

Work-Package 7: “Toolchain”

Event-B Model of Subset 026, Section 3.5.3

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Model Description

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This document describes a formal model of the requirements of section 3.5.3 of the subset 026 of the ETCS specification 3.3.0 [Eur12]. This section describes the establishing of a communication connection between on-board and on-track equipment.

The model is expressed in the formal language Event-B [Abr10] and developed within the Rodin tool [Jas12]. This formalism allows an iterative modeling approach. In general, one starts with a very abstract description of the basic functionality and step-wise adds additional details until the desired level of accuracy of the model is reached. Rodin provides the necessary proof support to ensure the correctness of the refined behavior.

In this document we present an Event-B model of the protocol to initiate a communication session in an ETCS implementation, as implemented from the on-board unit. At first, we describe shortly the background of Event-B, then the overall approach taken to model this section and finally present the model in detail. For each of the iterative modeling steps, we describe the details added by the refinement.

OBU	on board unit
RIU	radio in-fill unit
RBC	radio block centre
SRS	system requirements specification

Table 1. Glossary

1 Short Introduction to Event-B

The formal language Event-B is based on a set-theoretic approach. It is a variant of the B language, with a focus on system level modeling [Abr10]. An Event-B model is separated into a static and a dynamic part.

The dynamic part of an Event-B model describes abstract state machines. The state is represented by a set of state variables. A transition from one state to another is represented by parametrized events which assign new values to the state variables. Event-B allows unbounded state spaces. They are constrained by invariants expressed in first order logic with equality which must be fulfilled in any case. The initial state is created by a special initialization event.

The static part of an Event-B model is represented by contexts. These consist of carrier sets, constants and axioms. The type system of a model is described by means of carrier sets and constraints expressed by axioms.

Event-B is not only comprised of descriptions of abstract state machines and contexts, but also includes a development approach. This approach consists of iterative refinement of the machines until the desired level of detail is reached. In the Rodin tool, proof obligations are automatically created which ensure correct refinement.

Together with the machine invariants, the proof obligations for the refinement are formally proven, creating proof trees. To accomplish this, there are different options: many proof obligations can be discharged by automated provers (e.g., AtelierB, NewPP, Rodin's SMT-plugin), but as the underlying logic is in general undecidable, it is sometimes necessary to use the interactive proof support of Rodin.

Any external actions, e.g., mode changes by the driver or train level changes are modeled via parametrized events. Only events can modify the variables of a machine. An Event-B model is on the system level, events are assumed to be called from a software system into which the

functional model is embedded. The guards of the events assure that any event can only be called when appropriate.

2 Modeling Strategy

The section 3.5.3 of the SRS describes how a communication session is established. In its context, the low level EURORADIO network connection (cf. §3.5.1.1) is considered basic functionality and is not part of the modeling.

The basic modeling element are entities which represent one piece of equipment, either on-board, i.e., on the train, or on-track. The model is constructed from the local point of view of an OBU entity. On-track entities are only modeled as possible communication partners.

3 Model Overview

Figure 1 shows the structure of the Event-B model. The left column represents the abstract state machines, the right column the contexts. An arrow from one machine to another machine represents a refinement relation, an arrow from a machine to a context represents a sees relation and arrow from one context to another represents an extension relation.

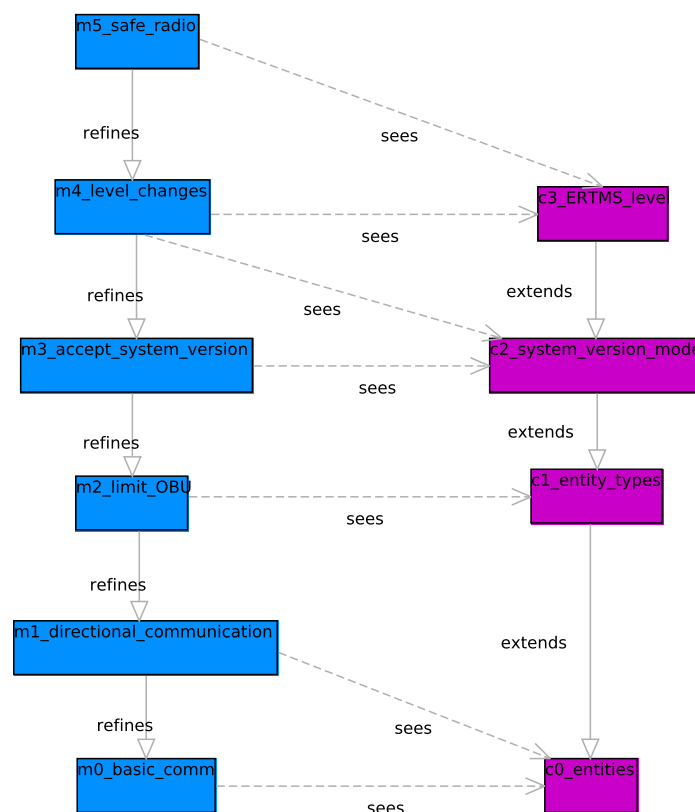


Figure 1. Overview on State Machine and Context Hierarchy

The modeling starts with the very abstract possibility to establish and to terminate a communication session in the machine $m0$, the set of entities is defined in the context $c0$. This basic functionality is refined in the succeeding machines to incorporate the different stages of the protocol to establish a session. The contexts further refine the entities to on-track and on-board entities and limit the modeling to the point of view of an OBU.

The machine $m1$ discerns incoming and outgoing communication sessions, i.e., initiated by the modeled piece of equipment or by an external one. The context $c1$ introduces the different

types of equipment which are used in *m2* to refine the two different protocols for outgoing and incoming sessions and to limit the model to the OBU point of view. *c2* introduces the notion of compatible systems. This is used in *m3* to identify on-track equipment with a compatible system version. This machine also discerns between accepting and non-accepting RBCs to contact. *c3* adds the different ERTMS levels and the relevant train modes to the model. This is used in *m4* to model the different situations where a communication session must be established. *m5* adds the notion of safe radio connection as low-level prerequisite for a communication session.

The representation of the state machines of the modeled protocols for establishing a communication session is modeled implicitly. The model allows sessions with different partners in parallel (but respects the constraints of the specification like §3.5.3.5.2). The state of the protocol with different partners is tracked by adding / removing these partners from sets representing those different states of the protocol.

4 Model Benefits

The Event-B model in Rodin has some interesting properties which are highlighted here. Some stem from the fact that Rodin is well integrated into the Eclipse platform which renders many useful plugins available, both those explicitly developed for integration with Rodin, but also other without Rodin in mind. Other interesting properties stem from the fact that Rodin and Event-B provide an extensive proof support for properties.

- **Refinement** The Event-B approach allows iterative development based on refinement. This allows starting modeling with a very abstract machine and then step-wise adding more detailed behavior. Rodin generates all the necessary proof obligations which are required to assure correct refinement.
- **Requirements Tracing** Rodin provides an extensible EMF model, therefore it is easily possible to trace requirements using the requirements modeling framework of Eclipse (RMF) via the ProR plugin. This allows the usage of requirement documents in the OMG standardized Requirements Interchange Format (ReqIF). Figure 2 shows the requirements tracing using ProR in Rodin.

Section	Description	Source Change	Target Change	Link
1	3.5 Management of Radio Communication			
1.1	3.5.1.1 Note: the following section refers to the behaviour of the user application interacting with Euroradio protocols. How the messages are actually transported from the sender to the receiver user application is not relevant for this description.			
1.2	3.5.2 General			
1.3	3.5.2.1 Each communication session managed by an entity shall allow the exchange of data with only one other entity.			0 > 1
1.4	3.5.2.2 Note: in the following sections reference is made to safe radio connections, whose definition and management is contained in Euroradio specification.			comm_sessions~/Subset_026_comm_session/m0_basic_cor
1.5	3.5.2.3 The information initiation of a Communication Session and Version not Compatible (see sections F3.5.3 and F Erreur ! Source du renvoi introuvable.) shall be the same in every system version.			
1.6	3.5.3 Establishing a communication session			
1.7	3.5.3.1 It shall be possible for ERTMS/ETCS on-board equipment and RBC to initiate a communication session.			0 > 3
1.8	3.5.3.2 A Radio Infill Unit (see section F Erreur ! Source du renvoi introuvable.) shall never initiate a communication session.			event outgoing_communication where @grd3 l_partner ∈ event incoming_communication where @grd3 l_partner ∈ axm4: my_entity ∈ on_board~/Subset_026_comm_session/k
1.9	3.5.3.3 Note: Only communication sessions between an ERTMS/ETCS on-board equipment and a trackside equipment (RBC or Radio Infill Unit) are considered here.			0 > 1
1.10	3.5.3.4 The on-board shall establish a communication session			event incoming_communication where @grd3 l_partner ∈
1.11	a) At Start of Mission (only if level 2 or 3).			0 > 1
1.12	b) If ordered from trackside.			event initiate_session_no_contact_SOM where @grd6 curr
1.13				0 > 2

Figure 2. Traceability in ReqIf file using ProR

- **Model Animation** The Event-B model can be animated via different plugins, e.g., ProB or AnimB. This allows the simulation of the model, by clicking on the activated events and tracking the resulting state of the variables. This technique allows to examine the run-time

behavior of the model, e.g., for testing purposes. There is also ongoing development for a model-based testing plugin in Rodin, which will allow storing and replaying of event sequences.

- **Non-Testable Requirements** The Event-B model supports the specification of invariants which can be formally proven using the proof support of Rodin. This includes for example the non-testable requirement specified in the subset 076 for §3.5.3.2 (see Section 5.5).
- **Safety Properties** Using Rodin’s proof support and the formalization as invariants, it is possible to formalize and prove the identified safety property of the case study (see Section 5.7).

5 Detailed Model Description

This section describes in more detail the formal model, beginning from the most abstract Event-B machine. For each refinement, in general only the important changes will be shown, the complete model is available as a Rodin project. At each step the additional modeled functionality and its representation will be described. In particular the initialization event is not shown for the refined machines. If not mentioned explicitly, sets are initialized empty, integers with value 0 and Boolean variables with false.

5.1 Context 0 - Entities

This context defines the type of entities with whom a communication session can be established. *my_entity* represents the piece of equipment which is modeled.

CONTEXT c0_entities

SETS

entities

CONSTANTS

my_entity

AXIOMS

axm1 : *my_entity* ∈ *entities*

END

5.2 Machine 0 - Basic Communication

This state machine represents the basic functionality. It allows for the creation and the termination of a communication session with another entity. The sessions are represented by the state variable *session* which can contain values of type *entities*. The respective events are triggered with a parameter *l_partner* representing the communication partner.

Implemented Requirements

- each session allows for communication between two entities (cf. §3.5.2.1)

SEES c0_entities

VARIABLES

```

sessions
INVARIANTS

    inv1 : sessions  $\subseteq$  entities \ {my_entity}
EVENTS
Initialisation
    begin
        act1 : sessions :=  $\emptyset$ 
    end
Event establish_communication  $\hat{=}$ 
    any

        l_partner
    where

        grd1 : l_partner  $\notin$  sessions
        grd2 : l_partner  $\neq$  my_entity
    then

        act1 : sessions := sessions  $\cup$  {l_partner}
    end
Event terminate_communication  $\hat{=}$ 
    any

        l_partner
    where

        grd1 : l_partner  $\in$  sessions
    then

        act1 : sessions := sessions \ {l_partner}
    end
END

```

5.3 Machine 1 - Directional Communication

The first refinement of the machine refines the notion of communication session to incoming sessions, i.e., where another entity initiates a session with *my_entity* and outgoing sessions where *my_entity* initiates the session.

The data refinement is proven by the invariant which states that *sessions* is equal to the disjoint union of *outgoing_sessions* and *incoming_sessions*. The abstract *establish_session* event is refined to the two events *incoming_session* and *outgoing_session*.

REFINES m0_basic_comm

SEES c0_entities

VARIABLES

incoming_sessions

outgoing_sessions

INVARIANTS

inv1 : *partition*(*sessions*, *incoming_sessions*, *outgoing_sessions*)

EVENTS

```

Event incoming_communication  $\widehat{=}$ 
refines establish_communication
  any
    l_partner
  where
    grd1 : l_partner  $\notin$  incoming_sessions  $\cup$  outgoing_sessions
    grd2 : l_partner  $\neq$  my_entity
  then
    act1 : incoming_sessions := incoming_sessions  $\cup$  {l_partner}
  end
Event outgoing_communication  $\widehat{=}$ 
refines establish_communication
  any
    l_partner
  where
    grd2 : l_partner  $\neq$  my_entity
    grd1 : l_partner  $\notin$  incoming_sessions  $\cup$  outgoing_sessions
  then
    act1 : outgoing_sessions := outgoing_sessions  $\cup$  {l_partner}
  end
Event terminate_communication  $\widehat{=}$ 
refines terminate_communication
  any
    l_partner
  where
    grd1 : l_partner  $\in$  incoming_sessions  $\cup$  outgoing_sessions
  then
    act1 : incoming_sessions := incoming_sessions  $\setminus$  {l_partner}
    act2 : outgoing_sessions := outgoing_sessions  $\setminus$  {l_partner}
  end
END

```

5.4 Context 1 - Entity Types

The first context extension introduces the different types of entities relevant in this requirement subset, i.e., on-board unit (OBU), radio in-fill unit (RIU) or radio block centre (RBC). Every entity has a unique type. The goal is to model the communication protocol from the point of view of an OBU, therefore the type of *my_entity* is restricted to OBU.

```

CONTEXT c1_entity_types
EXTENDS c0_entities
CONSTANTS

```

```

  RBC
  RIU
  OBU
  on_track

```

on_board

AXIOMS

axm1 : $\text{partition}(\text{entities}, RBC, RIU, OBU)$
axm2 : $\text{on_track} = RIU \cup RBC$
axm3 : $\text{on_board} = OBU$
axm4 : $\text{my_entity} \in \text{on_board}$

END

5.5 Machine 2 - On Board Modeling

The next machine refinement adds the notion of being contacted by an on-track entity to establish a communication session. It also adds the first state of the protocol, i.e., entities which should be contacted with the “Initiation of a communication session” message. On-track entities which order *my_entity* to contact are stored in the *contacted_by* set, entities to which the first message is sent by *my_entity* are stored in the set *contacted*, representing those which are in the first stage of the protocol.

The invariants prove that *my_entity* will only be in contact with on-track entities and that any entities which are considered for a communication session are on-track entities. Any entity with whom there is already a communication session will not be considered for another session, and finally no radio in-fill unit can initiate a communication session with *my_entity*.

Implemented Requirements

- It shall be possible for OBU and RBC to initiate communication session (cf. §3.5.3.1)
- RIU cannot initiate a communication session (cf. §3.5.3.2)

This invariant is marked as non-testable in Subset-076.

The other invariants ensure that a communication partner is not in different states of the communication protocol at the same time. A session protocol can be started by the order to contact an RBC or directly by the OBU.

REFINES m1_directional_communication

SEES c1_entity_types

VARIABLES

contacted
contacted_by

INVARIANTS

inv1 : $\text{incoming_sessions} \cup \text{outgoing_sessions} \subseteq \text{on_track}$
inv2 : $\text{contacted} \subseteq \text{on_track}$
inv3 : $\text{contacted_by} \subseteq \text{on_track}$
inv4 : $\text{contacted_by} \cap (\text{incoming_sessions} \cup \text{outgoing_sessions}) = \emptyset$
inv5 : $\text{contacted} \cap (\text{incoming_sessions} \cup \text{outgoing_sessions}) = \emptyset$
inv6 : $\text{incoming_sessions} \cap RIU = \emptyset$
inv7 : $\text{contacted} \cap \text{contacted_by} = \emptyset$

EVENTS**Event** *incoming_communication* $\widehat{=}$ **refines** *incoming_communication***any***l_partner***where***grd1* : *l_partner* \notin *incoming_sessions* \cup *outgoing_sessions**grd3* : *l_partner* \in *on_track* \setminus *RIU**grd4* : *l_partner* \notin *contacted**grd5* : *l_partner* \notin *contacted_by***then***act1* : *incoming_sessions* := *incoming_sessions* \cup {*l_partner*}**end****Event** *receive_contact_order* $\widehat{=}$ **any***l_partner***where***grd1* : *l_partner* \notin *contacted* \cup *contacted_by* \cup *incoming_sessions* \cup *outgoing_sessions**grd2* : *l_partner* \in *on_track***then***act1* : *contacted_by* := *contacted_by* \cup {*l_partner*}**end****Event** *initiate_session_after_contact* $\widehat{=}$ **any***l_partner***where***grd2* : *l_partner* \in *contacted_by***then***act1* : *contacted* := *contacted* \cup {*l_partner*}*act2* : *contacted_by* := *contacted_by* \setminus {*l_partner*}**end****Event** *initiate_session_no_contact* $\widehat{=}$ **any***l_partner***where***grd5* : *l_partner* \notin *incoming_sessions* \cup *outgoing_sessions* \cup *contacted* \cup *contacted_by**grd3* : *l_partner* \in *on_track***then***act2* : *contacted* := *contacted* \cup {*l_partner*}**end****END****5.6 Context 2 - System Version Compatibility**

The next context extension introduces the notion of compatible system versions. This is modeled as a static property of the on-track equipment wrt. *my_entity*, i.e., the context axiom that *system_version_compatible* is a subset of all on-track entities. On this level of abstraction, there is no need for a finer grained notion of compatibility.

EXTENDS c1_entity_types

CONSTANTS

system_version_compatible

AXIOMS

axm1 : $system_version_compatible \subseteq on_track$

END

5.7 Machine 3 - Accepting RBC and System Version

The next machine refines the contact order events by discerning between the orders to contact an accepting or a non-accepting RBC. The notion of being an accepting RBC is considered to be a dynamic property and therefore modeled as a variable, i.e., the set *accepting*.

The *receive_contact_order* event is refined by two separate events, one for orders for an accepting RBC and one for a non-accepting RBC. The *outgoing_communication* event is refined by two events, one for a compatible system version and the other for an incompatible one.

Furthermore, a just established communication session with on-track equipment with an incompatible system version will be terminated immediately after receiving this information. This is modeled by the set *terminating_session* which holds values of type entities. Only those communication sessions in this set can be closed by the termination event.

Implemented Requirements

- In case of a non-accepting RBC, all existing communication sessions with other RBCs must be terminated (cf. §3.5.3.5.2)
- After the system version is received by the OBU, the communication session is considered established and (cf. §3.5.3.8)
 - if the system version is compatible, the OBU shall send the session established message to track-side (cf. 3.5.3.8.a)
 - if the system version is incompatible, the OBU shall terminate the session (cf. 3.5.3.8.b)
- Any RBC which is contacted and with whom a communication session is established has a compatible system version (safety requirement from requirements document).

REFINES m2_limit_OBU

SEES c2_system_version_mode

VARIABLES

terminating_sessions

accepting

INVARIANTS

inv2 : $RBC \cap outgoing_sessions \setminus terminating_sessions \subseteq system_version_compatible$

inv3 : $accepting \subseteq RBC$

inv4 : $terminating_sessions \subseteq on_track$

EVENTS

```

Event receive_information_compatible  $\widehat{=}$ 
extends outgoing_communication
  any
    l_partner
  where
    grd3 : l_partner  $\in$  contacted
    grd4 : l_partner  $\in$  system_version_compatible
  then
    act1 : outgoing_sessions := outgoing_sessions  $\cup$  {l_partner}
    act2 : contacted := contacted  $\setminus$  {l_partner}
  end
Event receive_information_incompatible  $\widehat{=}$ 
extends outgoing_communication
  any
    l_partner
  where
    grd3 : l_partner  $\in$  contacted
    grd4 : l_partner  $\notin$  system_version_compatible
  then
    act1 : outgoing_sessions := outgoing_sessions  $\cup$  {l_partner}
    act2 : contacted := contacted  $\setminus$  {l_partner}
    act3 : terminating_sessions := terminating_sessions  $\cup$  {l_partner}
  end
Event receive_contact_order_accept  $\widehat{=}$ 
refines receive_contact_order
  any
    l_partner
  where
    grd1 : l_partner  $\notin$  contacted  $\cup$  contacted_by  $\cup$  incoming_sessions  $\cup$  outgoing_sessions
    grd3 : l_partner  $\in$  RIU  $\cup$  (RBC  $\cap$  accepting)
  then
    act1 : contacted_by := contacted_by  $\cup$  {l_partner}
  end
Event receive_contact_order_non_accept  $\widehat{=}$ 
refines receive_contact_order
  any
    l_partner
  where
    grd1 : l_partner  $\notin$  contacted  $\cup$  contacted_by  $\cup$  incoming_sessions  $\cup$  outgoing_sessions
    grd3 : l_partner  $\in$  RIU  $\cup$  (RBC  $\setminus$  accepting)
  then
    act1 : contacted_by := contacted_by  $\cup$  {l_partner}
    act2 : terminating_sessions := terminating_sessions  $\cup$  (RBC  $\cap$  (incoming_sessions  $\cup$  outgoing_sessions))
  end
Event terminate_communication  $\widehat{=}$ 
extends terminate_communication
  any

```

```

      l_partner
where

      grd1 : l_partner ∈ incoming_sessions ∪ outgoing_sessions
      grd2 : l_partner ∈ terminating_sessions
then

      act1 : incoming_sessions := incoming_sessions \ {l_partner}
      act2 : outgoing_sessions := outgoing_sessions \ {l_partner}
      act3 : terminating_sessions := terminating_sessions \ {l_partner}
end
Event make_RBC_accepting ≡
any

      l_partner
where

      grd1 : l_partner ∈ RBC
then

      act1 : accepting := accepting ∪ {l_partner}
end
Event make_RBC_non_accepting ≡
any

      l_partner
where

      grd1 : l_partner ∈ accepting
then

      act1 : accepting := accepting \ {l_partner}
end
END

```

5.8 Context 3 - ERTMS Levels

The third context introduces the notion of the different ERTMS and the notion of the mission status of a train. The modeled statuses are start of mission (SOM), end of mission (EOM) and the abstract notion of within a mission (MIS), i.e., anything between start and end of the current train mission. At this level of refinement, a more detailed modeling is not necessary.

CONTEXT *c3_ERTMS_level*

SETS

ERTMS_level

train_status

CONSTANTS

NTC

L0

L1

L2

L3

SOM start of mission

EOM end of mission

MIS while mission

AXIOMS

axm1 : *partition*(*ERTMS_level*, {*NTC*, *L0*, *L1*, *L2*, *L3*})

axm2 : *partition*(*train_status*, {*S OM*, *EOM*, *MIS*})

END

5.9 Machine 4 - ERTMS Level Changes

The next refined machine implements the different causes which can trigger the establishing of a communication session. The corresponding events refine the abstract *initiate_session_no_contact* event. For this, the current ERTMS level of the train is tracked, as well as its current mission status.

The indication of a level change, a mission status change, a manual level change and an announced radio hole is modeled by events. These events modify the corresponding indicator variables to signal a change and they modify the corresponding state variables.

This can be illustrated using the manual level change event as example: the Boolean variable *signal_manual_level_change* indicates that the driver manually changed the ERTMS level. It is changed by the *manual_change_level* event which is parametrized with the new level and which also modifies the *current_level* variable which models the current ERTMS level. If the new level is 2 or 3, then the train is required to establish a communication session with trackside. This is realized in the *initiate_session_no_contact_manual_change* event which reset the indication variable once the entity has been contacted. Similar events model the initiation because of non-manual level change, mission status change and announced radio holes.

Implemented Requirements

- The on-board shall establish a communication session (cf. §3.5.3.4)
 - at start of mission (only if level 2 or 3) (cf. §3.5.3.4.a)
 - if ordered from trackside (cf. §3.5.3.4.b)
 - If a mode change, not considered as an End of Mission, has to be reported to the RBC (only if level 2 or 3) (cf. §3.5.3.4.c)
 - If the driver has manually changed the level to 2 or 3 (cf. §3.5.3.4.d)
 - When the train front reaches the end of an announced radio hole (cf. §3.5.3.4.e)

REFINES m3_accept_system_version

SEES c3_ERTMS_level

VARIABLES

current_level

signal_level_change

current_status

signal_status_change

signal_manual_level_change

position_radio_hole

signal_radio_hole

INVARIANTS

inv1 : *current_level* \in *ERTMS_level*
inv2 : *signal_level_change* \in *BOOL*
inv3 : *current_status* \in *train_status*
inv4 : *signal_status_change* \in *BOOL*
inv5 : *signal_manual_level_change* \in *BOOL*
inv6 : *position_radio_hole* \in *BOOL*
inv7 : *signal_radio_hole* \in *BOOL*

EVENTS

Event *manual_change_level* $\hat{=}$

any

l_level

where

grd1 : *l_level* \in *ERTMS_level*
grd2 : *signal_manual_level_change* = *FALSE*
grd3 : *signal_level_change* = *FALSE*

then

act1 : *signal_manual_level_change* := *TRUE*
act2 : *current_level* := *l_level*

end

Event *change_level* $\hat{=}$

any

l_level

where

grd1 : *l_level* \in *ERTMS_level*
grd2 : *signal_manual_level_change* = *FALSE*
grd3 : *signal_level_change* = *FALSE*

then

act1 : *current_level* := *l_level*
act2 : *signal_level_change* := *TRUE*

end

extends *initiate_session_no_contact*

any

l_partner

where

grd5 : *l_partner* \notin *incoming_sessions* \cup *outgoing_sessions* \cup *contacted* \cup *contacted_by*
grd3 : *l_partner* \in *on_track*
grd6 : *current_status* = *SOM*
grd7 : *current_level* \in {*L2*, *L3*}

then

act2 : *contacted* := *contacted* \cup {*l_partner*}

end

Event *initiate_session_no_contact_manual_change* $\hat{=}$

extends *initiate_session_no_contact*

any

l_partner

where

$\text{grd5} : l_partner \notin \text{incoming_sessions} \cup \text{outgoing_sessions} \cup \text{contacted} \cup \text{contacted_by}$
 $\text{grd3} : l_partner \in \text{on_track}$
 $\text{grd6} : \text{current_level} \in \{L2, L3\}$
 $\text{grd7} : \text{signal_manual_level_change} = \text{TRUE}$

then

$\text{act2} : \text{contacted} := \text{contacted} \cup \{l_partner\}$
 $\text{act3} : \text{signal_manual_level_change} := \text{FALSE}$

end

END

5.10 Machine 5 - Safe Radio Connection

The next machine refinement specifies handling of the safe radio connection which provides the necessary means to exchange protocol messages on a higher level. The existing established safe radio connections are represented by the set *ER_connections* which holds values of type entities. Safe radio connections which must be established are modeled by the set *establish_ER_connections* while *terminated_ER_connections* holds those connections which timed-out. The indication variable *signal_RBC_border* signals the crossing of an RBC border which requires to establish a new safe radio connection with a new RBC.

Establishing a communication session then works as follows: if one of the conditions of §3.5.3.4 is fulfilled, the corresponding partner is added to the set *contacted*. This is a precondition of the events which open a safe radio connection to a communication partner. The initiation message of the protocol is considered to be sent when a communication partner is both *contacted* and *ER_connections* set. The reception of the system version and the sending of the system established message is modeled via the *receive_information_compatible* or *receive_information_incompatible* events. Established sessions with incompatible system versions are therefore in the intersection of the *sessions* and *terminating_sessions* sets.

Implemented Requirements

- Establish communication session after safe radio connection timeout (cf. §3.5.3.4.f)
- If the communication session is established by an OBU, it shall be preformed according to the following steps (cf. §3.5.3.7)
 - if part of ongoing start of mission procedure (cf. §3.5.3.7.a)
 - if safe radio connection is set up (cf. §3.5.3.7.i)
 - if order to terminate is received (cf. §3.5.3.7.ii)
 - if end of mission is performed (cf. §3.5.3.7.iii)
 - train passes level transition border (cf. §3.5.3.7.iv)
 - order to establish connection with different non-accepting RBC (cf. §3.5.3.7.v)
 - train passes RBC / RBC border (cf. §3.5.3.7.vi)
 - train enters announced radio hole (cf. §3.5.3.7.vii)
 - level 1 is left (RIU only) (cf. §3.5.3.7.viii)
- When the RBC initiates the establishing of a communication session, the OBU shall consider the session established after receiving the initiation message (cf. §3.5.3.10.c)

REFINES m4_level_changes

SEES c3_ERTMS_level

VARIABLES

ER_connections

terminated_ER_connections

establish_ER_connection

signal_RBC_border

INVARIANTS

inv1 : *terminated_ER_connections* \subseteq *on_track*

inv2 : *establish_ER_connection* \subseteq *on_track*

inv3 : (*incoming_sessions* \cup *outgoing_sessions*) \subseteq *ER_connections*

inv4 : *signal_RBC_border* \in *BOOL*

EVENTS

Event *incoming_communication* $\widehat{=}$

extends *incoming_communication*

any

l_partner

where

grd1 : *l_partner* \notin *incoming_sessions* \cup *outgoing_sessions*

grd3 : *l_partner* \in *on_track* \setminus *RIU*

grd4 : *l_partner* \notin *contacted*

grd5 : *l_partner* \notin *contacted_by*

grd6 : *l_partner* \in *ER_connections*

then

act1 : *incoming_sessions* := *incoming_sessions* \cup {*l_partner*}

end

Event *receive_information_compatible* $\widehat{=}$

extends *receive_information_compatible*

any

l_partner

where

grd3 : *l_partner* \in *contacted*

grd4 : *l_partner* \in *system_version_compatible*

grd5 : *l_partner* \in *ER_connections*

then

act1 : *outgoing_sessions* := *outgoing_sessions* \cup {*l_partner*}

act2 : *contacted* := *contacted* \setminus {*l_partner*}

end

Event *receive_information_incompatible* $\widehat{=}$

extends *receive_information_incompatible*

any

l_partner

where

grd3 : *l_partner* \in *contacted*

grd4 : *l_partner* \notin *system_version_compatible*

grd5 : *l_partner* \in *ER_connections*

```

    then
        act1 : outgoing_sessions := outgoing_sessions  $\cup$  {l_partner}
        act2 : contacted := contacted  $\setminus$  {l_partner}
        act3 : terminating_sessions := terminating_sessions  $\cup$  {l_partner}
    end
Event receive_contact_order_accept  $\hat{=}$ 
    order to contact a RIU or accepting RBC
extends receive_contact_order_accept
    any
        l_partner
    where
        grd1 : l_partner  $\notin$  contacted  $\cup$  contacted_by  $\cup$  incoming_sessions  $\cup$  outgoing_sessions
        grd3 : l_partner  $\in$  RIU  $\cup$  (RBC  $\cap$  accepting)
        grd4 : l_partner  $\notin$  terminated_ER_connections
    then
        act1 : contacted_by := contacted_by  $\cup$  {l_partner}
    end
Event receive_contact_order_non_accept  $\hat{=}$ 
extends receive_contact_order_non_accept
    any
        l_partner
    where
        grd1 : l_partner  $\notin$  contacted  $\cup$  contacted_by  $\cup$  incoming_sessions  $\cup$  outgoing_sessions
        grd3 : l_partner  $\in$  RIU  $\cup$  (RBC  $\setminus$  accepting)
        grd4 : l_partner  $\notin$  terminated_ER_connections
    then
        act1 : contacted_by := contacted_by  $\cup$  {l_partner}
        act2 : terminating_sessions := terminating_sessions  $\cup$  (RBC  $\cap$  (incoming_sessions  $\cup$  outgoing_sessions))
    end
Event initiate_session_after_contact  $\hat{=}$ 
extends initiate_session_after_contact
    any
        l_partner
    where
        grd2 : l_partner  $\in$  contacted_by
        grd3 : l_partner  $\notin$  terminated_ER_connections
    then
        act1 : contacted := contacted  $\cup$  {l_partner}
        act2 : contacted_by := contacted_by  $\setminus$  {l_partner}
        act3 : establish_ER_connection := establish_ER_connection  $\cup$  {l_partner}
    end
Event initiate_session_no_contact_SOM  $\hat{=}$ 
extends initiate_session_no_contact_SOM
    any
        l_partner
    where
        grd5 : l_partner  $\notin$  incoming_sessions  $\cup$  outgoing_sessions  $\cup$  contacted  $\cup$  contacted_by

```

```

    grd3 :  $l\_partner \in on\_track$ 
    grd6 :  $current\_status = SOM$ 
    grd7 :  $current\_level \in \{L2, L3\}$ 
    grd8 :  $l\_partner \notin terminated\_ER\_connections$ 
  then

    act2 :  $contacted := contacted \cup \{l\_partner\}$ 
    act3 :  $establish\_ER\_connection := establish\_ER\_connection \cup \{l\_partner\}$ 
  end

Event initiate_session_no_contact_status_change  $\hat{=}$ 
extends initiate_session_no_contact_status_change
  any

     $l\_partner$ 
  where

    grd5 :  $l\_partner \notin incoming\_sessions \cup outgoing\_sessions \cup contacted \cup contacted\_by$ 
    grd3 :  $l\_partner \in on\_track$ 
    grd6 :  $current\_level \in \{L2, L3\}$ 
    grd7 :  $signal\_status\_change = TRUE$ 
    grd8 :  $current\_status \neq EOM$ 
    grd9 :  $l\_partner \notin terminated\_ER\_connections$ 
  then

    act2 :  $contacted := contacted \cup \{l\_partner\}$ 
    act3 :  $signal\_status\_change := FALSE$ 
    act4 :  $establish\_ER\_connection := establish\_ER\_connection \cup \{l\_partner\}$ 
  end

Event initiate_session_no_contact_manual_change  $\hat{=}$ 
extends initiate_session_no_contact_manual_change
  any

     $l\_partner$ 
  where

    grd5 :  $l\_partner \notin incoming\_sessions \cup outgoing\_sessions \cup contacted \cup contacted\_by$ 
    grd3 :  $l\_partner \in on\_track$ 
    grd6 :  $current\_level \in \{L2, L3\}$ 
    grd7 :  $signal\_manual\_level\_change = TRUE$ 
    grd8 :  $l\_partner \notin terminated\_ER\_connections$ 
  then

    act2 :  $contacted := contacted \cup \{l\_partner\}$ 
    act3 :  $signal\_manual\_level\_change := FALSE$ 
    act4 :  $establish\_ER\_connection := establish\_ER\_connection \cup \{l\_partner\}$ 
  end

Event initiate_session_no_contact_leave_radio_hole  $\hat{=}$ 
extends initiate_session_no_contact_leave_radio_hole
  any

     $l\_partner$ 
  where

    grd5 :  $l\_partner \notin incoming\_sessions \cup outgoing\_sessions \cup contacted \cup contacted\_by$ 
    grd3 :  $l\_partner \in on\_track$ 
    grd6 :  $position\_radio\_hole = FALSE$ 
    grd7 :  $signal\_radio\_hole = TRUE$ 
    grd8 :  $l\_partner \notin terminated\_ER\_connections$ 

```

```

    then
        act2 : contacted := contacted  $\cup$  {l_partner}
        act3 : signal_radio_hole := FALSE
        act4 : establish_ER_connection := establish_ER_connection  $\cup$  {l_partner}
    end
Event initiate_session_after_timeout  $\hat{=}$ 
extends initiate_session_no_contact
    any

        l_partner
    where

        grd5 : l_partner  $\notin$  incoming_sessions  $\cup$  outgoing_sessions  $\cup$  contacted  $\cup$  contacted_by
        grd3 : l_partner  $\in$  on_track
        grd6 : l_partner  $\in$  terminated_ER_connections
    then

        act2 : contacted := contacted  $\cup$  {l_partner}
        act3 : terminated_ER_connections := terminated_ER_connections  $\setminus$  {l_partner}
        act4 : establish_ER_connection := establish_ER_connection  $\cup$  {l_partner}
    end
Event establish_ER_connection_SOM  $\hat{=}$ 
    any

        l_partner
    where

        grd1 : l_partner  $\in$  contacted
        grd2 : l_partner  $\in$  establish_ER_connection
        grd3 : current_status = SOM
    then

        act1 : establish_ER_connection := establish_ER_connection  $\setminus$  {l_partner}
        act2 : ER_connections := ER_connections  $\cup$  {l_partner}
    end
Event establish_ER_connection  $\hat{=}$ 
    any

        l_partner
    where

        grd1 : l_partner  $\in$  contacted
        grd2 : l_partner  $\in$  establish_ER_connection
        grd3 : current_status  $\neq$  SOM
    then

        act1 : establish_ER_connection := establish_ER_connection  $\setminus$  {l_partner}
        act2 : ER_connections := ER_connections  $\cup$  {l_partner}
    end
Event est_perform_end_of_mission  $\hat{=}$ 
    any

        l_partner
    where

        grd1 : l_partner  $\in$  contacted
        grd2 : l_partner  $\in$  establish_ER_connection
        grd3 : signal_status_change = TRUE
        grd4 : current_status = EOM

```

```

    then

        act1 : establish_ER_connection := establish_ER_connection \ {l_partner}

    end

Event est_terminate_order  $\hat{=}$ 
extends drop_contact

    any

        l_partner
    where

        grd1 : l_partner  $\in$  contacted
        grd2 : l_partner  $\in$  establish_ER_connection
        grd3 : current_status  $\neq$  SOM

    then

        act1 : contacted := contacted \ {l_partner}
        act2 : establish_ER_connection := establish_ER_connection \ {l_partner}

    end

Event est_pass_level_transition  $\hat{=}$ 

    any

        l_partner
    where

        grd1 : l_partner  $\in$  contacted
        grd2 : l_partner  $\in$  establish_ER_connection
        grd3 : signal_level_change = TRUE
        grd4 : current_status  $\neq$  SOM
        grd5 : current_level  $\in$  {L0, L1, NTC}

    then

        act1 : establish_ER_connection := establish_ER_connection \ {l_partner}

    end

Event est_pass_radio_hole  $\hat{=}$ 

    any

        l_partner
    where

        grd1 : l_partner  $\in$  contacted
        grd2 : l_partner  $\in$  establish_ER_connection
        grd3 : signal_radio_hole = TRUE  $\wedge$  position_radio_hole = TRUE
        grd4 : current_status  $\neq$  SOM

    then

        act1 : establish_ER_connection := establish_ER_connection \ {l_partner}

    end

Event est_RIU_leave_L1  $\hat{=}$ 

    any

        l_partner
    where

        grd1 : l_partner  $\in$  contacted
        grd2 : l_partner  $\in$  RIU
        grd3 : signal_level_change = TRUE
        grd5 : current_level  $\neq$  L1
        grd4 : current_status  $\neq$  SOM

    then

```



```

    act1 : establish_ER_connection := establish_ER_connection \ {l_partner}
end
Event est_RBC_border ≡
any
    l_partner
where
    grd1 : l_partner ∈ contacted
    grd2 : l_partner ∈ RBC
    grd3 : signal_RBC_border = TRUE
    grd4 : current_status ≠ SOM
then
    act1 : establish_ER_connection := establish_ER_connection \ {l_partner}
end
Event indicate_RBC_border ≡
any
    l_flag
where
    grd1 : l_flag ∈ BOOL
then
    act1 : signal_RBC_border := l_flag
end
Event est_other_RBC_non_accept ≡
any
    l_partner
where
    grd1 : l_partner ∈ contacted
    grd2 : l_partner ∈ RBC
    grd3 : RBC ∩ accepting ∩ contacted_by ≠ ∅
    grd4 : current_status ≠ SOM
then
    act1 : establish_ER_connection := establish_ER_connection \ {l_partner}
end
Event timeout_ER_connection ≡
extends drop_session
any
    l_partner
where
    grd1 : l_partner ∈ incoming_sessions ∪ outgoing_sessions
    grd3 : l_partner ∈ ER_connections
then
    act1 : incoming_sessions := incoming_sessions \ {l_partner}
    act2 : outgoing_sessions := outgoing_sessions \ {l_partner}
    act3 : ER_connections := ER_connections \ {l_partner}
    act4 : terminated_ER_connections := terminated_ER_connections ∪ {l_partner}
end
Event terminate_communication ≡
extends terminate_communication
any

```

```

    l_partner
where

    grd1 : l_partner ∈ incoming_sessions ∪ outgoing_sessions
    grd2 : l_partner ∈ terminating_sessions
    grd3 : l_partner ∉ terminated_ER_connections
then

    act1 : incoming_sessions := incoming_sessions \ {l_partner}
    act2 : outgoing_sessions := outgoing_sessions \ {l_partner}
    act3 : terminating_sessions := terminating_sessions \ {l_partner}
    act4 : ER_connections := ER_connections \ {l_partner}
end
Event make_RBC_accepting ≡
extends make_RBC_accepting
any

    l_partner
where

    grd1 : l_partner ∈ RBC
then

    act1 : accepting := accepting ∪ {l_partner}
end
Event make_RBC_non_accepting ≡
extends make_RBC_non_accepting
any

    l_partner
where

    grd1 : l_partner ∈ accepting
then

    act1 : accepting := accepting \ {l_partner}
end
END

```

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