

General tips for computing and plotting discrete fourier transforms (DFT)

- Let $g(t)$ be some time signal that's sampled at dt to get a discrete array/list `g = [...]`
- You don't need to code your own DFT, use numpy: `A = numpy.fft.fft(g) * dt`
- You should also use `numpy.fft.fftshift(A)` to shift the fft output such that the 0-frequency component is centered (see why here <https://docs.scipy.org/doc/numpy/reference/routines.fft.html#background-information>) which you probably want when plotting
- You can use `f_axis = numpy.fft.fftshift(numpy.fft.fftfreq(len(g), dt))` to create the frequency axis for plotting the shifted spectrum
- Keep in mind the fft output is in general complex, so to compare two fourier transforms (e.g. DFT vs CFT) you should compare either the real and imaginary parts ($z = x + iy$), or the phase and amplitude ($z = re^{i\theta}$). Amplitude plots are most useful for this lab, show all 4 aspects though if you want.
- Note numpy fft assumes the time signal starts at $t = 0$, if yours doesn't you should center it at zero. If you don't then the complex components (x and y, or phase) will be off, but the amplitude should not change (why? analytically, recall that axis shifts in either domain are equivalent to complex exponential scaling, which has amplitude 1, in the other domain).
- If your time signal g is centered at zero, a hack to 'rotate it' to start at zero (and then take the fft and fftshift that) is to do: `fftshift(fft(ifftshift(g)))` (you may see mention of this online)

Fourier transform of Gaussian Functions (6 pts)

A common function used for the convolution of time series data is the Gaussian function

$$g(t) = \frac{1}{\sqrt{\pi}t_H} e^{-(t/t_H)^2},$$

where t_H is the half duration.

1. Plot $g(t)$ for $t_H = 5$ and $t_H = 15$ sec on the same graph with domain $[-50, 50]$ and $dt = 10^{-3}$.

In [105...

```
# Question 2.1  
  
import numpy as np  
import matplotlib.pyplot as plt
```

```

# Gaussian Function
def gaussian(t, t_H):
    return np.exp(-(t/t_H)**2) / (np.sqrt(np.pi) * t_H)

# Defining variables
dt = 0.001
t_H1 = 5
t_H2 = 15

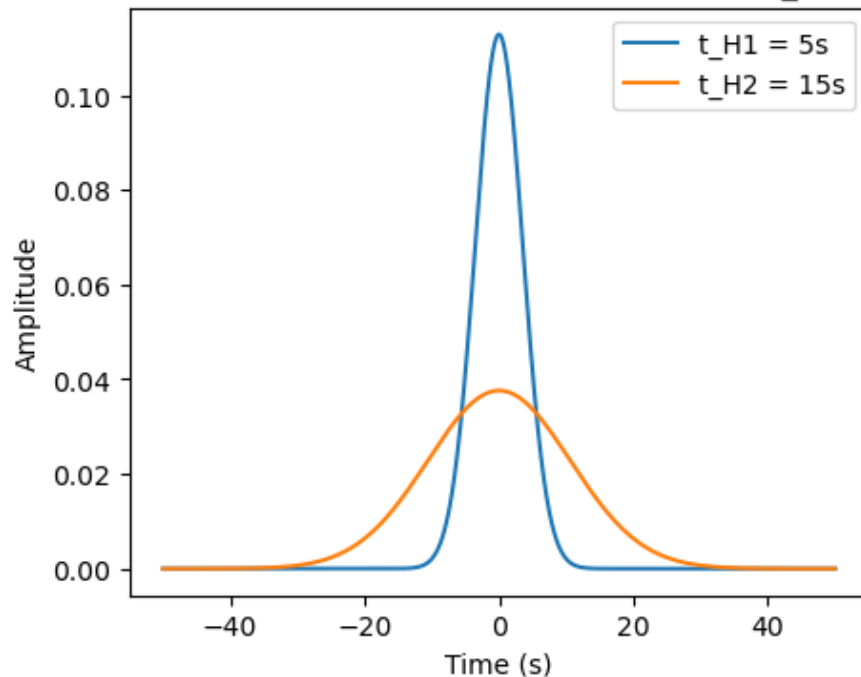
# Define the time domain x-axis
t = np.arange(-50, 50, dt)

# Calculate the Gaussian Functions
g1 = gaussian(t, t_H1)
g2 = gaussian(t, t_H2)

# Graphing
plt.figure(figsize=(5, 4))
plt.plot(t, g1, label="t_H1 = 5s")
plt.plot(t, g2, label="t_H2 = 15s")
plt.xlabel("Time (s)")
plt.ylabel("Amplitude")
plt.title("Differences Between the Gaussian Function g(t) When t_H=5s and t_H=15s")
plt.legend()
plt.show()

```

Differences Between the Gaussian Function $g(t)$ When $t_H=5s$ and $t_H=15s$



1. The analytical formula for the Fourier transform of $g(t)$ is

$$G(\omega) = e^{\frac{-\omega^2 t_H^2}{4}}.$$

Compute the discrete Fourier transform (DFT) for both sampled $g(t)$ time series, and compare them to the analytical $G(\omega)$ for both t_H 's on the same graph.

Hints:

- As numpy fft assumes signal starts from time 0, you can use the shift property of Fourier transform to first shift the $g(t)$ to start from zero, and after `fftshift(fft())` operations, multiply the spectrum by complex exponential sinusoid function.
- You need to sample the theoretical curve $G(w)$ with `w_axis = 2*pi*f_axis`, or else rewrite it as $G(f = \frac{w}{2\pi})$ if you'd rather sample it with `f_axis`
- As a guide (so you can be confident of your fft utilization for the remainder of the lab), we expect that the amplitudes (use `numpy.abs(...)`) of the discrete FT and the continuous FT essentially match. The phase won't necessarily match.

```
In [106... # Question 1.2

import numpy as np
import matplotlib.pyplot as plt
import math

# g1 and g2 are only the Gaussian functions from part 1

# shift the Gaussian functions to start at time 0
g1_shift = np.roll(g1, math.floor(len(g1)/2))
g2_shift = np.roll(g2, math.floor(len(g2)/2))

# Computing the DFT of the shifted Gaussian functions
G1 = np.fft.fftshift(np.fft.fft(g1_shift))
G2 = np.fft.fftshift(np.fft.fft(g2_shift))

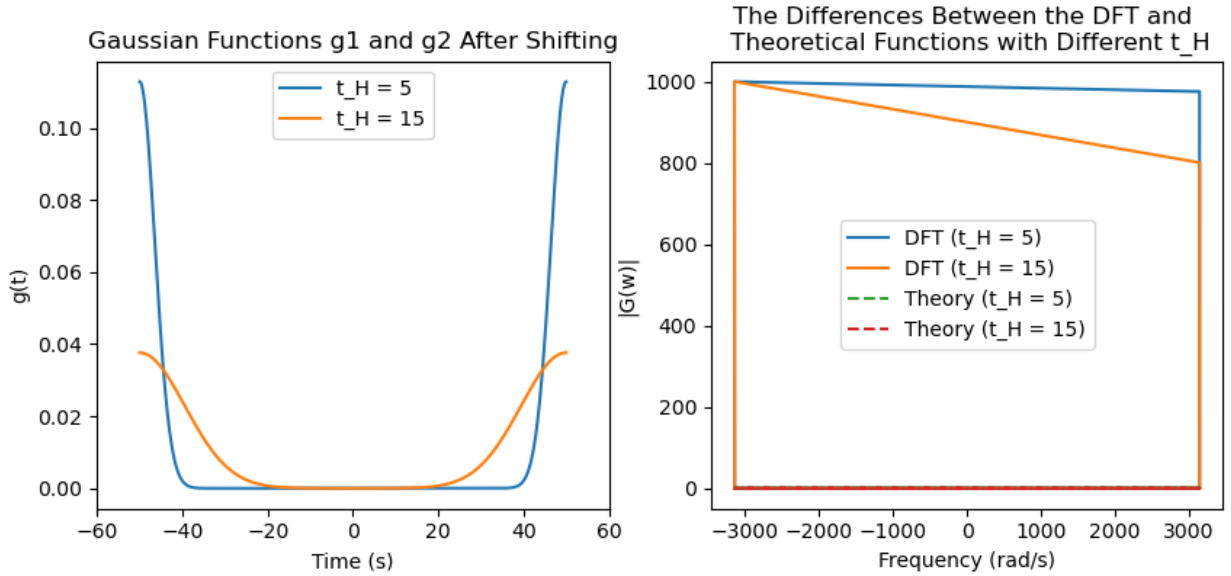
# Computing the analytical Fourier transforms
f_axis = np.fft.fftfreq(len(t), d=0.001)
w_axis = 2 * np.pi * f_axis
G1_theoretical = np.exp(-(w_axis**2 * t_H1**2) / 4)
G2_theoretical = np.exp(-(w_axis**2 * t_H2**2) / 4)

# Graphing
plt.figure(figsize=(10, 4))

plt.subplot(1, 2, 1)
plt.plot(t, g1_shift, label='t_H = 5')
plt.plot(t, g2_shift, label='t_H = 15')
plt.xlim(-60,60)
plt.xlabel('Time (s)')
plt.ylabel('g(t)')
plt.legend()
plt.title("Gaussian Functions g1 and g2 After Shifting")

plt.subplot(1, 2, 2)
plt.plot(w_axis, np.abs(G1), label='DFT (t_H = 5)')
plt.plot(w_axis, np.abs(G2), label='DFT (t_H = 15)')
plt.plot(w_axis, np.abs(G1_theoretical), '--', label='Theory (t_H = 5)')
plt.plot(w_axis, np.abs(G2_theoretical), '--', label='Theory (t_H = 15)')
plt.xlabel('Frequency (rad/s)')
plt.ylabel('|G(w)|')
plt.legend()
plt.title("The Differences Between the DFT and \n Theoretical Functions with Di
```

```
plt.show()
```



1. Comment on the effect of filtering a general input time function $f(t)$ by $g(t)$ (i.e. convolution of $f(t)$ with $g(t)$), and explain the difference in filtered output after applying Gaussian functions with $t_H = 5$ or 15 secs.

When we are getting the convolution of $f(t)$ with $g(t)$, we are basically applying a filter that will smooth out the original general input time function $f(t)$ over time. As shown in the previous diagrams. The difference in filtered output after applying Gaussian functions with $t_H = 5$ or $t_H = 15$ seconds is that the output from the $t_H = 15$ filters is more smoothed out and contains fewer high-frequency components than the output from the $t_H = 5$ filter. In other words, a larger t_H value can further smooth out the original function with less high frequencies, acting similarly to a low-pass filter.

1. Comment on how this is related to the time-frequency uncertainty principle (a signal cannot be infinitesimally sharp both in time and frequency).

Here is the formula for the time-frequency uncertainty principle that I found:

$$\left(\int_{-\infty}^{\infty} t^2 |f(t)|^2 dt \right) \left(\int_{-\infty}^{\infty} \omega^2 |\hat{f}(\omega)|^2 d\omega \right) = \frac{1}{16\pi^2}$$

In this time-frequency uncertainty principle, the integral on the left side represents the time domain, and the integral on the right side represents the frequency domain. So, for example, since the product of the two integrals is a constant, the smaller the first integral is, meaning a tighter distribution in the time domain, the value for the second integral will become larger, giving us a larger distribution in the frequency domain with a larger uncertainty. Same vice versa. This means that it is impossible to have a perfect resolution in both the time and frequency domains simultaneously, just like how you can not know the position and momentum simultaneously in quantum mechanics.

Fourier transform of Window Functions (6 pts)

A continuous time signal $f(t)$ can be truncated into a signal of finite length T by window functions $b(t)$:

$$g(t) = f(t)b(t)$$

Typical window functions include:

- Boxcar function

$$b(t) = \begin{cases} 1 & 0 \leq t \leq T \\ 0 & \text{else} \end{cases}$$

- Hann window

$$b(t) = \begin{cases} \frac{1}{2} \left(1 - \cos \frac{2\pi t}{T} \right) & 0 \leq t \leq T \\ 0 & \text{else} \end{cases}$$

Now let $T = 10$ seconds, and sample both window functions by $\Delta t = 0.01$ seconds:

1. Plot both window functions on the same graph.

```
In [81]: # Question 2.1

import numpy as np
import matplotlib.pyplot as plt

# Defining the time axis
T = 10
dt = 0.01
t = np.arange(-5, T + 5, dt)

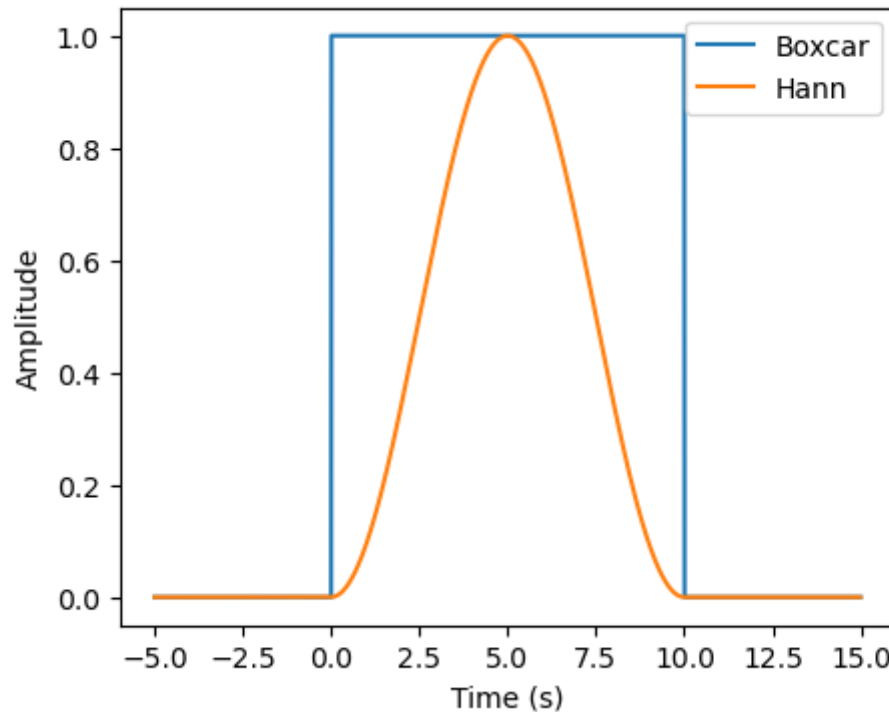
# the boxcar window function
boxcar = np.arange(-5, T + 5, dt)
for i in range(len(boxcar)):
    if boxcar[i] >= 0 and boxcar[i] <= 10:
        boxcar[i] = 1
    else:
        boxcar[i] = 0

# the Hann window function
hann = np.arange(-5, T + 5, dt)
hann = 0.5*(1 - np.cos(2*np.pi*t/10))
hann[t > 10] = 0
hann[t < 0] = 0

# Graphing
plt.figure(figsize=(5, 4))
plt.plot(t, boxcar, label='Boxcar')
plt.plot(t, hann, label='Hann')
plt.xlabel('Time (s)')
```

```
plt.ylabel('Amplitude')
plt.title("The Differences Between the Boxcar Function and Hann Window")
plt.legend()
plt.show()
```

The Differences Between the Boxcar Function and Hann Window



1. Calculate the Fourier transform of both functions by numpy `fft()` . Pay extra attention to how you interpret the corresponding frequencies of output results from python.
(Hint: `fftshift()` may be useful. Also pay attention to the length of the input signal (> 10 sec), as it dictates the frequency resolution for the spectrum.)

```
In [112... # Question 2.2 - part 1

# version 1

import numpy as np
import matplotlib.pyplot as plt

# Defining the time axis
T = 10
dt = 0.01
t = np.arange(-5, T + 5, dt)

# the boxcar window function
boxcar = np.arange(-5, T + 5, dt)
for i in range(len(boxcar)):
    if boxcar[i] >= 0 and boxcar[i] <= 10:
        boxcar[i] = 1
    else:
        boxcar[i] = 0

# the Hann window function
hann = np.arange(-5, T + 5, dt)
```

```

hann = 0.5*(1 - np.cos(2*np.pi*t/10))
hann[t > 10] = 0
hann[t < 0] = 0

# x-axis frequencies
freq = np.fft.fftfreq(len(boxcar), 0.01)
freq_shift = np.fft.fftshift(freq)

# Fourier transform of the Boxcar function
boxcar_fft = np.fft.fft(boxcar)
boxcar_fft_shift = np.fft.fftshift(boxcar_fft)

# Fourier transform of the Hann window function
hann_fft = np.fft.fft(hann)
hann_fft_shift = np.fft.fftshift(hann_fft)

# Graphing
plt.figure(figsize=(10, 8))

plt.subplot(2,2,1)
plt.plot(t, boxcar, label='Boxcar')
plt.plot(t, hann, label='Hann')
plt.title('Trial #1: The Differences Between the Boxcar Function and Hann Window Function')
plt.xlabel('Time (s)')
plt.ylabel('Amplitude')
plt.xlim(-5, 15)
plt.legend()

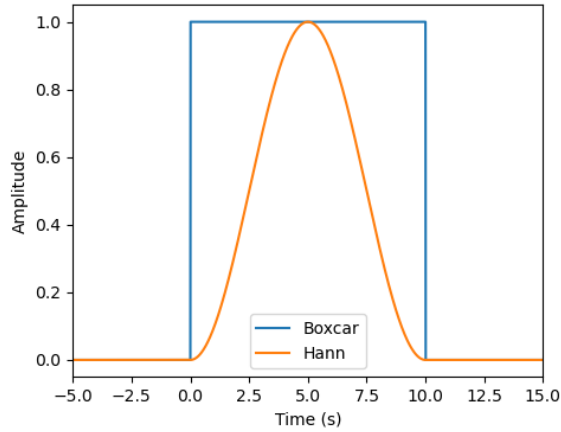
plt.subplot(2,2,3)
plt.plot(freq_shift, np.abs(boxcar_fft_shift))
plt.title('Trial #1: Boxcar Window Function After Fourier Transform')
plt.xlabel('Frequency (Hz)')
plt.ylabel('Amplitude')
plt.ylim(0, 1100)
plt.xlim(-1,1)

plt.subplot(2,2,4)
plt.plot(freq_shift, np.abs(hann_fft_shift))
plt.title('Trial #1: Hann Window Function After Fourier Transform')
plt.xlabel('Frequency (Hz)')
plt.ylabel('Amplitude')
plt.ylim(0, 1100)
plt.xlim(-1,1)

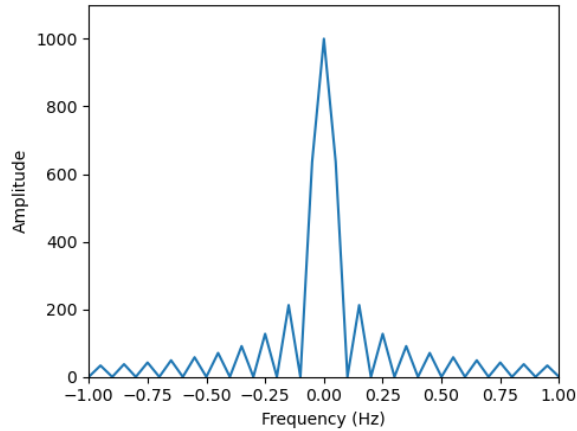
plt.tight_layout()
plt.show()

```

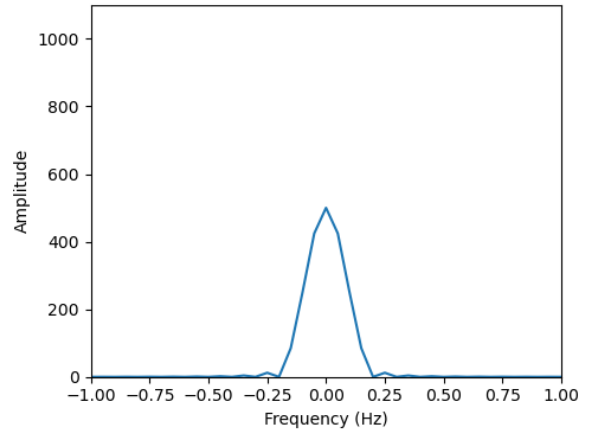
Trial #1: The Differences Between the Boxcar Function and Hann Window



Trial #1: Boxcar Window Function After Fourier Transform



Trial #1: Hann Window Function After Fourier Transform



In [113...

```
# Question 2.2 - part 2

# version 2: with different definitions for the two functions

import numpy as np
import matplotlib.pyplot as plt

# Define the time axis
T = 10
dt = 0.01
t = np.arange(0, T, dt)

# The boxcar window function
boxcar = np.zeros_like(t)
boxcar[t >= 0] = 1
boxcar[t <= 10] = 1

# Define the Hann window function
hann = 0.5 * (1 - np.cos(2*np.pi*t / 10))
hann[t > 10] = 0

# x-axis frequencies
freq = np.fft.fftfreq(len(boxcar), 0.01)
freq_shift = np.fft.fftshift(freq)

# Fourier transform of the Boxcar function
boxcar_fft = np.fft.fft(boxcar)
boxcar_fft_shift = np.fft.fftshift(boxcar_fft)

# Fourier transform of the Hann window function
```



```

hann_fft = np.fft.fft(hann)
hann_fft_shift = np.fft.fftshift(hann_fft)

# Graphing
plt.figure(figsize=(10, 8))

plt.subplot(2,2,1)
plt.plot(t, boxcar, label='Boxcar')
plt.plot(t, hann, label='Hann')
plt.title("Trial #2: The Differences Between the Boxcar Function and Hann Window")
plt.xlabel('Time (s)')
plt.ylabel('Amplitude')
plt.xlim(-5, 15)
plt.legend()

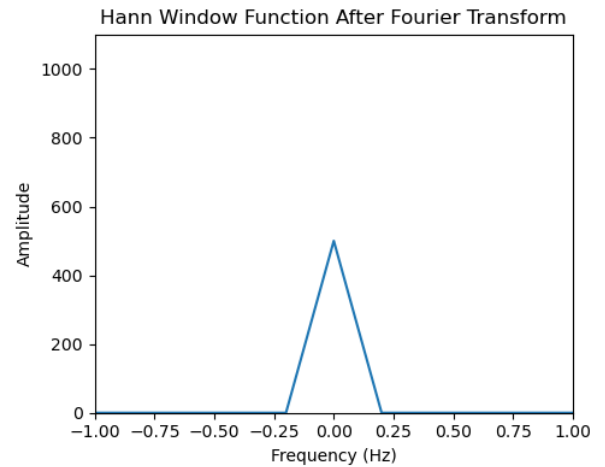
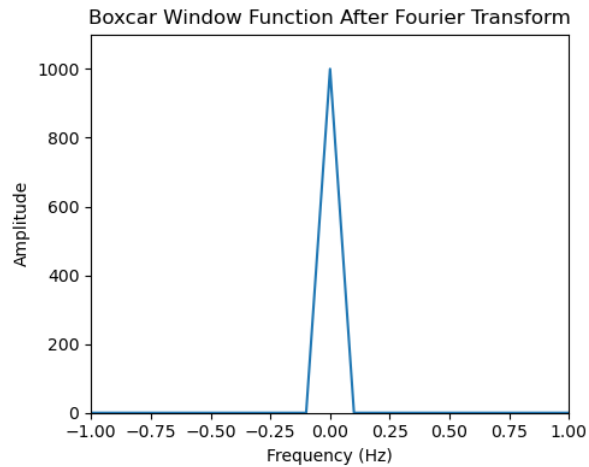
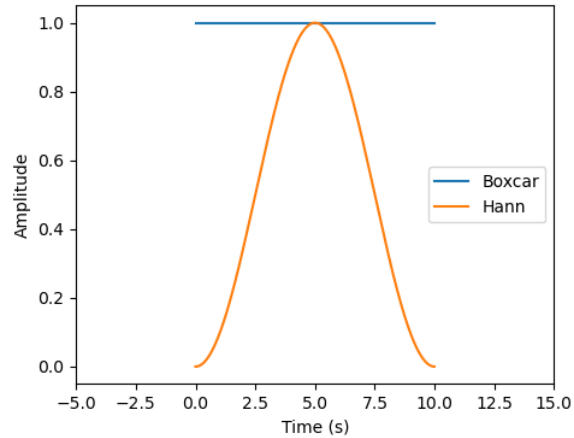
plt.subplot(2,2,3)
plt.plot(freq_shift, np.abs(boxcar_fft_shift))
plt.title('Boxcar Window Function After Fourier Transform')
plt.xlabel('Frequency (Hz)')
plt.ylabel('Amplitude')
plt.ylim(0, 1100)
plt.xlim(-1, 1)

plt.subplot(2,2,4)
plt.plot(freq_shift, np.abs(hann_fft_shift))
plt.title('Hann Window Function After Fourier Transform')
plt.xlabel('Frequency (Hz)')
plt.ylabel('Amplitude')
plt.ylim(0, 1100)
plt.xlim(-1, 1)

plt.tight_layout()
plt.show()

```

Trial #2: The Differences Between the Boxcar Function and Hann Window



The two sets of diagram shows the Fourier Transform of both functions by numpy fft().

As you can see, depending on the length of the input signal, meaning if there is any extra 0s before or after the $0 < x < T$ range, the final Fourier Transform of both functions would be different.

1. Plot the Fourier transform of both functions in the appropriate frequency range on the same graph.

```
In [111... # Question 2.3

import numpy as np
import matplotlib.pyplot as plt

# Define the time axis
T = 10
dt = 0.01
t = np.arange(0, T, dt)

# The boxcar window function
boxcar = np.zeros_like(t)
boxcar[t >= 0] = 1
boxcar[t <= 10] = 1

# Define the Hann window function
hann = 0.5 * (1 - np.cos(2*np.pi*t / 10))
```

```

hann[t > 10] = 0

# x-axis frequencies
freq = np.fft.fftfreq(len(boxcar), 0.01)
freq_shift = np.fft.fftshift(freq)

# Fourier transform of the Boxcar function
boxcar_fft = np.fft.fft(boxcar)
boxcar_fft_shift = np.fft.fftshift(boxcar_fft)

# Fourier transform of the Hann window function
hann_fft = np.fft.fft(hann)
hann_fft_shift = np.fft.fftshift(hann_fft)

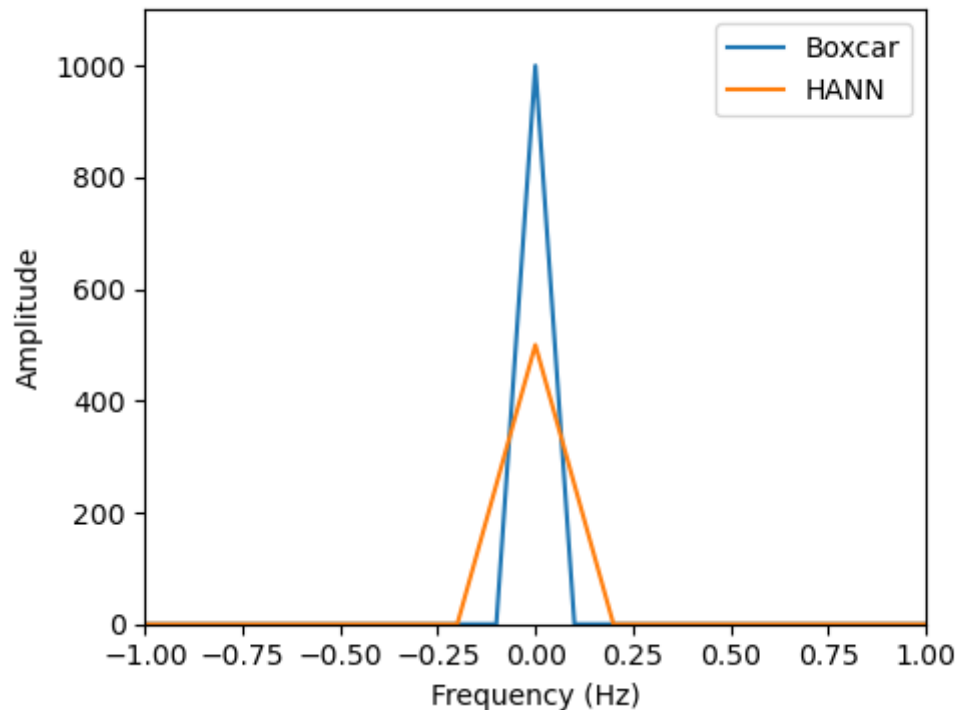
# Graphing
plt.figure(figsize=(5, 4))

plt.plot(freq_shift, np.abs(boxcar_fft_shift), label='Boxcar')
plt.plot(freq_shift, np.abs(hann_fft_shift), label='Hann')
plt.title('Boxcar Function and Hann Window Function After Fourier Transform')
plt.xlabel('Frequency (Hz)')
plt.ylabel('Amplitude')
plt.ylim(0, 1100)
plt.xlim(-1, 1)
plt.legend()

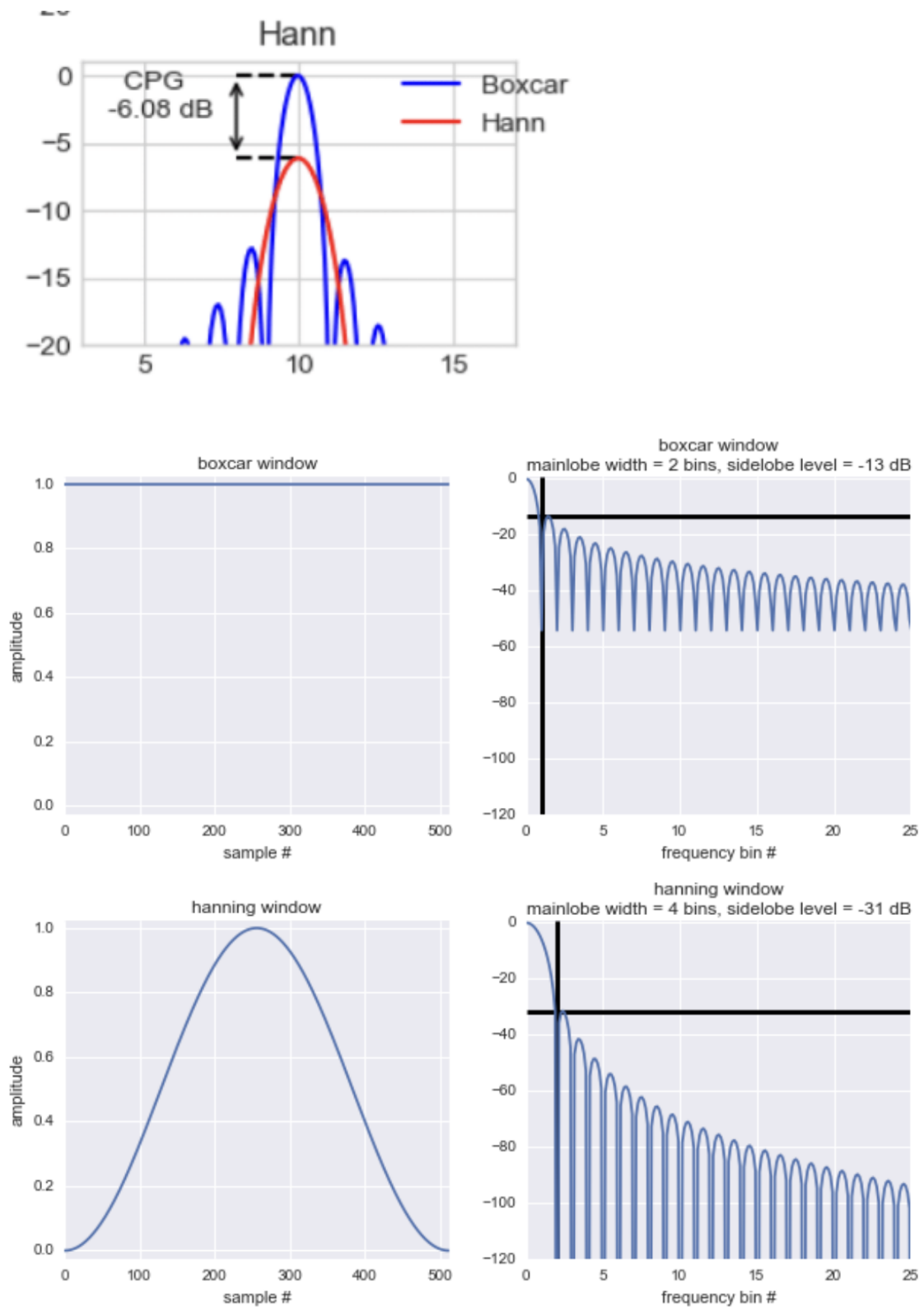
plt.tight_layout()
plt.show()

```

Boxcar Function and Hann Window Function After Fourier Transform



1. Based on the FTs, comment on the effect of truncating a continuous time series by either window on its frequency spectrum $G(\omega)$ compared to the original spectrum $F(\omega)$.



Both the Boxcar and Hann functions will turn the signal into a finite length since, by the way we define both of them, all the signals outside of the range $[0, T]$ will become 0.

In general, the Fourier transform of a truncated signal will result in a convolution of the original Fourier transform with the Fourier transform of the window function. This convolution results in a smoothing effect on the frequency spectrum, with the degree of smoothing determined by the shape of the window function.

I found a photo online comparing the results of a Boxcar function and a Hann function. As shown in the photo attached above, you can see that the boxcar window will give us a result with more oscillations on both sides, whereas the Hann function gives us something much smoother and cleaner, with the center standing out more. The Hanning Function is also missing a lot of the lower frequencies comparing to the Boxcarr functions if you compare the two result dunctions on the right.

1. Speculate on the advantages and disadvantages of boxcar and Hann window functions for truncation.

The biggest advantage of the Boxcar Function is that It is easy to implement. And since it has a rectangle shape, it can provide a uniform weighting to all samples within the truncated interval, making it very useful when all samples are equally important. However, the main disadvantage of it is that the rectangular shape of the boxcar window can lead to ringing artifacts in the time domain, which can introduce additional noise in the signal.

For the Hann window function, the biggest advantage of it is that it is apparently good enough to use for most of the scenarios because it is a good balance of all the important criteria, plus, it can act as a low pass filter by blocking out a lot of the higher frequencies. However, a big disadvantage, besides being harder to use than a boxcar window function, is that it has a slower roll-off compared to other window functions, such as the Hamming or Blackman windows. This can result in reduced frequency resolution.

Radial Distribution Function (12 pts)

Background

1. You may have noticed some resemblance between expression (6) and the Fourier transform. First show that the integration part $\int_0^\infty k(S(k) - 1) \sin(kr) dk$ can be rewritten as

$$p(r) = \int_{-\infty}^{\infty} \frac{1}{2i} k (S(k) - 1) e^{ikr} dk.$$

Hint: The structure factor $S(k)$ is even, since there should be no reason why scattering intensity would be different for one direction (+k) compared to its opposite (-k). Using the fact that $S(k)$ is even may be useful.

We know that based of Euler's formula $\sin(kr) = \frac{(e^{ikr} - e^{-ikr})}{2i}$

Then if we substitute that into $\int_0^\infty k(S(k) - 1) \sin(kr) dk$

$$\int_0^{\infty} k(S(k) - 1) \frac{(e^{ikr} - e^{-ikr})}{2i} dk$$

And since we are given that $S(k)$ is even, we can split the integral into two parts at $x = 0$

$$\begin{aligned} & \int_0^{\infty} k(S(k) - 1) \frac{(e^{ikr} - e^{-ikr})}{2i} dk \\ &= \int_0^{\infty} k(S(k) - 1) \frac{e^{ikr}}{2i} dk - \int_0^{\infty} k(S(k) - 1) \frac{e^{-ikr}}{2i} dk \\ &= \frac{1}{2i} \int_0^{\infty} k(S(k) - 1) e^{ikr} dk - \frac{1}{2i} \int_0^{\infty} k(S(-k) - 1) e^{ikr} dk \\ &= \frac{1}{2i} \int_{-\infty}^{\infty} k(S(k) - 1) e^{ikr} dk \end{aligned}$$

- Now we can make some connections between the Radial Transfer Function and the Fourier Transform, if we substitute $r \rightarrow t$ and $k \rightarrow \omega$. What is the Fourier transform $P(k)$ of $p(r)$? Is $P(k)$ a real, imaginary or general complex function? Is it even or odd? How will these affect $p(r)$? Is that what you expect? Plot $P(k)$ as a function of k ranging from -15\AA^{-1} to 15\AA^{-1} based on `argon.py` (i.e. import and use the variables defined there).

Hint: In constructing $S(k)$ from `argon.py`, you should make an "even" array twice the length (minus 1) of `YanData`. `YanData` represents the structure factor (i.e. $S(k)$) for argon sampled at the dk defined in the `argon.py` file. It's specifically $S(k)$ sampled from `k = 0` to `k = len(YanData) * dk`, so create an even function out to the same length in the negative direction (i.e. the "k-axis" it's sampled on would be `-(len(YanData)-1)*dk, ... 0, ..., + (len(YanData)-1)*dk`).

Liquids have no fixed internal structure. Yet they do have some short range order in the sense that they have preferred intermolecular spacings, which are determined by the locations of minima in the intermolecular potentials. The microscopic structure of liquids is often characterized by a quantity known as the Radial Distribution Function $g(r)$, which is essentially the probability (Relative to the average probability, which means that $g(r)$ tends to 1 at large r , where the neighbour is too far away to feel any interaction.) that a molecule has a neighbouring molecule at distance r . Typically $g(r)$ shows a value that approaches zero at small r since molecules cannot occupy the same space; it also shows a peak at the preferred distance of nearest neighbours, and secondary peaks at preferred distances of more distant neighbours. If a suitable collimated beam of particles (e.g. X-rays or neutrons) is sent through a sample of the liquid, some of the particles are scattered. The number of particles scattered through a given angle is related to the Fourier Transform of $g(r)$ evaluated at the wavenumber k corresponding to the transverse momentum transfer

associated with that scattering angle. Kittel derives this relationship in Chapter 17 of Introduction to Solid State Physics.

If this all sounds complicated, all you need to know here is that something called the Structure Factor $S(k)$ is effectively measured by looking at the scattered intensity as a function of scattering transverse wavenumber k (proportional to scattering angle), and that the Radial Distribution Function is related to it by

$$g(r) = 1 + \frac{1}{2\pi^2\rho r} \int_0^\infty k(S(k) - 1) \sin(kr) dk$$

where ρ is liquid number density (number of atoms per unit volume, computable from the three constants mentioned in the introduction), k is wavenumber, and r is radius.

1. Write a Python function `[gn, rn] = RDFcalc(S, dk, rho)` to calculate Radial Distribution Function $g(r)$ from Structure Factor $S(k)$ data, sampled at dk , and density ρ , and output the RDF vector g_n and its corresponding radial distance vector r_n .

Hint: for Python `fft()` and `ifft()` functions, realize that the values of the Fourier Transform corresponding to negative frequencies are stored in the second half of the arrays given to (`ifft`) or obtained from it (`fft`). You also have to study the difference between the DFT and FT to multiply the right factors.

2. With the data provided in `argon.py`, compute the corresponding Radial Distribution Function $g(r)$. Plot your results for r from 0 to 30\AA . Over what range of radius can you trust your result?

Hint: To check if your results make sense, recall that $g(r)$ is related to the probability that a molecule has a neighbouring molecule at distance r , therefore, should be close to 0 when $r \rightarrow 0$, i.e. two molecules can not occupy the same space, and you can set $g(r=0) = 0$. Recall $\lim_{r \rightarrow \infty} g(r) = 1$. Also note the unit ρ used in $g(r)$ formula (6).

3. From the $g(r)$ you computed, estimate the average molecular radius R_a of liquid argon. Give your reasoning and state what accuracy you can justify for your estimation.
4. Now we explore the effect of sampling range. Yan sampled in wavenumber k out to $k_{max} = 15.24\text{\AA}^{-1}$, and he could have saved himself work by not collecting as much data, i.e., reducing k_{max} . But how much could he have reduced the sampling length k_{max} , while still seeing distinct peaks in the Radial Distribution Function? Also explain theoretically what you observe.

Hint: Plot on top of the $g(r)$ obtained in Part 4, the $g(r)$'s you compute for a series of k_{max} values. You can try half k_{max} each time to look for changes. For the theoretical explanations for part 6 and 7, realize the interchangeability of $t \leftrightarrow \omega$ ($r \leftrightarrow k$).

5. To explore the effect of data sampling, let's assume Yan decided to save his work by sampling less often (i.e. increasing dk). How large a dk can he use to be able to still

recover the first two peaks clearly? State your answers and a theoretical justification for what you expect to see if you increase dk too much.

Hint: Plot on top of the $g(r)$ obtained from `argon.py` data, the $g(r)$'s you obtain when you subsample the same dataset. Try doubling dk each time to observe the effect of coarser sampling.

References

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