

# Concept Learning through General-to-Specific Ordering

Based on “Machine Learning”, T. Mitchell, McGRAW Hill, 1997, ch. 2

Acknowledgement:

The present slides are an adaptation of slides drawn by T. Mitchell

## PLAN

We will take a simple approach assuming no noise, and illustrating some **key concepts** in Machine Learning:

- General-to-specific ordering over hypotheses
- Version spaces and candidate elimination algorithm
- How to pick new examples
- The need for inductive bias

## Representing Hypotheses

There are many possible representations for hypotheses

Here, a **hypothesis**  $h$  is conjunction of constraints on attributes

Each **constraint** can be

- a specific value (e.g.,  $Water = Warm$ )
- don't care (e.g., " $Water = ?$ ")
- no value allowed (e.g., " $Water = \emptyset$ ")

For **example**,

| Sky             | AirTemp   | Humid     | Wind     | Water     | Forecast       |
|-----------------|-----------|-----------|----------|-----------|----------------|
| $\langle Sunny$ | $\quad ?$ | $\quad ?$ | $Strong$ | $\quad ?$ | $Same \rangle$ |

## A Prototypical Concept Learning Task

Given:

- **Instances**  $X$ :

Possible days, each described by the attributes  
*Sky, AirTemp, Humidity, Wind, Water, Forecast*

- **Target function**  $c$ :  $EnjoySport : X \rightarrow \{0, 1\}$
- **Hypotheses**  $H$ : Conjunction of literals. E.g.  $\langle ?, Cold, High, ?, ?, ? \rangle$
- **Training examples**  $D$ :

Positive and negative examples of the target function

$$\langle x_1, c(x_1) \rangle, \dots, \langle x_m, c(x_m) \rangle$$

Determine: A **hypothesis**  $h$  in  $H$  such that  $h(x) = c(x)$  for all  $x$  in  $D$ .

## Training Examples for *EnjoySport*

| Sky   | Temp | Humid  | Wind   | Water | Forecast | EnjoySport |
|-------|------|--------|--------|-------|----------|------------|
| Sunny | Warm | Normal | Strong | Warm  | Same     | Yes        |
| Sunny | Warm | High   | Strong | Warm  | Same     | Yes        |
| Rainy | Cold | High   | Strong | Warm  | Change   | No         |
| Sunny | Warm | High   | Strong | Cool  | Change   | Yes        |

What is the general concept?

## Consistent Hypotheses and Version Spaces

A hypothesis  $h$  is consistent with a set of training examples  $D$  of target concept  $c$  if and only if  $h(x) = c(x)$  for each training example  $\langle x, c(x) \rangle$  in  $D$ .

$$\text{Consistent}(h, D) \equiv (\forall \langle x, c(x) \rangle \in D) \ h(x) = c(x)$$

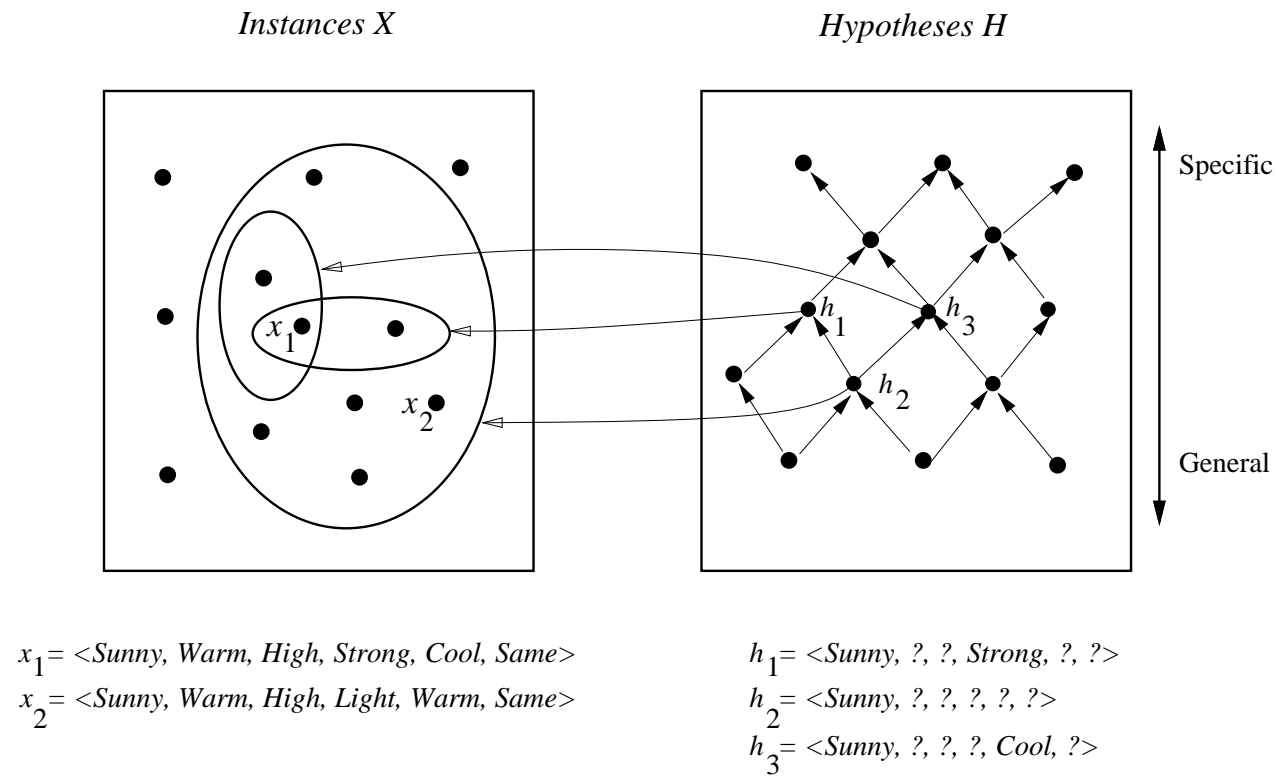
$VS_{H,D}$ , the version space, with respect to hypothesis space  $H$  and training examples  $D$ , is the subset of hypotheses from  $H$  consistent with all training examples in  $D$ .

$$VS_{H,D} \equiv \{h \in H \mid \text{Consistent}(h, D)\}$$

## The LIST-THEN-ELIMINATE LEARNING ALGORITHM

1.  $VersionSpace \leftarrow$  a list containing every hypothesis in  $H$
2. For each training example,  $\langle x, c(x) \rangle$   
remove from  $VersionSpace$  any hypothesis  $h$  for which  $h(x) \neq c(x)$
3. Output the list of hypotheses in  $VersionSpace$

# The *More-General-Than* Relation Among Hypotheses in (Lattice) Version Spaces

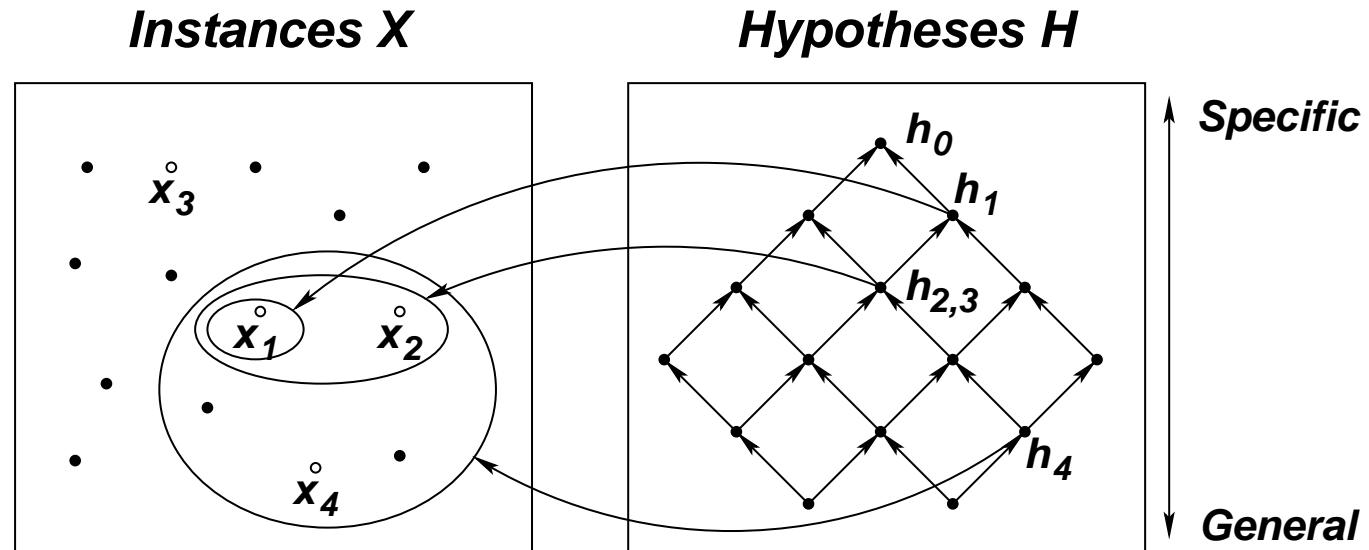




## FIND-S: A Simple Learning Algorithm

1. Initialize  $h$  to the most specific hypothesis in  $H$
2. For each positive training instance  $x$ 
  - For each attribute constraint  $a_i$  in  $h$ 
    - If the constraint  $a_i$  in  $h$  is satisfied by  $x$
    - Then do nothing
    - Else replace  $a_i$  in  $h$  by the next more general constraint that is satisfied by  $x$
3. Output hypothesis  $h$  (which is the least specific hypothesis in  $H$ , more general than all given positive examples)

# Hypothesis Space Search by FIND-S



$x_1 = \langle \text{Sunny, Warm, Normal, Strong, Warm, Same} \rangle, +$   
 $x_2 = \langle \text{Sunny, Warm, High, Strong, Warm, Same} \rangle, +$   
 $x_3 = \langle \text{Rainy, Cold, High, Strong, Warm, Change} \rangle, -$   
 $x_4 = \langle \text{Sunny, Warm, High, Strong, Cool, Change} \rangle, +$

$h_0 = \langle \emptyset, \emptyset, \emptyset, \emptyset, \emptyset, \emptyset \rangle$

$h_1 = \langle \text{Sunny, Warm, Normal, Strong, Warm, Same} \rangle$

$h_2 = \langle \text{Sunny, Warm, ?, Strong, Warm, Same} \rangle$

$h_3 = \langle \text{Sunny, Warm, ?, Strong, Warm, Same} \rangle$

$h_4 = \langle \text{Sunny, Warm, ?, Strong, ?, ?} \rangle$

## Complaints about FIND-S

- Can't tell whether it has learned the target concept
- Can't tell whether the training data is inconsistent
- Picks a maximally specific  $h$  (why?)
- Depending on  $H$ , there might be several such  $h$ !

## Representing (Lattice) Version Spaces

The **General boundary**,  $G$ , of the version space  $VS_{H,D}$  is the set of its maximally general members

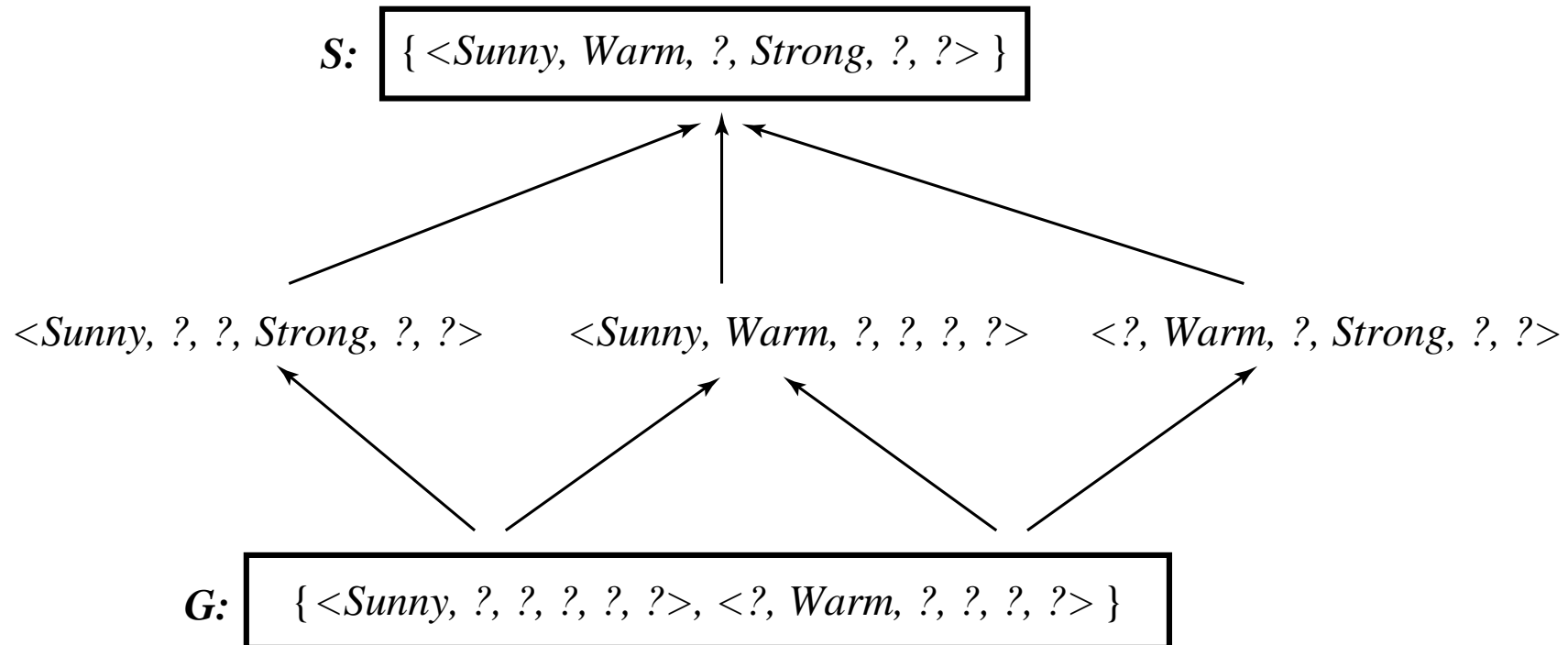
The **Specific boundary**,  $S$ , of version space  $VS_{H,D}$  is the set of its maximally specific members

Every member of the version space lies between these boundaries

$$VS_{H,D} = \{h \in H \mid (\exists s \in S)(\exists g \in G)(g \geq h \geq s)\}$$

where  $x \geq y$  means  $x$  is more general or equal to  $y$

## Example of a (Lattice) Version Space



### Notes:

1. This is the  $VS$  for the *EnjoySport* concept learning problem.
2. This  $VS$  can be represented more simply by  $S$  and  $G$ .

## The CANDIDATEELIMINATION Algorithm

$G \leftarrow$  maximally general hypotheses in  $H$

$S \leftarrow$  maximally specific hypotheses in  $H$

For each training example  $d$ , do

- If  $d$  is a positive example
  - Remove from  $G$  any hypothesis inconsistent with  $d$
  - For each hypothesis  $s$  in  $S$  that is not consistent with  $d$  // lower  $S$ 
    - \* Remove  $s$  from  $S$
    - \* Add to  $S$  all minimal generalizations  $h$  of  $s$  such that
      1.  $h$  is consistent with  $d$ , and
      2. some member of  $G$  is more general than  $h$
    - \* Remove from  $S$  any hypothesis that is more general than another hypothesis in  $S$

## The CANDIDATE ELIMINATION Algorithm (continued)

- If  $d$  is a negative example
  - Remove from  $S$  any hypothesis inconsistent with  $d$
  - For each hypothesis  $g$  in  $G$  that is not consistent with  $d$  // raise  $G$ 
    - \* Remove  $g$  from  $G$
    - \* Add to  $G$  all minimal specializations  $h$  of  $g$  such that
      1.  $h$  is consistent with  $d$ , and
      2. some member of  $S$  is more specific than  $h$
    - \* Remove from  $G$  any hypothesis that is less general than another hypothesis in  $G$

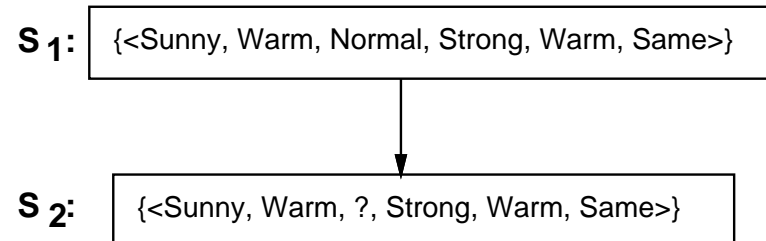
## Example Trace (I)

$s_0$ :  $\{\langle \emptyset, \emptyset, \emptyset, \emptyset, \emptyset, \emptyset \rangle\}$

$G_0$ :  $\{\langle ?, ?, ?, ?, ?, ? \rangle\}$



## Example Trace (II)



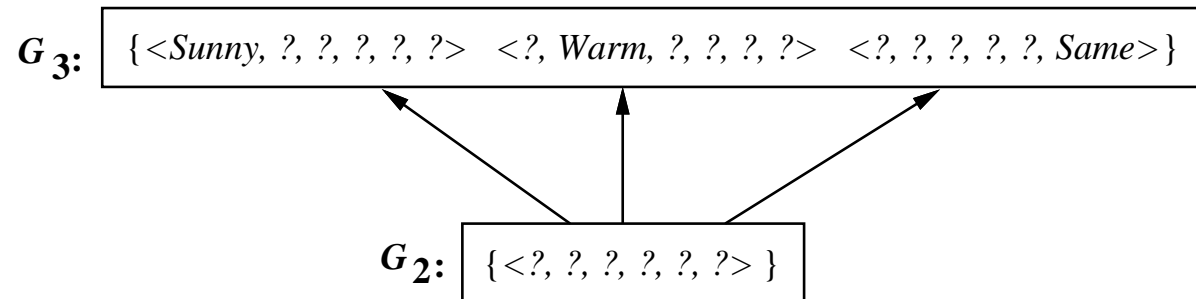
**G<sub>1</sub>, G<sub>2</sub>:** {<?, ?, ?, ?, ?, ?>}

Training examples:

1. <Sunny, Warm, Normal, Strong, Warm, Same>, Enjoy-Sport?=Yes
2. <Sunny, Warm, High, Strong, Warm, Same>, Enjoy-Sport?=Yes

## Example Trace (III)

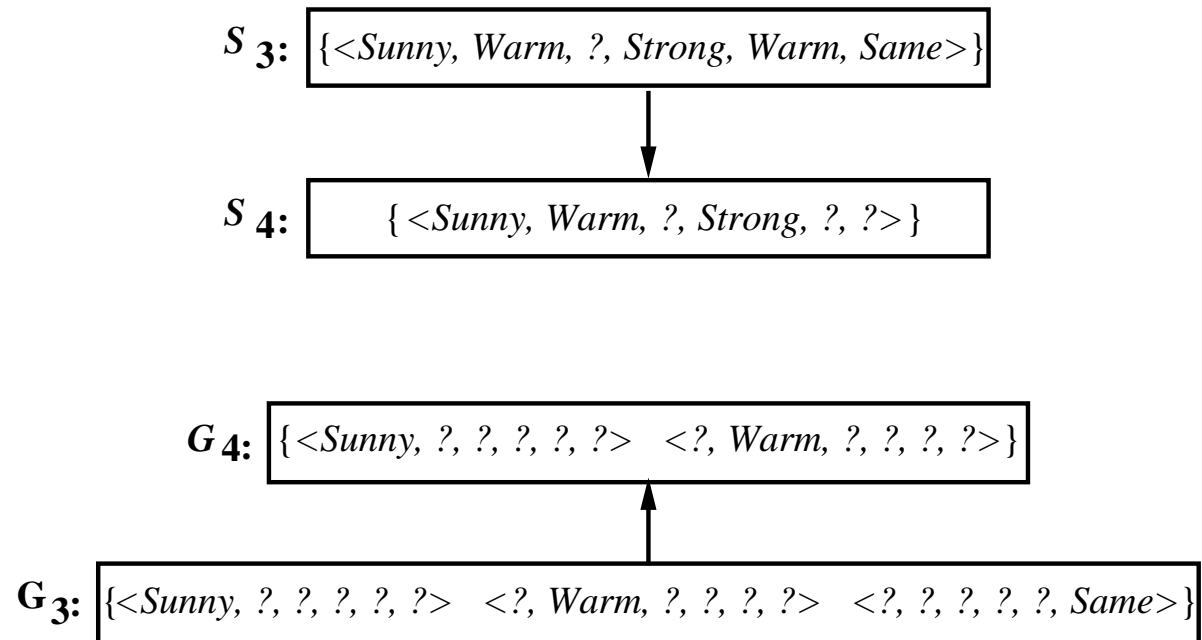
$S_2, S_3$ : { <*Sunny, Warm, ?, Strong, Warm, Same*> }



Training Example:

3. <*Rainy, Cold, High, Strong, Warm, Change*>, *EnjoySport=No*

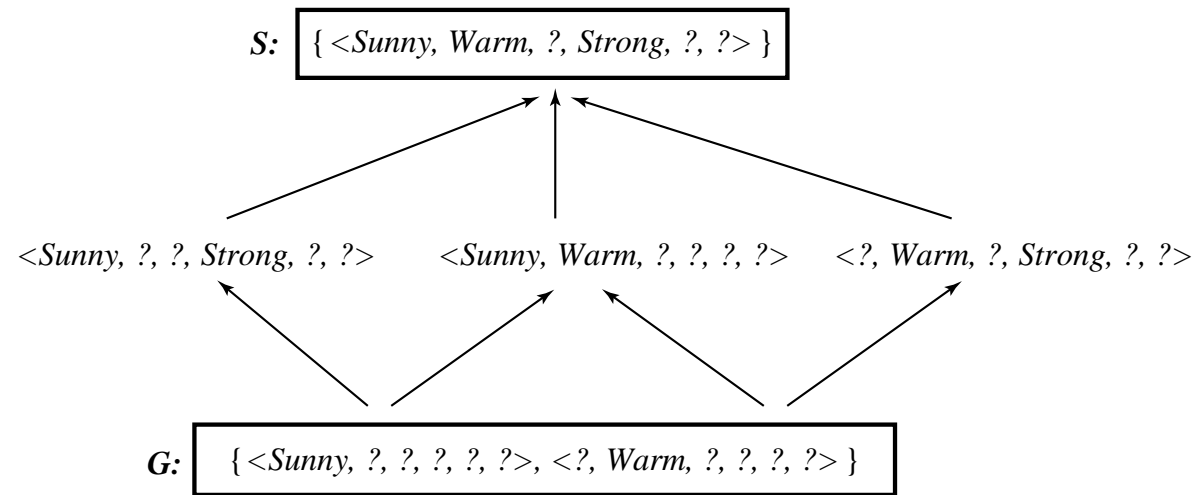
## Example Trace (IV)



Training Example:

4.  $\langle \text{Sunny}, \text{Warm}, \text{High}, \text{Strong}, \text{Cool}, \text{Change} \rangle, \text{EnjoySport} = \text{Yes}$

# How Should These Be Classified?

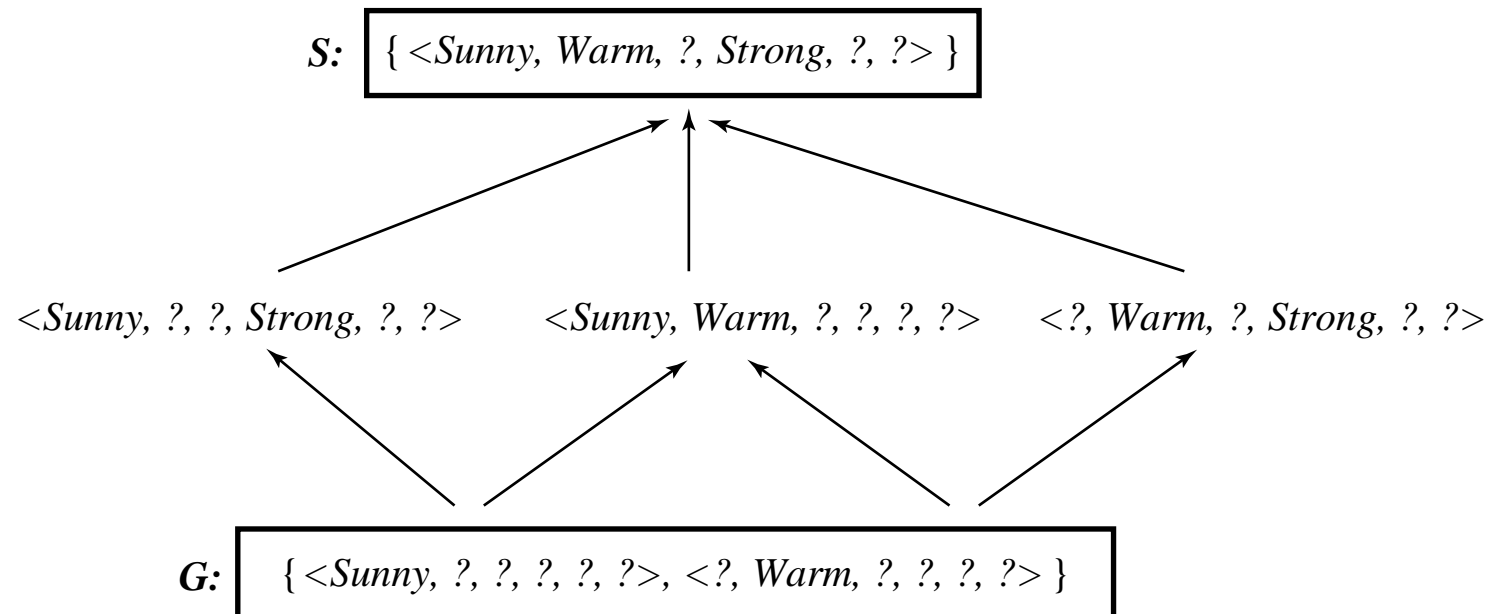


$\langle \text{Sunny Warm Normal Strong Cool Change} \rangle$

$\langle \text{Rainy Cool Normal Light Warm Same} \rangle$

$\langle \text{Sunny Warm Normal Light Warm Same} \rangle$

# How to Pick the Next Training Example?



See for instance

$\langle \text{Sunny Warm Normal Light Warm Same} \rangle$

## An Un-biased (ROTE) Learner

**Idea:** Choose  $H$  that expresses every teachable concept (i.e.,  $H$  is the power set of  $X$ )

Consider  $H' =$  disjunctions, conjunctions, negations over previous  $H$ . E.g.,

$$\langle \text{Sunny Warm Normal } ? ? ? \rangle \wedge \neg \langle ? ? ? ? ? \text{ Change} \rangle$$

“Rote” learning:

Store examples,

Classify  $x$  iff it matches the previously observed example.

What are  $S$ ,  $G$  in this case?

$$S \leftarrow \{x_1 \cup x_2 \cup x_3\}$$

$$G \leftarrow \{\neg x_3\}$$

## Three Learners with Different Biases

1. ROTE learner
2. FIND-S algorithm
3. CANDIDATE ELIMINATION algorithm

## Summary Points

1. Concept learning as search through  $H$
2. General-to-specific ordering over  $H$
3. Version space candidate elimination algorithm
4.  $S$  and  $G$  boundaries characterize the learner's uncertainty
5. The learner can generate useful queries
6. Inductive leaps are possible only if the learner is biased