# CPSC 532P / LING 530A: Deep Learning for Natural Language Processing (DL-NLP)

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# Vanishing and Exploding Gradients

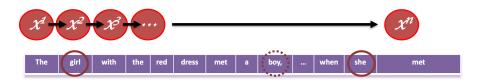


Figure: A very long sequence, modelled with an RNN. Gradients can vanish and we will not know which gender a pronoun should be (male or female, e.g., in an MT task), or to which entity the pronoun refers (boy or girl)

### **Gradient Problems**

- Gradients can explode, in which case we can clip them.
- Gadients can also vanish, which is a more serious problem.

# Solving Long-Term Dependencies

#### Solutions For Gradient Problems

- Long-Short Term Memory (LSTM) networks introduced to solve the problem of long-term dependencies
- Gated Recurrent Units (GRU) (Cho et al., 2014; Chung et al., 2014): Simplification of LSTMs.
- We will introduce GRUs first, as they are simpler
- Some notation for LSTM modified from Andrew Ng, for pedagogical simplicity

# Introducing a Memory Cell



Figure: We will augment the network with a memory cell

#### Gradient Problems

- The memory cell will help us retain information over long sequences
- For example, we can still know we need pronoun she, maintaining the female gender (and retaining the correct reference to "the girl")

# Simple GRU

## 1: Simple GRU Cell

$$z_t = \sigma(W_z.[h_{t-1}, x_t])$$

$$\tilde{h}_t = tanh(Wx.[h_{t-1}, x_t])$$

$$h_t = z_t * \tilde{h}_t + (1 - z_t) * h_{t-1}$$

#### GRU Cells Notation Translation Table

- z: Update gate
- $\tilde{h}$ : New candidate memory
- h<sub>t</sub>: GRU output

## Relevance Gate in GRU

#### 2: GRU Cell

$$z_t = \sigma(W_z.[h_{t-1}, x_t])$$

$$r_t = \sigma(W_r.[h_{t-1}, x_t])$$

$$\tilde{h}_t = \tanh(Wx.[r_t * h_{t-1}, x_t])$$

$$h_t = z_t * \tilde{h}_t + (1 - z_t) * h_{t-1}$$

#### GRU Cells Notation Translation Table

• r: Relevance state (reset gate)

## Bias Added to GRU

#### 3: GRU With Bias

$$z_{t} = \sigma(W_{z}.[h_{t-1}, x_{t}] + b_{z})$$

$$r_{t} = \sigma(W_{r}.[h_{t-1}, x_{t}] + b_{r})$$

$$\tilde{h}_{t} = tanh(Wx.[r_{t} * h_{t-1}, x_{t}] + b_{h})$$

$$h_{t} = z_{t} * \tilde{h}_{t} + (1 - z_{t}) * h_{t-1}$$

### GRU Cells Notation Translation Table

- z: Update gate
- r: Relevance state (reset gate)
- $\tilde{h}$ : New candidate memory
- h<sub>t</sub>: GRU activation (output)

# GRU Update Rule

## 4: Simple GRU Update

$$h_t = z_t * \tilde{h}_t + (1 - z_t) * h_{t-1}$$

#### **GRU Gates**

- z: Update gate is result of a a sigmoid (between 0 and 1)
- **z close to zero**: We multiply by  $\sim$  zero, so we update candidate  $\tilde{h}$  very little (almost keep value of old memory cell  $h_t$ )
- ullet z close to zero: We multiply by  $\sim 1$  and subtract by  $\sim 1$ , so old cell becomes almost equal to candidate)

## How is LSTM Different?

#### 5: Recall: GRU

$$z_t = \sigma(W_z.[h_{t-1}, x_t] + b_z)$$
 $r_t = \sigma(W_r.[h_{t-1}, x_t] + b_r)$ 
 $\tilde{h}_t = tanh(Wx.[r_t * h_{t-1}, x_t] + b_h)$ 
 $h_t = z_t * \tilde{h}_t + (1 - z_t) * h_{t-1}$ 
 $a_t = h_t$ 

## Note

- Cell activation  $a_t$  is the same as  $h_t$ .
- At each step, we start with  $h_t = a_t$ . They are different in LSTM.

## Toward an LSTM

## Changes

- Parts in red will change!
- $a_t \stackrel{!}{=} h_t$  and so we will use  $a_t$
- We will also add new parts: forget gate and output gate . . .

#### 6: Recall: GRU

$$z_t = \sigma(W_z.[h_{t-1}, x_t] + b_z)$$

$$r_t = \sigma(W_r.[h_{t-1}, x_t] + b_r)$$

$$\tilde{h}_t = tanh(Wx.[r_t * h_{t-1}, x_t] + b_h)$$

$$h_t = z_t * \tilde{h}_t + (1 - z_t) * h_{t-1}$$

$$a_t = h_t$$

# Alternative Notation (Simpler)

#### GRU Cells Notation Translation Table

- z: Update state  $\rightarrow \Gamma_u$
- **r**: Relevance state (reset gate)  $\rightarrow \Gamma_r$
- ullet  $ilde{h}$ : New candidate memory cell state o  $ilde{C}_t$
- $h_t$ : GRU output  $\to C_t$

# LSTM: New Candidate Cell $\tilde{h}_t$

# Updating $\tilde{h}_t$

• To acquire  $\tilde{h}_t$ , instead of  $h_{t-1}$ , we use the new  $a_{t-1}$  (since  $a_{t-1}$  is acquired differently than  $h_{t-1}$ , as we explain later)

## 7: New Candidate $\tilde{C}_t$

$$\tilde{h}_t = tanh(W_x.[a_{t-1}, x_t])$$

# LSTM: New Candidate Cell $\tilde{C}_t$ (New Notation)

# Updating $\tilde{C}_t$

• To acquire  $\tilde{C}_t$ , instead of  $C_{t-1}$ , we use the new  $a_{t-1}$  (since  $a_{t-1}$  is acquired differently than  $C_{t-1}$ , as we explain later)

## 8: New Candidate $\tilde{C}_t$

$$\tilde{C}_t = tanh(W_c.[a_{t-1}, x_t])$$

# LSTM: Update and Forget Gates

## Changes

- We will not use an relevance gate  $(\Gamma_r)$
- Instead of using one update gate  $\Gamma_u$ , we will use **two gates** to control cell content:  $\Gamma_u$  (update gate), sometimes called *input gate*  $f_i$ , and  $\Gamma_f$  (forget gate)
- Forget gate will give the new memory cell  $C_t$  the option to keep or forget the old cell  $(C_{t-1})$ , but just add to it via update gate  $(\Gamma_u)$

#### 9: LSTM

$$\Gamma_{u} = \sigma(W_{u}.[a_{t-1}, x_{t}])$$

$$\Gamma_{f} = \sigma(W_{f}.[a_{t-1}, x_{t}])$$

$$C_{t} = \Gamma_{u} * \tilde{C}_{t} + \Gamma_{f} * C_{t-1}$$

# LSTM: Output Gate

## Output Gate

- As mentioned, we will use an **output gate**  $(\Gamma_o)$
- Output gate will enable us to update our  $a_t$  via element-wise multiplication by  $\Gamma_o$

### 10: Output Gate

$$\Gamma_o = \sigma(W_o.[a_{t-1}, x_t])$$
 $a_t = \Gamma_o * tanh(C_t)$ 

# LSTM: Putting it All Together

#### 11: LSTM

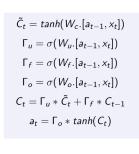
$$ilde{C}_t = tanh(W_c.[a_{t-1}, x_t])$$
 $\Gamma_u = \sigma(W_u.[a_{t-1}, x_t])$ 
 $\Gamma_f = \sigma(W_f.[a_{t-1}, x_t])$ 
 $\Gamma_o = \sigma(W_o.[a_{t-1}, x_t])$ 
 $C_t = \Gamma_u * \tilde{C}_t + \Gamma_f * C_{t-1}$ 
 $a_t = \Gamma_o * tanh(C_t)$ 

# LSTM: Putting it All Together, With Bias

#### 12: **LSTM**

$$ilde{C}_t = tanh(W_c.[a_{t-1}, x_t] + b_c)$$
 $\Gamma_u = \sigma(W_u.[a_{t-1}, x_t] + b_u)$ 
 $\Gamma_f = \sigma(W_f.[a_{t-1}, x_t] + b_f)$ 
 $\Gamma_o = \sigma(W_o.[a_{t-1}, x_t] + b_o)$ 
 $C_t = \Gamma_u * \tilde{C}_t + \Gamma_f * C_{t-1}$ 
 $a_t = \Gamma_o * tanh(C_t)$ 

## LSTM Schematic Illustrated



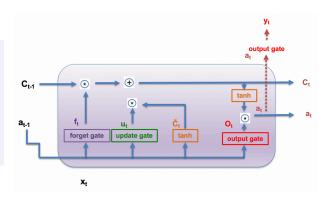


Figure: LSTM cell. [Inspired by Chris Olah]

# Stacking LSTM Cells

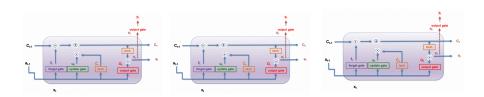


Figure: LSTM cell.s stacked. Note: Each cell will need new-indexing (not shown in the Figure)