

Chapter 1

The Behaviour of \mathcal{A}_X^R -Modules

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The classical approximation of the roots of the b -polynomial due to Kashiwara (1976) relies on a quotient module $\int \mathcal{M} / \mathcal{D}_X u$ being holonomic. This is no longer true in the multivariate case but a refined assumption, called relative holonomicity, due to Maisonobe (2016) still holds. This refinement works with $\mathcal{D}_X \times \mathbb{C}[s]$ -modules whence one gets characteristic varieties inside $T^*X \times \mathbb{C}^{p^2}$.

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1.1 Modules over \mathcal{A}_X^R

Basic Definitions and Properties

Let X be a smooth complex irreducible algebraic variety of dimension n and denote \mathcal{D}_X for it's sheaf of rings of algebraic differential operators. For a regular commutative \mathbb{C} -algebra integral domain R we define a sheaf of rings on $X \times \text{Spec } R$ by

$$\mathcal{A}_X^R = \mathcal{D}_X \otimes_{\mathbb{C}} \mathcal{O}_R; \quad \mathcal{A}_X = \mathcal{A}_X^{\mathbb{C}[s]}$$

where we abbreviated $\mathcal{O}_R = \mathcal{O}_{\text{Spec } R}$. It will also be convenient to use the abbreviation $\mathcal{O}_X^R := \mathcal{O}_{X \times \text{Spec } R}$.

The order filtration $F_p \mathcal{D}_X$ extends to a filtration $F_p \mathcal{A}_X^R = F_p \mathcal{D}_X \otimes_{\mathbb{C}} \mathcal{O}_R$ on \mathcal{A}_X^R which is called the relative filtration. The associated graded objects are denoted by gr^{rel} . Denote $\pi : T^*X \times \text{Spec } R \rightarrow X \times \text{Spec } R$ for the projection map. As in the case of \mathcal{D}_X -modules in chapter 1 ⁴ one can view $\pi^{-1}(\text{gr}^{rel} \mathcal{A}_X^R)$ as a subsheaf of $\mathcal{O}_{T^*X}^R$ and for any $\text{gr}^{rel} \mathcal{A}_X^R$ -module \mathcal{M} there is a corresponding module on $T^*X \times \text{Spec } R$ defined by $\mathcal{O}_{T^*X}^R \otimes_{\pi^{-1} \text{gr}^{rel} \mathcal{A}_X^R} \pi^{-1} \mathcal{M}$. By abuse of notation the corresponding module on $T^*X \times \text{Spec } R$ is still denoted with \mathcal{M} and we adopt the perspective that $\text{gr}^{rel} \mathcal{A}_X^R$ -modules always live on $T^*X \times \text{Spec } R$ unless explicitly mentioned otherwise.

Similarly to the case of \mathcal{D}_X in the first chapter that ⁵ it holds that \mathcal{A}_X^R is the sheaf of rings generated by \mathcal{O}_X^R and Θ_X inside of $\mathcal{E}nd_{\mathbb{C}}(\mathcal{O}_X^R)$. Giving a left \mathcal{A}_X^R -module is equivalent

¹Note: Mention BVWZ

²Note: p?

³Note: Maybe also mention the example Robin put on the whiteboard? Possibly in the main body?

⁴Note: cite

⁵Note: Cite when C1 is written

to giving a \mathcal{O}_X^R -module \mathcal{M} with Θ_X -action such that $\xi \cdot (fm) = f(\xi \cdot m) + \xi(f) m$ for any sections f of \mathcal{O}_X^R and ξ of Θ_X . Similarly, giving a right \mathcal{A}_X^R -module is equivalent to giving a \mathcal{O}_X -module \mathcal{M} with Θ_X -action such that $(mf) \cdot \xi = (m \cdot \xi)f - m \xi(f)$ for any sections f of \mathcal{O}_X^R and ξ of Θ_X .

The proof of the following results proceeds precisely like the case of \mathcal{D}_X -modules which may be found in (Hotta and Tanisaki, 2007, Chapter 2).⁶

Proposition 1.1.1. *A quasi-coherent \mathcal{A}_X^R -module \mathcal{M} is coherent if and only if it admits a filtration such that $\text{gr}^{\text{rel}} \mathcal{M}$ is coherent over $\text{gr}^{\text{rel}} \mathcal{A}_X^R$. Such a filtration is called a good filtration.*

Proposition 1.1.2. *Let \mathcal{M} be a coherent \mathcal{A}_X^R -module, then the support of $\text{gr}^{\text{rel}} \mathcal{M}$ in $T^*X \times \text{Spec } R$ is independent of the chosen filtration. It is called the characteristic variety of \mathcal{M} and denoted $\text{Ch}^{\text{rel}} \mathcal{M}$.*

A coherent \mathcal{A}_X^R -module \mathcal{M} is said to be relative holonomic over R if $\text{Ch}^{\text{rel}} \mathcal{M} = \cup_w \Lambda_w \times S_w$ for irreducible conic Lagrangian subvarieties $\Lambda_w \subseteq T^*X$ and irreducible closed subvarieties $S_w \subseteq \text{Spec } R$.

Basic Operations

For any right \mathcal{A}_X^R -module \mathcal{M} and left \mathcal{D}_X -module \mathcal{N} the tensor product $\mathcal{M} \otimes_{\mathcal{O}_X} \mathcal{N}$ comes equipped with a right \mathcal{A}_X^R -module structure defined by

$$f \cdot (m \otimes n) = mf \otimes n; \quad \xi \cdot (m \otimes n) = m\xi \otimes n - m \otimes \xi n$$

for any sections f of \mathcal{O}_X^R and ξ in Θ_X . The same definition applies for a \mathcal{A}_X^R -module structure on $\mathcal{M} \otimes_{\mathcal{O}_X^R} \mathcal{N}$ whenever \mathcal{N} is a left \mathcal{A}_X^R -module.

Similarly, given a left \mathcal{D}_X -module \mathcal{L} and a left \mathcal{A}_X^R -module \mathcal{N} a left \mathcal{A}_X^R -module structure on $\mathcal{L} \otimes_{\mathcal{O}_X} \mathcal{N}$ is defined by

$$f \cdot (\ell \otimes n) = \ell \otimes fn; \quad \xi \cdot (\ell \otimes n) = \xi \ell \otimes n + \ell \otimes \xi n$$

for any sections f of \mathcal{O}_X^R and ξ in Θ_X .

Lemma 1.1.3. *Let \mathcal{M}, \mathcal{N} be right and left \mathcal{A}_X^R -modules respectively and let \mathcal{L} be a left \mathcal{D}_X -module. Then there is a isomorphism of left \mathcal{A}_X^R -modules*

$$(\mathcal{M} \otimes_{\mathcal{O}_X} \mathcal{L}) \otimes_{\mathcal{O}_X^R} \mathcal{N} \cong \mathcal{M} \otimes_{\mathcal{O}_X^R} (\mathcal{L} \otimes_{\mathcal{O}_X} \mathcal{N}).$$

Proof. This is immediate by checking that the obvious bijection conserves the \mathcal{A}_X^R -module structure. Note that the only nontrivial check is the action of a section ξ from Θ_X . \square

Lemma 1.1.4. *Let \mathcal{N} be a left \mathcal{A}_X^R -module which is locally free as a \mathcal{O}_X^R -module. Consider \mathcal{A}_X^R as a right \mathcal{A}_X^R -module, then $\mathcal{A}_X^R \otimes_{\mathcal{O}_X^R} \mathcal{N}$ is locally free as a right \mathcal{A}_X^R -module.*

⁶Note: Probably cite C1 instead

Proof. Consider local coordinates x_1, \dots, x_n on X and a local \mathcal{O}_X^R -basis $\{n_\beta\}_\beta$ for \mathcal{N} . Then $\{1 \otimes n_\beta\}_\beta$ will be a local \mathcal{A}_X^R -basis for $\mathcal{A}_X^R \otimes_{\mathcal{O}_X^R} \mathcal{N}$.

To see that this generates the \mathcal{A}_X^R -module note that $\{\xi^\alpha \otimes n_\beta\}_{\alpha, \beta}$ is a \mathcal{O}_X^R -basis set when α runs over all multi-indices in $\mathbb{Z}_{\geq 0}^n$. These sections can be recovered using the \mathcal{A}_X^R -action on the proposed generating set by induction on $|\alpha|$. Indeed, $\xi^\alpha \cdot (1 \otimes n_\beta)$ equals $\xi^\alpha \otimes n_\beta$ up to a element in the \mathcal{O}_X^R -span of $\{\xi^\gamma \otimes n_\beta\}_{|\gamma| < |\alpha|}$.

For the freedom, suppose there is a local \mathcal{A}_X^R -relation $\sum_\beta P_\beta \cdot 1 \otimes n_\beta = 0$ with some P_β nonzero. This is of the form $\sum_{\alpha, \beta} f_{\alpha, \beta} \xi^\alpha \cdot 1 \otimes n_\beta = 0$ with the $f_{\alpha, \beta}$ sections of \mathcal{O}_X^R not all equal to zero. Pick some multi-index $\mu \in \mathbb{Z}_{\geq 0}^n$ and of maximal degree such that $f_{\mu, \beta}$ is non-zero for some β . Then, rewriting $\sum_{\alpha, \beta} f_{\alpha, \beta} \xi^\alpha \cdot 1 \otimes n_\beta = 0$ in terms of the \mathcal{O}_X^R -basis $\{\xi^\alpha \otimes n_\beta\}_{\alpha, \beta}$ one finds a non-zero coefficient at $\xi^\mu \otimes n_\beta$ for some β which is a contradiction. \square

1.2 Direct Image Functor for \mathcal{A}_X^R -modules

In this section we state the natural generalisation of the direct image functor for \mathcal{D}_X -modules to the relative case of \mathcal{A}_X^R -modules. As with \mathcal{D} -modules this is the most natural for right-modules.⁷

Transfer Modules and \mathcal{A}_Y^R -module Direct Image

Let $\mu : Y \rightarrow X$ be some morphism of smooth algebraic varieties, by abuse of notation we will also denote μ for the induced map from $Y \times \text{Spec } R$ to $X \times \text{Spec } R$.

A-priori it is not even clear what \mathcal{A}_X^R -module should correspond to \mathcal{A}_Y^R since there is no natural push forward of vector fields. This issue may be resolved by use of the transfer $(\mathcal{A}_Y^R, \mu^{-1}\mathcal{A}_X^R)$ -bimodule $\mathcal{A}_{Y \rightarrow X}^R := \mathcal{O}_Y^R \otimes_{\mu^{-1}\mathcal{O}_X^R} \mu^{-1}\mathcal{A}_X^R$. Here, the right $\mu^{-1}\mathcal{A}_X^R$ -module structure is just the action on the second component and definitions like section 1.1 are used to define the left \mathcal{A}_Y^R -module structure. To be precise

$$f \cdot (g \otimes \mu^{-1}h_X) = fg \otimes \mu^{-1}h_X; \quad \xi \cdot (g \otimes \mu^{-1}h_X) = \xi g \otimes \mu^{-1}h_X + g \otimes T\mu(\xi)\mu^{-1}h_X$$

for any sections f of \mathcal{O}_Y^R and ξ of Θ_Y . Here $T\mu(\xi)$ is a local section of $\mathcal{O}_Y \otimes_{\mu^{-1}\mathcal{O}_X} \mu^{-1}\Theta_X$.

Definition 1.2.1. The direct image functor \int_μ from $\mathbf{D}^{b,r}(\mathcal{A}_Y^R)$ to $\mathbf{D}^{b,r}(\mathcal{A}_X^R)$ is defined to be $\mathbf{R}\mu_*(- \otimes_{\mathcal{A}_Y^R}^L \mathcal{A}_{Y \rightarrow X}^R)$. For any \mathcal{A}_Y^R module \mathcal{M} the j -th direct image is the \mathcal{A}_X^R -modules $\int_\mu^j \mathcal{M} = \mathcal{H}^j \int_\mu \mathcal{M}$. The subscript μ will be suppressed whenever there is no ambiguity.

To compute the direct image $\int^j \mathcal{M}$ a resolution for the transfer bimodule $\mathcal{A}_{Y \rightarrow X}$ is required.

Definition 1.2.2. Let \mathcal{M} be a right \mathcal{A}_Y^R -module, the relative Spencer complex $\text{Sp}_Y^\bullet(\mathcal{M})$ is a complex of right \mathcal{A}_Y^R -modules, concentrated in negative degrees, with $\text{Sp}_Y^{-k}(\mathcal{M}) =$

⁷Note: more introduction

$\mathcal{M} \otimes_{\mathcal{O}_Y} \wedge^k \Theta_Y$ and as differential the right- \mathcal{A}_Y^R -linear map δ given by

$$\begin{aligned} m \otimes \xi_1 \wedge \cdots \wedge \xi_k &\mapsto \sum_{i < j} (-1)^{i+j} m \otimes [\xi_i, \xi_j] \wedge \xi_1 \wedge \cdots \wedge \widehat{\xi_i} \wedge \cdots \wedge \widehat{\xi_j} \wedge \cdots \wedge \xi_k \\ &\quad - \sum_{i=1}^k (-1)^i m \xi_i \otimes \xi_1 \wedge \cdots \wedge \widehat{\xi_i} \wedge \cdots \wedge \xi_k \end{aligned}$$

The following lemma and it's proof are a generalisation of exercise 1.20 in Sabbah (2011) to the relative case.

Lemma 1.2.1. *The relative Spencer complex $\mathrm{Sp}_Y^\bullet(\mathcal{A}_Y^R)$ is a locally free resolution of \mathcal{O}_X^R as left \mathcal{A}_X^R -module.*

Proof. Define a filtration on $\mathrm{Sp}_Y^\bullet(\mathcal{A}_Y^R)$ by the complexes $F_k \mathrm{Sp}_Y^\bullet(\mathcal{A}_Y^R)$ which have term $F_{k-\ell} \mathcal{A}_Y^R \otimes_{\mathcal{O}_Y} \wedge^\ell \Theta_Y$ in spot ℓ . This filtration induces the complexes $\mathrm{gr}_k^{\mathrm{rel}} \mathrm{Sp}_Y^\bullet(\mathcal{A}_Y^R)$ with term $\mathrm{gr}_{k-\ell}^{\mathrm{rel}} \mathcal{A}_Y^R \otimes_{\mathcal{O}_Y} \wedge^\ell \Theta_Y$ in spot ℓ .

In local coordinates x_1, \dots, x_n one finds that $\mathrm{gr}^{\mathrm{rel}} \mathrm{Sp}_Y^\bullet := \bigoplus_k \mathrm{gr}_k^{\mathrm{rel}} \mathrm{Sp}_Y^\bullet(\mathcal{A}_Y^R)$ is the Koszul complex of $\mathcal{O}_Y^R[\xi_1, \dots, \xi_n] = \mathrm{gr}^{\mathrm{rel}} \mathcal{A}_Y^R$ with respect to ξ_1, \dots, ξ_n .⁸ Since ξ_1, \dots, ξ_n form a regular sequence a standard result on Koszul complexes⁹ yields that $\mathrm{gr}^{\mathrm{rel}} \mathrm{Sp}_Y^\bullet(\mathcal{A}_Y^R)$ is a locally free resolution of \mathcal{O}_Y^R as $\mathrm{gr}^{\mathrm{rel}} \mathcal{A}_Y^R$ -module.

On the other hand, it is immediate that $F_0 \mathrm{Sp}_Y^\bullet(\mathcal{A}_Y^R) = \mathrm{gr}_0^{\mathrm{rel}} \mathrm{Sp}_Y^\bullet(\mathcal{A}_Y^R)$ is \mathcal{O}_Y^R viewed as a complex. Hence, there is no contribution to $\mathrm{gr}^{\mathrm{rel}} \mathrm{Sp}_Y^\bullet(\mathcal{A}_Y^R)$ from the terms of $k > 0$. That is to say that $\mathrm{gr}_k^{\mathrm{rel}} \mathrm{Sp}_Y^\bullet(\mathcal{A}_Y^R)$ is quasi-isomorphic to the zero complex for $k > 0$. Hence, $F_0 \mathrm{Sp}_Y^\bullet(\mathcal{A}_Y^R) \hookrightarrow \mathrm{Sp}_Y^\bullet(\mathcal{A}_Y^R)$ is a quasi-isomorphism by the exactness of the direct limit.¹⁰ It follows that $\mathrm{Sp}_Y^\bullet(\mathcal{A}_Y^R)$ is a resolution of \mathcal{O}_X^R . That the terms of $\mathrm{Sp}_Y^\bullet(\mathcal{A}_Y^R)$ are locally free follows from lemma 1.1.4 after some minor adjustments in the statement and proof. \square

Define the transfer Spencer complex as the complex of $(\mathcal{A}_Y^R, f^{-1} \mathcal{A}_X)$ -bimodules given by $\mathrm{Sp}_{Y \rightarrow X}^\bullet(\mathcal{A}_Y^R) := \mathrm{Sp}_Y^\bullet(\mathcal{A}_Y^R) \otimes_{\mathcal{O}_Y^R} \mathcal{A}_{Y \rightarrow X}^R$. The following lemma and it's proof are direct generalisation of exercise 3.4 in Sabbah (2011) to the relative case.

Lemma 1.2.2. *The transfer Spencer complex $\mathrm{Sp}_{Y \rightarrow X}^\bullet(\mathcal{A}_Y^R)$ is a resolution of $\mathcal{A}_{Y \rightarrow X}^R$ as a bimodule by locally free left \mathcal{A}_Y^R -modules.*

Proof. To see that the terms of the complex are locally free recall from lemma 1.1.3 the following isomorphisms of left \mathcal{A}_Y^R -modules

$$(\mathcal{A}_Y^R \otimes_{\mathcal{O}_Y} \wedge^\ell \Theta_Y) \otimes_{\mathcal{O}_Y^R} \mathcal{A}_{Y \rightarrow X}^R \cong \mathcal{A}_Y^R \otimes_{\mathcal{O}_Y^R} (\wedge^\ell \Theta_Y \otimes_{\mathcal{O}_Y} \mathcal{A}_{Y \rightarrow X}).$$

¹¹ Note that $\mathcal{A}_{Y \rightarrow X}^R$ is a locally free \mathcal{O}_Y^R -module since it is the pullback of a locally free module on $X \times \mathrm{Spec} R$. Combined with the fact that $\wedge^\ell \Theta$ is a locally free \mathcal{O}_Y -module this yields that $\wedge^\ell \Theta_Y \otimes_{\mathcal{O}_Y} \mathcal{A}_{Y \rightarrow X}^R$ is a locally free \mathcal{O}_Y^R -module. Hence lemma 1.1.3 is applicable and yields that the terms of the transfer Spencer complex are locally free \mathcal{A}_Y^R -modules.

That the transfer Spencer complex is a resolution of $\mathcal{A}_{Y \rightarrow X}^R$ follows from lemma 1.2.1 by using that $\mathcal{A}_{Y \rightarrow X}^R$ is a locally free and hence flat over \mathcal{O}_Y^R . \square

⁸Note: Should I explain what a Koszul complex is?

⁹Note: Give reference to some book

¹⁰Note: Would be nice to give a reference, proof may be found on stackexchange

¹¹Note: May be possible to remove this step from the proof and removing need for minor adjustment of previous proof.

Since tensoring with locally free modules yields a exact functor this simplifies the computation of the direct image as follows.

Corollary 1.2.3. *It holds that $\int = \mathbf{R}\mu_*(- \otimes_{\mathcal{A}_Y^R} \mathrm{Sp}_{Y \rightarrow X}^\bullet(\mathcal{A}_Y^R))$.*

Lemma 1.2.4. *Construction of global section in $\int^j \mathcal{M}$.*

Theorem 1.2.5. *Long exact sequence*

Functorial Properties of the Direct Image

Theorem 1.2.6. *Let $\mu : Z \rightarrow Y$ and $\nu : Y \rightarrow X$ be morphisms of smooth algebraic varieties. If μ is proper then $\int_{\nu \circ \mu} = \int_\nu \int_\mu$.*

Proof. See <http://www.math.stonybrook.edu/~cschnell/mat615/lectures/lecture17.pdf>. \square

This theorem reduces the computation of direct images to closed embeddings and projections by writing $\mu = \pi \circ \iota$ for $\iota : Y \rightarrow Y \times X$ and $\pi : Y \times X \rightarrow X$.

Denote by $\mathbf{D}_{qc}^{b,r}(\mathcal{A}_Y^R)$ the full subcategory of $\mathbf{D}^{b,r}(\mathcal{A}_Y^R)$ consisting of those complexes of right \mathcal{A}_Y^R -modules whose cohomology sheaves are quasi-coherent over $\mathcal{O}_Y \times \mathcal{O}_{\mathrm{Spec} R}$. Similarly for $\mathbf{D}_{coh}^{b,r}(\mathcal{A}_Y^R)$ with the cohomology being coherent \mathcal{A}_Y^R -modules.

Theorem 1.2.7. *Let $\mu : X \rightarrow Y$ be a morphism of nonsingular algebraic varieties. Then the direct image \int takes $\mathbf{D}_{qc}^{b,r}(\mathcal{A}_Y^R)$ into $\mathbf{D}_{qc}^{b,r}(\mathcal{A}_X^R)$. Moreover, when μ is proper the direct image takes $\mathbf{D}_{coh}^{b,r}(\mathcal{A}_Y^R)$ into $\mathbf{D}_{coh}^{b,r}(\mathcal{A}_X^R)$.*

Proof. See <http://www.math.stonybrook.edu/~cschnell/mat615/lectures/lecture18.pdf>. \square

Kashiwara's Estimate for the Characteristic Variety

Let $\mu : Y \rightarrow X$ be a proper morphism of smooth algebraic varieties. Given a coherent \mathcal{A}_X^R -module \mathcal{M} with relative characteristic variety $\mathrm{Ch}^{rel} \mathcal{M}$. We desire to estimate $\mathrm{Ch}^{rel} \int^j \mathcal{M}$ in terms of $\mathrm{Ch}^{rel} \mathcal{M}$. Such a estimate in the non-relative case is known due to Kashiwara.

The original proof by Kashiwara (1976) uses the theory of microlocal differential operators. The idea of the following proof is due to Malgrange (1985) in a K -theoretic context. We follow the exposition of Sabbah (2011) and replace it with the corresponding relative notions.

Consider the following cotangent diagram

$$\begin{array}{ccc} & \mu^* T^* X \times \mathrm{Spec} R & \\ T^* \mu \swarrow & & \searrow \tilde{\mu} \\ T^* Y \times \mathrm{Spec} R & & T^* X \times \mathrm{Spec} R \end{array}$$

where the maps $T^* \mu$ and $\tilde{\mu}$ act on the first component.

Theorem 1.2.8. *Let \mathcal{M} be a coherent \mathcal{A}_Y^R -module. Then, for any $j \geq 0$, we have*

$$\mathrm{Ch}^{rel}\left(\int^j \mathcal{M}\right) \subseteq \tilde{\mu}\left((T^*\mu)^{-1}(\mathrm{Ch}^{rel}\mathcal{M})\right).$$

Note that the statement is local so, after replacing X by some affine open, it may be assumed that $X \times \mathrm{Spec} R$ and $Y \times \mathrm{Spec} R$ are compact. The first step is to note that a similar inclusion is easy for the $\mathrm{gr}^{rel}\mathcal{A}_Y^R$ -modules. The direct image functor on $\mathrm{gr}^{rel}\mathcal{A}_Y^R$ -modules \mathcal{M} is defined by $\int^j \mathcal{M} := \mathbf{R}^j \tilde{\mu}_*(\mathbf{L}(T^*\mu)^*\mathcal{M})$. Here, $(T^*\mu)^*(-)$ produces a sheaf on $\mu^*T^*X \times \mathrm{Spec} R$ by $- \otimes_{\mu^{-1}\mathcal{O}_X^R} \mathrm{gr}^{rel}\mathcal{A}_X^R$. Looking at the supports the following result is immediate.

Lemma 1.2.9. *For any $\mathrm{gr}^{rel}\mathcal{A}_Y^R$ -module \mathcal{M} it holds that*

$$\mathrm{supp} \int^j \mathcal{M} \subseteq \tilde{\mu}\left((T^*\mu)^{-1} \mathrm{supp} \mathcal{M}\right).$$

Applying this lemma to $\mathrm{gr}^{rel}\mathcal{M}$ it remains to show that $\mathrm{supp} \mathrm{gr}^{rel} \int^j \mathcal{M} \subseteq \mathrm{supp} \int^j \mathrm{gr}^{rel} \mathcal{M}$. This is proved in proposition 1.2.15. The main technical ingredient in the proof is the Rees modules associated to a filtered \mathcal{A}_Y^R -module \mathcal{M} .

Definition 1.2.3. *Let z be a new variable. The Rees sheaf of rings $\mathcal{R}\mathcal{A}_Y^R$ is defined as the subsheaf $\oplus_p F_p \mathcal{A}_Y^R z^p$ of $\mathcal{A}_Y^R \otimes_{\mathbb{C}} \mathbb{C}[z]$. Similarly, any filtered \mathcal{A}_Y^R -module \mathcal{M} gives rise to a $\mathcal{R}\mathcal{A}_Y$ -module $\mathcal{R}\mathcal{M} := \oplus_p F_p \mathcal{M} z^p$.*

Given a \mathcal{A}_Y^R -module \mathcal{M} with a good filtration it follows that $\mathcal{R}\mathcal{M}$ is a coherent $\mathcal{R}\mathcal{A}_Y^R$ -module similarly to proposition 1.1.1. The following isomorphisms of filtered modules on $Y \times \mathrm{Spec} R$ are essential. They mean that the Rees module can be viewed as a parametrisation of various relevant modules.

$$\frac{\mathcal{R}\mathcal{M}}{(z-1)\mathcal{R}\mathcal{M}} \cong \mathcal{M}; \quad \frac{\mathcal{R}\mathcal{M}}{z\mathcal{R}\mathcal{M}} \cong \mathrm{gr}^{rel}\mathcal{M}; \quad \frac{\mathcal{R}\mathcal{M}}{z^\ell \mathcal{R}\mathcal{M}} \cong \mathrm{gr}_{[\ell]}^{rel}\mathcal{M}.$$

Here $\mathrm{gr}_{[\ell]}^{rel}$ takes a filtered object and returns $\oplus_k F_k / F_{k-\ell}$. The first formula may be used to find a corresponding filtered \mathcal{A}_Y^R -module for any graded $\mathcal{R}\mathcal{A}_Y^R$ -module without $\mathbb{C}[z]$ -torsion.

The j th direct image of a $\mathcal{R}\mathcal{A}_Y^R$ -module \mathcal{M} is the sheaf of $\mathcal{R}\mathcal{A}_X^R$ -modules on $X \times \mathrm{Spec} R$ defined by $\int^j \mathcal{M} = \mathbf{R}^j \mu_*(\mathcal{M} \otimes_{\mathcal{R}\mathcal{A}_Y^R}^L \mathcal{R}\mathcal{A}_{Y \rightarrow X}^R)$. Here the filtration on $\mathcal{A}_{Y \rightarrow X}^R$ is defined by $F_i \mathcal{A}_{Y \rightarrow X}^R = \mathcal{O}_Y^R \otimes_{\mu^{-1}\mathcal{O}_X^R} \mu^{-1} F_i \mathcal{A}_X^R$. The direct image may be restricted to the category of graded Rees modules in which case it returns a graded Rees module. Coherence is preserved similarly to theorem 1.2.7.

Recall that a $\mathrm{gr}^{rel}\mathcal{A}_Y^R$ -modules on $Y \times \mathrm{Spec} R$ could be viewed as a sheaf on $T^*Y \times \mathrm{Spec} R$ and is already equipped with a direct image. The Rees module viewpoint agrees with the earlier definition by the following lemma.

Lemma 1.2.10. *Consider a filtered \mathcal{A}_Y^R -module \mathcal{M} . Then viewing $\int^j \mathcal{R}\mathcal{M} / z\mathcal{R}\mathcal{M}$ with its $\mathrm{gr}^{rel}\mathcal{A}_X^R$ -module structure as a sheaf on $T^*X \times \mathrm{Spec} R$ recovers the $\mathrm{gr}^{rel}\mathcal{A}_Y^R$ -module direct image $\int^j \mathrm{gr}^{rel}\mathcal{M}$. Viewing $\int^j \mathcal{R}\mathcal{M} / (z-1)\mathcal{R}\mathcal{M}$ as a \mathcal{A}_X^R -module recovers $\int^j \mathcal{M}$.*

Proof. We give the proof for $\int^j \mathrm{gr}^{rel} \mathcal{M}$, the proof for $\int^j \mathcal{M}$ is similar but easier.

Consider the following Cartesian square

$$\begin{array}{ccc} \mu^* T^* X \times \mathrm{Spec} R & \xrightarrow{T^* \mu} & T^* Y \times \mathrm{Spec} R \xrightarrow{\pi_Y} Y \times \mathrm{Spec} R \\ \downarrow \tilde{\mu} & & \downarrow \mu \\ T^* X \times \mathrm{Spec} R & \xrightarrow{\pi_X} & X \times \mathrm{Spec} R. \end{array}$$

Since π_X is flat the derived version of the flat base change theorem yields that ¹²

$$\mathbf{L}\pi_X^* \mathbf{R}\mu_* \left(\frac{\mathcal{R}\mathcal{M}}{z\mathcal{R}\mathcal{M}} \otimes_{\mathcal{A}_Y^R}^L \mathcal{R}\mathcal{A}_{Y \rightarrow X}^R \right) = \mathbf{R}\tilde{\mu}_* \mathbf{L}(T^* \mu \circ \pi_Y)^* \left(\frac{\mathcal{R}\mathcal{M}}{z\mathcal{R}\mathcal{M}} \otimes_{\mathcal{A}_Y^R}^L \mathcal{R}\mathcal{A}_{Y \rightarrow X}^R \right).$$

Since π_X is flat it follows that $\mathcal{H}^j \mathbf{L}\pi_X^*(-) = \pi_X^* \mathcal{H}^j(-)$ ¹³. It now suffices to show that the right hand side is $\int \mathrm{gr}^{rel} \mathcal{M}$.

Since π_Y is flat it holds that $\mathbf{L}(T^* \mu \circ \pi_Y)^* = \mathbf{L}(T^* \mu)^* \circ \mathbf{L}\pi_Y^*$ ¹⁴. We show that $\mathbf{L}\pi_Y^* \left(\frac{\mathcal{R}\mathcal{M}}{z\mathcal{R}\mathcal{M}} \otimes_{\mathcal{A}_Y^R}^L \mathcal{R}\mathcal{A}_{Y \rightarrow X}^R \right) \cong \mathrm{gr}^{rel} \mathcal{M} \otimes_{\mu^{-1}\mathcal{O}_X^R}^L \tilde{\mu}^* \mathrm{gr}^{rel} \mathcal{A}_X^R$ from which the result follows immediately.

Let \mathcal{F}^\bullet denote a bimodule resolution for $\mathcal{R}\mathcal{A}_{Y \rightarrow X}^R$ by locally free left $\mathcal{R}\mathcal{A}_Y^R$ -modules. Then $(\mathcal{R}\mathcal{A}_Y^R / z\mathcal{R}\mathcal{A}_Y^R) \otimes_{\mathcal{R}\mathcal{A}_Y^R} \mathcal{F}^\bullet$ is a bimodule resolution for $\mathrm{gr}^{rel} \mathcal{A}_{Y \rightarrow X}^R$ by locally free left $\mathrm{gr}^{rel} \mathcal{A}_Y^R$ -modules. Now $\mathbf{L}\pi_Y^*$ just means applying $\pi^{-1}(-) \otimes \mathcal{O}_{T^*Y}$ to the terms of this free resolution. Due to flatness this yields a free resolution in $\pi^* \mathrm{gr}^{rel} \mathcal{A}_Y^R$ -modules of $\pi^* \mathrm{gr}^{rel} \mathcal{A}_{Y \rightarrow X}^R$. Since $\mathrm{gr}^{rel} \mathcal{A}_{Y \rightarrow X}^R = \mathcal{O}_Y^R \otimes_{\mu^{-1}\mathcal{O}_X^R} \mu^{-1} \mathrm{gr}^{rel} \mathcal{A}_X^R$ and $\pi^* \mu^* = \tilde{\mu}^* \pi^*$ the desired equality follows. ¹⁵ \square

It turns out that one can directly compare $\mathrm{gr}_{[\ell]}^{rel} \int^j \mathcal{M}$ and $\int^j \mathrm{gr}_{[\ell]}^{rel} \mathcal{M}$ when ℓ is large. Some care is required since $\int^j \mathcal{R}\mathcal{M}$ may have $\mathbb{C}[z]$ -torsion.

Lemma 1.2.11. *Consider a \mathcal{A}_Y^R -module \mathcal{M} with a good filtration. Then, for sufficiently large ℓ , the kernel of z^ℓ in $\int^j \mathcal{R}\mathcal{M}$ stabilises. For such ℓ the quotient $\int^j \mathcal{R}\mathcal{M} / \ker z^\ell$ is the $\mathcal{R}\mathcal{A}_X^R$ -coherent module associated to a good filtration on $\int^j \mathcal{M}$.*

Proof. By $\int \mathcal{R}\mathcal{M}$ being coherent over the sheaf of Noetherian rings $\mathcal{R}\mathcal{A}_X^R$ it follows that $\ker z^\ell$ locally stabilises. This is sufficient since $X \times \mathrm{Spec} R$ is assumed to be compact.

Now consider the short exact sequence $0 \rightarrow \mathcal{R}\mathcal{M} \xrightarrow{z-1} \mathcal{R}\mathcal{M} \rightarrow \mathcal{M} \rightarrow 0$. This induces a long exact sequence

$$\cdots \rightarrow \int^j \mathcal{R}\mathcal{M} \xrightarrow{z-1} \int^j \mathcal{R}\mathcal{M} \rightarrow \int^j \mathcal{M} \rightarrow \int^{j+1} \mathcal{R}\mathcal{M} \xrightarrow{z-1} \cdots$$

Since $\int^{j+1} \mathcal{R}\mathcal{M}$ is a graded $\mathcal{R}\mathcal{A}_X^R$ -module it follows that $z-1$ is injective whence $\int^j \mathcal{R}\mathcal{M} / (z-1) \int^j \mathcal{R}\mathcal{M} \cong \int^j \mathcal{M}$. This yields the desired result using that $\int^j \mathcal{R}\mathcal{M} / \ker z^\ell$ is $\mathbb{C}[z]$ -torsion free and the isomorphism

$$\frac{\int^j \mathcal{R}\mathcal{M}}{(z-1) \int^j \mathcal{R}\mathcal{M}} \cong \frac{\int^j \mathcal{R}\mathcal{M} / \ker z^\ell}{(z-1)(\int^j \mathcal{R}\mathcal{M} / \ker z^\ell)}.$$

\square

¹²Note: Check in detail that the theorem is applicable and has this conclusion due to flatness

¹³Note: $\mathcal{H}^j \mathbf{L}\pi_X^*(-) = \pi_X^* \mathcal{H}^j(-)$?

¹⁴Note: $\mathbf{L}(T^* \mu \circ \pi_Y)^* = \mathbf{L}(T^* \mu)^* \circ \mathbf{L}\pi_Y^*$?

¹⁵Note: Write out more

From now on we equip $\int^j \mathcal{M}$ with the good filtration inherited from the Rees module's direct image.

Lemma 1.2.12. *Consider a \mathcal{A}_Y^R -module \mathcal{M} with a good filtration. Then, if ℓ is sufficiently large, $\mathrm{gr}_{[\ell]}^{\mathrm{rel}} \int^j \mathcal{M}$ is a subquotient of $\int^j \mathrm{gr}_{[\ell]}^{\mathrm{rel}} \mathcal{M}$.*

Proof. The short exact sequence $0 \rightarrow \mathcal{R}\mathcal{M} \xrightarrow{z^\ell} \mathcal{R}\mathcal{M} \rightarrow \mathcal{R}\mathcal{M}/z^\ell \mathcal{R}\mathcal{M} \rightarrow 0$ induces a long exact sequence

$$\cdots \rightarrow \int^j \mathcal{R}\mathcal{M} \xrightarrow{z^\ell} \int^j \mathcal{R}\mathcal{M} \rightarrow \int^j \mathcal{R}\mathcal{M}/z^\ell \mathcal{R}\mathcal{M} \rightarrow \int^{j+1} \mathcal{R}\mathcal{M} \xrightarrow{z^\ell} \cdots$$

Hence, $\int^j \mathcal{R}\mathcal{M}/z^\ell \int^j \mathcal{R}\mathcal{M}$ is a submodule of $\int^j (\mathcal{R}\mathcal{M}/z^\ell \mathcal{R}\mathcal{M})$ and it remains to show that $\mathcal{R} \int^j \mathcal{M}/z^\ell \mathcal{R} \int^j \mathcal{M}$ is a quotient of $\int^j \mathcal{R}\mathcal{M}/z^\ell \int^j \mathcal{R}\mathcal{M}$.

Let ℓ be sufficiently large so that lemma 1.2.11 yields a isomorphism $\int^j \mathcal{R}\mathcal{M}/\ker z^\ell \cong \mathcal{R} \int^j \mathcal{M}$. The map z^ℓ induces a isomorphism $\int^j \mathcal{R}\mathcal{M}/\ker z^\ell \cong z^\ell \int^j \mathcal{R}\mathcal{M}$. Therefore $z^\ell \int^j \mathcal{R}\mathcal{M}/z^{2\ell} \int^j \mathcal{R}\mathcal{M} \cong \mathcal{R} \int^j \mathcal{M}/z^\ell \mathcal{R} \int^j \mathcal{M}$. The desired quotient follows by applying the map $m \mapsto z^\ell m$ on $\int^j \mathcal{R}\mathcal{M}/z^\ell \int^j \mathcal{R}\mathcal{M}$. \square

The main remaining task is to relate these results to the desired case of $\ell = 1$.

Definition 1.2.4. *For any $\ell \geq 1$ the G -filtration on a $\mathcal{R}\mathcal{A}_Y^R$ -module \mathcal{M} is defined by the decreasing sequence of $\mathrm{gr}_{[\ell]}^{\mathrm{rel}} \mathcal{A}_Y^R$ -submodules $G_j \mathcal{M} := z^j \mathcal{M}$.*

Lemma 1.2.13. *For any filtered \mathcal{A}_Y^R -module \mathcal{M} and $\ell \geq 1$ there is the a isomorphism of $\mathrm{gr} \mathcal{A}_Y^R$ -modules*

$$\mathrm{gr}^G \mathrm{gr}_{[\ell]}^{\mathrm{rel}} \mathcal{M} \cong (\mathrm{gr}^{\mathrm{rel}} \mathcal{M})^\ell.$$

Proof. This follows from directly from the fact that $G_j \mathrm{gr}_{[\ell]}^{\mathrm{rel}} \mathcal{M} = \oplus_k F_{k-j} \mathcal{M}/F_{k-\ell} \mathcal{M}$. \square

Lemma 1.2.14. *Consider a $\mathcal{R}\mathcal{A}_Y^R$ -module \mathcal{M} . Then one has a isomorphism $\mathrm{gr}^G \int \mathcal{M} \cong \int \mathrm{gr}^G \mathcal{M}$ in $\mathbf{D}^{b,r}(\mathrm{gr}^{\mathrm{rel}} \mathcal{A}_X^R)$.*

Proof. Writing out the direct image functors the desired result is a isomorphism

$$\mathrm{gr}^G \mathbf{R}\mu_*(\mathcal{M} \otimes_{\mathcal{R}\mathcal{A}_Y^R}^L \mathcal{R}\mathcal{A}_{Y \rightarrow X}^R) \cong \mathbf{R}\mu_*(\mathrm{gr}^G \mathcal{M} \otimes_{\mu^{-1}\mathcal{O}_X^R}^L \mathrm{gr}^{\mathrm{rel}} \mathcal{A}_X^R).$$

The proof of the commutation proceeds in two steps corresponding to the two derived functors.

Let \mathcal{F}^\bullet be a bimodule resolution for $\mathcal{R}\mathcal{A}_{Y \rightarrow X}^R$ by locally free left $\mathcal{R}\mathcal{A}_Y^R$ -modules. There is a G -filtration on this complex given by $z^j(\mathcal{M} \otimes_{\mathcal{R}\mathcal{A}_Y^R} \mathcal{F}^\bullet) = (z^j \mathcal{M}) \otimes_{\mathcal{R}\mathcal{A}_Y^R} \mathcal{F}^\bullet$. By the flatness of locally free sheaves and the short exact sequence $0 \rightarrow \oplus_j z^j \mathcal{M} \rightarrow \oplus_j z^{j-1} \mathcal{M} \rightarrow \mathrm{gr}^G \mathcal{M} \rightarrow 0$ it follows that $\mathrm{gr}^G(\mathcal{M} \otimes_{\mathcal{R}\mathcal{A}_Y^R} \mathcal{F}^\bullet) \cong (\mathrm{gr}^G \mathcal{M}) \otimes_{\mathcal{R}\mathcal{A}_Y^R} \mathcal{F}^\bullet$. Further, by the argument in the proof of lemma 1.2.10 the complex of $\mathrm{gr}^G \mathcal{A}_Y^R$ -modules $(\mathrm{gr}^G \mathcal{M}) \otimes_{\mathcal{R}\mathcal{A}_Y^R} \mathcal{F}^\bullet$ can be viewed as a representative of $(\mathrm{gr}^G \mathcal{M}) \otimes_{\mu^{-1}\mathcal{O}_X^R}^L \mathrm{gr}^{\mathrm{rel}} \mathcal{A}_X^R$.¹⁶

Denote $\mathcal{G}(-)$ for the functor which takes a sheaf complex and returns its Godement resolution. Flabby sheaves are acyclic for μ_* so the Godement resolution may be used to

¹⁶Note: Check after lemma is entirely proven

compute $\mathbf{R}\mu_*$. Moreover, since the terms of a Godement resolution are essentially direct sums of formal products of stalks, it is immediate that $z^i \mathcal{G}(\mathcal{N}^\bullet) = \mathcal{G}(z^i \mathcal{N}^\bullet)$ and that $\mathrm{gr}^G \mathcal{G}(\mathcal{N}^\bullet) = \mathcal{G}(\mathrm{gr}^G \mathcal{N}^\bullet)$ for any complex of right $\mu^{-1} \mathcal{R} \mathcal{A}_X^R$ -modules \mathcal{N}^\bullet . Applying μ_* to these equalities and setting $\mathcal{N}^\bullet = \mathcal{M} \otimes_{\mathcal{R} \mathcal{A}_Y^R} \mathcal{F}^\bullet$ yields the desired result. \square

Proposition 1.2.15. *For a filtered \mathcal{A}_Y^R -module \mathcal{M} with a good filtration it holds that*

$$\mathrm{supp} \, \mathrm{gr}^{rel} \int^j \mathcal{M} \subseteq \mathrm{supp} \int^j \mathrm{gr}^{rel} \mathcal{M}.$$

Proof. Let $\ell \geq 0$ be sufficiently large so that lemma 1.2.12 holds, that is to say that $\mathrm{gr}_{[\ell]}^{rel} \int^j \mathcal{M}$ is a subquotient of $\int^j \mathrm{gr}_{[\ell]}^{rel} \mathcal{M}$. By lemma 1.2.13 it holds that $\mathrm{gr}^G \mathrm{gr}_{[\ell]}^{rel} \int^j \mathcal{M} \cong (\mathrm{gr}^{rel} \int \mathcal{M})^\ell$. Since $\mathrm{gr}_{[\ell]}^{rel} \int^j \mathcal{M}$ is a subquotient of $\int \mathrm{gr}_{[\ell]}^{rel} \mathcal{M}$ it remains to show that the support of $\mathrm{gr}^G \int^j \mathrm{gr}_{[\ell]}^{rel} \mathcal{M}$ is a subset of the support of $\int^j \mathrm{gr} \mathcal{M}$.

This can be established with the spectral sequence associated of the G -filtered complex $\int \mathrm{gr}_{[\ell]}^{rel} \mathcal{M}$. Since the G -filtration is finite on $\mathrm{gr}_{[\ell]}^{rel} \mathcal{A}_X^R$ -modules the associated spectral sequence abuts by general results¹⁷. To be precise the associated spectral sequence with terms $E_{pq}^2 = \mathcal{H}^{p+q} \mathrm{gr}^G \int \mathrm{gr}_{[\ell]}^{rel} \mathcal{M}$ abuts to $\mathrm{gr}^G \int \mathcal{M}$. By lemma 1.2.14 and lemma 1.2.13 it holds that $E_{pq}^2 \cong (\int^{p+q} \mathrm{gr} \mathcal{M})^\ell$.¹⁸ It follows that $\mathrm{supp} \, \mathrm{gr}^G \int^j \mathrm{gr}_{[\ell]}^{rel} \mathcal{M}$ is a subset of the support of $\int \mathrm{gr} \mathcal{M}$ which completes the proof. \square

¹⁷Note: Found spectral sequence result online, add good reference.

¹⁸Note: Or E^1 ? Seems to depend on preference but should actually matter somewhat for the differentials.

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