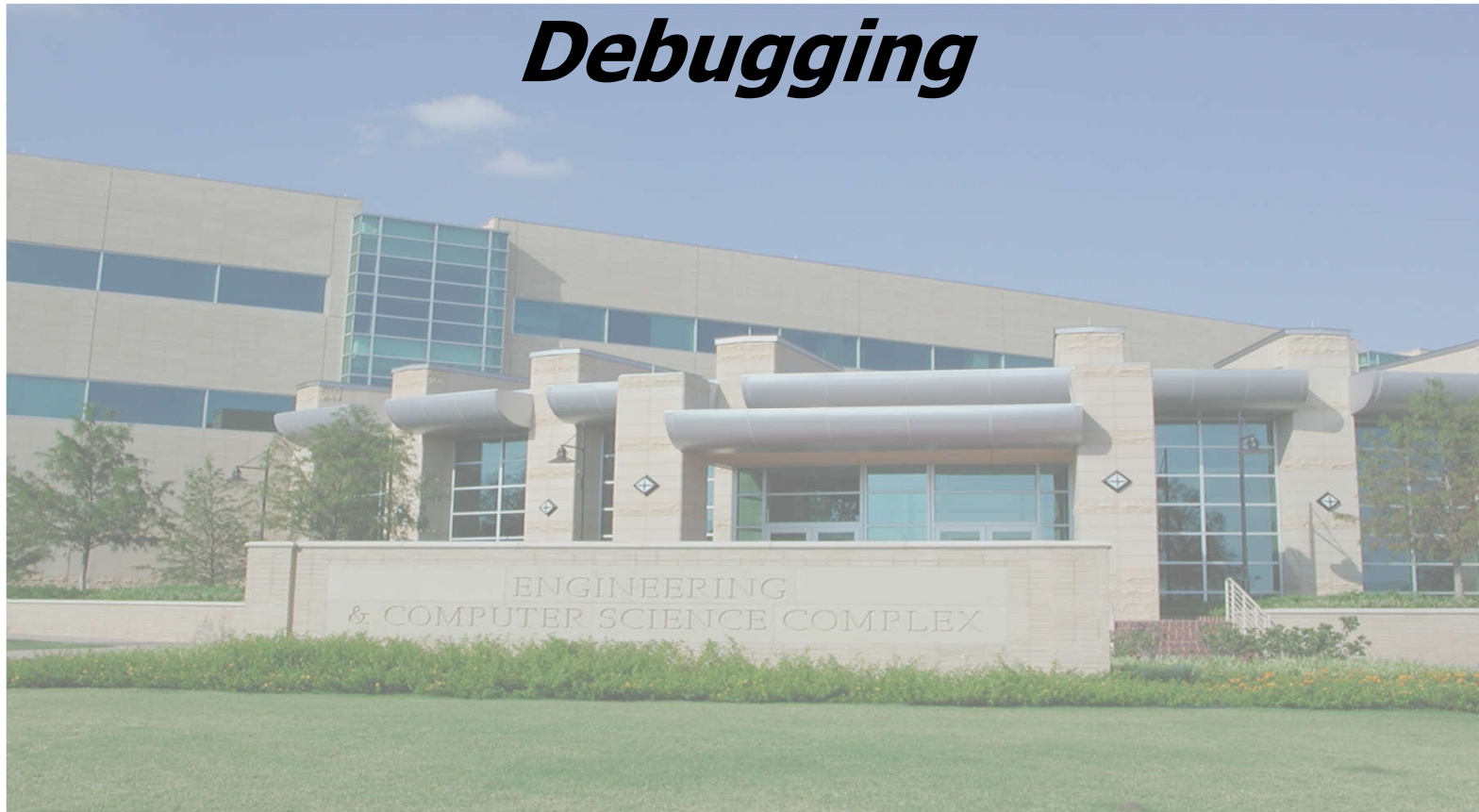


# ***Debugging***



***Dr. Mark C. Paulk***

***SE 4367 – Software Testing, Verification, Validation, and Quality Assurance***

# *Topics: Software Testing*

## **Part I: Preliminaries**

### **1. Software Testing**

- *Humans, Errors, and Testing*
- *Software Quality*
- *Requirements, Behavior, and Correctness*
- *Correctness vs Reliability*
- **Testing and Debugging**
- **Test Metrics**
- **Software and Hardware Testing**
- **Testing and Verification**
- **Defect Management**
- **Test Generation Strategies**
- **Static Testing**
- **Model-Based Testing and Model Checking**
- **Types of Testing**
- **Saturation Effect**
- **Principles of Testing**

# *Debugging*

**The process of determining the cause of failures and removing them.**

- The observation “People make mistakes resulting in defects that cause failures.” leads to?

## ***Dijkstra’s Observation***

- ***If debugging is the process of removing bugs, then programming must be the process of putting them in.***

***programming – The art of debugging a blank sheet of paper.***

***- Robert Seacord***

# *Specifying Program Behavior*

**State transition diagrams can be used to specify program behavior.**

**The state of a program is the set of current values of all its variables and an indication of which statement in the program is to be executed next.**

**State can be encoded in a state vector.**

# *Mathur, Example 1.6*

## Program P1.1

```
1 integer X, Y, Z;  
2 input (X,Y);  
3 if (X<Y)  
4   {Z=Y;}  
5 else  
6   {Z=X;}  
7 endif;  
8 output (Z);  
9 end;
```

## State vectors

(**Step** X Y Z)

(**1** u u u) →

- what if we initialized variables at step 1 declaration?

(**2** u u u) →

- variables are initialized and changed AFTER the line of code

(**3** 3 15 u) →

(**4** 3 15 **u**) →

- different from Mathur

(**5** 3 15 15) →

- is else a step? ~GOTO?
- endif? next statement is target!

(**8** 3 15 15) →

(**9** 3 15 15)

## *Example, State Transition Diagrams*

```
1) integer X(3), Y, Z;  
2) input (X(1));  
3) X(2) := X(1) * 2;  
4) X(3) := X(2) * 3;  
5) Y := Z := 0;  
6) for i:=1,3 loop  
7)   Y := Y + X(i);  
8)   Z := Z + X(i) * X(i);  
9) end loop;  
10) output (Y, Z);  
11) end;
```

**Create a set of state vectors to describe the behavior of this program.**

**Start with Step 1.**

**The input for X(1) should be 3.**

```

1) integer X(3), Y, Z;
2) input (X(1));
3) X(2) := X(1) * 2;
4) X(3) := X(2) * 3;
5) Y := Z := 0;
6) for i:=1,3 loop
7)   Y := Y + X(i);
8)   Z := Z + X(i) * X(i);
9) end loop;
10) output (Y, Z);
11) end;

```

```

(Step X1 X2 X3 Y Z i) →
(1 u u u u u u) →
(2 u u u u u u) →
(3 3 u u u u u) →
(4 3 6 u u u u) →
(5 3 6 18 u u u) →
(6 3 6 18 0 0 u) →
(7 3 6 18 0 0 1) →
(8 3 6 18 3 0 1) →
(9 3 6 18 3 9 1) →
(6 3 6 18 3 9 2) →

```

```

1) integer X(3), Y, Z;
2) input (X(1));
3) X(2) := X(1) * 2;
4) X(3) := X(2) * 3;
5) Y := Z := 0;
6) for i:=1,3 loop
7)   Y := Y + X(i);
8)   Z := Z + X(i) * X(i);
9) end loop;
10) output (Y, Z);
11) end;

```

```

(Step X1 X2 X3 Y Z i) →
(7 3 6 18 3 9 2) →
(8 3 6 18 9 9 2) →
(9 3 6 18 9 45 2) →
(6 3 6 18 9 45 3) →
(7 3 6 18 9 45 3) →
(8 3 6 18 27 45 3) →
(9 3 6 18 27 369 3) →
(6 3 6 18 27 369 4) →
(10 3 6 18 27 369 u) →
(11 3 6 18 27 369 u)

```



# *Oracles*

**The entity that performs the task of checking the correctness of the observed behavior.**

**May be a human tester.**

- **error-prone**
- **slow**
- **may result in trivial checks**

# *Two Measurement Questions*

**Are we measuring the right thing?**

- **Goal / Question / Metric (GQM)**
- **business objectives  $\Leftrightarrow$  data**
  - **cost (dollars, effort)**
  - **schedule (duration, effort)**
  - **functionality (size)**
  - **quality (defects)**

**Are we measuring it right?**

- **operational definitions**

# *Goals and Measures*

**One of the dangers in enterprises as complex as software engineering is that there are potentially so many things to measure...**

**In goal-driven measurement, the primary question is not**

***“What measures should I use?”***

**Rather, it is**

***“What do I want to know or learn?”***

**Goal-driven measurement is not based on a predefined set of measures.**

# *Goal-Driven Measurement*

## **Goal / Question / Metric (GQM) paradigm**

- *V.R. Basili and D.M. Weiss, "A Methodology for Collecting Valid Software Engineering Data," IEEE Transactions on Software Engineering, November 1984.*

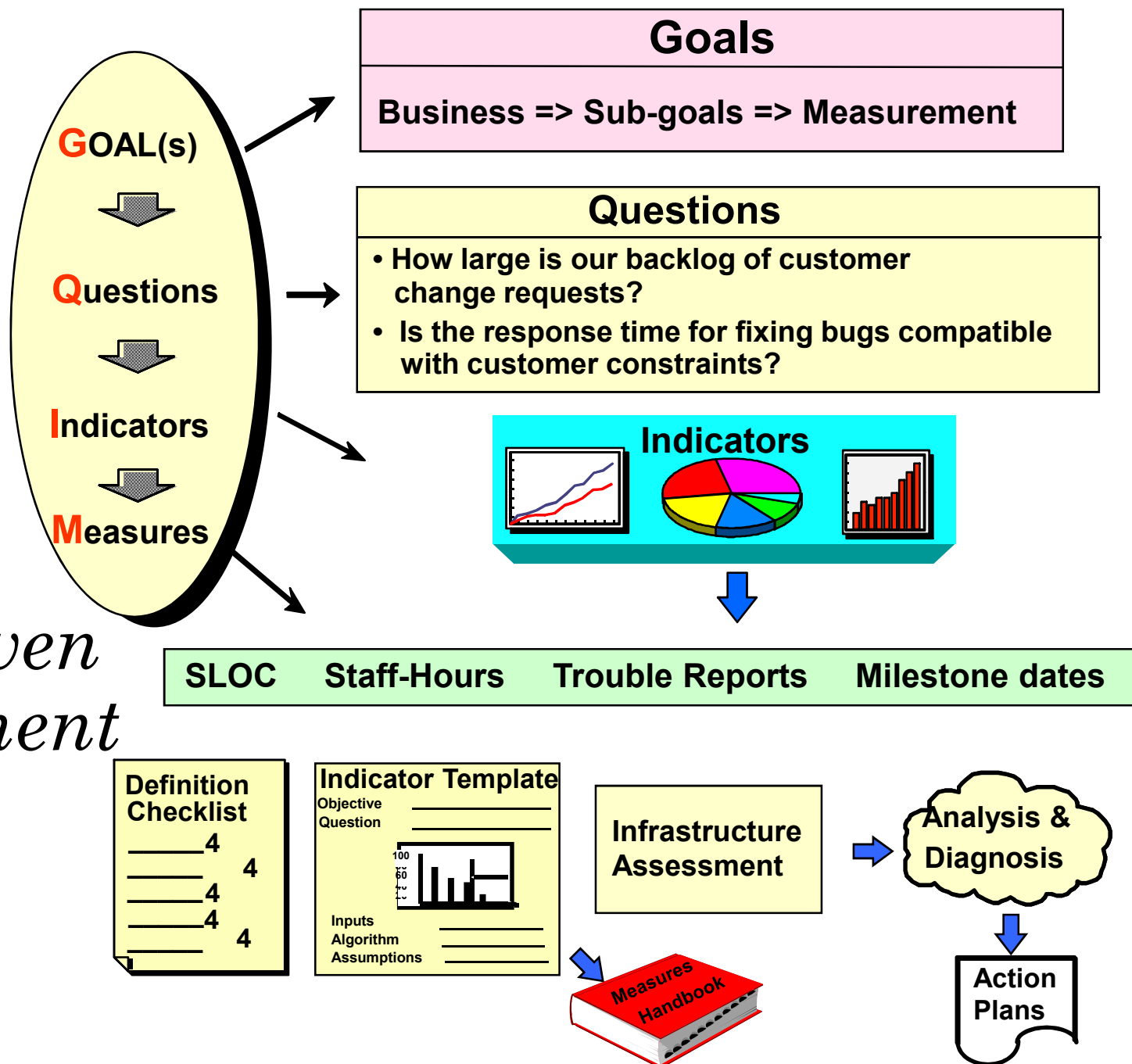
## **SEI variant: goal-driven measurement**

- *Robert E. Park, Wolfhart B. Goethert, and William A. Florac, "Goal-Driven Software Measurement – A Guidebook," CMU/SEI-96-HB-002, August 1996.*

## **ISO 15939 and PSM variant: measurement information model**

- *John McGarry, David Card, et al., Practical Software Measurement: Objective Information for Decision Makers, Addison-Wesley, Boston, MA, 2002.*

# Goal-Driven Measurement



# *Define Operational Measures*

***An operational definition [is one] which reasonable men can agree on and do business with.***

***Shewhart believed his work on operational definitions to have been of greater importance than his development of the theory of variation and of the control chart.***

***There is no true value of anything.***

***Chapter 7 in Henry R. Neave, The Deming Dimension.***

# *Operational Definitions*

The rules and procedures used to capture and record data

What the reported values include and exclude

Operational definitions should meet two criteria

- ***Communication*** – will others know what has been measured and what has been included and excluded?
- ***Repeatability*** – would others be able to repeat the measurements and get the same results?

# *Examples – Operational Definitions*

**How is a line of code defined?**

- new, modified, deleted, retained function

**What are the time units?**

- hours vs minutes

**How is a defect defined?**

- severity, criticality, impact

**Is the data collected at the same point in the process each time?**

- peer review before or after compile / unit test



# *Human Nature and Measurement*

**The act of measuring and analyzing will change behavior – potentially in dysfunctional ways.**

**Use of measurement data to evaluate individuals will negatively affect the correctness and usefulness of the measurement data that are reported.**

***The squeaky wheel gets the grease...***

***What gets measured gets attention...***

# *Hawthorne Effect*

**The act of measuring (paying attention) will change behavior.**

- **self-interested behavior on the part of the “measured entity!”**
- **motivational use of measurement (Austin)**

**Is the Hawthorne effect bad?**

**Isn't the intention to change behavior?**

**Is the change “systematic?” Will it last?**

**Will management continue to “pay attention?”**

# *Dysfunctional Behavior*

## **Austin's Measuring and Managing Performance in Organizations**

- motivational versus information measurement

**Deming strongly opposed performance measurement, merit ratings, management by objectives, etc.**

**Dysfunctional behavior resulting from organizational measurement is inevitable unless**

- measures are made “perfect”
- motivational use impossible

# *Test Metrics*

**Metric – a standard of measurement**

- **syn: measure**

**Organizational measures**

**Project measures**

**Process measures**

**Product measures**

- **static**
- **dynamic**

# *Organizational Metrics*

**Useful in overall project planning and management**

**May be aggregated across multiple projects**

## **Examples**

- **number of defects reported after product release**
- **average defect density in testing**
- **test cost per KLOC**
- **delivery schedule slippage**
- **time to complete system testing**

# *Project Metrics*

**Useful in monitoring and controlling a project**

## **Examples**

- **ratio of actual to planned system test effort**
- **ratio of successful tests to total tests**

# *Process Metrics*

**Used for assessing the goodness of the process**

## **Examples**

- **defects found per phase**
  - **unit test, integration test, system test, acceptance test, after release**

# *Product Metrics*

**Useful for making decisions about the product**

- **Is this product ready for release?**

## **Examples**

- **McCabe's cyclomatic complexity**
- **Halstead complexity measures**
- **Chidamber and Kemerer OO metrics**

**Static metrics can be computed without executing the program.**

**Dynamic metrics require code execution.**



# *McCabe Cyclomatic Complexity*

**In the control flow graph for a procedure reachable from the main procedure containing**

- **N nodes**
- **E edges**
- **p connected procedures**
  - only procedures that are reachable from the main procedure

$$V(G) = E - N + 2p$$

**V(G) should be less than 10**

- **Mathur recommends less than 5**
- **some suggest that 10-20 should be classified as “challenging”**

## *McCabe's $p$*

**$p$  is the number of connected components.**

**McCabe defined a program control graph as having**

- **unique entry and exit nodes**
- **all nodes reachable from the entry**
- **the exit reachable from all nodes**

**resulting in all control graphs having only one connected component.**

**Subroutines lead to unconnected components.**

- **may arrive from many different places**
- **may return to a variety of places**

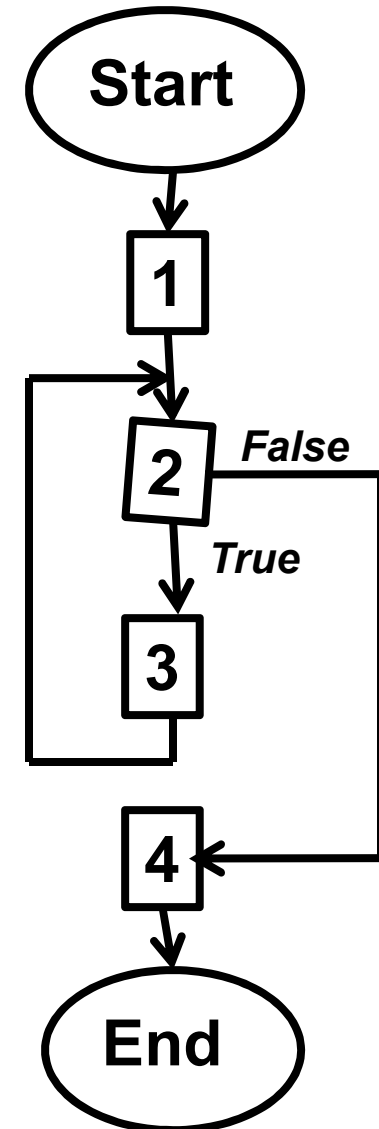
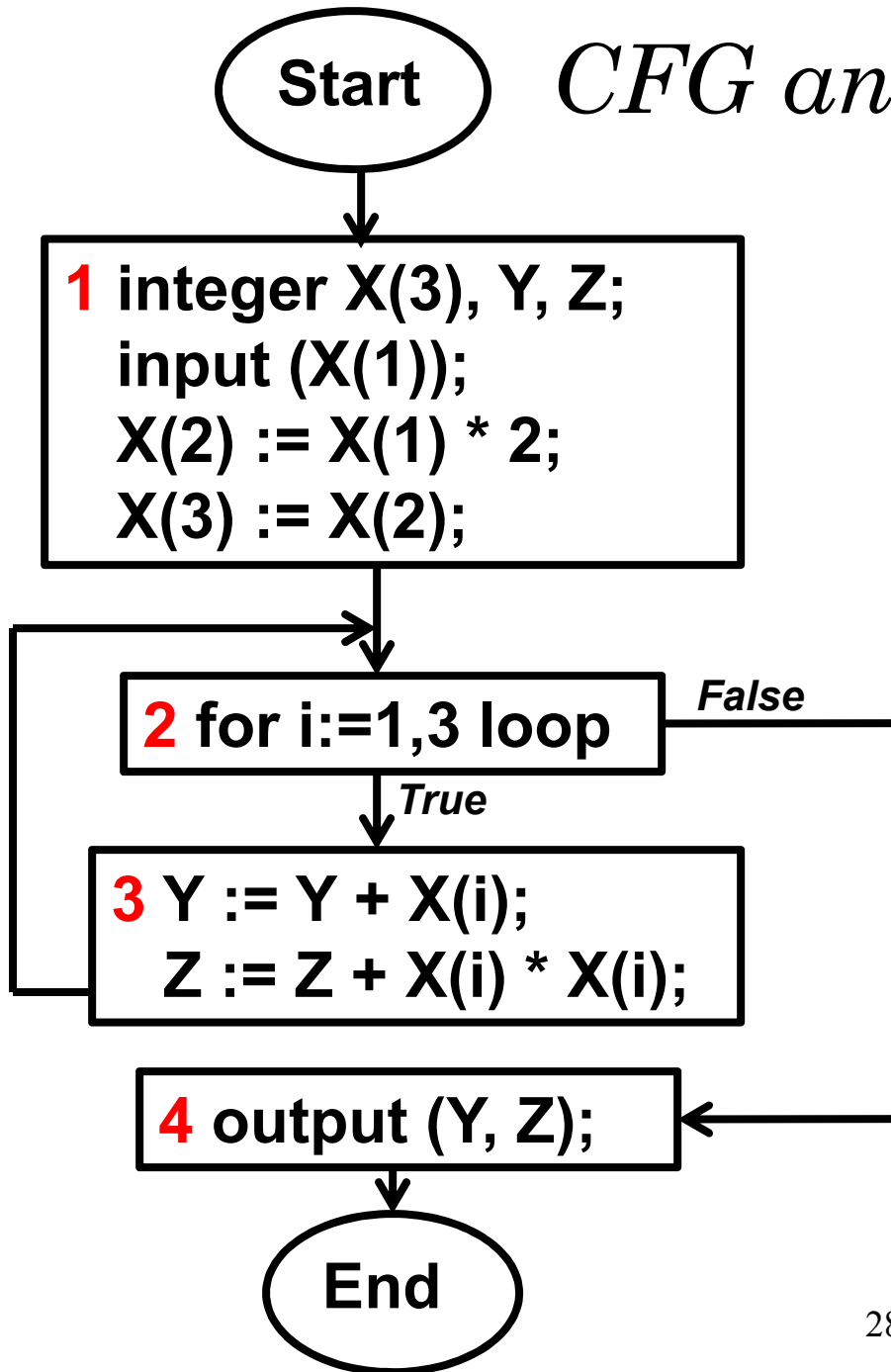
## *Using p*

**Does p allow analysis of a collection of programs?**

- **programs with nested functions**
- **typically only look at a single program rather than a “library” with many disconnected routines**

**Herraiz and Hassan (2011) use the maximum or average cyclomatic complexity for all functions in a file.**

# CFG and McCabe Example

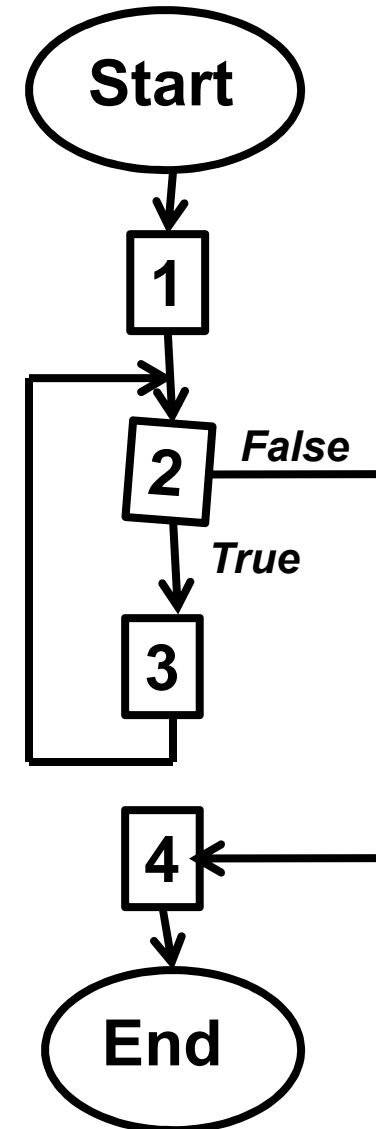


**Nodes = {Start, 1, 2, 3, 4, End}**  
**N = 6**

**Edges = {(Start,1), (1,2), (2,3),  
(3,2), (2,4), (4,End)}**  
**E = 6**

**p = 1**

$$\begin{aligned} V(G) &= E - N + 2p \\ &= 6 - 6 + 2(1) = 2 \end{aligned}$$



# *McCabe Cyclomatic Complexity for Structured Programs*

**A program with no conditions has a CFG with three nodes (including the Start and End nodes) and two edges and  $V(G) = 2 - 3 + 2(1) = 1$ .**

**The addition of each *if-then-else* statement increases the number of nodes (N) by 3 and edges (E) by 4.**

**The addition of each *if-then* or *while* statement increases the number of nodes (N) by 2 and the number of edges (E) by 3.**

**Thus the net increase in cyclomatic complexity is 1 for each decision in the program.**

# *Halstead's Software Science*

***M.H. Halstead, Elements of Software Science, 1977.***

**$N_1$  number of operators in a program**

**$N_2$  number of operands in a program**

**$\eta_1$  number of unique operators in a program**

**$\eta_2$  number of unique operands in a program**

**$\eta$  program vocabulary =  $\eta_1 + \eta_2$**

**$N$  program length =  $N_1 + N_2$**

**$V$  program volume =  $N \times \log_2 \eta$**

**$D$  difficulty =  $(\eta_1 / 2) \times (N_2 / \eta_2)$  (*Mathur text wrong!*)**

**$E$  effort =  $D \times V$**

**$B$  number of delivered bugs =  $V / 3000$   
=  $(E^{2/3}) / 3000$**

# *Halstead Counts – Alternate Rules*

**Do not include `{}`; as operators (Mathur)**

**Count `()`, `[]`, `{}` as one operator**

- **begin/end are usually counted as two...**

**Count if-then, begin-end, end if, end loop, etc., as a single operator**

**Count `-` (minus) as a sign separately from `-` as an operator**

- **count `-` separately for variables but combine with constants as part of the constant**
- **count `-` as an operator in all cases**



# *Halstead's Number of Errors Estimator*

**Halstead's original formulas for B (Elements of Software Science, page 87) were**

$$B = (E^{2/3}) / 3000$$

$$B = V / 3000$$

**The formula provided by Mathur**

$$B = 7.6 (E^{0.667}) (S^{0.333})$$

**comes from Schneider, 1989.**

# *Schneider's Formula for B*

**What is E? What is S?**

**You may have assumed that “S” was size, i.e., KSLOC.**

- **Mathur does not define S**
- **S is the Stroud number (18) in Halstead's software science**
- **Schneider defines S as KSLOC**

**If you read Schneider's paper, on eLearning, you would have also seen at the very beginning that his E is “overall reported months of programmer effort for the project.”**

# *Halstead Time*

**Halstead's E is in terms of discriminations per second**

- **Stroud number is 18 discriminations / second**
  - see the discussion of Halstead Time at <http://www.virtualmachinery.com/sidebar2.htm>

**One possible correction factor from Halstead's E to person months is  $18 * 60 \text{ sec/min} * 60 \text{ min/hr} * 8 \text{ hr/day} * \textcolor{red}{17} \text{ day/mon} = 8,812,800$**

**It is common to measure “Halstead time” in terms of minutes.**

$$\text{Halstead Time} = E / (18 \text{ disc/sec} * 60 \text{ sec/min})$$

# *Halstead Example*

```
begin
integer X(3), Y, Z;
input (X(1));
X(2) := X(1) * 2;
X(3) := X(2);
for i:=1,3 loop {
    Y := Y + X(i);
    Z := Z + X(i) * X(i);
}
output (Y, Z);
end;
```

<u>Operands</u>		
<b>X</b>	<b>/////</b>	<b>9</b>
<b>3</b>	<b>///</b>	<b>3</b>
<b>Y</b>	<b>////</b>	<b>4</b>
<b>Z</b>	<b>////</b>	<b>4</b>
<b>1</b>	<b>///</b>	<b>3</b>
<b>2</b>	<b>///</b>	<b>3</b>
<b>i</b>	<b>////</b>	<b>4</b>
		<b>----</b>
		<b>30</b>

**Unique operands = 7**

```

begin
integer X(3), Y, Z;
input (X(1));
X(2) := X(1) * 2;
X(3) := X(2);
for i:=1,3 loop {
    Y := Y + X(i);
    Z := Z + X(i) * X(i);
}
output (Y, Z);
end;

```

<u>Operators</u>		
begin	/	1
integer	/	1
(	///// ///// /	11
)	///// ///// /	11
,	////	4
;	///// ///	8
input	/	1
:=	/////	5
*	//	2
for	/	1
loop	/	1
{	/	1
+	//	2
}	/	1
output	/	1
end	/	1
		----
		48

Unique operators = 16

$N_1$	operators	= 48
$N_2$	operands	= 30
$\eta_1$	unique operators	= 16
$\eta_2$	unique operands	= 7
$\eta$	$= \eta_1 + \eta_2$	= 23
$N$	$= N_1 + N_2$	= 78
$V$	$= N \times \log_2 \eta$	= 353
$D$	$= (\eta_1 / 2) \times (N_2 / \eta_2)$	= 34
$E$	$= D \times V$	= 12,097
$B$	$= V / 3000$	= 0.12
	$= 7.6 (E^{2/3}) (S^{1/3})$	= 0.02

**Halstead Time = 11 min**

```

begin
integer X(3), Y, Z;
input (X(1));
X(2) := X(1) * 2;
X(3) := X(2);
for i:=1,3 loop {
    Y := Y + X(i);
    Z := Z + X(i) * X(i);
}
output (Y, Z);
end;

```

# *Object-Oriented Measures*

*(Chidamber and Kemerer 1994)*

## **CBO (Coupling Between Objects)**

- **number of other classes that a class is coupled to**

## **LCOM (Lack of Cohesion of Methods)**

- **dissimilarities between methods by using attributes used in the methods**

## **NOC (Number of Children)**

- **number classes that directly inherit one class**

### **DIT (Depth of Inheritance)**

- **maximum number of nodes between root and lowest node in the hierarchy**

### **WMC (Weighted Methods per Class)**

- **counting the implemented methods in a class**

### **RFC (Response for a Class)**

- **number of methods a class is accessible to, including methods implemented in own class as well as methods accessible due to inheritance**



# *Similarities and Differences Hardware vs Software Testing*

**Software does not degrade over time.**

**Hardware testers use a variety of fault models at different levels of abstraction.**

- **Similarly, software testers use mutation testing, condition testing, finite-state model based testing, combinatorial designs, etc.**

**Hardware testers use bit patterns.**

**Comprehensive test coverage is impractical for hardware or software testing.**

# *Pareto Charts*

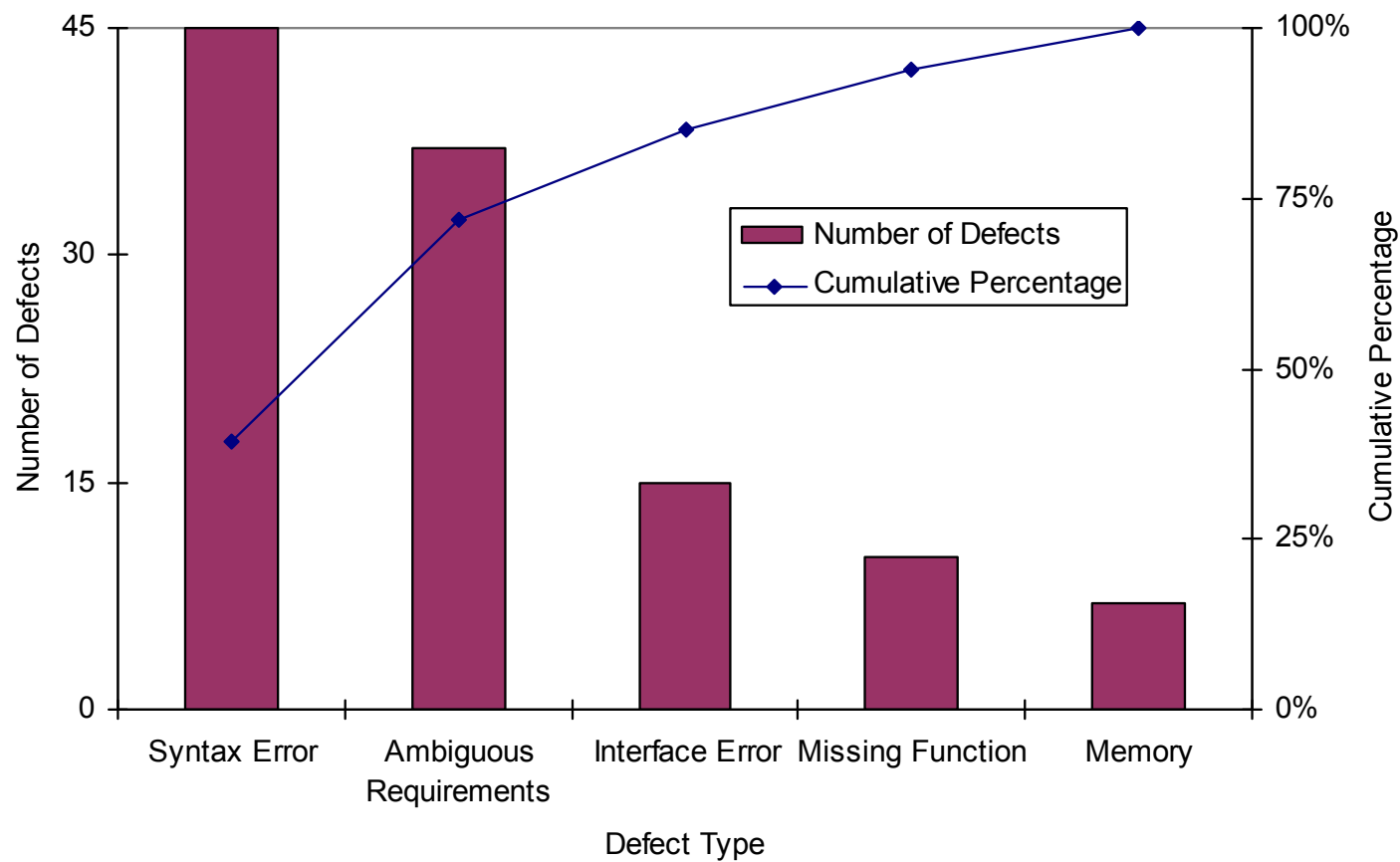
**Special form of a bar chart.**

**Interpretation based on the “80/20 rule.”**

**Help focus investigations by ranking problems, causes, or actions in terms of their amounts, frequency of occurrence, or economic consequences.**

# *Pareto Chart Example*

**Profile of Defects Found in Product XYZ**



# *About Pareto Charts*

**What if the 80/20 rule does not apply?**

**If not, you will see a “flat Pareto.”**

**Possible actions to consider**

- **counting a different attribute, while maintaining the same stratification**
- **re-stratifying - use a different classification scheme**
- **use a different attribute of the process under study**

# *Orthogonal Defect Classification*

## **A taxonomy for defect types**

- **documentation**
- **syntax**
- **build, package**
- **assignment**
- **interface**
- **checking**
- **data**
- **function**
- **system**
- **environment**

*R. Chillarege, I.S. Bhandari, J.K. Chaar, M.J. Halliday, D.S. Moebus, B.K. Ray, and M.Y. Wong, "Orthogonal Defect Classification - A Concept for In-Process Measurements," IEEE Transactions on Software Engineering, November 1992.*

# *Summary – Things to Remember*

**Goal-driven measurement**

**Operational definitions**

**Austin's motivational vs informational measurement**

**McCabe's cyclomatic complexity**

**Pareto charts – 80/20 rule**

# *Questions and Answers*

