



# Automatic Goal Generation for Reinforcement Learning Agents

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# The problem

- In general RL frameworks the main objective is to find a policy  $\pi(a_t|s_t)$  that maximizes the expected future return.
- In this problem, instead of maximizing the return over a single reward function we want to analyze the situation in which we have a range of reward functions  $r^g$  indexed with a goal  $g \in \mathcal{G}$ .
- A goal is defined as a set of states  $\mathcal{S}^g \subset \mathcal{S}$ . The reward function associated to this goal is

$$r^g(s_t, a_t, s_{t+1}) = \mathbb{1}\{s_{t+1} \in \mathcal{S}^g\}$$

- We will consider  $\mathcal{S}^g = \{s \in \mathcal{S} : d(f(s), g) \leq \epsilon\}$  where  $f$  projects the states in the goal space  $\mathcal{G}$  and  $d$  is a distance in this space.

- Given  $g$  we consider a Markov Decision Process that terminates whenever  $s_t \in \mathcal{S}^g$ . We then consider the return to be  $R^g = \sum_{t=0}^T r_t^g$ . This is actually a binary random variable and indicates whether the agent is able to reach a state close enough to the goal in at maximum  $T$  time steps.
- The policy is also  $g$  dependent:  $\pi(a_t|s_t, g)$  and the expected return for a goal is

$$\begin{aligned} R^g(\pi) &= \mathbb{E}_{\pi(\cdot|s_t, g)} [\mathbb{1}\{\exists t \in [1, \dots, T] : s_t \in \mathcal{S}^g\}] \\ &= \mathbb{P}(\exists t \in [1, \dots, T] : s_t \in \mathcal{S}^g) \end{aligned}$$

- We then assume to have a test distribution over goals  $p_g$  so that our objective is to maximize the expected mean return over goals obtaining the policy

$$\pi^*(a_t|s_t, g) = \arg \max_{\pi} \mathbb{E}_{g \sim p_g(\cdot)} [R^g(\pi)]$$

which is indeed the average probability of success over all possible goals (w.r.t.  $p_g$ ).

Three main assumptions:

- A policy learned from enough goals in specific area of  $\mathcal{G}$  can learn to interpolate well for other goals in this area.
- A policy trained on some set of goals is a good initialization for other goals that are close enough.
- If a goal is reachable, there is a policy that can reach it consistently.

# The main catch: goals of intermediate difficulty generation

- We want to train our agent gradually. At each iteration of policy training we don't want the agent to work on goals that it can barely reach or that are too easy (other than avoiding catastrophic forgetting).
- How do we define hard and easy goals? Introduce the set of **Goals of intermediate difficulty** (for the  $i$ -th iteration):

$$GOID_i := \{g : R_{\min} \leq R^g(\pi_i) \leq R_{\max}\}$$

that is the goals which probability to be reached in at most  $T$  time steps with the current policy  $\pi_i$  is in the range  $[R_{\min}, R_{\max}]$ .

- We then want a way to generate new goals in this set in a efficient way. This is done using a Generative Adversarial Network.
- To train the GAN we first label the goals used in the last iteration of training 1 if they are in  $GOID_i$  and 0 otherwise. This is done by policy evaluation.

# The main algorithm

- Initialize the policy, and empty set of old goals  $goals_{old}$  and a Generative Adversarial Network (more on this later).
- for  $N$  total goal learning iterations:
  - 1 sample noise  $z$  from some distribution  $p_z$ .
  - 2 Set the new goals,  $\frac{2}{3}$  generated by the generator of the GAN using the  $z$  noise and  $\frac{1}{3}$  taken from  $goals_{old}$ .
  - 3 Update the policy to  $\pi_i$  using the goals above.
  - 4 Label the goals used.
  - 5 Train the GAN using the above goals and their labels
  - 6 Merge the old goals with the new reachable ones.



Carlos Florensa, David Held, Xinyang Geng, and Pieter Abbeel.  
Automatic goal generation for reinforcement learning agents,  
2017.

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