

Ni Jiasheng

jn2294

2022-2-6

# Clarifications

---

## Notation

---

The estimation number of float operations are considered to be the basic calculation performed during the program.

- **Addition, subtraction, multiplication, division, root, power** are considered **1** ops. (Although multiplication and division are much more complicated in its basic implementation in machine language, here we consider them to be one float ops for simplification)
- **Function operation**  $f(x) = \cos x - x^3$  contains **3** ops, one for  $\cos$ , one for  $x^3$  and one for subtraction, same logic for  $f'(x)$
- **Function operation**  $f'(x) = -\sin x - 3x^2$  contains **5** steps,  $-\sin x$  is considered as one multiplication and sin operation.  $3x^2$  is considered a  $x^2$  operation and  $3 \times x^2$  multiplication.
- **Comparison** is **not** considered an ops here.
- `self.printHelper()` contains a ops, but we **don't** count it since it is not part of the algorithms themselves. just for printing results.
- `#1` means the number of ops in a particular line of code.

## Code

---

All the codes are done in python 3.8 with anaconda environment

External libraries used are:

- numpy
- matplotlib
- sympy
- scipy

## Run

Type in `python hw1.py` in the console. The results as well as the figure in 1.5 will pop out.

## Problem 1.1

---

- Total number of iteration: 12;
- The root found: 0.865478515625;
- Final Absolute Error: 4.51562500003444e-06;
- The estimated number of floating operation: 120, 10 operations(excluding comparisons) for each iteration.

## Code Snippet

---

```
def bisectionMethod(self, start, end):  
    """  
        Implementation for bisection method  
        :param start: Left endpoint  
        :param end: Right endpoint  
        :return: None  
    """  
  
    print("1.1 Performing bisection method!")  
    iteration = 0  
    while (end-start)/2 > self.TOL: #1  
        iteration += 1  
        mid = (start+end)/2 #1  
        if np.abs(mid - float(self.true)) < self.error: #1  
            self.printHelper(iteration, 1, mid, np.abs(mid -  
float(self.true)), iteration * 6, 6) #0  
            return mid  
        if self.f(self.func, start)*self.f(self.func, mid) < 0: #7  
            end = mid  
        else:  
            start = mid
```

## Problem 1.2

---

- Total number of iteration: 7;
- The root found: 0.8654740525339539;
- Final Absolute Error: 5.253395396476179e-08;
- The estimated number of floating operation: 70, 10 operations(excluding comparisons) for each iteration.

## Code Snippet

```
def newtonMethod(self, max_iter = 10000, initial = 0.3):
    """
        Implementation for newton method
        :param max_iter: To prevent the possible divergence in
the method
        :param initial: x0
        :return: None
    """

    print("1.2 Performing newton method !")

    # g'(x) = -sinx -3x^2
    first_diff_func = diff(self.func, self.x)

    iteration = 0
    t = initial
    while iteration < max_iter:
        iteration+=1
        if(np.abs(t-self.true)) < self.error: #1
            self.printHelper(iteration,1,t,np.abs(t-
self.true),iteration*10,10)
            break

        # x_{i+1} = x_{i} - g(x)/g'(x)
        t = t -
self.f(self.func,t)/self.f(first_diff_func,t) #9
```

## Problem 1.3

- Total number of iteration: 6;
- The root found: 0.8654321018259392;

- Final Absolute Error: 4.189817406075047e-05;
- The estimated number of floating operation: 90, 15 operations(excluding comparisons) for each iteration.

## Code Snippet

```
def secantMethod(self,x0,x1,max_iter=10000):
    """
    Implementation for secant method
    :param x0: initial root
    :param x1: initial root
    :param max_iter: To prevent the possible divergence in
the method
    :return: None
    """

    print("1.3 Performing secant method !")

    iteration = 0
    x0 = x0
    x1 = x1
    while iteration < max_iter:
        iteration+=1
        if(np.abs(x0-self.true)) < self.error: #1
            self.printHelper(iteration,1,x0,np.abs(x0-
self.true),iteration*15,15)
            break

        #  $x_{i+1} = x_i - ((x_i - x_{i-1})f(x_i) / (f(x_i) - f(x_{i-1})))$ 
        x0 = x1 - (x1-
x0)*self.f(self.func,x1)/(self.f(self.func,x1)-
self.f(self.func,x0)) #14
```

## Problem 1.4

Based on  $g(x) = \cos x - x^3$ , we have the following three ways for representation:

$x_n = \cos(x_{n-1}) - x_{n-1}^3$  failed. Maximum iteration reached, the fixed point method diverges !

$x_n = (\cos(x_{n-1}) - x_{n-1})^{(1/3)}$  failed. Maximum iteration reached, the fixed point method diverges !

$x_n = \frac{\cos(x_{n-1})}{(x_{n-1}^2+1)}$  converged!

- Total number of iteration: 166;
- The fixed point found: 0.603566873265286;
- Final Absolute Error: 0.0000468732652861847;
- The estimated number of floating operation: 830, 5 operations(excluding comparisons) for each iteration.

## Code Snippet

```
def fixedPointMethod(self,max_iter=200,initial=0,fixed_point =
0.60352):
    """
        The implementation of fixedPointMethod
        :param max_iter: To prevent the possible divergence in
the method
        :param initial: x0
        :param fixed_point: fc
        :return: None
    """
    print("1.4 Performing fixed point method !")

    iteration = 0
    x = initial

    x1 = symbols('x')
    alternative_func = real_root(cos(x1)-x1,3)

    x2 = symbols('x')
    alternative_func2 = cos(x2)/(x2**2+1)

    while iteration<max_iter:
        iteration+=1
        if(np.abs(x-fixed_point)) < self.error:
            self.printHelper(iteration,0,x,np.abs(x-
fixed_point),iteration*4,4)
        return
```

```

x = self.f(self.func,x)

print("x_{n} = cos(x_{n-1})-x_{n-1}^3 failed. Maximum
iteration reached, the fixed point method diverges !\n ")

x = initial
iteration = 0
while iteration<max_iter:
    iteration+=1
    if(np.abs(x-fixed_point)) < self.error:
        self.printHelper(iteration,0,x,np.abs(x-
fixed_point),iteration*5,5)
        return

x = alternative_func.evalf(subs={x1:x})

print("x_{n} = (cos(x_{n-1})-x_{n-1})^(1/3) failed.
Maximum iteration reached, the fixed point method diverges !\n")

x = initial
iteration = 0
while iteration < max_iter:
    iteration += 1
    if (np.abs(x - fixed_point)) < self.error: #1
        print(
            "x_{n} = cos(x_{n-1})/(x_{n-1}^2+1)
succeeded!\n")
        self.printHelper(iteration, 0, x, np.abs(x -
fixed_point), iteration * 5, 5)
        return

x = alternative_func2.evalf(subs={x1: x}) #4

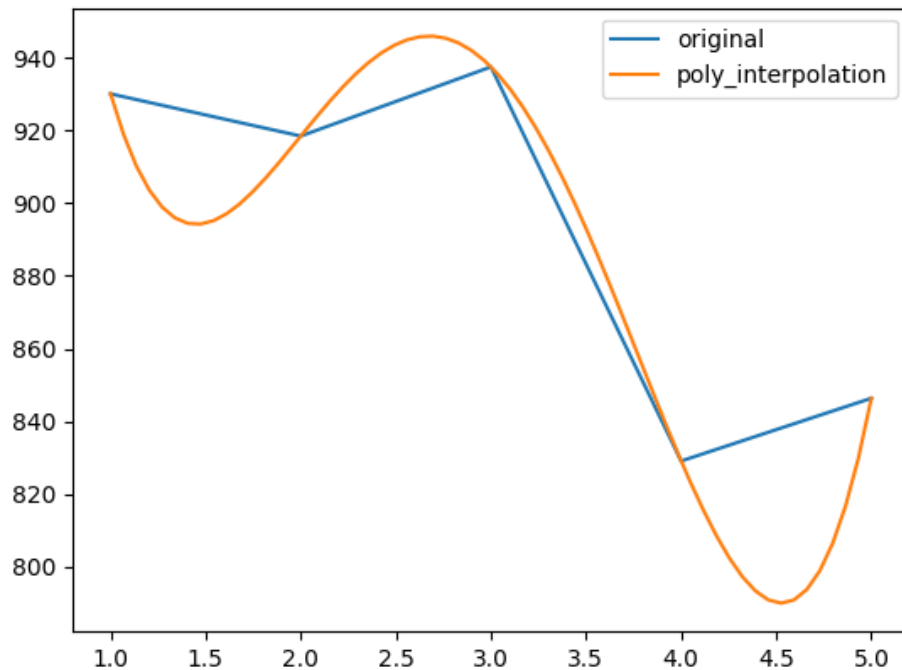
print(
    "x_{n} = cos(x_{n-1})/(x_{n-1}^2+1) failed.
Maximum iteration reached, the fixed point method diverges !\n")

```

## Problem 1.5

The polynomial interpolation takes the form of:

$$P_4(x) = 17.1170833333336x^4 - 197.4925000000003x^3 + 772.332916666677x^2 - 1202.907500000002x + 1540.950000000001$$



## Code Snippet

```
def interpolation(self, data_point, order):  
    """  
        Implementation of polynomial interpolation  
        :param data_point: The key-value pair form of data point  
        :param order: The order of the polynomial interpolation  
        :return: None  
    """  
  
    print("1.5 Performing interpolation !")  
  
    A = self.construct_A(data_point, order)  
    b = self.construct_b(data_point)  
    x = linalg.solve(A, b)  
    x0, func, formatted_func = self.formatPoly(order, x)  
    print("The polynomial interpolation takes the form  
of:\n{}".format(formatted_func))  
  
    self.plotInterpolation(data_point, func, x0)
```

```
def plotInterpolation(self, data_point, func, x0):  
    x_list = [pair[0] for pair in data_point]  
    y_list = [pair[1] for pair in data_point]  
    x_poly = np.linspace(1, 5, 60)  
    y_poly = [float(func.evalf(subs={x0:x})) for x in x_poly]  
    plt.plot(x_list, y_list, label="original")  
    plt.plot(x_poly, y_poly, label="poly_interpolation")  
    plt.legend()  
    plt.show()
```