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Work-Package 7: “Toolchain”

Event-B Model of Subset 026, Section 3.5.3

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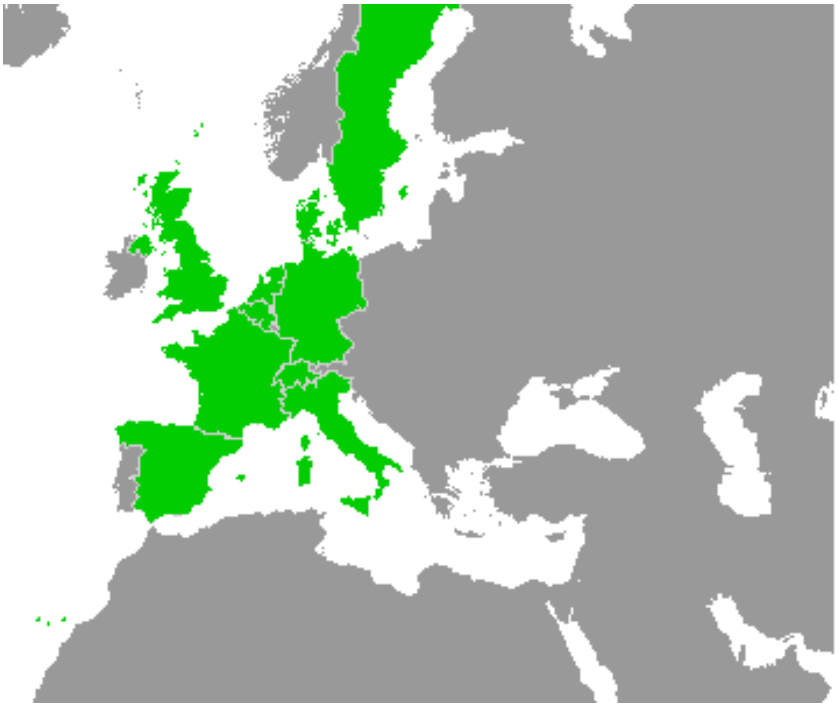
April 2013



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Model Description

Prepared for openETCS@ITEA2 Project

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This document describes a formal model of the requirements of section 3.5.3 of the subset 026 of the ETCS specification 3.3.0 [Eur12]. This section describes the establishing of a communication connection between on-board and on-track equipment.

The model is expressed in the formal language Event-B [Abr10] and developed within the Rodin tool [Jas12]. This formalism allows an iterative modeling approach. In general, one starts with a very abstract description of the basic functionality and step-wise adds additional details until the desired level of accuracy of the model is reached. Rodin provides the necessary proof support to ensure the correctness of the refined behavior.

In this document we present an Event-B model of the protocol to initiate a communication session in an ETCS implementation, as implemented from the on-board unit. At first, we describe shortly the background of Event-B, then the overall approach taken to model this section and finally present the model in detail. For each of the iterative modeling steps, we describe the details added by the refinement.

For a short introduction on Event-B and the usage of Rodin with models on github see `https://github.com/openETCS/model-evaluation/blob/master/model/B-Systerel/Event_B/rodin-projects-github.pdf?raw=true`

OBU	on board unit
RIU	radio in-fill unit
RBC	radio block centre
SRS	system requirements specification

Table 1. Glossary

1 Modeling Strategy

The section 3.5.3 of the SRS describes how a communication session is established. In its context, the low level EURORADIO network connection (cf. §3.5.1.1) is considered basic functionality and is not part of the modeling.

The basic modeling element are entities which represent one piece of equipment, either on-board, i.e., on the train, or on-track. The model is constructed from the local point of view of an OBU entity. On-track entities are only modeled as possible communication partners.

2 Model Overview

Figure 1 shows the structure of the Event-B model. The left column represents the abstract state machines, the right column the contexts. An arrow from one machine to another machine represents a refinement relation, an arrow from a machine to a context represents a sees relation and arrow from one context to another represents an extension relation.

The modeling starts with the very abstract possibility to establish and to terminate a communication session in the machine *m0*, the set of entities is defined in the context *c0*. This basic functionality is refined in the succeeding machines to incorporate the different stages of the protocol to establish a session. The contexts further refine the entities to on-track and on-board entities and limit the modeling to the point of view of an OBU.

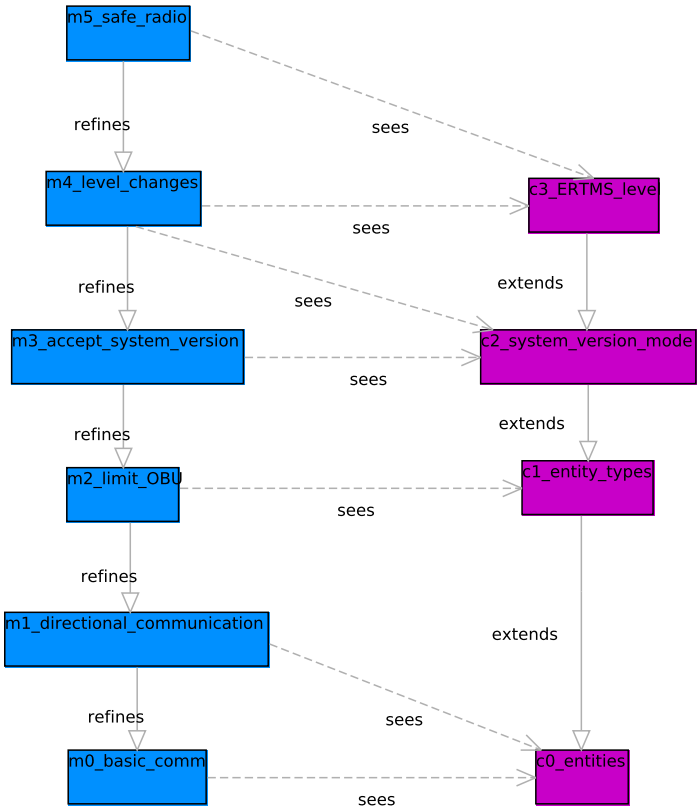

Figure 1. Overview on State Machine and Context Hierarchy

The machine *m1* discerns incoming and outgoing communication sessions, i.e., initiated by the modeled piece of equipment or by an external one. The context *c1* introduces the different types of equipment which are used in *m2* to refine the two different protocols for outgoing and incoming sessions and to limit the model to the OBU point of view. *c2* introduces the notion of compatible systems. This is used in *m3* to identify on-track equipment with a compatible system version. This machine also discerns between accepting and non-accepting RBCs to contact. *c3* adds the different ERTMS levels and the relevant train modes to the model. This is used in *m4* to model the different situations where a communication session must be established. *m5* adds the notion of safe radio connection as low-level prerequisite for a communication session.

The representation of the state machines of the modeled protocols for establishing a communication session is modeled implicitly. The model allows sessions with different partners in parallel (but respects the constraints of the specification like §3.5.3.5.2). The state of the protocol with different partners is tracked by adding / removing these partners from sets representing those different states of the protocol.

3 Model Benefits

The Event-B model in Rodin has some interesting properties which are highlighted here. Some stem from the fact that Rodin is well integrated into the Eclipse platform which renders many useful plugins available, both those explicitly developed for integration with Rodin, but also other without Rodin in mind. Other interesting properties stem from the fact that Rodin and Event-B provide an extensive proof support for properties.

- **Refinement** The Event-B approach allows iterative development based on refinement. This allows starting modeling with a very abstract machine and then step-wise adding more detailed behavior. Rodin generates all the necessary proof obligations which are required to assure correct refinement.

- **Requirements Tracing** Rodin provides an extensible EMF model, therefore it is easily possible to trace requirements using the requirements modeling framework of Eclipse (RMF) via the ProR plugin. This allows the usage of requirement documents in the OMG standardized Requirements Interchange Format (ReqIF). Figure 2 shows the requirements tracing using ProR in Rodin.

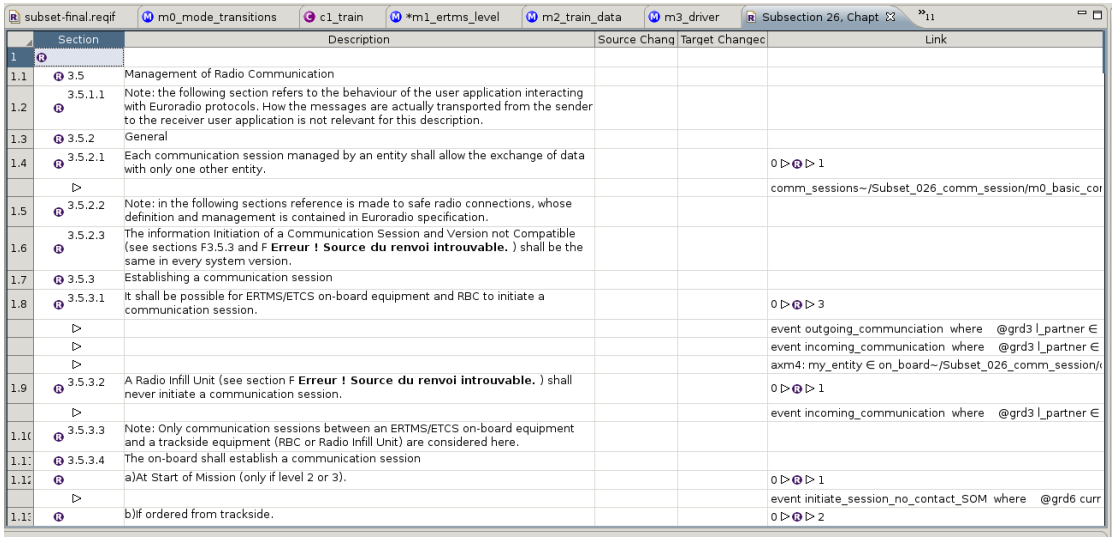

Figure 2. Traceability in ReqIf file using ProR

- **Model Animation** The Event-B model can be animated via different plugins, e.g., ProB or AnimB. This allows the simulation of the model, by clicking on the activated events and tracking the resulting state of the variables. This technique allows to examine the run-time behavior of the model, e.g., for testing purposes. There is also ongoing development for a model-based testing plugin in Rodin, which will allow storing and replaying of event sequences.

- **Non-Testable Requirements** The Event-B model supports the specification of invariants which can be formally proven using the proof support of Rodin. This includes for example the non-testable requirement specified in the subset 076 for §3.5.3.2 (see Section 4.5).

- **Safety Properties** Using Rodin's proof support and the formalization as invariants, it is possible to formalize and prove the identified safety properties of the case study (see Section 4.7).

4 Detailed Model Description

This section describes in more detail the formal model, beginning from the most abstract Event-B machine. For each refinement, in general only the important changes will be shown, the complete model is available as a Rodin project. At each step the additional modeled functionality and its representation will be described. In particular the initialization event is not shown for the refined machines. If not mentioned explicitly, sets are initialized empty, integers with value 0 and Boolean variables with false.

4.1 Context 0 - Entities

This context defines the type of entities with whom a communication session can be established. *my_entity* represents the piece of equipment which is modeled.

CONTEXT c0_entities
SETS

entities
CONSTANTS

my_entity
AXIOMS

axm1 : $my\_entity \in entities$
END

4.2 Machine 0 - Basic Communication

This state machine represents the basic functionality. It allows for the creation and the termination of a communication session with another entity. The sessions are represented by the state variable *session* which can contain values of type *entities*. The respective events are triggered with a parameter *l_partner* representing the communication partner.

Implemented Requirements

- each session allows for communication between two entities (cf. §3.5.2.1)

SEES c0_entities
VARIABLES

sessions
INVARIANTS

inv1 : $sessions \subseteq entities \setminus \{my\_entity\}$
EVENTS
Initialisation
begin

act1 : $sessions := \varnothing$
end
Event *establish_communication* $\widehat{=}$
any

l_partner
where

grd1 : $l\_partner \notin sessions$
grd2 : $l\_partner \neq my\_entity$
then

act1 : $sessions := sessions \cup \{l\_partner\}$

end

Event *terminate_communication* $\widehat{=}$

any

l_partner

where

grd1 : $l\_partner \in sessions$

then

act1 : $sessions := sessions \setminus \{l\_partner\}$

end

END

4.3 Machine 1 - Directional Communication

The first refinement of the machine refines the notion of communication session to incoming sessions, i.e., where another entity initiates a session with *my_entity* and outgoing sessions where *my_entity* initiates the session.

The data refinement is proven by the invariant which states that *sessions* is equal to the disjoint union of *outgoing_sessions* and *incoming_sessions*. The abstract *establish_session* event is refined to the two events *incoming_session* and *outgoing_session*.

REFINES m0_basic_comm

SEES c0_entities

VARIABLES

incoming_sessions

outgoing_sessions

INVARIANTS

inv1 : $partition(sessions, incoming\_sessions, outgoing\_sessions)$

EVENTS

Event *incoming_communication* $\widehat{=}$

refines *establish_communication*

any

l_partner

where

grd1 : $l\_partner \notin incoming\_sessions \cup outgoing\_sessions$

grd2 : $l\_partner \neq my\_entity$

then

act1 : $incoming\_sessions := incoming\_sessions \cup \{l\_partner\}$

end

Event *outgoing_communciation* $\widehat{=}$

refines *establish_communication*

any

l_partner

where

grd2 : $l\_partner \neq my\_entity$
grd1 : $l\_partner \notin incoming\_sessions \cup outgoing\_sessions$

then

act1 : $outgoing\_sessions := outgoing\_sessions \cup \{l\_partner\}$

end

Event *terminate_communication* $\widehat{=}$

refines *terminate_communication*

any

$l\_partner$

where

grd1 : $l\_partner \in incoming\_sessions \cup outgoing\_sessions$

then

act1 : $incoming\_sessions := incoming\_sessions \setminus \{l\_partner\}$
act2 : $outgoing\_sessions := outgoing\_sessions \setminus \{l\_partner\}$

end

END

4.4 Context 1 - Entity Types

The first context extension introduces the different types of entities relevant in this requirement subset, i.e., on-board unit (OBU), radio in-fill unit (RIU) or radio block centre (RBC). Every entity has a unique type. The goal is to model the communication protocol from the point of view of an OBU, therefore the type of *my_entity* is restricted to OBU.

CONTEXT c1_entity_types

EXTENDS c0_entities

CONSTANTS

RBC
RIU
OBU
on_track
on_board

AXIOMS

axm1 : $partition(entities, RBC, RIU, OBU)$
axm2 : $on\_track = RIU \cup RBC$
axm3 : $on\_board = OBU$
axm4 : $my\_entity \in on\_board$

END

4.5 Machine 2 - On Board Modeling

The next machine refinement adds the notion of being contacted by an on-track entity to establish a communication session. It also adds the first state of the protocol, i.e., entities which should be contacted with the “Initiation of a communication session” message. On-track entities which

order *my_entity* to contact are stored in the *contacted_by* set, entities to which the first message is sent by *my_entity* are stored in the set *contacted*, representing those which are in the first stage of the protocol.

The invariants prove that *my_entity* will only be in contact with on-track entities and that any entities which are considered for a communication session are on-track entities. Any entity with whom there is already a communication session will not be considered for another session, and finally no radio in-fill unit can initiate a communication session with *my_entity*.

Implemented Requirements

- It shall be possible for OBU and RBC to initiate communication session (cf. §3.5.3.1)
- RIU cannot initiate a communication session (cf. §3.5.3.2)

  This invariant is marked as non-testable in Subset-076.

The other invariants ensure that a communication partner is not in different states of the communication protocol at the same time. A session protocol can be started by the order to contact an RBC or directly by the OBU.

REFINES m1_directional_communication
SEES c1_entity_types
VARIABLES

contacted
contacted_by

INVARIANTS

inv1 : $incoming\_sessions \cup outgoing\_sessions \subseteq on\_track$
inv2 : $contacted \subseteq on\_track$
inv3 : $contacted\_by \subseteq on\_track$
inv4 : $contacted\_by \cap (incoming\_sessions \cup outgoing\_sessions) = \varnothing$
inv5 : $contacted \cap (incoming\_sessions \cup outgoing\_sessions) = \varnothing$
inv6 : $incoming\_sessions \cap RIU = \varnothing$
inv7 : $contacted \cap contacted\_by = \varnothing$

EVENTS
Event *incoming_communication* $\widehat{=}$
refines *incoming_communication*
any

l_partner

where

grd1 : $l\_partner \notin incoming\_sessions \cup outgoing\_sessions$
grd3 : $l\_partner \in on\_track \setminus RIU$
grd4 : $l\_partner \notin contacted$
grd5 : $l\_partner \notin contacted\_by$

then

act1 : $incoming\_sessions := incoming\_sessions \cup \{l\_partner\}$

end

Event *receive_contact_order* $\widehat{=}$

any

l_partner

where

grd1 : $l\_partner \notin contacted \cup contacted\_by \cup incoming\_sessions \cup outgoing\_sessions$

grd2 : $l\_partner \in on\_track$

then

act1 : $contacted\_by := contacted\_by \cup \{l\_partner\}$

end

Event *initiate_session_after_contact* $\widehat{=}$

any

l_partner

where

grd2 : $l\_partner \in contacted\_by$

then

act1 : $contacted := contacted \cup \{l\_partner\}$

act2 : $contacted\_by := contacted\_by \setminus \{l\_partner\}$

end

Event *initiate_session_no_contact* $\widehat{=}$

any

l_partner

where

grd5 : $l\_partner \notin incoming\_sessions \cup outgoing\_sessions \cup contacted \cup contacted\_by$

grd3 : $l\_partner \in on\_track$

then

act2 : $contacted := contacted \cup \{l\_partner\}$

end

END

4.6 Context 2 - System Version Compatibility

The next context extension introduces the notion of compatible system versions. This is modeled as a static property of the on-track equipment wrt. *my_entity*, i.e., the context axiom that *system_version_compatible* is a subset of all on-track entities. On this level of abstraction, there is no need for a finer grained notion of compatibility.

EXTENDS c1_entity_types

CONSTANTS

system_version_compatible

AXIOMS

axm1 : $system\_version\_compatible \subseteq on\_track$

END

4.7 Machine 3 - Accepting RBC and System Version

The next machine refines the contact order events by discerning between the orders to contact an accepting or a non-accepting RBC. The notion of being an accepting RBC is considered to be a dynamic property and therefore modeled as a variable, i.e., the set *accepting*.

The *receive_contact_order* event is refined by two separate events, one for orders for an accepting RBC and one for a non-accepting RBC. The *outgoing_communication* event is refined by two events, one for a compatible system version and the other for an incompatible one. The events for *initiate_session_contact* and *initiate_session_no_contact* are analogously refined to two version for accepting and non-accepting RBCs.

Furthermore, a just established communication session with on-track equipment with an incompatible system version will be terminated immediately after receiving this information. This is modeled by the set *terminating_session* which holds values of type entities. Only those communication sessions in this set can be closed by the termination event.

Implemented Requirements

- In case of a non-accepting RBC, all existing communication sessions with other RBCs must be terminated (cf. §3.5.3.5.2)
- After the system version is received by the OBU, the communication session is considered established and (cf. §3.5.3.8)
  - if the system version is compatible, the OBU shall send the session established message to track-side (cf. 3.5.3.8.a)
  - if the system version is incompatible, the OBU shall terminate the session (cf. 3.5.3.8.b)
- Any RBC which is contacted and with whom a communication session is established has a compatible system version (safety requirement from requirements document).

REFINES m2_limit_OBU

SEES c2_system_version_mode

VARIABLES

terminating_sessions

accepting

INVARIANTS

inv2 : $RBC \cap outgoing\_sessions \setminus terminating\_sessions \subseteq system\_version\_compatible$

inv3 : $accepting \subseteq RBC$

inv4 : $terminating\_sessions \subseteq on\_track$

inv5 : $((outgoing\_sessions \cup contacted \cup contacted\_by) \setminus (accepting \cup terminating\_sessions)) \cap RBC = \varnothing \vee$
$(\exists entity \cdot entity \in ((outgoing\_sessions \cup contacted \cup contacted\_by) \setminus (accepting \cup terminating\_sessions)) \cap RBC$
$\Rightarrow (\forall entity2 \cdot entity2 \in ((outgoing\_sessions \cup contacted \cup contacted\_by) \setminus terminating\_sessions) \cap RBC \wedge entity2 \neq entity \Rightarrow entity2 \in accepting)$
$)$

EVENTS

Event *receive_information_compatible* $\widehat{=}$

extends *outgoing_communciation*

any

l_partner

where

grd3 : $l\_partner \in contacted$

grd4 : $l\_partner \in system\_version\_compatible$

then

act1 : $outgoing\_sessions := outgoing\_sessions \cup \{l\_partner\}$

act2 : $contacted := contacted \setminus \{l\_partner\}$

end

Event *receive_information_incompatible* $\widehat{=}$

extends *outgoing_communciation*

any

l_partner

where

grd3 : $l\_partner \in contacted$

grd4 : $l\_partner \notin system\_version\_compatible$

then

act1 : $outgoing\_sessions := outgoing\_sessions \cup \{l\_partner\}$

act2 : $contacted := contacted \setminus \{l\_partner\}$

act3 : $terminating\_sessions := terminating\_sessions \cup \{l\_partner\}$

end

Event *receive_contact_order_accept* $\widehat{=}$

refines *receive_contact_order*

any

l_partner

where

grd1 : $l\_partner \notin contacted \cup contacted\_by \cup incoming\_sessions \cup outgoing\_sessions$

grd3 : $l\_partner \in RIU \cup (RBC \cap accepting)$

then

act1 : $contacted\_by := contacted\_by \cup \{l\_partner\}$

end

Event *receive_contact_order_non_accept* $\widehat{=}$

refines *receive_contact_order*

any

l_partner

where

grd1 : $l\_partner \notin contacted \cup contacted\_by \cup incoming\_sessions \cup outgoing\_sessions$

grd3 : $l\_partner \in RIU \cup (RBC \setminus accepting)$

then

act1 : $contacted\_by := contacted\_by \cup \{l\_partner\}$

act2 : $terminating\_sessions := terminating\_sessions \cup (RBC \cap (incoming\_sessions \cup outgoing\_sessions))$

end

Event *terminate_communication* $\widehat{=}$
extends *terminate_communication*
any
l_partner
where
grd1 : $l\_partner \in incoming\_sessions \cup outgoing\_sessions$
grd2 : $l\_partner \in terminating\_sessions$
then
act1 : $incoming\_sessions := incoming\_sessions \setminus \{l\_partner\}$
act2 : $outgoing\_sessions := outgoing\_sessions \setminus \{l\_partner\}$
act3 : $terminating\_sessions := terminating\_sessions \setminus \{l\_partner\}$
end
Event *make_RBC_accepting* $\widehat{=}$
any
l_partner
where
grd1 : $l\_partner \in RBC$
then
act1 : $accepting := accepting \cup \{l\_partner\}$
end
Event *make_RBC_non_accepting* $\widehat{=}$
any
l_partner
where
grd1 : $l\_partner \in accepting$
then
act1 : $accepting := accepting \setminus \{l\_partner\}$
end
END

4.8 Context 3 - ERTMS Levels

The third context introduces the notion of the different ERTMS and the notion of the mission status of a train. The modeled statuses are start of mission (SOM), end of mission (EOM) and the abstract notion of within a mission (MIS), i.e., anything between start and end of the current train mission. At this level of refinement, a more detailed modeling is not necessary.

CONTEXT c3_ERTMS_level
SETS
$ERTMS\_level$
$train\_status$
CONSTANTS
NTC
$L0$

$L1$
$L2$
$L3$
SOM start of mission
EOM end of mission
MIS while mission

AXIOMS

axm1 : $partition(ERTMS\_level, \{NTC, L0, L1, L2, L3\})$
axm2 : $partition(train\_status, \{SOM, EOM, MIS\})$

END

4.9 Machine 4 - ERTMS Level Changes

The next refined machine implements the different causes which can trigger the establishing of a communication session. The corresponding events refine the abstract *initiate_session_no_contact* events. For this, the current ERTMS level of the train is tracked, as well as its current mission status.

The indication of a level change, a mission status change, a manual level change and an announced radio hole is modeled by events. These events modify the corresponding indicator variables to signal a change and they modify the corresponding state variables.

This can be illustrated using the manual level change event as example: the Boolean variable *signal_manual_level_change* indicates that the driver manually changed the ERTMS level. It is changed by the *manual_change_level* event which is parametrized with the new level and which also modifies the *current_level* variable which models the current ERTMS level. If the new level is 2 or 3, then the train is required to establish a communication session with trackside. This is realized in the *initiate_session_no_contact_manual_change* events which reset the indication variable once the entity has been contacted. Similar events model the initiation because of non-manual level change, mission status change and announced radio holes, each in a version for accepting and non-accepting RBCs.

Implemented Requirements

- The on-board shall establish a communication session (cf. §3.5.3.4)
  - at start of mission (only if level 2 or 3) (cf. §3.5.3.4.a)
  - if ordered from trackside (cf. §3.5.3.4.b)
  - If a mode change, not considered as an End of Mission, has to be reported to the RBC (only if level 2 or 3) (cf. §3.5.3.4.c)
  - If the driver has manually changed the level to 2 or 3 (cf. §3.5.3.4.d)
  - When the train front reaches the end of an announced radio hole (cf. §3.5.3.4.e)

REFINES m3_accept_system_version
SEES c3_ERTMS_level
VARIABLES

current_level
signal_level_change
current_status
signal_status_change
signal_manual_level_change
position_radio_hole
signal_radio_hole

INVARIANTS

inv1 : $current\_level \in ERTMS\_level$
inv2 : $signal\_level\_change \in BOOL$
inv3 : $current\_status \in train\_status$
inv4 : $signal\_status\_change \in BOOL$
inv5 : $signal\_manual\_level\_change \in BOOL$
inv6 : $position\_radio\_hole \in BOOL$
inv7 : $signal\_radio\_hole \in BOOL$

EVENTS

Event *manual_change_level* $\widehat{=}$
any
l_level
where
grd1 : $l\_level \in ERTMS\_level$
grd2 : $signal\_manual\_level\_change = FALSE$
grd3 : $signal\_level\_change = FALSE$
then
act1 : $signal\_manual\_level\_change := TRUE$
act2 : $current\_level := l\_level$
end

Event *change_level* $\widehat{=}$
any
l_level
where
grd1 : $l\_level \in ERTMS\_level$
grd2 : $signal\_manual\_level\_change = FALSE$
grd3 : $signal\_level\_change = FALSE$
then
act1 : $current\_level := l\_level$
act2 : $signal\_level\_change := TRUE$
end

Event *initiate_session_no_contact_accept* $\widehat{=}$
extends *initiate_session_no_contact_accept*
any
l_partner
where
grd5 : $l\_partner \notin incoming\_sessions \cup outgoing\_sessions \cup contacted \cup contacted\_by$
grd3 : $l\_partner \in RIU \cup (RBC \cap accepting)$

then

act2 : $contacted := contacted \cup \{l\_partner\}$

end

Event *initiate_session_no_contact_non_accept* $\widehat{=}$

extends *initiate_session_no_contact_non_accept*

any

$l\_partner$

where

grd5 : $l\_partner \notin incoming\_sessions \cup outgoing\_sessions \cup contacted \cup contacted\_by$

grd3 : $l\_partner \in RIU \cup (RBC \setminus accepting)$

then

act2 : $contacted := contacted \cup \{l\_partner\}$

act3 : $terminating\_sessions := terminating\_sessions \cup (RBC \cap (incoming\_sessions \cup outgoing\_sessions))$

end

Event *initiate_session_no_contact_manual_change_accept* $\widehat{=}$

extends *initiate_session_no_contact_accept*

any

$l\_partner$

where

grd5 : $l\_partner \notin incoming\_sessions \cup outgoing\_sessions \cup contacted \cup contacted\_by$

grd3 : $l\_partner \in RIU \cup (RBC \cap accepting)$

grd6 : $current\_level \in \{L2, L3\}$

grd7 : $signal\_manual\_level\_change = TRUE$

then

act2 : $contacted := contacted \cup \{l\_partner\}$

act3 : $signal\_manual\_level\_change := FALSE$

end

Event *initiate_session_no_contact_manual_change_non_accept* $\widehat{=}$

refines *initiate_session_no_contact_non_accept*

any

$l\_partner$

where

grd5 : $l\_partner \notin incoming\_sessions \cup outgoing\_sessions \cup contacted \cup contacted\_by$

grd3 : $l\_partner \in RIU \cup (RBC \setminus accepting)$

grd6 : $current\_level \in \{L2, L3\}$

grd7 : $signal\_manual\_level\_change = TRUE$

then

act2 : $contacted := contacted \cup \{l\_partner\}$

act3 : $terminating\_sessions := terminating\_sessions \cup (RBC \cap (incoming\_sessions \cup outgoing\_sessions))$

end

END

4.10 Machine 5 - Safe Radio Connection

The next machine refinement specifies handling of the safe radio connection which provides the necessary means to exchange protocol messages on a higher level. The existing established safe radio connections are represented by the set *ER_connections* which holds values of type entities. Safe radio connections which must be established are modeled by the set *establish_ER_connections* while *terminated_ER_connections* holds those connections which timed-out. The indication variable *signal_RBC_border* signals the crossing of an RBC border which requires to establish a new safe radio connection with a new RBC.

Establishing a communication session then works as follows: if one of the conditions of §3.5.3.4 is fulfilled, the corresponding partner is added to the set *contacted*. This is a precondition of the events which open a safe radio connection to a communication partner. The initiation message of the protocol is considered to be sent when a communication partner is both *contacted* and *ER_connections* set. The reception of the system version and the sending of the system established message is modeled via the *receive_information_compatible* or *receive_information_incompatible* events. Established sessions with incompatible system versions are therefore in the intersection of the *sessions* and *terminating_sessions* sets.

Implemented Requirements

- Establish communication session after safe radio connection timeout (cf. §3.5.3.4.f)
- If the communication session is established by an OBU, it shall be preformed according to the following steps (cf. §3.5.3.7)
  - if part of ongoing start of mission procedure (cf. §3.5.3.7.a)
  - if safe radio connection is set up (cf. §3.5.3.7.i)
  - if order to terminate is received (cf. §3.5.3.7.ii)
  - if end of mission is performed (cf. §3.5.3.7.iii)
  - train passes level transition border (cf. §3.5.3.7.iv)
  - order to establish connection with different non-accepting RBC (cf. §3.5.3.7.v)
  - train passes RBC / RBC border (cf. §3.5.3.7.vi)
  - train enters announced radio hole (cf. §3.5.3.7.vii)
  - level 1 is left (RIU only) (cf. §3.5.3.7.viii)
- When the RBC initiates the establishing of a communication session, the OBU shall consider the session established after receiving the initiation message (cf. §3.5.3.10.c)

REFINES m4_level_changes
SEES c3_ERTMS_level
VARIABLES

ER_connections
terminated_ER_connections
establish_ER_connection
signal_RBC_border
INVARIANTS

inv1 : $terminated\_ER\_connections \subseteq on\_track$

inv2 : $establish\_ER\_connection \subseteq on\_track$

inv3 : $(incoming\_sessions \cup outgoing\_sessions) \subseteq ER\_connections$

inv4 : $signal\_RBC\_border \in BOOL$

EVENTS

Event *incoming_communication* $\widehat{=}$

extends *incoming_communication*

any

$l\_partner$

where

grd1 : $l\_partner \notin incoming\_sessions \cup outgoing\_sessions$

grd3 : $l\_partner \in on\_track \setminus RIU$

grd4 : $l\_partner \notin contacted$

grd5 : $l\_partner \notin contacted\_by$

grd6 : $l\_partner \in ER\_connections$

then

act1 : $incoming\_sessions := incoming\_sessions \cup \{l\_partner\}$

end

Event *receive_information_compatible* $\widehat{=}$

extends *receive_information_compatible*

any

$l\_partner$

where

grd3 : $l\_partner \in contacted$

grd4 : $l\_partner \in system\_version\_compatible$

grd5 : $l\_partner \in ER\_connections$

then

act1 : $outgoing\_sessions := outgoing\_sessions \cup \{l\_partner\}$

act2 : $contacted := contacted \setminus \{l\_partner\}$

end

Event *receive_information_incompatible* $\widehat{=}$

extends *receive_information_incompatible*

any

$l\_partner$

where

grd3 : $l\_partner \in contacted$

grd4 : $l\_partner \notin system\_version\_compatible$

grd5 : $l\_partner \in ER\_connections$

then

act1 : $outgoing\_sessions := outgoing\_sessions \cup \{l\_partner\}$

act2 : $contacted := contacted \setminus \{l\_partner\}$

act3 : $terminating\_sessions := terminating\_sessions \cup \{l\_partner\}$

end

Event *receive_contact_order_accept* $\widehat{=}$

order to contact a RIU or accepting RBC

extends *receive_contact_order_accept*

any

l_partner

where

grd1 : $l\_partner \notin contacted \cup contacted\_by \cup incoming\_sessions \cup outgoing\_sessions$
grd3 : $l\_partner \in RIU \cup (RBC \cap accepting)$
grd4 : $l\_partner \notin terminated\_ER\_connections$

then

act1 : $contacted\_by := contacted\_by \cup \{l\_partner\}$

end

Event *receive_contact_order_non_accept* $\widehat{=}$
extends *receive_contact_order_non_accept*

any

l_partner

where

grd1 : $l\_partner \notin contacted \cup contacted\_by \cup incoming\_sessions \cup outgoing\_sessions$
grd3 : $l\_partner \in RIU \cup (RBC \setminus accepting)$
grd4 : $l\_partner \notin terminated\_ER\_connections$

then

act1 : $contacted\_by := contacted\_by \cup \{l\_partner\}$
act2 : $terminating\_sessions := terminating\_sessions \cup (RBC \cap (incoming\_sessions \cup outgoing\_sessions))$

end

Event *initiate_session_after_contact_accept* $\widehat{=}$

(cf. 3.5.3.4 b) / (cf. 3.5.3.5.2)

extends *initiate_session_after_contact*

any

l_partner

where

grd2 : $l\_partner \in contacted\_by$
grd3 : $l\_partner \notin terminated\_ER\_connections$

then

act1 : $contacted := contacted \cup \{l\_partner\}$
act2 : $contacted\_by := contacted\_by \setminus \{l\_partner\}$
act3 : $establish\_ER\_connection := establish\_ER\_connection \cup \{l\_partner\}$

end

Event *initiate_session_no_contact_SOM_accept* $\widehat{=}$

no contact order, i.e., one ofthe other cases of 3.5.3.4

extends *initiate_session_no_contact_SOM_accept*

any

l_partner

where

grd5 : $l\_partner \notin incoming\_sessions \cup outgoing\_sessions \cup contacted \cup contacted\_by$
grd3 : $l\_partner \in RIU \cup (RBC \cap accepting)$
grd6 : $current\_status = SOM$
grd7 : $current\_level \in \{L2, L3\}$
grd8 : $l\_partner \notin terminated\_ER\_connections$

then

act2 : $contacted := contacted \cup \{l\_partner\}$
act3 : $establish\_ER\_connection := establish\_ER\_connection \cup \{l\_partner\}$

end

Event *initiate_session_no_contact_SOM_non_accept* $\widehat{=}$
extends *initiate_session_no_contact_SOM_non_accept*

any

$l\_partner$

where

grd5 : $l\_partner \notin incoming\_sessions \cup outgoing\_sessions \cup contacted \cup contacted\_by$
grd3 : $l\_partner \in RIU \cup (RBC \setminus accepting)$
grd6 : $current\_status = SOM$
grd7 : $current\_level \in \{L2, L3\}$
grd8 : $l\_partner \notin terminated\_ER\_connections$

then

act2 : $contacted := contacted \cup \{l\_partner\}$
act3 : $terminating\_sessions := terminating\_sessions \cup (RBC \cap (incoming\_sessions \cup outgoing\_sessions))$
act4 : $establish\_ER\_connection := establish\_ER\_connection \cup \{l\_partner\}$

end

Event *initiate_session_no_contact_mode_change_accept* $\widehat{=}$
extends *initiate_session_no_contact_mode_change_accept*

any

$l\_partner$

where

grd5 : $l\_partner \notin incoming\_sessions \cup outgoing\_sessions \cup contacted \cup contacted\_by$
grd3 : $l\_partner \in RIU \cup (RBC \cap accepting)$
grd6 : $current\_level \in \{L2, L3\}$
grd7 : $signal\_mode\_change = TRUE$
grd8 : $current\_status \neq EOM$
grd9 : $l\_partner \notin terminated\_ER\_connections$

then

act2 : $contacted := contacted \cup \{l\_partner\}$
act3 : $signal\_mode\_change := FALSE$
act4 : $establish\_ER\_connection := establish\_ER\_connection \cup \{l\_partner\}$

end

Event *initiate_session_no_contact_mode_change_non_accept* $\widehat{=}$
extends *initiate_session_no_contact_mode_change_non_accept*

any

$l\_partner$

where

grd5 : $l\_partner \notin incoming\_sessions \cup outgoing\_sessions \cup contacted \cup contacted\_by$
grd3 : $l\_partner \in RIU \cup (RBC \setminus accepting)$
grd6 : $current\_level \in \{L2, L3\}$
grd7 : $signal\_mode\_change = TRUE$
grd8 : $current\_status \neq EOM$
grd9 : $l\_partner \notin terminated\_ER\_connections$

then

act2 : $contacted := contacted \cup \{l\_partner\}$
act3 : $terminating\_sessions := terminating\_sessions \cup (RBC \cap (incoming\_sessions \cup outgoing\_sessions))$
act4 : $establish\_ER\_connection := establish\_ER\_connection \cup \{l\_partner\}$

end

Event *initiate_session_no_contact_manual_change_accept* $\widehat{=}$
extends *initiate_session_no_contact_manual_change_accept*

any

$l\_partner$

where

grd5 : $l\_partner \notin incoming\_sessions \cup outgoing\_sessions \cup contacted \cup contacted\_by$
grd3 : $l\_partner \in RIU \cup (RBC \cap accepting)$
grd6 : $current\_level \in \{L2, L3\}$
grd7 : $signal\_manual\_level\_change = TRUE$
grd8 : $l\_partner \notin terminated\_ER\_connections$

then

act2 : $contacted := contacted \cup \{l\_partner\}$
act3 : $signal\_manual\_level\_change := FALSE$
act4 : $establish\_ER\_connection := establish\_ER\_connection \cup \{l\_partner\}$

end

Event *initiate_session_no_contact_manual_change_non_accept* $\widehat{=}$
extends *initiate_session_no_contact_manual_change_non_accept*

any

$l\_partner$

where

grd5 : $l\_partner \notin incoming\_sessions \cup outgoing\_sessions \cup contacted \cup contacted\_by$
grd3 : $l\_partner \in RIU \cup (RBC \setminus accepting)$
grd6 : $current\_level \in \{L2, L3\}$
grd7 : $signal\_manual\_level\_change = TRUE$
grd8 : $l\_partner \notin terminated\_ER\_connections$

then

act2 : $contacted := contacted \cup \{l\_partner\}$
act3 : $terminating\_sessions := terminating\_sessions \cup (RBC \cap (incoming\_sessions \cup outgoing\_sessions))$
act4 : $establish\_ER\_connection := establish\_ER\_connection \cup \{l\_partner\}$

end

Event *initiate_session_no_contact_leave_radio_hole_accept* $\widehat{=}$
extends *initiate_session_no_contact_leave_radio_hole_accept*

any

$l\_partner$

where

grd5 : $l\_partner \notin incoming\_sessions \cup outgoing\_sessions \cup contacted \cup contacted\_by$
grd3 : $l\_partner \in RIU \cup (RBC \cap accepting)$
grd6 : $position\_radio\_hole = FALSE$
grd7 : $signal\_radio\_hole = TRUE$
grd8 : $l\_partner \notin terminated\_ER\_connections$

then

act2 : $contacted := contacted \cup \{l\_partner\}$

act3 : $signal\_radio\_hole := FALSE$
act4 : $establish\_ER\_connection := establish\_ER\_connection \cup \{l\_partner\}$

end

Event *initiate_session_no_contact_leave_radio_hole_non_accept* $\widehat{=}$
extends *initiate_session_no_contact_leave_radio_hole_non_accept*

any

$l\_partner$

where

grd5 : $l\_partner \notin incoming\_sessions \cup outgoing\_sessions \cup contacted \cup contacted\_by$
grd3 : $l\_partner \in RIU \cup (RBC \setminus accepting)$
grd6 : $position\_radio\_hole = FALSE$
grd7 : $signal\_radio\_hole = TRUE$
grd8 : $l\_partner \notin terminated\_ER\_connections$

then

act2 : $contacted := contacted \cup \{l\_partner\}$
act3 : $terminating\_sessions := terminating\_sessions \cup (RBC \cap (incoming\_sessions \cup outgoing\_sessions))$
act4 : $establish\_ER\_connection := establish\_ER\_connection \cup \{l\_partner\}$

end

Event *initiate_session_after_timeout_accept* $\widehat{=}$
extends *initiate_session_no_contact_accept*

any

$l\_partner$

where

grd5 : $l\_partner \notin incoming\_sessions \cup outgoing\_sessions \cup contacted \cup contacted\_by$
grd3 : $l\_partner \in RIU \cup (RBC \cap accepting)$
grd6 : $l\_partner \in terminated\_ER\_connections$

then

act2 : $contacted := contacted \cup \{l\_partner\}$
act3 : $terminated\_ER\_connections := terminated\_ER\_connections \setminus \{l\_partner\}$
act4 : $establish\_ER\_connection := establish\_ER\_connection \cup \{l\_partner\}$

end

Event *initiate_session_after_timeout_non_accept* $\widehat{=}$
extends *initiate_session_no_contact_non_accept*

any

$l\_partner$

where

grd5 : $l\_partner \notin incoming\_sessions \cup outgoing\_sessions \cup contacted \cup contacted\_by$
grd3 : $l\_partner \in RIU \cup (RBC \setminus accepting)$
grd6 : $l\_partner \in terminated\_ER\_connections$

then

act2 : $contacted := contacted \cup \{l\_partner\}$
act3 : $terminating\_sessions := terminating\_sessions \cup (RBC \cap (incoming\_sessions \cup outgoing\_sessions))$
act4 : $terminated\_ER\_connections := terminated\_ER\_connections \setminus \{l\_partner\}$
act5 : $establish\_ER\_connection := establish\_ER\_connection \cup \{l\_partner\}$

end

Event *establish_ER_connection_SOM* $\widehat{=}$

any

$l\_partner$

where

grd1 : $l\_partner \in contacted$
grd2 : $l\_partner \in establish\_ER\_connection$
grd3 : $current\_status = SOM$

then

act1 : $establish\_ER\_connection := establish\_ER\_connection \setminus \{l\_partner\}$
act2 : $ER\_connections := ER\_connections \cup \{l\_partner\}$

end

Event *establish_ER_connection* $\widehat{=}$

any

$l\_partner$

where

grd1 : $l\_partner \in contacted$
grd2 : $l\_partner \in establish\_ER\_connection$
grd3 : $current\_status \neq SOM$

then

act1 : $establish\_ER\_connection := establish\_ER\_connection \setminus \{l\_partner\}$
act2 : $ER\_connections := ER\_connections \cup \{l\_partner\}$

end

Event *est_perform_end_of_mission* $\widehat{=}$

any

$l\_partner$

where

grd1 : $l\_partner \in contacted$
grd2 : $l\_partner \in establish\_ER\_connection$
grd3 : $signal\_status\_change = TRUE$
grd4 : $current\_status = EOM$

then

act1 : $establish\_ER\_connection := establish\_ER\_connection \setminus \{l\_partner\}$

end

Event *est_terminate_order* $\widehat{=}$
extends *drop_contact*

any

$l\_partner$

where

grd1 : $l\_partner \in contacted$
grd2 : $l\_partner \in establish\_ER\_connection$
grd3 : $current\_status \neq SOM$

then

act1 : $contacted := contacted \setminus \{l\_partner\}$
act2 : $establish\_ER\_connection := establish\_ER\_connection \setminus \{l\_partner\}$

end

Event *est_pass_level_transition* $\widehat{=}$

any

l_partner

where

grd1 : $l\_partner \in contacted$
grd2 : $l\_partner \in establish\_ER\_connection$
grd3 : $signal\_level\_change = TRUE$
grd4 : $current\_status \neq SOM$
grd5 : $current\_level \in \{L0, L1, NTC\}$

then

act1 : $establish\_ER\_connection := establish\_ER\_connection \setminus \{l\_partner\}$

end

Event *est_pass_radio_hole* $\widehat{=}$

any

l_partner

where

grd1 : $l\_partner \in contacted$
grd2 : $l\_partner \in establish\_ER\_connection$
grd3 : $signal\_radio\_hole = TRUE \wedge position\_radio\_hole = TRUE$
grd4 : $current\_status \neq SOM$

then

act1 : $establish\_ER\_connection := establish\_ER\_connection \setminus \{l\_partner\}$

end

Event *est_RIU_leave_L1* $\widehat{=}$

any

l_partner

where

grd1 : $l\_partner \in contacted$
grd2 : $l\_partner \in RIU$
grd3 : $signal\_level\_change = TRUE$
grd5 : $current\_level \neq L1$
grd4 : $current\_status \neq SOM$

then

act1 : $establish\_ER\_connection := establish\_ER\_connection \setminus \{l\_partner\}$

end

Event *est_RBC_border* $\widehat{=}$

any

l_partner

where

grd1 : $l\_partner \in contacted$
grd2 : $l\_partner \in RBC$
grd3 : $signal\_RBC\_border = TRUE$
grd4 : $current\_status \neq SOM$

then

act1 : $establish\_ER\_connection := establish\_ER\_connection \setminus \{l\_partner\}$

end

Event *indicate_RBC_border* $\widehat{=}$

any

l_flag
where

grd1 : $l\_flag \in BOOL$
then

act1 : $signal\_RBC\_border := l\_flag$
end
Event *est_other_RBC_non_accept* $\widehat{=}$
any

l_partner
where

grd1 : $l\_partner \in contacted$
grd2 : $l\_partner \in RBC$
grd3 : $RBC \cap accepting \cap contacted\_by \neq \varnothing$
grd4 : $current\_status \neq SOM$
then

act1 : $establish\_ER\_connection := establish\_ER\_connection \setminus \{l\_partner\}$
end
Event *timeout_ER_connection* $\widehat{=}$
extends *drop_session*
any

l_partner
where

grd1 : $l\_partner \in incoming\_sessions \cup outgoing\_sessions$
grd3 : $l\_partner \in ER\_connections$
then

act1 : $incoming\_sessions := incoming\_sessions \setminus \{l\_partner\}$
act2 : $outgoing\_sessions := outgoing\_sessions \setminus \{l\_partner\}$
act3 : $ER\_connections := ER\_connections \setminus \{l\_partner\}$
act4 : $terminated\_ER\_connections := terminated\_ER\_connections \cup \{l\_partner\}$
end
Event *terminate_communication* $\widehat{=}$
extends *terminate_communication*
any

l_partner
where

grd1 : $l\_partner \in incoming\_sessions \cup outgoing\_sessions$
grd2 : $l\_partner \in terminating\_sessions$
grd3 : $l\_partner \notin terminated\_ER\_connections$
then

act1 : $incoming\_sessions := incoming\_sessions \setminus \{l\_partner\}$
act2 : $outgoing\_sessions := outgoing\_sessions \setminus \{l\_partner\}$
act3 : $terminating\_sessions := terminating\_sessions \setminus \{l\_partner\}$
act4 : $ER\_connections := ER\_connections \setminus \{l\_partner\}$
end
Event *make_RBC_accepting* $\widehat{=}$
extends *make_RBC_accepting*
any

l_partner
where
grd1 : $l\_partner \in RBC$
then
act1 : $accepting := accepting \cup \{l\_partner\}$
end
Event *make_RBC_non_accepting* $\widehat{=}$
extends *make_RBC_non_accepting*
any
l_partner
where
grd1 : $l\_partner \in accepting$
then
act1 : $accepting := accepting \setminus \{l\_partner\}$
end
END

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