

# Constrained Decoding

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# Introduction

- Traditional semantic parsers used grammar based parsing algorithms
- Neural semantic parsing has moved towards using encoder decoder models
- Decoders are usually recurrent neural networks that produce sequences
  - But they can produce outputs that are not valid (syntactically or semantically)!

# Example from WikiTableQuestions

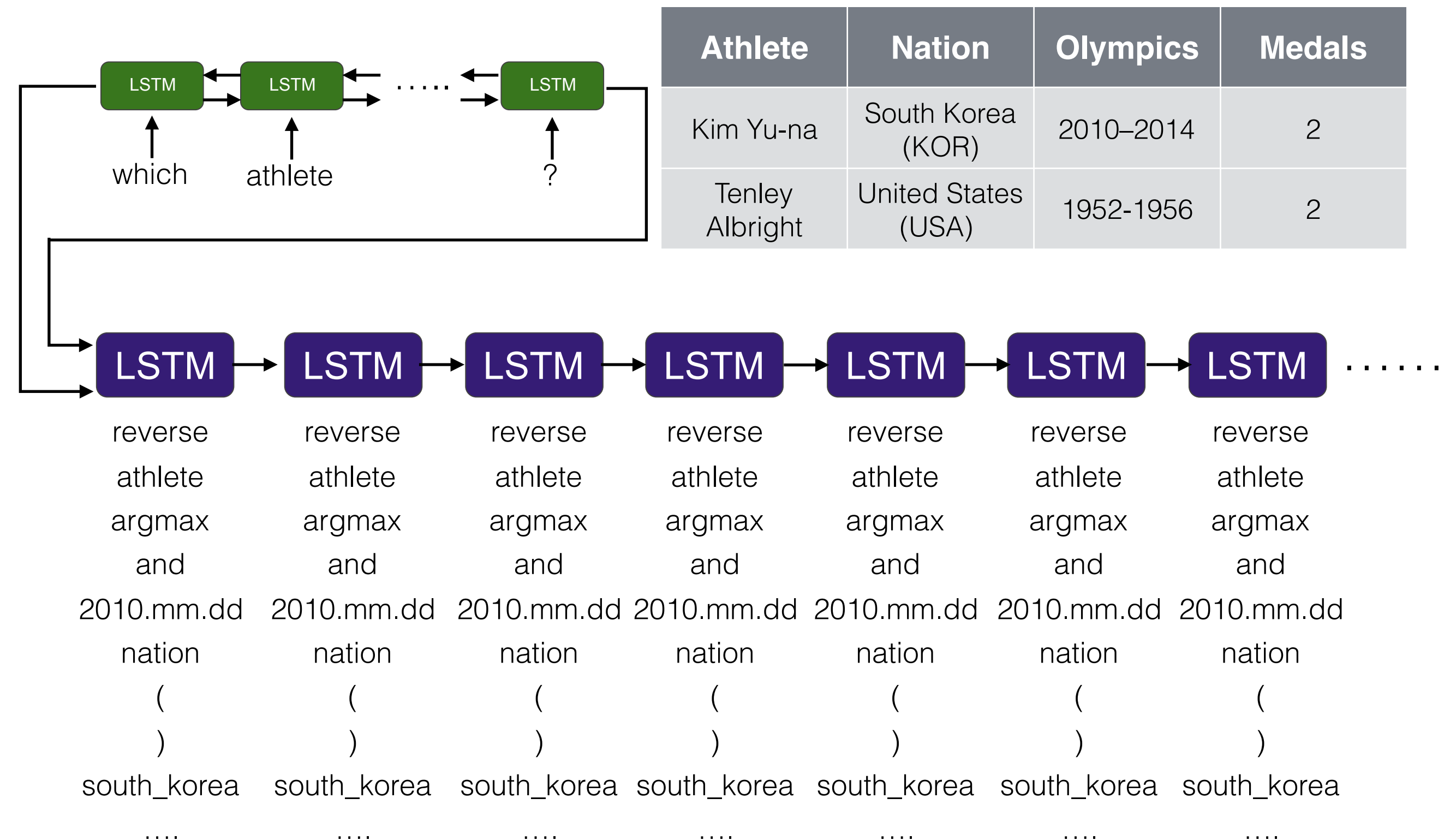
Athlete	Nation	Olympics	Medals
Gillis Grafström	Sweden (SWE)	1920–1932	4
Evgeni Plushenko	Russia (RUS)	2002–2014	4
Karl Schäfer	Austria (AUT)	1928–1936	2
Katarina Witt	East Germany (GDR)	1984–1988	2
Tenley Albright	United States (USA)	1952-1956	2
Kim Yu-na	South Korea (KOR)	2010–2014	2
Patrick Chan	Canada (CAN)	2014	2

## Question:

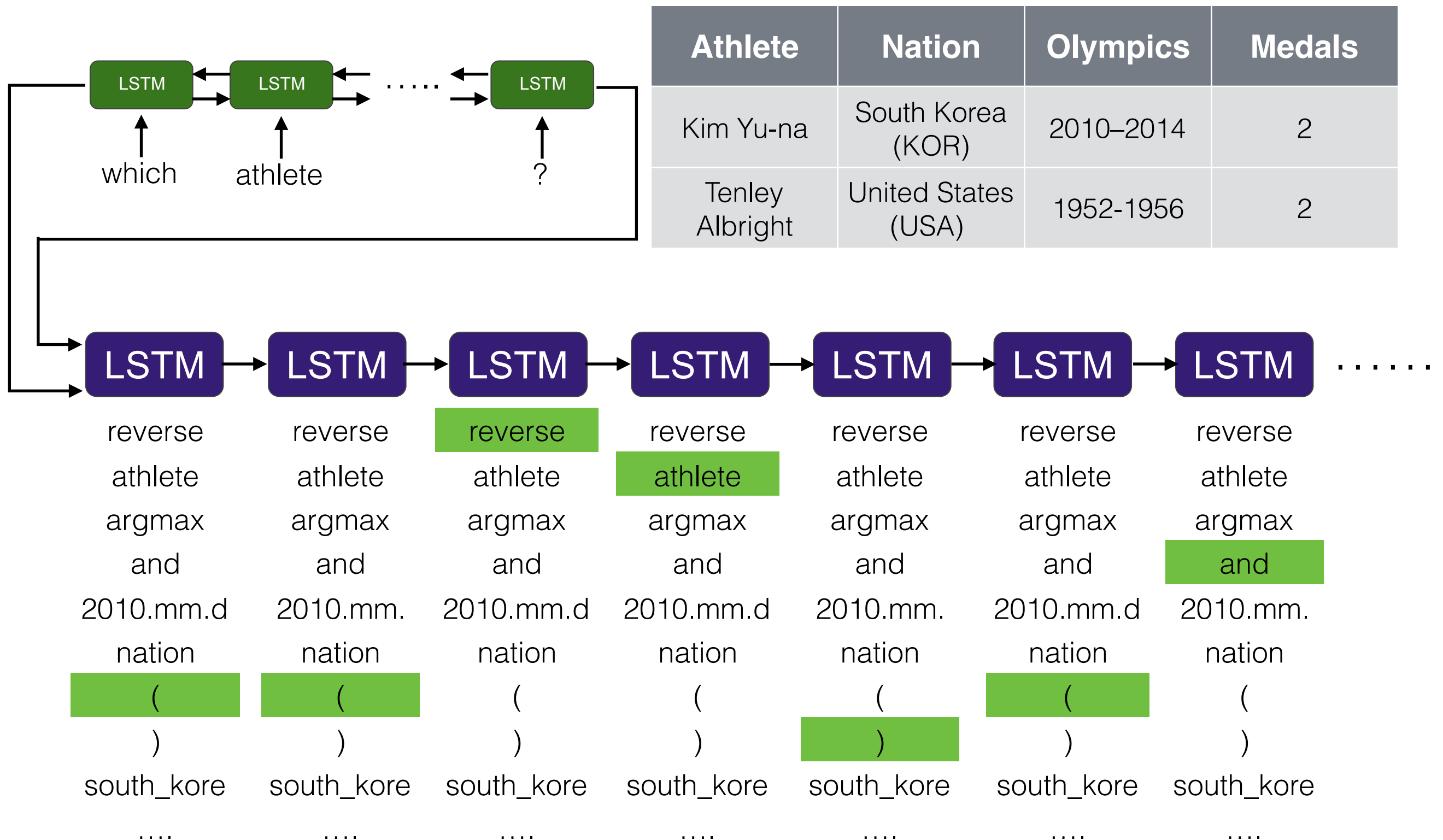
Which athlete was  
from South Korea  
after 2010?

((reverse athlete)  
(and  
(nation south\_korea)  
(year ((reverse date)  
(>= 2010-mm-dd))))

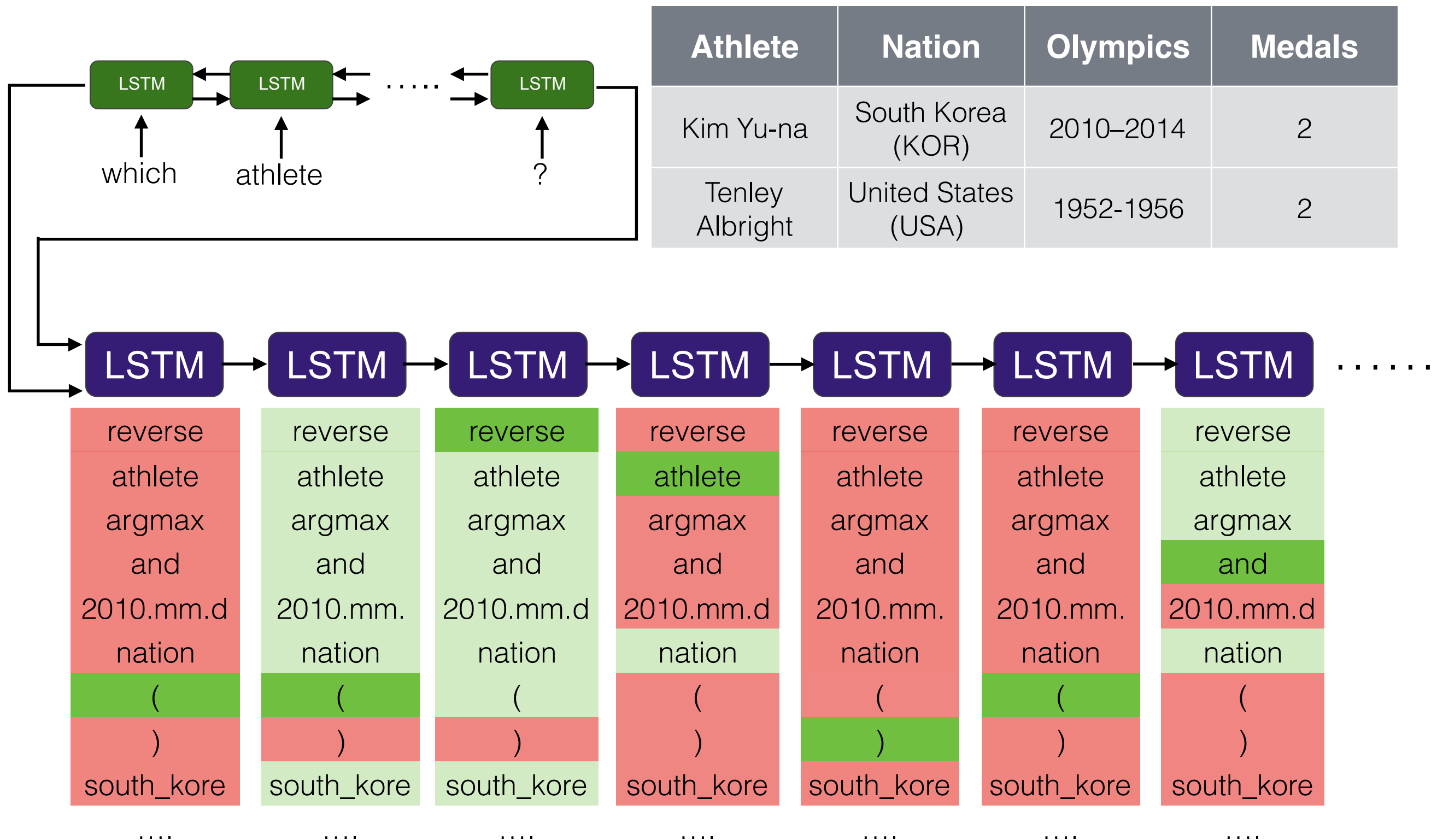
# Seq2Seq Output Space



# Seq2Seq Output Space



# Seq2Seq Output Space

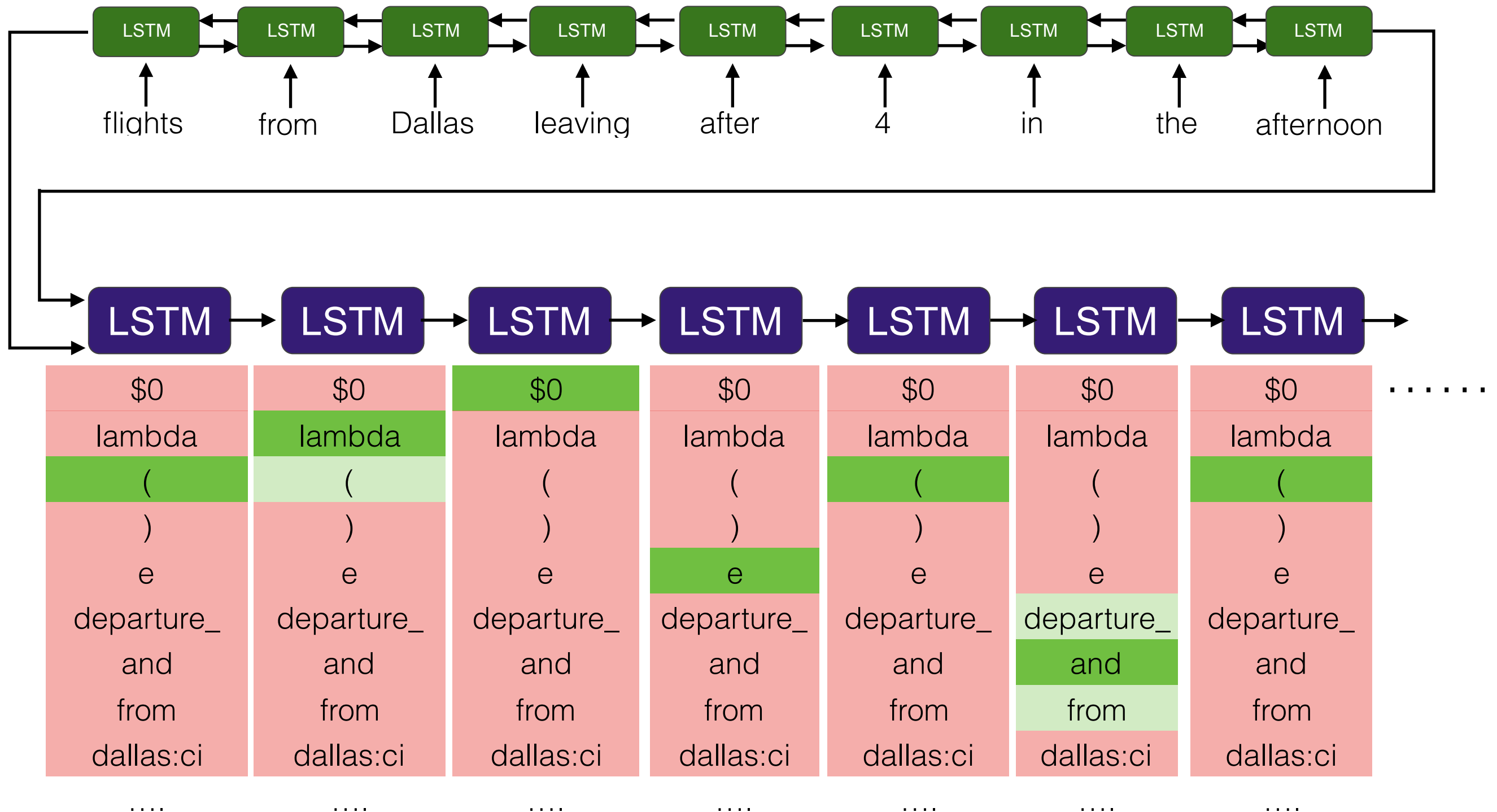


# Example from ATIS

*flights from Dallas leaving after 4 in the afternoon*

```
(lambda $0 e
  (and
    (>(departure_time $0) 1600:ti)
    (from $0 dallas:ci)))
```

# Seq2Seq Output Space





# Constrained Decoding

- Constrain the output space to selections that matter
- **Inference:** Avoid invalid parses
- **Training:** Do not waste modeling power in distinguishing invalid parses from valid ones!

## Token-based Decoding:

The output space is tokens, but they are constrained to be relevant at each time step.

## Grammar-based Decoding:

The output space is production rules, and a grammar defines the constraints.

# Constrained Decoding

- Constrain the output space to selections that matter
- **Inference:** Avoid invalid parses
- **Training:** Do not waste modeling power in distinguishing invalid parses from valid ones!

## Token-based Decoding

Dong and Lapata. 2016. Language to Logical Form with Neural Attention. In ACL.

Dong and Lapata. 2018. Coarse-to-Fine Decoding for Neural Semantic Parsing. In ACL.

Goldman, Latcinnik, Naveh, Globerson and Berant. 2018. Weakly-supervised Semantic Parsing with Abstract Examples. In ACL.

## Grammar-based Decoding:

Xiao, Dymetman, and Gardent. 2016. Sequence-based Structured Prediction for Semantic Parsing. In ACL.

Yin and Neubig. 2017. A Syntactic Neural Model for General Purpose Code Generation. In ACL.

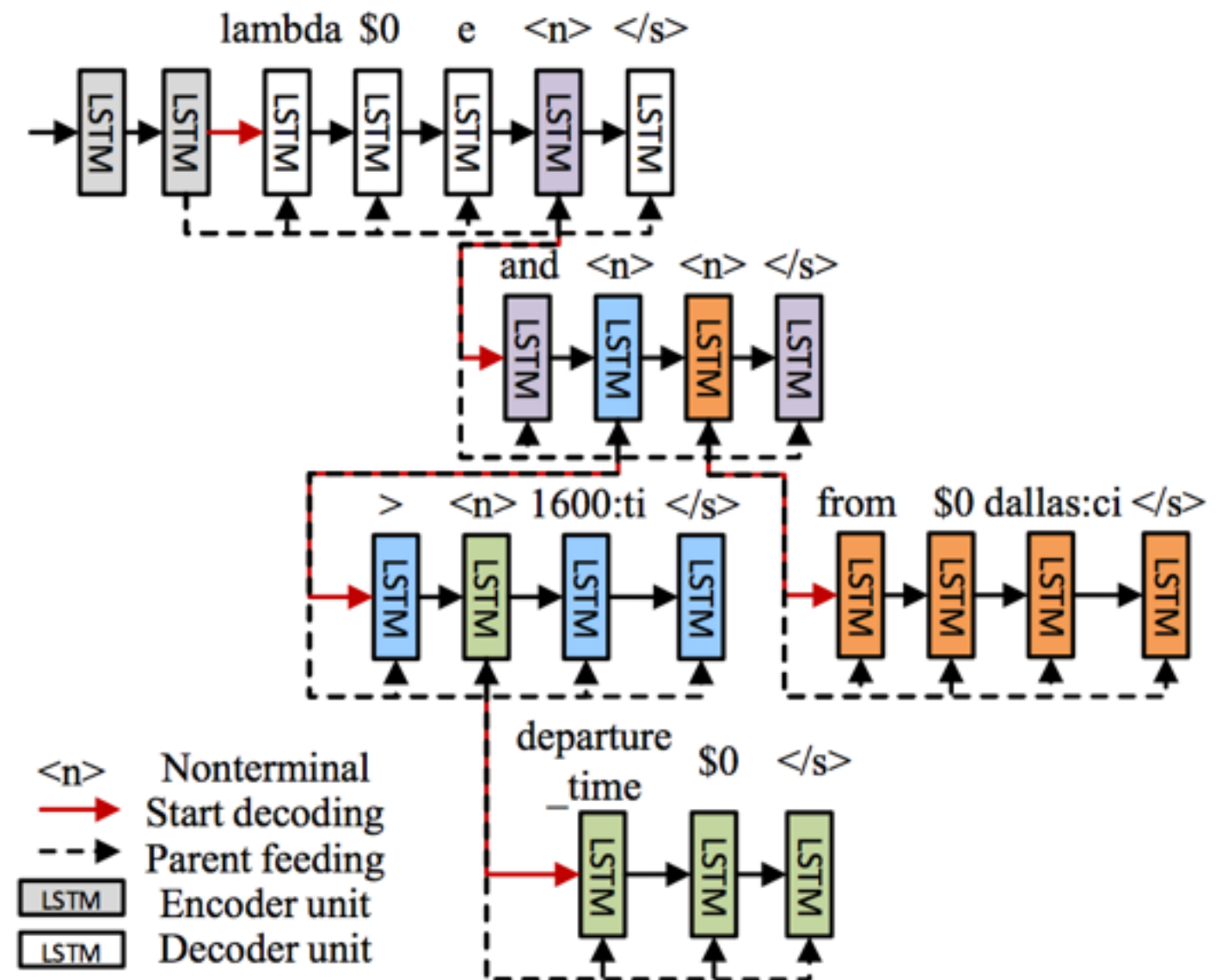
Krishnamurthy, Dasigi, and Gardner. 2017. Neural Semantic Parsing with Type Constraints for Semi-Structured Tables. In EMNLP.

# Token-based Constrained Decoding

# Constraining output structure: Seq2Tree

Flights from Dallas leaving after 4 in the afternoon

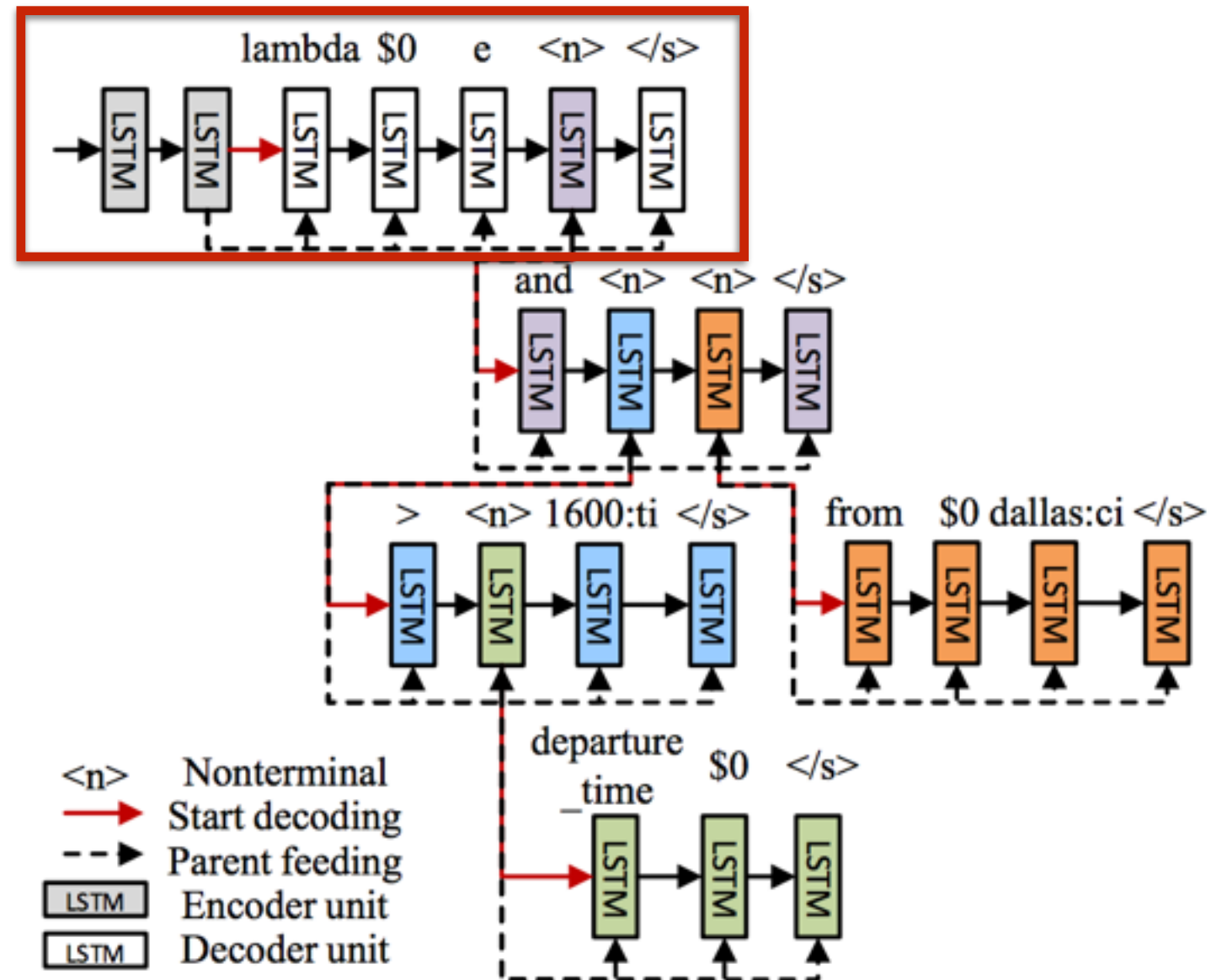
(lambda \$0 e  
 (and  
 (> (departure\_time \$0) 1600:ti)  
 (from \$0 dallas:ci)))



# Constraining output structure: Seq2Tree

Flights from Dallas leaving after 4 in the afternoon

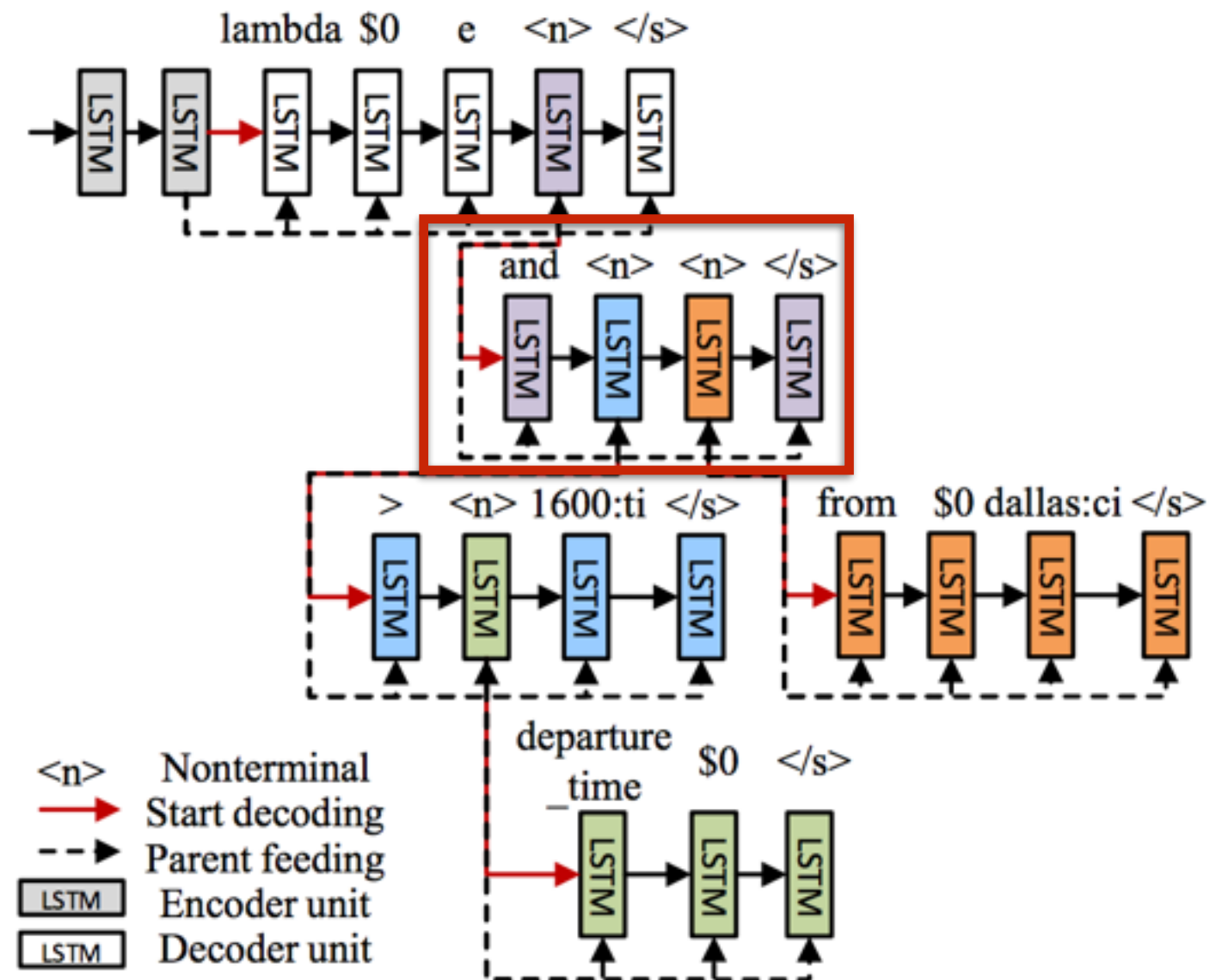
(lambda \$0 e <n>)



# Constraining output structure: Seq2Tree

# Flights from Dallas leaving after 4 in the afternoon

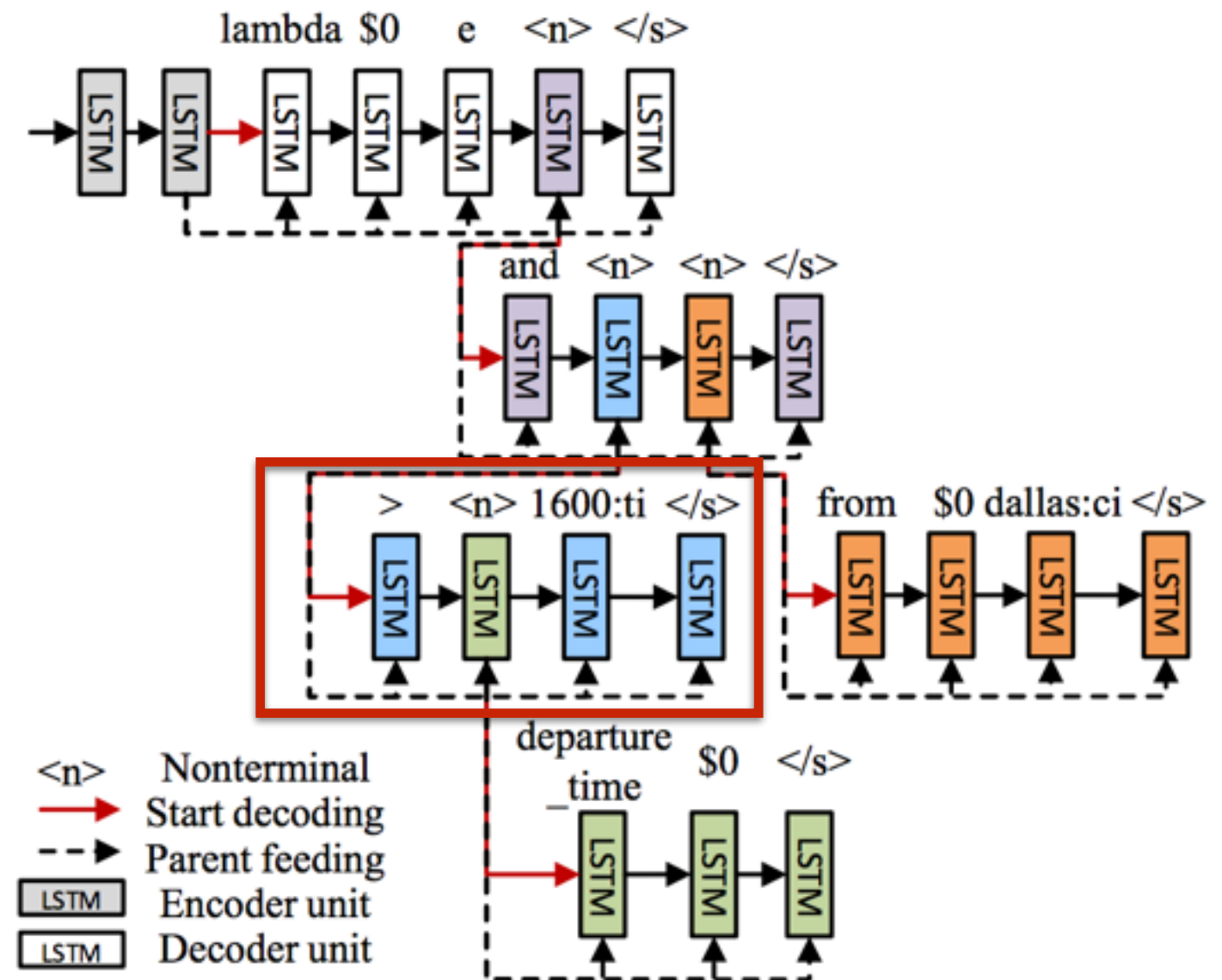
(lambda \$0 e  
 (and **<n>** <n>))



# Constraining output structure: Seq2Tree

Flights from Dallas leaving after 4 in the afternoon

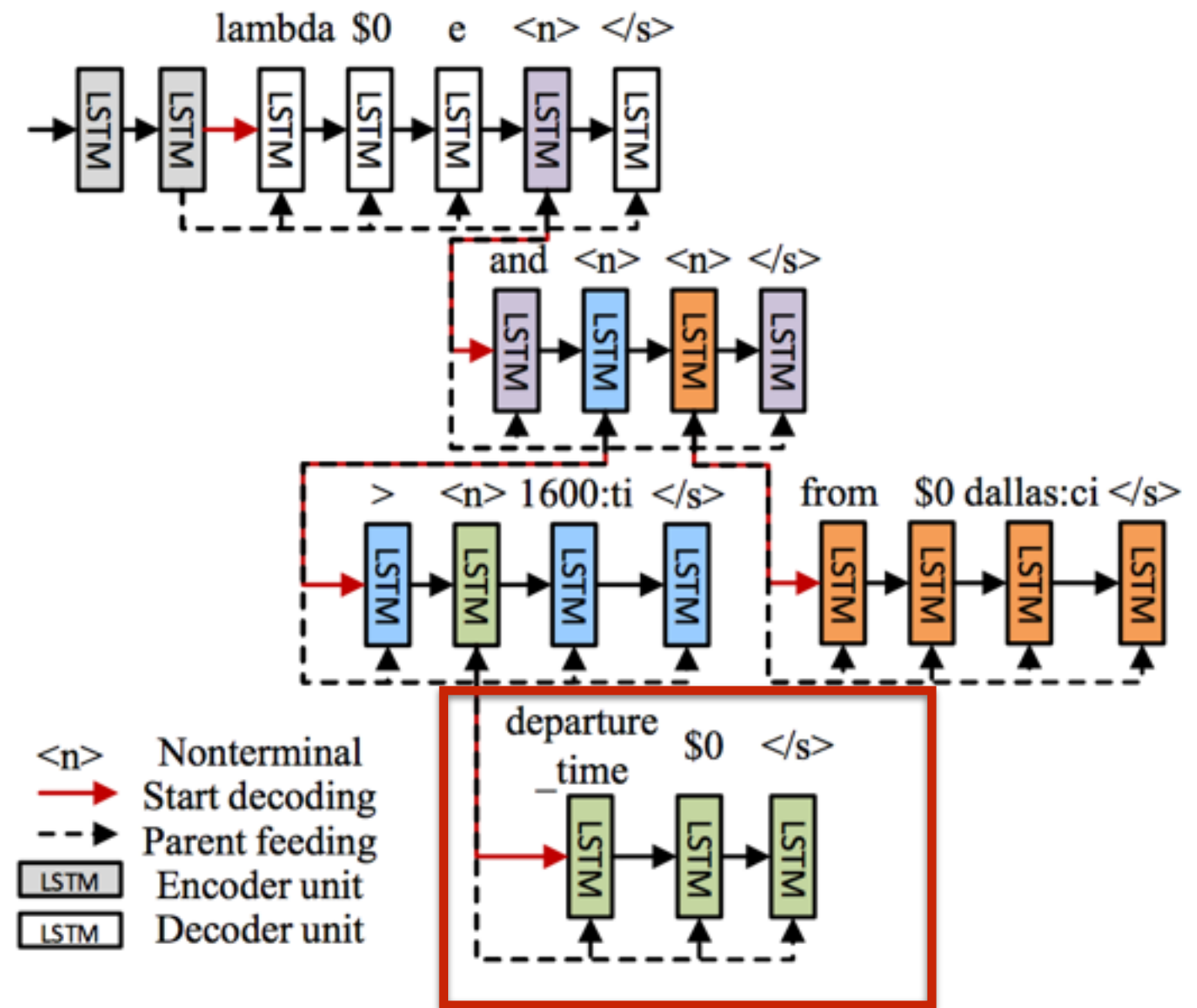
(lambda \$0 e  
(and  
(> <n> 1600:ti)  
<n>)))



# Constraining output structure: Seq2Tree

Flights from Dallas leaving after 4 in the afternoon

(lambda \$0 e  
 (and  
 (> (departure\_time \$0) 1600:ti)  
 <n>)))

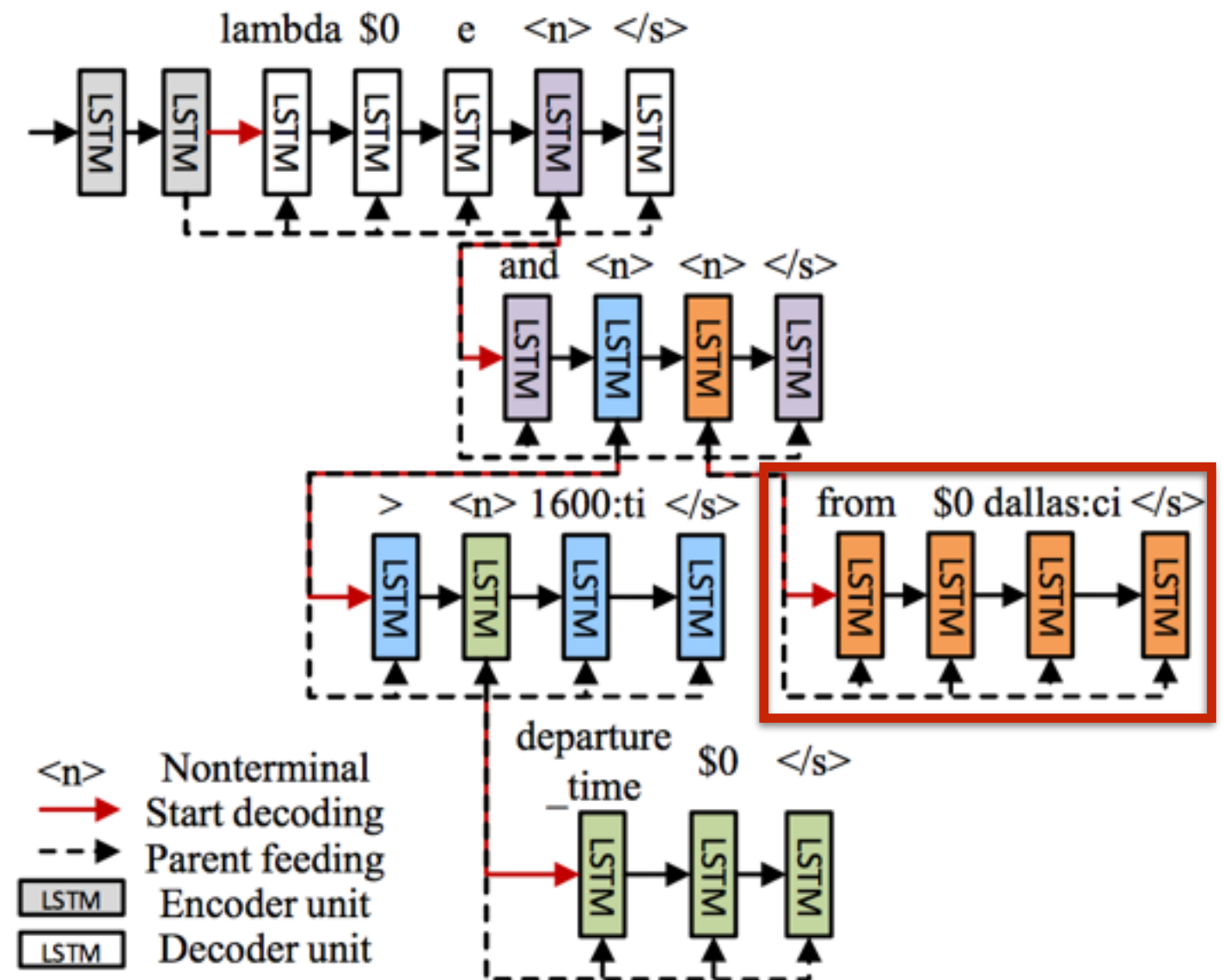




# Constraining output structure: Seq2Tree

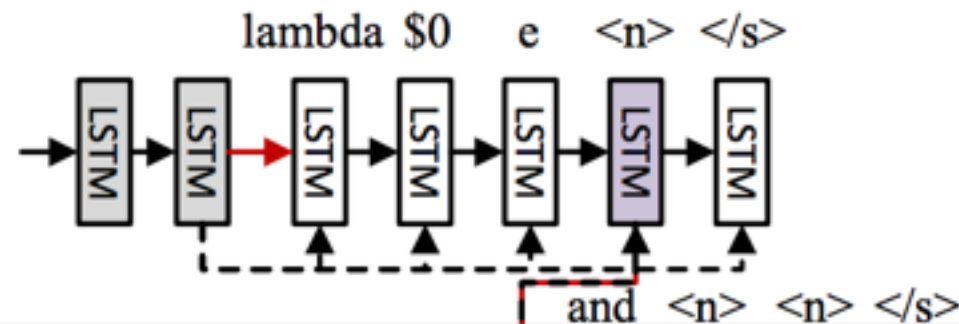
Flights from Dallas leaving after 4 in the afternoon

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(lambda $0 e
  (and
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    (from $0 dallas:ci)))
```



# Constraining output structure: Seq2Tree

Flights from Dallas leaving after 4 in the afternoon



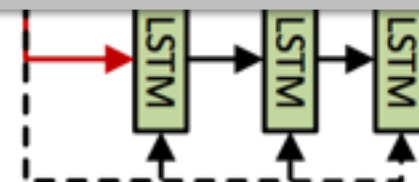
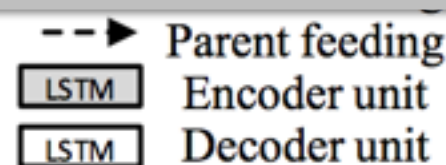
(lambda \$0 e

(and

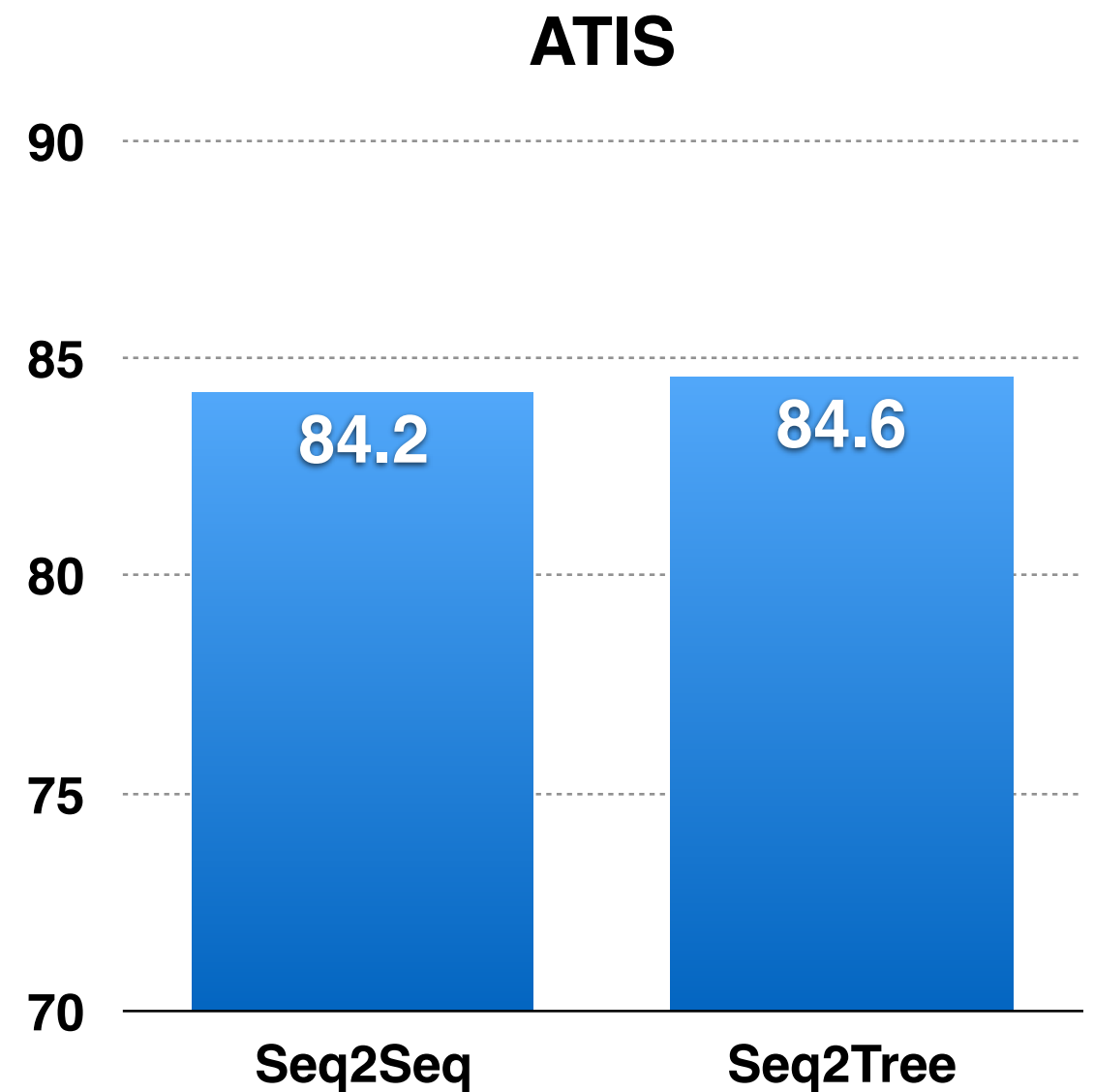
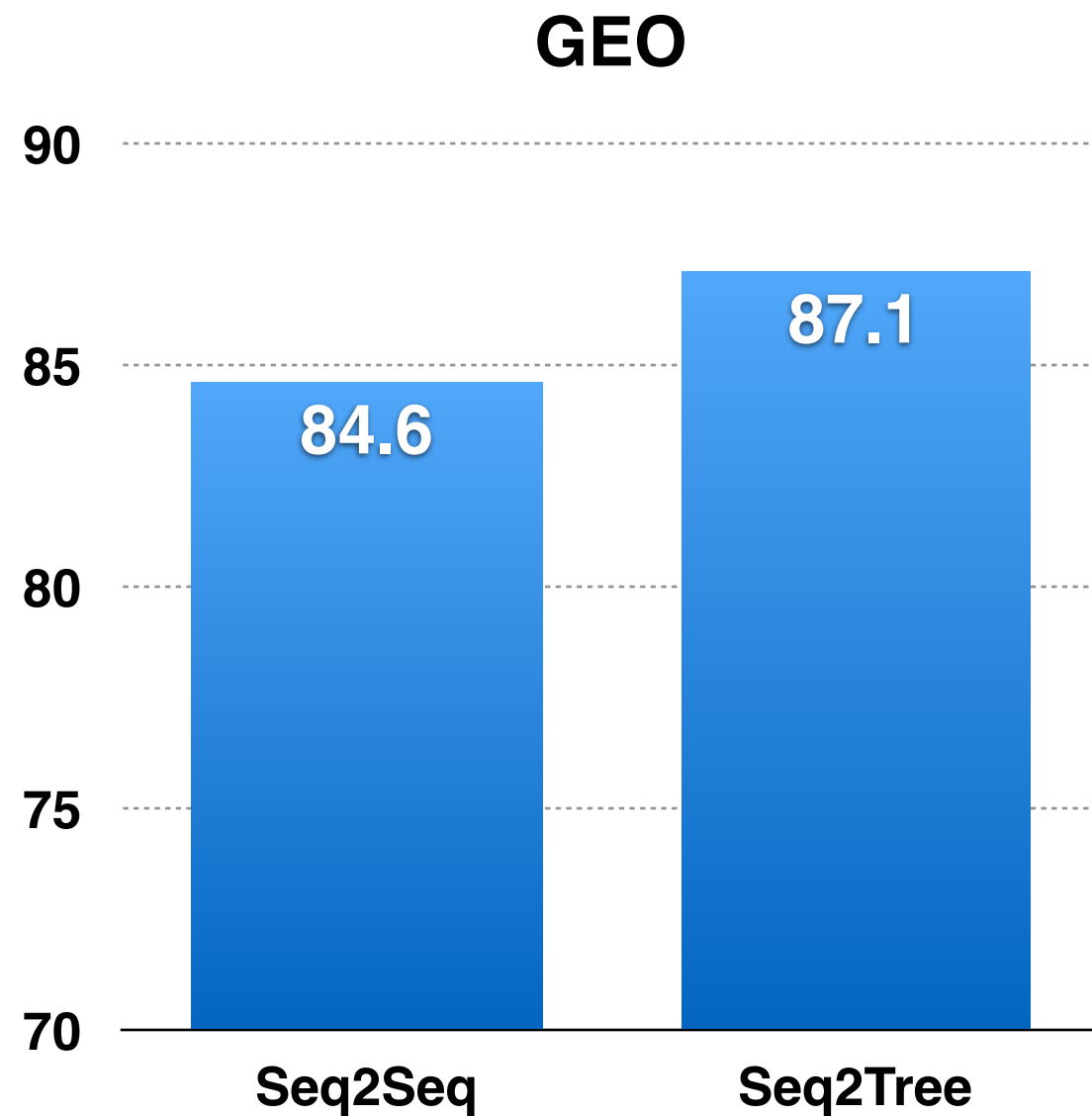
(> (depa

(from \$

- ☒ Need not explicitly model matching parentheses
- ☒ Syntactically valid trees
- ☒ Allows parent feeding
- ☒ Semantically valid trees

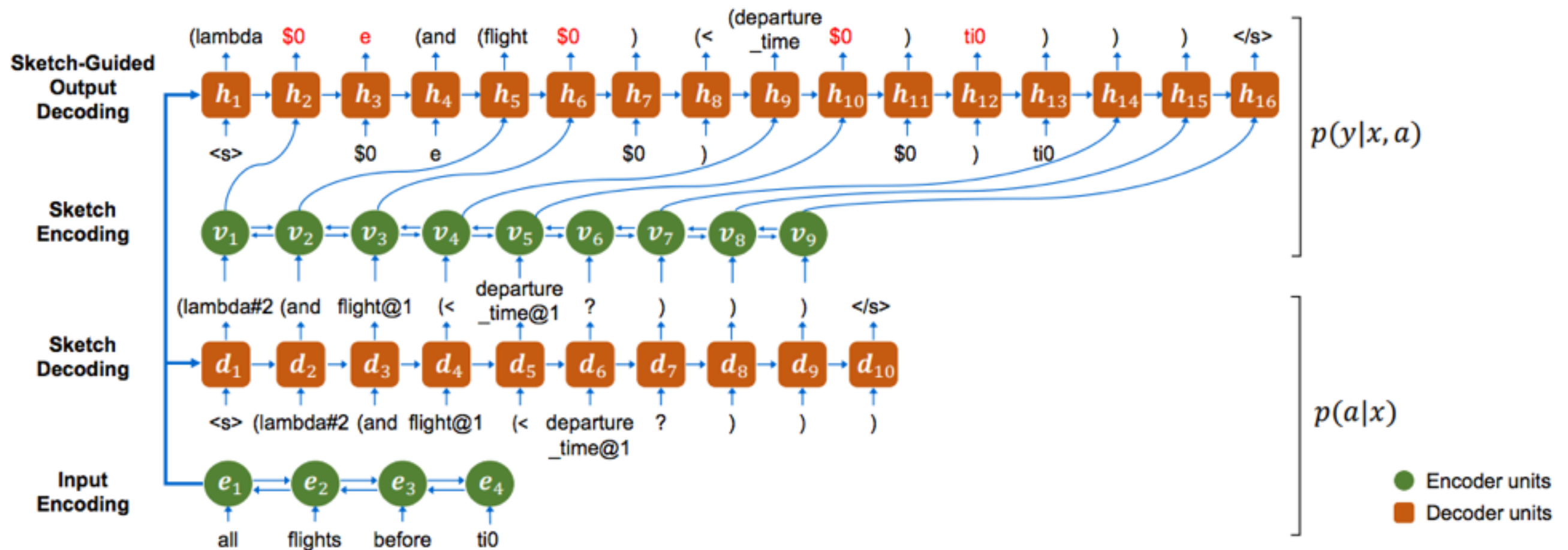


# Empirical Comparison with Seq2Seq on GEO and ATIS



# Sketch-Constrained Seq2Tree

- Decoding in two steps:
  - Decoder 1: Rough sketch conditioned on encoder output
  - Decoder 2: Finer output constrained by the sketch, conditioned on the outputs of decoder 1 and encoder



# Grammar-based Constrained Decoding

# Constraining output structure and types

Athlete	Nation	Olympics	Medals
Gillis Grafström	Sweden (SWE)	1920–1932	4
Kim Yu-na	South Korea (KOR)	2010–2014	2
Patrick Chan	Canada (CAN)	2014	2

((reverse athlete)  
(and  
(nation south\_korea)  
(year ((reverse date)  
(>= 2010-mm-dd))))

## Basic Types:

Row (**r**); Cell (**c**) (kim\_yu\_na; 2014; ...); Number (**n**); Date (**d**)

## Complex Types:

Column (**<c,r>**): athlete; nation; olympics; medals

Binary row operations (**<r,<r,r>>**): and; or

Reverse column operation (**<<c,r>,<r,c>>**): reverse

....

# Note on the notation of types

Athlete	Nation	Olympi	Medals
Gillis	Sweden	1920–	4
Kim Yu-	South	2010–	2
Patrick	Canada	2014	2

- Complex types
  - Example: column:  $\underline{c}ell \rightarrow \underline{r}ow$  **<c,r>**
  - Concrete example: (**nation** south\_korea)
- Currying for functions with multiple arguments
  - Example: binary row operator:  $\underline{r}ow, \underline{r}ow \rightarrow \underline{r}ow$   
Rewritten as:  $\underline{r}ow \rightarrow (\underline{r}ow \rightarrow \underline{r}ow)$  **<r,<r,r>>**
  - Concrete example:  
(**and** (nation south\_korea) (medals 4))
- Higher order functions
  - Example: reverse:  $(\underline{c}ell \rightarrow \underline{r}ow) \rightarrow (\underline{r}ow \rightarrow \underline{c}ell)$  **<<c,r>,<r,c>>**
  - Concrete example:  
((**reverse** athlete) (and (nation south\_korea) (medals 4)))

# Constraining output structure and types

Athlete	Nation	Olympics	Medals
Gillis Grafström	Sweden (SWE)	1920–1932	4
Kim Yu-na	South Korea (KOR)	2010–2014	2
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((reverse athlete)  
(and  
(nation south\_korea)  
(year ((reverse date)  
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## Basic Types:

Row (**r**); Cell (**c**) (kim\_yu\_na; 2014; ...); Number (**n**); Date (**d**)

## Complex Types:

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Binary row operations (**<r,<r,r>>**): and; or

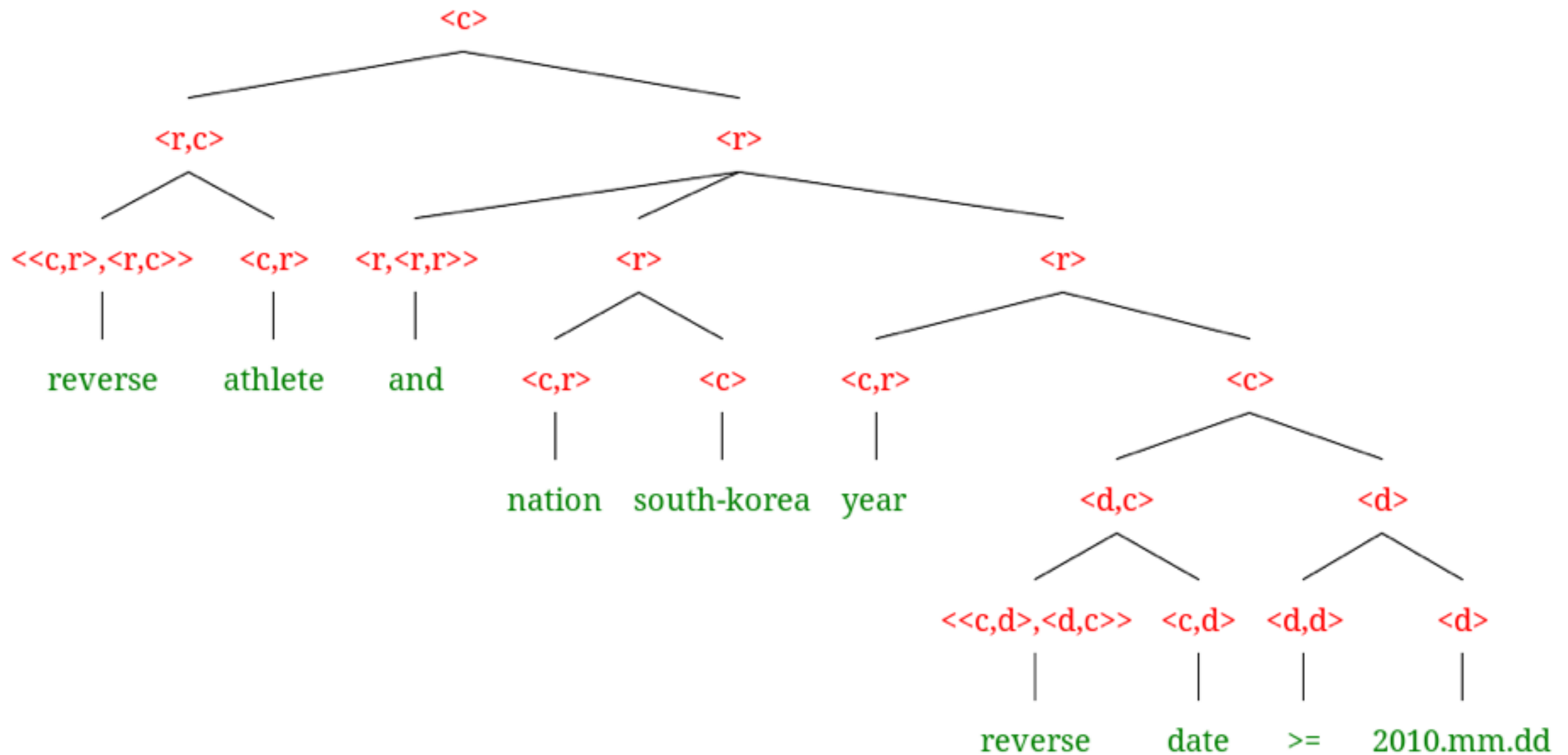
Reverse column operation (**<<c,r>,<r,c>>**): reverse

....



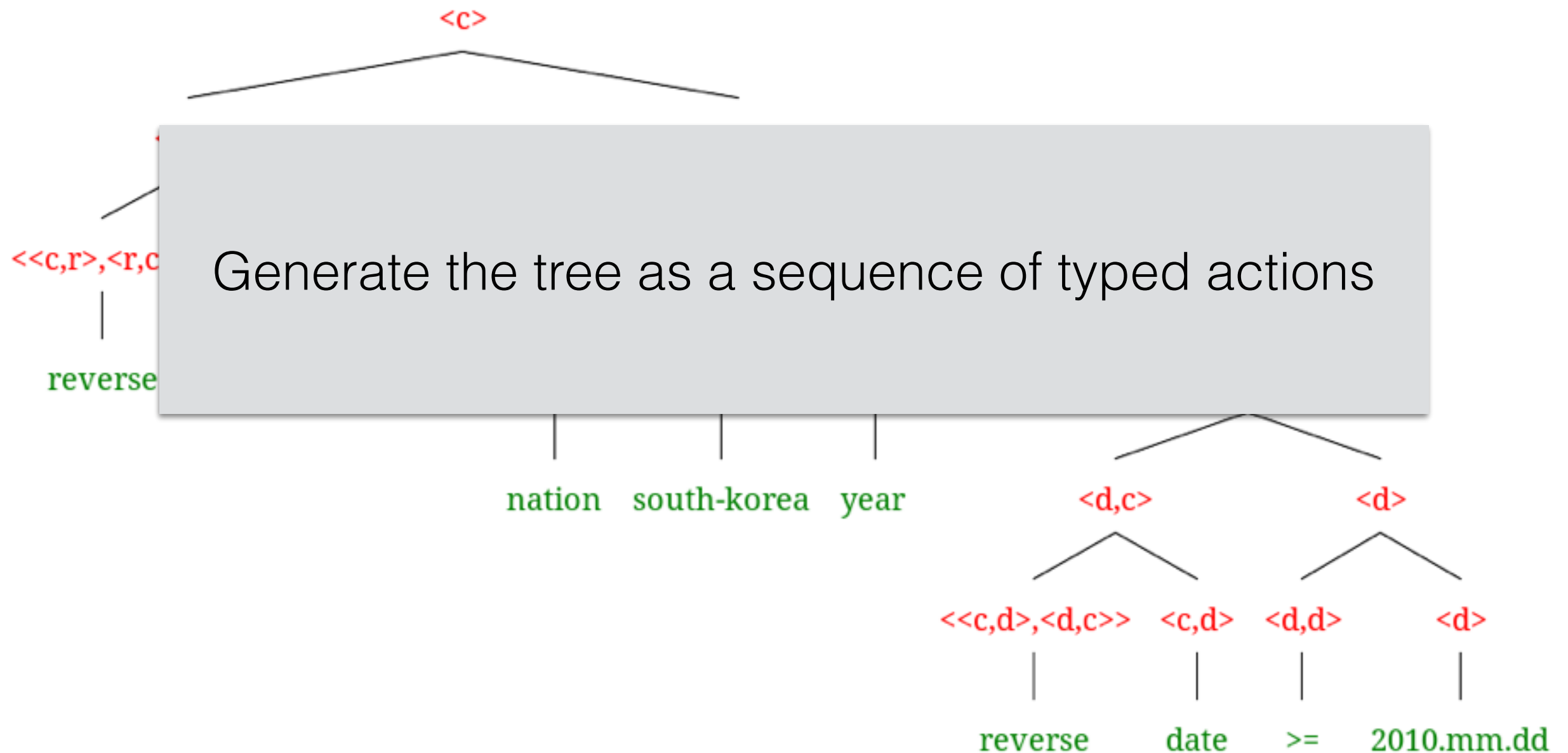
# Constraining output structure and types

((reverse athlete) (and (nation south\_korea) (year ((reverse date) (>= 2010-mm-dd))))



# Constraining output structure and types

((reverse athlete) (and (nation south\_korea) (year ((reverse date) (>= 2010-mm-dd)))))



# Grammar-Constrained Decoding

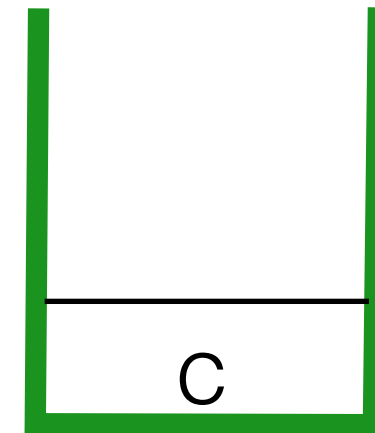
Which athlete was from South Korea after the year 2010?

## Generated Actions

START  $\rightarrow$  c

## Logical Form

c



**Non-terminal Stack**

# Grammar-Constrained Decoding

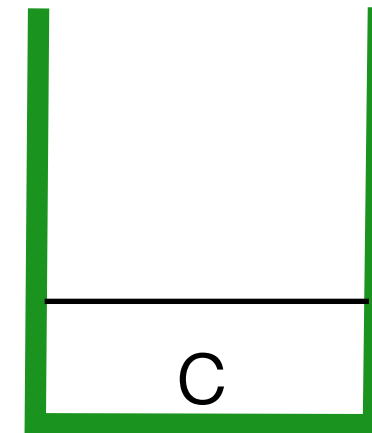
Which athlete was from South Korea after the year 2010?

## Generated Actions

START  $\rightarrow$  c

## Logical Form

c



**Non-terminal Stack**

# Grammar-Constrained Decoding

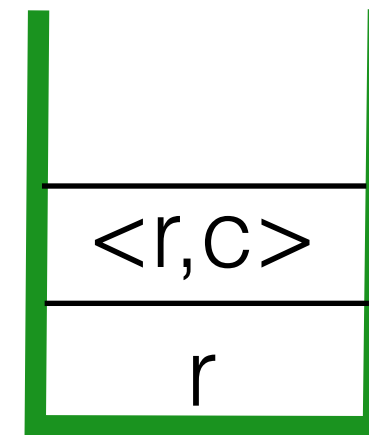
Which athlete was from South Korea after the year 2010?

## Generated Actions

$START \rightarrow c$   
 $c \rightarrow (<r,c> r)$

## Logical Form

$(<r,c> r)$



## Non-terminal Stack

# Grammar-Constrained Decoding

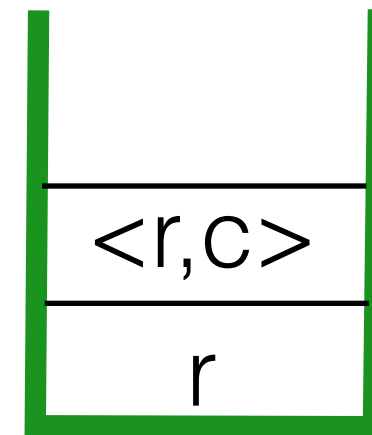
Which athlete was from South Korea after the year 2010?

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$(<r, c> r)$



**Non-terminal Stack**

# Grammar-Constrained Decoding

Which athlete was from South Korea after the year 2010?

## Generated Actions

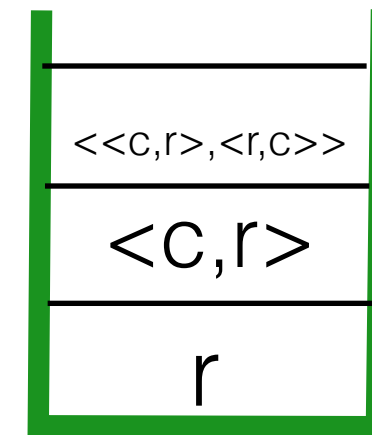
$\text{START} \rightarrow c$

$c \rightarrow (<r,c> r)$

$<r,c> \rightarrow (<<c,r>,<r,c>> <c,r>)$

## Logical Form

$((<<c,r>,<r,c>>, <c,r>) r)$



**Non-terminal Stack**

# Grammar-Constrained Decoding

Which athlete was from South Korea after the year 2010?

## Generated Actions

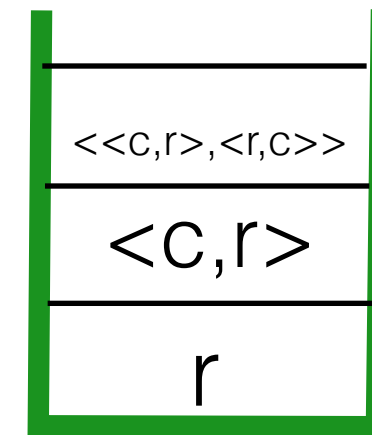
$\text{START} \rightarrow c$

$c \rightarrow (<r,c> \ r)$

$<r,c> \rightarrow (<<c,r>,<r,c>> \ <c,r>)$

## Logical Form

$((<<c,r>,<r,c>>, <c,r>) \ r)$



## Non-terminal Stack



# Grammar-Constrained Decoding

Which athlete was from South Korea after the year 2010?

## Generated Actions

$\text{START} \rightarrow c$

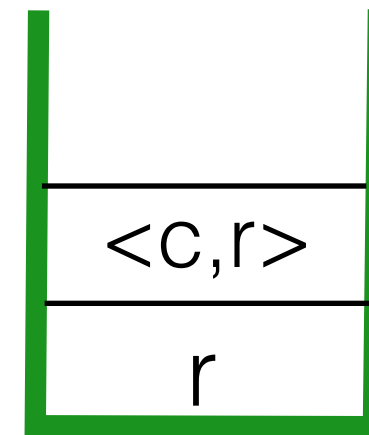
$c \rightarrow (<r,c> r)$

$<r,c> \rightarrow (<<c,r>, <r,c>> <c,r>)$

$<<c,r>, <r,c>> \rightarrow \text{reverse}$

## Logical Form

$((\text{reverse } <c,r>) r)$



**Non-terminal Stack**

# Grammar-Constrained Decoding

Which athlete was from South Korea after the year 2010?

## Generated Actions

$\text{START} \rightarrow c$

$c \rightarrow (<r, c> r)$

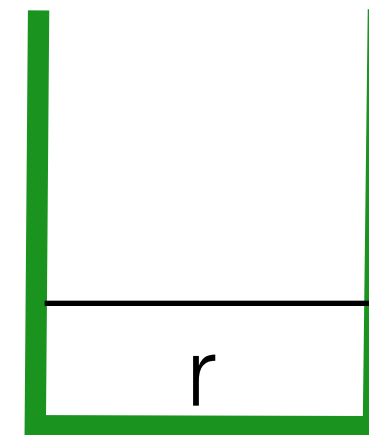
$<r, c> \rightarrow (<<c, r>, <r, c>> <c, r>)$

$<<c, r>, <r, c>> \rightarrow \text{reverse}$

$<c, r> \rightarrow \text{athlete}$

## Logical Form

$((\text{reverse athlete}) r)$



**Non-terminal Stack**

# Grammar-Constrained Decoding

Which athlete was from South Korea after the year 2010?

## Generated Actions

$START \rightarrow c$

$c \rightarrow (<r, c> r)$

$<r, c> \rightarrow (<<c, r>, <r, c>> <c, r>)$

$<<c, r>, <r, c>> \rightarrow \text{reverse}$

$<c, r> \rightarrow \text{athlete}$

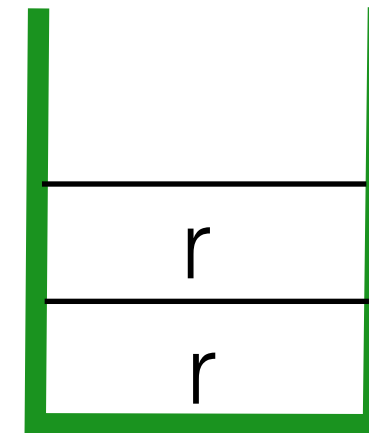
$r \rightarrow (<r, <r, r>> r r)$

$<r, <r, r>> \rightarrow \text{and}$

## Logical Form

((reverse athlete)

(**and** **r** r))



**Non-terminal Stack**

# Grammar-Constrained Decoding

Which athlete was from South Korea after the year 2010?

## Generated Actions

$\text{START} \rightarrow c$

$c \rightarrow (<r, c> r)$

$<r, c> \rightarrow (<<c, r>, <r, c>> <c, r>)$

$<<c, r>, <r, c>> \rightarrow \text{reverse}$

$<c, r> \rightarrow \text{athlete}$

$r \rightarrow (<r, <r, r>> r r)$

$<r, <r, r>> \rightarrow \text{and}$

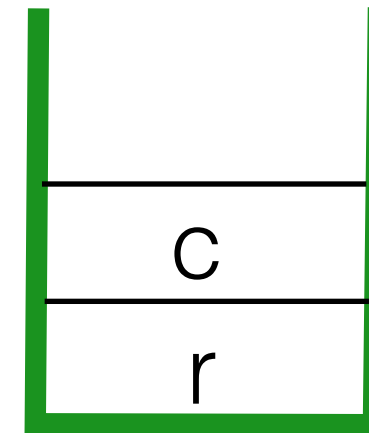
$r \rightarrow (<c, r> c)$

$<c, r> \rightarrow \text{nation}$

## Logical Form

((reverse athlete)

(and (nation **c**) r))



**Non-terminal Stack**

# Grammar-Constrained Decoding

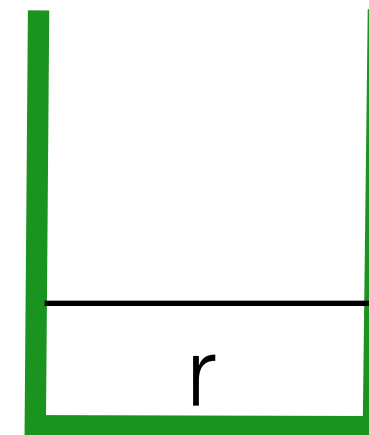
Which athlete was from South Korea after the year 2010?

## Generated Actions

START  $\rightarrow$  c  
c  $\rightarrow$  (<r,c> r)  
<r,c>  $\rightarrow$  (<<c,r>, <r,c>> <c,r>)  
<<c,r>, <r,c>>  $\rightarrow$  **reverse**  
<c,r>  $\rightarrow$  **athlete**  
r  $\rightarrow$  (<r,<r,r>> r r)  
<r,<r,r>>  $\rightarrow$  **and**  
r  $\rightarrow$  (<c,r> c)  
<c,r>  $\rightarrow$  **nation**  
c  $\rightarrow$  **south\_korea**

## Logical Form

((reverse athlete)  
(and (nation south\_korea)  
r))



Non-terminal Stack

# Grammar-Constrained Decoding

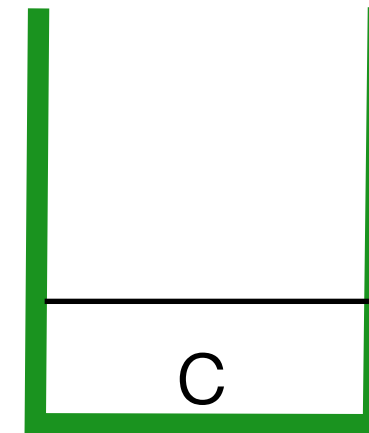
Which athlete was from South Korea after the year 2010?

## Generated Actions

START  $\rightarrow$  c  
c  $\rightarrow$  (<r,c> r)  
<r,c>  $\rightarrow$  (<<c,r>, <r,c>> <c,r>)  
<<c,r>, <r,c>>  $\rightarrow$  **reverse**  
<c,r>  $\rightarrow$  **athlete**  
r  $\rightarrow$  (<r,<r,r>> r r)  
<r,<r,r>>  $\rightarrow$  **and**  
r  $\rightarrow$  (<c,r> c)  
<c,r>  $\rightarrow$  **nation**  
c  $\rightarrow$  **south\_korea**  
r  $\rightarrow$  (<c,r> c)  
<c,r>  $\rightarrow$  **year**

## Logical Form

((reverse athlete)  
(and (nation south\_korea)  
(year c)))



Non-terminal Stack

# Grammar-Constrained Decoding

Which athlete was from South Korea after the year 2010?

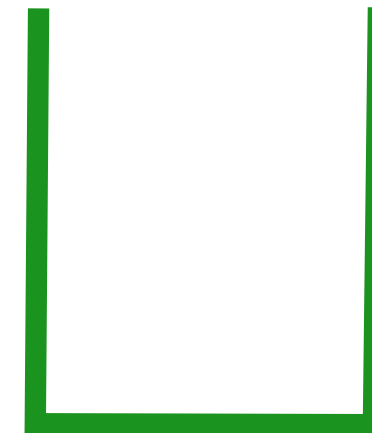
## Generated Actions

START  $\rightarrow$  c  
c  $\rightarrow$  (<r,c> r)  
<r,c>  $\rightarrow$  (<<c,r>, <r,c>> <c,r>)  
<<c,r>, <r,c>>  $\rightarrow$  **reverse**  
<c,r>  $\rightarrow$  **athlete**  
r  $\rightarrow$  (<r,<r,r>> r r)  
<r,<r,r>>  $\rightarrow$  **and**  
r  $\rightarrow$  (<c,r> c)  
<c,r>  $\rightarrow$  **nation**  
c  $\rightarrow$  **south\_korea**  
r  $\rightarrow$  (<c,r> c)  
<c,r>  $\rightarrow$  **year**  
c  $\rightarrow$  (<d,c> d)  
<d,c>  $\rightarrow$  (<<c,d>, <d,c>> <c,d>)  
<<c,d>, <d,c>>  $\rightarrow$  **reverse**

<c,d>  $\rightarrow$  **date**  
d  $\rightarrow$  (**>=** d)  
d  $\rightarrow$  **2010.mm.dd**

## Logical Form

((reverse athlete)  
(and (nation south\_korea)  
(year ((reverse date)  
(**>=** 2010-mm-dd))))



**Non-terminal Stack**

# Grammar-Constrained Decoding

Which athlete was from South Korea after the year 2010?

## Generated Actions

START  $\rightarrow$  c

c  $\rightarrow$  (<r,c> r)

<r,c>  $\rightarrow$  (<<c,r>, <r,c>> <c,r>)

<<c,r>, <

<c,r>  $\rightarrow$

r  $\rightarrow$  (<r,<

<r,<r,r>

r  $\rightarrow$  (<c,

<c,r>  $\rightarrow$

c  $\rightarrow$  **sou**

r  $\rightarrow$  (<c,r>

<c,r>  $\rightarrow$  **year**

c  $\rightarrow$  (<d,c> d)

<d,c>  $\rightarrow$  (<<c,d>, <d,c>> <c,d>)

<<c,d>, <d,c>>  $\rightarrow$  **reverse**

<c,d>  $\rightarrow$  **date**

d  $\rightarrow$  (>= d)

d  $\rightarrow$  **2010.mm.dd**

## Logical Form

((reverse athlete)

(and (nation south\_korea)

(year ((reverse date)

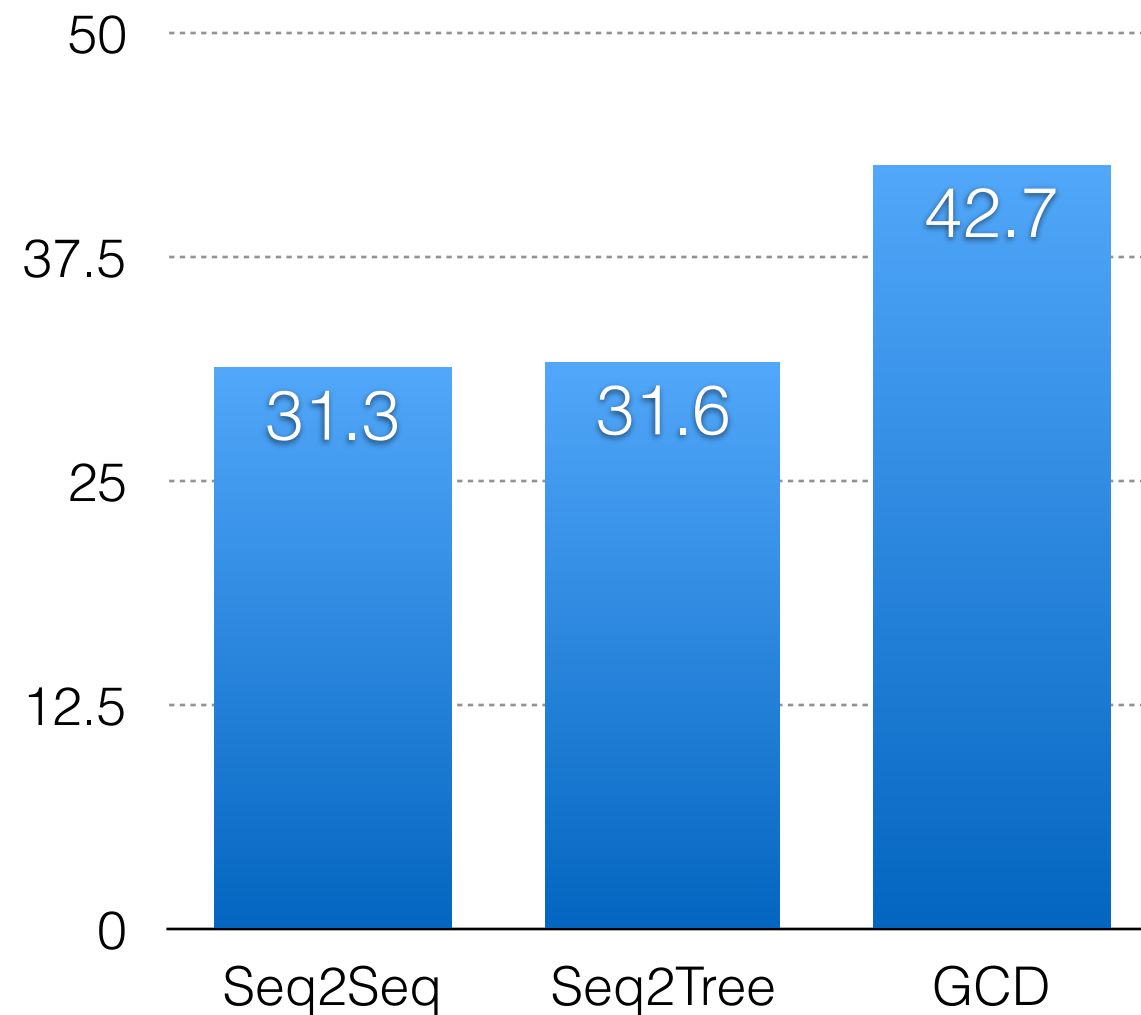
'd))))

- ☒ Need not explicitly model matching parentheses
- ☒ Syntactically valid trees
- ☒ Semantically valid trees

## Non-terminal Stack



# Empirical Comparison with Seq2Seq and Seq2Tree on WikiTableQuestions



# Summary

- Constraining output forces decoder to generate only valid outputs
- Impose hard constraints instead of hoping the model would learn them
- Various hard constraints depending on output space
  - Token-level decoding (Seq2Tree, sketches, etc)
  - Grammar-based constraints