Rule-based Graph Repair using Minimally Restricted Consistency-Improving Transformations

Alexander Lauer

January 27, 2023

Abstract

Contents

1 Introduction

2 Related Work

3 Preliminaries

Our graph repair process is based on the concept of the double-pushout approach [1]. In this chapter we introduce some formal prerequisites such as graphs, graph morphisms, nested graph conditions and constraints, and graph transformations.

3.1 Graphs and Graph morphisms

We start by introducing graphs and graph morphisms according to [1].

Definition 3.1 (**graph**). *A* graph $G = (V, E, \mathrm{src}, \mathrm{tar})$ *consists of a set of vertices (or nodes)* V*, a set of edges* E *and two mappings* $\mathrm{src}, \mathrm{tar} : E \to V$ *that assign the source and target vertices to an edge. The edge* $e \in E$ *connects the vertices* $\mathrm{tar}(e)$ *and* $\mathrm{src}(e)$.

If no tuple as above is given, V_G*,* E_G*,* tar_G *and* src_G *denote the sets of vertices, edges and target and source mappings, respectively.*

For the rest of this paper we will assume that all graphs are finite, i.e. given a graph G, the sets V_G and E_G are finite.

Definition 3.2 (**graph morphism**). *Let the graphs* G *and* H *be given. A* graph morphism $f : G \to H$ *consists of two mappings* $f_V : V_G \to V_H$ *and* $f_E : E_G \to E_H$ *such that the source and target functions are preserved. This means*

$$f_V \circ \mathrm{src}_G = \mathrm{src}_H \circ f_E \wedge$$
$$f_V \circ \mathrm{tar}_G = \mathrm{tar}_G \circ f_E$$

holds. A graph morphism f *is called injective (surjective) if* f_E *and* f_V *are injective (surjective) mappings. If* f *is injective, it is denoted with* $f : G \hookrightarrow H$*. Two morphisms* $f_1 : G_1 \to H$ *and* $f_2 : G_2 \to H$ *are called* jointly surjective *if for each element* e *of* H *either an element* $e' \in G_1$ *with* $f_1(e') = e$ *or an element* $e' \in G_2$ *with* $f_2(e') = e$ *exists.*

For our newly introduced notions of consistency increase and maintainment, we also need to consider *subgraphs*, *overlaps* of graphs, and so-called *intermediate graphs*. Intuitively, intermediate graphs are graphs G' which lie between two given graphs G and H. That is, G is a subgraph of G' and G' is a subgraph of H.

Definition 3.3 (**subgraph**). *Let the graphs* G *and* H *be given. Then* G *is called a* subgraph *of* H *if an injective morphism* $p : G \hookrightarrow H$ *exists.*

Note that since the injective morphism can also be surjective, by this definition every graph G is a subgraph of itself.

Definition 3.4 (**intermediate-graph**). *Let G and H be graphs such that G is a subgraph of H. A graph C is called an* intermediate-graph *of G and H, if G is a subgraph of C and C is a subgraph of H. The set of intermediate-graphs of G and H is denoted by* $\mathrm{IG}(G, H)$.

Definition 3.5 (**overlap**). *Let the graphs G_1 and be G_2 be given. An* overlap $P = (H, i_{G_1}, i_{G_2})$ *consists of a graph H and a jointly surjective pair of injective morphisms $i_{G_1} : G_1 \hookrightarrow H$ and $i_{G_2} : G_2 \hookrightarrow H$ with $i_{G_1}(G_1) \cap i_{G_2}(G_2) \neq \emptyset$. The set of all overlaps of G_1 and G_2 is denoted by* $\mathrm{ol}(G_1, G_2)$. *If a tuple as above is not given, then G_P, $i^P_{G_1}$ and $i^P_{G_2}$ denote the graph and morphisms of a given overlap $P \in \mathrm{ol}(G_1, G_2)$.*

Note that (H, i_{G_1}, i_{G_2}) with i_{G_1} and i_{G_2} being jointly surjective and $i(G_1) \cap i'(G_2) = \emptyset$ could also be considered as an overlap of G_1 and G_2. In this paper we only need to consider overlaps with $i_{G_1}(G_1) \cap i_{G_2}(G_2) \neq \emptyset$. So we have embedded this property directly into the definition.

As mentioned above, our approach also considers intermediate graphs. Therefore a notion of restricted graph morphisms is needed. For this, we introduce the notion of *restricted morphisms*, which intuitively is the restriction of the domain and co-domain of a morphism $p : G \hookrightarrow H$ with subgraphs of G and H respectively.

Definition 3.6 (**restricted morphism**). *Let the graphs G, H and a morphism $f : G \to H$ be given. Then, a morphism $f' : G' \to H'$ is called a* restricted morphism *of p if morphisms $i : G' \hookrightarrow G$ and $i' : H' \hookrightarrow H$ exist (G' is a subgraph of G and H' is a subgraph of H) such that*

$$i'_E \circ f'_E = f_E \circ i_E \wedge$$
$$i'_V \circ f'_V = f_V \circ i_V.$$

A restricted morphism of p is denoted by p^r.

Note that given a morphism $p : G \to H$ a restriction $p^r : G' \to H'$ of p is uniquely determined by G' and H'.

3.2 Nested Graph Conditions and Constraints

Nested graph constraints are useful for specifying graph properties. The more general notion of *nested graph conditions* allows the specification of properties for graph morphisms and the definition of graph conditions and constraints in a recursive manner. Within these conditions, only quantifiers and Boolean operators are used [4].

Definition 3.7 (**nested graph condition**). *A* nested graph condition *over a graph C_0 is defined recursively as*

1. true *is a graph condition over every graph.*
2. $\exists(a_0 : C_0 \hookrightarrow C_1, d)$ *is a graph condition over C_0 if a_0 is an injective graph morphism and d is a graph condition over C_1.*

3. $\neg d$, $d_1 \wedge d_2$ *and* $d_1 \vee d_2$ *are graph conditions over* C_0 *if* d, d_1 *and* d_2 *are graph conditions over* C_0.

Conditions over the empty graph $\emptyset$ *are called* constraints. *We use the abbreviations* $\forall(a_0 : C_0 \hookrightarrow C_1, d) := \neg\exists(a_0 : C_0 \hookrightarrow C_1, \neg d)$ *and* $\mathsf{false} = \neg\,\mathsf{true}$.

Conditions of the form $\exists(a_0 : C_0 \hookrightarrow C_1, d)$ *are called* existentially bound, *the graph* C_1 *is also called existentially bound. Conditions of the form* $\forall(a_0 : C_0 \hookrightarrow C_1, d)$ *are called* universally bound, *the graph* C_1 *is also called universally bound.*

Since these are the only types of conditions that will be used in this paper, we will refer to them only as *conditions* and *constraints*. We will use the more compact notations $\exists(C_1, d)$ for $\exists(a_0 : C_0 \hookrightarrow C_1, d)$ and $\forall(C_1, d)$ for $\forall(a_0 : C_0 \hookrightarrow C_1, d)$ if C_0 and a_0 are clear from the context.

Definition 3.8 (**semantic of graph conditions**). *Given a graph* G, *a condition* c *over* C_0 *and a graph morphism* $p : C_0 \hookrightarrow G$. *Then* p *satisfies* c, *denoted by* $p \models c$, *if*

1. *If* $c = \mathsf{true}$.
2. *If* $c = \exists(a_0 : C_0 \hookrightarrow C_1, d)$: *There does exists an injective morphism* $q : C_1 \hookrightarrow G$ *with* $p = q \circ a_0$ *and* $q \models d$.
3. *If* $c = \neg d$: $p \not\models d$.
4. *If* $c = d_1 \wedge d_2$: $p \models d_1$ *and* $p \models d_2$.
5. *If* $c = d_1 \vee d_2$: $p \models d_1$ *or* $p \models d_2$.

A graph G *satisfies a constraint* c, *denoted by* $G \models c$, *if the morphism* $p : \emptyset \hookrightarrow G$ *satisfies* c.

Our approach is designed to repair a specific type of constraint, constraints without any boolean operators. Each of these conditions can be transformed into an equivalent condition in so-called *alternating quantifier normal form* [7]. As the name suggests, these are conditions with alternating quantifiers and without any boolean operators.

Definition 3.9 (**alternating quantifier normal form (ANF)**). *Conditions in* alternating quantifier normal form *(ANF) are defined recursively as*

1. true *and* false *are conditions in ANF.*
2. $\exists(a_0 : C_0 \hookrightarrow C_1, d)$ *is a condition in ANF if either* d *is an universally bound condition over* C_1 *in ANF or* $d = \mathsf{true}$.
3. $\forall(a_0 : C_0 \hookrightarrow C_1, d)$ *is a condition in ANF if either* d *is an existentially bound condition over* C_1 *in ANF or* $d = \mathsf{false}$.

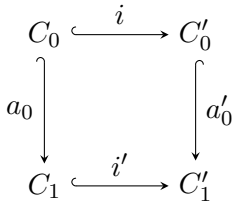

Figure 1: Diagram for the Shift operator.

In both cases, d is called a subcondition *of $\exists(a : C_0 \hookrightarrow C_1, d)$ or $\forall(a : C_0 \hookrightarrow C_1, d)$ respectively. All subcondition of d are also subconditions of $\exists(a : C_0 \hookrightarrow C_1, d)$ or $\forall(a : C_0 \hookrightarrow C_1, d)$ respectively. The* nesting level $\mathrm{nl}(c)$ *of a condition c is recursively defined as $\mathrm{nl}(\mathit{true}) = \mathrm{nl}(\mathit{false}) = 0$ and $\mathrm{nl}(\exists(a : P \hookrightarrow Q, d)) = \mathrm{nl}(\forall(a : P \hookrightarrow Q, d)) := \mathrm{nl}(d) + 1$.*

In the literature, conditions in ANF also allow conditions that end with conditions of the form $\exists(C_1, \mathsf{false})$ or $\forall(C_1, \mathsf{true})$. We exclude these cases so that conditions in ANF can only end with conditions of the form $\exists(C_1, \mathsf{true})$ or $\forall(C_1, \mathsf{false})$, since it is easily seen that every morphism $p : C_0 \hookrightarrow G$ satisfies $\forall(C_1, \mathsf{true})$ and does not satisfy $\exists(C_1, \mathsf{false})$. Therefore, these conditions can be replaced by true and false respectively.

In the following, we assume that all conditions are finite. As a direct consequence, the nesting level is also finite.

Using the *shift over morphism* construction, we are able to transform a nested condition over C into a nested condition over C' via an injective morphism $i : C \hookrightarrow C'$ [4].

Definition 3.10 (shift over morphism). *Let a condition c over C_0 and a morphism $i : C_0 \hookrightarrow C_0'$ be given. The* shift of c over i, *denoted by* $\mathrm{Shift}(c, i)$, *is given by*

1. *If $c = \mathit{true}$,* $\mathrm{Shift}(c, i) = \mathit{true}$.
2. *If $c = \exists(a_1 : C_0 \hookrightarrow C_1, d)$,* $\mathrm{Shift}(c, i) = \bigvee_{(a', i') \in \mathcal{F}} \exists(a', \mathrm{Shift}(d, i'))$ *with $\mathcal{F}$ being the set of all pairs (a', i') of injective morphisms that are jointly surjective and $i' \circ a = a' \circ i$, i.e., the diagram shown in Figure 1 commutates.*
3. *If $c = \neg d$,* $\mathrm{Shift}(c, i) = \neg\,\mathrm{Shift}(d, i)$
4. *If $c = d_1 \wedge d_2$,* $\mathrm{Shift}(c, i) = \mathrm{Shift}(d_1, i) \wedge \mathrm{Shift}(d_2, i)$
5. *If $c = d_1 \vee d_2$,* $\mathrm{Shift}(c, i) = \mathrm{Shift}(d_1, i) \vee \mathrm{Shift}(d_2, i)$

Lemma 3.11. *Let a condition c over C_0 and a morphism $i : C_0 \hookrightarrow C_0'$ be given. Then, for each morphism $m : C_0' \hookrightarrow G$,*

$$m \models \mathrm{Shift}(c, i) \iff m \circ i \models c$$

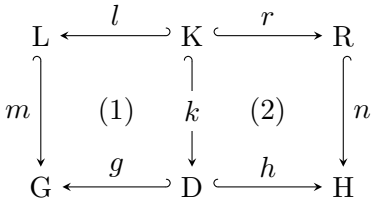

Figure 2: Diagram of a transformation in the double-pushout approach.

3.3 Rules and Graph Transformations

Via *rules* and *graph transformation* graphs can be modified by inserting or deleting nodes and edges. We will use the concept of the double-pushout approach for rules and transformations, which is based on category theory [1]. A rule consists of the three graphs L, called the *left-hand side*, K, called *context*, and R, called *right-hand side*, where K is a subgraph of L and R. During a transformation, denoted by $G \Longrightarrow H$, elements of $L \setminus K$ are removed and elements of $R \setminus K$ are inserted so that a new morphism $p : R \hookrightarrow H$ is created. In addition, the so-called *dangling edge condition* must be satisfied, which means that for every edge $e \in E_H$ there are vertices $u, v \in V_H$ such that $\mathrm{tar}(e) = u$ and $\mathrm{src}(e) = v$ or vice versa. We also define application conditions. These are nested conditions over L and R that prevent the transformation if they are not satisfied. Later, we will use application conditions to ensure that transformations cannot reduce consistency. For example, application conditions that prevent a transformation if $G \models c$ and $H \not\models c$.

Definition 3.12 (**rules and application conditions**). *A* plain rule $\rho = L \overset{l}{\hookleftarrow} K \overset{r}{\hookrightarrow} R$ *consists of graphs* L, K, R *and injective graph morphisms* $l : K \hookrightarrow L$ *and* $r : K \hookrightarrow R$. *The rule* $\rho^{-1} = R \overset{r}{\hookleftarrow} K \overset{l}{\hookrightarrow} L$ *is called the* inverse rule of ρ'.

An application condition *is a nested condition over* L *or* R *respectively. A* rule $(\mathrm{ap}_L, \rho, \mathrm{ap}_R)$ *consists of a plain rule* ρ *and application conditions* ap_L *over* L, *called* left application condition, *and* ap_R *over* R, *called* right application condition *respectively.*

Definition 3.13 (**graph transformation**). *Let a rule* $\rho = (\mathrm{ap}_L, \rho', \mathrm{ap}_R)$, *a graph* G *and a morphism* $m : L \hookrightarrow G$, *called the* match, *be given. Then, a* graph transformation $t : G \Longrightarrow_{\rho,m} H$ *is given in Figure 2 if the squares* (1) *and* (2) *are pushouts in the sense of category theory,* $m \models \mathrm{ap}_L$ *and the morphism* $n : L \hookrightarrow H$, *called the co-match of* t, *satisfies* ap_R.

The presence of right applications conditions leads to unpleasant side effects. The satisfaction of a right application condition can only be checked after the transformation. The transformation must therefore be reversed if the co-match does not satisfy this condition. To avoid this, we introduce the *shift over rule* operation, which is capable of transforming a right into an equivalent left application condition [4].

Definition 3.14 (**shift over rule**). *Let a plain rule* $\rho = L \overset{l}{\hookleftarrow} K \overset{r}{\hookrightarrow} R$ *and a right*

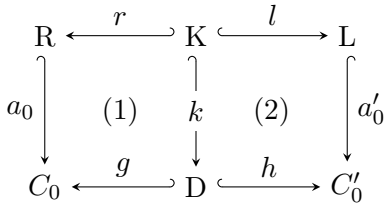

Figure 3: Transformation for the shift over rule operator.

application condition ap *of* ρ *be given. The* shift of ap over ρ*, denoted with* $\mathrm{Left}(\mathrm{ap}, \rho)$*, is defined as*

1. *If* $\mathrm{ap} = \mathsf{true}$*,* $\mathrm{Left}(\mathrm{ap}, \rho) := \mathsf{true}$*.*
2. *If* $\mathrm{ap} = \neg d$*,* $\mathrm{Left}(\mathrm{ap}, \rho) := \neg\, \mathrm{Left}(d, \rho)$*.*
3. *If* $\mathrm{ap} = d_1 \wedge d_2$*,* $\mathrm{Left}(\mathrm{ap}, \rho) := \mathrm{Left}(d_1, \rho) \wedge \mathrm{Left}(d_2, \rho$*.*
4. *If* $\mathrm{ap} = d_1 \vee d_2$*,* $\mathrm{Left}(\mathrm{ap}, \rho) := \mathrm{Left}(d_1, \rho) \vee \mathrm{Left}(d_2, \rho)$*.*
5. *If* $\mathrm{ap} = \exists(a_0 : R \hookrightarrow C_1, d)$*,* $\mathrm{Left}(\mathrm{ap}, \rho) := \exists(a_0' : L \hookrightarrow C_0', \mathrm{Left}(d, \rho')$ *where* $\rho' = C_0 \xleftarrow{g} D \xhookrightarrow{h} C_0'$ *is the rule derived in Figure 3 by applying* ρ^{-1} *at match* a_0*. If this transformation does not exist, we set* $\mathrm{Left}(\mathrm{ap}, \rho) := \mathsf{false}$*.*

Lemma 3.15. *Let a plain rule* $\rho = L \xleftarrow{l} K \xhookrightarrow{r} R$*, a right application condition* ap *for* ρ *and a transformation* $t : G \Longrightarrow_{\rho,m} H$ *be given. Then,*

$$m \models \mathrm{Left}(\mathrm{ap}, \rho) \iff n \models \mathrm{ap}\,.$$

Shift over rule produces an equivalent left application condition, meaning that, given a right application condition ap and a plain rule ρ , a match of a transformation satisfies $\mathrm{Left}(\mathrm{ap}, \rho)$ if and only if the co-match satisfies ap [4]. Since every right application condition can be transformed into an equivalent left application condition, we will assume from now on that each rule contains only left application conditions. These rules are denoted by (ap, ρ).

Via the *track morphism* it is possible to track elements across a transformation [6].

Definition 3.16 (track morphism). *Consider the transformation* t *shown in figure 2. The* track morphism*,* $\mathrm{tr}_t : G \to H$*, of* t *is defined as*

$$\mathrm{tr}_t = \begin{cases} h(g^{-1}(e)) & \text{if } e \in g(D) \\ \text{undefined} & \text{otherwise.} \end{cases}$$

For example, given a transformation $t : G \Longrightarrow H$, the track morphism can be used to check whether a morphism $p : C \hookrightarrow G$ still exists in the derived graph H by checking

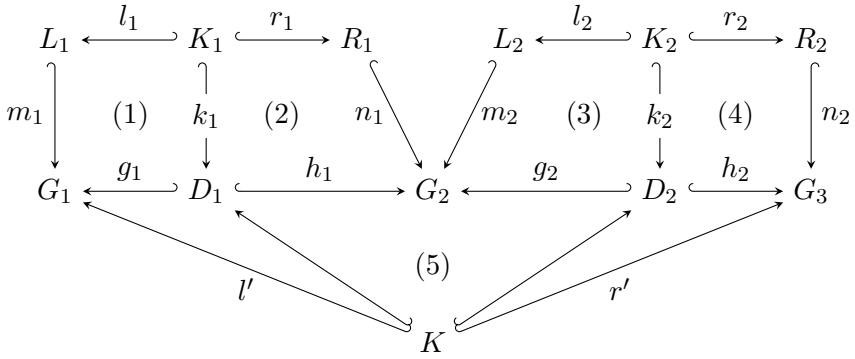

Figure 4: Pushout diagram of the transformation sequence $G_1 \Longrightarrow_{\rho_1,m_1} G_2 \Longrightarrow_{\rho_2,m_2} G_3$ using the rules $\rho_1 = L_1 \overset{l_1}{\hookleftarrow} K_1 \overset{r_1}{\hookrightarrow} R_1$ and $\rho_2 = L_2 \overset{l_2}{\hookleftarrow} K_2 \overset{r_2}{\hookrightarrow} R_2$.

whether $\mathrm{tr}_t \circ p$ is total, or whether a new morphism $q : C \hookrightarrow H$ has been inserted by checking that no morphism $p : C \hookrightarrow H$ with $q = \mathrm{tr}_t \circ p$ exists.

Given a sequence of transformations, the notion of *concurrent rules* can be used to describe this sequence by a rule. In other words, any sequence of transformations can be replaced by a transformation via its concurrent rule [2].

Definition 3.17 (concurrent rule). *Let the rules* $\rho_1 = L_1 \overset{l_1}{\hookleftarrow} K_1 \overset{r_1}{\hookrightarrow} R_1$, $\rho_2 = L_2 \overset{l_2}{\hookleftarrow} K_2 \overset{r_2}{\hookrightarrow} R_2$ *and a sequence of transformations*

$$G_1 \Longrightarrow_{\rho_1,m_1} G_2 \Longrightarrow_{\rho_2,m_2} G_3$$

be given. Then, $\rho' = G_1 \overset{l'}{\hookleftarrow} K \overset{r'}{\hookrightarrow} G_3$ *is called the* concurrent rule of the transformation sequence *if the square* (5) *in 4 is a pullback.*

A transformation sequence $G_1 \Longrightarrow_{\rho_1,m_1} G_2 \Longrightarrow_{\rho_2,m_2} G_3$ can be replaced by a transformation $G_1 \Longrightarrow_{\rho',\mathrm{id}} G_3$ via its concurrent rule. By inductive application, a concurrent rule for a transformation sequence $G_1 \Longrightarrow_{\rho_0} \ldots \Longrightarrow_{\rho_n} G_n$ of arbitrary finite length can be derived.

3.4 Concepts of Consistency

Now, we will introduce familiar consistency concepts. Namely, the notions of consistency preserving and guaranteeing transformations [4] and the notions of (direct) consistency sustaining and improving transformations [5]. Later, we will examine how these concepts differ from and share with our newly introduced concept of consistency.

Definition 3.18 (consistency preserving and guaranteeing transformations). *Let a constraint* c *and a transformation* $t : G \Longrightarrow H$ *be given. Then,* t *is called* c-preserving *if*

$$G \models c \implies H \models c.$$

The transformation t is called c-guaranteeing *if* $H \models c$.

While consistency preserving and guaranteeing transformations are defined for nested conditions, the finer-grained notions of (direct) consistency sustaining and improving transformations are defined only for conditions in ANF.

Definition 3.19 (**consistency sustaining and improving transformations**). *Let a constraint c in ANF and a transformation $t : G \Longrightarrow_\rho H$ be given. If c is existentially bound, t is called* consistency sustaining w.r.t. c *if it is c-preserving and t is called* consistency improving w.r.t. c *if it is c-guaranteeing. If $c = \forall(a_0 : \emptyset \hookrightarrow C_1, d)$ is universally bound, t is called* consistency sustaining w.r.t. c *if*

$$|\{p : C_1 \hookrightarrow G \mid p \not\models d\}| \geq |\{p : C_1 \hookrightarrow H \mid p \not\models d\}|$$

and t is called consistency improving w.r.t. c *if*

$$|\{p : C_1 \hookrightarrow G \mid p \not\models d\}| > |\{p : C_1 \hookrightarrow H \mid p \not\models d\}|.$$

The number of elements of these sets are called the number of violations in G *and* number of violations in H *respectively.*

The even stricter notion of direct sustaining and improving transformations prohibits the insertion of new violations altogether.

Definition 3.20 (**direct sustaining and improving transformations**). *Let a constraint c in ANF and a transformation $t : G \Longrightarrow_\rho H$ be given. If c is existentially bound, t is called* direct consistency sustaining w.r.t. c *if t is c-preserving and t is called* direct consistency improving w.r.t. c *if t is c-guaranteeing.*

If $c = \forall(a_0 : \emptyset \hookrightarrow C_1, d)$, t is called consistency sustaining w.r.t. c *if*

$$\begin{aligned}&\forall p : C_0 \hookrightarrow G((p \models d \wedge \mathrm{tr}_t \circ p \text{ is total}) \implies \mathrm{tr}_t \circ p \models d) \wedge \\ &\forall p' : C_0 \hookrightarrow H(\neg\exists q : C_0 \hookrightarrow G(p' = \mathrm{tr}_t \circ q) \implies p' \models d))\end{aligned}$$

and t is called consistency improving w.r.t. c *if additionally*

$$\begin{aligned}&\exists p : C_0 \in G(p \not\models d \wedge \mathrm{tr}_t \circ p \text{ is total} \wedge \mathrm{tr}_t \circ p \models c) \vee \\ &\exists p : C \hookrightarrow G(p \not\models d \wedge \mathrm{tr}_t \circ p \text{ is not total}).\end{aligned}$$

4 Consistency Increase and Maintainment

Definition 4.1 (**layer of a subcondition**). *Let a condition c in ANF and a subcondition d of c be given. The* layer of d *is defined as* $\mathrm{lay}(d) := \mathrm{nl}(c) - \mathrm{nl}(d)$.

Our approach is based on the idea that the consistency of a constraint increases layer by layer, and that even small improvements, such as inserting single elements of existentially bound graphs, should be detectable as increasing. To formalise this, we introduce the notions of *consistency increasing* and *consistency maintaining* transformations and rules, where consistency increasing indicates that the consistency has actually increased and consistency maintaining indicates that the consistency has not decreased.

4.1 Universally quantified ANF

The definition of consistency increase and maintainment requires that each condition begins with a universal quantifier. Otherwise, case discrimination is required. Therefore, we will only consider a subset of the set of conditions in ANF, namely the set of universally quantified conditions in ANF, called *universally quantified ANF* (UANF). Furthermore, we will show that these sets are expressively equivalent by showing that every condition in ANF can be transformed into an equivalent condition in UANF.

Definition 4.2 (**universally quantified alternating quantifier normal form**). *A conditions c in ANF is in* universally quantified ANF *(UANF) if it is universally bound.*

Note that, given a condition c in UANF, any subcondition of c at layer $0 \leq k \leq \mathrm{nl}(c)$ is universally bound if k is an even number and existentially bound if k is an odd number. Furthermore, a graph C_k of c is universally bound if k is an odd number and existentially bound if k is an even number. It is already known that an existentially bound condition c can be extended to the equivalent condition $\exists(a_0 : \emptyset \hookrightarrow C_0, d)$ [3]. We will use this to show that every condition in ANF has an equivalent condition in UANF.

Lemma 4.3. *Any condition in ANF can be transformed into an equivalent condition in UANF.*

Proof. Let a graph G and a constraint c in ANF be given. If c is universally bound, then c is already in UANF. If $c = \exists(a_0 : C_0 \hookrightarrow C_1, d)$, we show that c is equivalent to $c' := \forall(\mathrm{id}_{C_0} : C_0 \hookrightarrow C_0, c)$.

1. Let $p : C_0 \hookrightarrow G$ be a morphism such that $q \models c$. Then $p \models c'$, because p is the only morphism from C_0 to G with $p = p \circ \mathrm{id}_{C_0}$ and $p \models c$.
2. Let $p : C_0 \hookrightarrow G$ be a morphism with $p \models c'$, then all morphisms $q : C_0 \hookrightarrow G$ with $p = q \circ \mathrm{id}_{C_0}$ satisfy c. Since $p = p \circ \mathrm{id}_{C_0}$, it immediately follows that $p \models c$.

□

For the rest of this thesis, given a condition $c = \forall(a_0 : C_0 \hookrightarrow C_1, d)$ in UANF, we assume that no morphism in c, except a_0, is bijective, since it can be shown that every condition in ANF can be transformed into an equivalent condition in ANF that satisfies this property, by showing that $\exists(a_0 : C_0 \hookrightarrow C_0, \forall(a_1 : C_0 \hookrightarrow C_2, d)$ is equivalent to $\forall(a_1 \circ a_0 : C_0 \hookrightarrow C_2, d)$ and that $\forall(a_0 : C_0 \hookrightarrow C_0, \exists(a_1 : C_0 \hookrightarrow C_2, d)$ is equivalent to $\exists(a_1 \circ a_0 : C_0 \hookrightarrow C_2, d)$.

4.2 Conditions up to Layer

The goal of our approach is to increase the consistency of a constraint layer by layer, as we have already mentioned. To do this, we introduce a notion of partial consistency, called *satisfaction at layer*, which allows us to check whether a constraint is satisfied at a particular layer by checking whether the so-called *truncated condition* is satisfied at that layer.

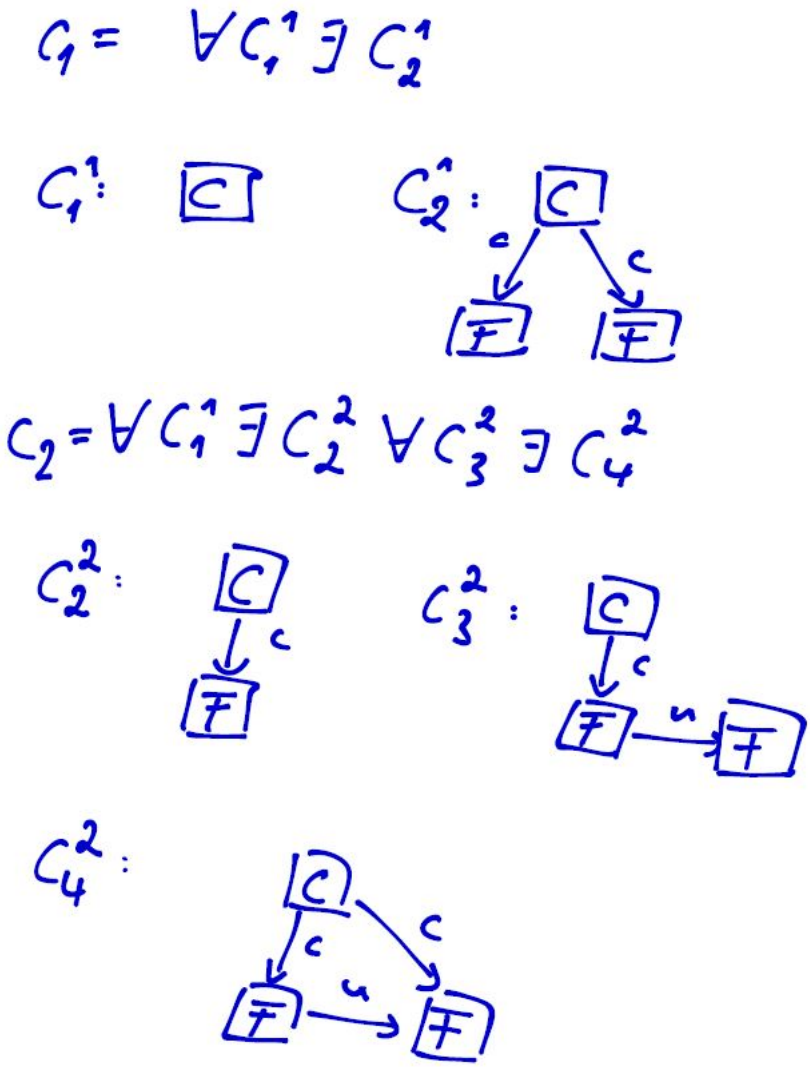

Figure 5: constraints

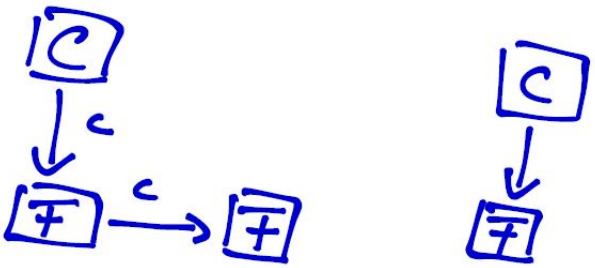

Figure 6: graph

Definition 4.4 (**subcondition at layer**). *Let a condition c in ANF be given. The* subcondition at layer $-1 \leq k \leq \mathrm{nl}(c)$, *denoted by* $\mathrm{sub}_k(c)$, *is the subcondition d of c with* $\mathrm{lay}(d) = k$ *if* $0 \leq k \leq \mathrm{nl}(c)$ *and* true *if* $k = -1$.

Example 4.1. *Consider the condition* $c = \forall(a_0 : C_0 \hookrightarrow C_1, \exists(a_1 : C_1 \hookrightarrow C_2, \forall(a_2 : C_2 \hookrightarrow C_3, \mathsf{false})))$. *Then,* $\mathrm{sub}_1(c) = \exists(a_1 : C_1 \hookrightarrow C_2, \forall(a_2 : C_2 \hookrightarrow C_3, \mathsf{false}))$.

Let us first introduce an operator which allows to replace a subcondition $\mathrm{sub}_k(c)$ by an arbitrary condition over C_k, called *replacement at layer*.

Definition 4.5 (**replacement at layer**). *Given a condition* $c = Q(a_0 : C_0 \hookrightarrow C_1, d)$, *with* $Q \in \{\forall, \exists\}$, *in ANF, and a condition e over C_k in ANF. The* replacement of layer k in c by e, *denoted by* $\mathrm{rep}_k(c, e)$, *is defined recursively as*

$$\mathrm{rep}_k(c, e) := \begin{cases} e & \text{if } k = 0 \\ Q(a_0 : C_0 \hookrightarrow C_1, \mathrm{rep}_{k-1}(d, e)) & \text{otherwise.} \end{cases}$$

Example 4.2. *Consider the conditions* $c := \forall(a_0 : C_0 \hookrightarrow C_1, \exists(a_1 : C_1 \hookrightarrow C_2, \mathsf{true}))$ *and* $d = \exists(a_1' : C_1 \hookrightarrow C_3, e)$. *The replacement of layer* 1 *in c by d is given by*

$$\mathrm{rep}_1(c, d) = \forall(a_0 : C_0 \hookrightarrow C_1, \exists(a_1' : C_1 \hookrightarrow C_3, e)).$$

We now define *truncated conditions* using the concept of replacement at layer. Intuitively, a condition is truncated at a particular layer by replacing the subcondition at that layer with true or false, depending on which quantifier the replaced subcondition is bound by.

Definition 4.6 (**truncated condition**). *Let a condition c in UANF be given. The* truncated condition of c at layer $-1 \leq k < \mathrm{nl}(c)$, *denoted by* $\mathrm{cut}_k(c)$, *is defined as*

$$\mathrm{cut}_k(c) := \begin{cases} \mathsf{true} & \text{if } k = -1 \\ \mathrm{rep}_{k+1}(c, \mathsf{true}) & \text{if } d \text{ is existentially bound, i.e. } k \text{ is odd} \\ \mathrm{rep}_{k+1}(c, \mathsf{false}) & \text{if } d \text{ is universally bound, i.e. } k \text{ is even.} \end{cases}$$

Example 4.3. *Consider constraint c_2 given in Figure 5. The truncated condition of c at layer* 1 *is given by* $\mathrm{cut}_1(c_2) = \forall(C_1^1, \exists(C_2^2, \mathsf{true}))$ *and the truncated condition of c at layer* 0 *is given by* $\mathrm{cut}_0(c_2) = \forall(C_1^1, \mathsf{false})$.

Note that the truncated condition of a condition c at layer $\mathrm{nl}(c) - 1$ is c itself. With these prerequisites we can now introduce *satisfaction at layer*, which allows us to check whether a condition is satisfied at a given layer. A morphism or graph satisfies a condition or constraint at that layer if it satisfies the truncated condition at that layer.

Definition 4.7 (**satisfaction at layer**). *Let a graph G and a condition c in UANF be given. A morphism* $p : C_0 \hookrightarrow G$ satisfies c at layer $-1 \leq k < \mathrm{nl}(c)$, *denoted by* $p \models_k c$, *if*

$$p \models \mathrm{cut}_k(c).$$

$p \models_k c$	$p \models_{j<k} c$		$p \models_{j>k} c$		$p \models c$
	j even	j odd	j even	j odd	
k even	?	✓	✓	✓	✓
k odd	?	✓	?	?	?

Table 1: Overview of the inferences made about satisfaction at layer, with "✓" indicating that $p \models_j$ and $p \models c$, respectively, if $p \models_k$, and "?" indicating that it cannot be inferred from $p \models_k c$ whether $p \models_j c$ or $p \not\models_j c$.

A graph G satisfies a constraint c at layer $-1 \leq k < \mathrm{nl}(c)$, denoted by $G \models_k c$, if $q : \emptyset \hookrightarrow G$ satisfies $\mathrm{cut}_k(c)$. The largest $-1 \leq k < \mathrm{nl}(c)$ such that $G \models_k c$ and there is no $k < j < \mathrm{nl}(c)$ with $G \models_j c$, called the largest satisfied layer*, is denoted by $\mathrm{k}_{\max}(c, G)$. When c and G are clear from the context, we use the abbreviation $\mathrm{k}_{\max}$.*

Note that given a graph G and a constraint c, $\mathrm{k}_{\max}(c, G)$ always exists, since $\mathrm{cut}_{-1}(c) = \mathsf{true}$ and every graph satisfies true. Moreover, if $p \models_{\mathrm{nl}(c)-1} c$, it immediately follows that $p \models c$.

Example 4.4. *Consider the graph G given in Figure 6 and the constraint c_2 given in Figure 5. This graph does not satisfy c_2 because the second occurrence of* `Class` *does not satisfy $\mathrm{sub}_1(c_2) = \exists(C_2^2, (\forall C_3^2, (\exists C_4^2, \mathsf{true})))$, but it does satisfy $\mathrm{cut}_1(c_2) = \forall(C_1^1, \exists(C_2^2, \mathsf{true}))$ and therefore*

$$G \models_1 c_2 \text{ and } \mathrm{k}_{\max} = 1.$$

Given a graph G, a condition c and a morphism $p : C_0 \hookrightarrow G$. Suppose that $p \models_k c$ with $0 \leq k < \mathrm{nl}(c)$. Then we can infer results for the satisfaction at other levels. If k is even, i.e. $\mathrm{sub}_j(c)$ is universally bound, we can conclude that $p \models_j c$ for all $k < j < \mathrm{nl}(c)$ and especially $p \models c$. It also follows that $p \models_j c$ for all odd $0 \leq j < k$, i.e. $\mathrm{sub}_j(c)$ is existentially bound. We present these results in the following lemmas, and an overview is given in Table 1.

We start by examining the consequences for the satisfaction at layer $\mathrm{nl}(c) > j > k$ if $p \models_k c$. Our first lemma shows that replacing the subcondition $\mathrm{sub}_{k+1}(c)$ by any condition over C_{k+1} leads to a condition that is satisfied by p if k is even.

Lemma 4.8. *Given a graph G, a condition c in UANF and a morphism $p : C_0 \hookrightarrow G$ with $p \models_k c$ and $-1 \leq k < \mathrm{nl}(c)$ even. Then, for any condition f over C_{k+1} it holds that*

$$p \models \mathrm{rep}_{k+1}(c, f).$$

Proof. We start by showing the statement for the smallest $-1 \leq j < \mathrm{nl}(c)$ such that $\mathrm{sub}_j(c)$ is universally bound and $p \models_j c$. After this, we can conclude that this statement holds for all $-1 \leq i < \mathrm{nl}(c)$ such that $\mathrm{sub}_i(c)$ is universally bound and $p \models_i c$.

Let $q : C_j \hookrightarrow G$ be a morphism such that $q \models \forall(a_j : C_j \hookrightarrow C_{j+1}, \mathsf{false})$. This morphism must exist, since j is the smallest even number with $p \models_j c$. Therefore, there does not exist a morphism $q' : C_{j+1} \hookrightarrow G$ with $q = q' \circ a_j$. Hence, for every condition f over

$p \models_k c$	$k_{max} < nl(c) - 1$		$k_{max} = nl(c) - 1$
	$k \leq k_{max}$	$k > k_{max}$	$k < k_{max}$
k even	✗	✗	?
k odd	✓	✗	✓

Table 2: Overview of the satisfaction of layer k with respect to k_{max}, where "✓" indicates that $p \models_k$, "✗" indicates that $p \not\models_k$ and "?" indicates that it cannot be concluded from $p \models_k c$ whether $p \models_j c$ or $p \not\models_j c$.

C_{j+1} a morphism $q' : C_{j+1} \hookrightarrow G$ with $q \not\models f$ and $q = q' \circ a_j$ cannot exist. It follows immediately that $q \models \forall(a_j : C_j \hookrightarrow C_{j+1}, f)$ and with that $p \models \text{rep}_{j+1}(c, f)$.

We can now conclude that for every even $j < k \leq nl(c)$, such that $p \models_k c$, and every condition d over C_{k+1} it holds that $p \models \text{rep}_{k+1}(c, d)$ because $\text{rep}_{k+1}(c, d) = \text{rep}_{j+1}(c, \text{sub}_{j+1}(\text{rep}_{k+1}(c, d)))$. □

As a direct consequence of the previous lemma, a morphism which satisfies the condition at layer k, where k is even, also satisfies the condition at layer j for all $j > k$.

Lemma 4.9. *Given a graph G, a morphism $p : C_0 \hookrightarrow G$ and a condition c in UANF. If $0 \leq k < nl(c)$ is even, i.e. $\text{sub}_k(c)$ is universally bound, then for all $k < j < nl(c)$ it holds that*

$$p \models_k c \implies p \models_j c.$$

Proof. Follows immediately by using Lemma 4.8 and setting f equal to $\text{sub}_{k+1}(\text{cut}_j(c))$. □

Since a morphism p satisfies a condition c in UANF if and only if p satisfies c at layer $nl(c) - 1$, we can conclude the following.

Corollary 4.10. *Given a graph G, a morphism $p : C_0 \hookrightarrow G$ and a condition c in UANF. If $0 \leq k < nl(c)$ is even, it holds that*

$$p \models_k c \implies p \models c.$$

Furthermore, this allows us to make statements about the satisfaction of other conditions. Given a graph G, a morphism $p : C_0 \hookrightarrow G$ and a condition c such that $p \models_k c$ for an even $-1 \leq k < nl(c)$. It follows that $p \models c$ and in particular $p \models c'$ for each condition c' with $\text{cut}_k(c) = \text{cut}_k(c')$.

Let us now examine the satisfaction at layer j with $-1 < j < k$. If j is odd, i.e. $\text{sub}_j(c)$ is existentially bound, we can conclude that $p \models_j c$ as shown in the next lemma. If j is even, i.e. $\text{sub}_j(c)$ is universally bound, we can only make statements that depend on k_{max}. If $k_{max} < nl(c) - 1$, then $p \not\models_j c$. Otherwise $p \models c$ and therefore $k_{max} = nl(c) - 1$ would immediately follow Corollary 4.10. If $k_{max} = nl(c) - 1$, we can say that there is at least one even $j \leq k_{max}$ with $p \models_j c$ if c ends with $\forall(C_{nl(c)}, \mathsf{false})$. An overview of these relations is given in Table 2.

Lemma 4.11. *Given a graph G, a morphism $p : C_0 \hookrightarrow G$ and a constraint c in UANF. Then for all odd $-1 \leq k \leq \mathrm{k_{max}}$, i.e. $\mathrm{sub}_k(c)$ is existentially bound, we have*

$$p \models_k c.$$

Proof. If there is an even $0 \leq j < \mathrm{k_{max}}$, i.e. $\mathrm{sub}_j(c)$ is universally bound, with $p \models_j c$, let j' be the smallest of these. With Lemma 4.8 follows that $p \models_\ell c$ for all $j' \leq \ell < \mathrm{nl}(c)$. Otherwise we set $j' = \mathrm{k_{max}}$.

Let $\ell < j'$, such that $\mathrm{sub}_\ell(c)$ is existentially bound and let $d = \mathrm{sub}_\ell(\mathrm{cut}_{j'}(c)) = \exists(a_\ell : C_\ell \hookrightarrow C_{\ell+1}, e)$ be the subcondition at layer ℓ of the condition up to layer j' of c. Since $\ell < j'$, there must be a morphism $q : C_\ell \hookrightarrow G$ with $q \models d$ and therefore there must be a morphism $q' : C_{\ell+1} \hookrightarrow G$ with $q = q' \circ a_\ell$ and $q' \models e$. It follows that $q \models \exists(a_\ell : C_\ell \hookrightarrow C_{\ell+1}, \mathsf{true})$ and thus $p \models_\ell c$. □

Example 4.5. *We will show counterexamples for all "?" in Table 1 and Table 2. Consider constraint $c_2 = \forall(C_1^1, \exists(C_2^2, \forall(C_3^2, \exists(C_4^2, \mathsf{true}))))$ given in Figure 5. We begin with Table 1.*

1. *If $k = 2$, then $C_3^2 \models_2 c_2$, $C_3^2 \not\models_0 c_2$ and $\emptyset \models_2 c_2$ and $\emptyset \models_0 c_2$.*
2. *If $k = 3$, then $C_4^2 \models_3 c_2$, $C_2^2 \not\models_0 c_2$ and $\emptyset \models_3 c_2$ and emptyset $\models_0 c_2$.*
3. *If $k = 1$, then $C_2^2 \models_2 c_2$, $C_2^2 \models_3 c_2$ and $C_3^2 \not\models_2 c_2$ and $C_3^2 \not\models_3 c_2$.*

For the "?" in Table 2, consider the graph C_2^2. It follows that $\mathrm{k_{max}} = 3 = \mathrm{nl}(c_2) - 1$, $C_2^2 \models_2 c_2$ and $C_2^2 \not\models_0 c_2$.

By satisfaction at layer, an increase of consistency can be detected in the following way: Let $t : G \Longrightarrow H$ be a transformation. If the largest satisfied layer in H is greater than the largest satisfied layer in G, i.e. $\mathrm{k_{max}}(c, G) < \mathrm{k_{max}}(c, H)$, we consider the transformation as consistency increasing. However, the notion of consistency increasing should also be able to detect the smallest changes made by a transformation that lead to an increase of consistency, namely the insertion of a single edges or nodes of an existentially bound graph. To remedy this problem, we introduce *intermediate conditions*, which are used to detect this type of increase by checking whether an intermediate condition not satisfied by G is satisfied by H. Obviously, a decrease of consistency can be detected in a similar way, by checking whether an intermediate condition satisfied by G is not satisfied by H. Intuitively, the last graph of a truncated condition c will be replaced by an intermediate graph of the penultimate graph and the last graph.

If c ends with an existentially bound condition, the constructed intermediate condition is weaker than c, in the sense that the satisfaction of c implies the satisfaction of the intermediate condition. Conversely, if c ends with a universally bound condition, the opposite holds: satisfying an intermediate condition implies satisfying c. This is why we have designed intermediate conditions so that they only replace graphs on existentially bounded layers.

Definition 4.12 (**intermediate condition**). *Let a condition c in UANF be given and let $0 \leq k < \mathrm{nl}(c)$ be odd, i.e. $\mathrm{sub}_k(c)$ is existentially bound. The* intermediate condition, *denoted by $\mathrm{IC}_k(c, C')$, of c at layer k with $C' \in \mathrm{IG}(C_k, C_{k+1})$ is defined as*

$$\mathrm{IC}_k(c, C') := \mathrm{rep}_k(c, \exists(a_k^r : C_k \hookrightarrow C', \mathit{true})).$$

Example 4.6. *Consider the constraint c_1 given in Figure 5. Since $C_2^2 \in \mathrm{IG}(C_1^1, C_2^1)$, we can construct an intermediate condition of c_1 at layer 1 with C_2^2 as $\mathrm{IC}_1(c_1, C_2^2) = \forall C_1^1 \exists C_2^2$. While c_1 checks whether each node of type* `Class` *is connected to at least two nodes of type* `Feature`*, the intermediate condition checks whether each node of type* `Class` *is connected to at least one node of type* `Feature` *which is trivially satisfied if c_1 is satisfied.*

Notice that $\mathrm{IC}_k(c, C_k)$ is equivalent to $\mathrm{cut}_{k-1}(c)$, because the condition $\forall(a_{k-1} : C_{k-1} \hookrightarrow C_k, \exists(a_k^r : C_k \hookrightarrow C_k, \mathsf{true}))$ is satisfied by every morphism $p : C_{k-1} \hookrightarrow G$.

4.3 Consistency Increasing and Maintaining Transformations and Rules

With the results above, we are now ready to define the notions of *consistency increasement* and *maintainment*, with increasement being a special case of maintainment. A transformation t is considered as consistency maintaining if the consistency is not decreased, whereas t is considered as consistency increasing if the consistency has been increased.

These notions are designed to only detect transformations that maintain (or increase) the consistency of the first two unsatisfied layer of a constraint c. That means, given a graph G and a constraint c, let $k = \mathrm{k_{max}} + 1$ and $\mathrm{sub}_k(c) := \forall(a_k : C_k \hookrightarrow C_{k+1}, \exists(a_{k+1} : C_{k+1} \hookrightarrow C_{k+2}, d))$. A transformation $t : G \Longrightarrow H$ is considered as consistency maintaining if $\mathrm{k_{max}}(c, G) \leq \mathrm{k_{max}}(c, H)$, i.e. the satisfaction up to layer is not decreased, and at least the same amount of increasing insertions or deletions have been performed than decreasing ones. An increasing deletion is the deletion of an occurrence of C_{k+1} that does not satisfy $\exists(a_{k+1} : C_{k+1} \hookrightarrow C_{k+2}, \mathsf{true})$, an increasing insertion is the insertion of elements of C_{k+2}, such that for at least one occurrence p of C_{k+1} it holds that $p \not\models \exists(a_{k+1}^r : C_{k+1} \hookrightarrow C', \mathsf{true})$ and $\mathrm{tr}_t \circ p \models \exists(a_{k+1}^r : C_{k+1} \hookrightarrow C', \mathsf{true})$ for a graph $C' \in \mathrm{IG}(C_{k+1}, C_{k+2})$. A decreasing insertion is the creation of an occurrence of C_{k+1} not satisfying $\exists(a_{k+1} : C_{k+1} \hookrightarrow C_{k+2}, \mathsf{true})$ and a decreasing deletion is the deletion of elements of C_{k+2} such that for an occurrence p of C_{k+1} with $p \models \exists(a_{k+1}^r : C_{k+1} \hookrightarrow C', \mathsf{true})$ it holds that $\mathrm{tr}_t \circ p \not\models \exists(a_{k+1}^r : C_{k+1} \hookrightarrow C', \mathsf{true})$ for a graph $C' \in \mathrm{IG}(C_{k+1}, C_{k+2})$. If $\mathrm{k_{max}}(c, G) < \mathrm{k_{max}}(c, H)$ or the number of increasing insertions and deletions is greater than the number of decreasing ones, t is considered as consistency increasing.

To evaluate this, we define the *number of violations*. Intuitively, for all occurrences p of C_{k+1} the number of graphs $C' \in \mathrm{IG}(C_{k+1}, C_{k+2})$ with $p \not\models \exists C'$ is add up and it can be determined whether more increasing or decreasing actions have been performed by a transformation.

Note, that the number of violations is defined for each layer of the constraint, but only for the first unsatisfied layer the sum is calculated as described above. For all layers

k with $k \leq \mathrm{k_{max}}$ it is set to 0 and for all layers k with $k > \mathrm{k_{max}}+1$ it is set to ∞. Through this, a transformation $t : G \Longrightarrow H$ that increases the satisfaction up to layer can easily detected since the number of violations in H at layer $c^G_{\mathrm{max}}+1$ will be set to 0.

Definition 4.13 (**number of violations**). *Let a graph G and a constraint c in UANF be given. Let $e = \mathrm{sub}_{\mathrm{k_{max}}+2}(c)$. The* number of violations $\mathrm{nvc}_j(G)$ at layer $0 \leq j < \mathrm{nl}(c)$ in G *is defined as:*

$$\mathrm{nvc}_j(G) := \begin{cases} 0 & \text{if } j < \mathrm{k_{max}}+1 \\ \sum_{C' \in \mathrm{IG}(C_{j+1}, C_{j+2})} |\{q \mid q : C_{j+1} \hookrightarrow G \wedge q \not\models \mathrm{IC}_0(e, C')\}| & \text{if } e \neq \mathit{false} \text{ and } j = \mathrm{k_{max}}+1 \\ |\{q \mid q : C_{j+1} \hookrightarrow G\}| & \text{if } e = \mathit{false} \text{ and } j = \mathrm{k_{max}}+1 \\ \infty & \text{if } j > \mathrm{k_{max}}+1 \end{cases}$$

Note that the second and third case of Definition 4.13 only apply if $G \not\models c$ and $\mathrm{sub}_{\mathrm{k_{max}}}(c)$ is existentially bound. Therefore e is also existentially bound or equal to false, if c ends with $\forall(a_{\mathrm{nl}(c)-1} : C_{\mathrm{nl}(c)-1} \hookrightarrow C_{\mathrm{nl}(c)}, \mathsf{false})$. Via the number of violations, we now define *consistency maintaining* and *increasing* transformations and rules, by checking whether the number of violations has not been increased, or in case of consistency increasing, has not been decreased for any layer of the constraint.

Definition 4.14 (**consistency maintaining and increasing transformations and rules**). *Let a graph G, a rule ρ and a constraint c in UANF be given. A transformation $t : G \Longrightarrow_{\rho,m} H$ is called* consistency maintaining w.r.t. *c, if*

$$\mathrm{nvc}_k(H) \leq \mathrm{nvc}_k(G)$$

for all $0 \leq k < \mathrm{nl}(c)$. The transformation t is called consistency increasing w.r.t. *c this inequality is strict. A rule ρ is called* consistency maintaining/increasing w.r.t *c, if all of its transformations are.*

Note that if $G \models c$ there does not exist a consistency increasing transformation $G \Longrightarrow H$ w.r.t c, since $\mathrm{nvc}_j(G) = 0$ for all $0 \leq j < \mathrm{nl}(c)$. Also, no plain rule ρ is consistency increasing w.r.t c, since a graph G satisfying c, such that a transformation $t : G \Longrightarrow_{\rho,m} H$ exists can always be constructed. Therefore, each consistency increasing rule has to be equipped with at least one application condition.

As mentioned above, a transformation should be detected as consistency increasing if it increases the satisfaction up to layer, which is shown by the following theorem.

Theorem 4.1. *Let a graph G, a rule ρ and a constraint c in UANF with $G \not\models c$ be given. A transformation $t : G \Longrightarrow_{\rho,m} H$ is consistency increasing w.r.t. c if*

$$\mathrm{k_{max}}(c, G) < \mathrm{k_{max}}(c, H)$$

.

Proof. No $\ell > \mathrm{k}_{\max}(c, G)$ with $G \models_\ell c$ exists. Hence, $\mathrm{nvc}_{\mathrm{k}_{\max}(c,G)+1}(G) > 0$ and $\mathrm{nvc}_{\mathrm{k}_{\max}(c,G)+1}(G) \neq \infty$. Since $\mathrm{k}_{\max}(c, H) > \mathrm{k}_{\max}(c, G)$, $\mathrm{nvc}_{\mathrm{k}_{\max}(c,G)+1}(H) = 0$ and it follows immediately that t is consistency increasing w.r.t. c. □

Since no consistency increasing transformation originating in consistent graphs exist, there do not exist infinite long sequences of consistency increasing transformations.

Theorem 4.2. *Let a constraint c in UANF be given. Every sequence of consistency increasing transformations w.r.t c is finite.*

Proof. Let G_0 be a graph and

$$G_0 \Longrightarrow_{\rho 0, m_0} G_1 \Longrightarrow_{\rho_1, m_1} G_2 \Longrightarrow_{\rho_3} \dots$$

be a sequence of consistency increasing transformations w.r.t c. We assume that $\mathrm{k}_{\max}(c, G_0) < \mathrm{nl}(c)$, otherwise $\mathrm{nvc}_j(G_0) = 0$ for all $0 \leq j < \mathrm{nl}(c)$ and no consistency increasing transformation $G_0 \Longrightarrow H$ w.r.t. c exists.

We show that after at most $j := \mathrm{nvc}_{\mathrm{k}_{\max}(c,G_0)+1}(G_0)$ transformations $G_j \models_{\mathrm{k}_{\max}(c,G_0)+2} c$. Note that j has to be finite, since G_0 contains only a finite number of occurrences of C_{j+1}. Since each transformation is consistency increasing w.r.t. c it holds that $\mathrm{nvc}_{\mathrm{k}_{\max}(c,G_i)+1}(G_{i+1}) \leq \mathrm{nvc}_{\mathrm{k}_{\max}(c,G_i)+1}(G_i) - 1$ after each transformation. Therefore, after at most j transformations, $\mathrm{nvc}_{\mathrm{k}_{\max}(c,G_0)+1}(G_j) \leq \mathrm{nvc}_{\mathrm{k}_{\max}(c,G_0)+1}(G_0) - j = 0$ and $G_j \models_{\mathrm{k}_{\max}(c,G_0)+2} c$. By iteratively applying this, it follows that after a finite number of transformations a graph G_k with $G_k \models c$ has to exist. Since no consistency increasing transformation $G_k \Longrightarrow_{\rho_k, m_k} G_{k+1}$ exists, the sequence has to be finite. □

4.4 Direct Consistency Maintaining and Increasing Transformations

Let a constraint c in UANF and graphs G with $G \not\models c$ and H with $H \models c$ be given. The transformation $t : G \Longrightarrow_{\rho, \mathrm{id}_G} H$ via the rule $\rho = G \xleftarrow{l} \emptyset \xhookrightarrow{r} H$ is a consistency increasing transformation. Therefore, the notions of consistency increase- and maintainment, a similar example for a consistency maintaining transformation can easily be constructed, does allow insertions or deletions that are unnecessary in order to increase or maintain consistency. That is, the deletion of occurrences of existentially bound graphs, the deletions of occurrences $p : C_k \hookrightarrow G$ of universally bound graphs C_k such that $p \models \exists(a_k : C_k \hookrightarrow C_{k+1}, \mathsf{true})$ or the insertion of occurrences of universally bound graphs and the insertion of occurrences p of intermediate graphs $C' \in \mathrm{IG}(C_{k-1}, C_k)$ with C_k being existentially bound, such that each occurrence q of C_{k-1} with $q = p \circ a^r_{k-1}$ already satisfied $\exists(a^r_{k-1} : C_{k-1} \hookrightarrow C_k, \mathsf{true})$.

Direct consistency increasing and *maintaining* transformations are more restricted, in the sense that these unnecessary deletions and insertions are leading to a transformation not being direct consistency increasing or maintaining, respectively. The presence of these unnecessary actions can be checked via second-order logic formulas. Additionally, it is secured that no new violations are introduced since these can always be considered as a unnecessary insertion or deletion. With that, the removal of one violation is sufficient

to state that the transformation is (direct) consistency increasing, which can also be checked via a second order logic formula. We start by introducing *direct consistency maintaining* transformations. Let $t : G \Longrightarrow H$ be a transformation.

Intuitively, formulas (4.1) and (4.2) check that no new violations of the first two unsatisfied layers are introduced, that means, every occurrence p of $C_{\mathrm{k}_{\max}(c,G)+2}$ that is not destroyed by t and satisfies $\exists C'$ still satisfies $\exists C'$ in H with the intermediate graph $C' \in \mathrm{IG}(C_{\mathrm{k}_{\max}(c,G)+2}, C_{\mathrm{k}_{\max}(c,G)+3})$. To check that p has not been destroyed by t, we use the notion of total morphisms, since it has been shown that $\mathrm{tr}_t \circ p$ is total if and only if p has not been destroyed by t [5]. Additionally, every newly inserted occurrence of $C_{\mathrm{k}_{\max}(c,G)+2}$ satisfies d with $d = \mathsf{false}$ if $\mathrm{sub}_{\mathrm{k}_{\max}(c,G)+2}(C) = \mathsf{false}$ and $d = \exists C_{\mathrm{k}_{\max}(c,G)+3}$ otherwise. This case discrimination arises as a consequence of the fact that conditions in UANF are also allows to end with a condition of the form $\forall(a : C_0 \hookrightarrow C_1, \mathsf{false})$. Formulas (4.3) and (4.4) ensure that the satisfaction at layer has not been decreased by checking that no occurrences of universally bound graphs C_j with $j < \mathrm{k}_{\max}$ have been inserted and that no occurrences of existentially bound graphs C_j with $j \leq \mathrm{k}_{\max}$ have been destroyed. Only in these cases, the satisfaction at layer can be decreased. Of course, this does not always lead to a decrease of satisfaction at layer but it can always be considered as an unnecessary insertion or deletion.

The third formula secures that at least one violation has been removed and the last formulas secures that the satisfaction up to layer is not decreased.

Definition 4.15 (**direct consistency maintaining transformations**). *Let G be a graph ρ a rule and c a constraint in UANF. If $G \models c$, a transformation $t : G \Longrightarrow_{\rho,m} H$ is called* direct consistency maintaining w.r.t. *c if $H \models c$. Otherwise, if $G \not\models c$, let $k = \mathrm{k}_{\max}(c, G) + 2$, $e = \mathrm{sub}_k(c)$. A transformation $t : G \Longrightarrow_{\rho,m} H$ is called* direct consistency maintaining w.r.t. *c if the following equations hold.*

1. *If $e \neq \mathsf{false}$, every occurrence of C_k in G that satisfies $\mathrm{IC}_0(e, C')$ for any $C' \in \mathrm{IG}(C_k, C_{k+1})$ still satisfies $\mathrm{IC}_0(e, C')$ in H.*

$$\forall p : C_k \hookrightarrow G\Big(\bigwedge_{C' \in \mathrm{IG}(C_k, C_{k+1})} \big(p \models \mathrm{IC}_0(e, C') \wedge \mathrm{tr}_t \circ p \text{ is total}\big) \implies \mathrm{tr}_t \circ p \models \mathrm{IC}_0(e, C')\Big) \tag{4.1}$$

   Otherwise, if $e = \mathsf{false}$, (4.1) is set equal to true.

2. *Let $d = \mathrm{IC}_0(e, C_{k+1})$ if $e \neq \mathsf{false}$ and $d = \mathsf{false}$ otherwise. Every newly inserted occurrence of C_k satisfies d.*

$$\forall p' : C_k \hookrightarrow H\big(\neg\exists p : C_k \hookrightarrow G(p' = \mathrm{tr}_t \circ p) \implies p' \models d\big) \tag{4.2}$$

3. *No occurrence of a universally bound graph C_j with $j \leq \mathrm{k}_{\max}$ gets inserted.*

$$\bigwedge_{\substack{i < \mathrm{k}_{\max} \\ C_i \text{ universally}}} \forall p : C_i \hookrightarrow H(\exists p' : C_i \hookrightarrow G(p = \mathrm{tr}_t \circ p')) \tag{4.3}$$

4. *No occurrence of an existentially bound graph C_j with $j \leq \mathrm{k_{max}}$ gets deleted.*

$$\bigwedge_{\substack{i \leq \mathrm{k_{max}} \\ C_i \text{ existentially}}} \forall p : C_i \hookrightarrow G(\mathrm{tr}_t \circ p \text{ is total}) \tag{4.4}$$

Before we continue with the definition of direct consistency increasing, let us first show that each direct consistency maintaining transformation is indeed consistency maintaining. For this, we start by showing that the satisfaction of formulas (4.3) and (4.4) guarantee that the satisfaction at layer has not been decreased.

Lemma 4.16. *Let a transformation $t : G \Longrightarrow H$ and a constraint c in UANF be given, such that (4.3) and (4.4) are satisfied. Then,*

$$H \models_{\mathrm{k_{max}}(c,G)} c.$$

Proof. Assume that $H \not\models_{\mathrm{k_{max}}(c,G)} c$. Then, either a new occurrence of an universally bound graph C_k with $k < \mathrm{k_{max}}(c, G)$ has been inserted or an occurrence of an existentially bound graph C_k with $k \leq \mathrm{k_{max}}(c, G)$ has been destroyed. Therefore, the following holds:

$$\exists p : C_i \hookrightarrow H(\neg \exists p' : C_i \hookrightarrow G(p = \mathrm{tr}_t \circ p')) \vee \exists p : C_j \hookrightarrow G(\mathrm{tr}_t \circ p \text{ is not total})$$

with $i, j \leq \mathrm{k_{max}}(c, G)$, i being even and j being odd, i.e. C_i is universally and C_j is existentially bound. It follows immediately that either (4.3) or (4.4) is not satisfied. This is a contradiction. □

With this, we will now show that direct consistency maintaining transformation is also consistency maintaining.

Theorem 4.3. *Let a graph G, a constraint c in UANF, a rule ρ and a direct consistency maintaining transformation $t : G \Longrightarrow_{\rho,m} H$ w.r.t. c be given. Then, t is also a consistency maintaining transformation.*

Proof. With Lemma 4.16 follows that $\mathrm{k_{max}}(c, G) \leq \mathrm{k_{max}}(c, H)$ and it also holds that $\mathrm{nvc}_{\mathrm{k_{max}}(c,G)+1}(H) \neq \infty$. It remains to show that $\mathrm{nvc}_k(H) \leq \mathrm{nvc}_k(H)$ for all $0 \leq k < \mathrm{nl}(c)$. In particular, we only need to show that $\mathrm{nvc}_{\mathrm{k_{max}}(c,G)+1}(H) \leq \mathrm{nvc}_{\mathrm{k_{max}}(c,G)+1}(G)$ since for all $0 \leq j < \mathrm{k_{max}}(c, G) + 1$ it holds that $\mathrm{nvc}_j(H) = \mathrm{nvc}_j(G) = 0$. Also, since $\mathrm{nvc}_j(G) = \infty$ for all $\mathrm{k_{max}}(c, G) + 1 < j < \mathrm{nl}(c)$ it follows that $\mathrm{nvc}_j(H) \leq \mathrm{nvc}_j(G)$.

Let $k = \mathrm{k_{max}}(c, G) + 1$ and $d = \mathrm{sub}_{k+1}(c)$. We show that (4.1) and (4.2) imply that $\mathrm{nvc}_k(H) \leq \mathrm{nvc}_k(G)$. Assume that $\mathrm{nvc}_k(H) > \mathrm{nvc}_k(G)$.

Therefore, a morphism $p : C_{k+1} \hookrightarrow H$ with $p \not\models \mathrm{IC}_0(d, C')$ for any $C' \in \mathrm{IG}(C_{k+1}, C_{k+2})$ exists, such that either 1 or 2 is satisfied. Note that this is only the case if $d \neq \mathsf{false}$. Otherwise, a morphism p satisfying 2 must exist.

1. There does exist a morphism $q' : C_k \hookrightarrow G$ with $q' \models \mathrm{IC}_0(d, C')$ and $p = \mathrm{tr}_t \circ q'$.
2. There does not exist a morphism $q : C_k \hookrightarrow G$ with $p = \mathrm{tr}_t \circ q$.

This is a contradiction, if 1 is satisfied, q' does not satisfy equation (4.1) and if (2) is satisfied q does not satisfy equation (4.2) since q only satisfies $\mathrm{IC}_0(d, C_{k+2})$ if q satisfies $\mathrm{IC}_0(d, C')$ for all $C' \in \mathrm{IG}(C_{k+1}, C_{k+2})$. It follows that

$$\mathrm{nvc}_k(H) \leq \mathrm{nvc}_k(G).$$

Therefore, t is a consistency maintaining transformation.

□

Let us now introduce the notion of *direct consistency increasing* transformations. Similar to the definition of consistency maintaining and increasing transformation, again this notion is based on the notion of direct consistency maintaining transformations, in the sense that a direct consistency increasing transformation is also a direct consistency maintaining one. Since a direct consistency maintaining transformation t does not insert any new violations it is sufficient that t deletes at least one violation to state that t is direct consistency increasing. For this, (4.5) checks that either an occurrence p of $C_{\mathrm{k_{max}}+2}$ has been deleted or $\mathrm{tr}_t \circ p \models \exists C'$ for an intermediate graph $C' \in \mathrm{IG}(C_{\mathrm{k_{max}}+2}, C_{\mathrm{k_{max}}+3})$ such that $p \not\models \exists C'$.

Definition 4.17 (direct consistency increasing). *Let a graph G, a rule ρ and a constraint c in UANF with $G \not\models c$ be given. Let $e = \mathrm{sub}_{kmax+2}(c)$ and*

A transformation $t : G \Longrightarrow_{\rho,m} H$ is called direct consistency increasing w.r.t. *c if it is direct consistency maintaining w.r.t. c and the following holds:*

$$\exists p : C_{\mathrm{k_{max}}+2} \hookrightarrow G(d) \tag{4.5}$$

with

$$d := \begin{cases} \mathrm{tr}_t \circ p \text{ is not total} & \text{if } e = \mathit{false} \\ \bigvee_{C' \in \mathrm{IG}(\mathrm{k_{max}}+2, \mathrm{k_{max}}+3)} \left(p \not\models \mathrm{IC}_0(e, C') \wedge (\mathrm{tr}_t \circ p \text{ is not total} \vee \mathrm{tr}_t \circ p \models \mathrm{IC}_0(e, C'))\right) & \text{otherwise.} \end{cases}$$

Note that (4.3) and (4.4) not only secure that the satisfaction up to layer does not decrease, as shown in Lemma 4.16, but also prevent further unnecessary insertions and deletions, since the insertion of a universally and the deletion of a existentially bound graph will never lead to an increase of consistency.

Now, we will show the already indicated relationship between direct consistency increasing and consistency increasing, namely that a direct consistency increasing transformation is also consistency increasing. Counterexamples, that the inversion of the implication does not hold can easily be constructed, showing that these notions are not identical but related.

Theorem 4.4. *Let a graph G, a constraint c in UANF with $G \not\models c$, a rule ρ and a direct consistency increasing transformation $t : G \Longrightarrow_{\rho,m} H$ w.r.t. c be given. Then, t is also a consistency increasing transformation.*

Proof. With Theorem 4.3 follows that t is a consistency maintaining transformation. Therefore, it is sufficient to show that $\mathrm{nvc}_{\mathrm{k}_{\max}(c,G)+1}(H) < \mathrm{nvc}_{\mathrm{k}_{\max}(c,G)+1}(G)$. Let $k = \mathrm{k}_{\max}(c,G)+2$ and $d = \mathrm{sub}_k(c)$ with $d \neq \mathsf{false}$.

Since (4.5) is satisfied, a morphism $p : C_k \hookrightarrow G$ with $p \not\models \mathrm{IC}_0(d, C')$, such that either $\mathrm{tr} \circ p$ is total and $\mathrm{tr}_t \circ p \models \mathrm{IC}_0(d, C')$ or $\mathrm{tr} \circ p$ is not total exists, for a graph $C' \in \mathrm{IG}(C_k, C_{k+1})$. In both cases the following holds:

$$p \in \{q \mid q : C_k \hookrightarrow G \wedge q \not\models \mathrm{IC}_0(d, C')\} \wedge$$
$$\mathrm{tr} \circ p \notin \{q \mid q : C_k \hookrightarrow H \wedge q \not\models \mathrm{IC}_0(d, C')\}$$

Since t is direct consistency maintaining it follows that

$$|\{q \mid q : C_k \hookrightarrow G \wedge q \not\models \mathrm{IC}_0(d, C)\}| \leq |\{q \mid q : C_k \hookrightarrow H \wedge q \not\models \mathrm{IC}_0(d, C)\}|.$$

for all $C \in \mathrm{IG}(C_k, C_{k+1})$. Additionally, this inequality is strictly satisfied if $C = C'$. Therefore, it follows that $\mathrm{nvc}_k(G) < \mathrm{nvc}_k(G)$ and t is a consistency increasing transformation.

If $d = \mathsf{false}$ it holds that

$$|\{q \mid q : C_k \hookrightarrow G\}| \leq |\{q \mid q : C_k \hookrightarrow H\}|$$

since t is a direct consistency maintaining transformation and it can be in shown a similar manner as above that (4.5) implies

$$|\{q \mid q : C_k \hookrightarrow G\}| < |\{q \mid q : C_k \hookrightarrow H\}|.$$

In total it follows that t is a consistency increasing transformation. □

Example 4.7. *Consider the transformations t_1 and t_2 given in Figure 7 and constraint c_1 given in Figure 5. Then, t_1 is a consistency maintaining transformation since the number of violations in both graphs is equal to 2. But, t_1 is not a direct consistency maintaining transformation since one occurrence of a node of type* `Class` *satisfying $\exists C_2^2$ in the first but not in the second graph of the transformation exists. Therefore (4.1) is not satisfied.*

The transformation t_2 is consistency increasing w.r.t. c_1 since the number of violations is equal to 4 in the first and equal to 2 in the second graph. But, t_2 is not a direct consistency increasing transformation since (4.1) is not satisfied.

4.5 Comparison with other concepts of Consistency

In this chapter, the notions of (direct) consistency increase- and maintainment are compared to the already known concepts of consistency guaranteeing, consistency preserving [4], (direct) consistency increasing and sustaining [5] in order to reveal relationships between them and ensure that (direct) consistency increase- and maintainment are indeed a new notions of consistency. These relations are displayed in Figure 8.

First, we compare (direct) consistency increase- and maintainment with the notions of consistency-guaranteeing and -preserving. We start by showing that consistency maintaining implies preserving but the backwards implication does not hold.

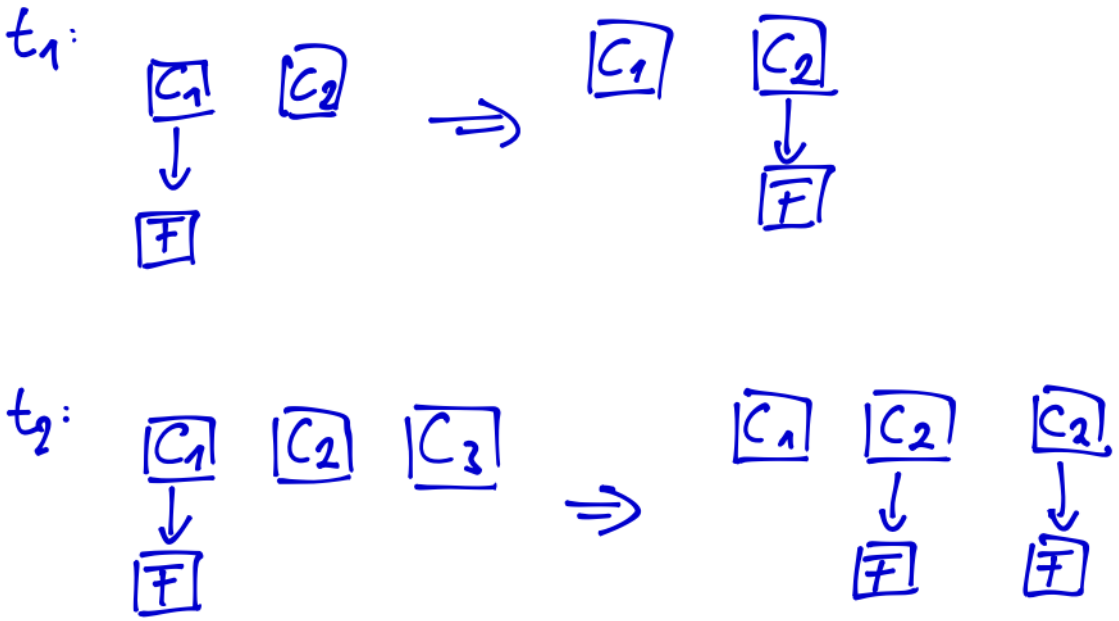

Figure 7: example

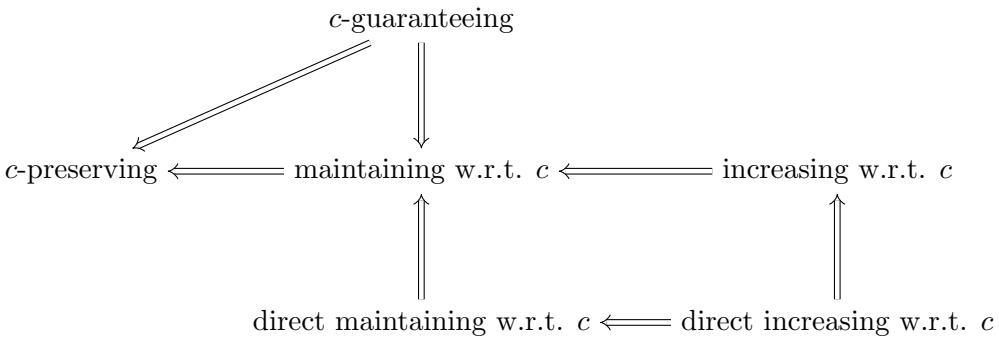

Figure 8: Relations of consistency notions.

Lemma 4.18. *Let a constraint c in UANF, graphs G and H and a transformation $t : G \Longrightarrow H$ be given. Then,*

$$\begin{aligned} &t \text{ is maintaining w.r.t. } c \implies t \text{ is } c\text{-preserving} && \text{and} \\ &t \text{ } c\text{-preserving} \not\Longrightarrow t \text{ is maintaining w.r.t. } c \end{aligned}$$

Proof. 1. Let t be a consistency maintaining transformation w.r.t. c. If $G \not\models c$, t is a c-preserving transformation. If $G \models c$, it holds that $\text{nvc}_j(G) = 0$ for all $0 \leq j < \text{nl}(c)$. Since t is consistency maintaining it follows that $\text{nvc}_j(H) = 0$ for all $0 \leq j < \text{nl}(c)$ and therefore $H \models c$. It follows that t is a c-preserving transformation.

2. Consider graphs C_1^1, C_2^2 and constraint c_1 given in Figure 5. Then, the transformation $t : C_2^2 \Longrightarrow C_1^1$ is c-preserving, since $C_2^2 \not\models c_1$, but not consistency maintaining w.r.t. c since $\text{nvc}_0(C_2^2) = 2$ and $\text{nvc}_0(C_1^1) = 5$.

□

Obviously, guaranteeing implies consistency maintaining, since this property is embedded in the definition of consistency maintainment. The inversion of this implication does not holds, since maintaining is a way stricter notion, in the sense, that the number of removed violations has to be greater or equal than the number of introduced violations. For guaranteeing transformations this is not the case, an arbitrary number of violations can be inserted, as long as the derived graph satisfies the constraint and therefore guaranteeing does not imply direct increasing, since a direct increasing transformations is not allowed to introduce any new violations.

Lemma 4.19. *Let a constraint c in UANF, graphs G and H and a transformation $t : G \Longrightarrow H$ be given. Then,*

$$\begin{aligned} &t \text{ is } c\text{-guaranteeing} \implies t \text{ is maintaining w.r.t } c && \text{and} \\ &t \text{ is } c\text{-guaranteeing} \not\Longrightarrow t \text{ is direct maintaining w.r.t } c && \text{and} \\ &t \text{ is maintaining w.r.t. } c \not\Longrightarrow t \text{ is } c\text{-guaranteeing} \end{aligned}$$

Proof. 1. Let t be a c-guaranteeing transformation, then $H \models c$. It holds that $\text{nvc}_j(H) = 0$ for all $0 \leq j < \text{nl}(c)$ and since the number of violations cannot be negative, t is a maintaining transformation w.r.t. c.

2. Let t be a c-guaranteeing transformation. Then, t is also a c-preserving transformation and since each direct maintaining transformation is also a maintaining one the statement follows directly with Lemma 4.18.

3. Consider the transformation $t : G \Longrightarrow H$ shown in Figure 9 and constraint c_1 shown in Figure 5. Then, t is a maintaining transformation w.r.t c, in particular, c is a consistency increasing transformation w.r.t. c since $\text{nvc}_0(G) = 10$ and $\text{nvc}_0(H) =$

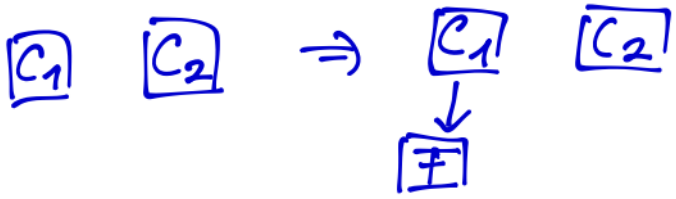

Figure 9: example

7. But, t is not c-guaranteeing since both occurrences of nodes of type `Class` do not satisfy $\exists C_2^1$.

□

Let $t : G \Longrightarrow H$ be a c-guaranteeing transformation. If $G \models c$, the transformation is, by definition, not consistency increasing. If $G \not\models c$, t is always also a consistency increasing transformation w.r.t. c.

Lemma 4.20. *Let graphs G, H, a constraint c with $G \not\models c$ and a transformation $t : G \Longrightarrow H$ be given. Then*

$$t \text{ is } c\text{-guaranteeing} \implies t \text{ is increasing w.r.t } c.$$

Proof. Let t be a c-guaranteeing transformation, then $H \models c$. Since $G \not\models c$, it holds that $\mathrm{nvc}_{\mathrm{k_{max}}(c,G)+1}(G) > 0$ and $\mathrm{nvc}_{\mathrm{k_{max}}(c,G)+1}(H) = 0$. Therefore, t is consistency increasing. □

The definition of consistency improvement only differs from guaranteeing if the corresponding constraint is universally bound and these notions are identical for existentially bound constraint. Therefore, with Lemmas 4.19 and 4.20, we can state the following.

Corollary 4.21. *Let an existentially bound constraint c in ANF and a transformation $t : G \Longrightarrow H$ be given. Then,*

$$t \text{ is consistency improving w.r.t } c \implies t \text{ is consistency maintaining w.r.t } c \text{ and}$$
$$t \text{ is consistency maintaining w.r.t } c \not\Longrightarrow t \text{ is consistency improving w.r.t } c.$$

If $G \not\models c$, we can also state that

$$t \text{ is consistency improving w.r.t } c \implies t \text{ is consistency increasing w.r.t } c$$

The notions of increae- and improvement are equivalent for universally bound constraints with nesting level 1. Note that, with corollary 4.21, this equivalence does not hold for existentially bound constraints with nesting level 1.

Lemma 4.22. *Let a universally bound constraint c in UANF with $\mathrm{nl}(c) = 1$, a graph G with $G \not\models c$ and a transformation $t : G \Longrightarrow H$ be given. Then,*

$$t \text{ is consistency improving w.r.t } c \iff t \text{ is consistency increasing w.r.t } c$$

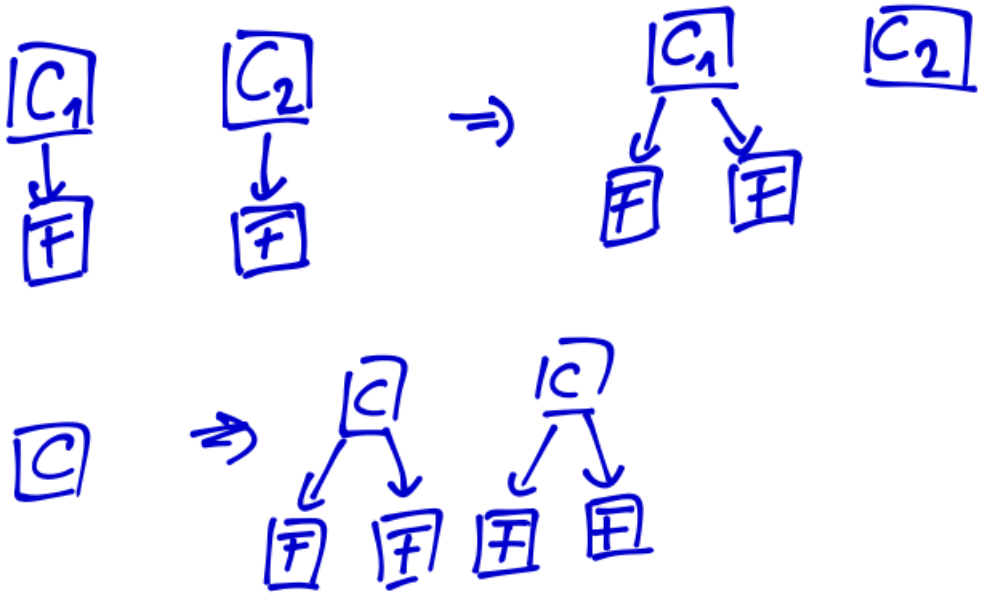

Figure 10: example

Proof. Let $c = \forall(a : \emptyset \hookrightarrow C, \mathsf{false})$. Since $\mathrm{sub}_1(c) = \mathsf{false}$, $\mathrm{nvc}_0(G)$ is the number of occurrences of C in G. This is exactly the definition of the number of violations for consistency improving transformations and the statement follows immediately. □

For universally bound constraints c with $\mathrm{nl}(c) \geq 2$, the notions of (direct) consistency increase- and maintainment are not related to (direct) consistency improve- and sustainment. By definition, (direct) consistency improvement implies (direct) consistency sustainment [5]. Therefore, it is sufficient to show that direct improvement does not imply maintainment and that direct increasement does not imply consistency sustainment.

Lemma 4.23. *Let a universally bound constraint c in UANF with $\mathrm{nl}(c) \geq 2$, a graph G with $G \not\models c$ and a transformation $t : G \Longrightarrow H$ be given. Then,*

t is direct consistency improving w.r.t c $\not\Rightarrow$ t is consistency maintaining w.r.t c and
t is direct increasing w.r.t c $\not\Rightarrow$ t is consistency sustaining w.r.t c

Proof. 1. Consider transformation t_1 given in Figure 10 and constraint c_1 given in Figure 5. The transformation t_1 is direct consistency improving but not maintaining since $\mathrm{nvc}_0(G) = 4$ and $\mathrm{nvc}_0(H) = 5$.

2. Consider the constraint $c = \forall(C_1^1, \exists(C_2^1, \forall(C_4^2, d)))$ with d being an existentially bound constraint in ANF with $d \neq \mathsf{false}$ composed of the graphs given in Figure 5 and transformation t_2 given in Figure 10. Then, t is direct consistency increasing, (4.1), (4.2), (4.3) and (4.4) are trivially satisfied and (4.5) is satisfied since

Figure 11: rules

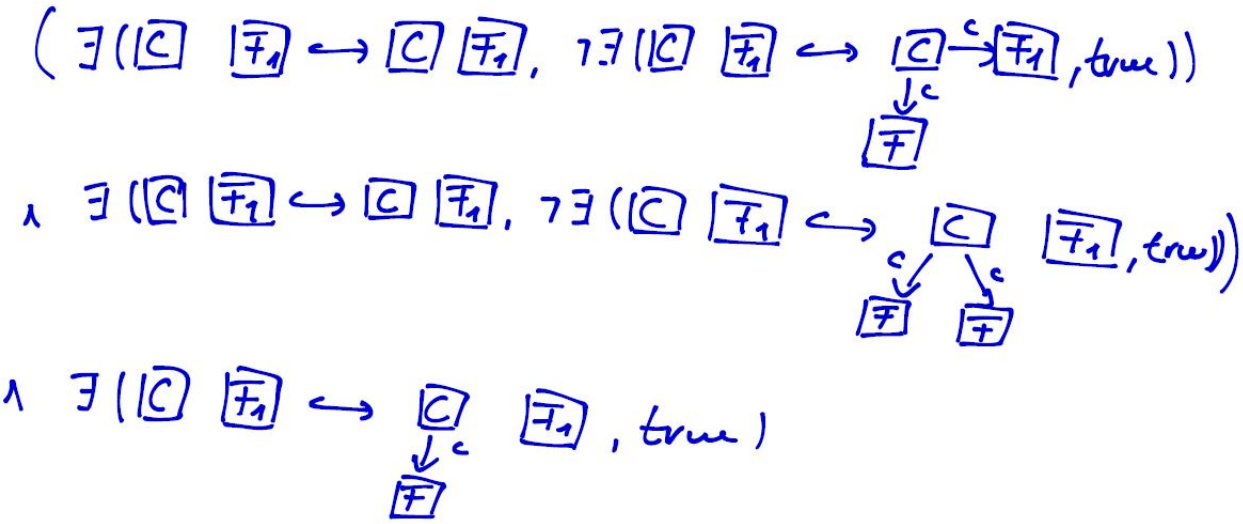

Figure 12: application condition

one occurrence of C_1^1 that did not satisfy $\exists C_2^1$ in G satisfies $\exists C_2^1$ in H, but not consistency sustaining since the number of occurrences of C_1^1 that not satisfying $\exists(C_2^1, \forall(C_4^2, d))$ in H is greater than the number of occurrences of C_1^1 in G not satisfying $\exists(C_2^1, \forall(C_4^2, d))$.

□

5 Application Conditions

To guarantee that each transformation t is (direct) consistency increasing or maintaining w.r.t to a constraint c, we present applications conditions ensuring this property. Given a constraint c, this application conditions are designed to only consider graph of c up to a certain layer. In particular, this is useful to reduce the restrictiveness of these

application conditions, since all graphs C_j of c with $j > \mathrm{k}_{\max}+2$ do not affect whether an transformation is considered as consistency maintaining or increasing. Additionally, in the case of consistency increasing application conditions, this design is necessary since it has to be ensured that violations at layer $\mathrm{k}_{\max}+1$ will be removed. Therefore, let us introduce a weaker notion of consistency increasing and maintaining rules, namely *consistency increasing and maintaining rules at layer*. As the name suggests, given a constraint c, a rule is consistency increasing or maintaining at layer $0 \leq k < \mathrm{nl}(c)$ if all of its applications at graphs G, with $\mathrm{k}_{\max} = k$, are consistency increasing or maintaining w.r.t. c, respectively.

Definition 5.1 (**(direct) consistency increasing and maintaining rule at layer**). *Let a constraint c be given. A rule ρ is called* (direct) consistency maintaining at layer $-1 \leq k < \mathrm{nl}(c)$ w.r.t. c *if all transformations $t : G \Longrightarrow_\rho H$, with $\mathrm{k}_{\max}(G, c) = k$, are (direct) consistency maintaining w.r.t. c. Additionally, ρ is called* (direct) consistency increasing at layer $0 \leq k < \mathrm{nl}(c)$ w.r.t. c *if all transformations $t : G \Longrightarrow_\rho H$, with $\mathrm{k}_{\max}(G, c) = k$, are (direct) consistency increasing w.r.t. c.*

Note, that a consistency maintaining rule at layer $\mathrm{nl}(c)-1$ w.r.t. c is also a consistency maintaining or increasing rule w.r.t. c. But, a consistency increasing rule at layer $\mathrm{nl}(c)-1$ must not necessarily be a consistency increasing rule w.r.t. c.

5.1 General Application Conditions

We start by introducing consistency maintaining application conditions, i.e. a rule equipped with this application condition will only be applicable if the corresponding transformation is consistency maintaining. In particular, we will show that this transformation is even direct consistency maintaining.

The maintaining application condition consists of the three parts $\mathrm{ned}_k(\rho')$, $\mathrm{nui}_k(\rho')$ and $\mathrm{nds}_k(\rho')$ that are connected via the boolean $\wedge-$operator with ρ' being a plain rule and $0 \leq k < \mathrm{nl}(c)$ for a given constraint c. As already discussed, the satisfaction at layer is decreased if and only if occurrences of existentially bound graphs C_j have been deleted or occurrences of universally bound graphs C_j have been inserted, with $0 \leq j \leq k$. To check that no existentially bound graph C_j, $0 \leq j \leq k$, will be deleted, we use the condition constructed by $\mathrm{ned}_k(\rho')$ that check that no overlap P of the left-hand-side of ρ' with C_j, such that the application of ρ' at P leads to a deletion of C_j, exists in the originating graph. To ensure that no universally bound graph C_j, $0 \leq j \leq k$, will be inserted, the condition constructed by $\mathrm{nui}_k(\rho')$ checks that no overlap P of the right-hand-side of ρ' and C_j, such that the application of ρ'^{-1} at P would destroy the occurrence of C_j, exists in the derived graph. Note, that a condition constructed in this way is a right application condition. Therefore, we use the shift over rule operator to construct an equivalent left application condition. To verify that the number of violations is not decreased, we use the condition constructed by $\mathrm{nds}_k(\rho')$, that checks, in the same manner as $\mathrm{nui}_k(\rho')$, that no occurrences of graphs $C' \in \mathrm{IG}(C_{k+2}, C_{k+3})$ will be deleted if $k < \mathrm{nl}(c) - 2$. Otherwise, this condition is set to true.

Definition 5.2 (**consistency maintaining application condition**). *Let a rule* $\rho = (\mathrm{ac}, \rho')$ *with* $\rho' = L \hookleftarrow K \hookrightarrow R$ *and a constraint* c *in UANF be given. The* maintaining application condition *of* c *for* ρ *at layer* $-1 \leq k < \mathrm{nl}(c)$ *is defined as* $\mathrm{ac} \wedge \mathrm{main}_k(\rho')$ *with*

$$\mathrm{main}_k(\rho') := \mathrm{ned}_k(\rho') \wedge \mathrm{nui}_k(\rho') \wedge \mathrm{nds}_k(\rho')$$

and

1. *Let* E *be the set of all existentially bound graphs graphs* C_j *with* $j \leq k+1$ *and* $\mathbf{P}_{C_j}$ *be the set all overlaps* P' *of* L *and* C_j *with* $i_L^{P'}(L \setminus K) \cap i_{C_j}^{P'}(C_j) \neq \emptyset$*:*

$$\mathrm{ned}_k(\rho') := \bigwedge_{C \in E} \bigwedge_{P' \in \mathbf{P}_{C_j}} \neg\exists(i_L^{P'} : L \hookrightarrow P', \mathsf{true})$$

2. *Let* U *be the set of all universally bound graphs* C_j *with* $j \leq k+2$*, and* $\mathbf{P}_{C_j}$ *be the set of all overlaps* P' *of* R *and* C_j *with* $i_R^{P'}(R \setminus K) \cap i_{C_j}^{P'}(C_j) \neq \emptyset$*:*

$$\mathrm{nui}_k(\rho') := \bigwedge_{C \in U} \bigwedge_{P' \in \mathbf{P}_{C_j}} \mathrm{Left}(\neg\exists(i_R^{P'} : R \hookrightarrow P', \mathsf{true}), \rho')$$

3. *If* $k > \mathrm{nl}(c) - 3$ *or* $\mathrm{sub}_k(c)$ *is universally bound,* $\mathrm{nds}_k(\rho') = \mathsf{true}$*. Otherwise, Let* E *be the set of all overlaps of* L *and* C' *with* $i_L^{P'}(L \setminus K) \cap i_{C'}^{P'}(C') \neq \emptyset$ *for all* $C' \in \mathrm{IG}(C_{k+2}, C_{k+3})$*:*

$$\mathrm{nds}_k(\rho') := \bigwedge_{P \in E} \neg\exists(i_L^{P} : L \hookrightarrow P', \mathsf{true})$$

Example 5.1.

Let us now show that each rule equipped with the according application condition is a consistency maintaining rule at layer.

Theorem 5.1. *Let a constraint* c *in UANF be given. Each rule* $\rho = (\mathrm{ac}', \rho')$ *with* $\mathrm{ac}' = \mathrm{ac} \wedge \mathrm{main}_k(\rho')$ *and* $-1 \leq k < \mathrm{nl}(c)$ *is a consistency maintaining rule at layer* k *w.r.t.* c*.*

Proof. Let a graph G, such that $\mathrm{k_{max}} = k$, and a transformation $t : G \Longrightarrow_\rho H$ be given. It is sufficient to show that t is a direct consistency maintaining transformation. If $k < \mathrm{nl}(c) - 1$, it follows that $G \not\models c$ and that k is odd. We show that t satisfies (4.1), (4.2), (4.3) and (4.4).

1. Assume that (4.1) does not hold. Then, $e = \mathrm{sub}_{k+2}(c) \neq \mathsf{false}$ and a morphism $p : C_{k+2} \hookrightarrow G$ exists, such that $p \models \mathrm{IC}_0(e, C')$, $\mathrm{tr}_t \circ p$ is total and $\mathrm{tr}_t \circ p \not\models \mathrm{IC}_0(e, C')$ for a graph $C' \in \mathrm{IG}(C_{k+2}, C_{k+3})$. Therefore, an overlap P of L and C' such that $i_{C_{k+2}}^P \models \exists(a_{k+2}^r : C_{k+2} \hookrightarrow C', \mathsf{true})$ with $i_L^P(L \setminus K) \cap i_{C'}^P(C' \setminus C_{k+2}) \neq \emptyset$ must exist and $m \models \exists(i_L^P : L \hookrightarrow P, \mathsf{true})$ holds. Thus, $\mathrm{nds}_k(\rho')$ and consequently also $\mathrm{main}_k(\rho')$ cannot be satisfied.

2. Assume that (4.2) does not hold and let

$$d := \begin{cases} \mathrm{IC}_0(\mathrm{sub}_{k+2}(c), C_{k+3}) & \text{if } \mathrm{sub}_{k+2}(c) \neq \mathsf{false} \\ \mathsf{false} & \text{otherwise.} \end{cases}$$

   Then, a morphism $p' : C_{k+2} \hookrightarrow H$ with $p' \not\models d$ exists, such that no morphism $p : C_{k+2} \hookrightarrow G$ with $\mathrm{tr}_t \circ p = p'$ exists. Therefore, an overlap P of R and C_{k+2} with $i^P_R(R \setminus K) \cap i^P_{C_{k+2}}(C_{k+2}) \neq \emptyset$ exists, such that $m \models \mathrm{Left}(\exists(i^P_R : R \hookrightarrow P, \mathsf{true}), \rho')$. Hence, m does not satisfy $\mathrm{nui}_k(\rho')$.

3. Assume that (4.3) does not hold. Then, a morphism $p : C_j \hookrightarrow H$ with C_j being universally bound and $j < k$ exists, such that no morphism $p' : C_j \hookrightarrow G$ with $\mathrm{tr}_t \circ p' = p$ exists. Then, an overlap P of C_j and R with $i^P_R(R \setminus K) \cap i^P_{C_j}(C_j) \neq \emptyset$ exists, such that $m \models \mathrm{Left}(\exists(i^P_R : R \hookrightarrow P, \mathsf{true}), \rho)$. Hence, $m \not\models \mathrm{nui}_k(\rho')$.

4. Assume that (4.4) does not hold. Then, a morphism $p : C_j \hookrightarrow G$ with C_j being existentially bound and $j \leq k$ exists, such that $\mathrm{tr}_t \circ p$ is not total. Then, an overlap P of C_j and L with $i^P_L(L \setminus K) \cap i^P_{C_j}(C_j) \neq \emptyset$ exists, such that $m \models \exists(i^P_L : L \hookrightarrow P, \mathsf{true})$. Hence, $m \not\models \mathrm{ned}_k(\rho')$.

If $k = \mathrm{nl}(c) - 1$, it follows immediately that $G \models c$. Therefore, we need to show that $H \models c$. It follows that $\mathrm{nds}_k(\rho') = \mathsf{true}$. Assume that $H \not\models c$. Therefore, either an occurrence $p : C_j \hookrightarrow H$ of an universally bound graph C_j exists such that no $q : C_j \hookrightarrow G$ with $p = \mathrm{tr}_t \circ q$ exists or an occurrence $p' : C_{j'} \hookrightarrow G$ of an existentially bound graph $C_{j'}$ exists, such that $\mathrm{tr}_t \circ p'$ is not total. If the first case applies, an overlap P of C_j and R with $i^P_{C_j}(C_j) \cap i^P_R(R \setminus K) \neq \emptyset$ exists, such that $m \models \mathrm{Left}(\neg\exists(i^P_R : R \hookrightarrow P, \mathsf{true}), \rho')$ and therefore $m \not\models \mathrm{nui}_k(\rho')$. If the second case applies, an overlap P of $C_{j'}$ and L with $i^P_{C_{j'}}(C_{j'}) \cap i^P_L(L \setminus K) \neq \emptyset$ exists, such that $m \models \neg\exists(i^P_L L \hookrightarrow P, \mathsf{true})$ and therefore $m \not\models \mathrm{ned}_k(\rho')$. By contradiction follows that $H \models c$.

In total follows that ρ is a consistency maintaining rule at layer k w.r.t. c. □

For an application condition, such that a rule equipped with it is consistency increasing at layer, we have additionally to ensure that at least one violation will be removed. To check this via an application condition, it is necessary (a) to check that an occurrence p of the universally bound graph $C_{\mathrm{k_{max}}+2}$ exists, such that p and the match m do overlap, i.e $p(C_{\mathrm{k_{max}}+2}) \cap m(L) \neq \emptyset$, and, if the sub-condition at layer $\mathrm{k_{max}}+2$ is not equal to false, (b) that p does not satisfy $c' := \exists C_{\mathrm{k_{max}}+3}$. Only in this case, it is possible that the transformation does remove a violation. To ensure that p does not satisfy c', the non-existence of all possible overlaps P of L and $C_{\mathrm{k_{max}}+3}$ such that $p \models c'$ has to be checked. For this, we introduce *extended overlaps*. Intuitively, given an overlap C of L and $C_{\mathrm{k_{max}}+2}$ with $p : C_{\mathrm{k_{max}}+2} \hookrightarrow C$, the overlap is extended with elements of $C_{\mathrm{k_{max}}+3}$ such that $p \models C_{\mathrm{k_{max}}+3}$.

Definition 5.3 (**extended overlaps**). *Let graphs C_0, C_1, G and morphisms $i^G_{C_0} : C_0 \hookrightarrow G$ and $i^{C_1}_{C_0} : C_0 \hookrightarrow C_1$ be given. The set of* extended overlaps of G with $i^G_{C_0}$ and $i^{C_1}_{C_0}$, *denoted by* $\mathrm{eol}(G, i^G_{C_0}, i^{C_1}_{C_0})$ *is defined as:*

$$\mathrm{eol}(G, i^G_{C_0}, i^{C_1}_{C_0}) := \{P \in \mathrm{ol}(G, C_1) \mid i^P_G \circ i^G_{C_0} \models \exists(i^{C_1}_{C_0} : C_0 \hookrightarrow C_1, \mathsf{true})\}$$

Via the notion of extended overlaps we are now able to check that a violation exists, to decide whether a transformation is able to remove a violation at all. It remains to check whether such a violation will be removed.

In the definition below, $\mathrm{exv}(\cdot,\cdot)$ and $\mathrm{remv}(\cdot,\cdot)$ ensure that a violation will be removed, with $\mathrm{exv}(\cdot,\cdot)$ ensuring that a violation is present and $\mathrm{remv}(\cdot,\cdot)$ ensuring that this violation will be removed. Note, that the construction of these is divided in two cases. Firstly, either $k \leq \mathrm{nl}(c) - 3$ and secondly, $k = \mathrm{nl}(c) - 2$ and the constraint ends with a condition of the form $\forall(a : C_0 \hookrightarrow C_1, \mathsf{false})$.

For $\mathrm{exv}(\cdot,\cdot)$, the first case will be checked via extended overlaps as already described above. In the second case, it is sufficient to check whether an occurrence p of $C_{\mathrm{nl}(c)}$ with $m(L) \cap p(C_{\mathrm{nl}(c)}) \neq \emptyset$ exists.

For $\mathrm{remv}(\cdot,\cdot)$, in the first case, a violation can be removed by either deleting an occurrence p of C_{k+2} or inserting elements of C_{k+3}, such that $p \not\models \exists C'$ and $\mathrm{tr}_t \circ p \models \exists C'$ for a graph $C' \in \mathrm{IG}(C_k k + 2, C_{k+3})$. In the second case, a violation can only be removed by deleting an occurrence p of C_1. This will only occur if $m(L \setminus K) \cap p(C_1) \neq \emptyset$.

Definition 5.4 (**consistency increasing application condition**). *Let a rule $\rho = (\mathrm{ac}, \rho'$ with $\rho' = L \hookleftarrow K \hookrightarrow R$ and a constraint c in UANF be given. Let $0 \leq k < \mathrm{nl}(c)$ be even, i.e. $\mathrm{sub}_k(c)$ is universally bound, and $C \in \mathrm{IG}(C_{k+1}, C_{k+2})$ if $\mathrm{sub}_{k+1}(c) \neq \mathsf{false}$ and $C = C_{k+1}$ otherwise. The* increasing application condition of c for ρ at layer k with C *is defined as*

$$\mathrm{incr}_k(C, \rho) := \mathrm{ac} \wedge \mathrm{main}_{k-1}(\rho) \wedge \Big(\bigvee_{P \in \mathrm{ol}(L, C_{k+1})} \mathrm{exv}(P, C) \wedge \mathrm{remv}(P, C) \Big) \tag{5.1}$$

with

1. *Let $a^r : C_{k+1} \hookrightarrow C$ be the restricted morphism of a_{k+1} and i^P_L and i^Q_P the inclusions of L in P and P in Q, respectively:*

$$\mathrm{exv}(P, C') := \begin{cases} \exists(i^P_L : L \hookrightarrow P, \mathsf{true}) & \text{if } \mathrm{sub}_{k+1}(c) = \mathsf{false} \\ \exists(i^P_L : L \hookrightarrow P, \bigwedge_{Q \in \mathrm{eol}(P, i^P_{C_{k+1}}, a^r)} \neg\exists(i^Q_P : P \hookrightarrow Q, \mathsf{true})) & \text{otherwise} \end{cases}$$

2. *If $i^P_L(L \setminus K) \cap i^P_{C_{k+1}}(C_{k+1}) \neq \emptyset$, we set*

$$\mathrm{remv}(P, C') := \mathsf{true}$$

Otherwise, let P' be the graph derived by the transformation $P \Longrightarrow_{\rho,m} P'$. Then, P' is an overlap of R and C_{k+1}. If this transformation does not exist, we set $\mathrm{remv}(P, C') := \mathsf{false}$. Let $a^r : C_{k+1} \hookrightarrow C$ be the restricted morphism of a_{k+1}, then

$$\mathrm{remv}(P, C') := \begin{cases} \mathit{false} & \mathit{if}\ \mathrm{sub}_{k+2}(c) = \mathit{false} \\ \bigvee_{Q \in \mathrm{eol}(P', i^{P'}_{C_{k+1}}, a^r)} \mathrm{Left}(\forall(i^Q_R : R \hookrightarrow P', \exists(i^Q_{P'} : P' \hookrightarrow Q, \mathit{true})), \rho) & \mathit{otherwise.} \end{cases}$$

Note, that, in case that no occurrence of C_{k+1} not satisfying $\exists C_{k+2}$ will be removed, $\mathrm{incr}_k(C', \rho)$ for any $C' \in \mathrm{IG}(C_{k+1}, C_{k+2})$ will only be evaluated with true if an occurrence p of C_{k+1} with $p \not\models \exists(a^r_{k+1} : C_{k+1} \hookrightarrow C', \mathsf{true})$ and $\mathrm{tr}_t \circ p \models \exists(a^r_{k+1} : C_{k+1} \hookrightarrow C', \mathsf{true})$ exists. For any smaller improvements, i.e a similar improvement for a sub-graph $C'' \in \mathrm{IG}(C_{k+1}, C_{k+2})$ of C', $\mathrm{incr}_k(C', \rho)$ would be evaluated with false. For any bigger improvements, i.e the same improvement for a super-graph $C'' \in \mathrm{IG}(C_{k+1}, C_{k+2})$ of C', $\mathrm{incr}_k(C', \rho)$ would also be evaluated with false, if $p \models \exists(a^r_{k+1} : C_{k+1} \hookrightarrow C', \mathsf{true})$. In both cases, the application condition would prohibit the transformation, even if it would be consistency increasing. To resolve this problem, multiple application conditions could be combined by

$$\bigvee_{C' \in \mathrm{IG}(C_{k+1}, C_{k+2})} \mathrm{incr}_k(C', \rho).$$

This application condition will be evaluated with true if the cases described above do appear, with the drawback that this leads to a huge condition, even if duplicate conditions are removed. At least all duplicates of main() can be removed, since they are identical for each $\mathrm{incr}_k(C', \rho)$ and only need to be constructed once.

In general, these application conditions are a trade-off between conditions-size and restrictiveness. They are very restrictive, since they do not allow any deletions of occurrences of existentially bound and insertions of universally bound graphs. For example, any of these application conditions with the rule `moveFeature` and constraint c_1 will be equivalent to false; the maintaining part of the condition will always be evaluated with false since `moveFeature` does remove elements of the existentially bound graph C^1_2. A change of the conditions constructed by main() such that it is checked whether two nodes of the type `Feature` are connected to a node `Class` will yield application conditions that are satisfiable with `moveFeature`, but for a similar rule moving two nodes of type `Feature`, this newly constructed nds() would still be evaluated with false. Therefore, this only leads to a slight decrease of restrictiveness.

The conditions constructed by ned() and nui() could be changed in a similar fashion. For ned() and the universally bound graph C_j, by checking whether there does exist an additional occurrence p of C_{j+1} such that $p \models \mathrm{sub}_{j+2}(\mathrm{cut}_{\mathrm{k_{max}}}(c))$ and for nui(), by checking whether an introduced occurrence p of C_j does satisfy $\mathrm{sub}_{j+1}(\mathrm{cut}_{\mathrm{k_{max}}}(c))$. The construction of these is similar to the construction of consistency guaranteeing application conditions as introduced by Habel and Pennemann [4], which is known to construct huge application conditions. Also, they do get more and more restrictive for increasing k, since the number of conditions constructed by ned() and nui() also increases.

Example 5.2. *Consider the rule* `assignFeature` *of figure 11 and constraint* c_1 *of figure 5. The application condition of* c_1 *at layer* 1 *with* C^1_2 *for* `assignFeature` *constructed*

by definition 5.4 is shown in figure 12. The first two rows are conditions constructed by $\mathrm{exv}(\cdot,\cdot)$ *and the third row is the condition constructed by* $\mathrm{remv}(\cdot,\cdot)$*. Note that* ned(), nui() *and nwo*() *did not construct any conditions since* `assignFeature` *does not create elements of* C_1^1 *and does not delete elements of* C_2^1*.*

Additionally, this application condition is also a consistency improving application condition w.r.t c_2*.*

Let us now show that the construction above generates consistency increasing application conditions.

Theorem 5.2. *Let a constraint* c *in UANF be given. Each rule* $\rho = (\mathrm{ac}', \rho')$ *with* $\mathrm{ac}' = \mathrm{ac} \wedge \mathrm{incr}_k(C, \rho)$ *with* $0 \leq k < \mathrm{nl}(c)$ *being even and* $C = Ck + 1$ *if* $\mathrm{sub}_{k+1}(c) = \mathsf{false}$ *and* $C \in \mathrm{IG}(C_{k+1}, C_{k+2})$ *otherwise, Then,* ρ *is a consistency increasing rule at layer* $k-1$ *w.r.t.* c*.*

Proof. Let a transformation $t : G \Longrightarrow_\rho H$ with $\mathrm{k}_{\max}(c, G) = k - 1$ be given. Since $\mathrm{main}_{k-1}(\rho)$ is contained in $\mathrm{incr}_k(C, \rho)$, t is a consistency maintaining transformation at layer $k-1$ with Theorem 5.1. It remains to show that t satisfies (4.5).

Let $\mathrm{sub}_k(c) = \forall(a_k : C_k \hookrightarrow C_{k+1}, e)$ be the sub-condition of c at layer k.

1. If $e = \mathsf{false}$, assume that (4.5) does not hold, then, no morphism $p : C_{k+1} \hookrightarrow G$ exists, such that $\mathrm{tr}_t \circ p$ is not total. Therefore, no overlap P of L and C_{k+1} with $i_L^P(L \setminus K) \cap i_{C_{k+1}}^P(C_{k+1}) \neq \emptyset$ exists. It follows that $\mathrm{remv}(P, C') = \mathsf{false}$ and $m \not\models \mathrm{incr}_k(C, \rho)$

2. Otherwise, let $P \in \mathrm{ol}(L, C_{k+1})$. We show that $m \models \mathrm{exv}(P, C) \wedge \mathrm{remv}(P, C)$ implies that (4.5) holds. If $m \models \mathrm{exv}(P, C)$, there does exist a morphism $p : P \hookrightarrow G$ with $m = p \circ i_L^P$ and $p \models \neg\exists(i_P^Q : P \hookrightarrow Q, \mathsf{true})$ for all $Q \in \mathrm{eol}(P, a^r)$. Therefore, $q \not\models \exists(a_{k+1} : C_{k+1} \hookrightarrow C_{k+2}, \mathsf{true})$ with $q = p \circ i_{C_{k+1}}^P$.

   If $i_L^P(L \setminus K) \cap i_{C_{k+1}}^P(C_{k+1}) \neq \emptyset$, $\mathrm{tr}_t \circ q$ is not total, since all occurrence i of C_{k+1} with $i = p' \circ i_{C_{k+1}}^P$, $p' : P \hookrightarrow G$ and $m = p' \circ i_L^P$ in G will be removed by t. Otherwise, $\mathrm{tr}_t \circ q$ is total and there does exist a morphism $p : P' \hookrightarrow H$ such that $\mathrm{tr}_t \circ q = p \circ i_{C_{k+1}}^{P'}$. Since $m \models \mathrm{remv}(P, C)$, all morphisms $p \circ i_{C_{k+1}}^{P'}$ with $n = p \circ i_R^Q$ satisfy $\mathrm{IC}_0(e, C)$. Therefore, $\mathrm{tr}_t \circ q \models \mathrm{IC}_0(e, C)$. It follows that (4.5) is satisfied.

Therefore, ρ is a consistency increasing rule at layer $k-1$. □

5.2 Basic Increasing and Maintaining Rules

The construction of the application conditions introduced in the previous section, aswell as the constructed application conditions itself, are very complex. For a certain set of rules, which we will call *basic consistency increasing rules*, for which, we are able to construct application conditions with the same property, namely that a rule equipped with this application condition is consistency increasing at layer, in a less complex manner. The main idea is, that these rules are (a) not able to delete occurrences of existentially

or insert occurrences of universally bound graphs and (b) are able to increase consistency at a certain layer. That means, given a basic increasing rule ρ, there does exist a transformation $t : G \Longrightarrow_\rho H$ such that t is a consistency increasing transformation w.r.t to a constraint c.

To ensure that (a) is met, we firstly introduce *basic consistency maintaining rules up to layer*, which means that, given a constraint, a plain rule is not able to delete existentially bound and insert universally bound graphs up to a certain layer. For the definition, we use the notion of consistency maintaining rules up to layer. The set of basic consistency maintaining rules up to layer is indeed a subset of the set of consistency maintaining rules up to layer since these rules have to be plain rules, whereas consistency maintaining rules up to layer are allowed to be equipped with application conditions, i.e. $\mathrm{main}(\cdot, \cdot)$.

Definition 5.5 (**basic consistency maintaining rule up to layer**). *Let a plain rule ρ and a constraint c in UANF be given. Then, ρ is called* basic consistency maintaining rule up to layer $-1 \leq k < \mathrm{nl}(c)$ w.r.t. *c if ρ is a direct consistency maintaining rule at layer k.*

Example 5.3. *Consider the rules* `moveFeature` *and* `assignFeature` *given in Figure 11 and constraint c_1 given in Figure 5. The rule* `assignFeature` *is a basic consistency maintaining rule up to layer* 1 *w.r.t. c, whereas* `moveFeature` *is not a basic consistency maintaining rule.*

Since infinite many transformations via a plain rule ρ exist, it is impossible to check whether ρ is a basic consistency maintaining rule up to layer based on the definition above. Therefore, we present a characterisation of basic consistency maintaining rules, which only relies on ρ itself. First, let us assume that ρ is able to create occurrences of a universally bound graph C_j. This is possible if (a) ρ does insert an edge of $C_j \setminus C_{j-1}$ which connects already existing nodes of C_j, since it is unclear whether this would create a new occurrence of C_j, or (b) if ρ does insert a node v of C_j such that all edges $e \in E_{C_j}$ with $\mathrm{src}(e) = v$ or $\mathrm{tar}(e) = v$ are also inserted. If at least one of these edges is not inserted, it is guaranteed that this insertion does not create an occurrence of C_j since v is only connected to edges that have also been inserted by ρ.

Second, let us assume that ρ is able to delete occurrences of a existentially bound graph C_j. This is possible if (a) ρ does delete an edge of $C_j \setminus C_{j-1}$ or (b) ρ deletes a node v of $C_j \setminus C_{j-1}$ such that all edges $e \in E_{C_j}$ with $\mathrm{src}(e) = v$ or $\mathrm{tar}(e) = v$ are also deleted. If ρ deletes a node c of $C_j \setminus C_{j-1}$ without all its connected edges in C_j there does not exist a transformation via ρ such that an occurrence of C_j is deleted by deleting this node since the dangling edge condition would not be satisfied. A rule satisfying this properties does not decrease the satisfaction at layer. Additionally, we have to ensure that the number of violations will not be increased. For this, we have to check that ρ is not able to insert occurrences of the corresponding universally bound, as described above, and that ρ is not able to remove occurrence of any intermediate graph. This is only ensured, if ρ does not remove any elements of C' if the set of intermediate graphs is given by $\mathrm{IG}(C, C')$.

To check that a plain rule satisfies these properties, we make use of the dangling edge condition, or in other words, we check that the rule is not applicable at certain overlaps of L and an existentially bound graph or that the inverse rule is not applicable at certain overlaps of R and an universally bound graph.

Lemma 5.6. *Let a plain rule $\rho = L \overset{l}{\hookleftarrow} K \overset{r}{\hookrightarrow} R$ and a constraint c in EANF be given. Then, ρ is a basic consistency maintaining rule up to layer $-1 \leq k < \mathrm{nl}(c)$ w.r.t c if 1 and 2 apply for all k and 3 applies if $k \leq \mathrm{nl}(c) - 3$ and $\mathrm{sub}_k(c)$ is existentially bound, i.e. k is odd.*

1. *Let E be the set of all existentially bound graphs C_j with $0 \leq j \leq k+1$. For each graph $C \in E$ and each overlap $P \in \mathrm{ol}(L, C)$ with $i_L^P(L \setminus K) \cap i_C^P(C) \neq \emptyset$, the transformation*
$$t : P \Longrightarrow_{\rho, i_L^P} H$$
does not exist.

2. *Let U be the set of all universally bound graphs C_j with $0 \leq j \leq k+2$. For each graph $C \in U$ and each overlap $P \in \mathrm{ol}(L, C)$ with $i_R^P(R \setminus K) \cap i_C^P(C) \neq \emptyset$ the transformation*
$$t : P \Longrightarrow_{\rho^{-1}, i_R^P} H$$
does not exist.

3. *For all graphs $P \in \mathrm{ol}(L, C_{k+3})$ it holds that*
$$i_L^P(L \setminus K) \cap i_{C_{k+3}}^P(C_{k+3} \setminus C_{k+1}) = \emptyset$$

Proof. Let $\rho = L \overset{l}{\hookleftarrow} K \overset{r}{\hookrightarrow} R$ be a rule that satisfies the characterisations listed in Lemma 5.6 with $-1 \leq k < \mathrm{nl}(c)$. Let us assume that ρ is not a direct consistency maintaining rule up to layer k w.r.t. c. Then, there exists a transformation $t : G \Longrightarrow_{\rho, m} H$ with $\mathrm{k_{max}}(c, G) = k$ such that t is not direct consistency maintaining w.r.t. c. Therefore, either (4.1), (4.2), (4.3) or (4.4) is not satisfied.

1. If (4.1) is not satisfied, then $k < \mathrm{nl}(c) - 2$, let $j = \mathrm{k_{max}}(c, G) + 2$, there does exist an occurrence $p : C_j \hookrightarrow G$ such that $p \models \mathrm{IC}_0(\mathrm{sub}_j(c), C)$ and $\mathrm{tr}_t \circ p \models \mathrm{IC}_0(\mathrm{sub}_j(c), C)$ with $C \in \mathrm{IG}(C_j, C_{j+1})$. Since $i_L^P(L \setminus K) \cap i_{C_{k+3}}^P(C_{k+3} \setminus C_{k+1}) = \emptyset$ for all $P \in \mathrm{ol}(L, C_{k+3})$ this case can to apply since ρ does not delete any elements of $C_{j+1} \setminus C_j$.

2. If (4.2) is not satisfied, there does exist an occurrence $p : C_j \hookrightarrow H$ such that no morphism $q : C_j \hookrightarrow G$ with $p = \mathrm{tr}_t \circ q$ exists and and $p \not\models \mathsf{false}$ if $\mathrm{sub}_j(c) = \mathsf{false}$ and $p \not\models \mathrm{IC}_0(\mathrm{sub}_j(c), C_j + 1)$ otherwise. Since ρ satisfies 2 G must have dangling edges and therefore, G is not a graph.

3. If (4.3) is not satisfied, there does exist an occurrence $p : C_j \hookrightarrow H$ of an universally bound graph with $j \leq \mathrm{k_{max}}(c, G)$ such that no morphism $q : C_j \hookrightarrow G$ with $\mathrm{tr}_t \circ q = p$ exists. Again, since ρ satisfies 2 G must have dangling edges and therefore, G is not a graph.

4. If (4.4) is not satisfied, there does exist an occurrence $p : C_j \hookrightarrow H$ of an existentially bound graph with $j \leq \mathrm{k}_{\max}(c, G)$ such that $\mathrm{tr}_t \circ p$ is not total. Since ρ satisfied 1 the dangling edge condition would not bee satisfied and H would contain dangling edges.

In total follows that ρ is a direct basic consistency maintaining rule up to layer k. □

Now, we are ready to introduce *basic increasing rules at layer k* with k being odd. The set of basic increasing rules is a subset of the set of maintaining rules at layer k which ensures that the satisfaction at layer as well as the number of violation will not be decreased. Additionally, the left-hand side of these rule do contain an occurrence p of the universally bound graph C_{k+2}, such that this occurrence either will be removed, i.e. elements of $C_{k+2} \setminus C_{k+1}$ will be deleted, or an intermediate graph $C \in \mathrm{IG}(C_{k+2}, C_{k+3})$ will be inserted. Of course, this second case only occurs if $k < \mathrm{nl}(c) - 3$ with c being the respective constraint. This property yields the advantage that application conditions for basic increasing rules are less complex and smaller, since it can be exactly determined how this rule removes a violation and therefore, no overlaps have to be considered.

This, on first sight seems like a restriction of the set of basic increasing rules but the context of each rule ρ that does satisfy all properties of a basic increasing rule excluding that C_{k+2} is a sub-graph of the left-hand side can be expanded such that ρ is a basic increasing rule and the semantic of ρ is not increased. Later on, a method to derive this rules will be presented.

Basic increasing rules at layer k are called *deleting basic increasing rules* if p will be removed and *inserting basic increasing rules* if $\mathrm{sub}_k(c)$ an intermediate graph will be inserted. For our repairing process, we will introduce the restriction that deleting basic increasing rules are only allowed to delete edges but no nodes of C_{k+2} since otherwise it is not possible, given a rule set and a constraint to decide whether this rules set is able to repair an arbitrary graph based only on deleting basic increasing rules. For example, consider a rule that deletes a node of C_{k+2}. Then, it is unknown whether this node is connected to nodes not belonging to C_{k+2} and it is unclear whether all occurrence of C_{k+2} could be destroyed by ρ since the dangling edge condition might be unsatisfied.

Definition 5.7 (**basic increasing rule**). *Let a constraint c in UANF and a direct consistency maintaining rule $\rho = (\mathrm{ac}, L \overset{l}{\hookleftarrow} K \overset{r}{\hookrightarrow} R)$ up to layer $-1 \leq k < \mathrm{nl}(v) - 1$, with k odd, be given. Then, ρ is called* basic increasing w.r.t c at layer k *if a morphism $p : C_{k+2} \hookrightarrow L$, called the* increasing morphism*, exists such that either 1 or 2 applies.*

1. *$r \circ l^{-1} \circ p$ is not total. Then, ρ is called a* deleting basic increasing rule.

2. *If $k < \mathrm{nl}(c) - 2$, there does exist an intermediate graph $C \in \mathrm{IG}(C_{k+2}, C_{k+3})$ such that $p \not\models \exists(a^r_{k+2} : C_{k+2} \hookrightarrow C, \mathsf{true})$, $r \circ l^{-1} \circ p$ is total and $r \circ l^{-1} \circ p \models \exists(a^r_{k+2} : C_{k+2} \hookrightarrow C, \mathsf{true})$. Then, ρ is called a* inserting basic increasing rule with C.

Example 5.4. *Consider the rule* `assignFeature` *given in Figure 11 and constraint c_1 given in Figure 5. Then, assign Feature is a inserting basic rule with $C^2_2 \in \mathrm{IG}(C^1_1, C^1_2)$*

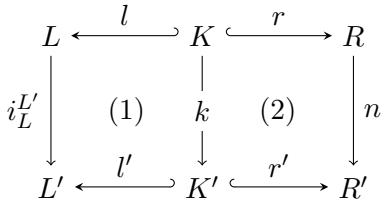

Figure 13: Pushout diagram for the construction of basic increasing rules.

w.r.t. c_1 *but not a inserting basic rule with respect to the constraint* $\forall(C_2^2, \exists C_2^1)$ *since the left-hand side of* `assignFeature` *does not contain an occurrence of* C_2^2.

Again, 5.7 relies on every transformation of a rule ρ. Therefore, we present an alternative method to determine whether ρ satisfies 5.7 or not by checking that ρ does not delete any edges or nodes of $C_{k+1} \setminus C_k$.

As mentioned above, given a consistency maintaining rule ρ we can derive basic increasing rules that are only applicable if at a match and graph if ρ is applicable.

Definition 5.8 (derived rules). *Let a constraint* c *in UANF and an rule* $\rho = (\mathrm{ac}, L \overset{l}{\hookleftarrow} K \overset{r}{\hookrightarrow} R)$ *be given. The set of* derived rules of ρ *at layer* $0 \leq k \leq \mathrm{nl}(c) - 1$ *contains rules characterized in the following way: Let*

$$\mathbf{G} := \begin{cases} \mathrm{IG}(C_k, C_{k+1}) & \text{if } \mathrm{sub}_k(c) \text{ is existentially bound} \\ \{C_k\} & \text{otherwise} \end{cases}$$

For each $P \in \mathbf{G}$ *and* $L' \in \mathrm{ol}(L, P)$*: If the diagram shown in Figure 13 is a transformation, i.e. (1) and (2) are pushouts, and for the characterisations of Definition 5.7 holds that*

$$\rho \text{ satisfies } 1 \implies L' \overset{l'}{\hookleftarrow} K' \overset{r'}{\hookrightarrow} R' \text{ satisfies } 1 \wedge$$
$$\rho \text{ satisfies } 2 \implies L' \overset{l'}{\hookleftarrow} K' \overset{r'}{\hookrightarrow} R' \text{ satisfies } 2$$

the rule

$$\rho' = (\mathrm{Shift}(\mathrm{ac}, i_L^{L'}), L' \overset{l'}{\hookleftarrow} K' \overset{r'}{\hookrightarrow} R')$$

is a derived rule of ρ *at layer* k.

Example 5.5. *Consider the rule* `assignFeature` *given in Figure 11 and constraint* c_1 *given in Figure 5. The set of derived rules of* ρ *at layer* 1 *is given in Figure 14.*

Obviously, a rule ρ' contained in the set of derived rules of a rule ρ is only applicable at a match m' if ρ is applicable at match m with $m = m' \circ i$ with i being the inclusion of the left-hand side of ρ into the left-hand side of ρ'. Therefore, given a rules set $\mathcal{R}$, the extension of $\mathcal{R}$ by the set of all derived rules for each rule of $\mathcal{R}$ does not extend

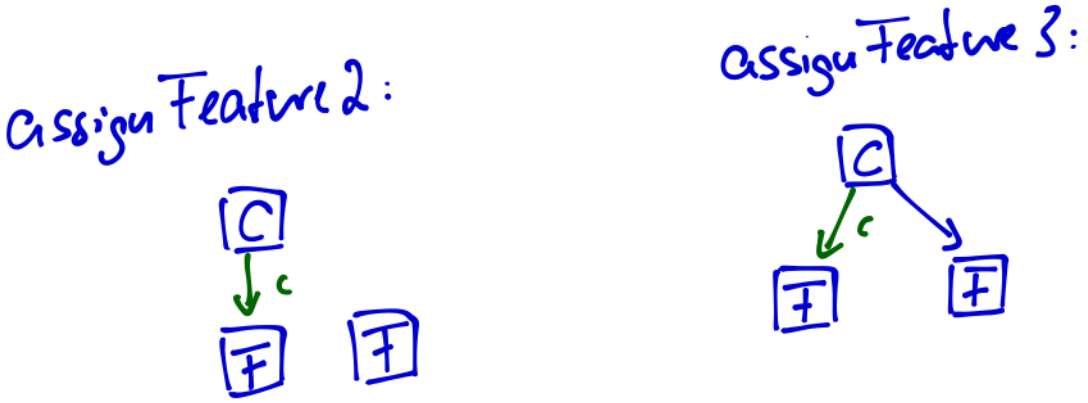

Figure 14: Derived rules of `assignFeature` and c_1.

the expressiveness of $\mathcal{R}$. The main idea of the concept of derived rules is the extension of a given rule set by as many basic increasing rules as possible without extending the expressiveness of this set.

Lemma 5.9. *Let a constraint c in UANF, and a rule $\rho = (\mathrm{ac}, L \xhookleftarrow{l} K \xhookrightarrow{r} R)$ be given, such that ρ is a maintaining rule up to layer $-1 \leq k < \mathrm{nl}(c) - 1$, with k odd, and satisfies 1 and 2 of Definition 5.7. Then, each rule contained in the set of derived rules of ρ at layer k is a basic increasing rule.*

Proof. Let $\rho' = (\mathrm{ac}', L' \xhookleftarrow{l'} K' \xhookrightarrow{r'} R')$ be one of those derived rules. Since ρ' deletes an inserts exactly the same elements as ρ and $m' \models \mathrm{ac}' \iff m' \circ i_L^{L'} \models$, ρ' is a consistency maintaining rule up to layer k and satisfies 1 and 2 of Definition 5.7. Since a morphism $i : C_k \hookrightarrow L$ exists, ρ' is a basic increasing rule at layer k. □

In transformations via a rule ρ, such that the match intersects with an occurrence of an universally bound graph C_k, ρ can be replaced by a derived rule of ρ at layer k.

Lemma 5.10. *Let a constraint c in UANF and a rule $\rho = (\mathrm{ac}, L \xhookleftarrow{l} K \xhookrightarrow{r} R)$ be given. Then, for each transformation*

$$t : G \Longrightarrow_{\rho,m} H$$

such that an occurrence $p : C_k \hookrightarrow G$ of a universally bound graph C_k with $p(C_k) \cap m(L) \neq \emptyset$ exists, there does exist a transformation

$$t' : G \Longrightarrow_{\rho',m'} H$$

with ρ' being a derived rule of ρ at layer k.

Proof. Since $p(C_k) \cap m(L) \neq \emptyset$ there does exist an overlap $P \in \text{ol}(C_k, L)$ such that a morphism $q : \hookrightarrow G$ with $m = q \circ i_L^P$ and $p = q \circ i_{C_k}^P$ exists. Since t exists, there does exist a derived rule $\rho' = (\text{ac}', L' \overset{l'}{\hookleftarrow} K' \overset{r'}{\hookrightarrow} R)$ with $L' = P$. We set $m' = p$, since $m = m' \circ i_L L' \models \text{ac}$ it follows that $m' = \text{ac}'$. Since ρ does removes and inserts the same elements as ρ, there does exist a transformation $t' : G \Longrightarrow_{\rho', m'} H$. □

Via this, we are able to replace consistency increasing transformations via a rule ρ that is consistency maintaining up to layer k and satisfies satisfies 1 and 2 of Definition 5.7 by a derived rule of ρ at layer k, that is, a basic increasing rule at layer k.

5.3 Application Conditions for Basic Rules

Let us now introduce the application conditions for basic increasing rules. Since these rules are maintaining rules up to a certain layer k, given a basic increasing rule ρ, it is sufficient to check whether $m \circ i \not\models \exists C_{k+1}$ with is ρ is a deleting rule and do check whether $m \circ i \not\models \exists C$ if ρ is a inserting rule with C with i being the increasing morphism of ρ.

Definition 5.11 (**application conditions for basic increasing rules**). *Let a constraint c in UANF and a basic increasing rule $\rho = (\text{ac}, L \overset{l}{\hookleftarrow} K \overset{r}{\hookrightarrow} R)$ w.r.t c at layer $-1 \leq k < \text{nl}(c) - 1$, with k odd, be given. The basic application condition of ρ w.r.t. c at layer $-1 \leq j < \text{nl}(c)$ is given by*

$$\text{ac}' = \text{ac} \wedge \text{basic}_j(\rho)$$

with

$$\text{basic}_j(\rho) := \begin{cases} \bigwedge_{P \in \text{eol}(L, a^r_{k+2}, i)} \neg\exists(i_L^P : L \hookrightarrow P, \mathit{true}) & \text{if } j = k \text{ and } k < \text{nl}(c) - 2 \\ \mathit{true} & \text{if } k = \text{nl}(c) - 2 \\ \mathit{false} & \text{otherwise} \end{cases}$$

and $a^r_{k+2} = a_{k+2}$, if ρ is a deleting rule, $a^r_{k+2} : C_{k+2} \hookrightarrow C$ if ρ is an inserting rule with C and i being the increasing morphism of ρ.

This application conditions are way easier to construct and smaller than the ones constructed by Definition 5.4. Note, that in case of a inserting basic rule ρ that inserts an intermediate graph C, the application condition only checks whether the increasing morphism does not satisfy $\exists C$. But, an application of this rule could also lead to a consistency increasing transformation w.r.t. c if the increasing morphism satisfied $\exists C$, if another intermediate graph C' will be inserted. To check this, conditions similar to the ones constructed via Definition 5.4 need to be constructed. On first sight, this seams like a restriction, but via the notion of derived rules we are able to dissolve this restriction, since the set of derived rules of ρ will contain a inserting basic increasing rule with C', such that this rule, equipped with the according basic application condition can be used to perform this consistency increasing transformation. For example, consider

the rule `assignFeature`, there does exist a consistency increasing transformation $t : C_2^2 \Longrightarrow_{\texttt{assignFeature},m} C_2^1$ such that $m \not\models \text{basic}_1(\texttt{assignFeature})$, but there does also exist a transformation $t : C_2^2 \Longrightarrow_{\texttt{assignFeature3},m'} C_2^1$ with $m' \models \text{basic}_1(\texttt{assignFeature3})$.

Let us now show that basic increasing rules equipped with the application condition constructed by Definition 5.11 are direct consistency increasing rules at layer.

Theorem 5.3. *Let a constraint c in UANF and a basic increasing rule $\rho = (\text{ac}, L \overset{l}{\hookleftarrow} K \overset{r}{\hookrightarrow} R)$ w.r.t c at layer k be given. Then, $\rho' = (\text{ac} \wedge \text{basic}_k(\rho), L \overset{l}{\hookleftarrow} K \overset{r}{\hookrightarrow} R)$ is a direct consistency increasing rule at layer k.*

Proof. Let G be a graph with $\text{k}_{\max} = k$. We show that each transformation $t : G \Longrightarrow_{\rho',m} H$ is a direct consistency increasing transformation. Since, ρ is a direct basic increasing rule at layer k, ρ' is also a consistency maintaining transformation at layer k and t satisfied (4.1), (4.2), (4.3) and (4.4). Therefore, we only need to show that t satisfies (4.5).

1. If ρ is a deleting rule, $r \circ l^{-1} \circ i$ is not total, with i being the increasing morphism of ρ. If $k = \text{nl}(c) - 2$, this transformation is satisfied (4.5) since one occurrence of C_{k+2} has been destroyed. If $k < \text{nl}(c) - 2$, since $m \models \text{basic}_k(\rho)$ the morphism $m \circ i$ does not satisfy $\exists C_{k+3}$. Since this occurrence will be destroyed, t satisfies (4.5).

2. If ρ is a inserting rule with $C \in \text{IG}(C_{k+2}, C_{k+3})$, the morphism $m \circ i$ does not satisfy $\exists C$ since $m \models \text{basic}_k(\rho)$. But it hods that $\text{tr}_t \circ m \circ i \models \exists C$ and therefore t satisfies (4.5).

In total follows that ρ' is a direct consistency increasing rule at layer k. □

Example 5.6. *Again, consider the rule* `assignFeature`*, its derived rule* `assignFeatur3` *and c_1. The application condition for these rules at layer k is given in Figure 15.*

6 Rule-based Graph Repair

In the following we present our rule-based graph repair approach. First, we propose an graph repair process for one constraint in UANF and second, a repair process for a set of constraints in UANF, both based on a given set of rules $\mathcal{R}$. Additionally, we need to make further assumptions for these constraints and constraint sets, namely, that they are *circular conflict free* to guarantee that our approach terminates.

6.1 Conflicts within Conditions

During a repair process, the insertion of elements of $C_j \setminus C_{j-1}$, with C_j being a existentially bound graph of a given constraint c in UANF, could also insert new occurrence of universally bound graphs C_i of c. This insertion is not problematic if $i > \text{k}_{\max}$, but if $i \leq \text{k}_{\max}$ this could either lead to the insertion of new violations or a decrease of

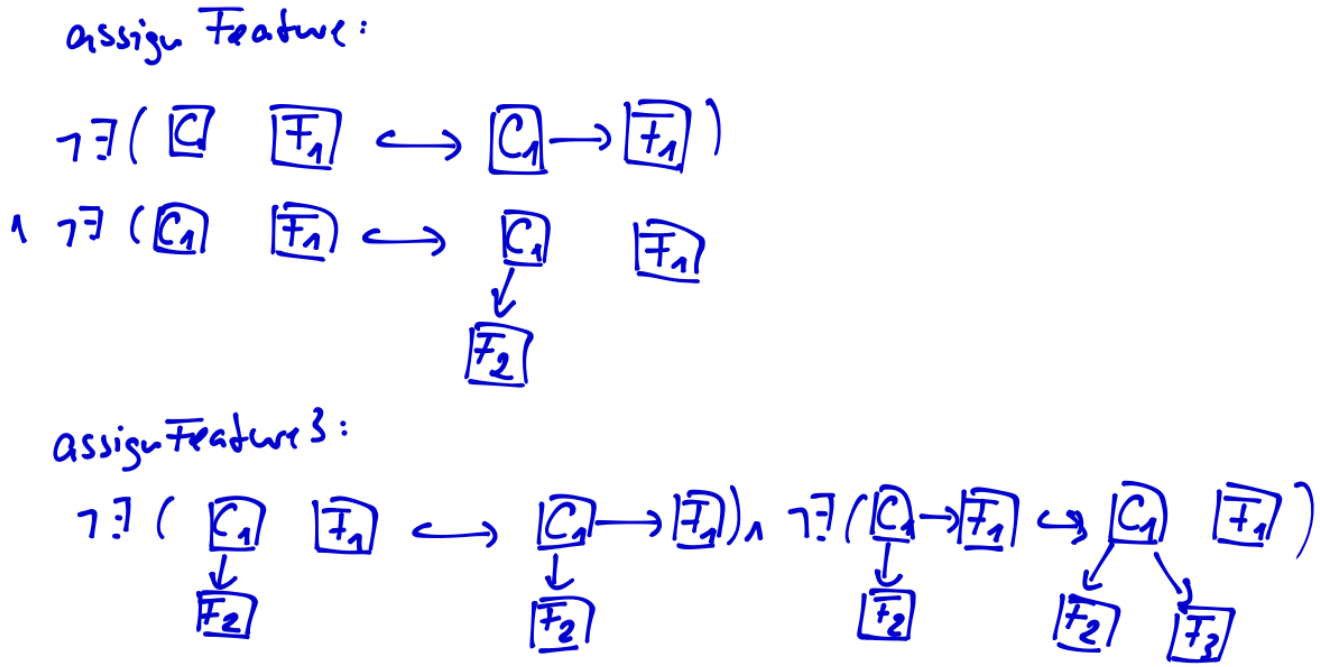

Figure 15: Application condition for `assignFeature` and `assignFeature3` with c_1 at layer 1.

satisfaction at layer. Additionally, the removal of elements of $C_j \setminus C_{j-1}$, with C_j being a universally bound graph, could destroy occurrences of a existentially bound graph C_i. Again, this case can lead to an insertion of new violations or a decrease of the satisfaction at layer.

We will now introduce the notion of *conflicts within conditions*, which states that C_j has a conflict with C_i if and only if one of the cases described above can occur. Note, that conflicts can only occur between existentially and universally bound graphs and vice versa. There cannot exist a conflict between two existentially or two universally bound graphs since the insertion of elements cannot destroy occurrences of existentially bound and the removal of elements cannot insert new occurrences of universally bound graphs, respectively.

Definition 6.1 (**conflicts within conditions**). *Let a condition c in UANF be given. An existentially bound graph C_k has a conflict with an universally bound graph C_j if a transformation $t : G \Longrightarrow_\rho H$ with $\rho = C_{k-1} \xleftarrow{\mathrm{id}} C_{k-1} \xhookrightarrow{a_{k-1}} C_k$ exists such that*

$$\exists p : C_j \hookrightarrow H(\neg\exists q : C_j \hookrightarrow G(\mathrm{tr}_t \circ q = p)).$$

An universally bound graph C_k has a conflict with an existentially bound graph C_j if a transformation $t : G \Longrightarrow_\rho H$ with $\rho = C_k \xleftarrow{a^r_{k-1}} C \xhookrightarrow{\mathrm{id}} C$ for any $C \in \mathrm{IG}(C_{k-1}, C_k)$ exists

such that

$$\exists p : C_j \hookrightarrow G(\mathrm{tr}_t \circ p \textit{ is not total}).$$

Additionally, we introduce *conflicts graphs*, which represent the conflicts within a condition via a graph. With these, we are able to define *transitive conflicts*, *circular conflicts* and the absence of these, which will be an necessary property for the termination of our repairing process. Intuitively, as the name suggests, a condition c contains a circular conflict if a graph C_k has a conflict with itself or there does exist a sequence $C_k = C_{j_1}, \ldots, C_{j_n} = C_k$ of graphs such that C_{j_i} has a conflict with $C_{j_{i+1}}$. We can check this property by checking whether the conflict graph does contain specific cycles.

Definition 6.2 (**conflict graph, circular conflicts**). *Let a condition c in UANF be given. The* conflict graph *of c is constructed in the following way. For each graph C_k, $0 \leq k \leq \mathrm{nl}(c)$, in c, there does exist a node labelled with k. For each odd $0 \leq k < \mathrm{nl}(c)$, i.e. C_k is universally bound, there doe exist edge e, e' of type* `constraint` *with* $\mathrm{src}(e) = k$, $\mathrm{tar}(e) = k + 1$, $\mathrm{src}(e') = k + 1$ *and* $\mathrm{tar}(e) = k$. *If a conflict between C_k and C_j exists, there does exist an edge e of type* `conflict` *with* $\mathrm{tar}(e) = k$ *and* $\mathrm{src}(e) = j$.

A graph C_k has a transitive conflict *with C_j if a path from k to j exists. A graph C_k has a* circular conflict *if C_k has a transitive conflict with itself and there does exist a cycle that contains one or more than two edges or every edge in the cycle is of type* `conflict`. *A condition c is called* circular conflict free *if c does not contain a circular conflict.*

In other words, a condition c is *circular conflict free* if the conflict graph does not contain any cycles that contains more than two edges and all cycles with exactly two edges contain at least one edge of type `constraint`.

Example 6.1. *Consider constraint c_3 and the transformations t_1 and t_2 shown in Figure 16. Transformation t_1 shows that C_1 has a conflict with C_2 since the rule $\rho = C_1 \xleftarrow{\mathrm{id}} C_1 \xhookrightarrow{a_1} C_2$ has been applied and there does exist a newly inserted occurrence of C_1 not satisfying $\exists(C_2, \mathsf{true})$. Transformation t_2 shows that C_2 has a conflict with C_1 since the rule $C_2 \xleftarrow{a_1} C_1 \xhookrightarrow{\mathrm{id}} C_1$ has been applied and one occurrence of C_1 has been destroyed. Therefore, c_3 contains a circular conflict, the conflict graph of c_3 is shown in Figure 17.*

In general, the statement "C_j has a conflict with C_k" does not imply that "C_k has a conflict with C_j" as shown by constraint c_4 shown in Figure 16. The conflict graph of c_4 is also shown in Figure 17. Constraint c_4 is circular conflict free since the only cycle in this graph contains exactly two edges and one has type `constraint`.

We will now introduce two characterisations of conflicts. One based on overlaps and the second one based on rules. For C_k being existentially and C_j being universally bound, the overlap based characterisation checks whether for each overlap of C_k and C_j, such that the inclusions of $C_k \setminus C_{k+1}$ and C_j do overlap, the rule that only deletes $C_k \setminus C_{k-1}$ is applicable. If this is not possible, there does not exist a transformation as described in Definition 6.2. For C_k being universally and C_j being existentially bound, the characterisation checks whether for each overlap of C_k and C_j, such that the elements

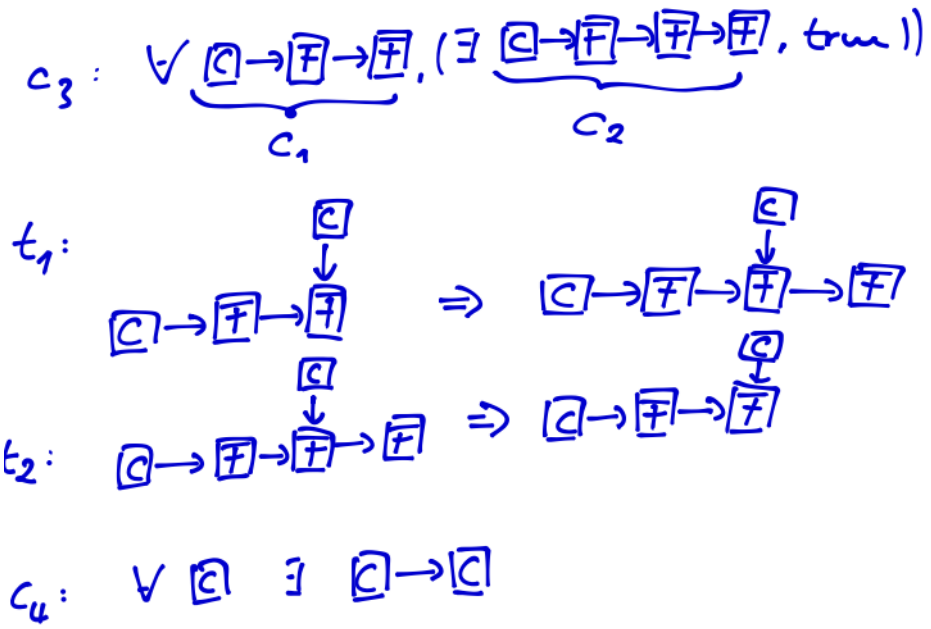

Figure 16: Constraint c_3 and the transformation that show the existence of conflicts between C_1 and C_2 and C_2 and C_1.

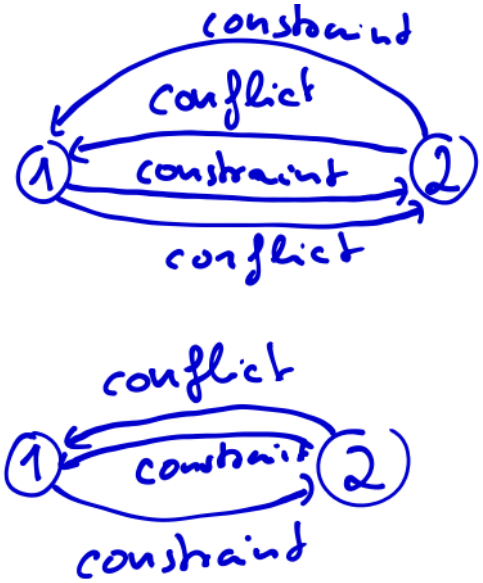

Figure 17: Conflict graphs of c_3 and c_4.

of $C_k \setminus C_{k-1}$ and $C_j \setminus C_{j-1}$ do intersect, a rule only removing elements of $C_k \setminus C_{k-1}$ is applicable. Again, if this is not possible, there does not exist a transformation as described in Definition 6.2.

Lemma 6.3. *Let a constraint c in UANF be given.*

1. *Let C_k be an existentially and C_j an universally bound graph of c. Then, C_k has a conflict with C_j, if and only if an overlap $P \in \mathrm{ol}(C_k, C_j)$ exists, such that*

$$i^P_{C_k}(C_k \setminus C_{k-1}) \cap i^P_{C_j}(C_j \setminus C_{j-1}) \neq \emptyset$$

and the rule $\rho = C_k \overset{a_{k-1}}{\hookleftarrow} C_{k-1} \overset{\mathrm{id}}{\hookrightarrow} C_{k-1}$ is applicable at match $i^P_{C_k}$.

2. *Let C_k be an universally and C_j be an existentially bound graph of c. Then, C_k has a conflict with C_j if and only if an overlap P of C_k and C_j exists such that*

$$i^P_{C_k}(C_k \setminus C_{k-1}) \cap i^P_{C_j}(C_j \setminus C_{j-1}) \neq \emptyset$$

and a rule $\rho = C_k \overset{a^r_{k-1}}{\hookleftarrow} C \overset{\mathrm{id}}{\hookrightarrow} C$ with $C \in \mathrm{IG}(C_{k-1}, C_k)$ and $i^P_{C_k}(C_k \setminus C) \cap i^P_{C_j}(C_j \setminus C_{j-1})$ is applicable at match $i^P_{C_k}$.

Proof. Let a condition c in UANF be given.

1. "$\Longrightarrow$": Let C_k be an existentially bound graph that has a conflict with an universally bound graph C_j. Then, there does exists a transformation $t : G \Longrightarrow_\rho H$ with $\rho = C_{k-1} \overset{\mathrm{id}}{\hookleftarrow} C_{k-1} \overset{a_{k-1}}{\hookrightarrow} C_k$ such that a new occurrence p of C_j has been inserted. Since only elements of $C_k \setminus C_{k-1}$ have been inserted, it holds that $p(C_j) \cap n(C_k \setminus C_{k-1}) \neq \emptyset$, with n being the co-match of t. The graph $p(C_j) \cup n(C_k)$ is the searched for overlap and the rule $\rho^{-1} = C_k \overset{a_{k-1}}{\hookleftarrow} C_{k-1} \overset{\mathrm{id}}{\hookrightarrow} C_{k-1}$ has to be applicable at match n.
"$\Longleftarrow$": Let C_k be an existentially and C_j an universally bound graph such that an overlap $P \in \mathrm{ol}(C_k, C_j)$ with $i^P_{C_k}(C_k \setminus C_{k-1}) \cap i^P_{C_j}(C_j \setminus C_{j-1}) \neq \emptyset$ exists such that the rule $\rho = C_k \overset{a_{k-1}}{\hookleftarrow} C_{k-1} \overset{\mathrm{id}}{\hookrightarrow} C_{k-1}$ is applicable at match $i^P_{C_k}$. Then, the inverse transformation of $t : P \Longrightarrow_{\rho, i^P_{C_k}} H$ is the searched for transformation and C_k has a conflict with C_j.

2. "$\Longrightarrow$": Let C_k be an universally bound graph that has a conflict with an existentially bound graph C_j. Then, a transformation $t : G \Longrightarrow_\rho H$ with $\rho = C_k \overset{a^r_{k-1}}{\hookleftarrow} C \overset{\mathrm{id}}{\hookrightarrow} C$ with $C \in \mathrm{IG}(C_{k-1}, C_k)$ exists such that $\mathrm{tr}_t \circ p$ is no total for one occurrence $p : C_j \hookrightarrow G$. Then, the graph $p(C_j) \cup m(C_k)$ is the searched for overlap and $i^P_{C_k}(C_k \setminus C_{k-1}) \cap i^P_{C_j}(C_j \setminus C_{j-1}) \neq \emptyset$ has to hold since ρ only deletes elements of $C_k \setminus C_{k-1}$.
"$\Longleftarrow$": Let C_k be universally and C_j existentially bound such that an overlap P

of C_k and C_j with $i^P_{C_k}(C_k \setminus C_{k-1}) \cap i^P_{C_j}(C_j \setminus C_{j-1}) \neq \emptyset$ exists such that a rule $\rho = C_k \xleftarrow{a^r_{k-1}} C \xhookrightarrow{\text{id}} C$ with $C \in \text{IG}(C_{k-1}, C_k)$ is applicable at match $i^P_{C_k}$. Then, the transformation of $t : P \Longrightarrow_{\rho, i^P_{C_k}} H$ is the searched for transformations and C_k has a conflict with C_j.

□

Our second characterisation of conflicts is based on the notion of basic maintaining rules.

Lemma 6.4. *Let a condition c in UANF be given.*

1. *Let C_k be an existentially and C_j be an universally bound graph of c. Then, C_k has a conflict with C_j if and only if the rule $\rho = C_{k-1} \xleftarrow{\text{id}} C_{k-1} \xhookrightarrow{a_{k-1}} C_k$ is not basic consistency maintaining rule up to layer 1 w.r.t. $\forall(a_{j-1} \circ \ldots \circ a_0 : C_0 \hookrightarrow C_j, \mathsf{false})$.*

2. *Let C_k be an universally and C_j be an existentially bound graph of c. Then, C_k has a conflict with C_j if and only if each rule $\rho = C_k \xleftarrow{a^r_{k-1}} C \xhookrightarrow{\text{id}} C$ with $C \in \text{IG}(C_{k-1}, C_k)$ is not a basic consistency maintaining rule up to layer 1 w.r.t. $\exists(a_{j-1} \circ \ldots \circ a_0 : C_0 \hookrightarrow C_j, \mathsf{true})$.*

Proof. 1. Let C_k be an existentially and C_j an universally bound graph of c.

"$\Longrightarrow$": Assume that C_k has a conflict with C_j. Therefore, there does exist a transformation $t : G \Longrightarrow_\rho H$ with $\rho = C_{k-1} \xleftarrow{\text{id}} C_{k-1} \xhookrightarrow{a_{k-1}} C_k$ such that a new occurrence $p : C_j \hookrightarrow H$ has been inserted. Therefore, t does not satisfy (4.2) and ρ is not a basic maintaining rule up to layer 1.

"$\Longleftarrow$": Assume that $\rho = C_{k-1} \xleftarrow{\text{id}} C_{k-1} \xhookrightarrow{a_{k-1}} C_k$ is not a basic maintaining rule up to layer 1 w.r.t. $\forall(a_{j-1} \circ \ldots \circ a_0 : C_0 \hookrightarrow C_j, \mathsf{false})$. Since this constraint only contains universally bound graphs, there must exist a transformation $t : G \Longrightarrow_\rho$ that does not satisfy (4.2). Therefore, a new occurrence of C_j has been inserted by t and with Definition 6.1 follows that C_k has a conflict with C_j.

2. Let C_k be an universally and C_j be an existentially bound graph of c and $c = \exists(a_{j-1} \circ \ldots \circ a_0 : C_0 \hookrightarrow C_j, \mathsf{true})$.

"$\Longrightarrow$": Assume that C_k has a conflict with C_j. Therefore, there does exist a transformation $t : G \Longrightarrow_\rho H$ with $\rho = C_k \xleftarrow{a^r_{k-1}} C \xhookrightarrow{\text{id}} C$, for a $C \in \text{IG}(C_{k-1}, C_k)$ such that an occurrence of C_j has been destroyed. Then, t does not satisfy (4.4), since it must hold that $G \models c$. Therefore, ρ is not a basic consistency maintaining rule w.r.t. c up to layer 1.

"$\Longleftarrow$": Assume that $\rho = C_k \xleftarrow{a^r_{k-1}} C \xhookrightarrow{\text{id}} C$ is a not a basic increasing rule w.r.t. c up to layer 1. The rule ρ is only applicable to graphs that satisfy c. Therefore, there

must exist a transformation $t : G \Longrightarrow_\rho H$ that does not satisfy (4.4). Therefore, an occurrence of C_j has been removed by t and with Definition 6.1 follows that C_k has a conflict with C_j.

□

6.2 Repairing rule Sets

If a set of rules and a constraint is given, it is unclear whether it is possible to repair a graph using rules of this set or not. Therefore, we introduce the notion of *repairing rule sets* which is a characterisation rule sets that are capable of repairing a graph w.r.t. to a circular conflict free constraint. First, we introduce the notion of *repairing sequences*. A repairing sequence is a sequence of rule application that either destroys an occurrence of a universally or inserts an occurrence of an existentially bound graph and is applicable at each occurrence of these graphs. To ensure that these sequences are applicable at each occurrence it needs to be ensured that no nodes of these occurrences will be removed and that the left hand side of the rule of the repairing sequence is contained in this occurrence. In other words, each repairing sequence of C_k starts with a transformation originating in C_k if C_k is universally bound and C_{k-1} if C_k is existentially bound.

Definition 6.5 (**repairing sequence**). *Let a constraint c in UANF and a set of rules $\mathcal{R}$ be given.*

1. *If C_k is existentially bound, a sequence of transformations*

$$C_{k-1} = G_0 \overset{t_1}{\Longrightarrow}_{\rho_1,m_1} G_1 \overset{t_2}{\Longrightarrow}_{\rho_2,m_2} \dots \overset{t_n}{\Longrightarrow}_{\rho_n,m_n} G_n$$

with $\rho_i \in \mathcal{R}$ is called a repairing sequence of C_k *if $G_n \models_k c$, $\mathrm{tr}_{t_n} \circ \dots \circ \mathrm{tr}_{t_1} \circ \mathrm{id}_{C_{k-1}}$ is total and the concurrent rule $\rho = G_0 \overset{\mathrm{id}}{\hookleftarrow} G_0 \overset{\mathrm{tr}_{t_n} \circ \dots \circ \mathrm{tr}_{t_1}}{\hookrightarrow} G_n$ is a basic consistency maintaining rule w.r.t. $\forall(C_j, \mathsf{false})$ for all graph universally bound graphs C_j such that C_k has no conflict with C_j.*

2. *If C_k is existentially bound, a sequence of transformations*

$$C_k = G_0 \overset{t_1}{\Longrightarrow}_{\rho_1,m_1} G_1 \overset{t_2}{\Longrightarrow}_{\rho_2,m_2} \dots \overset{t_n}{\Longrightarrow}_{\rho_n,m_n} G_n$$

with $\rho_i \in \mathcal{R}$ is called a repairing sequence of C_k *if $G \models_k c$, for each node $v \in V_{G_0}$ there does exist a node $v' \in V_{G_n}$ with $v' = \mathrm{tr}_{t_n}(\dots \mathrm{tr}_{t_1}(v))$ and the concurrent rule $\rho = G_0 \hookleftarrow \mathrm{tr}_{t_n}(\dots \mathrm{tr}_{t_1}(G_0)) \hookrightarrow G_n$ is a basic consistency maintaining rule w.r.t. $forall(C_j, \mathsf{true})$ for universally bound graphs C_j.*

In both cases, the insertion of additional elements, i.e. $G_n \neq C_{k+1}$ if C_k is existentially and $G_n \neq C$ for all $C \in \mathrm{IG}(C_{k-1}, C_k)$ if C_k is universally bound, could lead to the insertion of universally bound graphs. For existentially bound graph this can occur if an overlap with an universally bound graph in a similar manner as shown in Figure 18 exists. To ensure that this case does not occur, we need the additional condition that

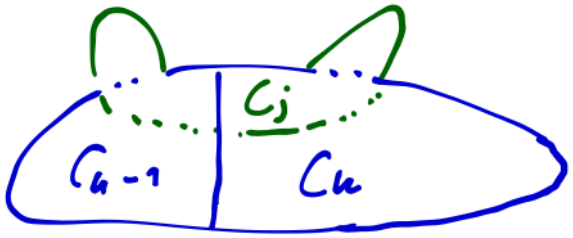

Figure 18: Scheme of a overlap of existentially bound graph C_k and universally bound graph C_j that could lead to an insertion of C_j via repairing sequences.

the concurrent rule is a basic consistency maintaining rule w.r.t. certain constraints. If $G_n = C_{k+1}$ if C_k is existentially or $G_n \neq C$ with $C \in \mathrm{IG}(C_{k-1}, C_k)$ if C_k is universally bound, this condition is not needed.

Theorem 6.1. *Let a constraint c in UANF and a set of rules $\mathcal{R}$ be given.*

1. *If C_k is existentially bound and there does exist sequence*

$$C_{k-1} \Longrightarrow_{\rho_1,m_1} \ldots \Longrightarrow_{\rho_n,m_n} C_k$$

with $\rho_i \in \mathcal{R}$ such that $\mathrm{tr}_{t_n} \circ \ldots \mathrm{tr}_{t_1} \circ \mathrm{id}_{C_{k-1}}$ is total and $C_k \models_{k+1} c$. Then, this is a repairing sequence for C_k.

2. *If C_k is universally bound and there does exist a sequence*

$$C_k \Longrightarrow_{\rho_1,m_1} \ldots \Longrightarrow_{\rho_n,m_n} C$$

with $\rho_i \in \mathcal{R}$, $C \in \mathrm{IG}(C_{k-1}, C_k)$ and for each node $v \in V_{G_0}$ there does exist a node $v' \in V_{G_n}$ with $v' = \mathrm{tr}_{t_n}(\ldots \mathrm{tr}_{t_1}(v))$. Then, this is a repairing sequence for C_k.

Proof. 1. If C_k is existentially bound, the concurrent rule is given by $\rho = C_{k-1} \xhookleftarrow{\mathrm{id}} C_{k-1} \xhookrightarrow{a_k} C_k$. Let C_j be a universally bound graph such that C_k has no conflict with C_j and ρ is not a basic consistency maintaining rule w.r.t. $\forall(C_j, \mathsf{true})$. With Lemma 6.4 follows immediately that C_k has a conflict with C_j, this is a contradiction.

2. If C_k is universally bound, the concurrent rule is given by $\rho = C_k \xhookleftarrow{a^r_{k-1}} C \xhookrightarrow{\mathrm{id}} C$. Then, ρ is a basic consistency maintaining rule w.r.t. $\forall(C_j, \mathsf{true})$ for all universally bound graphs since ρ does not insert any elements.

□

Definition 6.6 (repairing rule set). *Let a set of rules $\mathcal{R}$ and a circular conflict free constraint c in UANF be given. Then, $\mathcal{R}$ is called a* repairing rule set of c *if there does exist a repairing sequence for each existentially bound graph of c and, if $\mathrm{nl}(c)$ is odd, i.e. c ends with a condition of the form $\forall(C_{\mathrm{nl}(c)}, \mathsf{false})$, $\mathcal{R}$ contains a repairing sequence for $C_{\mathrm{nl}(c)}$.*

Note that there cannot exist a repairing sequence for universally bound graphs C_k such that $C_k \setminus C_{k-1}$ does not contain any edges. Therefore, there does not exist a repairing set for all constraints of the form $\forall(C_1, \mathsf{false})$ such that $E_{C_1} = \emptyset$.

Theorem 6.2. *Let a circular conflict free constraint c in UANF and a repairing set $\mathcal{R}$ of c be given. Then, for each graph G with $G \not\models c$, there does exist a sequence of transformations*

$$G = G_0 \Longrightarrow_{\rho_1,m_1} \ldots \Longrightarrow_{\rho_n,m_n} G_n$$

with $\rho_i \in \mathcal{R}$ such that $G_n \models c$.

We postpone the proof of this Theorem, since it will follow immediately from the termination of our repairing process.

Example 6.2. *Consider constraints c_1, c_4 and the sequences shown in Figure 19. The first sequence is not a repairing sequence for the existentially bound graph of c_4 since $G_1 \not\models_1 c_4$ and therefore, a rule set containing only this rule is not a repairing set w.r.t. c_4. The second sequence is a repairing sequence for the existentially bound graph of c_4, since the last graph satisfies c_4 and there does not exist an the existentially bound graph has a conflict with the universally bound graph. Therefore, the condition for the concurrent rule is also satisfied and a rule set containing this rule is a repairing set w.r.t. c_4.*

The third sequence is a repairing sequence for c_1 since the last graph satisfies c_1 and the sequence satisfies the criteria given in Theorem 6.1. Note, that this sequence contains of two applications of the same rule. A rule set containing this rule is a repairing set w.r.t. c_1.

6.3 Construction of Repairing Sets

In the following we want to introduce a construction of these repairing sets for a given circular conflict free constraint in UANF.

Definition 6.7 (**constructed repairing set**). *Let a circular conflict free constraint c in UANF with* $\mathrm{nl}(c) > 1$ *or $c = \forall(C_1, \mathsf{false}$ and $E_{C_1} \neq \emptyset$ be given. The* constructed repairing set, *$\mathcal{R}$, for c is constructed in the following way. For each universally bound graph C_k of c and every pair of graphs $C, C' \,\mathrm{IG}(C_{k-1}, C_k)$ with C being a sub-graph of C' there does exist a rule*

$$C' \overset{a^r_{k-1}}{\hookleftarrow} C \overset{\mathrm{id}}{\hookrightarrow} C$$

in $\mathcal{R}$. For each existentially bound graph C_k of c and every pair of graphs $C, C' \in \mathrm{IG}(C_{k-1}, C_k)$ with C being a sub-graph of C' there does exist a rule

$$C \overset{\mathrm{id}}{\hookleftarrow} C \overset{a^r_{k-1}}{\hookrightarrow} C'$$

in $\mathcal{R}$.

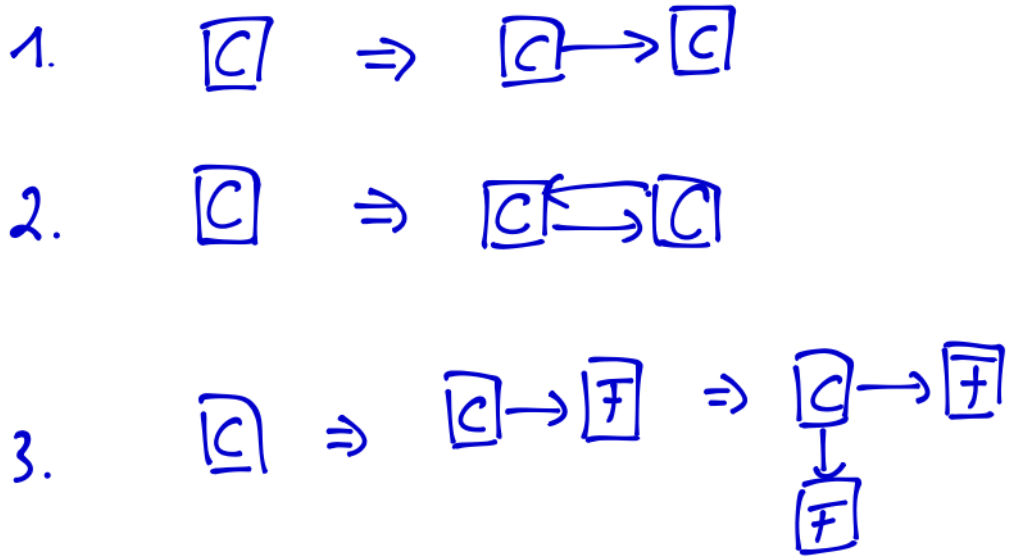

Figure 19: Repairing sequences for c_1 and c_4.

Note that for each element of existentially bound graphs, $\mathcal{R}$ contains a rule that only inserts this element, and for each element of universally bound graphs $\mathcal{R}$ contains a rule that only removes this element.

Theorem 6.3. *Let a circular conflict free constraint c in UANF with $\mathrm{nl}(c) > 1$ or $c = \forall(C_1, \mathsf{false})$ and $E_{C_0} \neq \emptyset$ be given. The set of rules constructed by Definition 6.7 is a repairing set w.r.t. c.*

Proof. Let $\mathcal{R}$ be the constructed rule set. If $\mathrm{nl}(c) = 1$ and $E_{C_1} \neq \emptyset$, there does exist a rule $\rho = C_1 \xleftarrow{a_0^r} C' \xhookrightarrow{\mathrm{id}} C$ with $C \in \mathrm{IG}(\emptyset, C_1)$ such that $V_C = V_{C_0}$. Then,

$$C_1 \Longrightarrow_{\rho,\mathrm{id}} C$$

is a repairing sequence for C_1 and $\mathcal{R}$ is a repairing rule set for c.

If $\mathrm{nl}(c) \geq 2$, consider an existentially bound graph C_k and the transformation sequence

$$C_{k-1} \Longrightarrow_{\rho_1,m} C_k$$

with $\rho_1 = C_{k-1} \xleftarrow{\mathrm{id}} C_{k-1} \xhookrightarrow{a_{k-1}} C_k$. If C_k has no conflict with an universally bound graph C_j with $j < k$, $C_k \models_k c$ and this is a repairing sequence. If, $C_k \not\models_k c$, an occurrence p of an universally bound graph C_j with $j < k$ has been inserted. Then, the repairing sequence can be extended by

$$C_k \Longrightarrow_{\rho_2,m} G_1$$

with $\rho_2 = C \xleftarrow{\mathrm{id}} C \xhookrightarrow{a_j^r} C_{j+1}$ such that $C \in \mathrm{IG}(C_j, C_{j+1})$ and $V_C = V_{C_{k+1}}$. with $p = m \circ a_{j-1}^r$. Since $j < k$, such a match and transformation must exist. If this inserts new occurrences of universally bound graphs $C_{j'}$ with $j' < k$, we repeat this process.

□

Example 6.3.

6.4 Rule-based Graph Repair for one Constraint

In the following, we present our graph repair process for one circular conflict free constraint in UANF. The process is shown in Algorithm 1 and proceeds in the following way. The algorithm starts by finding all occurrences of $C_{\mathrm{k_{max}}+2}$ that do not satisfy $\mathrm{cut}_0(\mathrm{sub}_{\mathrm{k_{max}}+2}(c))$ (line 2). This condition is equal to false if $\mathrm{k_{max}}+2 = \mathrm{nl}(c) - 2$ and equal to $\exists(C_{\mathrm{k_{max}}+2}, \mathsf{true})$ otherwise. If P is empty, it must follow that $G \models_{\mathrm{k_{max}}+2} c$ and therefore, we will apply repairing sequences at these occurrences. It might be enough to only repair some of these occurrences. Since it is unknown which of these are able to increase the satisfaction at layer, we choose one uniformly at random (line 3). For example, for existentially bound constraints d, that means, its equivalent constraint in UANF is equal to $\forall(\emptyset, d)$, there might exist occurrences of $C_{\mathrm{k_{max}}+2}$, whose repair will not lead to an increase of the satisfaction at layer.

There are two possible ways to repair the chosen occurrence, either by destroying it, or by inserting elements such that the occurrence satisfies $\mathrm{cut}_0(\mathrm{sub}_{\mathrm{k_{max}}+2}(c))$. The Algorithm chooses one of these options (line 4) and applies the corresponding repairing sequence (line 5–11). Note that a repairing sequence for $C_{\mathrm{k_{max}}+2}$ might not exist, since this graph is universally bound. If this is the case we use the repairing sequence for $C_{\mathrm{k_{max}}+3}$. This must exist since $C_{\mathrm{k_{max}}+3}$ is existentially bound.

If the repairing sequence for $C_{\mathrm{k_{max}}+2}$ has been applied, occurrences of existentially bound graphs might have been destroyed. Note that this can only be occurrences of graphs C_i such that $C_{\mathrm{k_{max}}+2}$ has a conflict with C_i. This might lead to a decrease of satisfaction of layer. Therefore, the algorithm finds all of these destroyed occurrences, in particular it finds all occurrences p of universally bound graphs C_i such that an occurrence q of C_{i+1} with $p = q \circ a_j$ has been removed (line 7).

If the repairing sequence for $C_{\mathrm{k_{max}}+3}$ has been applied, occurrences of universally bound graphs might have been inserted. Again, this can only be occurrences of graphs C_i such that $C_{\mathrm{k_{max}}+2}$ has a conflict with C_i and this might lead to a decrease of satisfaction at layer. Again, the algorithm finds all inserted occurrences of universally bound graphs (line 10). If the satisfaction at layer has not been decreased, the algorithm chooses the next occurrence in P.

Otherwise, the satisfaction at layer needs to be restored. For this, the occurrences contained in M need to be repaired. The repair of these occurrences might again lead to an insertion of existentially bound graphs or the removal of universally bound ones. These occurrences are added to H and this process repeats until the satisfaction at layer is restored, i.e. $H \models_{\mathrm{k_{max}}} c$ (line 12 – 25). This whole process will repeat until a graph satisfying c is derived.

Form this, it becomes clear, why c has to be circular conflict free. For a constraint with circular conflicts, during the restore phase, new occurrence of $C_{\mathrm{k_{max}}+2}$ can be inserted an occurrence of $C_{\mathrm{k_{max}}+3}$ can be removed. In particular cases, this could lead

to an infinite loop and therefore, there is no guarantee that this algorithm will terminate. For example, consider constraint c_3 given in Figure 16. The set of rules that are used for the transformations t_1 and t_2 in Figure 16 forms a repairing set. During a repair process using Algorithm 1 with the starting graph being the first graph of t_1 it might be possible that Algorithm 1 runs into an infinite loop, by alternately applying t_1 and t_2.

A optimization of the repair algorithm in terms of the number of inserted or deleted elements can be performed by using partial repairing sequences if possible. For example, consider the repairing sequence

$$C_k \Longrightarrow C_1 \Longrightarrow \ldots \Longrightarrow C_{k+1}$$

with $C_1 \in \mathrm{IG}(C_k, C_{k+1})$. For an occurrence p of C_k that already satisfies $\exists(C_1, \mathsf{true})$ it might be sufficient to only apply the sequence

$$C_1 \Longrightarrow \ldots \Longrightarrow C_{k+1}$$

at p. But, after this, it needs to be checked that no occurrences of existentially bound graphs have been destroyed and that no occurrence of universally bound graphs C_i such that C_k has no conflict with C_i have been inserted. If this is the case, the transformations need to be undone and another (partial) repairing sequence needs to be used. Even if this would lead to an optimization in terms of the number of inserted and deleted elements, due to the reversion of transformations, this will lead to an increase of runtime.

For each circular conflict free constraint, Algorithm 1 will always terminate as shown by the following Theorem.

Theorem 6.4. *Let a graph G, a circular conflict free condition in UANF and a repairing set $\mathcal{R}$ be given. Then, Algorithm 1 with input G,c and $\mathcal{R}$ terminates and returns a graph H with $H \models c$.*

Proof. If Algorithm 1 terminates, it returns a graph satisfying c. Therefore, it is sufficient to show that Algorithm 1 will terminate. Since G is finite, the set P must also be finite. If a repairing sequence has been applied, the set M only contains occurrences of graphs C_j such that $C_{\mathrm{k_{max}}+2}$ has a (transitive) conflict with C_j since the repairing sequence is not able to destroy or insert occurrences of C_i such that $C_{\mathrm{k_{max}}+2}$ has no (transitive) conflict with C_i. Because G is finite, $|M|$ must also be finite.

If the derived graph does not satisfy $\mathrm{cut}_{\mathrm{k_{max}}(c,G)}(c)$, we need to restore the satisfaction at layer. Because the satisfaction at layer only decreases if an occurrence of an existentially bound graph has been destroyed or an occurrence of universally bound graphs has been inserted and M does contain all these occurrences, we only need to consider the occurrences contained in M. The application of repairing sequences at occurrences $p : C_j \hookrightarrow H \in M$ could again lead to an insertion of universally bound or an removal of existentially bound graphs. The set M' contains all these occurrences and again, this are only occurrences of C_i such that C_j has a (transitive) conflict with C_i. Since c is circular conflict free, M' cannot contain any occurrences of $C_{\mathrm{k_{max}}+2}$, otherwise, C_j would have a (transitive) conflict with $C_{\mathrm{k_{max}}+2}$ and therefore $C_{\mathrm{k_{max}}+2}$ has circular

Algorithm 1: Repair for one circular conflict free constraint

Data: A graph G, a circular conflict free constraint c in UANF and a repairing set $\mathcal{R}$ for c.

Result: A graph H with $H \models c$.

while $G \not\models c$ **do**
  $P \leftarrow \{q : C_{\mathrm{k_{max}}+2} \hookrightarrow H \mid q \not\models \mathrm{cut}_0(\mathrm{sub}_{\mathrm{k_{max}}+2}(c))\}$;
  Choose $p \in P$ uniformly at random ;
  Choose $r \in \{0, 1\}$ uniformly at random;
  if $r = 0$ *and* $\mathcal{R}$ *contains a repairing sequence for* $C_{\mathrm{k_{max}}+2}$ **then**
    Apply the repairing sequence for $C_{\mathrm{k_{max}}+2}$ at match p and let H be the derived graph ;
    $M \leftarrow \{q : C_j \hookrightarrow H \mid j \text{ odd and } \neg\exists q' C_j : \hookrightarrow G(\mathrm{tr} \circ q' = q)\}$;
  else
    Apply the repairing sequence for $C_{\mathrm{k_{max}}+3}$ at match p and let H be the derived graph ;
    $M \leftarrow \{q : C_j \hookrightarrow H \mid j \text{ odd and } \exists q' : C_{j+1} \hookrightarrow G(q = q' \circ a_j \wedge \mathrm{tr} \circ q' \text{ is not total})\}$;
  end
  while $H \not\models_{\mathrm{k_{max}}(c,G)} c$ **do**
    Choose $p : C_j \hookrightarrow H \in M$ uniformly at random ;
    Choose $r \in \{0, 1\}$ uniformly at random ;
    if $r = 0$ *and* $\mathcal{R}$ *contains a repairing sequence for* C_j **then**
      Apply the repairing sequence for C_j at match p and let H' be the derived graph ;
      $M' \leftarrow \{q : C_i \hookrightarrow H' \mid i \text{ odd and } \neg\exists q' : C_i \hookrightarrow H(\mathrm{tr} \circ q' = q)\}$;
    else
      Apply the repairing sequence for C_{j+1} at match p and let H' be the derived graph ;
      $M' \leftarrow \{q : C_i \hookrightarrow H' \mid i \text{ odd and } \exists q' : C_{i+1} \hookrightarrow G(q = q' \circ a_j \wedge \mathrm{tr} \circ q' \text{ is not total})\}$;
    end
    $M \leftarrow (M \setminus \{p\}) \cup M'$;
    $H \leftarrow H'$;
  end
  $G \leftarrow H$;
end
return G;

conflict. Therefore no occurrences of $C_{\mathrm{k_{max}}+3}$ will be destroyed an no occurrences of $C_{\mathrm{k_{max}}+2}$ will be inserted. Additionally, $C_{\mathrm{k_{max}}+2}$ has a (transitive) conflict with C_i and the repair of any $p \in M'$ will not lead to an insertion of an occurrence of $C_{\mathrm{k_{max}}+2}$ or the removal of an occurrence of $C_{\mathrm{k_{max}}+3}$.

Since c is circular conflict free, there must exist graphs C_i, such that C_i has no conflict with any other graph $C_{i'}$ and $C_{\mathrm{k_{max}}+2}$ has a (transifitve= conflict with C_i. Therefore, the application of repairing sequences at occurrences of these graphs will not lead to the insertion or removal of any universally or existentially bound graph, respectively. Since c is finite, the number of graphs C_i such that $C_{\mathrm{k_{max}}+2}$ has a (transitive) conflict with C_i is finite. Since $|M'|$ is also finite, after a finite number of repairing sequence applications, M' only contains occurrences of graphs that do not have any conflicts. After a repairing sequence has been applied at all those occurrences, M' is empty and $H \models_{\mathrm{k_{max}}(c,G)} c$, since all occurrence p of C_j, that either have been inserted or an occurrence q of C_{j+1} with $p = a_j \circ q$ has been removed, satisfy $\exists(C_{j+1}, \mathsf{true})$. Additionally holds that $\mathrm{nvc}_{\mathrm{k_{max}}+1}(H) < \mathrm{nvc}_{\mathrm{k_{max}}+1}(G)$.

Therefore, after a finite number of iterations, the satisfaction at layer has been increased by at least 1. It follows that after a finite number of iterations $G \models c$. Then, Algorithm 1 terminates and returns G. □

Example 6.4. *Consider constraint $c = \forall(C_2^2, \exists(C_2^1, \mathsf{true}))$ composed of the graphs shown in Figure 5. This constraint is circular conflict free and a repairing set for c is given in Figure 20. There does exist a repairing sequence for C_2^2 via the rule* `remove` *and a repairing sequence for C_2^1 via the rule* `insert`*. Using the rule set* {`remove`, `insert`}*, Algorithm 1 could return one of the graphs G_1, G_2 or G_3 given in Figure 20, depending on the repairing sequences that have been used.*

6.5 Rule-based Graph Repair for multiple Constraints

Now, we will introduce our rule-based repair approach for a set of constraints in UANF.

Definition 6.8 (**satisfaction of constraint sets**). *Let a set of constraints $\mathcal{C}$ be given. A graph G satisfies $\mathcal{C}$, denoted by $G \models \mathcal{C}$, if $G \models \bigwedge_{c \in \mathcal{C}} c$. The set $\mathcal{C}$ is called* satisfiable *if a graph G with $G \models \mathcal{C}$ exists.*

To guarantee that a set of constraints can be repaired by a set of rules, we need to extend the notion of repairing sets such that a a set of rules is called a *repairing set* for a set of constraints if it is a repairing set for each constraint in the constraint set.

Definition 6.9 (**repairing set for a set of constraints**). *Let a set of constraints $\mathcal{C}$ and a set of rules $\mathcal{R}$ be given. Then, $\mathcal{R}$ is called a* repairing set for $\mathcal{C}$ *if $\mathcal{R}$ is a repairing set for all constraints $c \in \mathcal{C}$.*

We also extend the notion of conflicts to *conflicts between constraints*. Intuitively, a constraint c has a conflict with another constraint c' if one of its graphs has a conflict with a graph of c'.

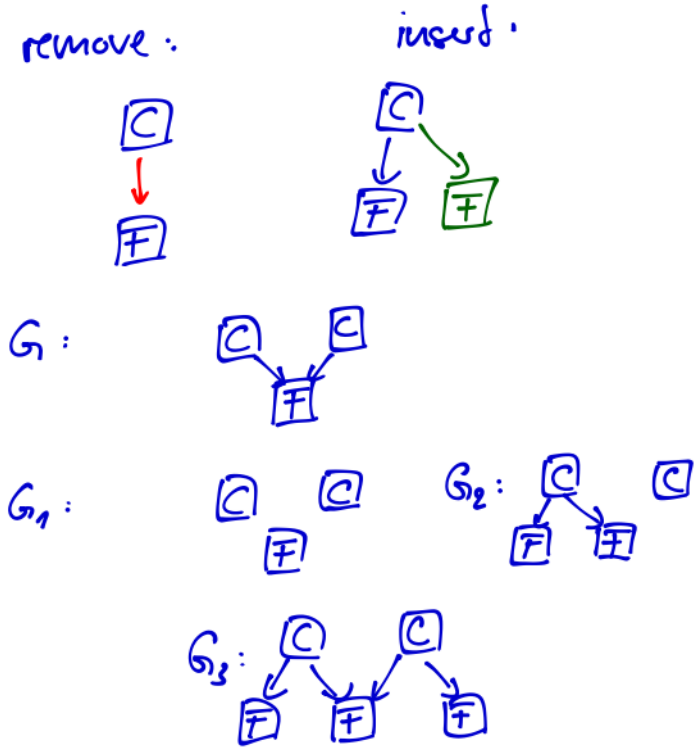

Figure 20: Possible outputs of the repairing process for G and $\forall(C_2^2, \exists(C_2^1, \mathsf{true}))$ using the rule set $\{\texttt{remove}, \texttt{insert}\}$.

Algorithm 2: Repair for a circular constraint-conflict free set of constraints

Data: A graph G, circular constraint-conflict free set of constraints $\mathcal{C}$ and a repairing set $\mathcal{R}$ for $\mathcal{C}$.
Result: A graph H with $H \models \bigwedge_{c \in \mathcal{C}} c$.

```
(c_1, ..., c_n) ← topological ordering of C ;
for i ← 1 to n do
    Repair c_i in G with Algorithm 1, let H be the retuned graph ;
    G ← H ;
end
return G;
```

Definition 6.10 (**conflict between constraints**). *Let constraints c, c' in UANF and a set of rules $\mathcal{R}$ be given. Then, c has a conflict with c' if a repairing sequence*

$$C_k = G_0 \Longrightarrow_{\rho_1,m_1} \ldots \Longrightarrow_{\rho_n,m_n} G_n$$

for a graph C_k of c exists such that the concurrent rule of this sequence is not basic consistency maintaining rule w.r.t. $\forall(C_j, \mathsf{false})$ or $\exists(C_j, \mathsf{true})$ for any universally or existentially bound graph C_j of c'.

The following Lemma is a useful statement for the correctness proof of our repair approach. It states that the application of a repairing sequence of a constraint c cannot destroy the satisfaction of c' if c has no conflict with c'.

Lemma 6.11. *Let two constraints c and c' in UANF, such that c has no conflict with c' w.r.t. to a set of rules ρ, be given. Then the concurrent rule ρ of each repairing sequence for c is a c'-preserving rule.*

Proof. Assume that ρ is not a c'-preserving rule. Then, there does exist a transformation $t : G \Longrightarrow_{rho,m} H$ such that $G \models c'$ and $H \not\models C'$. Therefore, either an universally bound graph of c' has been inserted or an existentially bound graph of c' has been removed. Since ρ is a basic maintaining rule w.r.t. $\forall(C_j, \mathsf{false})$ for all universally bound graphs C_j of c' and a basic consistency maintaining rule w.r.t. $\exists(C_j, \mathsf{true})$ for all existentially bound graphs C_j of c', this is a contradiction. □

The *conflicts graph* for a set of constraints and *circular conflicts* for it are defined in a similar manner as conflict graphs and circular conflicts for one constraint. A set of constraints is called *circular conflict free* if each of its constraints is circular conflict free and there does not exist a sequence $c = c_0, \ldots c_n = c$ such that c_i has a conflict with c_{i+1} for all $0 \leq i < n$. In other words, the conflict graph of this set is acyclic.

Definition 6.12 (**conflict graphs, circular conflicts**). *Let a set of constraints $\mathcal{C}$ in UANF be given. The* conflict graph of $\mathcal{C}$ *is constructed in the following way. For each constraint $c \in \mathcal{C}$ there does exist a node. If a conflict between c and c' exists, there does exist an edge e with* $\mathrm{src}(e) = c$ *and* $\mathrm{tar}(e) = c'$.

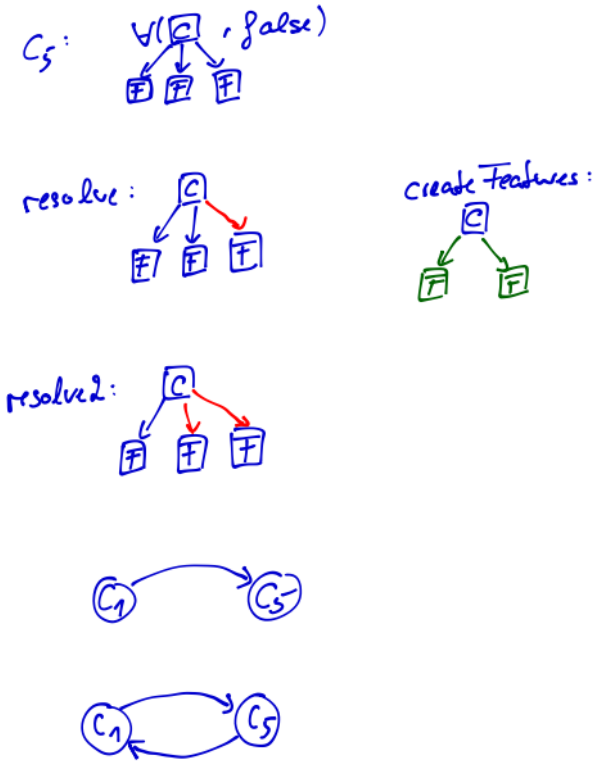

Figure 21: Constraints c_5 and conflicts graphs of the constraint set $\{c_1, c_5\}$ with the rule sets $\{\texttt{resolve}, \texttt{createFeatures}\}$ and $\{\texttt{resolve2}, \texttt{createFeatures}\}$.

A constraint c has a transitive conflict *with c' if the conflict graph of $\mathcal{C}$ contains a path from c to c'. A constraint c has a* circular conflict *if c has a transitive conflict with itself. A set of constraints $\mathcal{C}$ is called* circular conflict free *if each constraint in $\mathcal{C}$ is circular conflict free and does not contain any circular conflicts.*

Example 6.5. *Consider the rules* `resolve`, `resolve2`, `createFeatures` *and constraints c_1 and c_5 given in Figures 21 and 5. The constraint set $\mathcal{C} = \{c_1, c_5\}$ is a multiplicity stating that "Each node of type* `Class` *is connected to exactly two nodes of type* `Feature`*". With the rule set $\mathcal{R}_1 = \{\texttt{resolve}, \texttt{createFeatures}\}$, there is only one conflict in $\mathcal{C}$; c_1 has a conflict with c_5 since an application of* `createFeatures` *could lead to an insertion of the universally bound graph of c_5. With the rule set $\mathcal{R}_2 = \{\texttt{resolve2}, \texttt{createFeatures}\}$ there are two conflicts. Again, there is a conflict of c_1 with c_5, but also a conflict of c_5 with c_1 since an application of* `resolve` *can destroy an occurrence of the existentially bound graph of c_1.*

Therefore, our approach can repair with the rule set $\mathcal{R}_1$ but not with $\mathcal{R}_2$ because in this case, $\mathcal{C}$ is not circular conflict free.

Our repair process makes use of the fact that the conflict graph of a circular conflict

free set of constraints in UANF is acyclic. In particular, our approach uses the *topological ordering* of this conflict graph.

Definition 6.13 (**topological ordering of a graph**). *Let a graph G be given. A sequence $(v_1, \ldots, v_n)$ of nodes of G is called a* topological ordering *of G no edge $e \in E_G$ with $\mathrm{src}(e) = v_i$, $\mathrm{tar}(e) = v_j$ and $i \geq j$ exists. The topological ordering of a circular conflict free set of constraints $\mathcal{C}$ is the topological ordering of its conflicts graph.*

It is a well known fact that each directed acyclic graph has a topological ordering and therefore each conflict graph of a circular conflict free set of constraints also has a topological ordering.

The repair process is given in Algorithm 2 and proceeds in the following way. First, the topological ordering of the constraint set is determined (line 1) Then, Algorithm 1 is used to repair each constraint of $\mathcal{C}$ in order of the topological ordering (line 2 –4). Through this, it is ensured that the satisfaction of a constraint that has already been repaired will not be destroyed by the repair of another constraint.

Theorem 6.5. *Let a graph G, a satisfiable, circular conflict free set of constraints in UANF, $\mathcal{C}$, and a set of rules $\mathcal{R}$ be given. Then, Algorithm 2 terminates and returns a graph H with $H \models \mathcal{C}$.*

Proof. Since $\mathcal{C}$ is finite and each $c \in \mathcal{C}$ is circular conflict free, Algorithm 1 terminates for each $c \in \mathcal{C}$. Therefore, Algorithm 2 will also terminate.

It remains to show that the returned graph satisfies $\mathcal{C}$. Let $(c_1, \ldots, c_n)$ be a topological ordering of $\mathcal{C}$. Then no constraint c_j with $j \neq 1$ has a conflict with c_1 and with Lemma 6.11 follows that the concurrent rule of each repairing sequence for each c_i with $2 \leq i \leq n$ is a c_1-preserving rule. In general, the concurrent rule of each repairing sequence for c_j is a c_i-preserving rule if $i < j$. Note that in Algorithm 2 each repairing sequence can be replaced by its concurrent rule. After one iteration, $G \models c_1$. Assume that after m iterations it holds that $G \models c_i$ for all $1 \leq i \leq m$. In iteration $m+1$, c_{m+1} will be repaired by Algorithm 2. Since each concurrent rule of each repairing sequence of c_{m+1} is a c_i-preserving rule for all $1 \leq i \leq m$, the application of repairing sequence can be replaced by its concurrent rule and Algorithm 2 only applies repairing sequences, it follows that $H \models c_i$ for all $1 \leq i \leq m+1$. Therefore, after n iterations, $H \models c_i$ for all $1 \leq i \leq n$. It follows immediately that the returned graph G satisfies $\mathcal{C}$. □

7 Conclusion

References

[1] H. Ehrig, K. Ehrig, U. Prange, and G. Taentzer. Fundamentals of algebraic graph transformation. *Monographs in theoretical computer science. An EATCS series. Springer*, 2006.

[2] H. Ehrig, A. Habel, and L. Lambers. Parallelism and concurrency theorems for rules with nested application conditions. *Electronic Communications of the EASST*, 26, 2010.

[3] A. Habel and K.-H. Pennemann. Nested constraints and application conditions for high-level structures. In *Formal methods in software and systems modeling*, pages 293–308. Springer, 2005.

[4] A. Habel and K.-H. Pennemann. Correctness of high-level transformation systems relative to nested conditions. *Mathematical Structures in Computer Science*, 19(2):245–296, 2009.

[5] J. Kosiol, D. Strüber, G. Taentzer, and S. Zschaler. Sustaining and improving graduated graph consistency: A static analysis of graph transformations. *Science of Computer Programming*, 214:102729, 2022.

[6] D. Plump. Confluence of graph transformation revisited. In *Processes, Terms and Cycles: Steps on the Road to Infinity*, pages 280–308. Springer, 2005.

[7] C. Sandmann and A. Habel. Rule-based graph repair. *arXiv preprint arXiv:1912.09610*, 2019.