# Complexity and Representations of Controllers in Reactive Synthesis

James C. A. Main Mickaël Randour

F.R.S.-FNRS and UMONS - Université de Mons, Belgium





Dagstuhl Seminar – Stochastic Games

### Talk overview

**Strategies** are at the center of game-theoretic approaches to reactive synthesis.

#### Goal of this talk

Motivate and explain a multifaceted vision of strategy complexity.

In this talk, we will discuss:

- the classical Mealy machine model of strategies;
- alternative models of strategies.

The goal is not to be exhaustive, but explain some questions that interest our research group.

### Table of contents

1 Games and strategies

2 Strategy complexity

3 Beyond Mealy machines

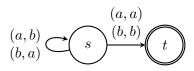
### Table of contents

1 Games and strategies

2 Strategy complexity

3 Beyond Mealy machines

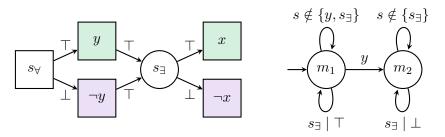
### Games



### Two-player concurrent stochastic game $\mathcal G$

- $\blacksquare$  Finite set of states S;
- Finite sets of actions  $A^{(1)}$  and  $A^{(2)}$ ;
- Probabilistic transition function  $\delta \colon S \times \bar{A} \to \mathcal{D}(S)$ ,
- Play:  $\pi = s_0 \bar{a}_0 s_1 \bar{a}_1 \dots$  s.t.  $\delta(s_\ell, \bar{a}_\ell)(s_{\ell+1}) > 0$  for all  $\ell \in \mathbb{N}$ ,
- **History**: prefix h of a play ending in a state.
- Strategy: function  $\sigma_i$ : Hist $(\mathcal{G}) \to \mathcal{D}(A^{(i)})$ .
- Strategies  $\sigma_1$ ,  $\sigma_2$  + initial state  $s \rightsquigarrow \text{distribution } \mathbb{P}_s^{\sigma_1,\sigma_2}$  over plays.

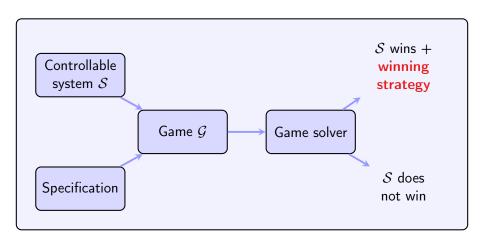
# Strategies and memory



# Representation of strategies via Mealy machines with randomisation

- $\blacksquare$  Set of memory states M;
- initial memory distribution  $\mu_{init}$ ;
- next-move function  $\alpha_{nxt}$ :  $M \times S \to \mathcal{D}(A^{(i)})$ ;
- memory update function  $\alpha_{up}$ :  $M \times S \times \bar{A} \to \mathcal{D}(M)$ .

## The synthesis pipeline



Strategy  $\approx$  blueprint for a controller of the system.

→ Simpler strategies are preferable.

### Table of contents

1 Games and strategies

2 Strategy complexity

3 Beyond Mealy machines

# Strategy complexity via memory

- The complexity of strategies is traditionally measured by the size of their memory.
- Memory requirements for optimal strategies in games have been thoroughly studied.

## A glimpse into known results on memory

- Characterisations and one-to-two player lifts (e.g., [GZ05; Bou+22]).
- Characterisations for memory requirements via universal graphs (e.g., [Ohl23; CO23]).
- Refining memory bounds/computing optimal bounds (e.g., [Bou+23; Mai24]).
- Trading memory for randomisation (e.g., [CAH04; CRR14]).

# Strategy complexity in general

- Memory size does **not fully describe** the complexity of strategies.
- Other aspects also play a role in the complexity of strategies.
- Major question: what makes a strategy complex?

#### Our vision

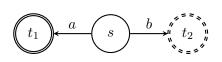
Strategy complexity is **multifaceted**: various factors contribute to the complexity of a strategy.

We are interested in identifying relevant factors that contribute to complexity, not limited to model-based measures of complexity.

■ Next step: a brief look into randomisation.

### Not all randomisation is the same

Some specifications require randomisation to be satisfied.



$$\bigwedge_{j \in \{1,2\}} \mathbb{P}_s^{\sigma_1}(\mathsf{Reach}(\{t_j\})) \ge \frac{1}{2}$$

One-off random choice between two pure memoryless strategies.

$$(a,b) \underbrace{(b,b)}_{(b,a)} \underbrace{(b,b)}_{(b,b)} \underbrace{(b,b)}_{(b,b)}$$

$$\forall \sigma_2, \, \mathbb{P}_s^{\sigma_1, \sigma_2}(\mathsf{Reach}(\{t\})) = 1$$

 $\sigma_1$  must randomise its choice infinitely often to win.

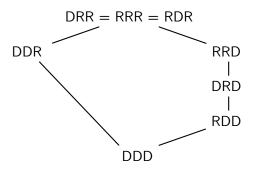
# Theorem (Consequence of [Ete+08])

A one-off choice between d pure finite-memory strategies is sufficient to satisfy multiple reachability queries with d objectives.

# Randomisation and finite memory [MR22]

A class of Mealy machines is denoted by XYZ where X, Y, Z  $\in$  {D, R} where D stands for deterministic and R for random, and

- X characterises initialisation,
- Y characterises the next-move function,
- Z characterises updates.



### Table of contents

1 Games and strategies

2 Strategy complexity

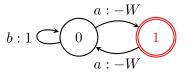
3 Beyond Mealy machines

# Memory does not tell the whole story (1/2)

Counter-based strategies

Memory and randomisation do **not fully reflect** the complexity of a strategy.

■ We consider a game with an energy-Büchi objective [CD12], where  $W \in \mathbb{N}$ .



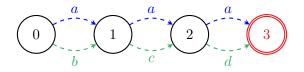
- lacktriangle Need memory exponential in the binary encoding of W to satisfy the energy-Büchi objective.
- Polynomial representation with a counter-based approach.

# Memory does not tell the whole story (2/2)

Action choices influence simplicity

Memory and randomisation do **not fully reflect** the complexity of a strategy.

- The goal is to move to 3.
- We compare two strategies  $\sigma_1$  and  $\sigma_2$ .



- $\rightarrow$  Strategy  $\sigma_1$  is simpler to represent than  $\sigma_2$
- The action choices can impact how concise the strategy can be made.

## Alternative representations

- Different representations of a given strategy provide different information on its complexity.
- There has been a recent surge in works using alternative strategy models. For instance:
  - Decision trees for memoryless strategies [Brá+15; JKW23]
  - Turing machine-based models [Gel14]
  - Programmatic representations of strategies [SFM24]

### Some questions

- What are the links between different strategy models?
- How relevant are some models for practical endeavours?

### Conclusion

#### In a nutshell

We are interested in developing deeper insight on strategy complexity and studying alternative strategy models.

#### **Advertisement**

There is an opening for a postdoc position on Formal Methods/AI for Controller Synthesis in Mickaël Randour's team in UMONS, in Mons, Belgium.

Information on the project can be found online. 1

Thank you for your attention.

Controller Complexity and Representations

https://math.umons.ac.be/staff/Randour.Mickael/controllers.html

#### References I



Patricia Bouyer et al. "Games Where You Can Play Optimally with Arena-Independent Finite Memory". In: Log. Methods Comput. Sci. 18.1 (2022). DOI:

10.46298/lmcs-18(1:11)2022. URL:

https://doi.org/10.46298/lmcs-18(1:11)2022.



Patricia Bouyer et al. "How to Play Optimally for Regular Objectives?" In: 50th International Colloquium on Automata, Languages, and Programming, ICALP 2023, July 10-14, 2023, Paderborn, Germany. Ed. by Kousha Etessami, Uriel Feige, and Gabriele Puppis. Vol. 261. LIPIcs. Schloss Dagstuhl - Leibniz-Zentrum für Informatik, 2023, 118:1–118:18. DOI: 10.4230/LIPICS.ICALP.2023.118.

#### References II



Tomás Brázdil et al. "Counterexample Explanation by Learning Small Strategies in Markov Decision Processes". In: Computer Aided Verification - 27th International Conference, CAV 2015, San Francisco, CA, USA, July 18-24, 2015, Proceedings, Part I. Ed. by Daniel Kroening and Corina S. Pasareanu. Vol. 9206. Lecture Notes in Computer Science. Springer, 2015, pp. 158–177. DOI: 10.1007/978-3-319-21690-4\\_10. URL: https://doi.org/10.1007/978-3-319-21690-4\\_10.



Krishnendu Chatterjee, Luca de Alfaro, and Thomas A. Henzinger. "Trading Memory for Randomness". In: 1st International Conference on Quantitative Evaluation of Systems (QEST 2004), 27-30 September 2004, Enschede, The Netherlands. IEEE Computer Society, 2004, pp. 206–217. DOI: 10.1109/QEST.2004.1348035.

### References III



Krishnendu Chatterjee and Laurent Doyen. "Energy parity games". In: *Theor. Comput. Sci.* 458 (2012), pp. 49–60. DOI: 10.1016/J.TCS.2012.07.038. URL: https://doi.org/10.1016/j.tcs.2012.07.038.



Antonio Casares and Pierre Ohlmann. "Characterising Memory in Infinite Games". In: 50th International Colloquium on Automata, Languages, and Programming, ICALP 2023, July 10-14, 2023, Paderborn, Germany. Ed. by Kousha Etessami, Uriel Feige, and Gabriele Puppis. Vol. 261. LIPIcs. Schloss Dagstuhl - Leibniz-Zentrum für Informatik, 2023, 122:1–122:18. DOI: 10.4230/LIPICS.ICALP.2023.122.



Krishnendu Chatterjee, Mickael Randour, and Jean-François Raskin. "Strategy synthesis for multi-dimensional quantitative objectives". In: *Acta Informatica* 51.3-4 (2014), pp. 129–163. DOI: 10.1007/S00236-013-0182-6.

### References IV



Kousha Etessami et al. "Multi-Objective Model Checking of Markov Decision Processes". In: Log. Methods Comput. Sci. 4.4 (2008). DOI: 10.2168/LMCS-4(4:8)2008. URL: https://doi.org/10.2168/LMCS-4(4:8)2008.



Marcus Gelderie. "Strategy machines: representation and complexity of strategies in infinite games". PhD thesis. RWTH Aachen University, 2014. URL: http://darwin.bth.rwth-aachen.de/opus3/volltexte/2014/5025.



Hugo Gimbert and Wieslaw Zielonka. "Games Where You Can Play Optimally Without Any Memory". In: CONCUR 2005 - Concurrency Theory, 16th International Conference, CONCUR 2005, San Francisco, CA, USA, August 23-26, 2005, Proceedings. 2005, pp. 428–442. DOI: 10.1007/11539452\\_33. URL: https://doi.org/10.1007/11539452\\_33.

#### References V



Florian Jüngermann, Jan Kretínský, and Maximilian Weininger. "Algebraically explainable controllers: decision trees and support vector machines join forces". In: *Int. J. Softw. Tools Technol. Transf.* 25.3 (2023), pp. 249–266. DOI: 10.1007/S10009-023-00716-Z. URL: https://doi.org/10.1007/s10009-023-00716-z.



James C. A. Main. "Arena-Independent Memory Bounds for Nash Equilibria in Reachability Games". In: 41st International Symposium on Theoretical Aspects of Computer Science, STACS 2024, March 12-14, 2024, Clermont-Ferrand, France. Ed. by Olaf Beyersdorff et al. Vol. 289. LIPIcs. Schloss Dagstuhl - Leibniz-Zentrum für Informatik, 2024, 50:1–50:18. DOI: 10.4230/LIPICS.STACS.2024.50.

#### References VI



James C. A. Main and Mickael Randour. "Different Strokes in Randomised Strategies: Revisiting Kuhn's Theorem Under Finite-Memory Assumptions". In: 33rd International Conference on Concurrency Theory, CONCUR 2022, September 12-16, 2022, Warsaw, Poland. Ed. by Bartek Klin, Slawomir Lasota, and Anca Muscholl. Vol. 243. LIPIcs. Schloss Dagstuhl - Leibniz-Zentrum für Informatik, 2022, 22:1–22:18. DOI: 10.4230/LIPICS.CONCUR.2022.22. URL: https://doi.org/10.4230/LIPIcs.CONCUR.2022.22.



Pierre Ohlmann. "Characterizing Positionality in Games of Infinite Duration over Infinite Graphs". In: *TheoretiCS* 2 (2023). DOI: 10.46298/THEORETICS.23.3.

#### References VII



Guruprerana Shabadi, Nathanaël Fijalkow, and Théo Matricon. "Theoretical foundations for programmatic reinforcement learning". In: *CoRR* abs/2402.11650 (2024). DOI: 10.48550/ARXIV.2402.11650. arXiv: 2402.11650. URL: https://doi.org/10.48550/arXiv.2402.11650.