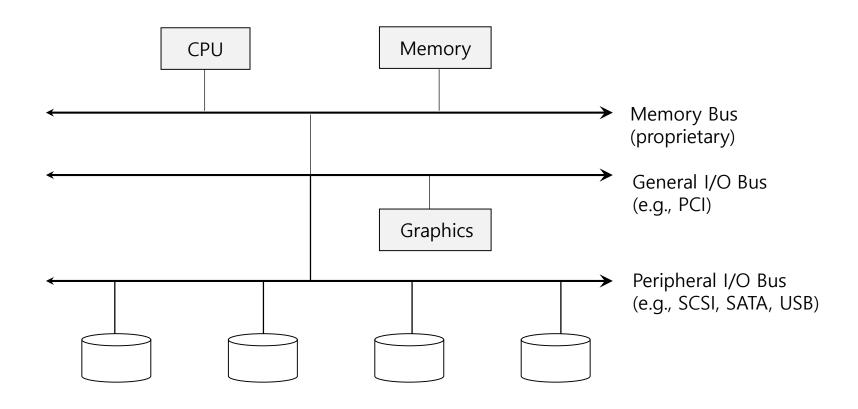
## Persistence: I/O Devices

Sridhar Alagar

#### Motivation

- What good is a computer without any I/O devices?
  - keyboard, display, disks
- We want:
  - H/W that will let us plug in different devices
  - OS that can interact with different combinations

## System Architecture



#### Canonical Device

OS reads/writes to these

Device Registers:

Status

COMMAND

DATA

Hidden Internals:

???

#### Canonical Device

OS reads/writes to these

Device Registers:

Status

**COMMAND** 

**DATA** 

Hidden Internals:

Microcontroller (CPU+RAM)
Extra RAM
Other special-purpose chips

## Example Write Protocol

```
while (STATUS == BUSY)
  ; // spin
Write data to DATA register
Write command to COMMAND register
while (STATUS == BUSY)
  ; // spin
```

Status

COMMAND

**DATA** 

Microcontroller (CPU+RAM)
Extra RAM
Other special-purpose chips

```
CPU: A
```

## Disk: C

```
while (STATUS == BUSY) // 1
;
Write data to DATA register // 2
Write command to COMMAND register // 3
while (STATUS == BUSY) // 4
;
```

# A wants to do I/O CPU: A Disk: C

while (STATUS == BUSY) // 1
;
Write data to DATA register // 2
Write command to COMMAND register // 3
while (STATUS == BUSY) // 4
.

```
CPU:
Disk:
  while (STATUS == BUSY)
  Write data to DATA register
                                // 2
  Write command to COMMAND register // 3
  while (STATUS == BUSY)
```

```
CPU:
Disk:
  while (STATUS == BUSY)
                                  // 1
   Write data to DATA register
                                // 2
   Write command to COMMAND register // 3
  while (STATUS == BUSY)
```

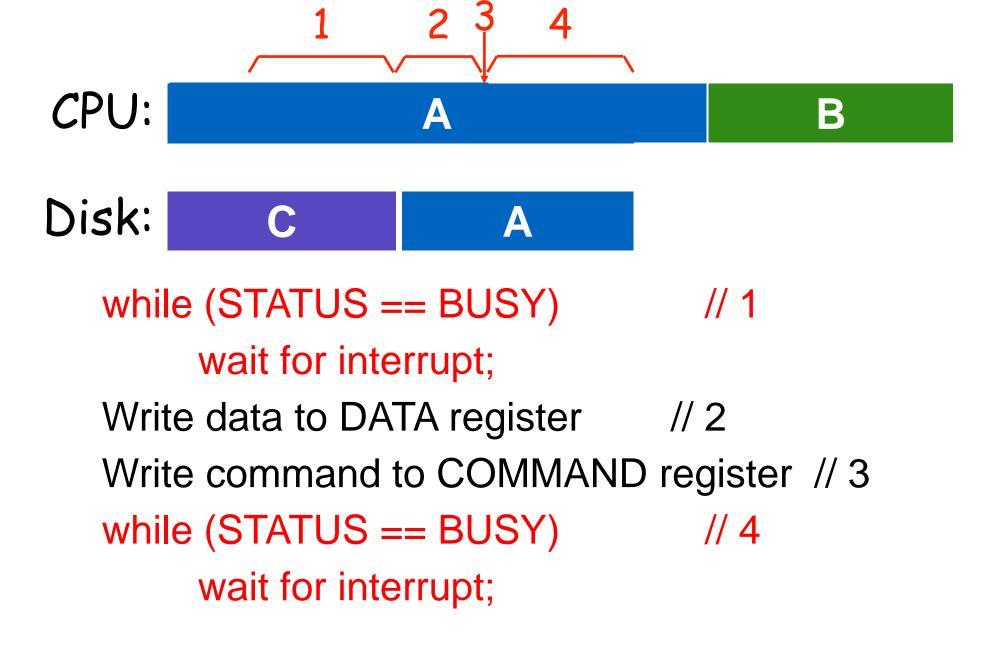
```
CPU: A
Disk:
  while (STATUS == BUSY)
                                  // 1
  Write data to DATA register
                                // 2
   Write command to COMMAND register // 3
  while (STATUS == BUSY)
```

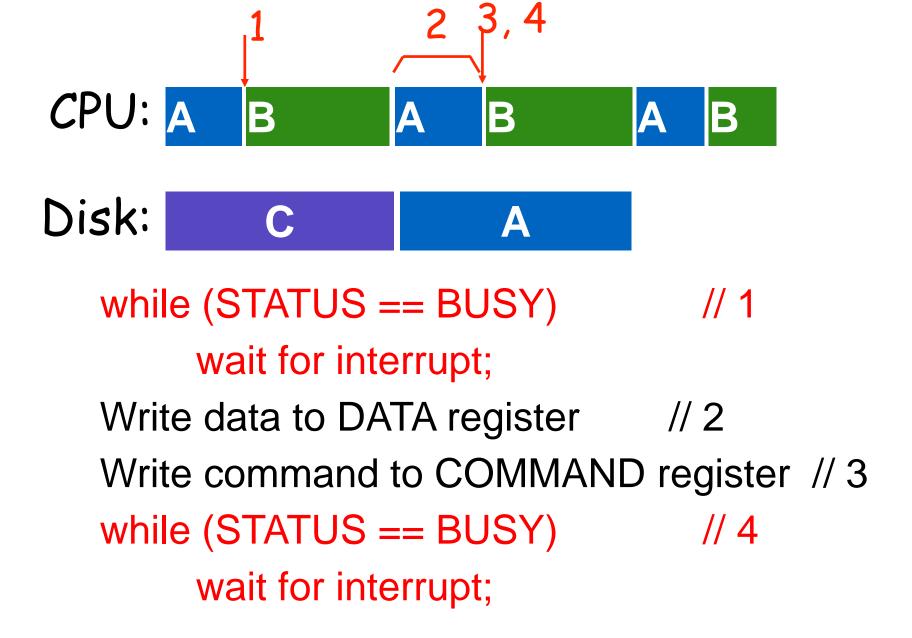
```
CPU: A
Disk:
  while (STATUS == BUSY)
                                  // 1
  Write data to DATA register
                                // 2
   Write command to COMMAND register // 3
  while (STATUS == BUSY)
```

```
CPU:
Disk:
  while (STATUS == BUSY)
                                  // 1
  Write data to DATA register
                                // 2
   Write command to COMMAND register // 3
  while (STATUS == BUSY)
```

```
CPU:
Disk:
  while (STATUS == BUSY)
                                  // 1
  Write data to DATA register
                                // 2
   Write command to COMMAND register // 3
  while (STATUS == BUSY)
```

```
CPU:
Disk:
  while (STATUS == BUSY)
                                 // 1
  Write data to DATA register
                                // 2
  Write command to COMMAND register // 3
  while (STATUS == BUSY)
      how to avoid spinning?
                                      interrupts!
```





## Interrupts vs Polling

- Are interrupts always better than polling?
- Fast device: Better to spin than take interrupt overhead
- Device time unknown?
  - Hybrid approach (spin then use interrupts)
- Flood of interrupts arrive
  - Can lead to livelock (always handling interrupts)
  - Better to ignore interrupts while make some progress handling them

#### Protocol Variants

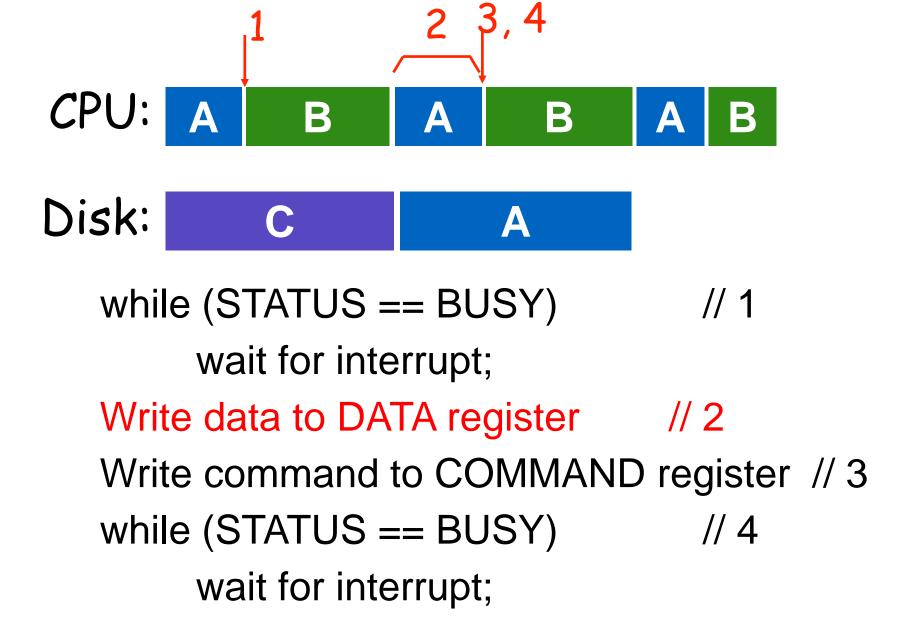
Status COMMAND DATA

Microcontroller (CPU+RAM)
Extra RAM

Other special-purpose chips

Status checks: polling vs interrupts

Data: PIO vs DMA



```
CPU:
                         B
Disk:
   while (STATUS == BUSY)
                                   // 1
        wait for interrupt;
   Write data to DATA register
   Write command to COMMAND register // 3
   while (STATUS == BUSY)
        wait for interrupt;
```

## Protocol Variants

Status COMMAND DATA

Microcontroller (CPU+RAM)
Extra RAM
Other special-purpose chips

Status checks: polling vs interrupts

Data: PIO vs DMA

Access: special instruction vs memory mapped i/o

```
CPU:
              B
                         B
Disk:
   while (STATUS == BUSY)
                                   // 1
        wait for interrupt;
   Write data to DATA register
   Write command to COMMAND register // 3
   while (STATUS == BUSY)
        wait for interrupt;
```

## How does OS access device registers?

- Special instructions
  - each device has a port
  - in/out instructions (x86) communicate with device
- Memory-Mapped I/O
  - H/W maps registers into address space
  - loads/stores sent to device

Doesn't matter much (both are used)

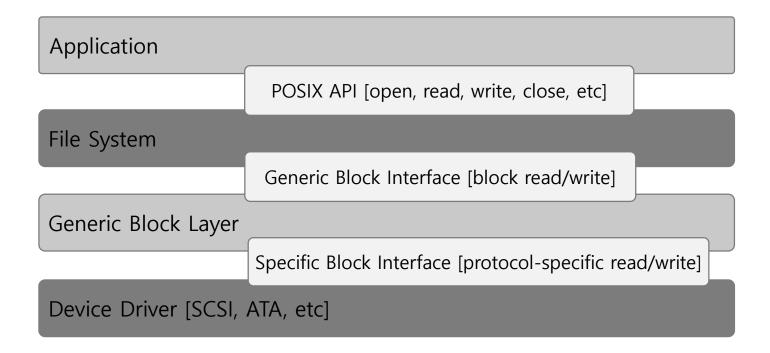
## Variety is a Challenge

- Many devices
  - Various characteristics
  - Each with its own protocol
- Cannot write a different
   OS for each H/W

 Device driver provides the abstraction

Device	Data rate
Keyboard	10 bytes/sec
Mouse	100 bytes/sec
56K modem	7 KB/sec
Scanner	400 KB/sec
Digital camcorder	3.5 MB/sec
802.11g Wireless	6.75 MB/sec
52x CD-ROM	7.8 MB/sec
Fast Ethernet	12.5 MB/sec
Compact flash card	40 MB/sec
FireWire (IEEE 1394)	50 MB/sec
USB 2.0	60 MB/sec
SONET OC-12 network	78 MB/sec
SCSI Ultra 2 disk	80 MB/sec
Gigabit Ethernet	125 MB/sec
SATA disk drive	300 MB/sec
Ultrium tape	320 MB/sec
PCI bus	528 MB/sec

## Storage Stack



## Hard Disks

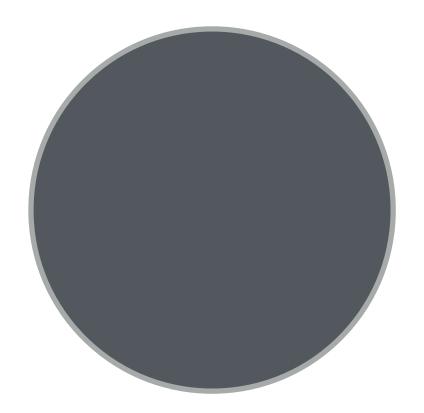
## Basic Interface

- Disk has a sector-addressable address space
  - Appears as an array of sectors

- Sectors are typically 512 bytes or 4096 bytes.
- · Main operations: reads + writes to sectors

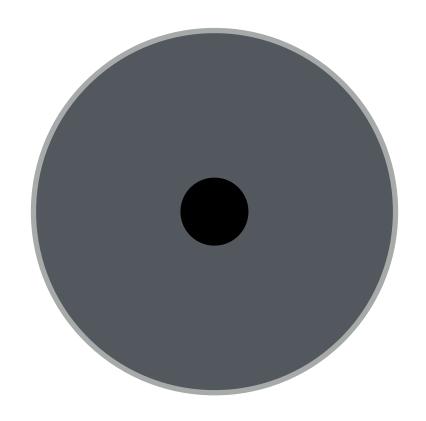
 Mechanical (slow) nature makes management "interesting"

## Disk Internals - Platter

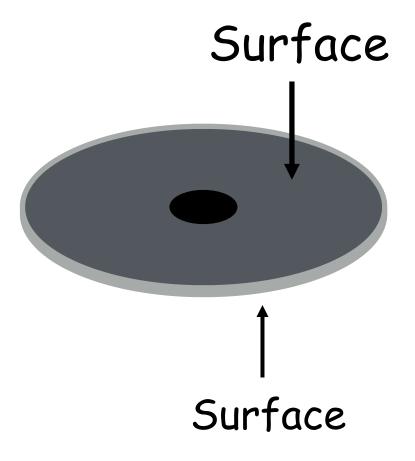


Platter is covered with a magnetic film.

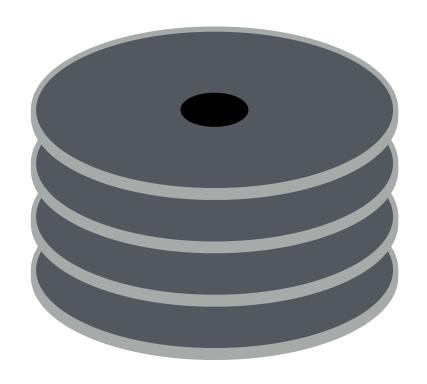
## Disk Internals - Spindle



#### Disk Internals - Dual sided

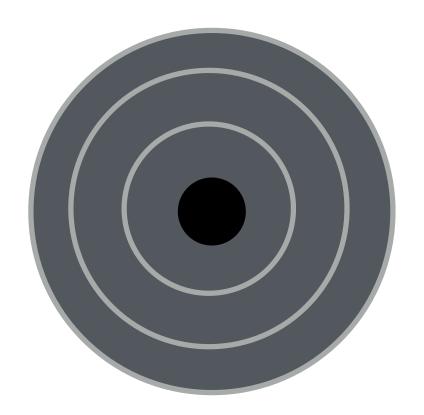


## Disk Internals - many platters



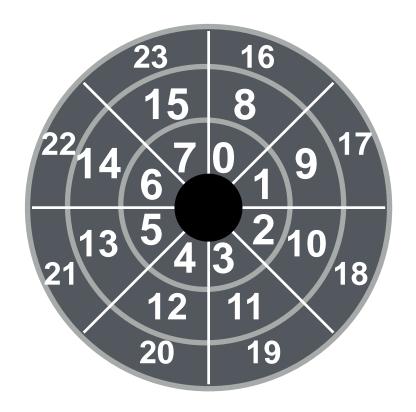
#### Disk Internals - tracks

- Each surface is divided into rings called <u>tracks</u>.
- A stack of tracks (across platters) is called a <u>cylinder</u>.



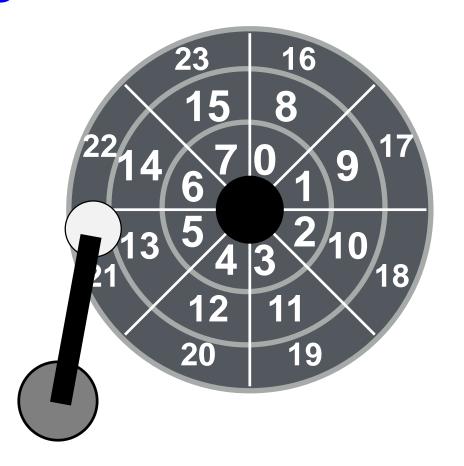
## Disk Internals - sectors

The tracks are divided into numbered sectors.



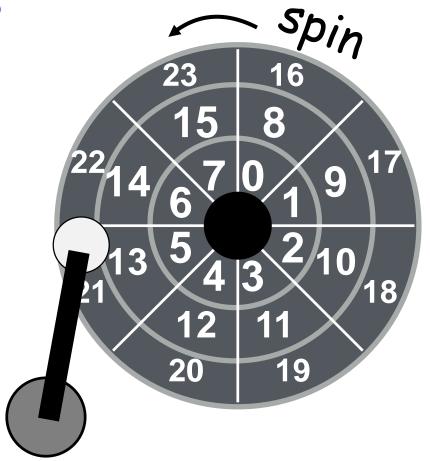
#### Disk Internals - heads

Heads on a moving <u>arm</u> can read from each surface.

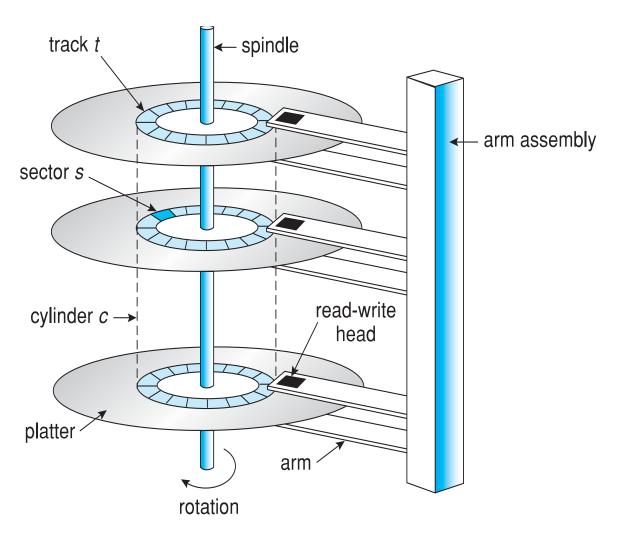


## Disk Internals - heads

Spindle/platters spin.

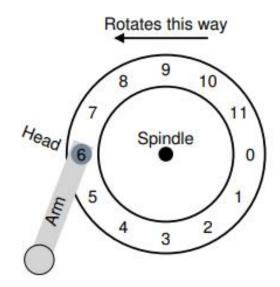


### Disk - Full view



### How to read a sector in the same track?

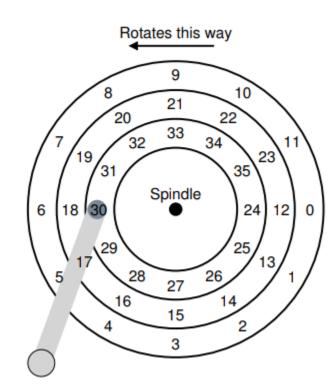
- How to read sector 0?
- Rotational delay
  - time for desired sector to rotate under the disk head

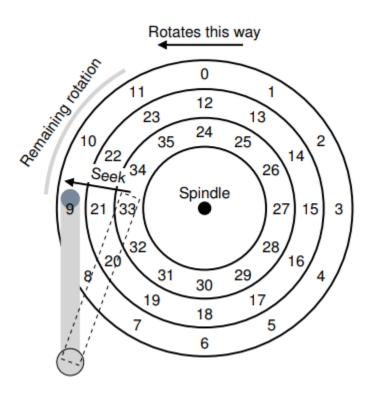


### How to read any sector?

#### How to read sector 11?

- Move to desired track (seek time)
- Rotate till desired sector under head (rotational latency)
- Finally, transfer the data





# I/O performance

• I/O time  $(T_{I/O})$ :  $T_{I/O} = T_{seek} + T_{rotation} + T_{transfer}$ 

• The rate of I/O  $(R_{I/O})$ :  $R_{I/O} = \frac{Size_{Transfer}}{T_{I/O}}$ 

	Cheetah 15K.5	Barracuda
Capacity	300 GB	1 TB
RPM	15,000	7,200
Average Seek	4 ms	9 ms
Max Transfer	125 MB/s	105 MB/s
Platters	4	4
Cache	16 MB	16/32 MB
Connects Via	SCSI	SATA

**Disk Drive Specs: SCSI Versus SATA** 

### Performance

- Random workload: Issue 4KB read to random locations on the disk
- · Sequential workload: Read 100MB consecutively from the disk

		Cheetah 15K.5	Barracuda
$T_{seek}$		4 ms	9 ms
$T_{rotation}$		2 ms	4.2 ms
Random	$T_{transfer}$	30 microsecs	38 microsecs
	$T_{I/O}$	6 ms	13.2 ms
	$R_{I/O}$	0.66 MB/s	0.31 MB/s
Sequential	$T_{transfer}$	800 ms	950 ms
	$T_{I/O}$	806 ms	963.2 ms
	$R_{I/O}$	125 MB/s	105 MB/s

# Disk Scheduling

- Disk Scheduler decides which I/O request to schedule next
- Parameters to optimize:
  - · Disk utilization: reduce seek and rotational delays
  - Response time: Queuing delay + seek + rotational + transfer

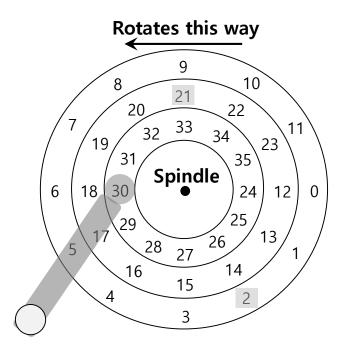
# Disk Scheduling: FIFO

• Fair

Does not optimize any parameters

# Disk Scheduling

- SSTF (Shortest Seek Time First)
  - Order the queue of I/O request by track
  - Pick requests on the nearest track to complete first



SSTF: Scheduling Request 21 and 2
Issue the request to 21 → issue the request to 2

#### Problems with SSTF

- Problem 1: The drive geometry is not available to the host OS
  - · Solution: OS can simply implement Nearest-block-first (NBF)
- Problem 2: Starvation
  - If there were a steady stream of request to the inner track, request to other tracks would then be ignored completely.
- High Throughput
- Average response time better than FIFO; but high variance

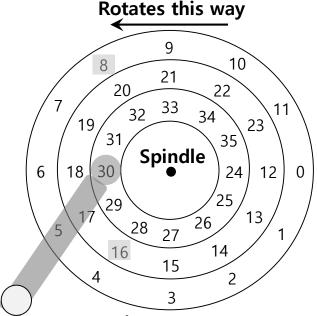
# Elevator Algorithm: SCAN

- Move across the disk from end to another servicing requests in the path. Reverse direction once reaching an end
- High throughput
- Average response time; but low variance

# Elevator Algorithm: C-SCAN

 Instead of reversing after reaching the end, go back to the beginning

## How to account for rotational delay?



- If rotation is faster than seek: request 16 → request 8
- If seek is faster than rotation: request  $8 \rightarrow$  request 16

On modern drives, both seek and rotation are roughly equivalent: Thus, SPTF (Shortest Positioning Time First) is useful.

#### Disclaimer

• Some of the materials in this lecture slides are from the lecture slides by Prof. Arpaci, Prof. Youjip, and other educators. Thanks to all of them.