

Algorithms

An Introduction

Outline

- **Motivational Example: Steam Sale**
- **What is an algorithm?**
- **How do we assess algorithms?**
- **Sorting Problems**
 - Bubble Sort
 - Merge Sort

Motivational Example

Steam Sale

- **When summer is over**, as usual, online game platform **Steam** launches its seasonal sales. It's time to **give your money to Gabe** (founder of Valve Corp., the chubby dude you saw in video during Week 1)



Steam Sale

- The catch: You can only access the sale once, and your laptop only has 20GB (I know this limit seems ridiculous) of free space to install the games.

	Value (\$)	Size(GB)
Civilization V	190	10
CS:GO	300	15
The Elder Scrolls V	180	10
Torchlight II	20	2
Age of Empires II	35	5
Banished	10	1

Most Valuable First

- What if you just purchase the most valuable games into your inventory?

	Value (\$)	Size(GB)
Civilization V	190	10
CS:GO	300	15
The Elder Scrolls V	180	10
Torchlight II	20	2
Age of Empires II	35	5
Banished	10	1

What would you end up with? (Keep in mind the space limit)

Maximum number of Games

- What if you just purchase as many games as possible?

	Value (\$)	Size(GB)
Civilization V	190	10
CS:GO	300	15
The Elder Scrolls V	180	10
Torchlight II	20	2
Age of Empires II	35	5
Banished	10	1

What would you end up with? (Keep in mind the space limit)

Best Value/Space Ratio

- What if you purchase games with best value for size?

	Value (\$)	Size(GB)
Civilization V	190	10
CS:GO	300	15
The Elder Scrolls V	180	10
Torchlight II	20	2
Age of Empires II	35	5
Banished	10	1

What would you end up with? (Keep in mind the space limit)

What's In Common?

- Though the metric we care about is different each time, the three approaches share a number of steps:
 1. **Decide upon a metric** that is valuable to you (value, count of games, ratio of value to size)
 2. **Sort the items** by your **metric**
 3. Add items to your result until you **hit your constraint**
- They're all **algorithms**
- They're all **greedy**
- They share a common method of **approach**

What Are Our Results?

- Interestingly, they are all different

Filling your 20GB space with the most valuable games:

Total value of items purchased = 335.0

- \$ 300.0, 15.0, CS:GO
- \$ 35.0, 5.0, Age of Empires II

Filling your 20GB space with as many games as possible:

Total value of items purchased = 255.0

- \$ 10.0, 1.0, Banished
- \$ 20.0, 2.0, Torchlight II
- \$ 35.0, 5.0, Age of Empires II
- \$ 190.0, 10.0, Civilization V

Filling your 20GB space with high value/size games:

Total value of items purchased = 330.0

- \$ 300.0, 15.0, CS:GO
- \$ 20.0, 2.0, Torchlight II
- \$ 10.0, 1.0, Banished

- Can we do better?

What Are Our Results?

- Interestingly, they are all different

Filling your 20GB space with the most valuable games:

Total value of items purchased = **335.0**
- \$ 300.0, 15.0, CS:GO
- \$ 35.0, 5.0, Age of Empires II

Filling your 20GB space with as many games as possible:

Total value of items purchased = **255.0**
- \$ 10.0, 1.0, Banished
- \$ 20.0, 2.0, Torchlight II
- \$ 35.0, 5.0, Age of Empires II
- \$ 190.0, 10.0, Civilization V

Filling your 20GB space with high value/size games:

Total value of items purchased = **330.0**
- \$ 300.0, 15.0, CS:GO
- \$ 20.0, 2.0, Torchlight II
- \$ 10.0, 1.0, Banished

- **Can we do better?** Try exhaustive search to find the **highest total value** under our 20G space constraint

The Result: Exhaustive Search

- Is this surprising to you?

Algorithm	Result
Buy the most valuable games	\$335.0
Buy as many games as possible	\$255.0
Buy games w/ best value/size ratio	\$330.0
Buy for highest total value using exhaustive search	\$370.0

Using exhaustive search, total value of games purchased = **370.0**

- \$ 190.0, 10.0, Civilization V
- \$ 180.0, 10.0, The Elder Scrolls V
...

- Our different methods of approach yielded different results
 1. Decide upon a metric that is valuable to you
 2. Sort the items by your metric
 3. Add items to your result until you hit your constraint
- What are some of the trade-offs for each method?
- **Can we formalize our approach to problems like this?**

What is an algorithm?

Algorithms

- Typical definition: an ordered set of executable steps to accomplish a task
- Algorithms are present everywhere
 - Examples:
 - ✓ cooking
 - ✓ daily schedule
 - ✓ walking
 - ✓ etc.

Algorithms

- There are a variety of representations
 - Pseudocode
 - Flow-charts
 - Programs
 - Functions
- **Remember this?**
 1. **Decide upon a metric** that is valuable to you (value, less weight, ratio of value to weight)
 2. **Sort the items** by your metric
 3. Add items to your result until you **hit your constraint**

Algorithms

- Building blocks of algorithms
 - Statements
 - Decision structures (if-else)
 - Repetition structures (for)
 - **Other algorithms (like sort)!**

Algorithms

- **Computer Science is the science of algorithms**
- 9 Algorithms book by John MacCormick- a set of algorithms you use every day, including:
 - Encryption
 - Page rank – web search (typical case: Google search engine)
 - Error correction
- But for now, we'll focus on the problem of **sorting lists**
 - Why? Think of its role in the Steam Sale problem
 - There are algorithms doing exactly the same thing (*Which one is better? What does “better” mean?*)

Sorting

Bubble Sort

- Input: a list of values

6 5 3 1 8 7 2 4

- Output: a sorted list of values

1 2 3 4 5 6 7 8

Bubble Sort: Animation

6 5 3 1 8 7 2 4

How does this work?

- Basic Idea:
 - in a sorted list neighbours are sorted (obvious)
 - Parse the list and swap elements that are not sorted.
 - Repeat until the list is sorted.

Bubble Sort: Pseudocode

```
func bubblesort ( var a as array)
    for i from 2 to N
        for j from 0 to N-2
            if a[j] > a[j+1]
                swap ( a[j], a[j+1] )
    end func
```

Exercise: Implement a function that performs bubble sort on a list of numbers (15-20 minutes)

[22]

Translate pseudocode into a function!

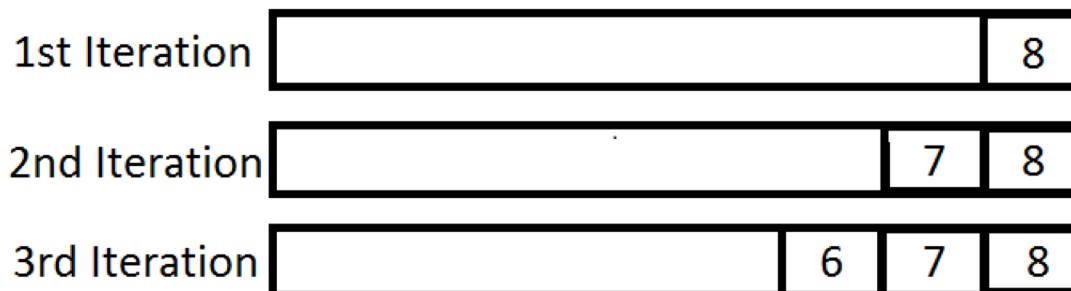
Bubble Sort: Optimizations?

Did we do it “efficiently”?

- Do we always have to make $n - 1$ passes?
 - If no swap has not been done at a certain pass, we can assume the list is already sorted.

Bubble Sort: Optimizations?

- Illustrations



The rest follows...

...

- In each pass you keep track of whether or not any pair of elements was swapped; If there's no swap, you can safely assume the list is sorted.

Bubble Sort: optimized

```
func bubblesort2( var a as array )
    for i from 2 to N
        swaps = 0
        for j from 0 to N - 2
            if a[j] > a[j + 1]
                swap( a[j], a[j + 1] )
                swaps = swaps + 1
            if swaps = 0
                break
    end func
```

Exercise: Implement a function that performs
bubble sort on a list of numbers
Translate pseudocode into a function!

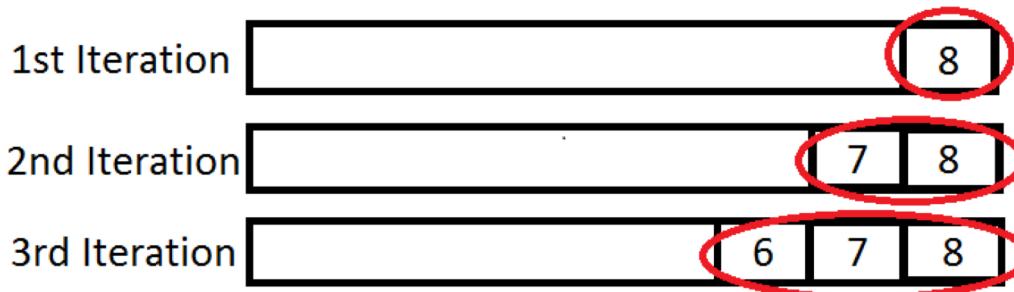
Bubble Sort: Optimizations?

Did we do it “efficiently”?

- Do we always have to make $n - 1$ comparisons per pass?

Bubble Sort: optimized(cont.)

- Can we do better?
 - Once we have placed the largest number at the end of the list, this number won't be moved around anymore.



The rest follows...

...

are fixed...

- We will reveal a further optimized version of bubble sort in the end.

Bubble Sort: optimized(cont.)

- Bubble Sort
 - Further optimized version

```
func bubblesort3( var a as array )
    for i from 1 to N
        swaps = 0
        for j from 0 to N - i
            if a[j] > a[j + 1]
                swap( a[j], a[j + 1] )
                swaps = swaps + 1
            if swaps = 0
                break
    end func
```

- After every current iteration, we cut down the next round of passes by 1, i.e. n passes for the initial iteration, and n-1 for the following iteration, until the last one with just 1 pass.
- Overall, the total passes should be $n*(n+1)/2$

- We have a few lists listed below:
- 1 3 7 9 2 4 6 8
- 11 13 15 17 12 14 16 18
- What's in common among these lists?

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- We have a few lists listed below:
- | | | | | | | | |
|----|----|----|----|----|----|----|----|
| 1 | 3 | 7 | 9 | 2 | 4 | 6 | 8 |
| 11 | 13 | 15 | 17 | 12 | 14 | 16 | 18 |
- What's in common among these lists?
 - These lists are composed by **sorted** sublists.
- We can do better than bubble sort them. *How?*

Merge Sort: Animation

6 5 3 1 8 7 2 4

Merge Sort

- Basic Idea:
 - Merging two sorted lists is trivial
 - Divide list into lists of single elements – which are by nature sorted (*divide and conquer, divide-et-impera*)
 - Merge adjacent lists to form sorted lists
 - Continue with adjacent lists until one list is obtained

1 3 7 9 | 2 4 6 8

Merge two lists

- 1 3 7 9 | 2 4 6 8
 - 1. Make a new empty list $Q = []$
 - 2. Compare the heads
 - 3. Pop the smaller to the Q
 - 4. Go back to 2 until both lists are empty
- Or: $Q = []$, during iteration i , $\min(h1, h2) \rightarrow Q[i]$

Merge Sort: Pseudocode

```
func mergesort( var a as array )
    if ( n == 1 ) return a
    var l1 as arrary = a[0] ... a[n/2]
    var l2 as arrary = a[n/2+1] ... a[n]

    l1 = bubblesort( l1 )
    l2 = bubblesort( l2 )

    return merge( l1, l2 )
end func
```

```
func merge( var a as array, var b as array )
    var c as array

    while ( a and b have elements )
        if ( a[0] > b[0] )
            add b[0] to the end of c
            remove b[0] from b
        else
            add a[0] to the end of c
            remove a[0] from a
        while ( a has elements )
            add a[0] to the end of c
            remove a[0] from a
        while ( b has elements )
            add b[0] to the end of c
            remove b[0] from b
    return c
end func
```

Merge Sort: Pseudocode

```
func mergesort( var a as array )
    if ( n == 1 ) return a
    var l1 as arrary = a[0] ... a[n/2]
    var l2 as arrary = a[n/2+1] ... a[n]

    l1 = bubblesort( l1 )
    l2 = bubblesort( l2 )

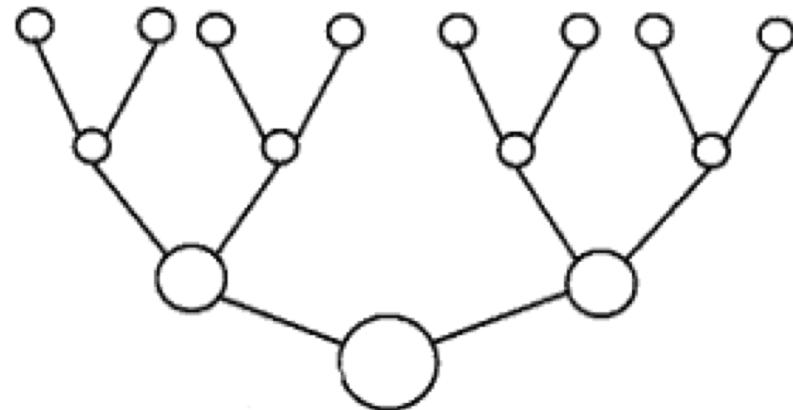
    return merge( l1, l2 )
end func
```

```
11 = mergesort( 11 )
12 = mergesort( 12 )
```

```
func merge( var a as array, var b as array )
    var c as array

    while ( a and b have elements )
        if ( a[0] > b[0] )
            add b[0] to the end of c
            remove b[0] from b
        else
            add a[0] to the end of c
            remove a[0] from a
    while ( a has elements )
        add a[0] to the end of c
        remove a[0] from a
    while ( b has elements )
        add b[0] to the end of c
        remove b[0] from b
    return c
end func
```

How can we visualize the steps?



For layer 1, you have 8 elements.

For layer 2, you cut down the numbers by half and acquire 4 elements.

For layer 3, similarly, 2 elements.

For layer 4, or the last layer, 1 element.

$8 * (1/2)^3 = 1 \rightarrow 2^3 = 8 \rightarrow \log(8) = 3$ (for binary searches, 2 is the natural log base)

General case: **log(n)=x**, x refers to the steps you need to get to the designed element

Analysis: Merge vs. Bubble

- Which sort is better?
 - What does “better” mean?
- Some algorithms are “better” than others
- But in what way?
 - Usability
 - Simplicity / Readability
 - **Time**
 - **Space**
 - ‘Beauty’/elegance

Appendix B

- Bucket sort
 - Divide values into buckets, sort buckets then concatenate them
- Insertion sort
 - Build the sorted list by inserting one element at a time
- Heap sort
 - Uses trees (a type of data structure)
- Quick sort
 - Based upon pivots