

Logic and theory of computation

theory of computation part, 6th lecture

(Un)directed Hamiltonian path/cycle

Hamiltonian path/cycle

Given an (un)directed graph $G = (V, E)$ ($|V| = n$). A listing $P = v_{i_1}, \dots, v_{i_n}$ of the vertices in G is called a **Hamiltonian path** if $\{v_{i_1}, \dots, v_{i_n}\} = V$ and for all $1 \leq k \leq n - 1$ $\{v_{i_k}, v_{i_{k+1}}\} \in E$ ($(v_{i_k}, v_{i_{k+1}}) \in E$ in the undirected case). If $\{v_{i_n}, v_{i_1}\} \in E$ ($(v_{i_n}, v_{i_1}) \in E$ resp.) holds, too than P is called a **Hamiltonian cycle**.

Notation: H-path, H-cycle for Hamiltonian path/cycle.

HP= $\{\langle G, s, t \rangle \mid \text{there's an H-path in the directed graph } G \text{ from } s \text{ to } t\}$.

UHP= $\{\langle G, s, t \rangle \mid \text{there's an H-path in the undirected graph } G \text{ from } s \text{ to } t\}$.

UHC= $\{\langle G \rangle \mid G \text{ is undirected and has an H-cycle}\}$.

NP-completeness of $s - t$ -H-path

Theorem

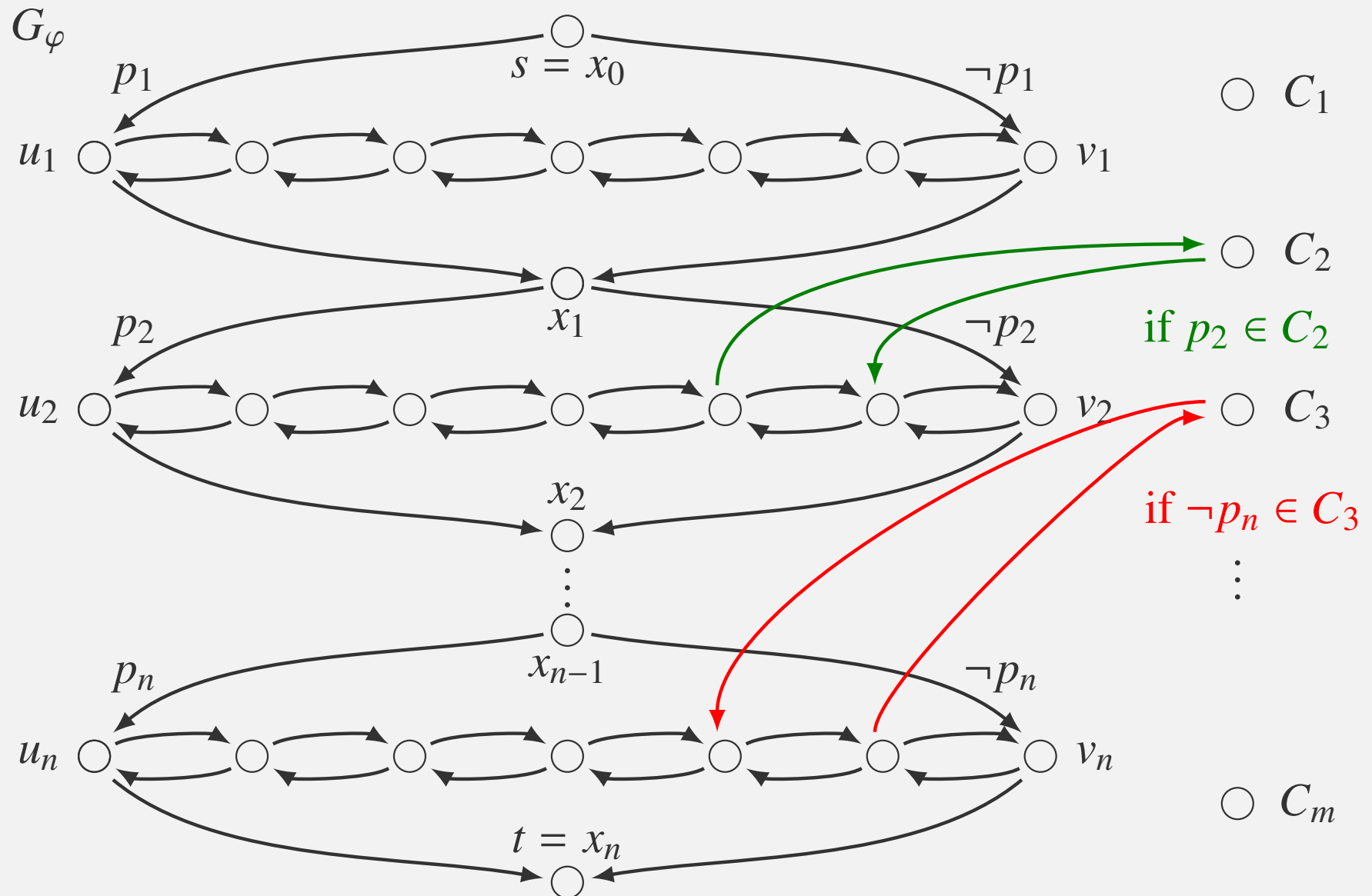
HP is NP-complete

Proof: It's in NP, since a list P of n vertices can be constructed in polynomial time. Being P a permutation of the vertices and an H-path are verifiable in polynomial time, too.

$\text{SAT} \leq_p \text{HP}$. For an arbitrary CNF φ it is enough to construct (G_φ, s, t) with the property of φ satisfiable \Leftrightarrow there is an H-path in G_φ from s to t .

Let p_1, \dots, p_n be the atoms appearing in φ and C_1, \dots, C_m be the clauses of φ .

NP-completeness of $s - t$ -H-path



NP-completeness of $s - t$ -H-path

the construction of G_φ

- ▶ $\forall 1 \leq i \leq n : (x_{i-1}, u_i), (x_{i-1}, v_i), (u_i, x_i), (v_i, x_i) \in E(G_\varphi)$
- ▶ $s := x_0, t := x_n$
- ▶ $\forall 1 \leq i \leq n$ there is path in both direction with $2m$ inner vertices $w_{i,1}, \dots, w_{i,2m}$.
- ▶ All $w_{i,k}$'s are connected by at most one C_j .
- ▶ If $X_i \in C_j$, then $(w_{i,k}, C_j)$ and $(C_j, w_{i,k+1}) \in E(G_\varphi)$. (positive bound)
- ▶ If $\neg X_i \in C_j$, then $(w_{i,k+1}, C_j)$ and $(C_j, w_{i,k}) \in E(G_\varphi)$. (negative bound)

Positive traversal of $u_i v_i$: $u_i \rightsquigarrow v_i$.

Negative traversal of $u_i v_i$: $u_i \leftrightsquigarrow v_i$.

NP-completeness of $s - t$ -H-path

- ▶ An $s - t$ H-path contains (x_{i-1}, u_i) or (x_{i-1}, v_i) but not both ($\forall 1 \leq i \leq n$). It must be continued by a positive traversal of $u_i v_i$ in the first case. On the other hand it must be continued by a negative traversal.
- ▶ An $s - t$ H-path bounds each C_j exactly one. For a positive traversal of $u_i v_i$, only a positive bound is possible while for a negative one the only option is a negative bound.
- ▶ For an H-path, Positive/negative traversals of $u_i v_i$ determine an interpretation, the bound to C_j ($\forall 1 \leq j \leq m$) shows a literal in C_j evaluated for true.
- ▶ If φ is satisfiable, choose an interpretation and a true literal for each clause. Choose the positive traversal of $u_i v_i$ if p_i is true, otherwise choose the negative one. Bounding the C_j 's to the path at the chosen literals we get an H-path from s to t .

G_φ can be constructed in polynomial time so $\text{SAT} \leq_p \text{HP}$, proving that HP is NP-hard. But it is in NP, so it is NP-complete as well.

NP-completeness of undirected $s - t$ -H-path

Remark: UHP and UHC are in NP by the same reason as HP.

Theorem

UHP NP-complete

Proof: $HP \leq_p UHP$. For a given G, s, t , where G is directed we need G', s', t' , where G' is undirected and G has an H-path from s to $t \Leftrightarrow G'$ has an H-path from s' to t' .

Let 3 nodes correspond to each vertex v of G in G' : v_{in} , v_{middle} and v_{out} . Edges of G' contains $\{v_{in}, v_{middle}\}$ and $\{v_{middle}, v_{out}\}$ for each $v \in V(G)$. Furthermore for each edge $E = (u, v)$ in G add the edge $\{u_{out}, v_{in}\}$ to $E(G')$. $s' := s_{in}, t' := t_{out}$.

Easy to see that P is an H-path in $G \Leftrightarrow P'$ is an H-path in G' , where P' can be constructed for P by replacing v by v_{in}, v_{middle} and v_{out} .

NP-completeness of undirected Hamiltonian path/cycle

On the other hand if P is an H-path in G' than $v_{\text{in}}, v_{\text{middle}}, v_{\text{out}}$ should follow each other in P (otherwise v_{middle} is left out). Replacing these triples in P by a single node v we get an H-path for G .

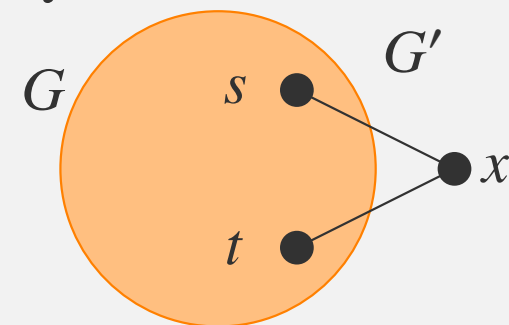
Conditions for the beginning and the ending of paths hold, too.

Theorem

UHC is NP-complete

Proof: $\text{UHP} \leq_p \text{UHC}$. Given G, s, t . G' : Add a new vertex x to G and add two new edges $\{s, x\}$ and $\{t, x\}$ to G .

Easy to see that G has an H-path $\Leftrightarrow G'$ has an H-cycle.



The travelling salesman problem (TSP)

Function problem: Given an undirected graph G with nonnegative weights on its edges. Determine the H-cycle with the lowest possible total weight (if there's any at all).

Decision problem:

$\text{TSP} = \{\langle G, K \rangle \mid G \text{ has an H-cycle with total weights} \leq K\}$.

Theorem

TSP is NP complete.

Proof: $\text{TSP} \in \text{NP}$, due to similar reasons as HP (total weight condition is also verifiable in polynomial time).

$\text{UHC} \leq_p \text{TSP}$. $G' := G$, all weights are equal to 1 and let $K := |V|$. easy to see, that G has an H-cycle $\Leftrightarrow G'$ has an H-cycle of total weight $\leq K$.

The Structure of the NP class

NP-intermediate language

L is NP-intermediate, if $L \in \text{NP}$, $L \notin \text{P}$ and L is non-NP-complete.

Ladner's Theorem

If $\text{P} \neq \text{NP}$, then there are NP-intermediate languages.

(without proof)

NP-intermediate candidates (we are not sure of course):

- ▶ $\text{GRAPHISOMORPHISM} = \{\langle G_1, G_2 \rangle \mid G_1 \text{ and } G_2 \text{ are undirected isomorphic graphs}\}.$
- ▶ PRIMEFACTORS : produce the prime factors of a natural number [function problem],

co \mathfrak{C} complexity class

If \mathfrak{C} is a complexity class, then let $\text{co}\mathfrak{C} = \{L \mid \bar{L} \in \mathfrak{C}\}$.

\mathfrak{C} is closed for polynomial time reduction if whenever $L_2 \in \mathfrak{C}$ and $L_1 \leq_p L_2$ holds $L_1 \in \mathfrak{C}$ holds, too.

We know so far: P and NP are closed for polynomial time reduction.

Theorem

If \mathfrak{C} is closed for polynomial time reduction then so does $\text{co}\mathfrak{C}$ as well

Proof: Let $L_2 \in \text{co}\mathfrak{C}$ and L_1 be arbitrary languages satisfying $L_1 \leq_p L_2$. From the latter one it follows $\bar{L}_1 \leq_p \bar{L}_2$ (the same reduction is good). Since $\bar{L}_2 \in \mathfrak{C}$, we get $\bar{L}_1 \in \mathfrak{C}$. I.e., $L_1 \in \text{co}\mathfrak{C}$.

co \mathfrak{C}

Is it true that $P = \text{co}P$? **Yes.** (Changing q_a and q_r in a TM deciding L we get a TM deciding \overline{L} polynomial time.)

Is it true, that $NP = \text{co}NP$? The above construction does not work, it **may not** decide \overline{L} .

Corollary

coNP is closed for polynomial time reduction.

Theorem

L is \mathfrak{C} -complete $\iff \overline{L}$ is co \mathfrak{C} -complete.

Proof:

- ▶ If $L \in \mathfrak{C}$, then $\overline{L} \in \text{co}\mathfrak{C}$.
- ▶ Let $L' \in \mathfrak{C}$, satisfying $L' \leq_p L$. Then $\overline{L'} \leq_p \overline{L}$.
 $L' \mapsto \overline{L'}$ is a bijection between \mathfrak{C} and co \mathfrak{C} . So all co \mathfrak{C} languages are polynomial time reducible to \overline{L}

So $\overline{L} \in \text{co}\mathfrak{C}$ and it is co \mathfrak{C} -hard, so, it is co \mathfrak{C} -complete.

Examples for coNP complete languages

$\text{UNSAT} := \{\langle \varphi \rangle \mid \varphi \text{ is an unsatisfiable propositional formula}\}.$

$\text{VALID} := \{\langle \varphi \rangle \mid \varphi \text{ is a valid propositional formula}\}.$

Theorem

UNSAT and VALID are coNP-complete.

Proof: $\text{GENSAT} = \{\langle \varphi \rangle \mid \varphi \text{ is a satisfiable propositional formula}\}$ is NP-complete as well.

$\overline{\text{GENSAT}} = \text{UNSAT}$, by the previous Theorem UNSAT is coNP-complete.

$\text{UNSAT} \leq_p \text{VALID}$, since $\varphi \mapsto \neg\varphi$ is a polynomial time reduction.

Informally: coNP contains the problems for which there is a polynomial time *refutation* for the "no" instances.

Remarks:

Conjecture: $\text{NP} \neq \text{coNP}$.

Another conjecture: $\text{P} \neq \text{NP} \cap \text{coNP}$.

It is known, that $\text{NP} \cap \text{coNP-complete} \neq \emptyset$ implies $\text{NP} = \text{coNP}$.

Space complexity

Problems with measuring space complexity: The size of the input is always a lower bound for the number of used cells. One solution is the following:

Off-line Turing machine (OTM)

An **off-line Turing machine** (OTM) is a multitape TM with the following properties. The first tape is a read-only input tape. For function problems the last tape is a write-only output tape. Further tapes has no restrictions, they are called storage tapes.

Space complexity of OTM's

For a given input, the **storage space** used up by the OTM is the number of cells the computation(s) use up on the storage tapes. An OTM is $f(n)$ **space-bounded** (or has **space complexity** $f(n)$) if it uses at most $f(|u|)$ storage space for any input $u \in \Sigma^*$.

Deterministic and nondeterministic space complexity classes

With OTM's we can measure **sublinear** space complexities.

- ▶ $\text{SPACE}(f(n)) := \{L \mid L \text{ is decidable by a } O(f(n)) \text{ space-bounded deterministic off-line TM}\}$
- ▶ $\text{NSPACE}(f(n)) := \{L \mid L \text{ is decidable by a } O(f(n)) \text{ space-bounded nondeterministic off-line TM}\}$
- ▶ $\text{PSPACE} := \bigcup_{k \geq 1} \text{SPACE}(n^k).$
- ▶ $\text{NPSPACE} := \bigcup_{k \geq 1} \text{NSPACE}(n^k).$
- ▶ $\text{L} := \text{SPACE}(\log n).$
- ▶ $\text{NL} := \text{NSPACE}(\log n).$

Deterministic space complexity of the REACHABILITY problem

For a (directed) graph G and vertices x and y , y is called reachable from x , if there is a path from x to y .

$\text{REACHABILITY} = \{ \langle G, s, t \rangle \mid t \text{ is reachable in } G \text{ from } s \}$.

$\text{REACHABILITY} \in \text{P}$ (in fact $O(n^2)$)

Theorem

$\text{REACHABILITY} \in \text{SPACE}(\log^2 n)$.

Proof:

- ▶ For vertices x, y of G let $\text{PATH}(x, y, i)$ be TRUE if there is a path of length at most 2^i from x to y .
- ▶ t is reachable in G from $s \iff \text{PATH}(s, t, \lceil \log n \rceil) = \text{TRUE}$.
- ▶ $\text{PATH}(x, y, i) = \text{TRUE} \iff \exists z (\text{PATH}(x, z, i - 1) = \text{TRUE} \wedge \text{PATH}(z, y, i - 1) = \text{TRUE})$.
- ▶ Based on this observation a recursive algorithm will be given. The algorithm stores only 3-tuples (x, y, i) . If (x, y, i) is stored it means $\text{PATH}(x, y, i)$ was called and this call is still in progress.

REACHABILITY: $\text{PATH}(x, y, i)$ algorithm

- ▶ Let an order of the vertices be given.
- ▶ If $i = 0$, then $2^0 = 1$, $\text{PATH}(x, y, i)$ is TRUE if and only if (x, y) is an edge of the graph.
- ▶ We start by writing $(s, t, \lceil \log n \rceil)$ on the storage tape. For the 3-tuples (x, y, i) on the storage tape, i is strictly decreasing (by 1) from $\lceil \log n \rceil$, so the number of 3-tuples is at most $\lceil \log n \rceil + 1$.
- ▶ Suppose that we just called $\text{PATH}(x, y, i)$, so (x, y, i) is the last 3-tuple on the storage tape. Then for the first $z \neq x, y$ call $\text{PATH}(x, z, i - 1)$ and write $(x, z, i - 1)$ on the tape.
- ▶ If $\text{PATH}(x, z, i - 1) = \text{FALSE}$ then delete $(x, z, i - 1)$, try next z .
- ▶ If $\text{PATH}(x, z, i - 1) = \text{TRUE}$ then delete $(x, z, i - 1)$, call $\text{PATH}(z, y, i - 1)$ and write $(z, y, i - 1)$ on the tape (y is known from the previous 3-tuple).
- ▶ If $\text{PATH}(z, y, i - 1) = \text{FALSE}$ then delete $(z, y, i - 1)$, try next z .
- ▶ If $\text{PATH}(z, y, i - 1) = \text{TRUE}$ then return TRUE.
- ▶ If there are no more z 's return FALSE.

Configuration graph

$\text{PATH}(s, t, \lceil \log n \rceil)$ stores $O(\log n)$ 3-tuples and each 3-tuple is of length $O(\log n)$ (we can store a natural number of at most n on $O(\log n)$ bits).

So $\text{REACHABILITY} \in \text{SPACE}(\log^2 n)$, finishing the proof.

Configuration graph

For a TM M G_M denotes its **configuration graph**. The vertices are configurations of M and $(C, C') \in E(G_M) \Leftrightarrow C \vdash_M C'$.

Reachability method: a method for proving connections between space complexity classes by using either

$\text{REACHABILITY} \in \text{P}$ or

$\text{REACHABILITY} \in \text{SPACE}(\log^2 n)$

for the configuration graph or one of its subgraphs.

Savitch's Theorem

Savitch's Theorem

If $f(n) \geq \log n$, then $\text{NSPACE}(f(n)) \subseteq \text{SPACE}(f^2(n))$.

Proof: Let M be $f(n)$ space-bounded NTM and w be an input of M of length n .

A configuration of M can be stored at $O(f(n) + \log n)$ cells (actual state, contents of storage tapes, positions of the heads, the position of the head on the 1st tape can be n -wise, that's why we always need $\geq \log n$ storage). If $f(n) \geq \log n$, then this is $O(f(n))$.

We can suppose, that M has only one accepting configuration. (Delete the content of the storage tapes before going to q_a .)

The size of the configuration graph of configurations with this limit is at most $2^{df(n)}$ for some $d > 0$ constant. So there is

a $O(\log^2(2^{df(n)})) = O(f^2(n))$ space-bounded deterministic TM

deciding reachability from the starting configuration to the accepting one by the previous theorem.

Savitch Theorem

Corollaries

Corollary 1

$$\text{PSPACE} = \text{NPSPACE}$$

Proof: square of a polynomial is a polynomial.

Corollary 2

$$\text{NL} \subseteq \text{P}$$

(without proof) (Applies Reachability method.)

Hierarchy Theorem

Immerman-Szelepcsényi Theorem

$$\text{NL} = \text{coNL}$$

(without proof)

$$\text{EXPTIME} := \bigcup_{k \in \mathbb{N}} \text{TIME}(2^{n^k}).$$

Theorem

$$\text{NL} \subset \text{PSPACE} \text{ és } \text{P} \subset \text{EXPTIME}.$$

(without proof)

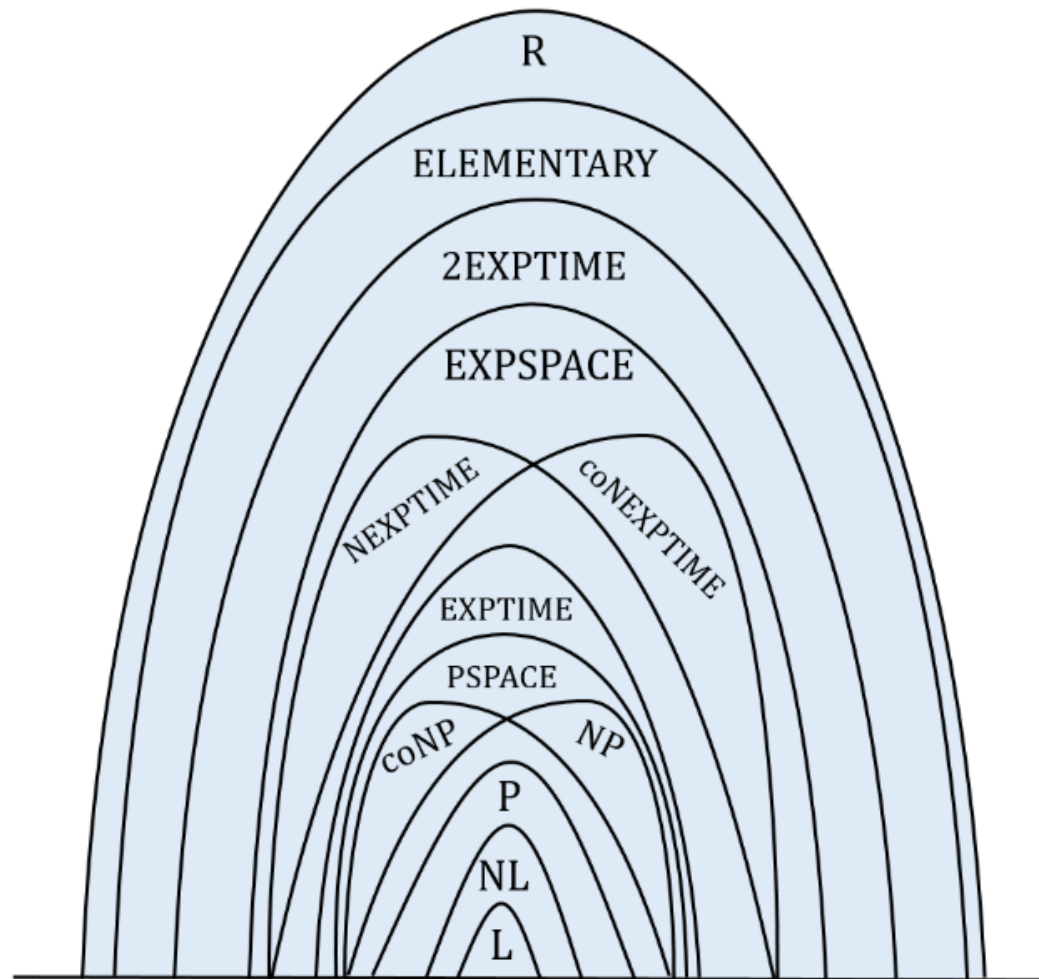
Theorem

$$\text{L} \subseteq \text{NL} = \text{coNL} \subseteq \text{P} \subseteq \text{NP} \subseteq \text{PSPACE} \subseteq \text{EXPTIME}$$

(without proof)

Conjecture: All inclusions are proper.

Structure of R



Structure of R supposing $P \neq NP$