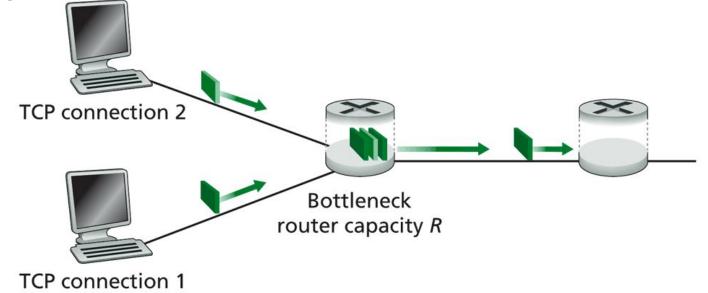


Avg. TCP throughput 
$$=\frac{3}{4} \frac{W}{RTT}$$
 bytes/sec



## Link Sharing with TCP

• Two TCP connections share a link:

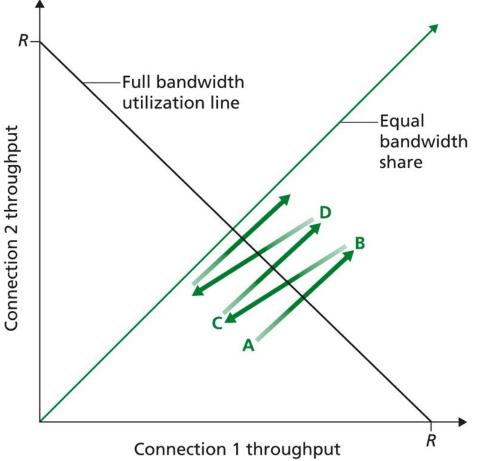


- Eventually each connection receives a fair share
  - How can this be shown?



Link Sharing with TCD

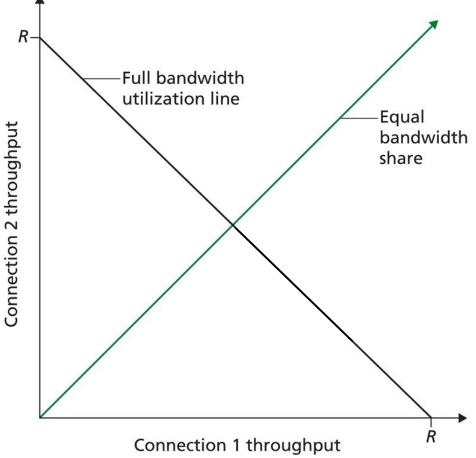
• Illustration of two connections





Link Sharing with TCD

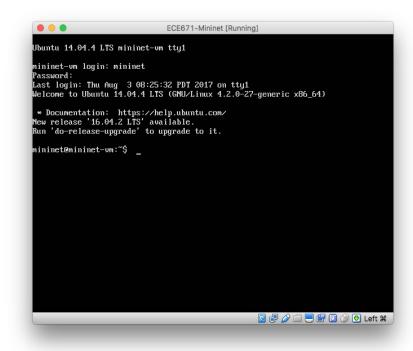
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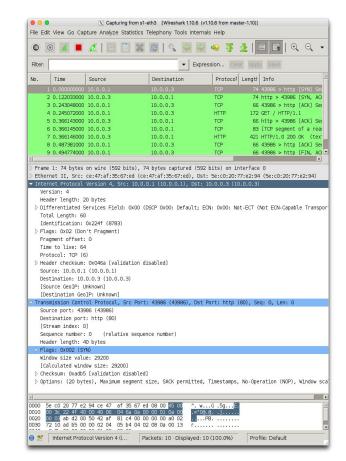




#### Connected Device: HTTP & TCP Demo

Mininet demonstration in virtual machine







### Summary of Lesson

- Connection setup and teardown
- Reasons for congestion
- Congestion control in TCP
- Fairness between two connections
- Demo



#### Post-work for Lesson 5

#### **Homework #3**

After the Live Lecture, you will complete and submit a homework assignment.
 Go to the online classroom to view and submit the assignment.



#### To Prepare for the Next Lesson

- Complete and submit the Post-work for Lesson 5.
- Read the Required Readings for Lesson 6.
- Complete the Pre-work for Lesson 6.

Go to the online classroom for details.