Rule-based Graph Repair using Minimally Restricted Consistency-Improving Transformations

Alexander Lauer

January 31, 2023

Abstract

Contents

1 Introduction

2 Related Work

3 Preliminaries

Our graph repair process is based on the concept of the double-pushout approach [1]. In this chapter we introduce some formal prerequisites such as graphs, graph morphisms, nested graph conditions and constraints, and graph transformations.

3.1 Graphs and Graph morphisms

We start by introducing graphs and graph morphisms according to [1].

Definition 3.1 (**graph**). *A graph $G = (V, E, \mathrm{src}, \mathrm{tar})$ consists of a set of vertices (or nodes) V, a set of edges E and two mappings $\mathrm{src}, \mathrm{tar} : E \to V$ that assign the source and target vertices to an edge. The edge $e \in E$ connects the vertices $\mathrm{tar}(e)$ and $\mathrm{src}(e)$.*

If no tuple as above is given, V_G, E_G, tar_G and src_G denote the sets of vertices, edges and target and source mappings, respectively.

For the rest of this paper we will assume that all graphs are finite, i.e. given a graph G, the sets V_G and E_G are finite.

Definition 3.2 (**graph morphism**). *Let the graphs G and H be given. A graph morphism $f : G \to H$ consists of two mappings $f_V : V_G \to V_H$ and $f_E : E_G \to E_H$ such that the source and target functions are preserved. This means*

$$f_V \circ \mathrm{src}_G = \mathrm{src}_H \circ f_E \wedge$$
$$f_V \circ \mathrm{tar}_G = \mathrm{tar}_G \circ f_E$$

holds. A graph morphism f is called injective (surjective) if f_E and f_V are injective (surjective) mappings. If f is injective, it is denoted with $f : G \hookrightarrow H$. Two morphisms $f_1 : G_1 \to H$ and $f_2 : G_2 \to H$ are called jointly surjective if for each element e of H either an element $e' \in G_1$ with $f_1(e') = e$ or an element $e' \in G_2$ with $f_2(e') = e$ exists.

For our newly introduced notions of consistency increase and maintainment, we also need to consider *subgraphs*, *overlaps* of graphs, and so-called *intermediate graphs*. Intuitively, intermediate graphs are graphs G' which lie between two given graphs G and H. That is, G is a subgraph of G' and G' is a subgraph of H.

Definition 3.3 (**subgraph**). *Let the graphs G and H be given. Then G is called a subgraph of H if an injective morphism $p : G \hookrightarrow H$ exists.*

Note that since the injective morphism can also be surjective, by this definition every graph G is a subgraph of itself.

Definition 3.4 (**intermediate-graph**)**.** *Let G and H be graphs such that G is a subgraph of H. A graph C is called an* intermediate-graph *of G and H, if G is a subgraph of C and C is a subgraph of H. The set of intermediate-graphs of G and H is denoted by $\mathrm{IG}(G, H)$.*

Definition 3.5 (**overlap**)**.** *Let the graphs G_1 and be G_2 be given. An* overlap $P = (H, i_{G_1}, i_{G_2})$ *consists of a graph H and a jointly surjective pair of injective morphisms $i_{G_1} : G_1 \hookrightarrow H$ and $i_{G_2} : G_2 \hookrightarrow H$ with $i_{G_1}(G_1) \cap i_{G_2}(G_2) \neq \emptyset$. The set of all overlaps of G_1 and G_2 is denoted by $\mathrm{ol}(G_1, G_2)$. If a tuple as above is not given, then G_P, $i_{G_1}^P$ and $i_{G_2}^P$ denote the graph and morphisms of a given overlap $P \in \mathrm{ol}(G_1, G_2)$.*

Note that (H, i_{G_1}, i_{G_2}) with i_{G_1} and i_{G_2} being jointly surjective and $i(G_1) \cap i'(G_2) = \emptyset$ could also be considered as an overlap of G_1 and G_2. In this paper we only need to consider overlaps with $i_{G_1}(G_1) \cap i_{G_2}(G_2) \neq \emptyset$. So we have embedded this property directly into the definition.

As mentioned above, our approach also considers intermediate graphs. Therefore a notion of restricted graph morphisms is needed. For this, we introduce the notion of *restricted morphisms*, which intuitively is the restriction of the domain and co-domain of a morphism $p : G \hookrightarrow H$ with subgraphs of G and H respectively.

Definition 3.6 (**restricted morphism**)**.** *Let the graphs G, H and a morphism $f : G \to H$ be given. Then, a morphism $f' : G' \to H'$ is called a* restricted morphism *of p if morphisms $i : G' \hookrightarrow G$ and $i' : H' \hookrightarrow H$ exist (G' is a subgraph of G and H' is a subgraph of H) such that*

$$i'_E \circ f'_E = f_E \circ i_E \wedge$$
$$i'_V \circ f'_V = f_V \circ i_V.$$

A restricted morphism of p is denoted by p^r.

Note that given a morphism $p : G \to H$ a restriction $p^r : G' \to H'$ of p is uniquely determined by G' and H'.

3.2 Nested Graph Conditions and Constraints

Nested graph constraints are useful for specifying graph properties. The more general notion of *nested graph conditions* allows the specification of properties for graph morphisms and the definition of graph conditions and constraints in a recursive manner. Within these conditions, only quantifiers and Boolean operators are used [4].

Definition 3.7 (**nested graph condition**)**.** *A nested graph condition over a graph C_0 is defined recursively as*

1. true *is a graph condition over every graph.*

2. $\exists(a_0 : C_0 \hookrightarrow C_1, d)$ *is a graph condition over C_0 if a_0 is an injective graph morphism and d is a graph condition over C_1.*

3. $\neg d$, $d_1 \wedge d_2$ and $d_1 \vee d_2$ are graph conditions over C_0 if d, d_1 and d_2 are graph conditions over C_0.

Conditions over the empty graph $\emptyset$ are called constraints. We use the abbreviations $\forall(a_0 : C_0 \hookrightarrow C_1, d) := \neg\exists(a_0 : C_0 \hookrightarrow C_1, \neg d)$ and $\mathsf{false} = \neg\,\mathsf{true}$.

Conditions of the form $\exists(a_0 : C_0 \hookrightarrow C_1, d)$ are called existentially bound, the graph C_1 is also called existentially bound. Conditions of the form $\forall(a_0 : C_0 \hookrightarrow C_1, d)$ are called universally bound, the graph C_1 is also called universally bound.

Since these are the only types of conditions that will be used in this paper, we will refer to them only as *conditions* and *constraints*. We will use the more compact notations $\exists(C_1, d)$ for $\exists(a_0 : C_0 \hookrightarrow C_1, d)$ and $\forall(C_1, d)$ for $\forall(a_0 : C_0 \hookrightarrow C_1, d)$ if C_0 and a_0 are clear from the context.

Definition 3.8 (**semantic of graph conditions**). *Given a graph G, a condition c over C_0 and a graph morphism $p : C_0 \hookrightarrow G$. Then p satisfies c, denoted by $p \models c$, if*

1. *If $c = \mathsf{true}$.*

2. *If $c = \exists(a_0 : C_0 \hookrightarrow C_1, d)$: There does exists an injective morphism $q : C_1 \hookrightarrow G$ with $p = q \circ a_0$ and $q \models d$.*

3. *If $c = \neg d$: $p \not\models d$.*

4. *If $c = d_1 \wedge d_2$: $p \models d_1$ and $p \models d_2$.*

5. *If $c = d_1 \vee d_2$: $p \models d_1$ or $p \models d_2$.*

A graph G satisfies a constraint c, denoted by $G \models c$, if the morphism $p : \emptyset \hookrightarrow G$ satisfies c.

Our approach is designed to repair a specific type of constraint, constraints without any boolean operators. Each of these conditions can be transformed into an equivalent condition in so-called *alternating quantifier normal form* [7]. As the name suggests, these are conditions with alternating quantifiers and without any boolean operators.

Definition 3.9 (**alternating quantifier normal form (ANF)**). *Conditions in* alternating quantifier normal form *(ANF) are defined recursively as*

1. true *and* false *are conditions in ANF.*

2. $\exists(a_0 : C_0 \hookrightarrow C_1, d)$ *is a condition in ANF if either d is an universally bound condition over C_1 in ANF or $d = \mathsf{true}$.*

3. $\forall(a_0 : C_0 \hookrightarrow C_1, d)$ *is a condition in ANF if either d is an existentially bound condition over C_1 in ANF or $d = \mathsf{false}$.*

$$
\begin{array}{ccc}
C_0 & \overset{i}{\lhook\joinrel\longrightarrow} & C_0' \\
{\scriptstyle a_0}\big\uparrow\big\downarrow & & {\scriptstyle a_0'}\big\downarrow \\
C_1 & \underset{i'}{\lhook\joinrel\longrightarrow} & C_1'
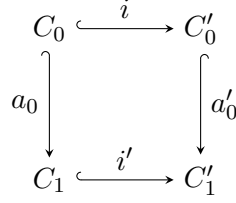\end{array}
$$

Figure 1: Diagram for the Shift operator.

In both cases, d is called a subcondition *of $\exists(a : C_0 \hookrightarrow C_1, d)$ or $\forall(a : C_0 \hookrightarrow C_1, d)$ respectively. All subcondition of d are also subconditions of $\exists(a : C_0 \hookrightarrow C_1, d)$ or $\forall(a : C_0 \hookrightarrow C_1, d)$ respectively. The* nesting level $\mathrm{nl}(c)$ *of a condition c is recursively defined as $\mathrm{nl}(\mathsf{true}) = \mathrm{nl}(\mathsf{false}) = 0$ and $\mathrm{nl}(\exists(a : P \hookrightarrow Q, d)) = \mathrm{nl}(\forall(a : P \hookrightarrow Q, d)) := \mathrm{nl}(d) + 1$.*

In the literature, conditions in ANF also allow conditions that end with conditions of the form $\exists(C_1, \mathsf{false})$ or $\forall(C_1, \mathsf{true})$. We exclude these cases so that conditions in ANF can only end with conditions of the form $\exists(C_1, \mathsf{true})$ or $\forall(C_1, \mathsf{false})$, since it is easily seen that every morphism $p : C_0 \hookrightarrow G$ satisfies $\forall(C_1, \mathsf{true})$ and does not satisfy $\exists(C_1, \mathsf{false})$. Therefore, these conditions can be replaced by true and false respectively.

In the following, we assume that all conditions are finite. As a direct consequence, the nesting level is also finite.

Using the *shift over morphism* construction, we are able to transform a nested condition over C into a nested condition over C' via an injective morphism $i : C \hookrightarrow C'$ [4].

Definition 3.10 (**shift over morphism**). *Let a condition c over C_0 and a morphism $i : C_0 \hookrightarrow C_0'$ be given. The* shift *of c over i, denoted by $\mathrm{Shift}(c, i)$, is given by*

1. *If $c = \mathsf{true}$, $\mathrm{Shift}(c, i) = \mathsf{true}$.*

2. *If $c = \exists(a_1 : C_0 \hookrightarrow C_1, d)$, $\mathrm{Shift}(c, i) = \bigvee_{(a', i') \in \mathcal{F}} \exists(a', \mathrm{Shift}(d, i'))$ with $\mathcal{F}$ being the set of all pairs (a', i') of injective morphisms that are jointly surjective and $i' \circ a = a' \circ i$, i.e., the diagram shown in Figure 1 commutes.*

3. *If $c = \neg d$, $\mathrm{Shift}(c, i) = \neg\,\mathrm{Shift}(d, i)$*

4. *If $c = d_1 \wedge d_2$, $\mathrm{Shift}(c, i) = \mathrm{Shift}(d_1, i) \wedge \mathrm{Shift}(d_2, i)$*

5. *If $c = d_1 \vee d_2$, $\mathrm{Shift}(c, i) = \mathrm{Shift}(d_1, i) \vee \mathrm{Shift}(d_2, i)$*

Lemma 3.11. *Let a condition c over C_0 and a morphism $i : C_0 \hookrightarrow C_0'$ be given. Then, for each morphism $m : C_0' \hookrightarrow G$,*

$$m \models \mathrm{Shift}(c, i) \iff m \circ i \models c$$

$$L \xleftarrow{\quad l \quad} K \xhookrightarrow{\quad r \quad} R$$
$$\Big\downarrow m \qquad (1) \quad k \qquad (2) \qquad \Big\downarrow n$$
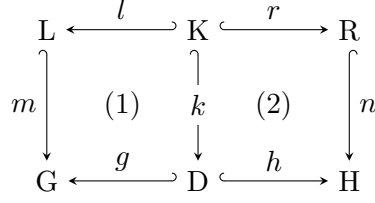$$G \xleftarrow{\quad g \quad} D \xhookrightarrow{\quad h \quad} H$$

Figure 2: Diagram of a transformation in the double-pushout approach.

3.3 Rules and Graph Transformations

Via *rules* and *graph transformation* graphs can be modified by inserting or deleting nodes and edges. We will use the concept of the double-pushout approach for rules and transformations, which is based on category theory [1]. A rule consists of the three graphs L, called the *left-hand side*, K, called *context*, and R, called *right-hand side*, where K is a subgraph of L and R. During a transformation, denoted by $G \Longrightarrow H$, elements of $L \setminus K$ are removed and elements of $R \setminus K$ are inserted so that a new morphism $p : R \hookrightarrow H$ is created. In addition, the so-called *dangling edge condition* must be satisfied, which means that for every edge $e \in E_H$ there are vertices $u, v \in V_H$ such that $\mathrm{tar}(e) = u$ and $\mathrm{src}(e) = v$ or vice versa. We also define application conditions. These are nested conditions over L and R that prevent the transformation if they are not satisfied. Later, we will use application conditions to ensure that transformations cannot reduce consistency. For example, application conditions that prevent a transformation if $G \models c$ and $H \not\models c$.

Definition 3.12 (**rules and application conditions**). *A plain rule $\rho = L \xleftarrow{l} K \xrightarrow{r} R$ consists of graphs L, K, R and injective graph morphisms $l : K \hookrightarrow L$ and $r : K \hookrightarrow R$. The rule $\rho^{-1} = R \xleftarrow{r} K \xhookrightarrow{l} L$ is called the inverse rule of ρ'.*

An application condition is a nested condition over L or R respectively. A rule $(\mathrm{ap}_L, \rho, \mathrm{ap}_R)$ consists of a plain rule ρ and application conditions ap_L over L, called left application condition, and ap_R over R, called right application condition respectively.

Definition 3.13 (**graph transformation**). *Let a rule $\rho = (\mathrm{ap}_L, \rho', \mathrm{ap}_R)$, a graph G and a morphism $m : L \hookrightarrow G$, called the match, be given. Then, a graph transformation $t : G \Longrightarrow_{\rho, m} H$ is given in Figure 2 if the squares (1) and (2) are pushouts in the sense of category theory, $m \models \mathrm{ap}_L$ and the morphism $n : L \hookrightarrow H$, called the co-match of t, satisfies ap_R.*

The presence of right applications conditions leads to unpleasant side effects. The satisfaction of a right application condition can only be checked after the transformation. The transformation must therefore be reversed if the co-match does not satisfy this condition. To avoid this, we introduce the *shift over rule* operation, which is capable of transforming a right into an equivalent left application condition [4].

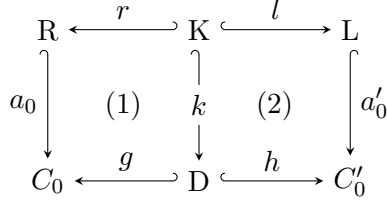**Definition 3.14** (**shift over rule**). *Let a plain rule $\rho = L \xleftarrow{l} K \xhookrightarrow{r} R$ and a right*

Figure 3: Transformation for the shift over rule operator.

application condition ap *of ρ be given. The* shift of ap over ρ, *denoted with* $\mathrm{Left}(\mathrm{ap}, \rho)$, *is defined as*

1. *If* $\mathrm{ap} = \text{true}$, $\mathrm{Left}(\mathrm{ap}, \rho) := \text{true}$.

2. *If* $\mathrm{ap} = \neg d$, $\mathrm{Left}(\mathrm{ap}, \rho) := \neg\, \mathrm{Left}(d, \rho)$.

3. *If* $\mathrm{ap} = d_1 \wedge d_2$, $\mathrm{Left}(\mathrm{ap}, \rho) := \mathrm{Left}(d_1, \rho) \wedge \mathrm{Left}(d_2, \rho$.

4. *If* $\mathrm{ap} = d_1 \vee d_2$, $\mathrm{Left}(\mathrm{ap}, \rho) := \mathrm{Left}(d_1, \rho) \vee \mathrm{Left}(d_2, \rho)$.

5. *If* $\mathrm{ap} = \exists(a_0 : R \hookrightarrow C_1, d)$, $\mathrm{Left}(\mathrm{ap}, \rho) := \exists(a_0' : L \hookrightarrow C_0', \mathrm{Left}(d, \rho')$ *where* $\rho' = C_0 \xleftarrow{g} D \xhookrightarrow{h} C_0'$ *is the rule derived in Figure 3 by applying ρ^{-1} at match a_0. If this transformation does not exist, we set* $\mathrm{Left}(\mathrm{ap}, \rho) := \text{false}$.

Lemma 3.15. *Let a plain rule $\rho = L \xleftarrow{l} K \xrightarrow{r} R$, a right application condition* ap *for ρ and a transformation $t : G \Longrightarrow_{\rho,m} H$ be given. Then,*

$$m \models \mathrm{Left}(\mathrm{ap}, \rho) \iff n \models \mathrm{ap}\,.$$

Shift over rule produces an equivalent left application condition, meaning that, given a right application condition ap and a plain rule ρ , a match of a transformation satisfies $\mathrm{Left}(\mathrm{ap}, \rho)$ if and only if the co-match satisfies ap [4]. Since every right application condition can be transformed into an equivalent left application condition, we will assume from now on that each rule contains only left application conditions. These rules are denoted by (ap, ρ).

Via the *track morphism* it is possible to track elements across a transformation [6].

Definition 3.16 (**track morphism**)**.** *Consider the transformation t shown in figure 2. The* track morphism, $\mathrm{tr}_t : G \to H$, *of t is defined as*

$$\mathrm{tr}_t = \begin{cases} h(g^{-1}(e)) & \text{if } e \in g(D) \\ \text{undefined} & \text{otherwise.} \end{cases}$$

For example, given a transformation $t : G \Longrightarrow H$, the track morphism can be used to check whether a morphism $p : C \hookrightarrow G$ still exists in the derived graph H by checking
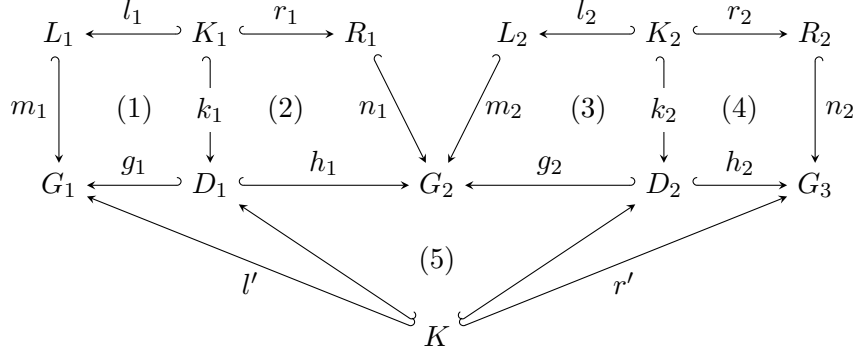
Figure 4: Pushout diagram of the transformation sequence $G_1 \Longrightarrow_{\rho_1,m_1} G_2 \Longrightarrow_{\rho_2,m_2} G_3$ using the rules $\rho_1 = L_1 \xleftarrow{l_1} K_1 \xrightarrow{r_1} R_1$ and $\rho_2 = L_2 \xleftarrow{l_2} K_2 \xrightarrow{r_2} R_2$.

whether $\mathrm{tr}_t \circ p$ is total, or whether a new morphism $q : C \hookrightarrow H$ has been inserted by checking that no morphism $p : C \hookrightarrow H$ with $q = \mathrm{tr}_t \circ p$ exists.

Given a sequence of transformations, the notion of *concurrent rules* can be used to describe this sequence by a rule. In other words, any sequence of transformations can be replaced by a transformation via its concurrent rule [2].

Definition 3.17 (concurrent rule). *Let the rules* $\rho_1 = L_1 \xleftarrow{l_1} K_1 \xrightarrow{r_1} R_1$, $\rho_2 = L_2 \xleftarrow{l_2} K_2 \xrightarrow{r_2} R_2$ *and a sequence of transformations*

$$G_1 \Longrightarrow_{\rho_1,m_1} G_2 \Longrightarrow_{\rho_2,m_2} G_3$$

be given. Then, $\rho' = G_1 \xleftarrow{l'} K \xrightarrow{r'} G_3$ *is called the* concurrent rule *of the transformation sequence* *if the square* (5) *in* *4 is a pullback.*

A transformation sequence $G_1 \Longrightarrow_{\rho_1,m_1} G_2 \Longrightarrow_{\rho_2,m_2} G_3$ can be replaced by a transformation $G_1 \Longrightarrow_{\rho',\mathrm{id}} G_3$ via its concurrent rule. By inductive application, a concurrent rule for a transformation sequence $G_1 \Longrightarrow_{\rho_0} \ldots \Longrightarrow_{\rho_n} G_n$ of arbitrary finite length can be derived.

3.4 Concepts of Consistency

Now, we will introduce familiar consistency concepts. Namely, the notions of consistency preserving and guaranteeing transformations [4] and the notions of (direct) consistency sustaining and improving transformations [5]. Later, we will examine how these concepts differ from and share with our newly introduced concept of consistency.

Definition 3.18 (consistency preserving and guaranteeing transformations). *Let a constraint c and a transformation $t : G \Longrightarrow H$ be given. Then, t is called c-preserving if*

$$G \models c \implies H \models c.$$

9

The transformation t is called c-guaranteeing if $H \models c$.

While consistency preserving and guaranteeing transformations are defined for nested conditions, the finer-grained notions of (direct) consistency sustaining and improving transformations are defined only for conditions in ANF.

Definition 3.19 (**consistency sustaining and improving transformations**)**.** *Let a constraint c in ANF and a transformation $t : G \Longrightarrow_\rho H$ be given. If c is existentially bound, t is called* consistency sustaining w.r.t. c *if it is c-preserving and t is called* consistency improving w.r.t. c *if it is c-guaranteeing. If $c = \forall(a_0 : \emptyset \hookrightarrow C_1, d)$ is universally bound, t is called* consistency sustaining w.r.t. c *if*

$$|\{p : C_1 \hookrightarrow G \mid p \not\models d\}| \geq |\{p : C_1 \hookrightarrow H \mid p \not\models d\}|$$

and t is called consistency improving w.r.t. c *if*

$$|\{p : C_1 \hookrightarrow G \mid p \not\models d\}| > |\{p : C_1 \hookrightarrow H \mid p \not\models d\}|.$$

The number of elements of these sets are called the number of violations in G *and* number of violations in H *respectively.*

The even stricter notion of direct sustaining and improving transformations prohibits the insertion of new violations altogether.

Definition 3.20 (**direct sustaining and improving transformations**)**.** *Let a constraint c in ANF and a transformation $t : G \Longrightarrow_\rho H$ be given. If c is existentially bound, t is called* direct consistency sustaining w.r.t. c *if t is c-preserving and t is called* direct consistency improving w.r.t. c *if t is c-guaranteeing.*

If $c = \forall(a_0 : \emptyset \hookrightarrow C_1, d)$, t is called consistency sustaining w.r.t. c *if*

$$\forall p : C_0 \hookrightarrow G((p \models d \wedge \mathrm{tr}_t \circ p \text{ is total}) \implies \mathrm{tr}_t \circ p \models d) \wedge$$
$$\forall p' : C_0 \hookrightarrow H(\neg \exists q : C_0 \hookrightarrow G(p' = \mathrm{tr}_t \circ q) \implies p' \models d))$$

and t is called consistency improving w.r.t. c *if additionally*

$$\exists p : C_0 \in G(p \not\models d \wedge \mathrm{tr}_t \circ p \text{ is total} \wedge \mathrm{tr}_t \circ p \models c) \vee$$
$$\exists p : C \hookrightarrow G(p \not\models d \wedge \mathrm{tr}_t \circ p \text{ is not total}).$$

4 Consistency Increase and Maintainment

Definition 4.1 (**layer of a subcondition**)**.** *Let a condition c in ANF and a subcondition d of c be given. The* layer of d *is defined as* $\mathrm{lay}(d) := \mathrm{nl}(c) - \mathrm{nl}(d)$.

Our approach is based on the idea that the consistency of a constraint increases layer by layer, and that even small improvements, such as inserting single elements of existentially bound graphs, should be detectable as increasing. To formalise this, we introduce the notions of *consistency increasing* and *consistency maintaining* transformations and rules, where consistency increasing indicates that the consistency has actually increased and consistency maintaining indicates that the consistency has not decreased.

4.1 Universally quantified ANF

The definition of consistency increase and maintainment requires that each condition begins with a universal quantifier. Otherwise, case discrimination is required. Therefore, we will only consider a subset of the set of conditions in ANF, namely the set of universally quantified conditions in ANF, called *universally quantified ANF* (UANF). Furthermore, we will show that these sets are expressively equivalent by showing that every condition in ANF can be transformed into an equivalent condition in UANF.

Definition 4.2 (**universally quantified alternating quantifier normal form**). *A conditions c in ANF is in* universally quantified ANF *(UANF) if it is universally bound.*

Note that, given a condition c in UANF, any subcondition of c at layer $0 \leq k \leq \mathrm{nl}(c)$ is universally bound if k is an even number and existentially bound if k is an odd number. Furthermore, a graph C_k of c is universally bound if k is an odd number and existentially bound if k is an even number. It is already known that an existentially bound condition c can be extended to the equivalent condition $\exists(a_0 : \emptyset \hookrightarrow C_0, d)$ [3]. We will use this to show that every condition in ANF has an equivalent condition in UANF.

Lemma 4.3. *Any condition in ANF can be transformed into an equivalent condition in UANF.*

Proof. Let a graph G and a constraint c in ANF be given. If c is universally bound, then c is already in UANF. If $c = \exists(a_0 : C_0 \hookrightarrow C_1, d)$, we show that c is equivalent to $c' := \forall(\mathrm{id}_{C_0} : C_0 \hookrightarrow C_0, c)$.

1. Let $p : C_0 \hookrightarrow G$ be a morphism such that $q \models c$. Then $p \models c'$, because p is the only morphism from C_0 to G with $p = p \circ \mathrm{id}_{C_0}$ and $p \models c$.

2. Let $p : C_0 \hookrightarrow G$ be a morphism with $p \models c'$, then all morphisms $q : C_0 \hookrightarrow G$ with $p = q \circ \mathrm{id}_{C_0}$ satisfy c. Since $p = p \circ \mathrm{id}_{C_0}$, it immediately follows that $p \models c$.
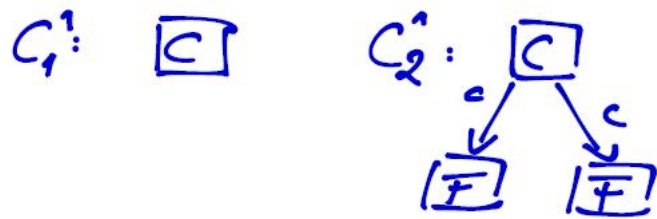
$\square$

For the rest of this thesis, given a condition $c = \forall(a_0 : C_0 \hookrightarrow C_1, d)$ in UANF, we assume that no morphism in c, except a_0, is bijective, since it can be shown that every condition in ANF can be transformed into an equivalent condition in ANF that satisfies this property, by showing that $\exists(a_0 : C_0 \hookrightarrow C_0, \forall(a_1 : C_0 \hookrightarrow C_2, d)$ is equivalent to $\forall(a_1 \circ a_0 : C_0 \hookrightarrow C_2, d)$ and that $\forall(a_0 : C_0 \hookrightarrow C_0, \exists(a_1 : C_0 \hookrightarrow C_2, d)$ is equivalent to $\exists(a_1 \circ a_0 : C_0 \hookrightarrow C_2, d)$.

4.2 Conditions up to Layer

The goal of our approach is to increase the consistency of a constraint layer by layer, as we have already mentioned. To do this, we introduce a notion of partial consistency, called *satisfaction at layer*, which allows us to check whether a constraint is satisfied at a particular layer by checking whether the so-called *truncated condition* is satisfied at that layer.

$C_1 = \forall C_1^1 \exists C_2^1$

$C_1^1:$ [boxed C] $C_2^1:$ [boxed C]
with edges labeled e and c to two [boxed F] nodes

$C_2 = \forall C_1^1 \exists C_2^2 \forall C_3^2 \exists C_4^2$

$C_2^2:$ [boxed C] with edge c to [boxed F]

$C_3^2:$ [boxed C] with edge c to [boxed F], then edge u to [boxed F]

$C_4^2:$ [boxed C] with edges c to two [boxed F] nodes, the F nodes connected by edge u

Figure 5: constraints

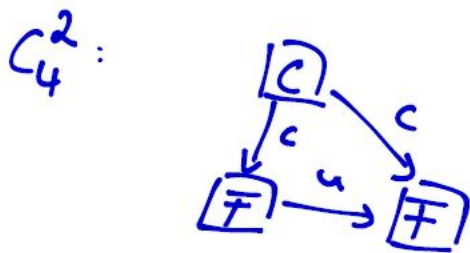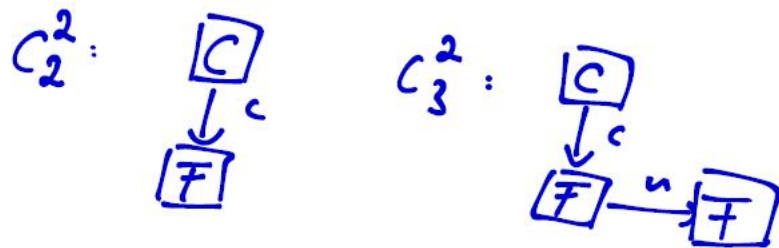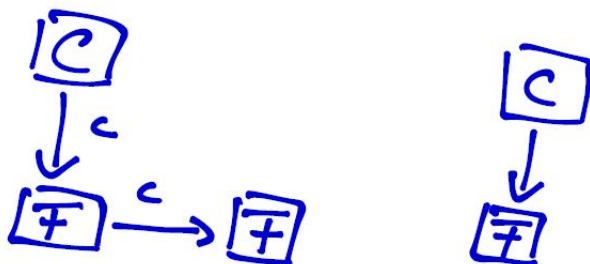[boxed C] with edge c to [boxed F], then edge c to [boxed F]   [boxed C] with edge to [boxed F]

Figure 6: graph

12

Definition 4.4 (**subcondition at layer**). *Let a condition c in ANF be given. The subcondition at layer $-1 \leq k \leq \mathrm{nl}(c)$, denoted by $\mathrm{sub}_k(c)$, is the subcondition d of c with $\mathrm{lay}(d) = k$ if $0 \leq k \leq \mathrm{nl}(c)$ and* true *if $k = -1$.*

Note that by definition the subcondition at layer k is always a condition over the graph C_k and the morphism is denoted by a_k.

Example 4.1. *Consider the condition $c = \forall(a_0 : C_0 \hookrightarrow C_1, \exists(a_1 : C_1 \hookrightarrow C_2, \forall(a_2 : C_2 \hookrightarrow C_3, \mathsf{false})))$. Then, $\mathrm{sub}_1(c) = \exists(a_1 : C_1 \hookrightarrow C_2, \forall(a_2 : C_2 \hookrightarrow C_3, \mathsf{false}))$.*

Let us first introduce an operator which allows to replace a subcondition $\mathrm{sub}_k(c)$ by an arbitrary condition over C_k, called *replacement at layer*.

Definition 4.5 (**replacement at layer**). *Given a condition $c = Q(a_1 : C_0 \hookrightarrow C_1, d)$, with $Q \in \{\forall, \exists\}$, in ANF, and a condition e over C_k in ANF. The* replacement of layer k in c by e, *denoted by $\mathrm{rep}_k(c, e)$, is defined recursively as*

$$\mathrm{rep}_k(c, e) := \begin{cases} e & \text{if } k = 0 \\ Q(a_0 : C_0 \hookrightarrow C_1, \mathrm{rep}_{k-1}(d, e)) & \text{otherwise.} \end{cases}$$

Example 4.2. *Consider the conditions $c := \forall(a_0 : C_0 \hookrightarrow C_1, \exists(a_1 : C_1 \hookrightarrow C_2, \mathsf{true}))$ and $d = \exists(a_1' : C_1 \hookrightarrow C_3, e)$. The replacement of layer 1 in c by d is given by*

$$\mathrm{rep}_1(c, d) = \forall(a_0 : C_0 \hookrightarrow C_1, \exists(a_1' : C_1 \hookrightarrow C_3, e)).$$

We now define *truncated conditions* using the concept of replacement at layer. Intuitively, a condition is truncated at a particular layer by replacing the subcondition at that layer with true or false, depending on which quantifier the replaced subcondition is bound by.

Definition 4.6 (**truncated condition**). *Let a condition c in UANF be given. The truncated condition of c at layer $-1 \leq k < \mathrm{nl}(c)$, denoted by $\mathrm{cut}_k(c)$, is defined as*

$$\mathrm{cut}_k(c) := \begin{cases} \mathsf{true} & \text{if } k = -1 \\ \mathrm{rep}_{k+1}(c, \mathsf{true}) & \text{if } d \text{ is existentially bound, i.e. } k \text{ is odd} \\ \mathrm{rep}_{k+1}(c, \mathsf{false}) & \text{if } d \text{ is universally bound, i.e. } k \text{ is even.} \end{cases}$$

Example 4.3. *Consider constraint c_2 given in Figure 5. The truncated condition of c at layer 1 is given by $\mathrm{cut}_1(c_2) = \forall(C_1^1, \exists(C_2^2, \mathsf{true}))$ and the truncated condition of c at layer 0 is given by $\mathrm{cut}_0(c_2) = \forall(C_1^1, \mathsf{false})$.*

Note that the truncated condition of a condition c at layer $\mathrm{nl}(c) - 1$ is c itself. With these prerequisites we can now introduce *satisfaction at layer*, which allows us to check whether a condition is satisfied at a given layer. A morphism or graph satisfies a condition or constraint at that layer if it satisfies the truncated condition at that layer.

$p \models_k c$	$p \models_{j<k} c$		$p \models_{j>k} c$		$p \models c$
	j even	j odd	j even	j odd	
k even	?	✓	✓	✓	✓
k odd	?	✓	?	?	?

Table 1: Overview of the inferences made about satisfaction at layer, with "✓" indicating that $p \models_j$ and $p \models c$, respectively, if $p \models_k$, and "?" indicating that it cannot be inferred from $p \models_k c$ whether $p \models_j c$ or $p \not\models_j c$.

Definition 4.7 (**satisfaction at layer**). *Let a graph G and a condition c in UANF be given. A morphism $p : C_0 \hookrightarrow G$ satisfies c at layer $-1 \leq k < \mathrm{nl}(c)$, denoted by $p \models_k c$, if*

$$p \models \mathrm{cut}_k(c).$$

A graph G satisfies a constraint c at layer $-1 \leq k < \mathrm{nl}(c)$, denoted by $G \models_k c$, if $q : \emptyset \hookrightarrow G$ satisfies $\mathrm{cut}_k(c)$. The largest $-1 \leq k < \mathrm{nl}(c)$ such that $G \models_k c$ and there is no $k < j < \mathrm{nl}(c)$ with $G \models_j c$, called the largest satisfied layer, *is denoted by $\mathrm{k}_{\max}(c, G)$. When c and G are clear from the context, we use the abbreviation $\mathrm{k}_{\max}$.*

Note that given a graph G and a constraint c, $\mathrm{k}_{\max}(c, G)$ always exists, since $\mathrm{cut}_{-1}(c) = \mathsf{true}$ and every graph satisfies true. Moreover, if $p \models_{\mathrm{nl}(c)-1} c$, it immediately follows that $p \models c$.

Example 4.4. *Consider the graph G given in Figure 6 and the constraint c_2 given in Figure 5. This graph does not satisfy c_2 because the second occurrence of* `Class` *does not satisfy $\mathrm{sub}_1(c_2) = \exists(C_2^2, (\forall C_3^2, (\exists C_4^2, \mathsf{true})))$, but it does satisfy $\mathrm{cut}_1(c_2) = \forall(C_1^1, \exists(C_2^2, \mathsf{true}))$ and therefore*

$$G \models_1 c_2 \text{ and } \mathrm{k}_{\max} = 1.$$

Given a graph G, a condition c and a morphism $p : C_0 \hookrightarrow G$. Suppose that $p \models_k c$ with $0 \leq k < \mathrm{nl}(c)$. Then we can infer results for the satisfaction at other levels. If k is even, i.e. $\mathrm{sub}_j(c)$ is universally bound, we can conclude that $p \models_j c$ for all $k < j < \mathrm{nl}(c)$ and especially $p \models c$. It also follows that $p \models_j c$ for all odd $0 \leq j < k$, i.e. $\mathrm{sub}_j(c)$ is existentially bound. We present these results in the following lemmas, and an overview is given in Table 1.

We start by examining the consequences for the satisfaction at layer $\mathrm{nl}(c) > j > k$ if $p \models_k c$. Our first lemma shows that replacing the subcondition $\mathrm{sub}_{k+1}(c)$ by any condition over C_{k+1} leads to a condition that is satisfied by p if k is even.

Lemma 4.8. *Given a graph G, a condition c in UANF and a morphism $p : C_0 \hookrightarrow G$ with $p \models_k c$ and $-1 \leq k < \mathrm{nl}(c)$ even. Then, for any condition f over C_{k+1} it holds that*

$$p \models \mathrm{rep}_{k+1}(c, f).$$

Proof. We start by showing the statement for the smallest $-1 \leq j < \mathrm{nl}(c)$ such that $\mathrm{sub}_j(c)$ is universally bound and $p \models_j c$. After this, we can conclude that this statement holds for all $-1 \leq i < \mathrm{nl}(c)$ such that $\mathrm{sub}_i(c)$ is universally bound and $p \models_i c$.

14

Let $q : C_j \hookrightarrow G$ be a morphism such that $q \models \forall(a_j : C_j \hookrightarrow C_{j+1}, \mathsf{false})$. This morphism must exist, since j is the smallest even number with $p \models_j c$. Therefore, there does not exist a morphism $q' : C_{j+1} \hookrightarrow G$ with $q = q' \circ a_j$. Hence, for every condition f over C_{j+1} a morphism $q' : C_{j+1} \hookrightarrow G$ with $q \not\models f$ and $q = q' \circ a_j$ cannot exist. It follows immediately that $q \models \forall(a_j : C_j \hookrightarrow C_{j+1}, f)$ and with that $p \models \mathrm{rep}_{j+1}(c, f)$.

We can now conclude that for every even $j < k \leq \mathrm{nl}(c)$, such that $p \models_k c$, and every condition d over C_{k+1} it holds that $p \models \mathrm{rep}_{k+1}(c, d)$ because $\mathrm{rep}_{k+1}(c, d) = \mathrm{rep}_{j+1}(c, \mathrm{sub}_{j+1}(\mathrm{rep}_{k+1}(c, d)))$. $\qquad\square$

As a direct consequence of the previous lemma, a morphism which satisfies the condition at layer k, where k is even, also satisfies the condition at layer j for all $j > k$.

Lemma 4.9. *Given a graph G, a morphism $p : C_0 \hookrightarrow G$ and a condition c in UANF. If $0 \leq k < \mathrm{nl}(c)$ is even, i.e. $\mathrm{sub}_k(c)$ is universally bound, then for all $k < j < \mathrm{nl}(c)$ it holds that*

$$p \models_k c \implies p \models_j c.$$

Proof. Follows immediately by using Lemma 4.8 and setting f equal to $\mathrm{sub}_{k+1}(\mathrm{cut}_j(c))$. $\qquad\square$

Since a morphism p satisfies a condition c in UANF if and only if p satisfies c at layer $\mathrm{nl}(c) - 1$, we can conclude the following.

Corollary 4.10. *Given a graph G, a morphism $p : C_0 \hookrightarrow G$ and a condition c in UANF. If $0 \leq k < \mathrm{nl}(c)$ is even, it holds that*

$$p \models_k c \implies p \models c.$$

Furthermore, this allows us to make statements about the satisfaction of other conditions. Given a graph G, a morphism $p : C_0 \hookrightarrow G$ and a condition c such that $p \models_k c$ for an even $-1 \leq k < \mathrm{nl}(c)$. It follows that $p \models c$ and in particular $p \models c'$ for each condition c' with $\mathrm{cut}_k(c) = \mathrm{cut}_k(c')$.

Let us now examine the satisfaction at layer j with $-1 < j < k$. If j is odd, i.e. $\mathrm{sub}_j(c)$ is existentially bound, we can conclude that $p \models_j c$ as shown in the next lemma. If j is even, i.e. $\mathrm{sub}_j(c)$ is universally bound, we can only make statements that depend on $\mathrm{k}_{\max}$. If $\mathrm{k}_{\max} < \mathrm{nl}(c) - 1$, then $p \not\models_j c$. Otherwise $p \models c$ and therefore $\mathrm{k}_{\max} = \mathrm{nl}(c) - 1$ would immediately follow Corollary 4.10. If $\mathrm{k}_{\max} = \mathrm{nl}(c) - 1$, we can say that there is at least one even $j \leq \mathrm{k}_{\max}$ with $p \models_j c$ if c ends with $\forall(C_{\mathrm{nl}(c)}, \mathsf{false})$. An overview of these relations is given in Table 2.

Lemma 4.11. *Given a graph G, a morphism $p : C_0 \hookrightarrow G$ and a constraint c in UANF. Then for all odd $-1 \leq k \leq \mathrm{k}_{\max}$, i.e. $\mathrm{sub}_k(c)$ is existentially bound, we have*

$$p \models_k c.$$

$p \models_k c$	$\mathrm{k}_{\max} < \mathrm{nl}(c) - 1$		$\mathrm{k}_{\max} = \mathrm{nl}(c) - 1$
	$k \leq \mathrm{k}_{\max}$	$k > \mathrm{k}_{\max}$	$k < \mathrm{k}_{\max}$
k even	✗	✗	?
k odd	✓	✗	✓

Table 2: Overview of the satisfaction of layer k with respect to $\mathrm{k}_{\max}$, where "✓" indicates that $p \models_k$, "✗" indicates that $p \not\models_k$ and "?" indicates that it cannot be concluded from $p \models_k c$ whether $p \models_j c$ or $p \not\models_j c$.

Proof. If there is an even $0 \leq j < \mathrm{k}_{\max}$, i.e. $\mathrm{sub}_j(c)$ is universally bound, with $p \models_j c$, let j' be the smallest of these. With Lemma 4.8 follows that $p \models_\ell c$ for all $j' \leq \ell < \mathrm{nl}(c)$. Otherwise we set $j' = \mathrm{k}_{\max}$.

Let $\ell < j'$, such that $\mathrm{sub}_\ell(c)$ is existentially bound and let $d = \mathrm{sub}_\ell(\mathrm{cut}_{j'}(c)) = \exists(a_\ell : C_\ell \hookrightarrow C_{\ell+1}, e)$ be the subcondition at layer ℓ of the condition up to layer j' of c. Since $\ell < j'$, there must be a morphism $q : C_\ell \hookrightarrow G$ with $q \models d$ and therefore there must be a morphism $q' : C_{\ell+1} \hookrightarrow G$ with $q = q' \circ a_\ell$ and $q' \models e$. It follows that $q \models \exists(a_\ell : C_\ell \hookrightarrow C_{\ell+1}, \mathsf{true})$ and thus $p \models_\ell c$. $\square$

Example 4.5. *We will show counterexamples for all "?" in Table 1 and Table 2. Consider constraint $c_2 = \forall(C_1^1, \exists(C_2^2, \forall(C_3^2, \exists(C_4^2, \mathsf{true}))))$ given in Figure 5. We begin with Table 1.*

1. *If $k = 2$, then $C_3^2 \models_2 c_2$, $C_3^2 \not\models_0 c_2$ and $\emptyset \models_2 c_2$ and $\emptyset \models_0 c_2$.*

2. *If $k = 3$, then $C_4^2 \models_3 c_2$, $C_2^2 \not\models_0 c_2$ and $\emptyset \models_3 c_2$ and emptyset $\models_0 c_2$.*

3. *If $k = 1$, then $C_2^2 \models_2 c_2$, $C_2^2 \models_3 c_2$ and $C_3^2 \not\models_2 c_2$ and $C_3^2 \not\models_3 c_2$.*

For the "?" in Table 2, consider the graph C_2^2. It follows that $\mathrm{k}_{\max} = 3 = \mathrm{nl}(c_2) - 1$, $C_2^2 \models_2 c_2$ and $C_2^2 \not\models_0 c_2$.

By satisfaction at layer, an increase of consistency can be detected in the following way: Let $t : G \implies H$ be a transformation. If the largest satisfied layer in H is greater than the largest satisfied layer in G, i.e. $\mathrm{k}_{\max}(c, G) < \mathrm{k}_{\max}(c, H)$, we consider the transformation as consistency increasing. However, the notion of consistency increasing should also be able to detect the smallest changes made by a transformation that lead to an increase of consistency, namely the insertion of a single edges or nodes of an existentially bound graph. To remedy this problem, we introduce *intermediate conditions*, which are used to detect this type of increase by checking whether an intermediate condition not satisfied by G is satisfied by H. Obviously, a decrease of consistency can be detected in a similar way, by checking whether an intermediate condition satisfied by G is not satisfied by H. Intuitively, the last graph of a truncated condition c will be replaced by an intermediate graph of the penultimate graph and the last graph.

If c ends with an existentially bound condition, the constructed intermediate condition is weaker than c, in the sense that the satisfaction of c implies the satisfaction of the intermediate condition. Conversely, if c ends with a universally bound condition, the

opposite holds: satisfying an intermediate condition implies satisfying c. This is why we have designed intermediate conditions so that they only replace graphs on existentially bounded layers.

Definition 4.12 (**intermediate condition**). *Let a condition c in UANF be given and let $0 \leq k < \mathrm{nl}(c)$ be odd, i.e. $\mathrm{sub}_k(c)$ is existentially bound. The* intermediate condition, *denoted by $\mathrm{IC}_k(c, C')$, of c at layer k with $C' \in \mathrm{IG}(C_k, C_{k+1})$ is defined as*

$$\mathrm{IC}_k(c, C') := \mathrm{rep}_k(c, \exists(a_k^r : C_k \hookrightarrow C', \textit{true})).$$

Example 4.6. *Consider the constraint c_1 given in Figure 5. Since $C_2^2 \in \mathrm{IG}(C_1^1, C_2^1)$, we can construct an intermediate condition of c_1 at layer 1 with C_2^2 as $\mathrm{IC}_1(c_1, C_2^2) = \forall C_1^1 \exists C_2^2$. While c_1 checks whether each node of type* `Class` *is connected to at least two nodes of type* `Feature`, *the intermediate condition checks whether each node of type* `Class` *is connected to at least one node of type* `Feature` *which is trivially satisfied if c_1 is satisfied.*

Notice that $\mathrm{IC}_k(c, C_k)$ is equivalent to $\mathrm{cut}_{k-1}(c)$, because the condition $\forall(a_{k-1} : C_{k-1} \hookrightarrow C_k, \exists(a_k^r : C_k \hookrightarrow C_k, \textsf{true}))$ is satisfied by every morphism $p : C_{k-1} \hookrightarrow G$.

4.3 Consistency Increasing and Maintaining Transformations and Rules

With the results above, we are now ready to define the notions of *consistency increasement* and *maintainment*, where increasement is a special case of maintainment. A transformation t is considered as consistency maintaining if it does not decrease consistency, while t is considered as consistency increasing if it increases the consistency.

These notions are designed to detect only transformations that maintain (or increase) the consistency of the first two unsatisfied layer of a constraint c. That means, given a graph G and a constraint c, a transformation $t : G \Longrightarrow H$ is considered as consistency maintaining if the largest satisfied layer has not decreased, i.e. if $\mathrm{k_{max}}(c, G) \leq \mathrm{k_{max}}(c, H)$, and at least as many increasing insertions or deletions have been made as decreasing ones. An increasing deletion is the deletion of an occurrence of $C_{\mathrm{k_{max}}(c,G)+2}$ that does not satisfy $\exists(C_{\mathrm{k_{max}}(c,G)+3}, \textsf{true})$, an increasing insertion is the insertion of elements, such that for at least one occurrence p of $C_{\mathrm{k_{max}}(c,G)+2}$ it holds that $p \not\models \exists(C', \textsf{true})$ and $\mathrm{tr}_t \circ p \models \exists(C', \textsf{true})$ for an intermediate graph $C' \in \mathrm{IG}(C_{\mathrm{k_{max}}(c,G)+2}, C_{\mathrm{k_{max}}(c,G)+3})$. Decreasing insertions and deletions are the opposite of increasing ones. That is, a decreasing insertion is the insertion of an occurrence of $C_{\mathrm{k_{max}}(c,G)+2}$ that does not satisfy $\exists(C_{\mathrm{k_{max}}(c,G)+3}, \textsf{true})$ and a decreasing deletion is the deletion of elements such that for one occurrence p of $C_{\mathrm{k_{max}}(c,G)+2}$ with $p \models \exists(C', \textsf{true})$ it holds that $\mathrm{tr}_t \circ p \not\models \exists(C', \textsf{true})$ for an intermediate graph $C' \mathrm{IG}(C_{\mathrm{k_{max}}(c,G)+2}, C_{\mathrm{k_{max}}(c,G)+3})$. If $\mathrm{k_{max}}(c, G) < \mathrm{k_{max}}(c, H)$ or the number of increasing insertions and deletions is greater than the number of decreasing ones, t is considered as consistency increasing.

To evaluate this, we define the *number of violations*. Intuitively, for all occurrences p of $C_{\mathrm{k_{max}}+2}$ the number of graphs $C' \in \mathrm{IG}(C_{\mathrm{k_{max}}+2}, C_{\mathrm{k_{max}}+3})$ with $p \not\models \exists C'$ is added up, and by comparing these numbers for G and H it can be determined whether there have been more increasing insertions and deletions than decreasing ones.

The number of violations is defined for each layer of the constraint, but only for the first unsatisfied layer is the sum calculated as described above. For all layers k with $k \leq \text{k}_{\max}$ it is set to 0 and for all layers k with $k > \text{k}_{\max} +1$ it is set to ∞. In this way, a transformation $t : G \Longrightarrow H$ that increases the largest satisfied layer can be easily detected, since the number of violations in H at the layer $\text{k}_{\max} +1$ will be set to 0.

Definition 4.13 (**number of violations**). *Given a graph G and a constraint c in UANF, and let $e = \text{sub}_{\text{k}_{\max} +2}(c)$. The number of violations $\text{nv}_j(c, G)$ at layer $-1 \leq j < \text{nl}(c)$ in G is defined as:*

$$
\text{nv}_j(c, G) := \begin{cases} 0 & \text{if } j < \text{k}_{\max} +1 \\ \sum_{C' \in \text{IG}(C_{j+1}, C_{j+2})} |\{q \mid q : C_{j+1} \hookrightarrow G \wedge q \not\models \text{IC}_0(e, C')\}| & \text{if } e \neq \textsf{false} \text{ and } j = \text{k}_{\max} +1 \\ |\{q \mid q : C_{j+1} \hookrightarrow G\}| & \text{if } e = \textsf{false} \text{ and } j = \text{k}_{\max} +1 \\ \infty & \text{if } j > \text{k}_{\max} +1 \end{cases}
$$

Note that the second and third cases of Definition 4.13 only occur if $G \not\models c$ and $\text{sub}_{\text{k}_{\max}}(c)$ is existentially bound. So e is also existentially bound or equal to $\textsf{false}$ if c ends with $\forall(C_{\text{nl}(c)}, \textsf{false})$ and $\text{k}_{\max} = \text{nl}(c) - 2$. Using the number of violations, we now define *consistency maintaining* and *increasing* transformations and rules by checking that the number of violations has not increased or, in the case of consistency increasing, has decreased.

Definition 4.14 (**consistency maintaining and increasing transformations and rules**). *Given a graph G, a constraint c in UANF and a rule ρ. A transformation $t : G \Longrightarrow_{\rho,m} H$ is called* consistency-maintaining *w.r.t. c, if*

$$
\text{nv}_k(c, H) \leq \text{nv}_k(c, G)
$$

for all $-1 \leq k < \text{nl}(c)$. The transformation is called consistency-increasing *w.r.t. c if*

$$
\text{nv}_k(c, H) < \text{nv}_k(c, G)
$$

for all $-1 \leq k < \text{nl}(c)$. A rule ρ is called consistency maintaining or increasing *w.r.t c, if all of its transformations are.*

Note that if $G \models c$, there is no consistency-increasing transformation $G \Longrightarrow H$ w.r.t c, since $\text{nv}_j(c, G) = 0$ for all $0 \leq j < \text{nl}(c)$. Also, no plain rule ρ is consistency-increasing w.r.t c, since a graph G satisfying c such that a transformation $t : G \Longrightarrow_{\rho,m} H$ can always be constructed. Therefore, every consistency-increasing rule must have at least one application condition.

As mentioned above, a transformation is considered to be consistency-increasing if the largest satisfied layer is increasing. This property is already indirectly embedded in the definition of consistency-increasing transformations.

Theorem 4.1. *Given a rule ρ a constraint c in UANF and a graph G with $G \not\models c$. A transformation $t : G \Longrightarrow_{\rho,m} H$ is consistency-increasing w.r.t. c if*

$$\mathrm{k}_{\max}(c, G) < \mathrm{k}_{\max}(c, H).$$

.

Proof. No $\ell > \mathrm{k}_{\max}(c, G)$ with $G \models_\ell c$ exists. Hence, $\mathrm{nv}_{\mathrm{k}_{\max}(c,G)+1}(c, G) > 0$ and $\mathrm{nv}_{\mathrm{k}_{\max}(c,G)+1}(c, G) \neq \infty$. Since $\mathrm{k}_{\max}(c, H) > \mathrm{k}_{\max}(c, G)$, $\mathrm{nv}_{\mathrm{k}_{\max}(c,G)+1}(c, H) = 0$, which immediately implies that t is consistency increasing w.r.t. c. $\qquad\square$

Since there are no consistency-increasing transformations starting from consistent graphs, there are no infinitely long sequences of consistency-increasing transformations.

Theorem 4.2. *Let c be a constraint in UANF. Every sequence of consistency-increasing transformations w.r.t. c is finite.*

Proof. Let

$$G_0 \Longrightarrow_{\rho_0,m_0} G_1 \Longrightarrow_{\rho_1,m_1} G_2 \Longrightarrow_{\rho_2,m_2} \cdots$$

be a sequence of consistency-increasing transformations w.r.t. c. We assume that $\mathrm{k}_{\max}(c, G_0) < \mathrm{nl}(c) - 1$, otherwise $\mathrm{nv}_j(c, G_0) = 0$ for all $0 \leq j < \mathrm{nl}(c)$ and there is no consistency-increasing transformation $G_0 \Longrightarrow H$ with respect to c.

We show that $G_j \models_{\mathrm{k}_{\max}(c,G_0)+2} c$ holds after a maximum of $j := \mathrm{nv}_{\mathrm{k}_{\max}(c,G_0)+1}(c, G_0)$ transformations. Note that j must be finite, since G_0 contains only a finite number of occurrences of C_{j+1}. Since every transformation is consistency-increasing w.r.t. C, it follows that $\mathrm{nv}_{\mathrm{k}_{\max}(c,G_i)+1}(c, G_{i+1}) \leq \mathrm{nv}_{\mathrm{k}_{\max}(c,G_i)+1}(c, G_i) - 1$ after each transformation. Therefore, after at most j transformations, $\mathrm{nv}_{\mathrm{k}_{\max}(c,G_0)+1}(c, G_j) \leq \mathrm{nv}_{\mathrm{k}_{\max}(c,G_0)+1}(c, G_0) - j = 0$ and thus $G_j \models_{\mathrm{k}_{\max}(c,G_0)+2} c$. If this is applied iteratively, it follows that after a finite number of transformations there must exist a graph G_k with $G_k \models c$. Since there is no consistency increasing transformation $G_k \Longrightarrow_{\rho_k,m_k} G_{k+1}$, the sequence must be finite. $\qquad\square$

4.4 Direct Consistency Maintaining and Increasing Transformations

We will now introduce stricter versions of consistency-increasing and consistency- maintaining transformations, called *direct consistency-maintaining* and *direct consistency-increasing* transformations. These can also be considered as consistency-maintaining and consistency-increasing transformations, which do not perform any unnecessary insertions and deletions. For example, given a constraint c in UANF and graphs G with $G \not\models c$ and H with $H \models c$, the transformation $t : G \Longrightarrow_{\rho,\mathrm{id}_G} H$ via the rule $\rho = G \xleftarrow{l} \emptyset \xrightarrow{r} H$ is a consistency-increasing transformation. Therefore, the notions of consistency-increasing and consistency-maintaining - a similar example of a consistency-maintaining transformation can be easily constructed - allow insertions or deletions that are unnecessary to increase or maintain consistency. That is, deleting occurrences of existentially bound graphs, deleting occurrences $p : C_k \hookrightarrow G$ of universally bound graphs C_k satisfying

19

$\exists(C_{k+1}, \mathsf{true})$ or inserting occurrences of universally bound graphs and inserting occurrences p of intermediate graphs $C' \in \mathrm{IG}(C_{k-1}, C_k)$ with C_k being existentially bound, such that each occurrence q of C_{k-1} with $q = p \circ a_{k-1}^r$ already satisfies $\exists(C', \mathsf{true})$.

Direct consistency-increasing and *maintaining* transformations are more restricted, in the sense that these unnecessary deletions and insertions cause a transformation not to be direct consistency-increasing or direct consistency maintaining, respectively. In addition, we can use second-order logic formulas to check whether a transformation is direct consistency-maintaining. Furthermore, it is ensured that no new violations are introduced, since these can always be considered as unnecessary insertions or deletions. Thus, the removal of one violation is sufficient to state that the transformation is (direct) consistency-increasing, which can also be checked using a second-order logic formula. We start by introducing *direct consistency-maintaining*. Its definition consists of the following conditions

1. *Deleting condition:* This condition ensures that no new violations are introduced by deleting intermediate graphs $C' \in \mathrm{IG}(C_{k_{\max}+2}, C_{k_{\max}+3})$. This leads to the insertion of new violations only if an occurrence of $C_{k_{\max}+2}$ which satisfies $\exists(C', \mathsf{true})$ in the originating graph does not satisfy $\exists(C', \mathsf{true})$ in the derived graph of the transformation. Therefore, this condition checks that this case does not occur.

2. *Inserting condition:* This condition ensures that no new violations are introduced by inserting an occurrence of $C_{k_{\max}+2}$. Again, this only causes a new violation to be inserted if that occurrence does not satisfy $\exists(C_{k_{\max}+3}, \mathsf{true})$. The condition checks that this is not the case.

3. *Universally condition:* This condition ensures that the largest satisfied layer is not reduced by inserting a universally bound graph C_j. This can only happen if $j \leq k_{\max}$, and the condition checks that no occurrences of such universally bound graphs are inserted.

4. *Existentially condition:* This condition ensures that the largest satisfied layer is not reduced by deleting an existentially bound graph C_j. Again, this can only happen if $j \leq k_{\max}$. The condition checks that no occurrences of such existentially bound graphs are deleted.

The deleting and inserting conditions ensure that the number of violations is not increased, and the universally and existentially conditions ensure that the largest satisfied layer is not reduced. Of course, the violation of the universally and existentially conditions does not necessarily lead to a decrease of the largest satisfied layer, but it can also be considered as an unnecessary insertion or deletion.

Since a condition c in UANF is also allowed to end with $\forall(C_{\mathrm{nl}(c)}, \mathsf{false})$ the deleting and inserting conditions contain case discrimination. If the constraint c ends with $\forall(C_{\mathrm{nl}(c)}, \mathsf{false})$ and $k_{\max} = \mathrm{nl}(c) - 2$, there is no graph $C_{k_{\max}+3}$ and thus no intermediate graphs. Therefore, the deleting condition is always satisfied and we will set it to true. The inserting condition will checks that no new occurrences of $C_{k_{\max}+3}$ are introduced at all.

Definition 4.15 (direct consistency maintaining transformations)**.** *Given a graph G, a rule ρ and a constraint c in UANF. If $G \models c$, a transformation $t : G \Longrightarrow_{\rho,m} H$ is called* direct consistency-maintaining w.r.t. c *if $H \models c$. Otherwise, if $G \not\models c$, let $e = \mathrm{sub}_k(c)$ and $\mathrm{k_{max}} = \mathrm{k_{max}}(c, G)$. A transformation $t : G \Longrightarrow_{\rho,m} H$ is called* direct consistency maintaining w.r.t. c *if the following conditions are satisfied.*

1. Deleting condition: *If $e \neq$ false, then each occurrence of $C_{\mathrm{k_{max}}+2}$ in G which satisfies $\mathrm{IC}_0(e, C')$ for any $C' \in \mathrm{IG}(C_{\mathrm{k_{max}}+2}, C_{\mathrm{k_{max}}+3})$ still satisfies $\mathrm{IC}_0(e, C')$ in H:*

$$\forall p : C_k \hookrightarrow G\Big(\bigwedge_{C' \in \mathrm{IG}(C_{\mathrm{k_{max}}+2}, C_{\mathrm{k_{max}}+3})} \left(p \models \mathrm{IC}_0(e, C') \wedge \mathrm{tr}_t \circ p \text{ is total}\right) \tag{4.1}$$
$$\implies \mathrm{tr}_t \circ p \models \mathrm{IC}_0(e, C')\Big)$$

   Otherwise, if $e =$ false, the deleting condition is satisfied.

2. Inserting condition: *Let $d = \mathrm{IC}_0(e, C_{\mathrm{k_{max}}+3})$ if $e \neq$ false and $d =$ false otherwise. Each newly inserted occurrence of $C_{\mathrm{k_{max}}+2}$ satisfies d.*

$$\forall p' : C_{\mathrm{k_{max}}+2} \hookrightarrow H\left(\neg \exists p : C_{\mathrm{k_{max}}+2} \hookrightarrow G(p' = \mathrm{tr}_t \circ p) \implies p' \models d\right) \tag{4.2}$$

3. Universally condition: *No occurrence of a universally bound graph C_j with $j \leq \mathrm{k_{max}}$ is inserted.*

$$\bigwedge_{\substack{i < \mathrm{k_{max}} \\ C_i \ universally}} \forall p : C_i \hookrightarrow H(\exists p' : C_i \hookrightarrow G(p = \mathrm{tr}_t \circ p')) \tag{4.3}$$

4. Existentially condition: *No occurrence of an existentially bound graph C_j with $j \leq \mathrm{k_{max}}+1$ is deleted.*

$$\bigwedge_{\substack{i \leq \mathrm{k_{max}} \\ C_i \ existentially}} \forall p : C_i \hookrightarrow G(\mathrm{tr}_t \circ p \text{ is total}) \tag{4.4}$$

Before continuing with the definition of direct consistency increasing, let us first show that every direct consistency maintaining transformation is indeed consistency maintaining. To do this, we first show that satisfying the universally and existentially conditions guarantees that the satisfaction at layer has not been decreased.

Lemma 4.16. *Given a transformation $t : G \Longrightarrow H$ and a constraint c in UANF such that the universally and existentially condition is satisfied. Then*

$$H \models_{\mathrm{k_{max}}(c,G)} c.$$

Proof. Let us assume that $H \not\models_{\mathrm{k_{max}}(c,G)} c$. Then either a new occurrence of a universally bound graph C_i with $i < \mathrm{k_{max}}(c,G)$ has been inserted, or an occurrence of an existentially bound graph C_j with $j \leq \mathrm{k_{max}}(c,G)$ has been destroyed. Therefore, the following applies:

$$\exists p : C_i \hookrightarrow H(\neg \exists p' : C_i \hookrightarrow G(p = \mathrm{tr}_t \circ p')) \vee \exists p : C_j \hookrightarrow G(\mathrm{tr}_t \circ p \text{ is not total})$$

where $i, j \leq \mathrm{k_{max}}(c,G)$, i is even and j is odd, i.e. C_i is universally and C_j is existentially bound. It follows immediately that either the universally or the existentially condition is not satisfied. This is a contradiction. $\qquad\square$

With this we are now going to show that a direct consistency-maintaining transformation is also a consistency-maintaining transformation.

Theorem 4.3. *Given a graph G, a constraint c in UANF, a rule ρ and a direct consistency-maintaining transformation $t : G \Longrightarrow_{\rho,m} H$ w.r.t. c. Then, t is also a consistency-maintaining transformation.*

Proof. With Lemma 4.16 follows that $\mathrm{k_{max}}(c,G) \leq \mathrm{k_{max}}(c,H)$ and thus $\mathrm{nv}_{\mathrm{k_{max}}(c,G)+1}(c,H) \neq \infty$. It remains to show that $\mathrm{nv}_k(c,H) \leq \mathrm{nv}_k(c,H)$ for all $0 \leq k < \mathrm{nl}(c)$. In particular, we only need to show that $\mathrm{nv}_{\mathrm{k_{max}}(c,G)+1}(c,H) \leq \mathrm{nv}_{\mathrm{k_{max}}(c,G)+1}(c,G)$ since for all $-1 \leq j < \mathrm{k_{max}}(c,G) + 1$ it holds that $\mathrm{nv}_j(c,H) = \mathrm{nv}_j(c,G) = 0$. Also, since $\mathrm{nv}_j(c,G) = \infty$ for all $\mathrm{k_{max}}(c,G) + 1 < j < \mathrm{nl}(c)$, it follows that $\mathrm{nv}_j(c,H) \leq \mathrm{nv}_j(c,G)$.

Let $\mathrm{k_{max}} = \mathrm{k_{max}}(c,G)$ and $d = \mathrm{sub}_{\mathrm{k_{max}}+2}(c)$. We show that the deleting and insertion conditions imply that $\mathrm{nv}_{\mathrm{k_{max}}+1}(c,H) \leq \mathrm{nv}_{\mathrm{k_{max}}+1}(c,G)$.

Let us assume that $\mathrm{nv}_{\mathrm{k_{max}}+1}(c,H) > \mathrm{nv}_{\mathrm{k_{max}}+1}(c,G)$. Therefore, there is a morphism $p : C_{\mathrm{k_{max}}+2} \hookrightarrow H$ with $p \not\models \mathrm{IC}_0(d,C')$ for any $C' \in \mathrm{IG}(C_{\mathrm{k_{max}}+2}, C_{\mathrm{k_{max}}+3})$ such that either 1 or 2 is satisfied. Note that this is only the case if $d \neq \mathsf{false}$. Otherwise there must be a morphism p which satisfies 2.

1. There is a morphism $q' : C_{\mathrm{k_{max}}+2} \hookrightarrow G$ with $q' \models \mathrm{IC}_0(d,C')$ and $p = \mathrm{tr}_t \circ q'$.

2. There is a morphism $q : C_{\mathrm{k_{max}}+2} \hookrightarrow G$ with $p = \mathrm{tr}_t \circ q$.

This is a contradiction, if 1 is satisfied, q' does not satisfy equation (4.1) and the deletion condition is not satisfied. If (2) is satisfied p does not satisfy equation (4.2) since p only satisfies $\mathrm{IC}_0(d,C_{k+2})$ if p satisfies $\mathrm{IC}_0(d,C')$ for all $C' \in \mathrm{IG}(C_{k+1}, C_{k+2})$. In this case, the inserting condition is not satisfied. It follows that

$$\mathrm{nv}_k(c,H) \leq \mathrm{nv}_k(c,G)$$

holds and t is a consistency-maintaining transformation.

$\qquad\square$

Let us now introduce the notion of *direct consistency-increasing* transformations. Similar to the definition of consistency-maintaining and consistency-increasing transformations, again this notion is based on the notion of direct consistency-maintaining transformations, in the sense that a direct consistency-increasing transformation is also

a direct consistency-maintaining transformation. Since a direct consistency-maintaining transformation t does not introduce any new violations, it is sufficient that t deletes at least one violation to say that t is direct consistency-increasing.

We need to check that at least one violation is removed. Again, we need case discrimination if the constraint ends with $\forall(C_{\mathrm{nl}(c)}, \mathsf{false})$ and $\mathrm{k}_{\max} = \mathrm{nl}(c) - 2$. So we introduce two new conditions, one for the general case and one for this special case.

1. *General increasing condition*: This condition is satisfied if either an occurrence of $C_{\mathrm{k}_{\max}+2}$ that does not satisfy $\exists(\mathrm{k}_{\max}+3, \mathsf{true})$ is deleted, or an occurrence of $C_{\mathrm{k}_{\max}+2}$ which does not satisfy $\exists(C', \mathsf{true})$ in the first graph satisfies $\exists(C', \mathsf{true})$ in the second graph of the transformation with $C' \in \mathrm{IG}(C_{\mathrm{k}_{\max}+2}, C_{\mathrm{k}_{\max}+3})$. Both cases result in the removal of a violation.

2. *Special increasing condition*: This condition is satisfied if an occurrence of $C_{\mathrm{k}_{\max}+2}$ is removed. In the special case that this is the only way to remove a violation.

Definition 4.17 (**direct consistency increasing**). *Given a constraint c in UANF, a rule ρ and a graph G with $G \not\models c$ and let $e = \mathrm{sub}_{\mathrm{k}_{\max}+2}(c)$.*

A transformation $t : G \Longrightarrow_{\rho,m} H$ is called direct consistency-increasing *w.r.t. c if it is direct consistency-maintaining w.r.t. c and either the special increasing condition is satisfied if $\mathrm{sub}_{\mathrm{nl}(c)-1}(c) = \forall(C_{\mathrm{nl}(c)}, \mathsf{false})$ and $\mathrm{k}_{\max} = \mathrm{nl}(c) - 2$ or the general increasing condition is satisfied otherwise.*

1. *General increasing condition:*

$$\exists p : C_{\mathrm{k}_{\max}+2} \hookrightarrow G \Big(\bigvee_{C' \in \mathrm{IG}(\mathrm{k}_{\max}+2, \mathrm{k}_{\max}+3)} \big(p \not\models \mathrm{IC}_0(e, C') \wedge$$
$$(\mathrm{tr}_t \circ p \text{ is not total } \vee \mathrm{tr}_t \circ p \models \mathrm{IC}_0(e, C')) \big) \Big) \tag{4.5}$$

2. *Special increasing condition:*

$$\exists p : C_{\mathrm{k}_{\max}+2} \hookrightarrow G(\mathrm{tr}_t \circ p \text{ is not total}) \tag{4.6}$$

Note that the universally and existentially bound conditions not only ensure that the largest satisfied layer does not decrease, as shown in Lemma 4.16, but also prevent further unnecessary insertions and deletions, since inserting a universally bound graph and deleting an existentially bound graph will never lead to an increase in consistency.

Now, we will show the already indicated relation between direct consistency increasing and consistency increasing, namely that a direct consistency-increasing transformation is also consistency-increasing transformation. Counterexamples in which the inversion of the implication does not hold can be easily constructed, showing that these notions are not identical but related.

Theorem 4.4. *Given a constraint c in UANF, a rule ρ, a graph G with $G \not\models c$ and a direct consistency-increasing transformation $t : G \Longrightarrow_{\rho,m} H$ w.r.t. c. Then, t is also a consistency-increasing transformation.*

Proof. By Theorem 4.3 it follows that t is a consistency maintaining transformation. Therefore, it is sufficient to show that $\mathrm{nv}_{\mathrm{k}_{\max}(c,G)+1}(c,H) < \mathrm{nv}_{\mathrm{k}_{\max}(c,G)+1}(c,G)$. Let $\mathrm{k}_{\max} = \mathrm{k}_{\max}(c,G)$ and $d = \mathrm{sub}_{\mathrm{k}_{\max}+2}(c)$ with $d \neq \mathsf{false}$.

Then, the general increasing condition is satisfied, so there exists a morphism $p : C_{\mathrm{k}_{\max}+2} \hookrightarrow G$ with $p \not\models \mathrm{IC}_0(d,C')$, such that either $\mathrm{tr} \circ p$ is total and $\mathrm{tr}_t \circ p \models \mathrm{IC}_0(d,C')$ or $\mathrm{tr} \circ p$ is not total, for a graph $C' \in \mathrm{IG}(C_{\mathrm{k}_{\max}+2}, C_{\mathrm{k}_{\max}+3})$. In both cases the following applies:

$$p \in \{q \mid q : C_{\mathrm{k}_{\max}+2} \hookrightarrow G \wedge q \not\models \mathrm{IC}_0(d,C')\} \wedge$$
$$\mathrm{tr} \circ p \notin \{q \mid q : C_{\mathrm{k}_{\max}+2} \hookrightarrow H \wedge q \not\models \mathrm{IC}_0(d,C')\}$$

Since t is direct consistency maintaining, it follows that

$$|\{q \mid q : C_{\mathrm{k}_{\max}+2} \hookrightarrow G \wedge q \not\models \mathrm{IC}_0(d,C)\}| \leq |\{q \mid q : C_{\mathrm{k}_{\max}+2} \hookrightarrow H \wedge q \not\models \mathrm{IC}_0(d,C)\}|.$$

for all $C \in \mathrm{IG}(C_{\mathrm{k}_{\max}+2}, C_{\mathrm{k}_{\max}+3})$. Furthermore, this inequality is strictly satisfied if $C = C'$. It immediately follows that $\mathrm{nv}_k(c,G) < \mathrm{nv}_k(c,G)$ and t is a consistency-increasing transformation.

If $d = \mathsf{false}$, i.e. $\mathrm{sub}_{\mathrm{nl}(c)-1}(c) = \forall(C_{\mathrm{nl}(c)}, \mathsf{true})$ and $\mathrm{k}_{\max} = \mathrm{nl}(c) - 2$, the special increasing condition is satisfied. It holds that

$$|\{q \mid q : C_k \hookrightarrow G\}| \leq |\{q \mid q : C_k \hookrightarrow H\}|$$

, and since t is a direct consistency-maintaining transformation, it can be shown in a similar way as above that satisfying the special increasing condition implies that

$$|\{q \mid q : C_k \hookrightarrow G\}| < |\{q \mid q : C_k \hookrightarrow H\}|.$$

It follows that t is a consistency-increasing transformation. $\qquad\square$

Example 4.7. *Consider constraint c_1 given in Figure 5, the transformations t_1, t_2 and the set $\mathrm{IG}(C_1^1, C_2^1)$ given in Figure 7. Then, t_1 is a consistency maintaining transformation. The number of violations in both graphs is 7. In the first graph, the occurrence C_1 does not satisfy $\exists(\mathcal{I}_4, \mathsf{true})$, $\exists(\mathcal{I}_5, \mathsf{true})$ and $\exists(\mathcal{I}_6, \mathsf{true})$ and the occurrence C_2 does not satisfy $\exists(\mathcal{I}_3, \mathsf{true})$, $\exists(\mathcal{I}_4, \mathsf{true})$, $\exists(\mathcal{I}_5, \mathsf{true})$ and $\exists(\mathcal{I}_6, \mathsf{true})$. In the second graph, these roles are swapped, i.e. C_2 satisfies exactly the intermediate conditions that C_1 satisfied in the first graph, and vice versa. But, t_1 is not a direct consistency-maintaining transformation, since the occurrence C_1 satisfies $\exists(\mathcal{I}_3, \mathsf{true})$ in the first but not in the second graph. Therefore, the deleting condition is not satisfied.*

The transformation t_2 is consistency increasing w.r.t. c_1. The number of violations in the first graph is equal to 11. The occurrence C_1 does not satisfy $\exists(\mathcal{I}_4, \mathsf{true})$, $\exists(\mathcal{I}_5, \mathsf{true})$ and $\exists(\mathcal{I}_6, \mathsf{true})$. Both occurrences C_2 and C_3 do not satisfy $\exists(\mathcal{I}_3, \mathsf{true})$, $\exists(\mathcal{I}_4, \mathsf{true})$, $\exists(\mathcal{I}_5, \mathsf{true})$ and $\exists(\mathcal{I}_6, \mathsf{true})$.

In the second graph, C_1 does not satisfy $\exists(\mathcal{I}_3, \mathsf{true})$, $\exists(\mathcal{I}_5, \mathsf{true})$ and $\exists(\mathcal{I}_6, \mathsf{true})$ and both C_2 and C_3 do not satisfy $\exists(\mathcal{I}_6, \mathsf{true})$. Therefore, the number of violations in the second graph is 5.

But t_2 is not a direct consistency increasing transformation, since C_1 satisfies $\exists(\mathcal{I}_3, \mathsf{true})$ in the first graph but not in the second, and the deleting condition is not satisfied.
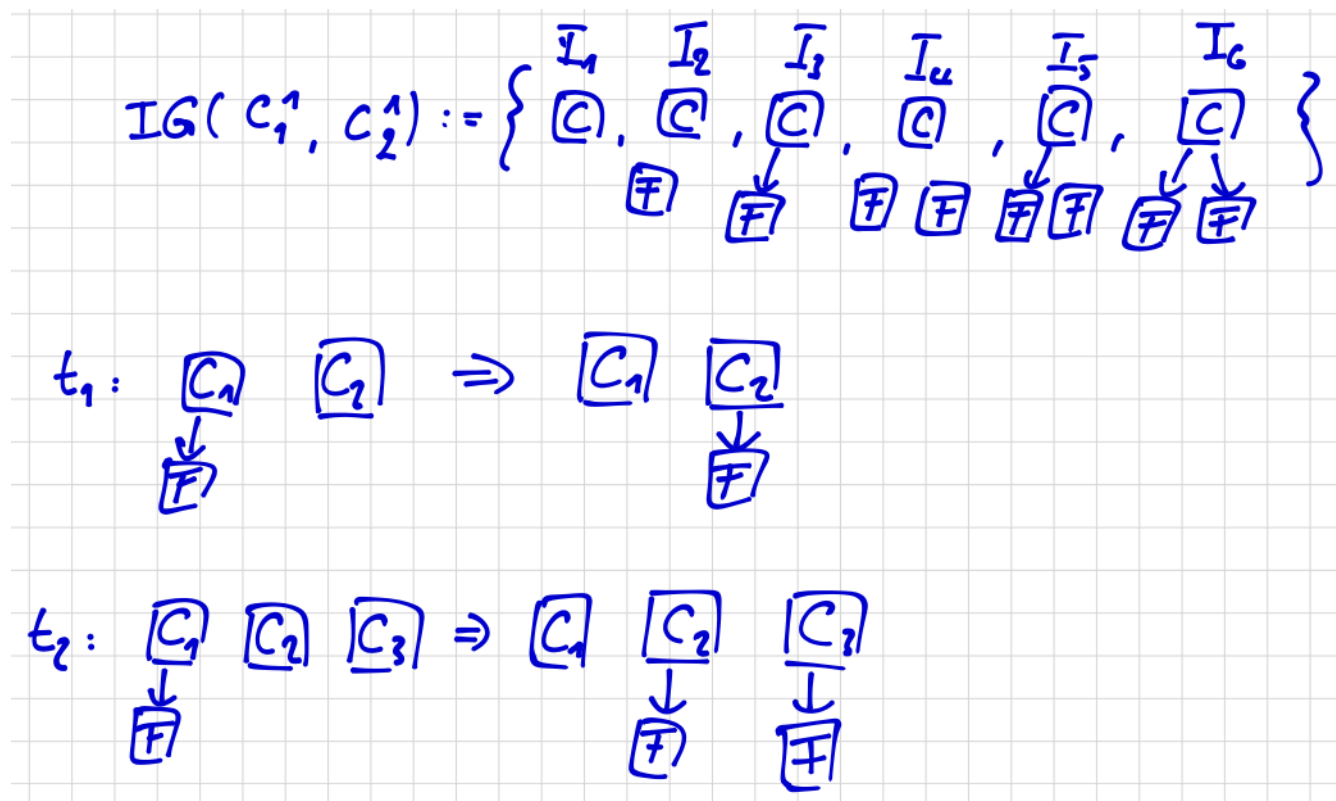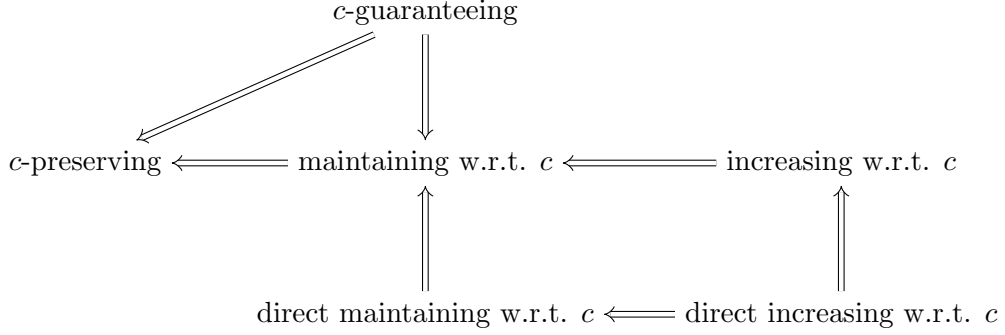
$$IG(c_1^1, c_2^1) := \left\{ \overset{I_1}{\boxed{C}}, \overset{I_2}{\boxed{C}}, \overset{I_3}{\boxed{C}}, \overset{I_4}{\boxed{C}}, \overset{I_5}{\boxed{C}}, \overset{I_6}{\boxed{C}} \right\}$$

$t_1:$ $\boxed{C_1}$ $\boxed{C_2}$ $\Rightarrow$ $\boxed{C_1}$ $\boxed{C_2}$

$t_2:$ $\boxed{C_1}$ $\boxed{C_2}$ $\boxed{C_3}$ $\Rightarrow$ $\boxed{C_1}$ $\boxed{C_2}$ $\boxed{C_3}$

Figure 7: example

25

Figure 8: Relations of consistency notions.

4.5 Comparison with other concepts of Consistency

In this chapter, the notions of (direct) consistency increase- and maintainment are compared to the already known notions of consistency guaranteeing, consistency preserving [4], (direct) consistency increasing and sustaining [5], in order to reveal relations between them to and ensure that (direct) consistency increase- and maintainment are indeed a new notions of consistency. These relationships are shown in Figure 8.

First, we compare (direct) consistency increase- and maintainment with the notions of consistency-guaranteeing, preserving, sustaining and improving in the general case and later on, for some special cases. We begin by examining the conclusions that can be drawn about a consistency-maintaining or consistency-increasing transformation.

Theorem 4.5. *Given a condition c in UANF and a transformation $t : G \Longrightarrow H$. Then,*

$$t \text{ is consistency-maintaining w.r.t. } c \quad \Longrightarrow \quad t \text{ is } c\text{-preserving} \qquad \qquad and$$
$$t \text{ is consistency-maintaining w.r.t. } c \quad \not\Longrightarrow \quad t \text{ is } c\text{-guaranteeing} \qquad \qquad and$$
$$t \text{ is direct consistency-increasing w.r.t } c \quad \not\Longrightarrow \quad t \text{ is consistency sustaining w.r.t } c$$

Proof.   1. t is consistency-maintaining w.r.t. $c \implies t$ is c-preserving: Let t be a consistency-maintaining transformation w.r.t. c. If $G \not\models c$, then t is a c-preserving transformation. If $G \models c$, then $\mathrm{nv}_j(c, G) = 0$ for all $0 \leq j < \mathrm{nl}(c)$. Since t is consistency maintaining it follows that $\mathrm{nv}_j(c, H) = 0$ for all $0 \leq j < \mathrm{nl}(c)$ and hence $H \models c$. It follows that t is a c-preserving transformation.

2. t is consistency maintaining w.r.t. $c \not\Longrightarrow t$ is c-guaranteeing: Consider the transformation $t_2 : G \Longrightarrow H$ shown in Figure 7 and constraint c_1 shown in Figure 5. As discussed in Example 4.7, t_2 is consistency- increasing and this consistency-maintaining w.r.t. c. But, t is not a c-guaranteeing transformation, since all occurrences of nodes of type `Class` do not satisfy $\exists(C_2^1, \mathsf{true})$.

3. t is consistency maintaining w.r.t. $c \not\Longrightarrow t$ is consistency sustaining w.r.t. c: Consider the constraint $c = \forall(C_1^1, \exists(C_2^1, \forall(C_4^2, d)))$, where d is an existentially bound

26

constraint in ANF with $d \neq$ false composed of the graphs given in Figure 5. And consider transformation t_2 given in Figure 9. Then, t is direct consistency increasing; the deletion, increasing, universally and existentially conditions are satisfied and the general increasing condition is satisfied because an occurrence of C_1^1 that did not satisfy $\exists(C_2^1, \text{true})$ in G satisfies $\exists(C_2^1, \text{true})$ in H. This transformation is not consistency-sustaining since the number of occurrences of C_1^1 not satisfying $\exists(C_2^1, \forall(C_4^2, d))$ in H is greater than the number of occurrences of C_1^1 in G not satisfying $\exists(C_2^1, \forall(C_4^2, d))$.

$\square$

These results are not surprising, since consistency-maintaining and consistency-increasing are much stricter notions than guaranteeing and sustaining, in the sense that the notion of violation is finer-grained. For example, for guaranteeing transformations, an arbitrary number of violations can be introduced as long as the derived graph satisfies the constraint, and thus guaranteeing does not imply direct increasing, since a direct increasing transformation is not allowed to introduce new violations. Let us now examine whether a concept of consistency implies the notions of consistency-maintaining and increasing.

Theorem 4.6. *Given a condition c in UANF and a transformamtion $t : G \Longrightarrow H$. Then,*

t is c-guaranteeing	*$\Longrightarrow$ t is consistency-maintaining w.r.t c and*
t is c-guaranteeing	*$\not\Longrightarrow$ t is consistency-increasing w.r.t c and*
t is c-preserving	*$\not\Longrightarrow$ t is consistency-maintaining w.r.t c and*
t is direct consistency improving w.r.t c	*$\not\Longrightarrow$ t is consistency-maintaining w.r.t c*

Proof.   1. t is c-guaranteeing $\Longrightarrow$ t is consistency-maintaining w.r.t. c: Let t be a c-guaranteeing transformation. Then, t is also a consistency-maintaining transformation w.r.t. c since $H \models c$ and therefore $\text{nv}_j(c, H) = 0$ for all $-1 \leq j < \text{nl}(c)$.

   2. t is c-guaranteeing $\not\Longrightarrow$ t is consistency-increasing w.r.t. c: Assume that $G \models c$ and $H \models c$. Then, t is a c-guaranteeing transformation, but not a consistency-increasing one.

   3. t is c-preserving $\not\Longrightarrow$ t is consistency-maintaining w.r.t. c: Consider graphs C_1^1, C_2^2 and constraint c_1 given in Figure 5. The transformation $t : C_2^2 \Longrightarrow C_1^1$ is c-preserving, since $C_2^2 \not\models c_1$, but not consistency maintaining w.r.t. c since $\text{nv}_0(c, C_2^2) = 2$ and $\text{nv}_0(c, C_1^1) = 5$.

   4. t is direct consistency-improving w.r.t. c $\not\Longrightarrow$ t is consistency-maintaining w.r.t. c: Consider transformation t_1 given in Figure 9 and constraint c_1 given in Figure 5. The transformation t_1 is direct consistency improving since no occurrence of C_1^1 is inserted, no occurrence of C_1^1 satisfying $\exists(C_2^1, \text{true})$ is deleted, and one occurrence C_1 satisfies $\exists(C_2^1, \text{true})$. But, this transformation is not consistency-maintaining
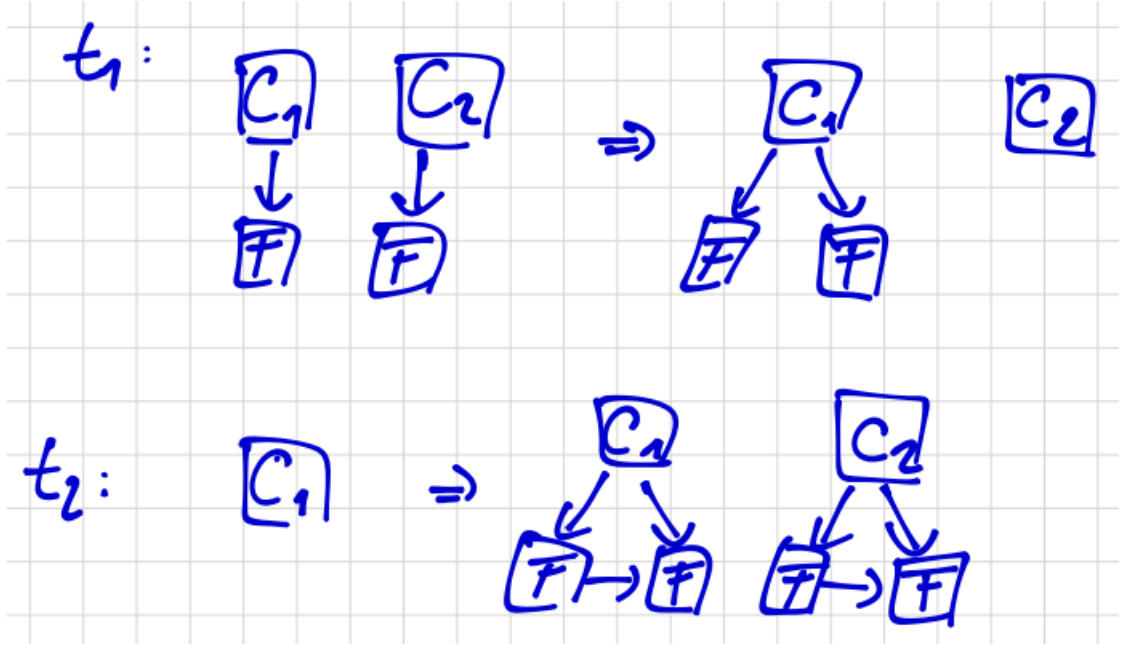
Figure 9: example

w.r.t. c since the number of violations in the first graph is 2 and the number of violations in the second graph is 3.

$\square$

This shows, that in general the notions of (direct) consistency increase and maintainment are not related to (direct) consistency improve- and sustainment. We have only shown some of these relations. Since by definition, (direct) consistency improvement implies (direct) consistency sustainment are related we can conclude results for all pairs of consistency types [5]. An overview of these is given in Table 3.

For some special cases, we can infer other types of relationships.

Theorem 4.7. *Given a constraint c in UANF and a transformation $t : G \Longrightarrow H$.*

1. *If $G \not\models c$, then*

   $$t \text{ is } c\text{-guaranteeing} \implies t \text{ is consistency-increasing w.r.t. } c.$$

2. *If $\mathrm{nl}(c) = 1$, then*

   $$t \text{ is consistency improving w.r.t } c \iff t \text{ is consistency increasing w.r.t } c$$

Proof.   1. Let t be a c-guaranteeing transformation with $G \not\models c$. Then, t is a consistency-increasing transformation w.r.t. c since $0 < \mathrm{nv}_{\mathrm{k_{max}}(c,G)+1}(c, G) < \infty$ and $\mathrm{nv}_j(c, H) = 0$ for all $-1 \leq j \leq \mathrm{nl}(c)$.

28

$\implies$	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
maintaining (1)	✓	✗	✗	✗	✗	✗	✗	✗	✗	✓
increasing (2)	✓	✓	✗	✗	✗	✗	✗	✗	✗	✓
direct maintaining(3)	✓	✗	✓	✗	✗	✗	✗	✗	✗	✓
direct increasing (4)	✓	✓	✓	✓	✗	✗	✗	✗	✗	✓
improving (5)	✗	✗	✗	✗	✓*	✓*	✗*	✗*	✗*	✓*
sustaining(6)	✗	✗	✗	✗	✗*	✓*	✗*	✗*	✗*	✓*
direct improving (7)	✗	✗	✗	✗	✓*	✓*	✓*	✓*	✗*	✓*
direct sustaining (8)	✗	✗	✗	✗	✗*	✓*	✗*	✓*	✗*	✓*
guaranteeing(9)	✓	✗	✗	✗	✓*	✓*	✓*	✓*	✓**	✓**
preserving (10)	✗	✗	✗	✗	✗*	✗*	✗*	✗*	✗**	✓**

Table 3: Overview of the relationships between consistency concepts, "✓" indicates that the notion in this row implies the notion in the column, and "✗" indicates that this implication does not hold. All results marked with "*" are from [5] and those marked with "**" are from [4].

2. Let $\mathrm{nl}(c) = 1$. Since c is in UANF, $\mathrm{sub}_1(c) = \mathsf{false}$ and $\mathrm{nv}_0(c, G)$ is the number of occurrences of C in G. This is exactly the definition of the number of violations for consistency-improving transformations, and the statement immediately follows.

$\square$

5 Application Conditions

In the following, we present application conditions which ensure that any rule equipped with this application condition is (direct) consistency-increasing or (direct) consistency-maintaining. In particular, we present applications in the general case and later for specific rules, called *basic maintaining/increasing* rules. For basic rules, less complex application conditions can be constructed. Similar to the notions of consistency-maintaining and consistency-increasing, these application conditions will only consider graphs of the constraint up to a certain layer. This is useful to reduce the restrictiveness of these application conditions, since all graphs C_j with $j < \mathrm{k}_{\max} + 2$ do not affect whether a transformation is is consistency-maintaining or consistency-increasing. So it is clear that a rule with these application conditions is not a consistency-maintaining or consistency-increasing rule. Therefore, let us introduce a weaker notion of consistency-maintaining and consistency-increasing rules, called *(direct) consistency-increasing rule at layer* and *(direct) consistency-maintaining rules at layer* respectively.

As the name suggests, a rule is called (direct) consistency-increasing at layer k (or (direct) consistency-maintaining at layer k) if all its transformations starting with graphs with $\mathrm{k}_{\max} = k$ are (direct) consistency-increasing or (direct) consistency-maintaining, respectively.

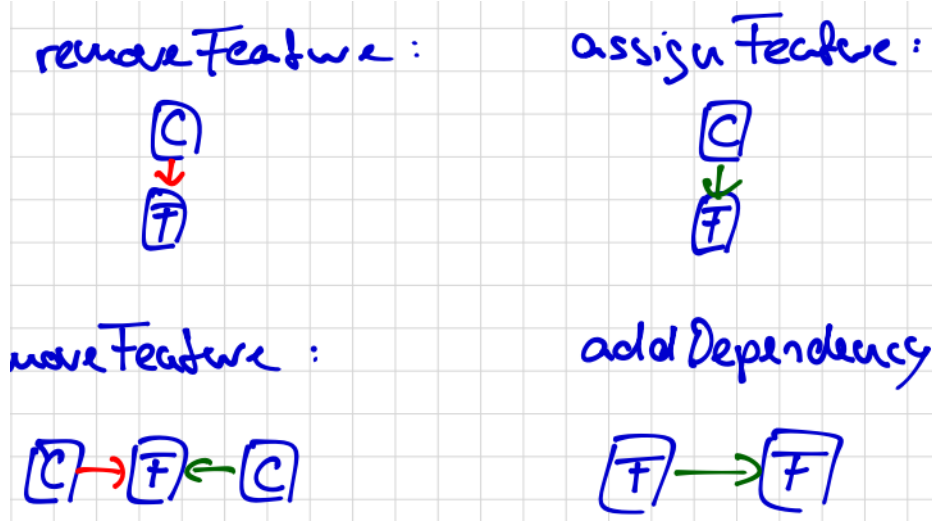**Definition 5.1 ((direct) consistency increasing and maintaining rule at layer).**

Figure 10: rules

Let a constraint c in UANF be given. A rule ρ is called (direct) consistency maintaining *at layer $-1 \leq k < \mathrm{nl}(c)$ w.r.t. c if all transformations $t : G \Longrightarrow_\rho H$, with $\mathrm{k}_{\max}(G, c) = k$, are (direct) consistency maintaining w.r.t. c. The rule ρ is called* (direct) consistency *increasing at layer $0 \leq k < \mathrm{nl}(c)$ w.r.t. c if all transformations $t : G \Longrightarrow_\rho H$, with $\mathrm{k}_{\max}(G, c) = k$, are (direct) consistency increasing w.r.t. c.*

Note that a consistency-maintaining rule at layer $\mathrm{nl}(c)-1$ w.r.t. c is also a consistency-maintaining rule w.r.t. c, since all graphs to which this rule is applied satisfy c. For the same reason, there is no consistency-increasing transformation at layer $\mathrm{nl}(c) - 1$ w.r.t. c.

5.1 General Application Conditions

Let us start by introducing consistency-maintaining application conditions, i.e., a rule equipped with this application condition is direct consistency-maintaining at a given layer. We will also introduce an application condition such that any rule equipped with it is a consistency-maintaining rule.

The maintaining application condition has a odd parameter k which specifies which graphs of a constraint are to be considered. In particular, only the graphs C_j with $0 \leq j \leq k + 3$ are considered. Note that there is no graph with $\mathrm{k}_{\max}$ odd and $\mathrm{k}_{\max} \neq \mathrm{nl}(c) - 1$. So it is not a restriction that k must be odd. The maintaining application condition consists of the following three parts, which also use the k parameter:

1. *No existentially destroyed (*$\mathrm{ned}_k()$*):* This application condition checks that no occurrences of existentially bound graphs C_j with $2 \leq j \leq k + 1$ are removed. It corresponds to the existentially condition, since a rule that satisfies this application
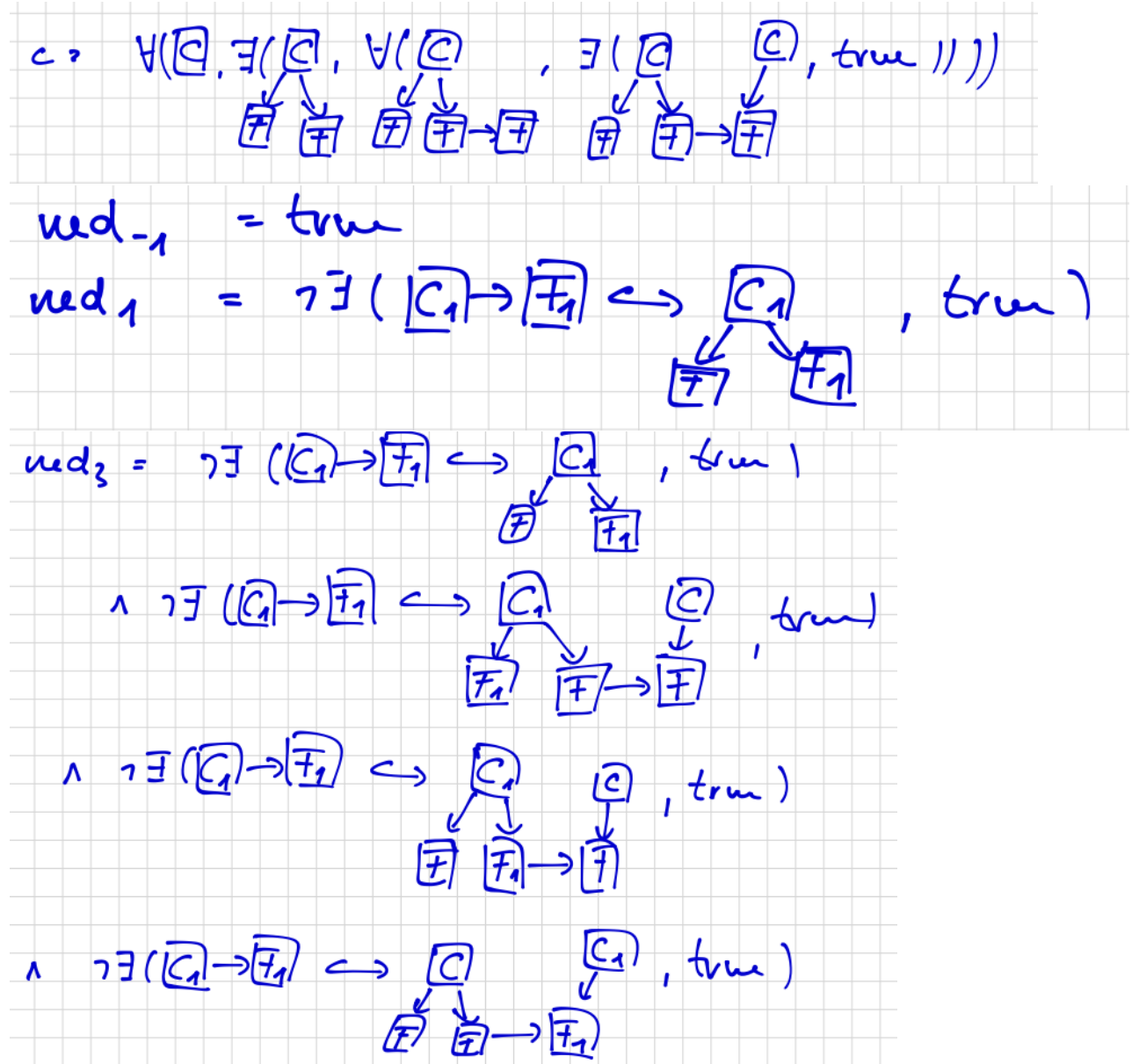
c ? $\forall(\boxed{C}, \exists(\boxed{C}, \forall(\boxed{C}\quad, \exists(\boxed{C}\quad\boxed{C}, true\,)))$

$ned_{-1} = true$

$ned_1 = \neg\exists(\boxed{C_1}\mapsto\boxed{F_1} \hookleftarrow \boxed{C_1}\quad, true\,)$

$ned_3 = \neg\exists(\boxed{C_1}\mapsto\boxed{F_1} \hookleftarrow \boxed{C_1}\quad, true\,)$

$\wedge\ \neg\exists(\boxed{C_1}\to\boxed{F_1} \hookleftarrow \boxed{C_1}\quad\boxed{C}\quad, true\,)$

$\wedge\ \neg\exists(\boxed{C_1}\to\boxed{F_1} \hookleftarrow \boxed{C_1}\quad\boxed{C}\quad, true\,)$

$\wedge\ \neg\exists(\boxed{C_1}\to\boxed{F_1} \hookleftarrow \boxed{C}\quad\boxed{C_1}\quad, true\,)$

Figure 11: no existentially deleted conditions for the constraint and rule `removeFeature`.

condition also satisfies the existentially condition when applied to a graph with $k_{\max} = k$.

2. *No universally inserted (*$\mathrm{nui}_k()$*):* This application condition checks that no occurrences of universally bound graphs C_j with $1 \leq j \leq k+2$ are inserted. It corresponds to the universally and the inserting conditions, in the sense that, a rule that satisfies this application condition also satisfies the universally and inserting conditions when applied to a graph with $k_{\max} = k$.

3. *No violation inserted (*$\mathrm{vio}_k()$*):* This application condition checks that no new violations are introduced by removing occurrences of intermediate graphs from the set $\mathrm{IG}(C_{k+2}, C_{k+3})$. It corresponds to the the deleting condition in the sense that a rule that satisfies this application condition also satisfies the deleting condition when applied to a graph with $k_{\max} = k$. There are several cases for this application condition. If $k = \mathrm{nl}(c) - 2$, the constraint ends with $\forall(C_{\mathrm{nl}(c)}, \mathsf{false})$. Therefore no violations can be introduced by removing occurrences of intermediate graphs. In particular, there is no graph C_{k+3}. If $k = \mathrm{nl}(c) - 1$, a rule equipped with this application condition always satisfies the deleting condition when applied to graphs with $k_{\max} = k$. Therefore, if $k \geq \mathrm{nl}(c) - 2$, we set the application condition to true.

Recall that given a constraint c in UANF, each subcondition $\mathrm{sub}_k(c)$ is a condition over the graph C_k and the morphism is denoted by a_k.

Definition 5.2 (consistency maintaining application condition). *Given a rule $\rho = (\mathrm{ac}, \rho')$ with $\rho' = L \longleftrightarrow K \longhookrightarrow R$, a constraint c in UANF and an odd $-1 \leq k < \mathrm{nl}(c)$. The* maintaining application condition *of c for ρ at layer k is defined as $\mathrm{ac} \wedge \mathrm{main}_k(\rho')$ with*

$$\mathrm{main}_k(\rho') := \mathrm{ned}_k(\rho') \wedge \mathrm{nui}_k(\rho') \wedge \mathrm{vio}_k(\rho')$$

and

1. *No existentially destroyed: Let E be the set of all existentially bound graphs graphs C_j with $2 \leq j \leq k+1$ and $\mathbf{P}_{C_j}$ be the set all overlaps P' of L and C_j with $i_L^{P'}(L \setminus K) \cap i_{C_j}^{P'}(C_j \setminus C_{j-1}) \neq \emptyset$:*

$$\mathrm{ned}_k(\rho') := \bigwedge_{C \in E} \bigwedge_{P' \in \mathbf{P}_{C_j}} \neg \exists (i_L^{P'} : L \hookrightarrow P', \mathit{true})$$

2. *No universally inserted: Let U be the set of all universally bound graphs C_j with $1 \leq j \leq k+2$, and $\mathbf{P}_{C_j}$ be the set of all overlaps P' of R and C_j with $i_R^{P'}(R \setminus K) \cap i_{C_j}^{P'}(C_j \setminus C_{j-1}) \neq \emptyset$:*

$$\mathrm{nui}_k(\rho') := \bigwedge_{C \in U} \bigwedge_{P' \in \mathbf{P}_{C_j}} \mathrm{Left}(\neg \exists (i_R^{P'} : R \hookrightarrow P', \mathit{true}), \rho')$$
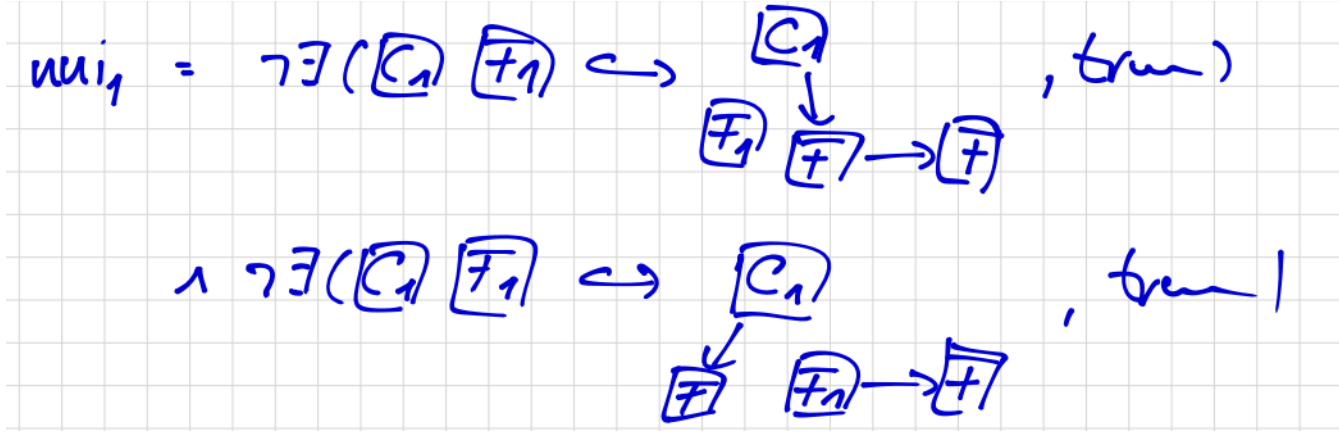
Figure 12: No universally inserted conditions for the constraint given in Figure 11 and rule `assignFeature`.

3. *No violation inserted:* Let $\mathbf{P}_{C'}$ *be the set of all overlaps P of L and C' with*
   $i_L^P(L \setminus K) \cap i_{C'}^P(C' \setminus C_{k+2}) \neq \emptyset$ *for $C' \in \mathrm{IG}(C_{k+2}, C_{k+3})$:*

$$\mathrm{vio}_k(\rho') := \begin{cases} \textit{true} & \textit{if } k \geq \mathrm{nl}(c) - 2 \\ \bigwedge_{C' \in \mathrm{IG}(C_{k+2}, C_{k+3})} \bigwedge_{P \in \mathbf{P}_{C'}} \neg \exists (i_L^P : L \hookrightarrow P', \textit{true}) & \textit{otherwise} \end{cases}$$

Example 5.1. *1. Consider the constraint c given in Figure 11 and the rule `removeFeature`. The conditions $\mathrm{ned}_{-1}(\texttt{removeFeature})$, $\mathrm{ned}_1(\texttt{removeFeature})$ and $\mathrm{ned}_3(\texttt{removeFeature})$ are also given in Figure 11; $\mathrm{ned}_{-1}(\texttt{removeFeature})$ is equal to true because there is no existentially bound graph C_0. $\mathrm{ned}_1(\texttt{removeFeature})$ checks that no occurrences of C_2 are inserted, while $\mathrm{ned}_3(\texttt{removeFeature})$ checks that no occurrences of C_2 and C_4 are inserted. Obviously, $\mathrm{ned}_1(\texttt{removeFeature})$ is contained in $\mathrm{ned}_3(\texttt{removeFeature})$. Note that there are are conditions in $\mathrm{ned}_3(\texttt{removeFeature})$ that imply each other. For example, the first condition implies the second and third. So they can be removed.*

2. Again consider constraint c given in Figure 11 and the rule `assignFeature`. The condition $\mathrm{nui}_{-1}(\texttt{assignFeature})$ is equal to true since `assignFeature` cannot create a node of type `Class`. The condition $\mathrm{nui}_1(\texttt{assignFeature})$ is given in Figure 12. The condition $\mathrm{nui}_3(\texttt{assignFeature})$ is equal to $\mathrm{nui}_1(\texttt{assignFeature})$ since there is no universally bound graph C_j with $j > 3$.

3. Consider the constraint c given in Figure 13 and the rule `addDependency`. Then, $\mathrm{vio}_{-1}(\texttt{addDependency})$ is given in Figure 13. The condition $\mathrm{vio}_1(\texttt{addDependency})$ is equal to true since $1 > \mathrm{nl}(c) - 2 = 0$.

Let us now show that every rule equipped with $\mathrm{main}_k()$ is a consistency-maintaining rule at layer k.

$c = \forall(\boxed{c}, \exists'(\boxed{c} \to \boxed{f} \to \boxed{f} \to \boxed{f}, \text{true}))$

Regel: $\boxed{f_1} \to \boxed{f_2} \longleftrightarrow \boxed{f_1} \quad \boxed{f_2} \longleftrightarrow \boxed{f_1} \quad \boxed{f_2}$

$\text{vio}_{-1}:\quad \neg\exists\,(\boxed{f_1}\to\boxed{f_2} \longleftrightarrow \boxed{c}\to\boxed{f_1}\to\boxed{f_2}\quad,\text{true})$

$\wedge\quad \neg\exists\,(\boxed{f_1}\to\boxed{f_2} \longleftrightarrow \boxed{c}\to\boxed{f}\to\boxed{f_1}\to\boxed{f_2}\,,\text{true})$

$\wedge\quad \neg\exists\,(\boxed{f_1}\to\boxed{f_2} \longleftrightarrow \boxed{c}\to\boxed{f_1}\to\boxed{f_2}\to\boxed{f}\,,\text{true})$

Figure 13: No violation inserted conditions for the constraint and rule `addDependency`.

Theorem 5.1. *Given a constraint c in UANF. Every rule $\rho = (\text{ac} \wedge \text{main}_k(\rho'), \rho')$ with $-1 \leq k < \text{nl}(c)$ odd is a consistency maintaining rule at layer k w.r.t. c.*

Proof. Given a graph G with $k_{\max} = k$ and a transformation $t : G \Longrightarrow_{\rho,m} H$. We show that t is a direct consistency maintaining transformation w.r.t. c.

We show that t satisfies the deleting, inserting, universally and existentially conditions.

1. Assume that t does not satisfy the deleting condition. Then $k_{\max} < \text{nl}(c) - 1$, $e = \text{sub}_{k+2}(c) \neq \text{false}$ and there is a morphism $p : C_{k+2} \hookrightarrow G$ such that $p \models \text{IC}_0(e, C')$, $\text{tr}_t \circ p$ is total and $\text{tr}_t \circ p \not\models \text{IC}_0(e, C')$ for a graph $C' \in \text{IG}(C_{k+2}, C_{k+3})$. Therefore, there is an overlap P of L and C' such that $i^P_{C'} \circ a^r_{k+2} \models \exists(a^r_{k+2} : C_{k+2} \hookrightarrow C', \text{true})$ with $i^P_L(L \setminus K) \cap i^P_{C'}(C' \setminus C_{k+2}) \neq \emptyset$ and $m \models \exists(i^P_L : L \hookrightarrow P, \text{true})$. Thus, $\text{vio}_k(\rho')$ and consequently also $\text{main}_k(\rho')$ cannot be satisfied.

2. Assume that t does not satisfy the inserting condition. Let

$$d := \begin{cases} \text{IC}_0(\text{sub}_{k+2}(c), C_{k+3}) & \text{if } \text{sub}_{k+2}(c) \neq \text{false} \\ \text{false} & \text{otherwise.} \end{cases}$$

Then, there is a morphism $p' : C_{k+2} \hookrightarrow H$ with $p' \not\models d$ such that no morphism $p : C_{k+2} \hookrightarrow G$ with $\text{tr}_t \circ p = p'$ exists. Therefore, there is an overlap P of R and C_{k+2} with $i^P_R(R \setminus K) \cap i^P_{C_{k+2}}(C_{k+2} \setminus C_{k+1}) \neq \emptyset$ such that $m \models \text{Left}(\exists(i^P_R : R \hookrightarrow P, \text{true}), \rho')$. Hence, m does not satisfy $\text{nui}_k(\rho')$.

3. Assume that t does not satisfy the universally condition. Then, there is a morphism $p : C_j \hookrightarrow H$ with $0 \leq j < k$ and C_j universally bound such that no morphism $p' : C_j \hookrightarrow G$ with $\text{tr}_t \circ p' = p$ exists. Then, there is an overlap P of C_j and R with

34

$i_R^P(R \setminus K) \cap i_{C_j}^P(C_j \setminus C_{j-1}) \neq \emptyset$ such that $m \models \mathsf{Left}(\exists(i_R^P : R \hookrightarrow P, \mathsf{true}), \rho)$. Hence, $m \not\models \mathrm{nui}_k(\rho')$.

4. Assume that t does not satisfy the existentially condition. There is a morphism $p : C_j \hookrightarrow G$ with $j \leq k + 1$ and C_j existentially bound and such that $\mathrm{tr}_t \circ p$ is not total. Then, there is an overlap P of C_j and L with $i_L^P(L \setminus K) \cap i_{C_j}^P(C_j \setminus C_{j-1}) \neq \emptyset$, such that $m \models \exists(i_L^P : L \hookrightarrow P, \mathsf{true})$. Hence, $m \not\models \mathrm{ned}_k(\rho')$.

It follows that ρ is a consistency-maintaining rule at layer k w.r.t. c. $\qquad \square$

Theorem 5.2. *Given a constraint c in UANF. Every rule ρ equipped with the application condition*

$$\left(\bigwedge_{\substack{-1 \leq i < \mathrm{nl}(c) \\ i \ odd}} \mathrm{vio}_i(\rho) \right) \wedge \mathrm{nui}_{\mathrm{nl}(c)-1}(\rho)$$

is a consistency-maintaining rule w.r.t. c.

Proof. Let $\rho = R \xleftarrow{r} K \xhookrightarrow{l} L$ be a rule equipped with this application condition. We show that ρ is a consistency-maintaining rule at layer k w.r.t. c for all $-1 \leq k \leq \mathrm{nl}(c) - 1$. Obviously, $\mathrm{nui}_{\mathrm{nl}(c)-1}(\rho)$ contains $\mathrm{nui}_j(\rho)$ for all $-1 < j \leq \mathrm{nl}(c) - 1$. The set of intermediate graphs always contains both graphs on which this set was built, so $\mathrm{vio}_i(\rho)$ contains the condition

$$\bigwedge_{P' \in \mathbf{P}_{C_{i+3}}} \neg \exists(i_L^{P'} : L \hookrightarrow P', \mathsf{true})$$

which checks that no occurrence of C_{i+3} is deleted. Therefore

$$\left(\bigwedge_{\substack{-1 \leq i < \mathrm{nl}(c) \\ i \ odd}} \mathrm{vio}_i(\rho) \right)$$

must contain $\mathrm{ned}_{\mathrm{nl}(c)-1}(\rho)$ and therefore it contains $\mathrm{ned}_j(\rho)$ for all $-1 \leq j \leq \mathrm{nl}(c) - 1$. So we can rewrite this application condition into the equivalent condition

$$\left(\bigwedge_{\substack{-1 \leq i < \mathrm{nl}(c) \\ i \ odd}} \mathrm{vio}_i(\rho) \wedge \mathrm{nui}_i(\rho) \wedge \mathrm{ned}_i(\rho) \right) = \left(\bigwedge_{\substack{-1 \leq i < \mathrm{nl}(c) \\ i \ odd}} \mathrm{main}_i(\rho) \right)$$

It follows that ρ is a consistency-maintaining rule at layer k for all $-1 \leq k < \mathrm{nl}(c)$. Hence, ρ is a consistency-maintaining rule w.r.t. c. $\qquad \square$

The *extended overlap* will be a useful tool for our consistency-increasing application conditions. Intuitively, given an overlap and a morphism a, the overlap is extended such that one overlap morphism satisfies $\exists(a, \mathsf{true})$.

Definition 5.3 (extended overlaps). *Given an overlap $(G, i_{C_0}^G, i_{C_1}^G)$ of C_0 and C_1 and a morphism $e : C_0 \hookrightarrow H$. The set of* extended overlaps *of G at $i_{C_0}^G$ with e, denoted by $\mathrm{eol}(G, i_{C_0}^G, e)$ is defined as:*

$$\mathrm{eol}(G, i_{C_0}^G, e) := \{ P \in \mathrm{ol}(G, H) \mid i_G^P \circ i_{C_0}^G \models \exists(e : C_0 \hookrightarrow H, \mathsf{true}) \}$$

Using extended overlaps, we will be able to check whether an violation has been removed. Let us now consider consistency-increasing application conditions. For these we will use the maintaining application conditions described above. All that remains is to ensure that at least one violation is removed. To do this, we must first check that there is a violation in the match. This means that the match and a violation are overlapping. Finally, we need to check that this violation is removed.

Again, the increasing application condition has the odd parameter $-1 \leq k < \mathrm{nl}(c)-1$, which specifies which constraint graphs are to be considered. Note that k must not be $\mathrm{nl}(c) - 1$, since all graphs with $\mathrm{k_{max}} = \mathrm{nl}(c)$ satisfy the constraint. Therefore for these graphs there can be no consistency increasing transformations. It also has a second parameter C' which is an intermediate graph of C_{k+2} and C_{k+3} if C_{k+3} exists and is set to C_{k+2} otherwise. Again, a rule equipped with this application condition is a consistency-increasing rule at layer k. It consists of the following parts:

1. The maintaining application condition ($\mathrm{main}_k()$): As already discussed, this application condition ensures that a rule equipped with this application condition is a consistency-maintaining rule at layer k.

2. *Violation exists* ($\mathrm{exv}()$): This condition checks that there is a violation at the match, i.e. there is an occurrence p of C_{k+2} with $m(L) \cap p(C_{k+2}) \neq \emptyset$ not satisfying $\exists(C', \mathsf{true})$. There is a special case when $k = \mathrm{nl}(c) - 2$ and c ends with $\forall(C_{\mathrm{nl}(c)}, \mathsf{false})$, it is sufficient to check only that $m(L) \cap p(C_{k+2}) \neq \emptyset$. If there are no such occurrences, the transformation cannot be consistency-increasing.

3. *Violation removed* ($\mathrm{remv}()$): This condition checks that the violation is removed. This can be done in several ways, either by deleting the occurrence p or by inserting elements such that $p \models \exists(C', \mathsf{true})$. This leads to case discrimination. The first case is easy to check, if $m(L \setminus K) \cap p(C_{k+2} \setminus C_{k+1}) \neq \emptyset$, p is removed and this condition can be set to true. Otherwise, we need to check that the violation has been removed by an additional condition that checks whether p satisfies $\exists(C', \mathsf{true})$ after the transformation. The last case is the special case where the constraint ends with $\forall(C_{\mathrm{nl}(c)}, \mathsf{true})$ and $k = \mathrm{nl}(c) - 2$. Then there is only one way to remove a violation, by removing the occurrence p. So the condition is set to true if $m(L \setminus K) \cap p(C_{k+2} \setminus C_{k+1}) \neq \emptyset$ and to false otherwise.

Definition 5.4 (consistency increasing application condition). *Given a rule $\rho = (\mathrm{ac}, \rho')$ with $\rho' = L \xleftarrow{l} K \xrightarrow{r} R$ and a constraint c in UANF. Let $0 \leq k < \mathrm{nl}(c) - 1$ be odd and $C' = C_{k+2}$ if $k = \mathrm{nl}(c) - 2$ and $C' \in \mathrm{IG}(C_{k+2}, C_{k+3})$ otherwise. The* increasing *application condition of c for ρ at layer k with C' is defined as*

$$\mathrm{incr}_k(C', \rho) := \mathrm{ac} \wedge \mathrm{main}_k(\rho') \wedge \left(\bigvee_{P \in \mathrm{ol}(L, C_{k+2})} \mathrm{exv}(P, C') \wedge \mathrm{remv}(P, C') \right) \qquad (5.1)$$

with

1. *Violation exists:* Let $a_{k+2}^r : C_{k+2} \hookrightarrow C$ be the restricted morphism of a_{k+2} and i_L^P and i_P^Q be overlap morphisms of P and Q, respectively:

$$\text{exv}(P, C') := \begin{cases} \exists(i_L^P : L \hookrightarrow P, \text{true}) & \text{if } \text{sub}_{k+2}(c) = \text{false} \\ \exists(i_L^P : L \hookrightarrow P, \bigwedge_{Q \in \text{eol}(P, i_{C_{k+2}}^P, a_{k+2}^r)} \neg \exists(i_P^Q : P \hookrightarrow Q, \text{true})) & \text{otherwise} \end{cases}$$

2. *Violation removed:*

   (a) If $i_L^P(L \setminus K) \cap i_{C_{k+2}}^P(C_{k+2} \setminus C_{k+1}) \neq \emptyset$, $\text{remv}(P, C') := \text{true}$.

   (b) If $\text{sub}_{k+2}(c) = \text{false}$, i.e. $k = \text{nl}(c) - 2$,

   $$\text{remv}(P, C') := \begin{cases} \text{true} & \text{if } i_L^P(L \setminus K) \cap i_{C_{k+2}}^P(C_{k+2} \setminus C_{k+1}) \neq \emptyset \\ \text{false} & \text{otherwise.} \end{cases}$$

   (c) *Otherwise, let* P' *be the graph derived by the transformation* $P \Longrightarrow_{\rho, m} P'$. *Then,* P' *is an overlap of* R *and* C_{k+2}. *If this transformation does not exist, we set* $\text{remv}(P, C') := \text{false}$ *and otherwise*

   $$\text{remv}(P, C') := \bigvee_{Q \in \text{eol}(P', i_{C_{k+2}}^{P'}, a^r)} \text{Left}(\forall(i_R^Q : R \hookrightarrow P', \exists(i_{P'}^Q : P' \hookrightarrow Q, \text{true})), \rho).$$

Note that $\text{incr}_k(C', \rho)$ is only evaluated to true if an occurrence of $p : C_{k+2} \hookrightarrow G$ that does not satisfy $\exists(C', \text{true})$ is either removed or satisfies $\exists(C', \text{true})$ in the derived graph. For all smaller improvements, i.e. a similar improvement for a subgraph $C'' \in \text{IG}(C_{k+1}, C')$ of C', $\text{incr}_k(C', \rho)$ would be evaluated as false. For any larger improvements, i.e. the same improvement for a supergraph $C'' \in \text{IG}(C', C_{k+2})$ of C', $\text{incr}_k(C', \rho)$ will also be evaluated as false if the repaired occurrence of C_{k+2} satisfies $\exists(C', \text{true})$. In both cases, the application condition would prohibit the transformation, even though it would be consistency-increasing. To solve this problem, several application conditions could be combined by

$$\bigvee_{C' \in \text{IG}(C_{k+1}, C_{k+2})} \text{incr}_k(C', \rho).$$

This application condition will be evaluated as true if the cases described above occur, with the drawback that this results in a huge condition, even if duplicate conditions are removed. At least all duplicates of main() can be removed, since they are identical for each $\text{incr}_k(C', \rho)$ and only need to be constructed once.

In general, these application conditions are a compromise between condition size and restrictiveness. They are very restrictive because they do not allow deletions of occurrences of existentially bound graphs and insertions of universally bound graphs. For example, any of these application conditions with the rule `moveFeature` and the constraint c_1 will be equivalent to false; the maintaining part of the condition will always be evaluated as false, since `moveFeature` always removes occurrences of the existentially

37

bound graph C_2^1. Changing the conditions constructed by main() to check whether two nodes of type `Feature` are connected to a node of type `Class` will give application conditions that can be satisfied with `moveFeature`. However, for a similar rule moving two nodes of type `Feature`, this newly constructed main() would still be evaluated as false. So this would only lead to a slight decrease in restrictiveness.

The conditions constructed by ned() and nui() could be modified in a similar way. For ned() and an occurrence p of the universally bound graph C_j, by checking whether there exist two occurrences p_1, p_2 of C_j with $p = p_1 \circ a_j = p_2 \circ a_j$, and for nui(), by checking whether an introduced occurrence p of C_j satisfies $\exists(C_{j+1}, \text{true})$. The construction of these is similar to the construction of consistency guaranteeing application conditions as introduced by Habel and Pennemann [4], which is known to construct huge application conditions. Also, the application conditions constructed above become more and more restrictive as k increases, since the number of conditions also increases.

Example 5.2.   *1. Consider constraint c_1 given in Figure 5 and the rule `assignFeature`. There is only one overlap P of L and C_1^1 which is shown in Figure Consider Figure 14. The $\text{exv}(P, C')$ and $\text{exv}(P, C')$ parts of $\text{incr}_{-1}(C', \text{assignFeature})$ with $C' = C_2^2$ and $C' = C_2^1$, respectively, are also given in Figure 14.*

2. Consider the constraint and rule given in Figure 15. The application increasing application condition of this rule and at layer -1 with C_1 of the constraint is also given in this Figure.
Note that we have already used the Left operator in all of these conditions.

Let us now show that a rule equipped with the consistency-increasing transformation condition at layer k is indeed a consistency-increasing rule at layer k.

Theorem 5.3. *Given a constraint c in UANF. Every rule $\rho = (\text{ac} \wedge \text{incr}_k(C', \rho'), \rho')$ with $-1 \leq k < \text{nl}(c) - 1$ odd and $C' \in \text{IG}(C_{k+2}, C_{k+3})$ if $k < \text{nl}(c) - 2$ and $C' = C_{k+2}$ otherwise is a consistency-increasing rule at layer k w.r.t. c.*

Proof. Let a transformation $t : G \Longrightarrow_\rho H$ with $\text{k}_{\max}(c, G) = k$ be given. Since $\text{incr}_k(C, \rho)$ contains $\text{main}_k(\rho)$, t is a consistency maintaining transformation at layer k according to Theorem 5.1. It remains to show that t satisfies the general and special increasing conditions respectively.

1. If $k = \text{nl}(c) - 2$, i.e. c ends with a condition of the form $\forall(C_{\text{nl}(c)}, \text{false})$, assume that t does not satisfy the special increasing condition. Then there is no morphism $p : C_{k+2} \hookrightarrow G$ such that $\text{tr}_t \circ p$ is not total. So there is no overlap P of L and C_{k+2} with $i_L^P(L \setminus K) \cap i_{C_{k+2}}^P(C_{k+2} \setminus C_{k+1}) \neq \emptyset$. Since, $k = \text{nl}(c) - 2$ it follows that $\text{remv}(P, C') = \text{false}$ and $m \not\models \text{incr}_k(C, \rho)$. This is a contradiction.

2. Otherwise let $P \in \text{ol}(L, C_{k+2})$. We show that $m \models \text{exv}(P, C) \wedge \text{remv}(P, C)$ implies that t satisfies the general increasing condition. If $m \models \text{exv}(P, C)$, there is a morphism $p : P \hookrightarrow G$ with $m = p \circ i_L^P$ and $p \models \neg\exists(i_P^Q : P \hookrightarrow Q, \text{true})$ for all $Q \in \text{eol}(P, i_{C_{k+2}}^P, a_{k+2}^r)$. Therefore, $q := p \circ i_{C_{k+2}}^P \not\models \exists(a_{k+2}^r : C_{k+2} \hookrightarrow C', \text{true})$.

Figure 14: Examples for $\text{exv}(P, C_2^2), \text{exv}(P, C_2^1), \text{remv}(P, C_2^2)$ and $\text{remv}(P, C_2^1)$ using the rule `assignFeature` and constraint c_1 given in Figure 5.

Figure 15: Example of $\text{incr}_{-1}(C_1, \rho)$ using this constraint and rule.

39

If $i_L^P(L \setminus K) \cap i_{C_{k+2}}^P(C_{k+2} \setminus C_{k+1}) \neq \emptyset$, $\mathrm{tr}_t \circ q$ is not total and the general increasing condition is satisfied.

Otherwise, if $\mathrm{tr}_t \circ q$ is total, and there is a morphism $p : P' \hookrightarrow H$ with $\mathrm{tr}_t \circ q = p \circ i_{C_{k+1}}^{P'}$. Since $m \models \mathrm{remv}(P, C)$, all morphisms $p \circ i_{C_{k+2}}^{P'}$ with $n = p \circ i_R^Q$ satisfy $\exists(C', \mathsf{true})$. Therefore, $\mathrm{tr}_t \circ q \models \exists(C', \mathsf{true})$ and the general increasing condition is satisfied.

In summary, ρ is a consistency increasing rule at layer k. $\qquad\qquad\square$

5.2 Basic Consistency-increasing and Consistency-maintaining Rules

The construction of the application conditions introduced in the previous section, as well as the constructed application conditions themselves, are very complex. For a certain set of rules, which we will call *basic consistency-increasing rules*, we are able to construct application conditions with the same property, namely that a rule equipped with this application condition is consistency-increasing at layer, in a less complex way. The main idea is that these rules (a) are not able to delete occurrences of existentially bound graphs or insert occurrences of universally bound graphs and (b) are able to increase consistency at a certain layer. That is, given a basic increasing rule ρ, there exists a transformation $t : G \Longrightarrow_\rho H$ such that t is a consistency increasing transformation with respect to a constraint c.

To ensure that (a) is satisfied, we first introduce *basic consistency-maintaining rules up to layer*, which means that, given a constraint, a plain rule is not able to delete existentially bound and insert universally bound graphs up to a certain layer. For the definition, we use the notion of consistency maintaining rules up to layer. The set of basic consistency-maintaining rules up to layer is actually a subset of the set of consistency-maintaining rules up to layer, since these rules must be plain rules, whereas consistency-maintaining rules up to layer are allowed to have application conditions, i.e. $\mathrm{main}(\cdot, \cdot)$.

Definition 5.5 (**basic consistency maintaining rule up to layer**)**.** *Given a plain rule ρ and a constraint c in UANF. Then, ρ is called* basic consistency maintaining rule up to layer $-1 \leq k < \mathrm{nl}(c)$ w.r.t. c *if it is a direct consistency-maintaining rule at layer k w.r.t. c.*

Example 5.3. *Consider the rules* `moveFeature`, `assignFeature` *and* `addDependency` *given in Figure 10 and constraints c_1 and c_2 given in Figure 5. The rule* `assignFeature` *is a basic consistency maintaining rule up to layer 1 w.r.t. c_1, whereas* `moveFeature` *is not a basic consistency maintaining rule w.r.t. c_1. The rule* `addDependency` *is a basic consistency-maintaining rule up to layer -1 w.r.t. c_2, but is not a basic consistency-maintaining rule up to layer 1 w.r.t. c_2 since it can insert occurrences of C_3^2.*

Since there are infinitely many transformations via a plain rule ρ, it is impossible to check whether ρ is a basic consistency maintaining rule up to a level based on the definition above. Therefore, we present a characterisation of basic consistency-maintaining rules that relies only on ρ itself.

First, let us assume that ρ is able to create occurrences of a universally bound graph C_j. This is possible if (a) ρ inserts an edge of $C_j \setminus C_{j-1}$ connecting pre-existing nodes of C_j, since it is unclear whether this would create a new occurrence of C_j, or (b) if ρ inserts a node v of C_j, so that all edges $e \in E_{C_j}$ with $\mathrm{src}(e) = v$ or $\mathrm{tar}(e) = v$ are also inserted. If at least one of these edges is not inserted, it is guaranteed that this insertion will not create an occurrence of C_j, since v is only connected to edges that have also been inserted by ρ.

Second, suppose ρ is able to delete occurrences of an existentially bound graph C_j. This is possible if (a) ρ deletes an edge of $C_j \setminus C_{j-1}$ or (b) ρ deletes a node v of $C_j \setminus C_{j-1}$ such that all edges $e \in E_{C_j}$ with $\mathrm{src}(e) = v$ or $\mathrm{tar}(e) = v$ are also deleted. If ρ deletes a node c of $C_j \setminus C_{j-1}$ without all its connected edges in C_j, there is no transformation via ρ such that an occurrence of C_j is deleted by deleting that node, since the dangling edge condition would not be satisfied. A rule that satisfies these properties does not reduce the largest satisfied layer.

We also need to ensure that the number of violations is not increased. To do this, we have to check that ρ is not able to insert occurrences of the corresponding universally bound graph, as described above, and that ρ is not able to remove occurrences of any intermediate graph. This is only ensured if ρ does not remove any elements of $Ck+1\setminus C_k$ when the set of intermediate graphs is given by $\mathrm{IG}(C_k, C_{k+1})$.

To check that a plain rule satisfies these properties, we use the dangling edge condition, or in other words, we check that the rule does not apply to certain overlaps of L and an existentially bound graph, or that the inverse rule does not apply to certain overlaps of R and a universally bound graph.

Lemma 5.6. *Given a plain rule $\rho = L \xleftarrow{l} K \xhookrightarrow{r} R$ and a constraint c in UANF. Let $-1 \leq k < \mathrm{nl}(c)$ be odd, then ρ is a basic consistency-maintaining rule up to layer k w.r.t. c if 1 and 2 hold for all k, and 3 holds if $k < \mathrm{nl}(c) - 2$.*

1. *For each existentially bound graph C_j with $2 \leq j \leq k + 1$ and each overlap $P \in \mathrm{ol}(L, C_j)$ with $i_L^P(L \setminus K) \cap i_{C_j}^P(C_j \setminus C_{j-1}) \neq \emptyset$, the rule ρ is not applicable at match i_L^P.*

2. *For each universally bound graph C_j with $1 \leq j \leq k + 2$ and each overlap $P \in \mathrm{ol}(R, C_j)$ with $i_R^P(R \setminus K) \cap i_{C_j}^P(C_j) \neq \emptyset$, the rule ρ^{-1} is not applicable at match i_r^P.*

3. *For all graphs $P \in \mathrm{ol}(L, C_{k+3})$ it holds that*

$$i_L^P(L \setminus K) \cap i_{C_{k+3}}^P(C_{k+3} \setminus C_{k+2}) = \emptyset.$$

Proof. Let $\rho = L \xleftarrow{l} K \xhookrightarrow{r} R$ be a rule that satisfies the characterisations listed in Lemma 5.6 with $-1 \leq k < \mathrm{nl}(c)$ odd. Suppose ρ is not a direct consistency-maintaining rule up to layer k w.r.t. c. Then, there is a transformation $t : G \Longrightarrow_{\rho,m} H$ with $\mathrm{k_{max}}(c, G) = k$ such that t is not direct consistency-maintaining w.r.t. c. Therefore, either the deleting, inserting, universally or existentially condition is not satisfied.

1. If the deleting condition is not satisfied, then $k < \mathrm{nl}(c) - 2$. There is an occurrence $p : C_{k+2} \hookrightarrow G$ such that $p \models \mathrm{IC}_0(\mathrm{sub}_{k+2}(c), C')$ and $\mathrm{tr}_t \circ p \models \mathrm{IC}_0(\mathrm{sub}_{\mathrm{k_{max}}+2}(c), C')$ with $C' \in \mathrm{IG}(C_{k+2}, C_{k+3})$. So an overlap $P \in \mathrm{ol}(L, C_{k+3})$ with $i_L^P(L \setminus K) \cap i_{C_{k+3}}^P(C_{k+3}) \setminus C_{k+2}) = \emptyset$ must exist. This is a contradiction.

2. If the inserting condition is not satisfied, there is an occurrence $p : C_{k+2} \hookrightarrow H$ such that no morphism $q : C_{k+2} \hookrightarrow G$ with $p = \mathrm{tr}_t \circ q$ exists and and $p \not\models \mathsf{false}$ if $\mathrm{sub}_{k+2}(c) = \mathsf{false}$ and $p \not\models \mathrm{IC}_0(\mathrm{sub}_{k+2}(c), C_{j+3})$ otherwise. So there is an overlap $P \in \mathrm{ol}(R, C_{k+2})$ with $i_R^P(R \setminus K) \cap i_{C_{k+2}}^P(C_{k+2}) \neq \emptyset$ such that ρ^{-1} is applicable at match i_R^P. This is a contradiction.

3. If the universally condition is not satisfied, there is an occurrence $p : C_j \hookrightarrow H$ of an universally bound graph C_j with $1 \leq j \leq k+2$ such that no morphism $q : C_j \hookrightarrow G$ with $\mathrm{tr}_t \circ q = p$ exists. So there is an overlap $P \in \mathrm{ol}(R, C_j)$ with $i_R^P(R \setminus K) \cap i_{C_j}^P(C_j) \neq \emptyset$ such that ρ^{-1} is applicable at match i_R^P. This is a contradiction.

4. If the universally condition is not satisfied, there is an occurrence $p : C_j \hookrightarrow H$ of an existentially bound graph C_j with $2 \leq j \leq k+1$ such that $\mathrm{tr}_t \circ p$ is not total. So there is an overlap $P \in \mathrm{ol}(L, C_j)$ with $i_L^P(L \setminus K) \cap i_{C_j}^P(C_j \setminus C_{j-1}) \neq \emptyset$ such that the rule ρ is applicable at match i_L^P. This is a contradiction.

In summary, ρ is a basic consistency-maintaining rule up to layer k. $\qquad\square$

Now we are ready to introduce *basic increasing rules at layer k*, where k is odd. The set of basic increasing rules is a subset of the set of consistency-maintaining rules at layer k, which ensures that the largest satisfied layer as well as the number of violations will not increase. In addition, the left-hand side of this rule contains an occurrence p of the universally bound graph C_{k+2}, so either this occurrence is removed, i.e. elements of $C_{k+2} \setminus C_{k+1}$ are deleted, or an intermediate graph $C \in \mathrm{IG}(C_{k+2}, C_{k+3})$ is inserted. Of course, this second case only occurs if $k < \mathrm{nl}(c) - 2$, where c is the corresponding constraint. This property has the advantage that the application conditions for basic increasing rules are less complex and smaller, since it can be determined exactly how this rule removes a violation, and therefore no overlaps need to be considered.

This, at first sight, seems to be a restriction of the set of basic increasing rules, but the context of any rule ρ that satisfies all the properties of a basic increasing rule except that C_{k+2} is a subgraph of the left-hand side can be extended so that ρ is a basic increasing rule and the semantic of ρ is not increased. A method for deriving these rules will be presented later.

Basic increasing rules at layer k are called *deleting basic increasing rules* when p is removed and *inserting basic increasing rules* when an intermediate graph is inserted. For our repair process, we will introduce the restriction that deleting basic increasing rules may only delete edges but not nodes of C_{k+2}, since otherwise it is not possible to decide, given a rule set and a constraint, whether this rule set is able to repair an arbitrary graph based only on deleting basic increasing rules. For example, consider a

rule that deletes a node from C_{k+2}. Then it is unknown whether this node is connected to nodes that do not belong to C_{k+2}, and it is unclear whether all occurrences of C_{k+2} could be destroyed by ρ, since the dangling edge condition might not be satisfied.

Definition 5.7 (**basic increasing rule**). *Given a constraint c in UANF and a direct consistency-maintaining rule $\rho = (\mathrm{ac}, L \xleftarrow{l} K \xrightarrow{r} R)$ up to layer $-1 \le k \le \mathrm{nl}(c) - 2$, where k is odd. Then, ρ is a* basic increasing rule *w.r.t c at layer k if a morphism $p : C_{k+2} \hookrightarrow L$, called the* increasing morphism, *exists such that either 1 or 2 holds.*

1. *Universally deleting: $r \circ l^{-1} \circ p$ is not total. Then, ρ is called a* deleting basic increasing rule.

2. *Intermediate inserting: If $k < \mathrm{nl}(c) - 2$, there is an intermediate graph $C' \in \mathrm{IG}(C_{k+2}, C_{k+3})$ such that $p \not\models \exists(a^r_{k+2} : C_{k+2} \hookrightarrow C', \mathsf{true})$, $r \circ l^{-1} \circ p$ is total and $r \circ l^{-1} \circ p \models \exists(a^r_{k+2} : C_{k+2} \hookrightarrow C', \mathsf{true})$. Then, ρ is called a* inserting basic increasing rule with C.

Example 5.4. *Consider the rule* `assignFeature` *given in Figure 10 and constraint c_1 given in Figure 5. Then,* `assignFeature` *is an inserting basic rule with $C^2_2 \in \mathrm{IG}(C^1_1, C^1_2)$ w.r.t. c_1 but is not an inserting basic rule with respect to the constraint $\forall(C^2_2, \exists(C^1_2, \mathsf{true}))$ since the left-hand side of* `assignFeature` *does not contain an occurrence of C^2_2.*

As mentioned above, given a direct consistency-maintaining rule ρ, we can derive basic increasing rules that are applicable when ρ is applicable by extending the context of that rule so that it contains an occurrence of the graph C_{k+2}.

Definition 5.8 (**derived rules**). *Given a constraint c in UANF and a rule $\rho = (\mathrm{ac}, L \xleftarrow{l} K \xrightarrow{r} R)$. The set of* derived rules *from ρ at level $0 \le k \le \mathrm{nl}(c) - 2$, where k is odd, contains rules characterised as follows: Let*

$$\mathbf{G} := \begin{cases} \{C_{k+2}\} & \text{if } k = \mathrm{nl}(c) - 2 \text{ is existentially bound} \\ \mathrm{IG}(C_{k+2}, C_{k+3}) & \text{otherwise.} \end{cases}$$

For $P \in \mathbf{G}$ and $L' \in \mathrm{ol}(L, P)$: If the diagram shown in Figure 16 is a transformation, i.e. (1) and (2) are pushouts, and for the characterisations of Definition 5.7 holds that

$$L' \xleftarrow{l'} K' \xrightarrow{r'} R' \text{ is universally deleting or intermediate inserting}$$

the rule

$$\rho' = (\mathrm{Shift}(\mathrm{ac}, i^{L'}_L), L' \xleftarrow{l'} K' \xrightarrow{r'} R')$$
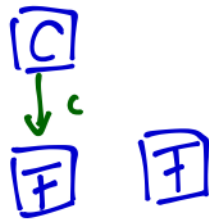
is a derived rule of ρ at layer k.

Example 5.5. *Consider the rule* `assignFeature` *given in Figure 10 and constraint c_1 given in Figure 5. The set of derived rules from ρ at layer 1 is given in Figure 17.*

$$L \xleftarrow{\quad l \quad} K \xhookrightarrow{\quad r \quad} R$$

$$i_L^{L'} \Big\downarrow \quad (1) \quad k \Big\downarrow \quad (2) \quad \Big\downarrow n$$

$$L' \xleftarrow{\quad l' \quad} K' \xhookrightarrow{\quad r' \quad} R'$$

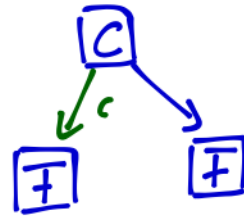Figure 16: Pushout diagram for the construction of basic increasing rules.

Figure 17: Derived rules of `assignFeature` and c_1.

Obviously, a rule ρ' contained in the set of rules derived from a rule ρ is only applicable to a match m' if ρ is applicable to a match m with $m = m' \circ i$, where i is the inclusion of the left side of ρ in the left side of ρ'. Therefore, given a set of rules $\mathcal{R}$, extending $\mathcal{R}$ by the set of all derived rules for each rule of $\mathcal{R}$ does not extend the expressiveness of $\mathcal{R}$. The main idea of the concept of derived rules is to extend a given set of rules by as many basic increasing rules as possible without extending the expressiveness of that set.

Lemma 5.9. *Given a constraint c in UANF and a rule $\rho = (\mathrm{ac}, L \overset{l}{\longleftrightarrow} K \overset{r}{\hookrightarrow} R)$ be given, such that ρ is a direct maintaining rule up to layer $-1 \leq k \leq \mathrm{nl}(c) - 2$, where k is odd, which is universally deleting and intermediate inserting according to Definition 5.7. Then every rule contained in the set of derived rules of ρ at layer k is a basic increasing rule.*

Proof. Let $\rho' = (\mathrm{ac}', L' \overset{l'}{\longleftrightarrow} K' \overset{r'}{\hookrightarrow} R')$ be one of these derived rules. Since ρ' deletes and inserts exactly the same elements as ρ and $m', \models \mathrm{ac}' \iff m' \circ i_L^{L'} \models \mathrm{ac}$, ρ' is a direct consistency maintaining rule up to layer k and is universally deleting or intermediate inserting according definition 5.7. It follows that ρ' is a basic increasing rule at layer k. $\qquad\square$

In transformations via a rule ρ such that the match intersects an occurrence of a universally bound graph C_{k+2}, ρ can be replaced by a derived rule of ρ at level k.

Lemma 5.10. *Given a constraint c in UANF and a rule $\rho = (\mathrm{ac}, L \overset{l}{\longleftrightarrow} K \overset{r}{\hookrightarrow} R)$. Then, for each transformation*

$$t : G \Longrightarrow_{\rho,m} H$$

such that an occurrence $p : C_{k+2} \hookrightarrow G$ of a universally bound graph C_{k+2} with $p(C_{k+2}) \cap m(L) \neq \emptyset$ exists, there exists a transformation

$$t' : G \Longrightarrow_{\rho',m'} H$$

where ρ' is a derived rule of ρ at layer k.

Proof. Since $p(C_{k+2}) \cap m(L) \neq \emptyset$ there is an overlap $P \in \mathrm{ol}(C_{k+2}, L)$ such that there exists a morphism $q : P \hookrightarrow G$ with $m = q \circ i_L^P$ and $p = q \circ i_{C_{k+2}}^P$. Since t exists, there is a derived rule $\rho' = (\mathrm{ac}', L' \overset{l'}{\longleftrightarrow} K' \overset{r'}{\hookrightarrow} R)$ of ρ at layer k, where $L' = P$. We set $m' = q$, since $m = m' \circ i_L^{L'} \models \mathrm{ac}$, it follows that $m' = \mathrm{ac}'$, and since ρ removes and inserts the same elements as ρ, there is the transformation $t' : G \Longrightarrow_{\rho',m'} H$. $\qquad\square$

This allows us to replace consistency-increasing transformations via a direct consistency-maintaining rule ρ at layer k by a rule derived from ρ at layer k, i.e. a basic increasing rule at layer k.

5.3 Application Conditions for Basic Rules

Let us now introduce the application conditions for basic increasing rules. Since basic rules are consistency-maintaining at a certain layer k it suffices to check whether $m \circ i \exists(C_{k+3}, \mathsf{true})$ if ρ is a deleting rule, and whether $m \circ i \models \exists(C', \mathsf{true})$ if ρ is an inserting rule, where m is the match of the transformation and i is the increasing morphism of ρ.

Definition 5.11 (application conditions for basic increasing rules). *Given a constraint c in UANF and a basic increasing rule $\rho = (\mathrm{ac}, L \xleftarrow{l} K \xhookrightarrow{r} R)$ w.r.t. c at layer $-1 \leq k \leq \mathrm{nl}(c) - 2$, where k is odd. The basic application condition of ρ w.r.t. c at layer $-1 \leq j \leq \mathrm{nl}(c) - 2$ is given by*

$$\mathrm{ac}' = \mathrm{ac} \wedge \mathrm{basic}_j(\rho)$$

with

$$\mathrm{basic}_j(\rho) := \begin{cases} \bigwedge_{P \in \mathrm{eol}(L, a, i)} \neg \exists(i_L^P : L \hookrightarrow P, \mathsf{true}) & \text{if } j = k \text{ and } k < \mathrm{nl}(c) - 2 \\ \mathsf{true} & \text{if } k = \mathrm{nl}(c) - 2 \\ \mathsf{false} & \text{otherwise} \end{cases}$$

where $a = a_{k+2}$, if ρ is a deleting rule, $a = a_{k+2}^r : C_{k+2} \hookrightarrow C'$ if ρ is an inserting rule with C' and i is the increasing morphism of ρ.

These application conditions are much easier to construct and smaller than those constructed by Definition 5.4. Note that in the case of an inserting rule ρ which inserts an intermediate graph C, the application condition only checks whether the increasing morphism does not satisfy $\exists(C, \mathsf{true})$. But an application of this rule could also lead to a consistency increasing transformation w.r.t. c if the increasing morphism satisfies $\exists(C, \mathsf{true})$ and another intermediate graph C' is inserted. To check this, conditions similar to those constructed via Definition 5.4 must be constructed. At first sight this seems like a restriction, but via the notion of derived rules we are able to dissolve this restriction, since the set of derived rules of ρ will contain an inserting basic increasing rule with C', so that this rule, equipped with the corresponding basic application condition, can be used to perform this consistency-increasing transformation. For example, consider the rule `assignFeature` and constraint c_1 given in Figure 5, there is a consistency increasing transformation $t : C_2^2 \Longrightarrow_{\texttt{assignFeature},m} C_2^1$ such that $m \not\models \mathrm{basic}_{-1}(\texttt{assignFeature})$, but there is also a transformation $t : C_2^2 \Longrightarrow_{\texttt{assignFeature3},m'} C_2^1$ with $m' \models \mathrm{basic}_{-1}(\texttt{assignFeature3})$.

Let us now show that basic increasing rules equipped with the application condition constructed by Definition 5.11 are indeed direct consistency increasing rules at layer.

Theorem 5.4. *Given a constraint c in UANF and a basic increasing rule $\rho = (\mathrm{ac}, L \xleftarrow{l} K \xhookrightarrow{r} R)$ w.r.t c at layer $-1 \leq k \leq \mathrm{nl}(c) - 2$, where k is odd.*

Then, $\rho' = (\mathrm{ac} \wedge \mathrm{basic}_k(\rho), L \xleftarrow{l} K \xhookrightarrow{r} R)$ is a direct consistency increasing rule at layer k.
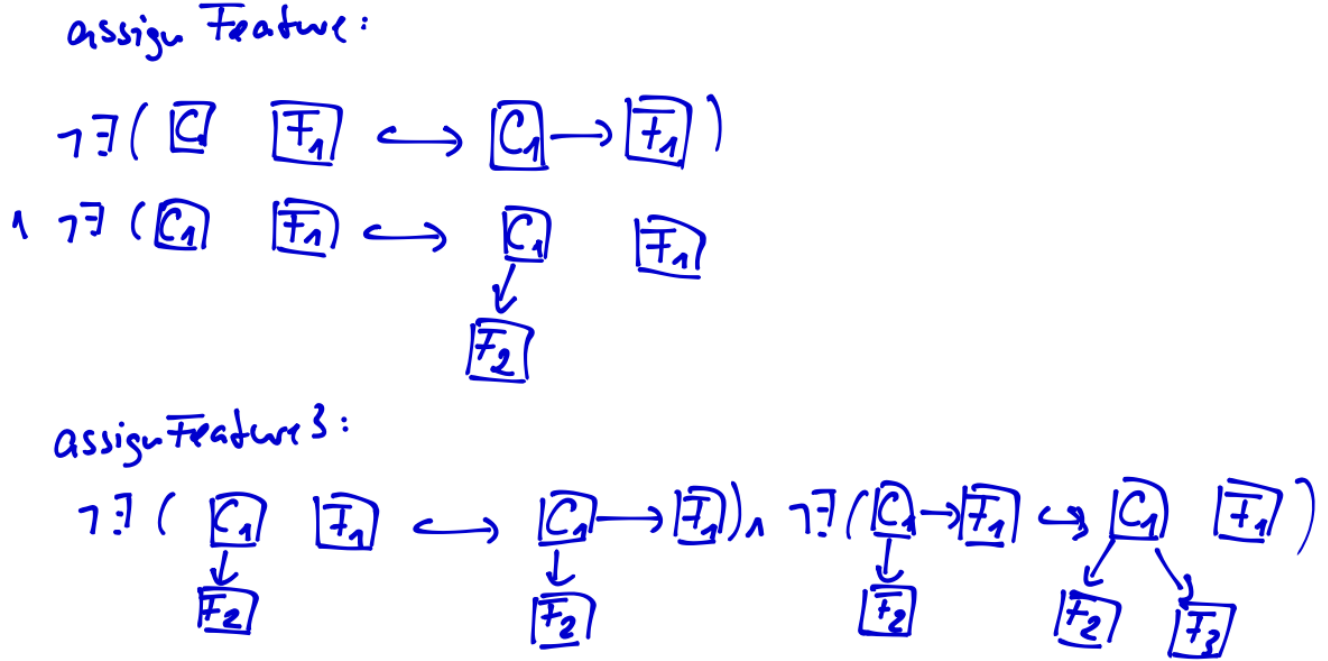
assign Feature:

$$\neg \exists \left(\boxed{C} \;\; \boxed{F_1} \hookrightarrow \boxed{C_1} \to \boxed{F_1} \right)$$

$$\wedge\; \neg \exists \left(\boxed{C_1} \;\; \boxed{F_1} \hookrightarrow \begin{array}{c} \boxed{C_1} \\ \downarrow \\ \boxed{F_2} \end{array} \;\; \boxed{F_1} \right)$$

assignFeature3:

$$\neg \exists \left(\begin{array}{c}\boxed{C_1}\\ \downarrow \\ \boxed{F_2}\end{array} \;\; \boxed{F_1} \hookrightarrow \begin{array}{c}\boxed{C_1}\\ \downarrow \\ \boxed{F_2}\end{array} \to \boxed{F_1} \right) \wedge \neg \exists \left(\begin{array}{c}\boxed{C_1}\\ \downarrow \\ \boxed{F_2}\end{array} \to \boxed{F_1} \hookrightarrow \begin{array}{c}\boxed{C_1}\\ \downarrow \\ \boxed{F_2}\end{array} \to \boxed{F_3} \;\; \boxed{F_1} \right)$$

Figure 18: Application condition for `assignFeature` and `assignFeature3` with c_1 at layer 1.

Proof. Given a graph G with $\mathrm{k_{max}} = k$. We show that each transformation $t : G \Longrightarrow_{\rho',m} H$ is direct consistency increasing w.r.t. c. Since, ρ' is a basic increasing rule at layer k, ρ' is also a consistency maintaining transformation at layer k and t satisfies the deletion, inserting, universally and existentially conditions. Therefore, we only need to show that t satisfies the special increasing or general increasing condition respectively.

1. If ρ' is a deleting rule, $r \circ l^{-1} \circ i$ is not total, where i is the increasing morphism of ρ'. If $k = \mathrm{nl}(c) - 2$, the transformation satisfies the special increasing condition, since one occurrence of C_{k+2} is removed. If $k < \mathrm{nl}(c) - 2$, since $m \models \mathrm{basic}_k(\rho)$, the morphism $m \circ i$ does not satisfy $\exists(C_{k+3}, \mathsf{true})$. Since this occurrence is destroyed, t satisfies the general increasing condition.

2. If ρ' is an inserting rule with $C' \in \mathrm{IG}(C_{k+2}, C_{k+3})$, then $k \leq \mathrm{nl}(c) - 2$. The morphism $m \circ i$ does not satisfy $\exists(C', \mathsf{true})$, since $m \models \mathrm{basic}_k(\rho)$. But it holds that $\mathrm{tr}_t \circ m \circ i \models \exists(C, \mathsf{true})$ and therefore t satisfies the general increasing condition.

In summary, ρ' is a basic direct consistency increasing rule at layer k w.r.t. c. $\qquad \square$

Example 5.6. *Again, consider the rule* `assignFeature`, *its derived rule* `assignFeatur3` *and* c_1. *The application condition for these rules at layer* -1 *w.r.t.* c_1 *is given in Figure 18.*

47

6 Rule-based Graph Repair

In the following, we present our rule-based graph repair approach. First, we propose a graph repair process for a constraint in UANF, and second, a repair process for a set of constraints in UANF, both based on a given set of rules $\mathcal{R}$. In addition, we need to make further assumptions for these constraints and sets of constraints, namely that they are *circular conflict free*, in order to guarantee that our approach terminates.

6.1 Conflicts within Conditions

During a repair process, inserting elements of an existentially bound constraint C_j could also insert new occurrences of universally bound graphs C_i. This insertion is unproblematic if $i > k_{\max} + 2$, but if $i \leq k_{\max} + 2$ it could lead either to the insertion of new violations or to a reduction of the largest satisfied layer. Additionally, removing elements of a universally bound graph C_j may destroy occurrences of an existentially bound graph C_i. Again, this can lead to the insertion of new violations or a reduction of the largest satisfied layer.

We will now introduce the notion of *conflicts within conditions*, which states that C_j has a conflict with C_i if and only if one of the cases described above can occur. Note that conflicts can only occur between existentially and universally bound graphs, and vice versa. There cannot be a conflict between two existentially bound or two universally bound graphs, since the insertion of elements cannot destroy occurrences of existentially bound graphs, and the removal of elements cannot insert new occurrences of universally bound graphs.

Definition 6.1 (**conflicts within conditions**)**.** *Given a condition c in UANF. A existentially bound graph C_k has a conflict with an universally bound graph C_j if there is a transformation $t : G \Longrightarrow_\rho H$ with $\rho = C_{k-1} \xleftarrow{\mathrm{id}} C_{k-1} \xrightarrow{a_{k-1}} C_k$ such that*

$$\exists p : C_j \hookrightarrow H(\neg \exists q : C_j \hookrightarrow G(\mathrm{tr}_t \circ q = p)).$$

A universally bound graph C_k has a conflict with an existentially bound graph C_j if there is a transformation $t : G \Longrightarrow_\rho H$ with $\rho = C_k \xleftarrow{a_{k-1}^r} C \xrightarrow{\mathrm{id}} C$ for any $C \in \mathrm{IG}(C_{k-1}, C_k)$ such that

$$\exists p : C_j \hookrightarrow G(\mathrm{tr}_t \circ p \text{ is not total}).$$

Additionally, we introduce *conflicts graphs*, which represent the conflicts within a condition via a graph. With these we are able to define *transitive conflicts*, *circular conflicts* and their absence, which will be a necessary property for the termination of our repair process. Intuitively, as the name suggests, a condition c contains a circular conflict if a graph C_k has a conflict with itself or if there exists a sequence $C_k = C_{j_1}, \ldots, C_{j_n} = C_k$ of graphs such that C_{j_i} has a conflict with $C_{j_{i+1}}$. We can check this property by checking whether the conflict graph contains cycles.
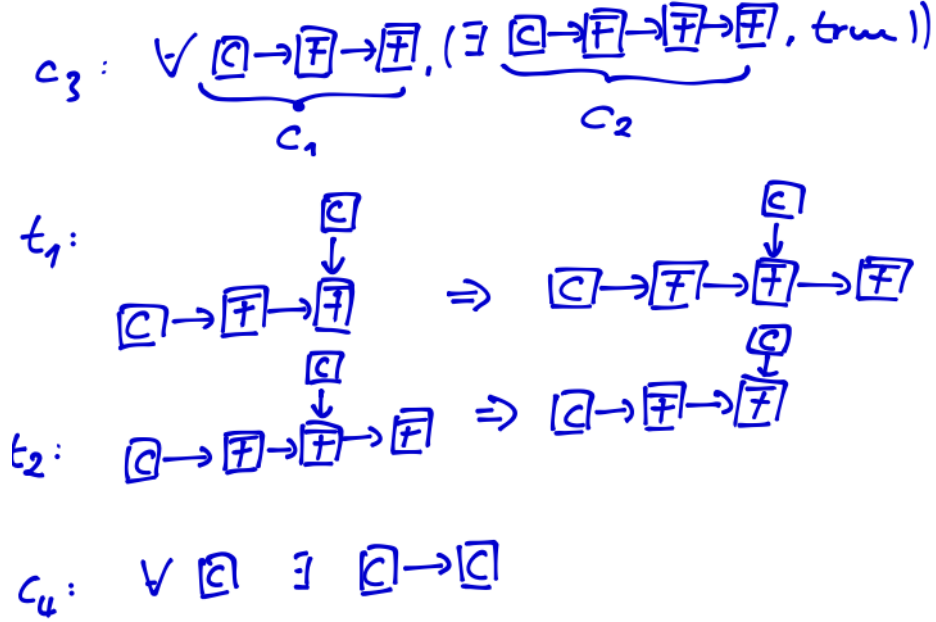
Figure 19: Constraint c_3 and the transformation that show the existence of conflicts between C_1 and C_2 and C_2 and C_1.

Definition 6.2 (**conflict graph, circular conflicts**)**.** *Let a condition c in UANF be given. The* conflict graph *of c is constructed in the following way. For every $0 \leq k <$ $\mathrm{nl}(c)$ there is a node labelled k. If there is a conflict between C_k and C_j, there is an edge e with $\mathrm{src}(e) = k'$ and $\mathrm{tar}(e) = j'$ if either $k = k'$ or $k = k' + 1$, either $j = j'$ or $j = j' + 1$ and $j' \neq k'$.*

A graph C_k has a transitive conflict *with C_j if there exists a path from k to j. A graph C_k has a* circular conflict *if C_k has a transitive conflict with itself. A condition c is called* circular conflict free *if c does not contain a circular conflict.*

In other words, a condition c is *circular conflict free* if its conflict graph is acyclic.

Example 6.1. *Consider constraint c_3 and the transformations t_1 and t_2 shown in Figure 19. Transformation t_1 shows that C_1 has a conflict with C_2 because the rule $\rho = C_1 \xleftarrow{\mathrm{id}} C_1 \xrightarrow{a_1} C_2$ has been applied and there is a newly inserted occurrence of C_1 that does not satisfy $\exists(C_2, \mathsf{true})$. Transformation t_2 shows that C_2 has a conflict with C_1, since the rule $C_2 \xleftarrow{a_1} C_1 \xrightarrow{\mathrm{id}} C_1$ has been applied and one occurrence of C_1 has been destroyed. So c_3 contains a circular conflict, the conflict graph of c_3 is shown in Figure 20.*

In general, the statement "C_j has a conflict with C_k" does not imply that "C_k has a conflict with C_j" as shown by constraint c_4 given in Figure 19. The conflict graph of c_4 is also shown in Figure 20. It can be seen that c_4 is a circular conflict free constraint.

We will now present two characterisations of conflicts. One based on overlaps and the other based on rules. For C_k, which is existentially bound and C_j, which is universally
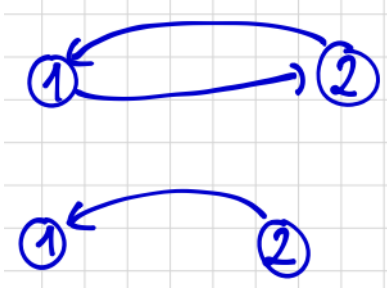
49

Figure 20: Conflict graphs of c_3 and c_4.

bound, the overlap-based characterisation checks whether for each overlap of C_k and C_j, such that the overlap morphisms restricted to $C_k \setminus C_{k+1}$ and C_j overlap, the rule that only deletes $C_k \setminus C_{k-1}$ is applicable. If this is not possible, there does not exist a transformation as described in Definition 6.2. If C_k is universally bound and C_j is existentially bound, the characterisation checks whether for each overlap of C_k and C_j such that the elements of $C_k \setminus C_{k-1}$ and $C_j \setminus C_{j-1}$ overlap, a rule is applicable that only removes elements of $C_k \setminus C_{k-1}$. Again, if this is not possible, there is no transformation as described in definition 6.2.

Lemma 6.3. *Given a constraint c in UANF.*

1. *Let C_k be an existentially and C_j a universally bound graph of c. Then, C_k has a conflict with C_j, if and only there is an overlap $P \in \text{ol}(C_k, C_j)$ with*

$$i^P_{C_k}(C_k \setminus C_{k-1}) \cap i^P_{C_j}(C_j) \neq \emptyset$$

   and the rule $\rho = C_k \xleftarrow{a_{k-1}} C_{k-1} \xrightarrow{\text{id}} C_{k-1}$ is applicable at match $i^P_{C_k}$.

2. *Let C_k be an universally and C_j be an existentially bound graph of c. Then, C_k has a conflict with C_j if there is an overlap $P \in \text{IG}(C_k, C_j)$ with*

$$i^P_{C_k}(C_k \setminus C_{k-1}) \cap i^P_{C_j}(C_j \setminus C_{j-1}) \neq \emptyset$$

   and a rule $\rho = C_k \xleftarrow{a^r_{k-1}} C \xrightarrow{\text{id}} C$ with $C \in \text{IG}(C_{k-1}, C_k)$ and $i^P_{C_k}(C_k \setminus C) \cap i^P_{C_j}(C_j \setminus C_{j-1}) \neq \emptyset$ is applicable at match $i^P_{C_k}$.

Proof. Given a condition c in UANF.

1. "$\Longrightarrow$": Let C_k be an existentially bound graph that has a conflict with an universally bound graph C_j. Then, there is a transformation $t : G \Longrightarrow_\rho H$ with $\rho = C_{k-1} \xleftarrow{\text{id}} C_{k-1} \xrightarrow{a_{k-1}} C_k$ such that a new occurrence p of C_j is inserted. Since only elements of $C_k \setminus C_{k-1}$ are inserted, it holds that $p(C_j) \cap n(C_k \setminus C_{k-1}) \neq \emptyset$, with n being the co-match of t. The graph $p(C_j) \cup n(C_k)$ is the overlap we are

50

looking for, and the rule $\rho^{-1} = C_k \overset{a_{k-1}}{\longleftrightarrow} C_{k-1} \overset{\text{id}}{\longrightarrow} C_{k-1}$ must be applicable at the match n.

"$\Longleftarrow$": Let C_k be an existentially and C_j an universally bound graph such that there exists an overlap $P \in \text{ol}(C_k, C_j)$ with $i^P_{C_k}(C_k \setminus C_{k-1}) \cap i^P_{C_j}(C_j \setminus C_{j-1}) \neq \emptyset$ so that the rule $\rho = C_k \overset{a_{k-1}}{\longleftrightarrow} C_{k-1} \overset{\text{id}}{\longrightarrow} C_{k-1}$ is applicable at match $i^P_{C_k}$. Then the inverse transformation of $t : P \Longrightarrow_{\rho, i^P_{C_k}} H$ is the transformation we are looking for and C_k has a conflict with C_j.

2. "$\Longrightarrow$": Let C_k be an universally bound graph that has a conflict with an existentially bound graph C_j. Then, there is a transformation $t : G \Longrightarrow_\rho H$ with $\rho = C_k \overset{a^r_{k-1}}{\longleftrightarrow} C \overset{\text{id}}{\longrightarrow} C$ and $C \in \text{IG}(C_{k-1}, C_k)$ such that $\text{tr}_t \circ p$ is no total for an occurrence $p : C_j \hookrightarrow G$. The graph $p(C_j) \cup m(C_k)$ is the overlap we are looking for and $i^P_{C_k}(C_k \setminus C) \cap i^P_{C_j}(C_j \setminus C_{j-1}) \neq \emptyset$ must hold since ρ only deletes elements of $C_k \setminus C_{k-1}$.

"$\Longleftarrow$": Let C_k be universally and C_j existentially bound such that there is an overlap $P \in \text{ol}(C_k, C_j)$ with $i^P_{C_k}(C_k \setminus C_{k-1}) \cap i^P_{C_j}(C_j \setminus C_{j-1}) \neq \emptyset$ so that a rule $\rho = C_k \overset{a^r_{k-1}}{\longleftrightarrow} C \overset{\text{id}}{\longrightarrow} C$ with $C \in \text{IG}(C_{k-1}, C_k)$ is applicable at match $i^P_{C_k}$. Then, the transformation of $t : P \Longrightarrow_{\rho, i^P_{C_k}} H$ is the transformation we are looking for and C_k has a conflict with C_j.

$\square$

Our second characterisation of conflicts is based on the notion of basic maintaining rules.

Lemma 6.4. *Let a condition c in UANF be given.*

1. *Let C_k be an existentially and C_j be an universally bound graph of c. Then, C_k has a conflict with C_j if and only if the rule $\rho = C_{k-1} \overset{\text{id}}{\longleftrightarrow} C_{k-1} \overset{a_{k-1}}{\longrightarrow} C_k$ is not a basic consistency maintaining rule up to layer -1 w.r.t. $\forall(a_{j-1} \circ \ldots \circ a_0 : C_0 \hookrightarrow C_j, \text{false})$.*

2. *Let C_k be an universally and C_j be an existentially bound graph of c. Then, C_k has a conflict with C_j if and only if each rule $\rho = C_k \overset{a^r_{k-1}}{\longleftrightarrow} C \overset{\text{id}}{\longrightarrow} C$ with $C \in \text{IG}(C_{k-1}, C_k)$ is not a basic consistency maintaining rule up to layer -1 w.r.t. $\exists(a_{j-1} \circ \ldots \circ a_0 : C_0 \hookrightarrow C_j, \text{true})$.*

Proof. 1. Let C_k be an existentially and C_j an universally bound graph of c.

"$\Longrightarrow$": Assume that C_k has a conflict with C_j. Therefore, there does exist a transformation $t : G \Longrightarrow_\rho H$ with $\rho = C_{k-1} \overset{\text{id}}{\longleftrightarrow} C_{k-1} \overset{a_{k-1}}{\longrightarrow} C_k$ such that a new occurrence $p : C_j \hookrightarrow H$ has been inserted. Then, t does not satisfy the universally condition and ρ is not a basic maintaining rule up to layer -1.

"$\Longleftarrow$": Assume that $\rho = C_{k-1} \xleftarrow{\text{id}} C_{k-1} \xrightarrow{a_{k-1}} C_k$ is not a basic maintaining rule up to layer -1 w.r.t. $\forall(a_{j-1} \circ \ldots \circ a_0 : C_0 \hookrightarrow C_j, \text{false})$. Since this constraint only contains universally bound graphs, there must exist a transformation $t : G \Longrightarrow_\rho$ that does not satisfy the universally condition. Therefore, a new occurrence of C_j has been inserted by t and with Definition 6.1 follows that C_k has a conflict with C_j.

2. Let C_k be an universally and C_j be an existentially bound graph of c and $c' = \exists(a_{j-1} \circ \ldots \circ a_0 : C_0 \hookrightarrow C_j, \text{true})$.

   "$\Longrightarrow$": Assume that C_k has a conflict with C_j. Therefore, there is a transformation $t : G \Longrightarrow_\rho H$ with $\rho = C_k \xleftarrow{a_{k-1}^r} C \xrightarrow{\text{id}} C$, for a $C \in \text{IG}(C_{k-1}, C_k)$ such that an occurrence of C_j has been destroyed. Then, t does not satisfy the existentially condition. Therefore, ρ is not a basic consistency maintaining rule w.r.t. c up to layer -1.

   "$\Longleftarrow$": Assume that $\rho = C_k \xleftarrow{a_{k-1}^r} C \xrightarrow{\text{id}} C$ is a not a basic increasing rule w.r.t. c up to layer -1. Therefore, there is transformation $t : G \Longrightarrow_\rho H$ that does not satisfy the existentially condition and an occurrence of C_j has been removed by t. It follows that C_k has a conflict with C_j.

   $\square$

6.2 Repairing rule Sets

Given a set of rules and a constraint, it is unclear whether or not it is possible to repair a graph using the rules of that set. Therefore, we introduce the notion of *repairing rule sets*, which is a characterisation of rule sets that are able to repair a graph w.r.t. a circular conflict free constraint. First, we introduce the notion of *repairing sequences*. A repairing sequence is a sequence of rule applications that either destroys an occurrence of a universal or inserts an occurrence of an existentially bound graph, and is applicable to each occurrence of these graphs. To ensure that these sequences are applicable to every occurrence, it is necessary to ensure that no nodes of these occurrences are removed and that the left-hand side of the first rule of the repairing sequence is contained in that occurrence. In other words, every repairing sequence of C_k starts with a transformation originating in C_k if C_k is universally bound and C_{k-1} if C_k is existentially bound.

Definition 6.5 (repairing sequence). *Let a constraint c in UANF and a set of rules $\mathcal{R}$ be given.*

1. *If C_k is existentially bound, a sequence of transformations*

$$C_{k-1} = G_0 \xRightarrow{t_1}_{\rho_1, m_1} G_1 \xRightarrow{t_2}_{\rho_2, m_2} \ldots \xRightarrow{t_n}_{\rho_n, m_n} G_n$$

   with $\rho_i \in \mathcal{R}$ is called a repairing sequence *of C_k if $G_n \models_k c$, $\text{tr}_{t_n} \circ \ldots \circ \text{tr}_{t_1} \circ \text{id}_{C_{k-1}}$ is total and the concurrent rule of this sequence is a basic consistency maintaining rule w.r.t. $\forall(C_j, \text{false})$ for all universally bound graphs C_j such that C_k has no conflict with C_j.*
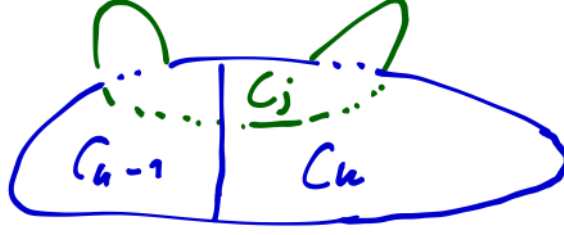
Figure 21: Scheme of a overlap of existentially bound graph C_k and universally bound graph C_j that could lead to an insertion of C_j via repairing sequences.

2. If C_k is universally bound, a sequence of transformations

$$C_k = G_0 \overset{t_1}{\Longrightarrow}_{\rho_1,m_1} G_1 \overset{t_2}{\Longrightarrow}_{\rho_2,m_2} \ldots \overset{t_n}{\Longrightarrow}_{\rho_n,m_n} G_n$$

with $\rho_i \in \mathcal{R}$ is called a repairing sequence of C_k if $G_n \models_k c$, for each node $v \in V_{G_0}$ there does exist a node $v' \in V_{G_n}$ with $v' = \mathrm{tr}_{t_n}(\ldots \mathrm{tr}_{t_1}(v))$ and the concurrent rule of this sequence is a basic consistency maintaining rule w.r.t. $\forall(C_j, \mathsf{true})$ for universally bound graphs C_j.

In both cases the insertion of additional elements, i.e. $G_n \neq C_{k+1}$ if C_k is existentially bound and $G_n \neq C$ for all $C \in \mathrm{IG}(C_{k-1}, C_k)$ if C_k is universally bound, could lead to the insertion of universally bound graphs. For an existentially bound graph, this can happen if there is an overlap with a universally bound graph in a similar way as shown in figure 21. To ensure that this does not happen, we need the additional condition that the concurrent rule is a basic consistency maintaining rule with respect to certain constraints. If $G_n = C_{k+1}$ if C_k is existentially or $G_n = C$ with $C \in \mathrm{IG}(C_{k-1}, C_k)$ if C_k is universally bound, this condition is not needed as the following Theorem shows.

Theorem 6.1. *Let a constraint c in UANF and a set of rules $\mathcal{R}$ be given.*

1. *If C_k is existentially bound and there is sequence*

$$C_{k-1} \Longrightarrow_{\rho_1,m_1} \ldots \Longrightarrow_{\rho_n,m_n} C_k$$

with $\rho_i \in \mathcal{R}$ such that $\mathrm{tr}_{t_n} \circ \ldots \mathrm{tr}_{t_1} \circ \mathrm{id}_{C_{k-1}}$ is total and $C_k \models_k c$. Then, this is a repairing sequence for C_k.

2. *If C_k is universally bound and there is a sequence*

$$C_k \Longrightarrow_{\rho_1,m_1} \ldots \Longrightarrow_{\rho_n,m_n} C$$

with $\rho_i \in \mathcal{R}$, $C \in \mathrm{IG}(C_{k-1}, C_k)$, $C \models_k c$ and for each node $v \in V_{G_0}$ there does exist a node $v' \in V_{G_n}$ with $v' = \mathrm{tr}_{t_n}(\ldots \mathrm{tr}_{t_1}(v))$. Then, this is a repairing sequence for C_k.

Proof. 1. If C_k is existentially bound, the concurrent rule is given by $\rho = C_{k-1} \xleftarrow{\text{id}} C_{k-1} \xrightarrow{a_k} C_k$. Let C_j be a universally bound graph such that C_k has no conflict with C_j and ρ is not a basic consistency maintaining rule w.r.t. $\forall(C_j, \text{true})$. With Lemma 6.4 follows immediately that C_k has a conflict with C_j, this is a contradiction.

2. If C_k is universally bound, the concurrent rule is given by $\rho = C_k \xleftarrow{a_{k-1}^r} C \xrightarrow{\text{id}} C$. Then, ρ is a basic consistency maintaining rule w.r.t. $\forall(C_j, \text{true})$ for all universally bound graphs since ρ does not insert any elements.

$\square$

Definition 6.6 (repairing rule set). *Let a set of rules $\mathcal{R}$ and a circular conflict free constraint c in UANF be given. Then, $\mathcal{R}$ is called a* repairing rule set *of c if there does exist a repairing sequence for each existentially bound graph of c and, if $\text{nl}(c)$ is odd, i.e. c ends with a condition of the form $\forall(C_{\text{nl}(c)}, \textsf{false})$, $\mathcal{R}$ contains a repairing sequence for $C_{\text{nl}(c)}$.*

Note that there cannot exist a repairing sequence for a universally bound graphs C_k such that $C_k \setminus C_{k-1}$ does not contain any edges. Therefore, there is no repairing set for all constraints of the form $\forall(C_1, \textsf{false})$ such that $E_{C_1} = \emptyset$.

Theorem 6.2. *Let a circular conflict free constraint c in UANF and a repairing set $\mathcal{R}$ of c be given. Then, for each graph G with $G \not\models c$, there is a sequence of transformations*

$$G = G_0 \Longrightarrow_{\rho_1, m_1} \ldots \Longrightarrow_{\rho_n, m_n} G_n$$

with $\rho_i \in \mathcal{R}$ such that $G_n \models c$.

We will postpone the proof of this Theorem, as it follows immediately from the termination of our repair process.

Example 6.2. *Consider the constraints c_1, c_4 and the sequences shown in Figure 22. The first sequence is not a repairing sequence for the existentially bound graph of c_4, since $G_1 \not\models_1 c_4$ and therefore a rule set containing only this rule is not a repairing set w.r.t c_4. The second sequence is a repairing sequence for the existentially bound graph of c_4, since the last graph satisfies c_4 and the existentially bound graph has a conflict with the universally bound graph. Therefore, the condition for the concurrent rule is also satisfied, and a rule set containing this rule is a repairing set w.r.t. c_4.*

The third sequence is a repairing sequence for c_1 since the last graph satisfies c_1 and the sequence satisfies the criteria given in Theorem 6.1. Note that this sequence consists of two applications of the same rule. A set of rules containing this rule is a repairing set w.r.t. c_1.
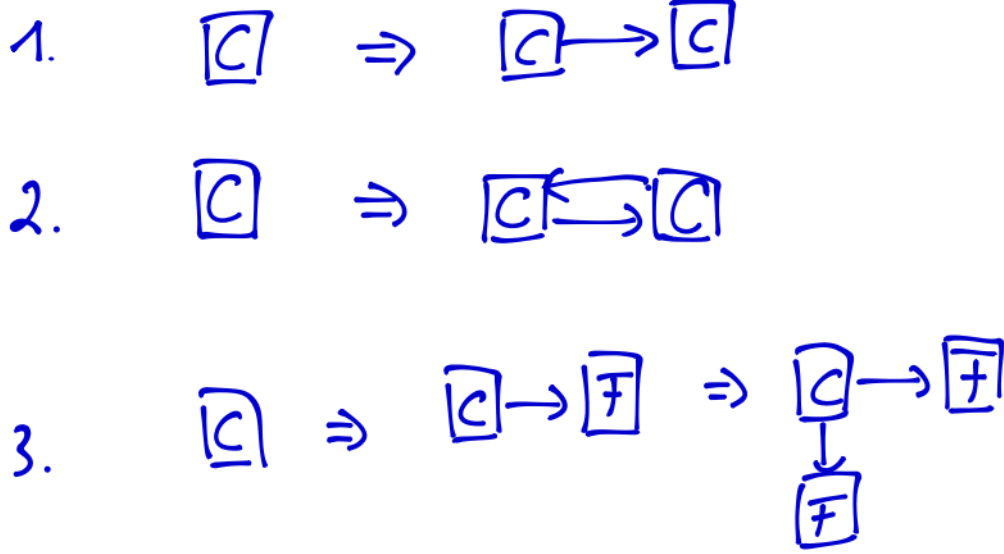
Figure 22: Repairing sequences for c_1 and c_4.

6.3 Rule-based Graph Repair for one Constraint

In the following, we present our graph repair process for a circular conflict-free constraint in UANF. The process is shown in Algorithm 1 and proceeds as follows. The algorithm starts by finding all occurrences of $C_{k_{max}+2}$ that do not satisfy $\text{cut}_0(\text{sub}_{k_{max}+2}(c))$ (line 2). This condition is equal to false if $k_{max}+2 = \text{nl}(c) - 2$ and equal to $\exists(C_{k_{max}+2}, \mathsf{true})$ otherwise. If P is empty, it must follow that $G \models_{k_{max}+2} c$, and so we will apply repairing sequences at occurrences contained in this set. It may be sufficient to repair only some of these occurrences. Since we do not know which of these are likely to increase the consistency, we choose one at random (line 3). For example, for existentially bound constraints d, i.e. their equivalent constraint in UANF is $\forall(\emptyset, d)$, there may exist occurrences of $C_{k_{max}+2}$ whose repair will never lead to an increase of the largest satisfied layer.

There are two ways to repair the selected occurrence, either by destroying it, or by inserting elements such that the occurrence satisfies $\text{cut}_0(\text{sub}_{k_{max}+2}(c))$. The algorithm chooses one of these options (line 4) and applies the appropriate repair sequence (lines 5–11). Note that there may be no repair sequence for $C_{k_{max}+2}$, since this graph is universally bound. If this is the case, we use the repairing sequence for $C_{k_{max}+3}$. This must exist because $C_{k_{max}+3}$ is existentially bound.

If the repairing sequence for $C_{k_{max}+2}$ was applied, occurrences of existentially bound graphs may have been destroyed. Note that these can only be occurrences of graphs C_i such that $C_{k_{max}+2}$ has a conflict with C_i. This could lead to a reduction of the largest satisfied layer. Therefore the algorithm finds all these destroyed occurrences, in particular it finds all occurrences p of universally bound graphs C_i such that an occurrence q of C_{i+1} with $p = q \circ a_j$ has been removed (line 7). If the repairing sequence

for $C_{k_{max}+3}$ has been applied, occurrences of universally bound graphs may have been inserted. Again, these can only be occurrences of graphs C_i such that $C_{k_{max}+2}$ has a conflict with C_i and this could lead to a decrease of the largest satisfied layer. Again, the algorithm finds all inserted occurrences of universally bound graphs (line 10). If the largest satisfied layer has not been reduced, the algorithm chooses the next occurrence in P.

Otherwise, the largest satisfied layer must be restored. To do this, the occurrences contained in M must be repaired. Repairing these occurrences may again result in the insertion of existentially bound graphs or the removal of universally bound graphs. These occurrences are added to H, and this process is repeated until the largest satisfied layer is restored, i.e. $H \models_{k_{max}} c$ (line 12 – 25). The whole process is repeated until a graph satisfying c is derived.

From this it is clear why c must be circular conflict free. For a constraint with circular conflicts, during the restoring phase a new occurrence of $C_{k_{max}+2}$ can be inserted and an occurrence of $C_{k_{max}+3}$ can be removed. In certain cases this could lead to an infinite loop, so there is no guarantee that this algorithm will terminate. For example, consider the constraint c_3 given in Figure 19. The set of rules used for the transformations t_1 and t_2 in figure 19 forms a repairing set. During a repair process using Algorithm 1, where the starting graph is the first graph of t_1, it is possible for the Algorithm 1 to enter an infinite loop by alternately applying t_1 and t_2.

Optimisation of the repair algorithm in terms of the number of elements inserted or deleted can be achieved by using partial repairing sequences where possible. For example, consider the repairing sequence

$$C_k \Longrightarrow C_1 \Longrightarrow \ldots \Longrightarrow C_{k+1}$$

with $C_1 \in \mathrm{IG}(C_k, C_{k+1})$. For an occurrence p of C_k, which already satisfies the condition $\exists(C_1, \mathsf{true})$, it may be sufficient to apply only the sequence

$$C_1 \Longrightarrow \ldots \Longrightarrow C_{k+1}$$

at p. But then you have to check that no occurrences of existentially bound graphs have been destroyed, and that no occurrences of universally bound graphs C_i such that C_k has no conflict with C_i have been inserted. If this is the case, the transformations must be reversed and another (partial) repairing sequence must be used. Even though this would lead to an optimisation in terms of the number of elements inserted and deleted, it would lead to an increase in runtime due to the reversal of transformations.

For any circular conflict-free constraint, Algorithm 1 will always terminate according to the following Theorem.

Theorem 6.3. *Given n graph G, a circular conflict free condition c in UANF and a repairing set $\mathcal{R}$ of c. Then, Algorithm 1 with input G,c and $\mathcal{R}$ terminates and returns a graph H with $H \models c$.*

Proof. If Algorithm 1 terminates, it returns a graph that satisfies c. Therefore, it is sufficient to show that Algorithm 1 terminates. Since G is finite, the set P must also

Algorithm 1: Repair for one circular conflict free constraint

Data: A graph G, a circular conflict free constraint c in UANF and a repairing set $\mathcal{R}$ for c.

Result: A graph H with $H \models c$.

1 **while** $G \not\models c$ **do**

2 $P \leftarrow \{q : C_{\mathrm{k}_{\max}+2} \hookrightarrow H \mid q \not\models \mathrm{cut}_0(\mathrm{sub}_{\mathrm{k}_{\max}+2}(c))\}$;

3   Choose $p \in P$ uniformly at random ;

4   Choose $r \in \{0,1\}$ uniformly at random;

5   **if** $r = 0$ *and* $\mathcal{R}$ *contains a repairing sequence for* $C_{\mathrm{k}_{\max}+2}$ **then**

6   Apply the repairing sequence for $C_{\mathrm{k}_{\max}+2}$ at match p and let H be the derived graph ;

7 $M \leftarrow \{q : C_j \hookrightarrow H \mid j \text{ odd and } \neg \exists q' C_j : \hookrightarrow G(\mathrm{tr} \circ q' = q)\}$;

8   **else**

9   Apply the repairing sequence for $C_{\mathrm{k}_{\max}+3}$ at match p and let H be the derived graph ;

10 $M \leftarrow \{q : C_j \hookrightarrow H \mid j \text{ odd and } \exists q' : C_{j+1} \hookrightarrow G(q = q' \circ a_j \wedge \mathrm{tr} \circ q' \text{ is not total})\}$;

11   **end**

12   **while** $H \not\models_{\mathrm{k}_{\max}(c,G)} c$ **do**

13   Choose $p : C_j \hookrightarrow H \in M$ uniformly at random ;

14   Choose $r \in \{0,1\}$ uniformly at random ;

15   **if** $r = 0$ *and* $\mathcal{R}$ *contains a repairing sequence for* C_j **then**

16   Apply the repairing sequence for C_j at match p and let H' be the derived graph ;

17 $M' \leftarrow \{q : C_i \hookrightarrow H' \mid i \text{ odd and } \neg \exists q' : C_i \hookrightarrow H(\mathrm{tr} \circ q' = q)\}$;

18   **else**

19   Apply the repairing sequence for C_{j+1} at match p and let H' be the derived graph ;

20 $M' \leftarrow \{q : C_i \hookrightarrow H' \mid i \text{ odd and } \exists q' : C_{i+1} \hookrightarrow G(q = q' \circ a_j \wedge \mathrm{tr} \circ q' \text{ is not total})\}$;

21   **end**

22 $M \leftarrow (M \setminus \{p\}) \cup M'$;

23 $H \leftarrow H'$;

24   **end**

25 $G \leftarrow H$;

26 **end**

27 **return** G;

be finite. If a repairing sequence has been applied, the set M contains only occurrences of graphs C_j such that $C_{k_{\max}+2}$ has a (transitive) conflict with C_j, since the repairing sequence is not able to destroy or insert occurrences of C_i such that $C_{k_{\max}+2}$ has no (transitive) conflict with C_i. Since G is finite, $|M|$ must also be finite.

If the derived graph does not satisfy $\text{cut}_{k_{\max}(c,G)}(c)$, we need to restore the largest satisfied layer. Since the largest satisfied layer only decreases if an occurrence of an existentially bound graph is destroyed or an occurrence of universally bound graphs is inserted, and M contains all these occurrences, we only need to consider the occurrences contained in M. Applying repairing sequences to occurrences $p : C_j \hookrightarrow H \in M$ could again lead to the insertion of universally bound graphs or the removal of existentially bound graphs. The set M' contains all these occurrences, and again these are only occurrences of C_i such that C_j has a (transitive) conflict with C_i. Since c is free of circular conflict, M' cannot contain any occurrences of $C_{k_{\max}+2}$, otherwise C_j would have a (transitive) conflict with $C_{k_{\max}+2}$ and therefore $C_{k_{\max}+2}$ has a circular conflict. Therefore no occurrences of $C_{k_{\max}+3}$ are destroyed and no occurrences of $C_{k_{\max}+2}$ are inserted. In addition, $C_{k_{\max}+2}$ has a (transitive) conflict with C_i, and repairing any p $in M'$ will not lead to insertion of an occurrence of $C_{k_{\max}+2}$ or removal of an occurrence of $C_{k_{\max}+3}$.

Since c is circular conflict free, there must exist graphs C_i, such that C_i has no conflict with any other graph $C_{i'}$ and $C_{k_{\max}+2}$ has a (transitive) conflict with C_i. Therefore, the application of repairing sequences at occurrences of these graphs will not lead to the insertion or removal of any universally or existentially bound graph, respectively. Since c is finite, the number of graphs C_i such that $C_{k_{\max}+2}$ has a (transitive) conflict with C_i is finite. Since $|M'|$ is also finite, after a finite number of applications of repairing sequences, M' contains only occurrences of graphs that have no conflicts. After a repairing sequence has been applied to all these occurrences, M' is empty and $H \models_{k_{\max}(c,G)} c$, since all occurrences p of C_j which have either been inserted or an occurrence q of C_{j+1} with $p = a_j \circ q$ has been removed satisfy $\exists(C_{j+1}, \text{true})$.

Therefore, after a finite number of iterations, the set P is empty and the largest satisfied layer has been increased by at least 1. It follows that after a finite number of iterations $G \models c$. Then Algorithm 1 terminates and returns G. $\qquad\square$

Example 6.3. *Consider constraint $c = \forall(C_2^2, \exists(C_2^1, \text{true}))$ which is composed of the graphs shown in Figure 5. This constraint is circular conflict free and a repairing set for c is given in Figure 23. There does exist a repairing sequence for C_2^2 via the rule* remove *and a repairing sequence for C_2^1 via the rule* insert*. Using the rule set* {remove, insert}*, Algorithm 1 could return one of the graphs G_1, G_2 or G_3 given in Figure 23, depending on the repairing sequences used.*

If $\mathcal{R}$ is not a repairing set for a constraint c in UANF, it is unclear whether a graph G can be repaired with this set of rules. The only approach is a brute-force approach using consistency-maintaining or consistency-increasing rules up to layer $k_{\max}$. This can be done by equipping the rules of $\mathcal{R}$ with maintaining, increasing or basic application
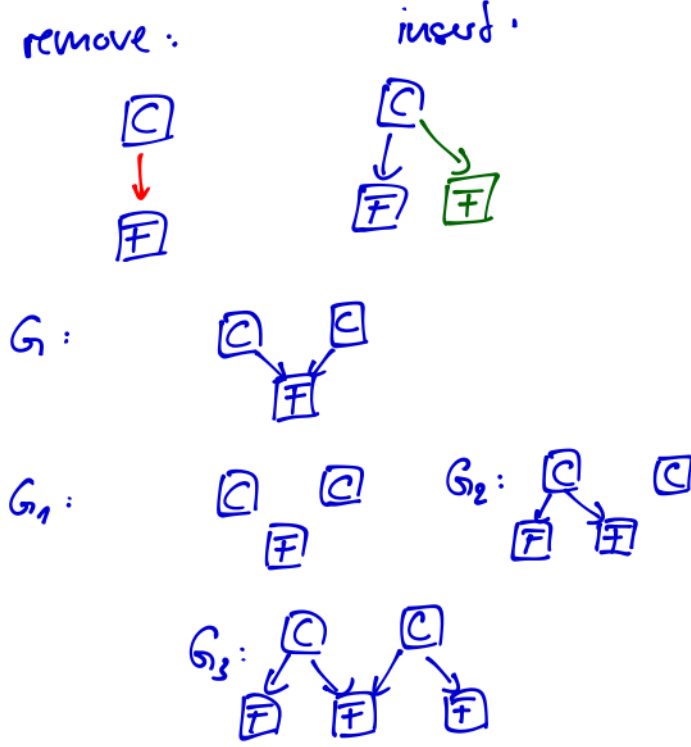
Figure 23: Possible outputs of the repairing process for G and $\forall(C_2^2, \exists(C_2^1, \mathtt{true}))$ using the rule set $\{\mathtt{remove}, \mathtt{insert}\}$.

conditions. In this case, we can repair G by brute-force if there is a sequence

$$G \Longrightarrow_{\rho_1} \ldots \Longrightarrow_{\rho_n} H$$

of transformations with $\rho_1, \ldots, \rho_n \in \mathcal{R}$ such that each transformation is consistency-maintaining or consistency-increasing w.r.t. c and $H \models c$. If no such sequence exists, this approach cannot repair G, even if G can be repaired with rules of $\mathcal{R}$.

6.4 Rule-based Graph Repair for multiple Constraints

We will now present our rule-based repair approach for a set of constraints in UANF.

Definition 6.7 (**satisfaction of constraint sets**)**.** *Let $\mathcal{C}$ be a set of constraints. A graph G satisfies $\mathcal{C}$, denoted by $G \models \mathcal{C}$, if $G \models \bigwedge_{c \in \mathcal{C}} c$. The set $\mathcal{C}$ is called* satisfiable *if there exists a graph G with $G \models \mathcal{C}$.*

To guarantee that a set of constraints can be repaired by a set of rules, we need to extend the notion of repairing sets such that a set of rules is called a *repairing set* for a set of constraints if it is a repairing set for every constraint in the constraint set.

Algorithm 2: Repair for a circular constraint-conflict free set of constraints

---

Data: A graph G, circular constraint-conflict free set of constraints $\mathcal{C}$ and a repairing set $\mathcal{R}$ for $\mathcal{C}$.

Result: A graph H with $H \models \bigwedge_{c \in \mathcal{C}} c$.

1 $(c_1, \ldots, c_n) \leftarrow$ topological ordering of $\mathcal{C}$;

2 for $i \leftarrow 1$ **to** n **do**

3 $\quad$ Repair c_i in G with Algorithm 1, let H be the retuned graph ;

4 $\quad$ $G \leftarrow H$;

5 end

6 return G;

Definition 6.8 (repairing set for a set of constraints). *Given a set of constraints $\mathcal{C}$ and a set of rules $\mathcal{R}$. Then $\mathcal{R}$ is called a* repairing set for $\mathcal{C}$ *if $\mathcal{R}$ is a repairing set for all constraints $c \in \mathcal{C}$.*

We also extend the notion of conflicts to *conflicts between constraints*. Intuitively, a constraint c has a conflict with another constraint c' if one of its graphs has a conflict with a graph of c'.

Definition 6.9 (conflict between constraints). *Let the constraints c, c' in UANF and a set of rules $\mathcal{R}$ be given. Then c has a conflict with c' w.r.t. $\mathcal{R}$ if a repairing sequence*

$$C_k = G_0 \Longrightarrow_{\rho_1, m_1} \cdots \Longrightarrow_{\rho_n, m_n} G_n$$

exists for a graph C_k of c such that the concurrent rule of that sequence is not a basic consistency maintaining rule w.r.t. $\forall(C_j, \mathsf{false})$ or $\exists(C_j, \mathsf{true})$ for any universally or existentially bounded graph C_j of c'.

The following lemma is a useful statement for proving the correctness of our repair approach. It states that applying a repairing sequence to a constraint c cannot destroy the satisfaction of c' if c has no conflict with c'.

Lemma 6.10. *Let two constraints c and c' in UANF be given such that c has no conflict with c' w.r.t. to a set of rules $\mathcal{R}$. Then the concurrent rule ρ of any repairing sequence for c is a c'-preserving rule.*

Proof. Suppose ρ is not a c'-preserving rule. Then there exists a transformation $t : G \Longrightarrow_{\rho, m} H$ such that $G \models c'$ and $H \not\models c'$. Therefore, either a universally bound graph of c' has been inserted or an existentially bound graph of c' has been removed. It follows that ρ is not a basic maintaining rule w.r.t. $\forall(C_j, \mathsf{false})$ for all universally bound graphs C_j of C' or ρ is not a basic maintaining rule w.r.t. $\exists(C_j, \mathsf{true})$ for all existentially bound graphs C_j of C', which is a contradiction. $\qquad\square$

The *conflict graph* for a set of constraints and *circular conflicts* for it are defined in a similar way to the conflict graph and circular conflicts for a constraint. A set of

60

constraints is called *circular conflict free* if each of its constraints is circular conflict free and there is no sequence $c = c_0, \ldots c_n = c$ such that c_i has a conflict with c_{i+1} for all $0 \leq i < n$. In other words, the conflict graph of this set is acyclic.

Definition 6.11 (**conflict graphs, circular conflicts**). *Given a set of constraints $\mathcal{C}$ in UANF. The* conflict graph *of $\mathcal{C}$ is constructed in the following way. For each constraint $c \in \mathcal{C}$ there is a node. If there is a conflict between c and c', there exists an edge e with $\mathrm{src}(e) = c$ and $\mathrm{tar}(e) = c'$.*

A constraint c has a transitive conflict *with c' if the conflict graph of $\mathcal{C}$ contains a path from c to c'. A constraint c has a* circular conflict *if c has a transitive conflict with itself. A set of constraints $\mathcal{C}$ is called* circular conflict free *if every constraint in $\mathcal{C}$ is circular conflict free and $\mathcal{C}$ contains no circular conflicts.*

Example 6.4. *Consider the rules* resolve, resolve2, createFeatures *and constraints c_1 and c_5 given in figures 24 and 5. The constraint set $\mathcal{C} = \{c_1, c_5\}$ is a multiplicity which states that "Each node of type* Class *is connected to exactly two nodes of type* Feature*". With the rule set $\mathcal{R}_1 = \{\mathtt{resolve}, \mathtt{createFeatures}\}$, there is only one conflict in $\mathcal{C}$; c_1 has a conflict with c_5, since applying* createFeatures *could lead to inserting the universally bound graph of c_5. With the rule set $\mathcal{R}_2 = \{\mathtt{resolve2}, \mathtt{createFeatures}\}$ there are two conflicts. Again, there is a conflict of c_1 with c_5, but also a conflict of c_5 with c_1, since applying* resolve *can destroy an occurrence of the existentially bound graph of c_1.*

Therefore, our approach can repair with the rule set $\mathcal{R}_1$ but not with $\mathcal{R}_2$ because in this case, $\mathcal{C}$ is not circular conflict free.

Our repair process exploits the fact that the conflict graph of a circular conflict-free set of constraints in UANF is acyclic. In particular, our approach uses the *topological ordering* of this conflict graph.

Definition 6.12 (**topological ordering of a graph**). *Given is a graph G. A sequence $(v_1, \ldots, v_n)$ of nodes of G is called a* topological ordering *of G if no edge $e \in E_G$ exists with $\mathrm{src}(e) = v_i$, $\mathrm{tar}(e) = v_j$ and $i \geq j$. The topological ordering of a circular conflict-free set of constraints $\mathcal{C}$ is the topological order of its conflict graph.*

It is well known that every directed acyclic graph has a topological ordering, and therefore every conflict graph of a circular conflict-free set of constraints also has a topological ordering.

The repair process is given in Algorithm 2 and proceeds as follows. First, the topological ordering of the constraint set is determined (line 1). Then Algorithm 1 is used to repair each constraint of $\mathcal{C}$ in the order of the topological ordering (lines $2 - 4$). This ensures that the satisfaction of a constraint that has already been repaired is not destroyed by the repair of another constraint.

Theorem 6.4. *Given a graph G, a satisfiable, circular conflict-free set of constraints in UANF, $\mathcal{C}$, and a set of rules $\mathcal{R}$. Then Algorithm 2 terminates and returns a graph H with $H \models \mathcal{C}$.*
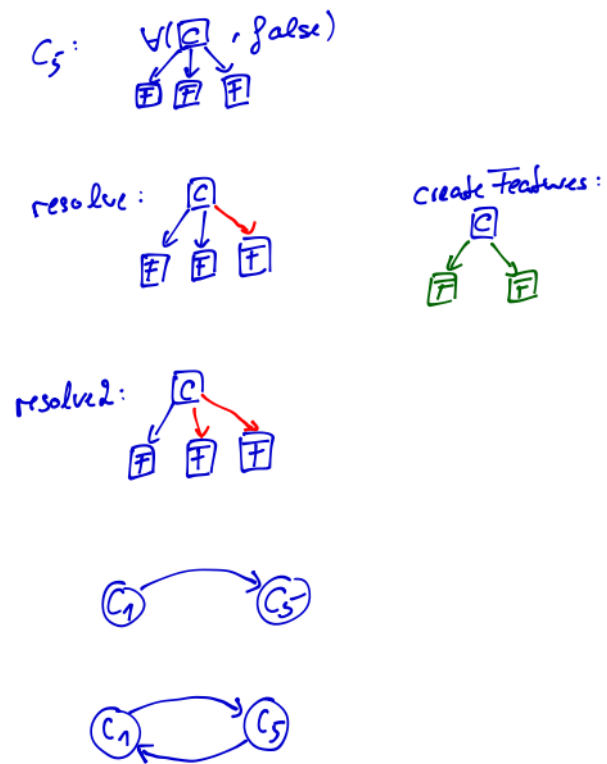
Figure 24: Constraints c_5 and conflicts graphs of the constraint set $\{c_1, c_5\}$ with the rule sets $\{\texttt{resolve}, \texttt{createFeatures}\}$ and $\{\texttt{resolve2}, \texttt{createFeatures}\}$.

Proof. Since $\mathcal{C}$ is finite and every $c \in \mathcal{C}$ is circular conflict free, Algorithm 1 terminates for every $c \in \mathcal{C}$. Therefore Algorithm 2 will also terminate. It remains to show that the returned graph satisfies $\mathcal{C}$. Let $(c_1, \ldots, c_n)$ be a topological ordering of $\mathcal{C}$. Then no constraint c_j with $j \neq 1$ has a conflict with c_1, and by Lemma 6.10 it follows that the concurrent rule of every repair sequence for every c_i with $2 \leq i \leq n$ is a c_1-preserving rule. In general, the concurrent rule of each repairing sequence for c_j is a c_i-preserving rule if $i < j$. Note that in Algorithm 2 each repairing sequence can be replaced by its concurrent rule. After one iteration it holds that $G \models c_1$. Suppose that after m iterations it holds that $G \models c_i$ for all $1 \leq i \leq m$. In iteration $m+1$, c_{m+1} is repaired by Algorithm 2. Since each concurrent rule of each repairing sequence of c_{m+1} is a c_i-preserving rule for all $1 \leq i \leq m$, the application of repairing sequence can be replaced by its concurrent rule, and Algorithm 2 applies only these concurrent rules, it follows that $H \models c_i$ for all $1 \leq i \leq m+1$. Therefore, after n iterations, $H \models c_i$ for all $1 \leq i \leq n$ and the returned graph G satisfies $\mathcal{C}$. $\square$

7 Conclusion

References

[1] H. Ehrig, K. Ehrig, U. Prange, and G. Taentzer. *Fundamentals of algebraic graph transformation*. *Monographs in theoretical computer science. An EATCS series. Springer*, 2006.

[2] H. Ehrig, A. Habel, and L. Lambers. Parallelism and concurrency theorems for rules with nested application conditions. *Electronic Communications of the EASST*, 26, 2010.

[3] A. Habel and K.-H. Pennemann. Nested constraints and application conditions for high-level structures. In *Formal methods in software and systems modeling*, pages 293–308. Springer, 2005.

[4] A. Habel and K.-H. Pennemann. Correctness of high-level transformation systems relative to nested conditions. *Mathematical Structures in Computer Science*, 19(2):245–296, 2009.

[5] J. Kosiol, D. Strüber, G. Taentzer, and S. Zschaler. Sustaining and improving graduated graph consistency: A static analysis of graph transformations. *Science of Computer Programming*, 214:102729, 2022.

[6] D. Plump. Confluence of graph transformation revisited. In *Processes, Terms and Cycles: Steps on the Road to Infinity*, pages 280–308. Springer, 2005.

[7] C. Sandmann and A. Habel. Rule-based graph repair. *arXiv preprint arXiv:1912.09610*, 2019.