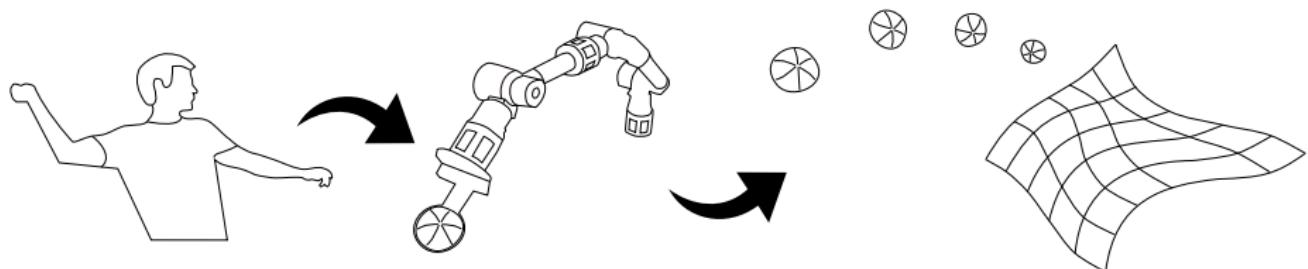


# Learning and Generalizing Behaviors for Robots from Human Demonstration

Alexander Fabisch (DFKI, Universität Bremen)



Gutachter: Prof. Dr. Dr. h. c. Frank Kirchner  
Universität Bremen

Prof. Constantin A. Rothkopf, PhD  
Technische Universität Darmstadt

3. Dezember 2020

1 Motivation

2 State of the Art

3 Contributions

- Conceptual Framework for Automatic Robot Behavior Learning
- Imitation with Automatic Embodiment Mapping
- Sample-Efficient Contextual Policy Search

4 Discussion

5 Outlook

Corresponding publications

# Motivation

# Why Should a Robot Learn?

**Example:**

**RH5 at the moon base**

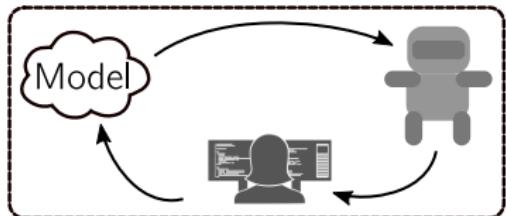


# Why Should a Robot Learn?

**Example:**



- Many different tasks
- We can learn to solve a wide range of tasks
- Learning replaces the feedback loop:

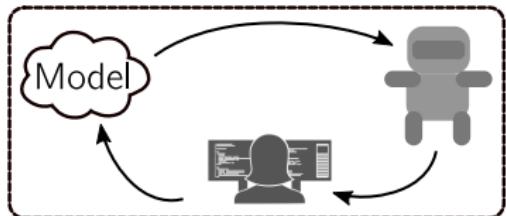


# Why Should a Robot Learn?

**Example:**

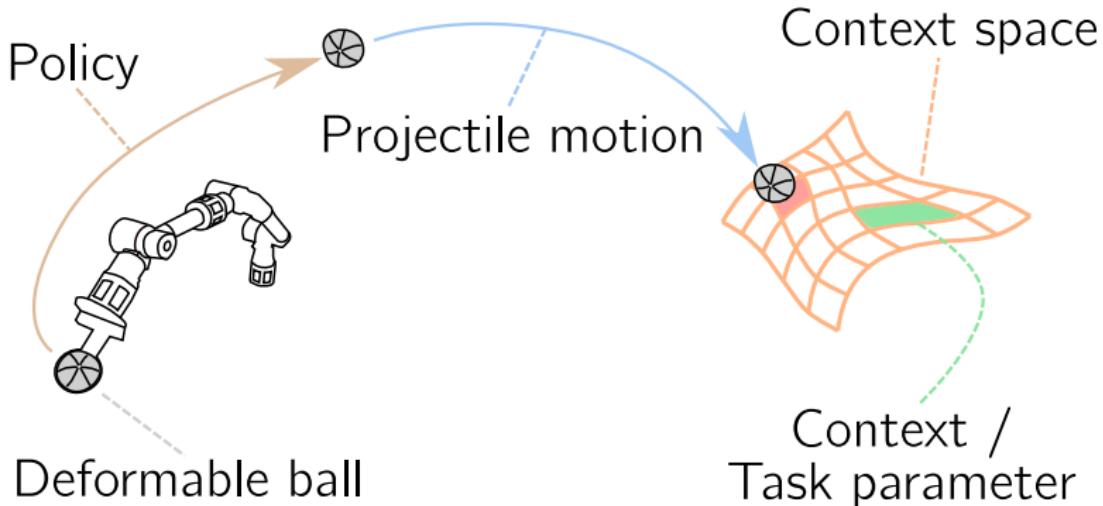


- Many different tasks
- We can learn to solve a wide range of tasks
- Learning replaces the feedback loop:



→ Learning should be a common tool for behavior generation.

# Example: Ball Throwing



- Standard deviation of 4.5–7 cm per throw  
*(noise, inaccurate model)*
- People don't compute projectile motions  
*(heuristic, easier to implement)*

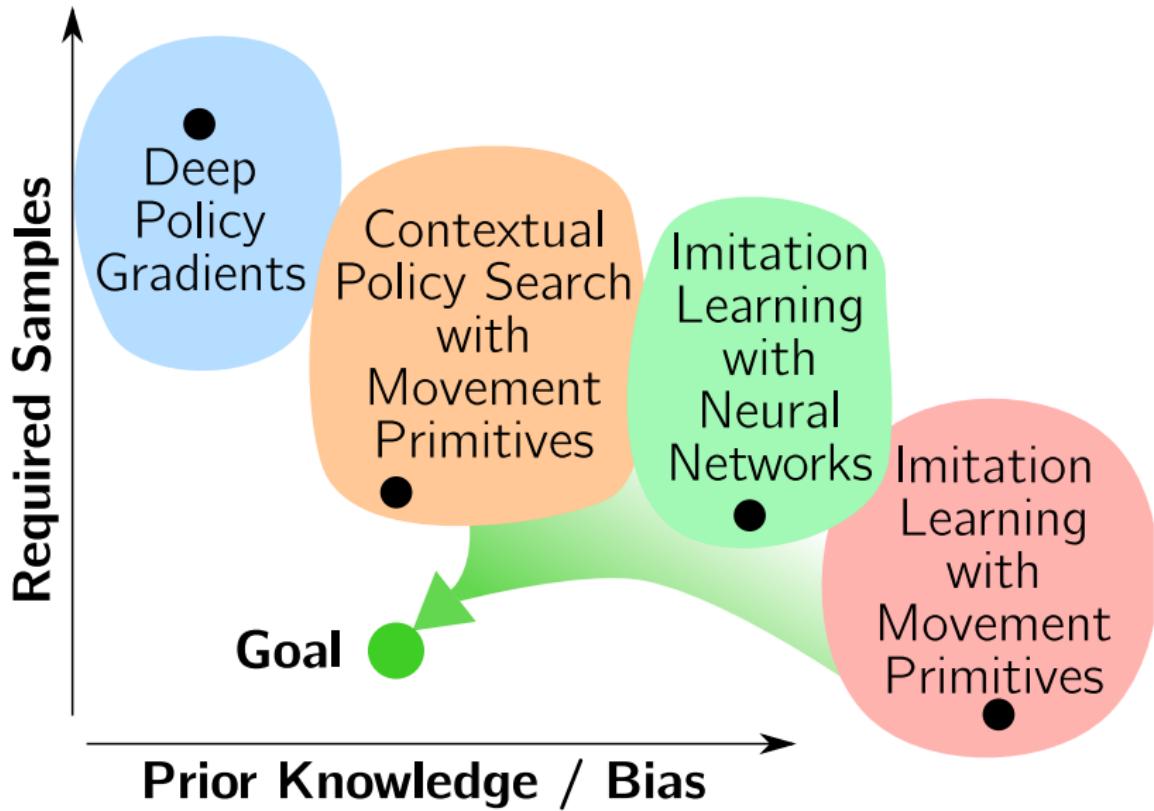
# Learning on Real Robots

- Robots can break (things)
- Wear and tear
- Hardware is expensive
- Maintenance

⇒ Goal: minimize interaction with the environment

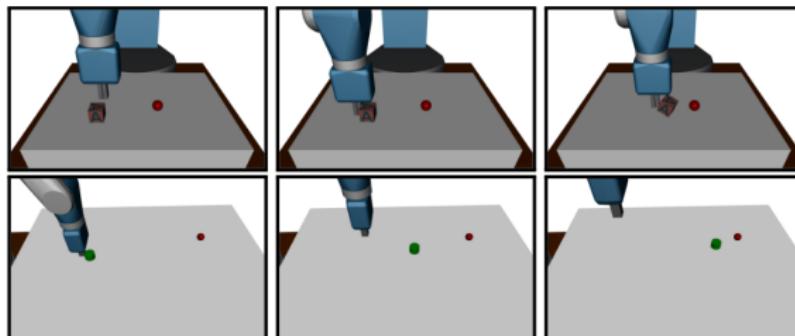
# State of the Art

# State of the Art: Overview



# Hindsight Experience Replay (HER)

(Andrychowicz et al. 2017)



- Baseline and based on: Deep Deterministic Policy Gradients (DDPG) (Lillicrap et al. 2016)
- Policy: neural network
- Return: binary, goal tolerance 7 / 20 cm
- 160,000 episodes, > 90% success rate

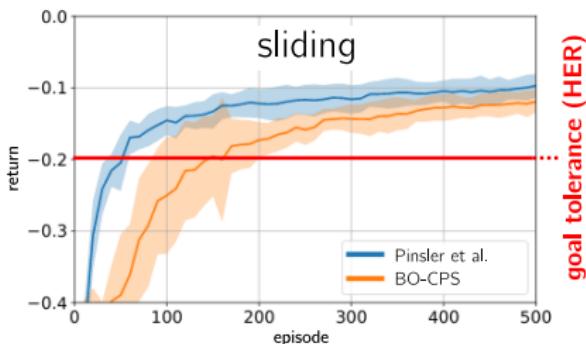
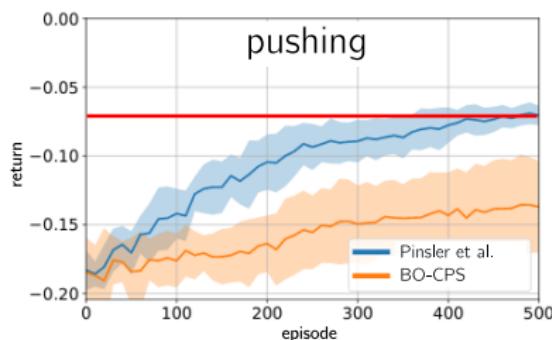
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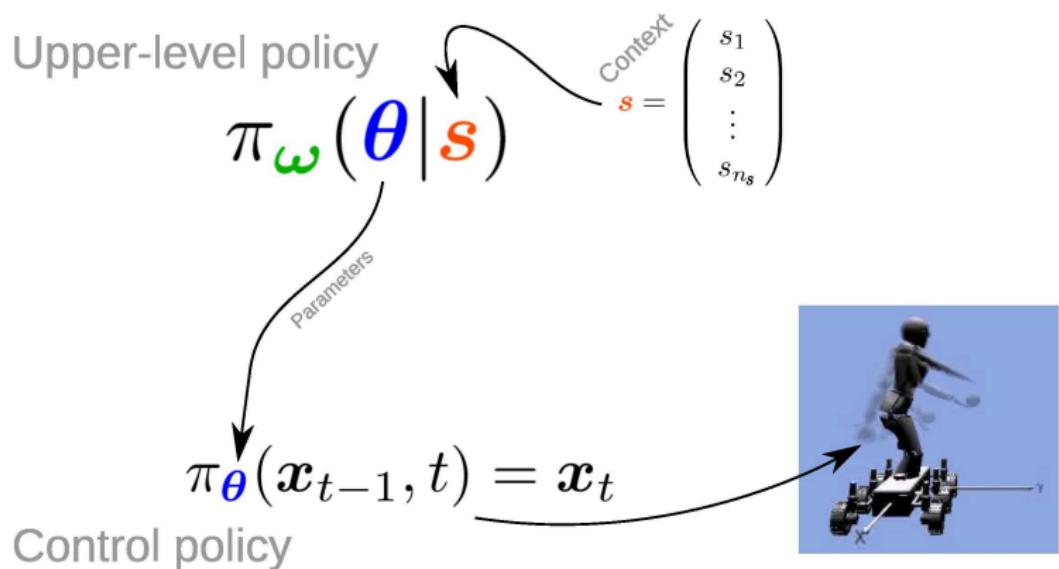
(Pinsler et al. 2019)



goal tolerance (HER)

- Baseline and based on: Bayesian Optimization for CPS (BO-CPS) (Metzen et al. 2015)
- Policy: Dynamical Movement Primitives
- Return: negative distance to target
- Orders of magnitude faster than HER

# Contextual Policy Search (CPS)



# Dynamical Movement Primitive (DMP)

DMPs (Mülling et al. 2013) define trajectories via

$$x_{t'} = \pi_{w,v}(x_t, t)$$

- Weights  $w$  define the shape
- Metaparameters  $v = (x_0, g, \dot{g}, \tau)$ :
  - $x_0$ : initial position
  - $g, \dot{g}$ : final position, velocity
  - $\tau$ : duration
- *Stable* trajectory generators
- Can be used for imitation learning (IL)

# Summary

- Deep RL is not sample-efficient **yet**
- Contextual Policy Search (CPS)
  - robust
  - sample-efficient
  - needs good initialization
- Dynamical Movement Primitive (DMP)
  - initialized from imitation learning
  - domain-specific policy representation

# Contributions

# Challenges / Goals / Contributions

## Challenges

01

Behavior learning is not a common tool

Sample efficiency

Learned behaviors do not generalize

Variety of considered problems is limited

## Goals

02

Reduce required expert knowledge

Sample efficiency (100-300 episodes)

Generalization over context space

Evaluation on various tasks and systems

03

## Contributions

04

Conceptual framework for automatic robot behavior learning

Automatic embodiment mapping for imitation

Sample-efficient contextual policy search

Software: BOLeRo

Corresponding publications

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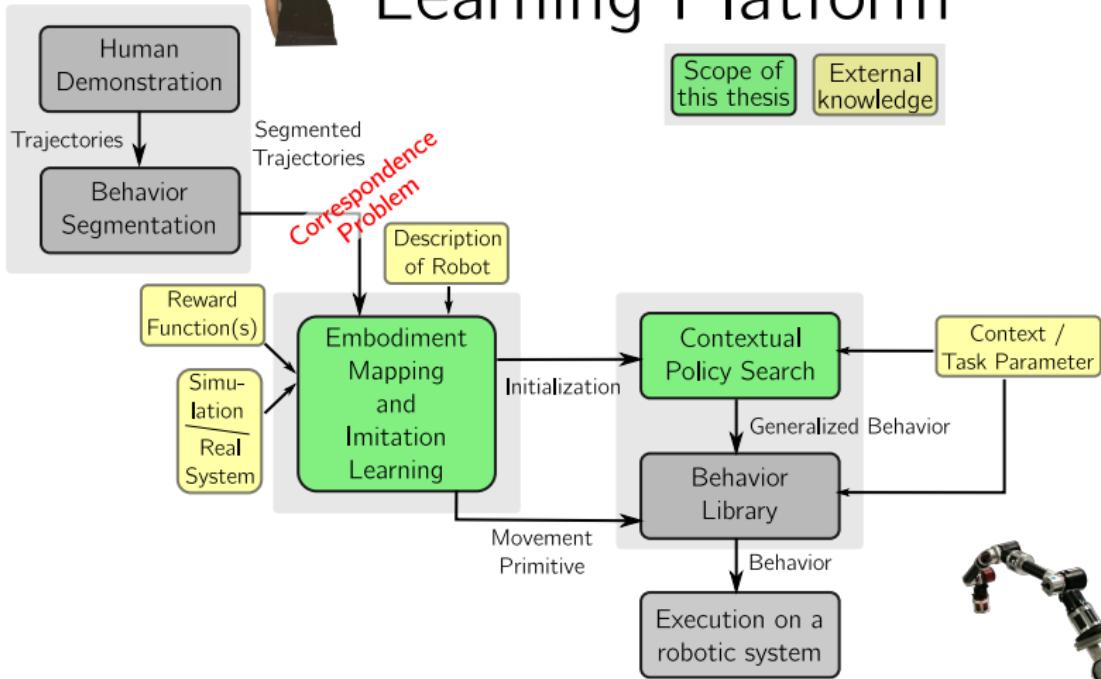
# Contribution: Conceptual Framework for Automatic Robot Behavior Learning

# Framework

11

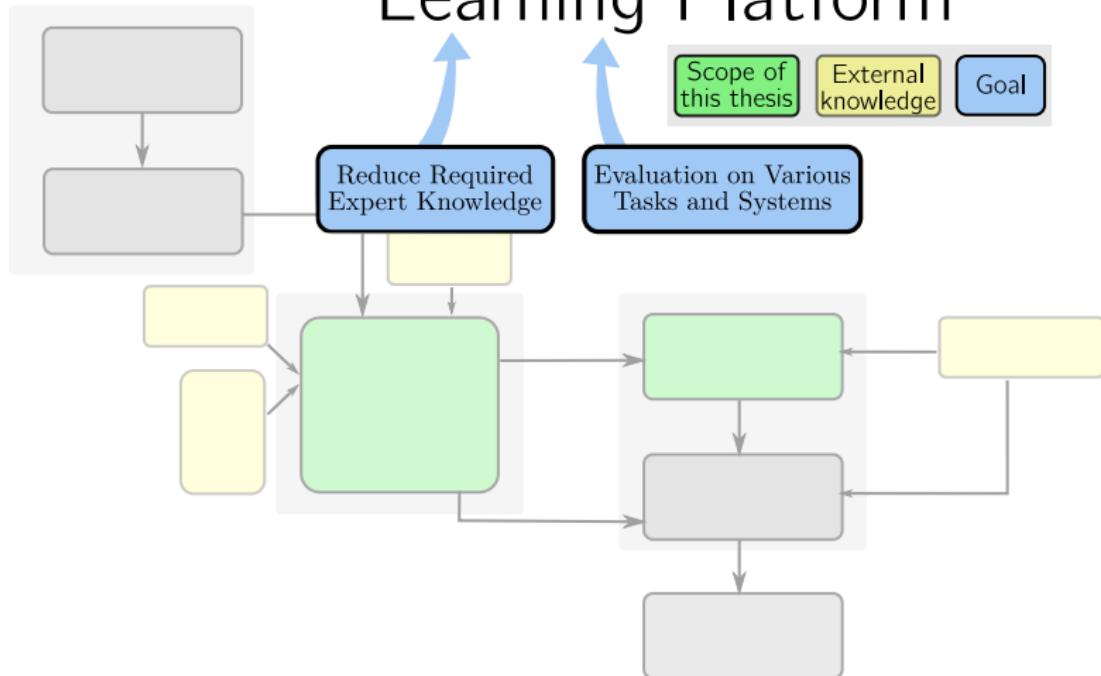


## BesMan Learning Platform



# Framework

## BesMan Learning Platform



# Contributions

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Automatic embodiment mapping for imitation

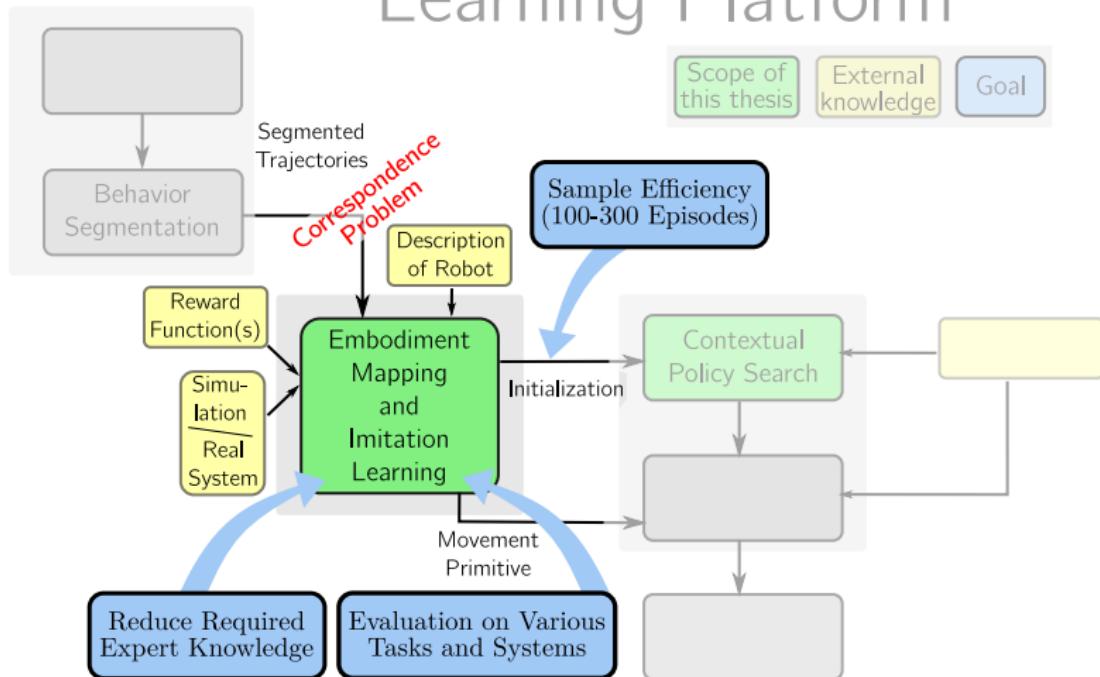
Sample-efficient contextual policy search

Software: BOLeRo

# Contribution: Imitation with Automatic Embodiment Mapping

# Imitation with Embodiment Mapping

## BesMan Learning Platform



# Automatic Embodiment Mapping

Task-agnostic\*

1. Global trajectory optimization
2. Local pose optimization
3. Spatial and temporal scaling

\*Only considers kinematics.

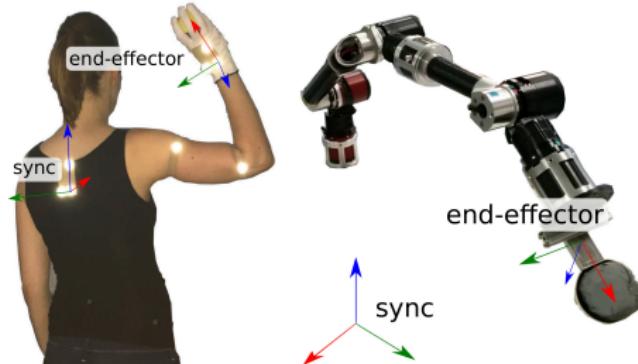
Task-specific<sup>^</sup>

4. Refinement with policy search

^ Adaptation to demonstration  
or to a similar new task

# Global Trajectory Optimization

03



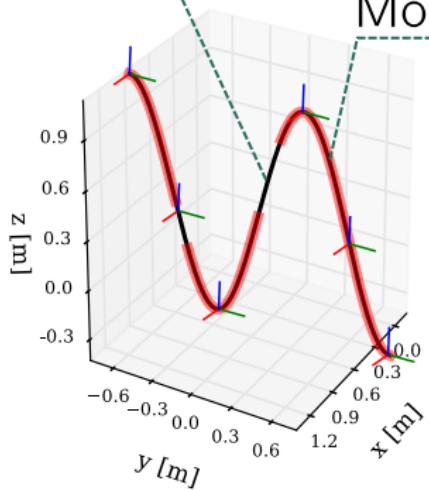
- End-effector trajectories are defined in **synchronization frames**
- These can be optimized for the target system
- Takes into account:
  - reachability, joint speeds
- Does not compensate for:
  - low torque, fingers vs. open scoop

# Local Pose Optimization

04  
III

Example: follow sine with Kuka iiwa

Mostly not reachable (red)

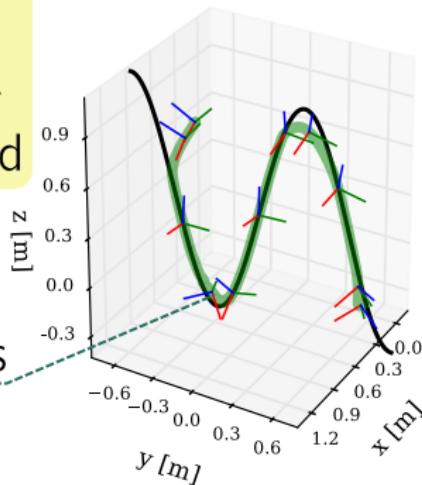


## Solution:

Optimize joint angles to minimize

- pose error
- joint speed

Closest reachable poses



# Local Pose Optimization

04  
≡

# Evaluation of Task-Agnostic Part

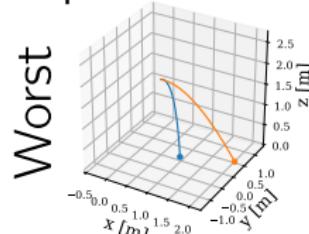
# Evaluation of Task-Agnostic Part

03

- Qualitative comparison of **stick trajectories** from 33 demonstrations
- 27/33 were transferred
- Further refinement is required



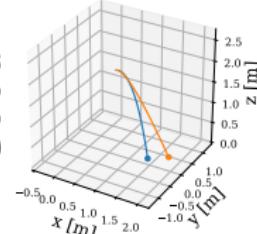
Reproductions:



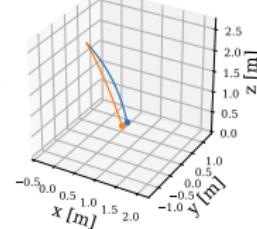
Worst

— Demonstration — Reproduction

Good



Best



# Refinement with Policy Search

02

- Requires task description (reward)
- Existing methods:  
REPS, CMA-ES,  
Bayesian optimization

Episodes (in simulation):

# Refinement with Policy Search

02

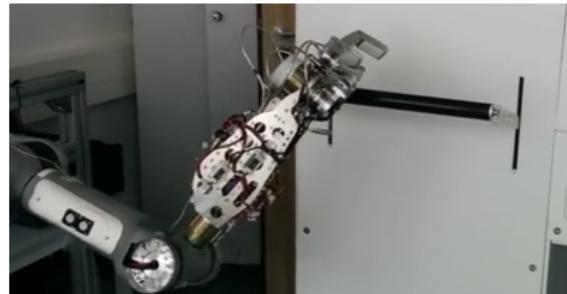


- Requires task description (reward)
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Episodes (in simulation): 50–100 (grasp)

# Refinement with Policy Search

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- Requires task description (reward)
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Episodes (in simulation): 50–100 (grasp), ca. 300 (pull)

# Refinement with Policy Search

02

- Requires task description (reward)
- Existing methods:  
REPS, CMA-ES,  
Bayesian optimization



Episodes (in simulation): 50–100 (grasp), ca. 300 (pull), 50 (throw)

# Summary (Embodiment Mapping)

- Contribution: procedure for automatic embodiment mapping
- Output: robot-specific movement primitive
- Task-specific refinement is required

 02 The BesMan Learning Platform for Automated Robot Skill Learning

L. Gutzeit, A. Fabisch, M. Otto, J.H. Metzen, J. Hansen, F. Kirchner, E.A. Kirchner ([main author](#))  
Journal: Frontiers in Robotics and AI

 03 Automated Robot Skill Learning from Demonstration for Various Robot Systems

L. Gutzeit, A. Fabisch, C. Petzoldt, H. Wiese, F. Kirchner ([main author](#))  
Conference: KI: Advances in Artificial Intelligence

 04 A Comparison of Policy Search in Joint Space and Cartesian Space for Refinement of Skills

A. Fabisch ([main author](#))  
Conference: International Conference on Robotics in Alpe-Adria-Danube Region (RAAD)

# Evaluation of Learning Platform

02  
II



My contribution:  
Embodiment mapping and IL  
Average over  
10 subjects x 3 datasets

Step	Time / 8 throws	Automized	Required knowledge
Motion capture	2:03 min	✗	Marker setup
Marker labeling	4:58 min	✓	
Behavior segmentation	0:44 min	✓	
<b>Imitation learning</b>	4:20 min	✓	Robot model
Transferability approach	85 min	(✓)	Reward, simulation



- Mostly automated workflow
- Interaction with real world is costly (50 episodes)

# Summary (Framework)

- Contributions:
  - 1 embodiment mapping and CPS modules
  - 2 integration of components
  - 3 evaluation
- Mostly automated workflow
- Some knowledge is still required  
(markers, robot model, reward)



The BesMan Learning Platform for Automated Robot Skill Learning

L. Gutzeit, A. Fabisch, M. Otto, J.H. Metzen, J. Hansen, F. Kirchner, E.A. Kirchner ([main author](#))  
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# Contributions

## Challenges

Behavior learning is not a common tool

Sample efficiency

Learned behaviors do not generalize

Variety of considered problems is limited

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## Contributions

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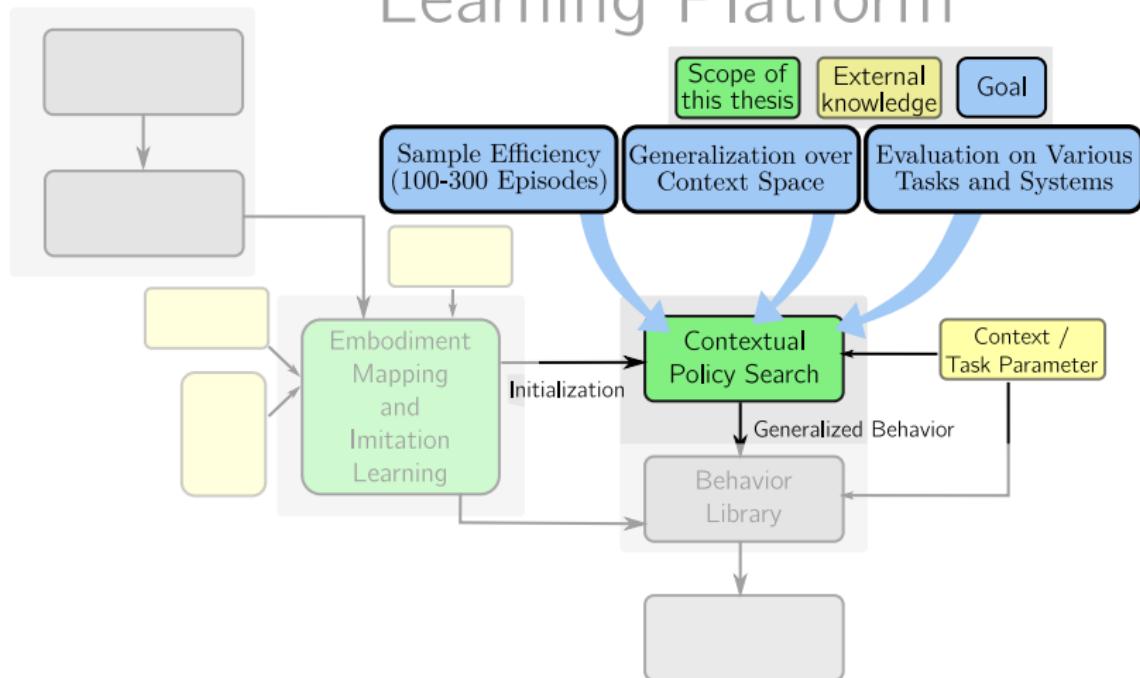
Sample-efficient contextual policy search

Software: BOLeRo

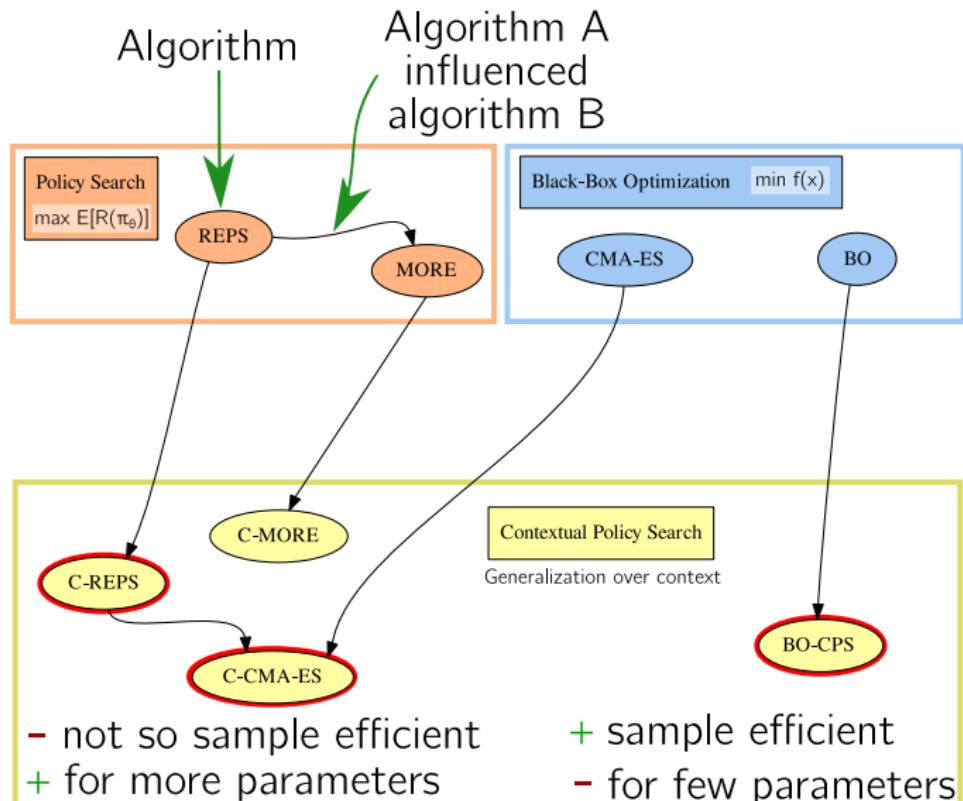
# Contribution: Sample-Efficient Contextual Policy Search

# Sample-Efficient Contextual Policy Search

# BesMan Learning Platform



# State of the Art (CPS)



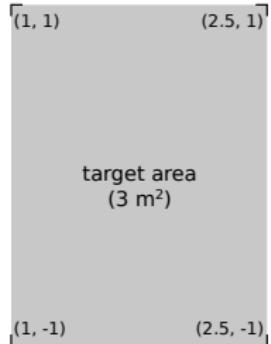
# Ball Throwing



Ball-throwing  
Problem  
(top view)

► robot  
(0, 0)

target area  
( $3 \text{ m}^2$ )



- Easy to understand
- Not too easy for RL

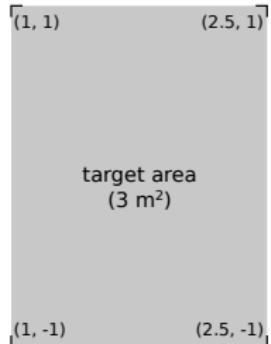
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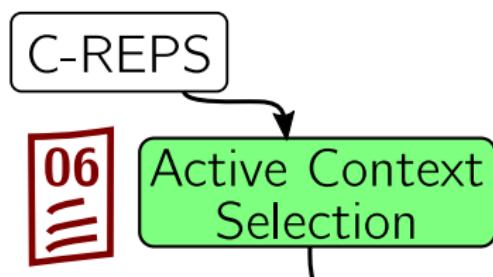
► robot  
(0, 0)

target area  
( $3 \text{ m}^2$ )



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# Contributions to CPS (Part 1)

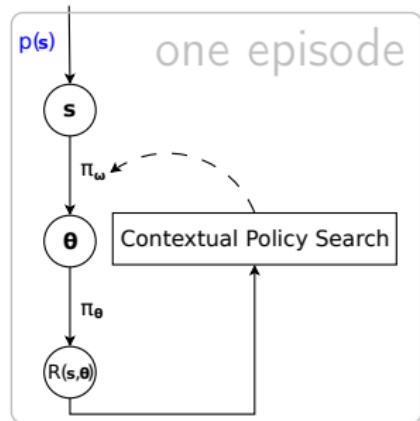


Contribution

Sample efficiency: +33.3%  
Performance: +33.5%  
Episodes: > 8000  
Impact beyond CPS

# Active Context Selection

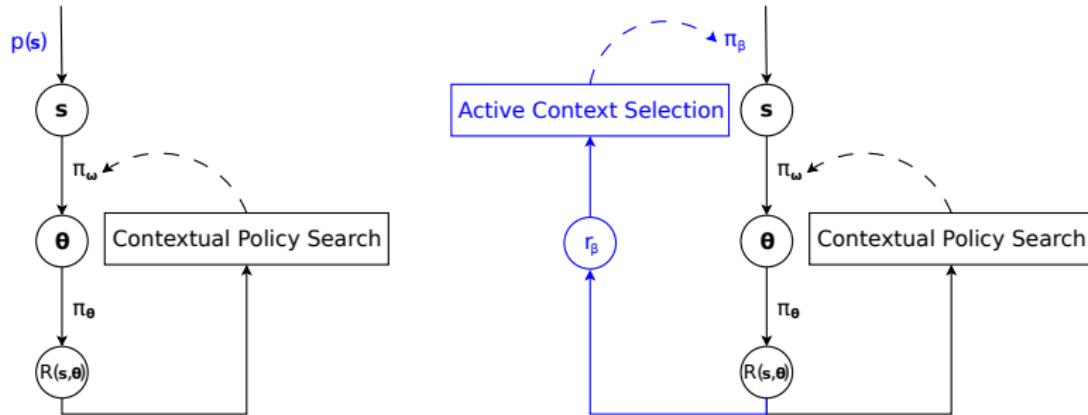
Random context exploration during training



- $p(s)$ : context distribution

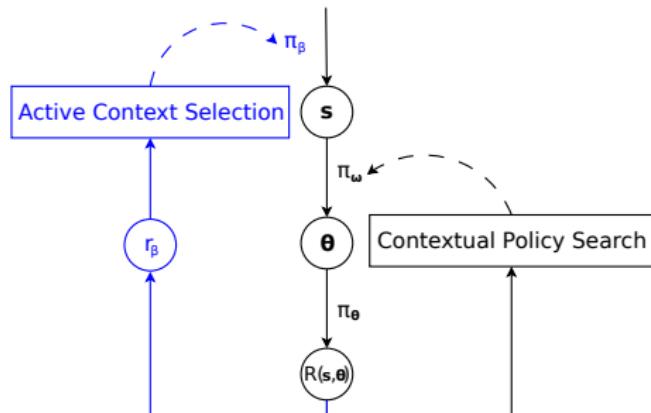
# Active Context Selection

Goal: increase sample efficiency by context selection



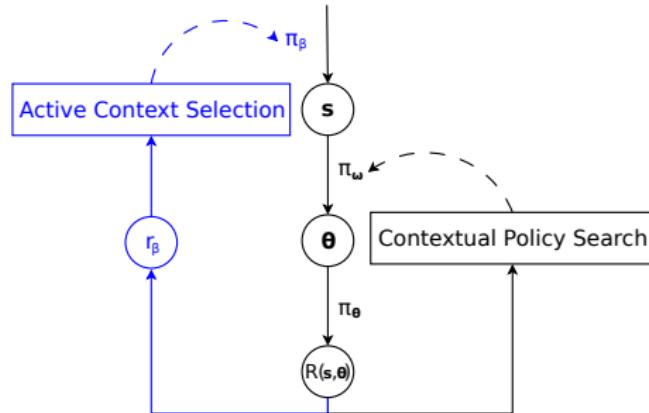
- $p(s)$ : context distribution
- $\pi_\beta$ : policy to select context

# Active Context Selection



- Goal: select  $s_t \in \mathcal{S}$  to maximize  $\mathbb{E} [R_t - R_{t-1}]$
- Idea: model context selection as *non-stationary multi-armed bandit problem*
- Algorithm: Discounted Upper Confidence Bound (D-UCB)

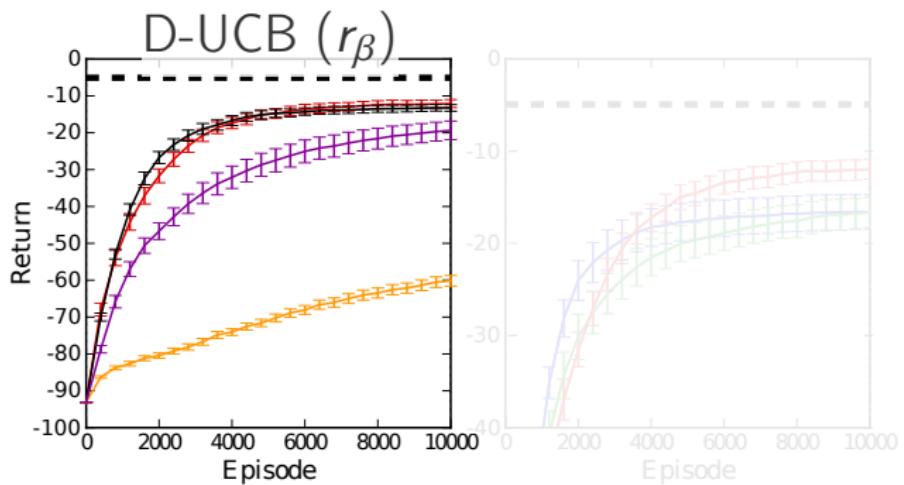
# Active Context Selection



- Reward learning progress ( $r_\beta$ )
- Candidate heuristics for  $r_\beta$ :
  - 1-step progress: difference of successive returns
  - Monotonic progress: maximum of 0 and 1-step progress
  - Best reward: use return directly
  - Diversity: negative return

# Active Context Selection for C-REPS

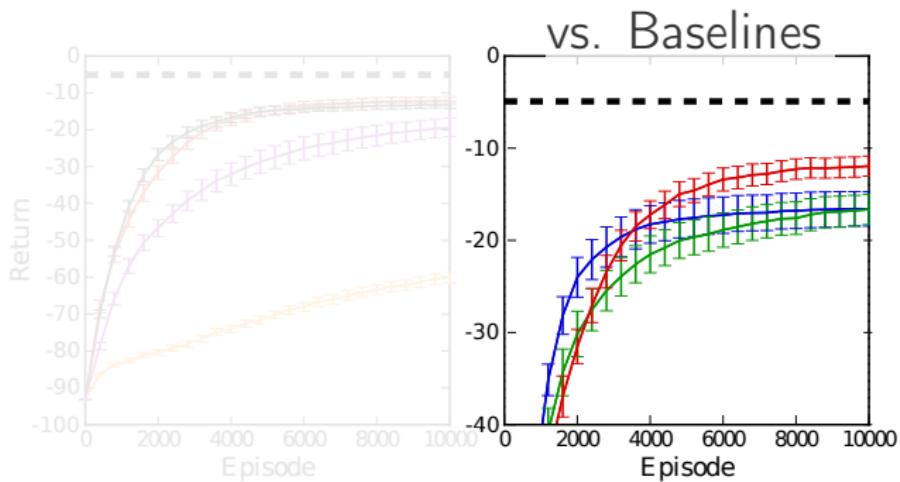
06



- |                 |                      |                  |
|-----------------|----------------------|------------------|
| ■ - Best Policy | — 1-step Progress    | — Round Robin    |
| — Best-Reward   | — Monotonic Progress | — Random (cont.) |
| — Diversity     |                      |                  |

# Active Context Selection for C-REPS

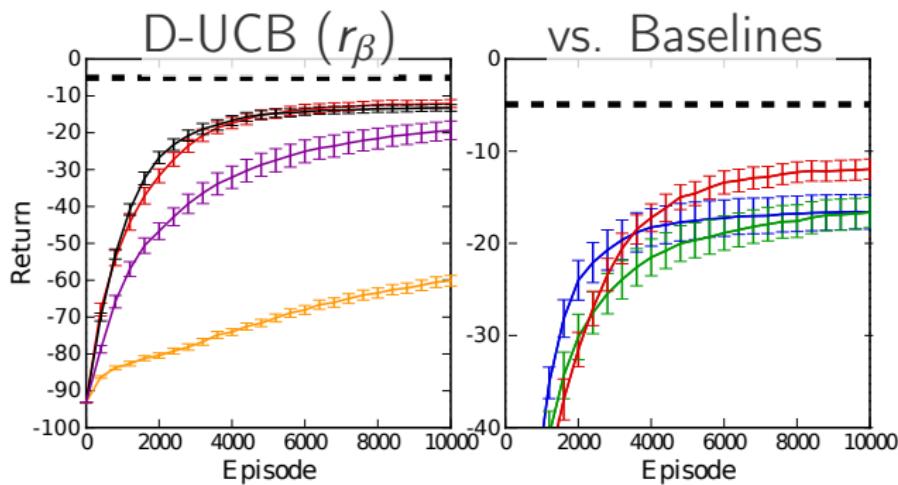
06



- - ■ Best Policy
- Best-Reward
- Diversity
- 1-step Progress
- Monotonic Progress
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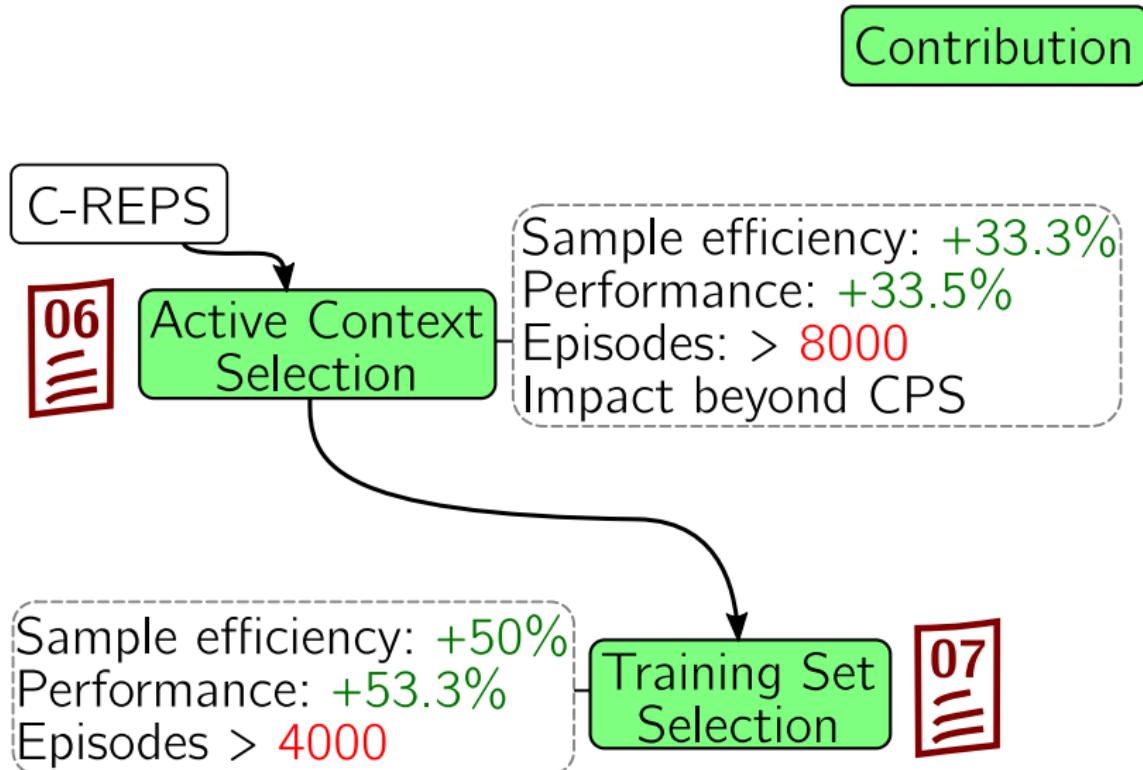
# Active Context Selection for C-REPS

06



- |                 |                      |                  |
|-----------------|----------------------|------------------|
| ■ - Best Policy | — 1-step Progress    | — Round Robin    |
| — Best-Reward   | — Monotonic Progress | — Random (cont.) |
| — Diversity     |                      |                  |

# Contributions to CPS (Part 2)



# Contributions to CPS (Part 3)

C-CMA-ES

08

Surrogate Model

Orders of magnitude better  
Surrogate model is  
**not immediately useful**

09

BO-CPS

Episodes: 80-250  
Only applicable to  
**a few parameters**

Extracts low-dimensional  
parameter space

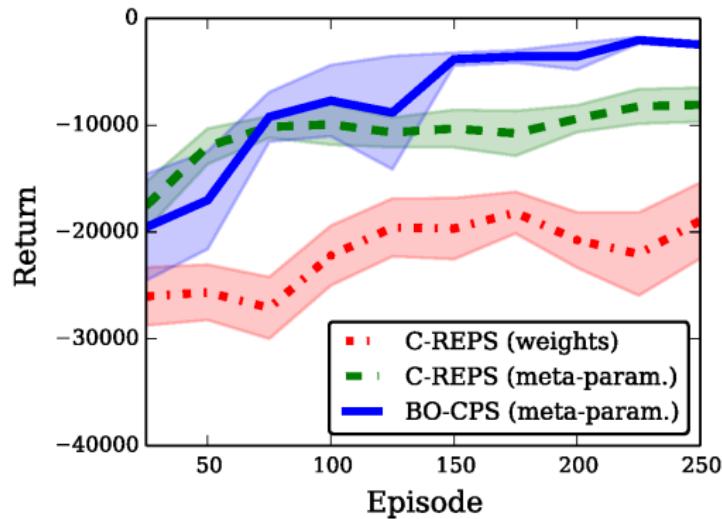
VTAE

10

Contribution

# BO-CPS—Results (Simulation)

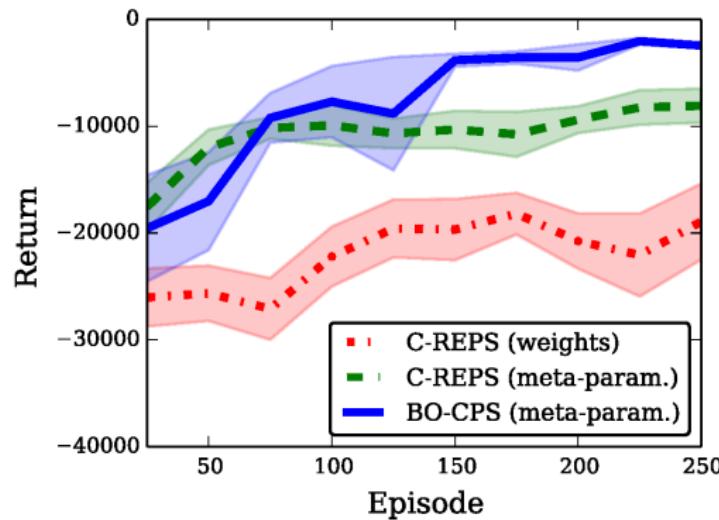
09



Metaparameters,  
manually selected:  
 $(g_0, \tau)$

# BO-CPS—Results (Simulation)

09



Metaparameters,  
manually selected:  
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02

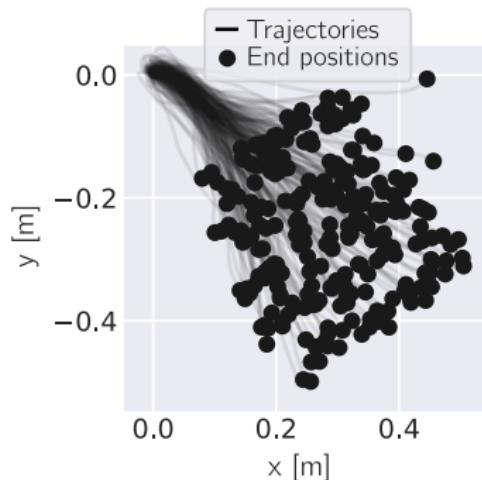
J. Hansen (2015) obtained similar results in 80 episodes on the real robot (Master's thesis)

# Dataset: Grasping Motions

## Research Question

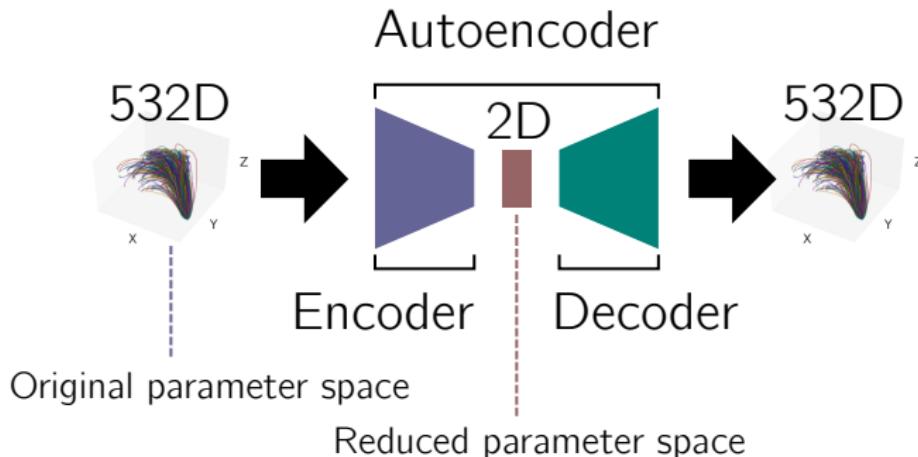
Can we find a small set of parameters automatically?

x-y Projection of Demonstrations



# VTAE: Variational Trajectory Autoencoder

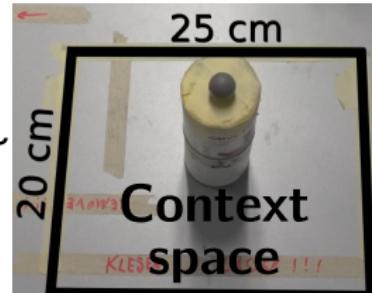
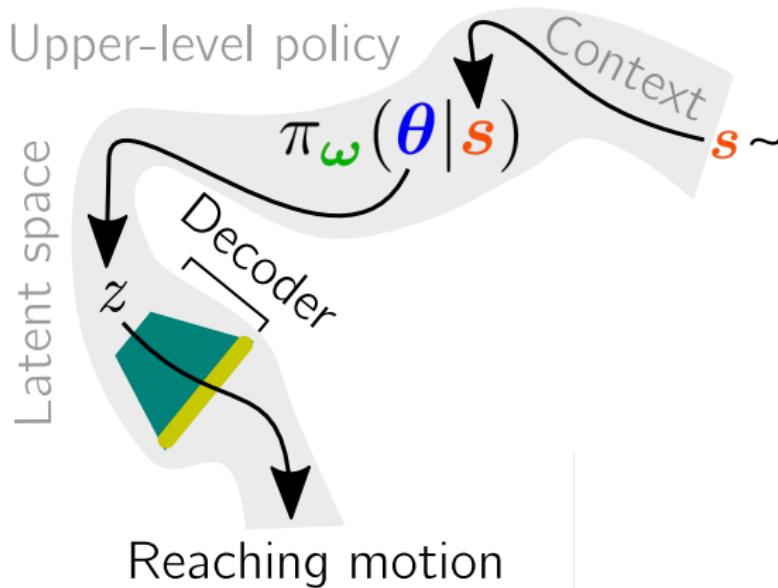
10



- Represents only samples that are close to training set
- VTAE: "Trajectory layer" encourages smoothness

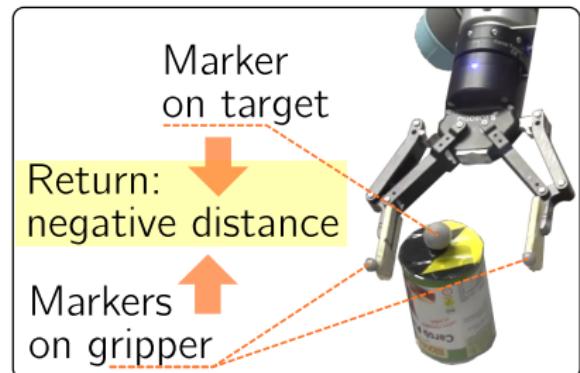
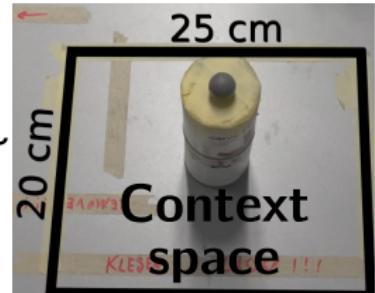
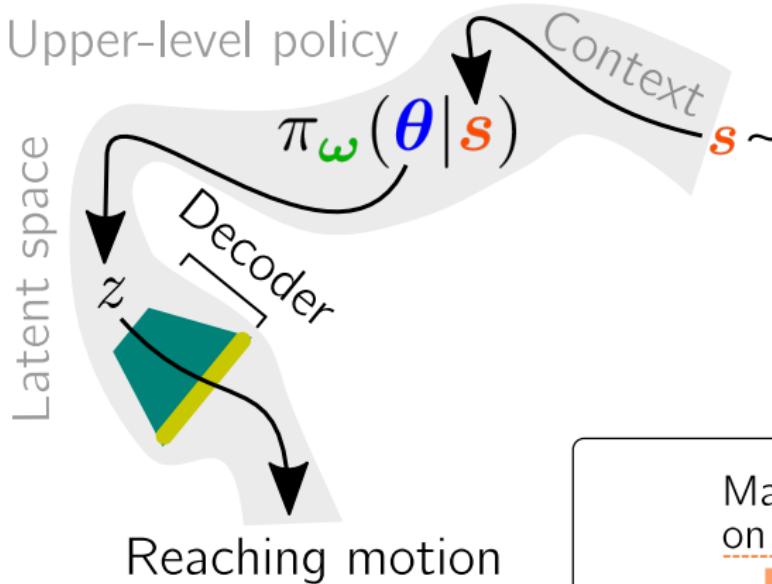


# Experiment: Grasping



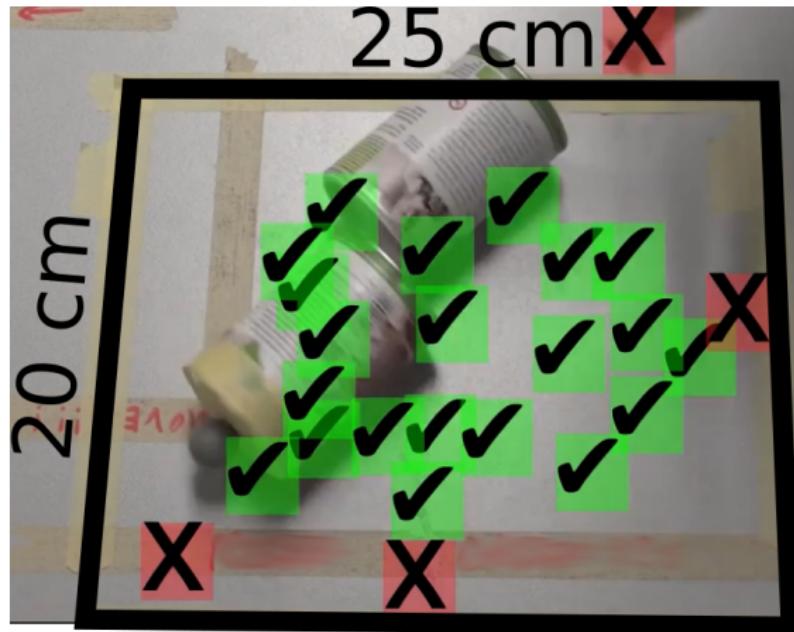
- Budget: 250 episodes

# Experiment: Grasping

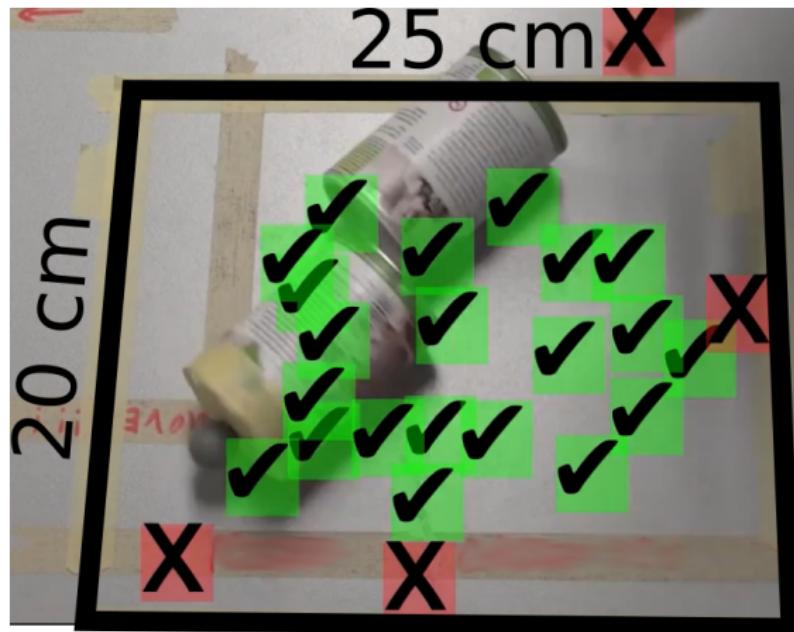


- Budget: 250 episodes

# Final Policy with BO-CPS



# Final Policy with BO-CPS



# Summary

- Most success: reward model + manifold learning
- We can apply BO-CPS to throwing and grasping
- Active context selection led to follow-up works

## Contributions:

 06 Active Contextual Policy Search

A. Fabisch, J.H. Metzen ([main author](#))

Journal: Journal of Machine Learning Research

 07 Accounting for Task-Difficulty in Active Multi-Task Robot Control Learning

A. Fabisch, J.H. Metzen, M.M. Krell, F. Kirchner ([main author](#))

Journal: Künstliche Intelligenz

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- We can apply BO-CPS to throwing and grasping
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## Contributions:

 08 Empirical Evaluation of Contextual Policy Search with a Comparison-based Surrogate Model and Active Covariance Matrix Adaptation

A. Fabisch ([main author](#))

Conference: Genetic and Evolutionary Computation Conference (GECCO)

 09 Bayesian Optimization for Contextual Policy Search

J.H. Metzen, A. Fabisch, J. Hansen (co-author)

Workshop: Machine Learning in Planning and Control of Robot Motion (at IROS)

 10 Variational Trajectory Autoencoder for Sample-Efficient Policy Search

A. Fabisch, F. Kirchner ([main author](#))

Conference: Conference on Robot Learning ([submitted](#))

# Discussion

# Contributions

## Challenges

Behavior learning is not a common tool

Sample efficiency

Learned behaviors do not generalize

Variety of considered problems is limited

## Goals

Reduce required expert knowledge

Sample efficiency (100-300 episodes)

Generalization over context space

Evaluation on various tasks and systems

## Contributions

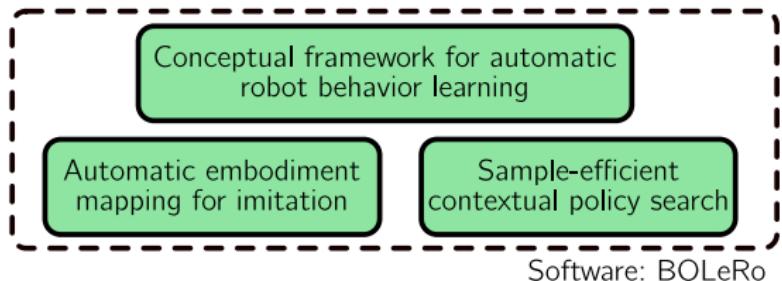
Conceptual framework for automatic robot behavior learning

Automatic embodiment mapping for imitation

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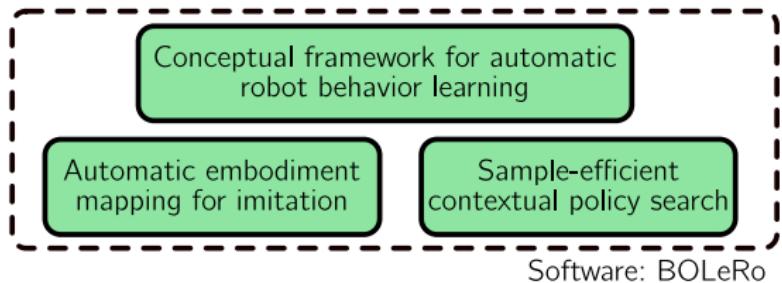
Software: BOLeRo

# More Contributions



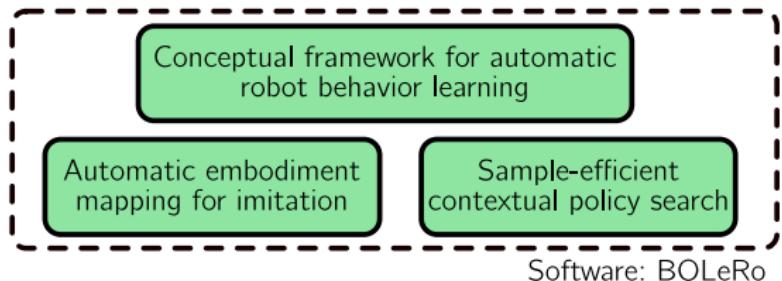
- Review of behavior learning for robots  
(problems, algorithms, alternatives)

# More Contributions



- Review of behavior learning for robots
- Evaluation of embodiment mapping on 697 demonstrations, learning platform on 240 demonstrations
- Experiments on 4 real and 7 simulated robots

# More Contributions



- Review of behavior learning for robots
- Evaluation of embodiment mapping on 697 demonstrations, learning platform on 240 demonstrations
- Experiments on 4 real and 7 simulated robots
- Models
  - ▷ VTAE
  - ▷ PUBSVE (estimates upper boundary of data)

# Outlook

# Next Steps

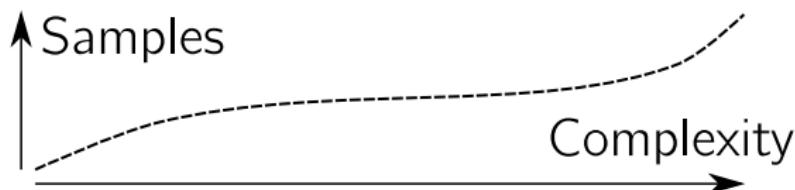
- Combination of trajectory generators with computer vision
- Exploration of methods for reward generation

# Next Steps

- Combination of trajectory generators with computer vision
- Exploration of methods for reward generation

## Questions

- What should we learn?
- Which inductive biases should we use?
- How should we measure progress?



# Appendix

# Publications I

## Introduction

 A Survey of Behavior Learning Applications in Robotics—State of the Art and Perspectives

A. Fabisch, C. Petzoldt, M. Otto, F. Kirchner ([main author](#))

Journal: International Journal of Robotics Research ([submitted](#))

## Automatic Embodiment Mapping

 The BesMan Learning Platform for Automated Robot Skill Learning

L. Gutzeit, A. Fabisch, M. Otto, J.H. Metzen, J. Hansen, F. Kirchner, E.A. Kirchner ([main author](#))

Journal: Frontiers in Robotics and AI

 Automated Robot Skill Learning from Demonstration for Various Robot Systems

L. Gutzeit, A. Fabisch, C. Petzoldt, H. Wiese, F. Kirchner ([main author](#))

Conference: KI: Advances in Artificial Intelligence

 A Comparison of Policy Search in Joint Space and Cartesian Space for Refinement of Skills

A. Fabisch ([main author](#))

Conference: International Conference on Robotics in Alpe-Adria-Danube Region (RAAD)

# Publications II

## Contextual Policy Search

### 05 Learning in Compressed Space

A. Fabisch, Y. Kassahun, H. Wörle, and F. Kirchner ([main author](#))  
Journal: Neural Networks

### 06 Active Contextual Policy Search

A. Fabisch, J.H. Metzen ([main author](#))  
Journal: Journal of Machine Learning Research

### 07 Accounting for Task-Difficulty in Active Multi-Task Robot Control Learning

A. Fabisch, J.H. Metzen, M.M. Krell, F. Kirchner ([main author](#))  
Journal: Künstliche Intelligenz

### 08 Empirical Evaluation of Contextual Policy Search with a Comparison-based Surrogate Model and Active Covariance Matrix Adaptation

A. Fabisch ([main author](#))  
Conference: Genetic and Evolutionary Computation Conference (GECCO)

### 09 Bayesian Optimization for Contextual Policy Search

J.H. Metzen, A. Fabisch, J. Hansen (co-author)  
Workshop: Machine Learning in Planning and Control of Robot Motion (at IROS)

### 10 Variational Trajectory Autoencoder for Sample-Efficient Policy Search

A. Fabisch, F. Kirchner ([main author](#))  
Conference: Conference on Robot Learning ([submitted](#))

# Publications III

## Framework

-  **Towards Learning of Generic Skills for Robotic Manipulation**  
J.H. Metzen, A. Fabisch, L. Senger, J. de Gea Fernandez, E.A. Kirchner (co-author)  
Journal: Künstliche Intelligenz
-  **BOLeRo: Behavior Optimization and Learning for Robots**  
A. Fabisch, M. Langosz, F. Kirchner (**main author**)  
Journal: International Journal of Advanced Robotic Systems

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-  Hansen, Nikolaus and Andreas Ostermeier (2001). "Completely Derandomized Self-Adaptation in Evolution Strategies". In: *Evolutionary Computation* 9.2, pp. 159–195. ISSN: 1063-6560. DOI: 10.1162/106365601750190398.
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