# Writing Scientific Papers and Software

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Abstract—Eine kritische Entscheidung bei der Verwendung von SGD Varianten ist die größe der Batch size. In der Vergangenheit hat sich gezeigt, dass bei der Verwendung großer Batch sizes eine Generalisierungslücke entsteht. Diese lässt sich (bedingt) durch eine größere Learning rate ausgleichen, allerdings bleiben die gefundenen Minima meist schärfer als bei kleineren Batch Sizes. Innerhalb dieses Projektes wird evaluiert, ob sich eine Formulierung, die die sharpness von Minimizern reguliert, eignet, um die Probleme großer Batch sizes auszugleichen.

## I. Introduction

Deep Learning ist zu einem populären Standardansatz geworden um (unter anderem) Klassifizierungsprobleme zu lösen. Das dabei (bei Deep Learning) zu lösende (nichtkonvexe) Optimierungsproblem ist von der Form

$$\min_{w \in \mathbb{R}^d} f(w) := \frac{1}{M} \sum_{i=1}^M f_i(w), 1 \tag{1}$$

wobei  $f_i$  die Lossfunktion ist, die den Verlust für Datenpunkt  $i \in \{1,2,...,M\}$  berechnet. M ist dabei die Anzahl der Datenpunkte und w der Gewichtsvektor. Meistens verwendet man zur Minimierung solcher Stochastic Gradient Descent (SGD) Varianten. Hierbei wird f minimiert, indem man iterativ Schritte der Form

$$x_{k+1} = x_k - \alpha_k \left( \frac{1}{|B_k|} \sum_{i=1}^{|B_k|} \nabla f_i(w_k) \right).$$
 (2)

Dabei ist  $B_k \subset \{1,2,...,M\}$  ein zufällig ausgewählter Batch der größe B (besser anderer Buchstabe) und  $\alpha_k$  die learning rate im Iterationsschritt k. Üblicherweise verwendet man hierbei Batches der Größe  $B \in \{32,64,...,512\}$ , wobei  $B \ll M$ . (Eventuell noch ergänzen, was die Vorteile bei der Verwendung von SGD ist und außerdem Verweise auf Paper einfügen, in denen der Vorteil dieser Batches aufgezeigt wurde.)

Da diese eher kleinen Batches eine "computational" Herausforderung sind (eventuell Verweise oder Begründung anbringen), wurde in der Vergangenheit untersucht, welche Auswirkungen größere Batch sizes auf den Lernprozess und die endgültigen Gewichte haben. (Evtl. Gewichte durch Resultat oder sowas austauschen) Allgemein zeigt sich, dass unter Verwendung größerer Batches eine größere Generalisierungslücke entsteht, die unter anderem durch sogenannte "scharfe Minima" entsteht [?]. Diese kann zum Teil durch eine angepasste learning Rate ausgeglichen werden [?], die scharfen Minima bleiben allerdings bestehen. Daher gab es zuletzt (zuletzt ist eine suboptimale Formulierung) Bestrebungen, eine Problemformulierung zu entwickeln, die nicht nur

die gegebene Lossfunktion minimiert, sondern gleichzeitig die schärfe der Minima reguliert [?].

Innerhalb dieses Projektes wird nun evaluiert, inwiefern sich SAM eignet, um ein Training mit großen Batches zu ermöglichen.

Wir werden hierzu ein sehr einfaches neurales Netz zur Bilderkennung einmal unter Verwendung von SGD mit kleinen bis moderaten Batchsizes trainieren und zum Vergleich SAM auf große Batches anwenden.

Die sich dabei ergenden Minima werden auf ihre Schärfe hin untersucht, es wird evaluiert, in welchem Setting die Modelle besser generalisieren und wie die Laufzeit der jeweiligen Ansätze ist. Außerdem evaluieren wir, in welchem Zusammenhang learning Rate und Batch Size im SGD Szenario stehen und überprüfen, ob sich dieser Zusammenhang auch unter der Verwendung von SAM zeigt.

In [?]

The aim of writing a paper is to infect the mind of your reader with the brilliance of your idea [1]. The hope is that after reading your paper, the audience will be convinced to try out your idea. In other words, it is the medium to transport the idea from your head to your reader's head. In the following section, we show a common structure of scientific papers and briefly outline some tips for writing good papers in Section III.

At that point, it is important that the reader is able to reproduce your work [2], [3], [4]. This is why it is also important that if the work has a computational component, the software associated with producing the results are also made available in a useful form. Several guidelines for making your user's experience with your software as painless as possible is given in Section IV.

This brief guide is by no means sufficient, on its own, to make its reader an accomplished writer. The reader is urged to use the references to further improve his or her writing skills.

# II. THE STRUCTURE OF A PAPER

Scientific papers usually begin with the description of the problem, justifying why the problem is interesting. Most importantly, it argues that the problem is still unsolved, or that the current solutions are unsatisfactory. This leads to the main gist of the paper, which is "the idea". The authors then show evidence, using derivations or experiments, that the idea works. Since science does not occur in a vacuum, a proper comparison to the current state of the art is often part of the results. Following these ideas, papers usually have the following structure:

## Abstract

Short description of the whole paper, to help the reader decide whether to read it.

#### Introduction

Describe your problem and state your contributions. Models and Methods

Describe your idea and how it was implemented to solve the problem. Survey the related work, giving credit where credit is due.

## Results

Show evidence to support your claims made in the introduction.

## Discussion

Discuss the strengths and weaknesses of your approach, based on the results. Point out the implications of your novel idea on the application concerned.

## Summary

Summarize your contributions in light of the new results.

# III. TIPS FOR GOOD WRITING

The ideas for good writing have come from [5], [1], [6].

# A. Getting Help

One should try to get a draft read by as many friendly people as possible. And remember to treat your test readers with respect. If they are unable to understand something in your paper, then it is highly likely that your reviewers will not understand it either. Therefore, do not be defensive about the criticisms you get, but use it as an opportunity to improve the paper. Before your submit your friends to the pain of reading your draft, please *use a spell checker*.

# B. Abstract

The abstract should really be written last, along with the title of the paper. The four points that should be covered [1]:

- 1) State the problem.
- 2) Say why it is an interesting problem.
- 3) Say what your solution achieves.
- 4) Say what follows from your solution.

# C. Figures and Tables

Use examples and illustrations to clarify ideas and results. For example, by comparing Figure 1 and Figure 2, we can see the two different situations where Fourier and wavelet basis perform well.

# D. Models and Methods

The models and methods section should describe what was done to answer the research question, describe how it was done, justify the experimental design, and explain how the results were analyzed.

The model refers to the underlying mathematical model or structure which you use to describe your problem, or that your solution is based on. The methods on the other hand, are the algorithms used to solve the problem. In some cases, the

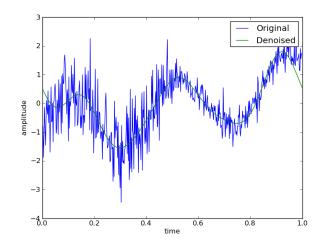


Fig. 1. Signal compression and denoising using the Fourier basis.

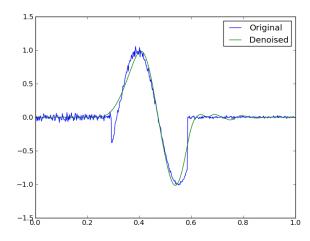


Fig. 2. Signal compression and denoising using the Daubechies wavelet basis.

suggested method directly solves the problem, without having it stated in terms of an underlying model. Generally though it is a better practice to have the model figured out and stated clearly, rather than presenting a method without specifying the model. In this case, the method can be more easily evaluated in the task of fitting the given data to the underlying model.

The methods part of this section, is not a step-by-step, directive, protocol as you might see in your lab manual, but detailed enough such that an interested reader can reproduce your work [6], [3].

The methods section of a research paper provides the information by which a study's validity is judged. Therefore, it requires a clear and precise description of how an experiment was done, and the rationale for why specific experimental procedures were chosen. It is usually helpful to structure the methods section by [7]:

 Layout the model you used to describe the problem or the solution.

- Describing the algorithms used in the study, briefly including details such as hyperparameter values (e.g. thresholds), and preprocessing steps (e.g. normalizing the data to have mean value of zero).
- 3) Explaining how the materials were prepared, for example the images used and their resolution.
- 4) Describing the research protocol, for example which examples were used for estimating the parameters (training) and which were used for computing performance.
- 5) Explaining how measurements were made and what calculations were performed. Do not reproduce the full source code in the paper, but explain the key steps.

## E. Results

Organize the results section based on the sequence of table and figures you include. Prepare the tables and figures as soon as all the data are analyzed and arrange them in the sequence that best presents your findings in a logical way. A good strategy is to note, on a draft of each table or figure, the one or two key results you want to address in the text portion of the results. The information from the figures is summarized in Table I.

When reporting computational or measurement results, always report the mean (average value) along with a measure of variability (standard deviation(s) or standard error of the mean).

### IV. TIPS FOR GOOD SOFTWARE

There is a lot of literature (for example [8] and [9]) on how to write software. It is not the intention of this section to replace software engineering courses. However, in the interests of reproducible research [2], there are a few guidelines to make your reader happy:

- Have a README file that (at least) describes what your software does, and which commands to run to obtain results. Also mention anything special that needs to be set up, such as toolboxes<sup>1</sup>.
- A list of authors and contributors can be included in a file called AUTHORS, acknowledging any help that you may have obtained. For small projects, this information is often also included in the README.
- Use meaningful filenames, and not temp1.py, temp2.py.
- Document your code. Each file should at least have a short description about its reason for existence. Non obvious steps in the code should be commented. Functions arguments and return values should be described.
- Describe how the results presented in your paper can be reproduced.

# A. ETFX Primer

LATEX is one of the most commonly used document preparation systems for scientific journals and conferences. It is based on the idea that authors should be able to focus on the content of what they are writing without being distracted by its visual presentation. The source of this file can be used as a starting point for how to use the different commands in LATEX. We are using an IEEE style for this course.

- 1) Installation: There are various different packages available for processing LATEX documents. See our webpage for more links for getting started.
- 2) Compiling LTEX: Your directory should contain at least 4 files, in addition to image files. Images should ideally be .pdf format (or .png).
- 3) Equations: There are three types of equations available: inline equations, for example y=mx+c, which appear in the text, unnumbered equations

$$y = mx + c$$
,

which are presented on a line on its own, and numbered equations

$$y = mx + c \tag{3}$$

which you can refer to at a later point (Equation (3)).

4) Tables and Figures: Tables and figures are "floating" objects, which means that the text can flow around it. Note that figure\* and table\* cause the corresponding figure or table to span both columns.

## V. SUMMARY

The aim of a scientific paper is to convey the idea or discovery of the researcher to the minds of the readers. The associated software package provides the relevant details, which are often only briefly explained in the paper, such that the research can be reproduced. To write good papers, identify your key idea, make your contributions explicit, and use examples and illustrations to describe the problems and solutions.

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<sup>&</sup>lt;sup>1</sup>For those who are particularly interested, other common structures can be found at http://en.wikipedia.org/wiki/README and http://www.gnu.org/software/womb/gnits/.

Basis	Support	Suitable signals	Unsuitable signals
Fourier	global	sine like	localized
wavelet	local	localized	sine like

#### TABLE I

CHARACTERISTICS OF FOURIER AND WAVELET BASIS.

# REFERENCES

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