MODIFICATION OF VALIANT'S PARSING ALGORITHM FOR STRING-SEARCHING PROBLEM

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Abstract. Some string-matching problems can be reduced to parsing: verification whether some sequence can be derived in the given grammar. To apply parser-based solutions to such area as bioinformatics, one needs to improve parsing techniques so that the processing of large amounts of data was possible. The most asymptotically efficient parsing algorithm that can be applied to any context-free grammar is a matrix-based algorithm proposed by Valiant. This paper presents a modification of the Valiant's algorithm, which facilitates efficient utilization of modern hardware in highly-parallel implementation. Moreover, the modified version significantly decreases the number of excessive computations, accelerating the search of substrings.

1 Introduction

The secondary structure of such genomic sequences as RNAs is tightly related to biological functions of organisms. The analysis of the secondary structure of genomic sequences plays an important role in organisms classification and recognition problems.

Specific features of secondary structure can be described by some context-free grammar (CFG). There is a number of approaches to sequences analysis based on parsing: verification whether the given sequence can be derived in the specified grammar. Some approaches to secondary structure analysis model a string with correlated symbols with probabilistic formal grammars [1, 2]. For some problems, it is necessary to find all derivable substrings of the given string [3]. This case is the string-matching problem also known as string-searching problem.

Most CFG-based approaches suffer the same issue: the computational complexity is poor. Traditionally used CYK [4, 5] runs with a cubic time complexity and demonstrates poor performance on long strings or big grammars [6]. We argue that more efficient algorithms are needed in such field as bioinformatics where a large amount of data is common. In some cases, context-free grammars are not expressive enough. Fortunately, some features can be expressed with more exotic grammar classes: for example, pseudoknots can be described by using conjunctive grammars [9], while it is impossible by using context-free one.

Asymptotically most efficient parsing algorithm is Valiant's algorithm [7] which is based on matrix multiplication. Okhotin generalized this algorithm to conjunctive and Boolean grammars which are the natural extensions of CFG which have more expressive power [8]. Valiant's algorithm simplifies the utilization of parallel techniques to improve performance by offloading critical computations onto matrices multiplication. However, this algorithm is not suitable for the string-matching problem.

In this paper we present the modification of Valiant's algorithm, which improves utilization of GPGPU and parallel computations by computing some matrices products concurrently. Also, the proposed algorithm can be easily utilized for the string-matching problem.

2 Formal languges

In this section we introduce some basic definitions from the formal language theory, and describe Valiant's parsing algorithm on which we base our solution.

An alphabet Σ is a finite nonempty set of symbols. Σ^* is a set of all finite strings over Σ. A contex-free grammar G_S is a quadruple (Σ, N, R, S), where Σ is a finite set of terminals, N is a finite set of nonterminals, R is a finite set of productions of the form $A \to \beta$, where $\Sigma \cap N = \varnothing$, $A \in N, \beta \in V^*$, $V = \Sigma \cup N$ and $S \in N$ is a start symbol. Context-free grammar $G_S = (\Sigma, N, R, S)$ is said to be in Chomsky normal form if all productions in R are of the form: $A \to BC$, $A \to a$, or $S \to \varepsilon$, where $A, B, C \in N, a \in \Sigma, \varepsilon$ is an empty string. $L_G(S) = \{\omega | S \xrightarrow[G_S]{*} \omega\}$ is a language specified by the grammar $G_S = (\Sigma, N, R, S)$, where $A \xrightarrow[G_S]{*} \omega$ means that ω can be derived in a finite number of rules applications from the start symbol S.

2.1 *Valiant's parsing algorithm*

Tabular parsing algorithms construct a matrix T, cells of which are filled with nonterminals from which the corresponding substring can be derived. These algorithms are usually work with the grammar in Chomsky normal form. For $G_S = (\Sigma, N, R, S)$, $T_{i,j} = \{A | A \in N, a_{i+1} \dots a_j \in L_G(A)\} \quad \forall i < j$.

The elements of T are filled successively starting with $T_{i-1,i} = \{A | A \to a_i \in R\}$. Then, $T_{i,j} = f(P_{i,j})$, where $P_{i,j} = \bigcup_{k=i+1}^{j-1} T_{i,k} \times T_{k,j}$ and $f(P) = \{A | \exists A \to BC \in R : (B, C) \in P\}$. Finally, the input string $a_1 a_2 \dots a_n$ belongs to $L_G(S)$ iff $S \in T_{0,n}$.

If all cells are filled sequentially, the time complexity of this algorithm is $O(n^3)$. Valiant proposed to offload the most intensive computations to the Boolean matrix multiplication. As the most time-consuming is computing $\bigcup_{k=i+1}^{j-1} T_{i,k} \times T_{k,j}$, Valiant's idea is to compute $T_{i,j}$ by multiplication of submatrices of T. Multiplication of two submatrices of parsing table T is defined as follows. Let $X \in (2^N)^{m \times l}$ and $Y \in (2^N)^{l \times n}$ be two submatrices of the parsing table T. Then, $X \times Y = Z$, where $Z \in (2^{N \times N})^{m \times n}$ and $Z_{i,j} = \bigcup_{k=1}^{l} X_{i,k} \times Y_{k,j}$.

Note that the computation of $X \times Y$ can be replaced by the multiplication of $|N|^2$ Boolean matrices (for each nonterminal pair). Denote the matrix corresponding to the pair $(B, C) \in N \times N$ as $Z^{(B,C)}$, then $Z^{(B,C)}_{i,j} = 1$ iff $(B, C) \in Z_{i,j}$. It should also be noted that $Z^{(B,C)} = X^B \times Y^C$. Each Boolean matrix multiplication can be computed independently. Following these changes, time complexity of this algorithm is $O(|G| BMM(n) log(n))$ for an input string of length n, where $BMM(n)$ is the number of operations needed to multiply two Boolean matrices of size $n \times n$.

Valiant's algorithm written as proposed by Okhotin is presented in listing 1. All elements of T and P are initialized by empty sets. Then, the elements of these two table are successively filled by two recursive procedures.

The procedure $compute(l, m)$ computes correct values of $T_{i,j}$ for all $l \leq i < j < m$.

The procedure $complete(l, m, l', m')$ constructs the submatrix $T_{i,j}$ for all $l \leq i < m$, $l' \leq j < m'$. This procedure assumes $T_{i,j}$ for all $l \leq i < j < m, l' \leq i < j < m'$ are already constructed and the current value of $P[i, j] = \{(B, C) | \exists k, (m \leq k < l'), a_{i+1} \dots a_k \in L(B), a_{k+1} \dots a_j \in L(C)\}$ for all $l \leq i < m, l' \leq j < m'$. The

Listing 1: Parsing by Matrix Multiplication: Valiant's Version

Input: Grammar $G = (\Sigma, N, R, S), w = a_1 \dots a_n, n \geq 1, a_i \in \Sigma$, where $n + 1 = 2^k$

main():
compute(0, n + 1);
accept if and only if $S \in T_{0,n}$

compute(*l, m*):
if $m - l \geq 4$ **then**
    compute(l, $\frac{l+m}{2}$*);*
    compute($\frac{l+m}{2}$*, m)*
complete(l, $\frac{l+m}{2}$*,* $\frac{l+m}{2}$*, m)*

complete(*l, m,* l'*,* m'):
if $m - l = 4$ *and* $m = l'$ **then** $T_{l,l+1} = \{A | A \to a_{l+1} \in R\}$;
else if $m - l = 1$ *and* $m < l'$ **then** $T_{l,l'} = f(P_{l,l'})$;
else if $m - l > 1$ **then**
    $leftgrounded = (l, \frac{l+m}{2}, \frac{l+m}{2}, m), rightgrounded = (l', \frac{l'+m'}{2}, \frac{l'+m'}{2}, m'),$
    $bottom = (\frac{l+m}{2}, m, l', \frac{l'+m'}{2}), left = (l, \frac{l+m}{2}, l', \frac{l'+m'}{2}),$
    $right = (\frac{l+m}{2}, m, \frac{l'+m'}{2}, m'), top = (l, \frac{l+m}{2}, \frac{l'+m'}{2}, m');$
    complete(bottom);
    $P_{left} = P_{left} \cup (T_{leftgrounded} \times T_{bottom})$;
    complete(left);
    $P_{right} = P_{right} \cup (T_{bottom} \times T_{rightgrounded})$;
    complete(right);
    $P_{top} = P_{top} \cup (T_{leftgrounded} \times T_{right})$;
    $P_{top} = P_{top} \cup (T_{left} \times T_{rightgrounded})$;
    complete(top)

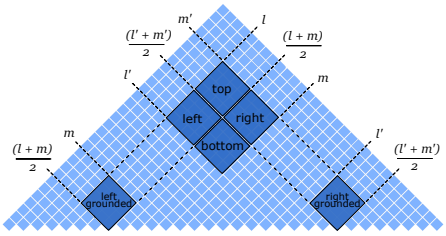

Figure 1: Matrix partition used in procedure *complete(l, m, l', m')*

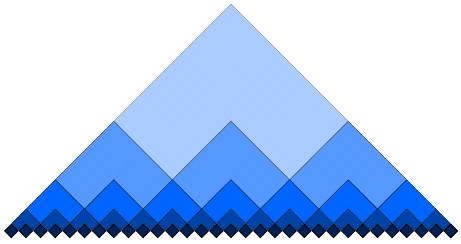

Figure 2: Matrix partition on V-shaped layers used in modification

submatrix partition during the procedure call is shown in figure 2.

A simple example of parsing with the Valiant's algorithm is presented in figure 3. Only several steps are shown, but it is enough to compare our version with the original algorithm.

3 Modified Valiant's algorithm

In this section, we propose how to rearrange the order in which submatrices are processed in the algorithm. The different order improves the independence of submatrices handling and facilitates the implementation of parallel submatrix processing.

3.1 *Layered submatrices processing*

We propose to divide the parsing table into layers of disjoint submatrices of the same size (see figure 2). Such division is possible because the derivation of a substring of the fixed length does not depend on either left or right contexts. An appropriate order of substrings processing guarantees the disjointness of submatrices which form a

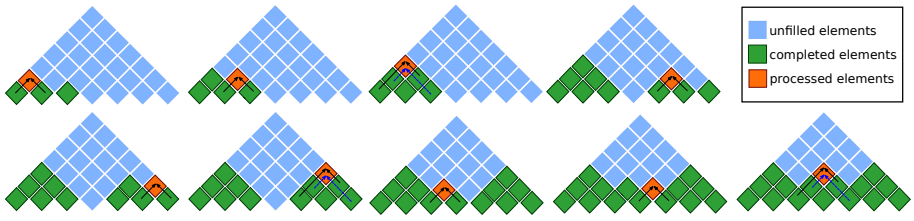

Figure 3: An example of beginning of Valiant's algorithm

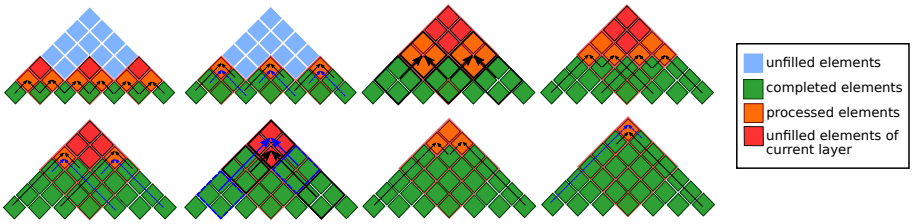

Figure 4: An example of the modification of Valiant's algorithm

layer. Each layer consists of square matrices which size is a power of 2. The layers are computed successively in the bottom-up order. Each matrix in the layer can be handled independently, which facilitates parallelization of layer processing.

Figure 4 demonstrates the modified algorithm. The lowest layer (submatrices of size 1) has already been computed. The second layer is filled in in the steps 1-2. Although the original algorithm computes the same matrix, the modified one needs only two steps using parallel computation of submatrix products.

The modified version of Valiant's algorithm is presented in listing 2. The procedure *main()* computes the lowest layer $(T_{l,l+1})$, and then divides the table into layers, and computes them with the *completeVLayer()* function. Thus, *main()* computes all elements of parsing table T.

We define *left(subm)*, *right(subm)*, *top(subm)*, *bottom(subm)*, *rightgrounded(subm)* and *leftgrounded(subm)* functions which return the submatrices for matrix $subm = (l, m, l', m')$ according to the original Valiant's algorithm (figure 2).

The procedure *completeVLayer(M)* takes an array of disjoint submatrices M which represents a layer. For each *subm = (l, m, l', m')* $\in M$ this procedure computes *left(subm), right(subm), top(subm)*. The procedure assumes that the elements of *bottom(subm)* and $T_{i,j}$ for all i and j such that $l \leq i < j < m$ and $l' \leq i < j < m'$ are already constructed. Also it is assumed that the current value of $P_{i,j} = \{(B, C) | \exists k, (m \leq k < l'), a_{i+1} \dots a_k \in L_G(B), a_{k+1} \dots a_j \in L_G(C)\}$ for all i and j such that $l \leq i < m$ and $l' \leq j < m'$.

The procedure *completeLayer(M)* also takes an array of disjoint submatrices M, but unlike the previous one, it computes $T_{i,j}$ for all $(i, j) \in subm$. This procedure requires exactly the same assumptions on $T_{i,j}$ and $P_{i,j}$ as in the previous case.

In other words, *completeVLayer(M)* computes the entire layer *M* and *completeLayer*(M_2) is a helper function which is necessary for computation of smaller square submatrices $subm_2 \in M_2$ inside of *M*.

Finally, the procedure *performMultiplication(tasks)*, where *tasks* is an array of triples of submatrices, performs the basic step of the algorithm: matrix multiplication. It is worth mentioning that $|tasks| \geq 1$ and each task can be computed independently, while the original algorithm handles one *task* per step sequentially. So, the practical implementation of this procedure can easily utilize different techniques of parallel array processing, such as OpenMP.

3.2 *Algorithm for substrings*

Next, we show how our modification can be applied to the string-matching problem.

Listing 2: Parsing by Matrix Multiplication: Modified Version

Input: $G = (\Sigma, N, R, S), w = a_1 \dots a_n, n \geq 1, n+1 = 2^p, a_i \in \Sigma$

main():
for $l \in \{1, \dots, n\}$ **do** $T_{l,l+1} = \{A | A \to a_{l+1} \in R\}$;
for $1 \leq i < p-1$ **do**
    layer = constructLayer(i);
    completeVLayer(layer)
accept if and only if $S \in T_{0,n}$

constructLayer(i):

$\{(k2^i, (k+1)2^i, (k+1)2^i, (k+2)2^i) \,|\, 0 \leq k < 2^{p-i} - 1\}$

completeLayer(M):

if $\forall (l, m, l', m') \in M \quad (m - l = 1)$ **then**
    for $(l, m, l', m') \in M$ **do** $T_{l,l'} = f(P_{l,l'})$;
else
    completeLayer($\{$*bottom(subm)* $|$ *subm* $\in M\}$);
    completeVLayer(M)

completeVLayer(M):

multiplicationTasks$_1$ =
$\{$*left(subm), leftgrounded(subm), bottom(subm)* $|$ *subm* $\in M\} \cup$
$\{$*right(subm), bottom(subm), rightgrounded(subm)* $|$ *subm* $\in M\}$;

multiplicationTask$_2$ = $\{$*top(subm), leftgrounded(subm), right(subm)* $|$ *subm* $\in M\}$;

multiplicationTask$_3$ = $\{$*top(subm), left(subm), rightgrounded(subm)* $|$ *subm* $\in M\}$;

performMultiplications(multiplicationTask$_1$*)*;
completeLayer($\{$*left(subm)* $|\, subm \in M\} \cup \{$*right(subm)* $|$ *subm* $\in M\}$*)*;
performMultiplications(multiplicationTask$_2$*)*;
performMultiplications(multiplicationTask$_3$*)*;
completeLayer($\{top(subm) \,|\, subm \in M\}$*)*

performMultiplication(tasks):
for $(m, m1, m2) \in$ *tasks* **do** $P_m = P_m \cup (T_{m1} \times T_{m2})$;

To find all substrings of size s, which can be derived from a start symbol for an input string of size $n = 2^p$, we need to compute layers with submatrices of size not greater than $2^{l'}$, where $2^{l'-2} < s \leq 2^{l'-1}$.

Let $l' = p - (m-2)$ and consequently $(m-2) = p - l'$. For any $m \leq i \leq p$ products of submatrices of size 2^{p-i} are calculated exactly $2^{2i-1} - 2^i$ times and each of them imply multiplying $\mathcal{O}(|G|)$ Boolean submatrices.

$$C \sum_{i=m}^{p} 2^{2i-1} \cdot 2^{\omega(p-i)} \cdot f(2^{p-i}) = C \cdot 2^{\omega l'} \sum_{i=2}^{l'} 2^{(2-\omega)i} \cdot 2^{2(p-l')-1} \cdot f(2^{l'-i}) \leq$$
$$C \cdot 2^{\omega l'} f(2^{l'}) \cdot 2^{2(p-l')-1} \sum_{i=2}^{l'} 2^{(2-\omega)i} = BMM(2^{l'}) \cdot 2^{2(p-l')-1} \sum_{i=2}^{l'} 2^{(2-\omega)i}$$

Thus, time complexity for searching all substrings is $O(|G|BMM(2^{l'})(l'-1))$, while time complexity for the full input string is $O(|G|BMM(2^p)(p-1))$. The Valiant's algorithm completely calculate at least 2 triangle submatrices of size $\frac{n}{2}$, as shown in figure 5, thus the minimum asymptotic complexity is $O(|G|BMM(2^{p-1})(p-2))$. Thus we can conclude that the modification is asymptotically faster than the original algorithm for substrings of size $s \ll n$.

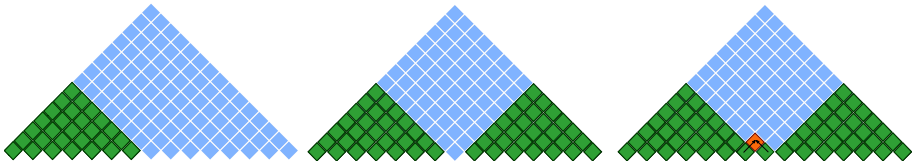

Figure 5: The number of elements necessary to compute in Valiant's algorithm. It is necessary to calculate at least 2 triangle submatrices of size $\frac{n}{2}$.

4 Conclusion

We presented the modification of Valiant's algorithm, which makes it possible to use parallel computations more efficiently. Also we showed its applicability to the string-matching problem with which the original algorithm was not able to work.

The directions for future research are high-performance implementation using GPGPU or other parallel techniques and its evaluation on the real-world data.

We also plan to extend the proposed algorithm for conjunctive and boolean grammars handling. It will be useful for complex secondary structure features processing.

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