

# Approximation: Greedy and Local Search

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# Agenda

1. Introduction
2. Scheduling Problems
  - ▶ Scheduling with deadlines on a Single machine
  - ▶ Scheduling on Multiple Machines
3. Graph Problems
  - ▶ K-center Problem
  - ▶ Travelling Salesman Problem
4. Conclusion

# Purpose of Approximation

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- ▶ Can we find a flawed solution?
- ▶ How flawed/good is this solution?
- ▶ What is the limit of this flawed solution?

## Quick Recap: Approximation Ratio

$Opt^*$ : the optimal solution

$Opt$ : the suboptimal solution we compute

For minimization problems, we have

$$\alpha = \frac{|Opt|}{|Opt^*|} > 1$$

And for maximization problems

$$\alpha = \frac{|Opt|}{|Opt^*|} < 1$$

# Techniques in Approximation

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4. Greedy & Local Search

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- ▶ Greedy algorithms form a solution step by step
- ▶ Local Search starts search from an arbitrary solution

# Scheduling with deadlines on a Single machine

**Problem Statement:** Given  $n$  jobs to be processed on a single machine. How can we schedule them such that they will finish as early as possible.

- ▶ Suppose  $d_j < 0$  to reduce complexity

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- ▶ How do we define earliness/lateness?



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**Problem Statement:** Given  $n$  jobs to be processed on a single machine. How can we schedule them such that they will finish as early as possible.

- ▶ Suppose  $d_j < 0$  to reduce complexity
- ▶ How do we define earliness/lateness?
- ▶ Suppose job  $j$  is finished at time  $C_j$ , the lateness is

$$L_j := C_j - d_j$$

The lateness of all jobs is

$$L_{\max} = \max_{i \in [n]} L_i$$

# Scheduling with deadlines on a Single machine - Formal Definition

**Input:**  $n$  jobs with release time  $r_j$ , processing time  $p_j$ , and deadline  $d_j < 0$ .

**Output:** a schedule such that  $L_{max}$  is minimized.

# Algorithm - Intuition

If the job  $j$  is finished before the deadline we don't get penalty for it, so

What if we always choose the job with the earliest deadlines?

**Earliest Deadline Rule**

# Algorithm - Analysis

Recall  $L_{\max} = C_j - d_j$  for some  $j$ , it suffices to show

$$c_1 L_{\max}^* \geq C_j, c_2 L_{\max}^* \geq -d_j$$

# Algorithm - Analysis

We start with an observation. Let  $S$  be a subset of jobs,

- ▶  $r(S) := \min_{j \in S} r_j$
- ▶  $p(S) := \sum_{j \in S} p_j$
- ▶  $d(S) := \max_{j \in S} d_j$

We claim that

$$L_{max}^* \geq r(S) + p(S) - d(S)$$

By considering the optimal schedule.

## Algorithm - Analysis

$$L_{max}^* \geq r(S) + p(S) - d(S)$$

This leads directly to

$$L_{max}^* \geq r(\{j\}) + p(\{j\}) - d(\{j\}) \geq -d_j$$

where  $j$  is the job that leads to the maximal lateness.

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- ▶  $r(S) = t$ ,  $p(S) = C_j - t$

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- ▶ Denote jobs processed in this interval with  $S$ .
- ▶  $r(S) = t$ ,  $p(S) = C_j - t$
- ▶ Thus  $C_j = r(S) + p(S) \leq L_{max}^*$

## Algorithm - Analysis

Summarizing two results  $L_{max}^* \geq -d_j$  and  $L_{max}^* \geq C_j$ , we obtain

$$L_{max} = C_j - d_j \leq 2L_{max}^*$$

# Scheduling on Identical Parallel Machines

**Problem Statement:** Given  $n$  jobs to be processed on  $k$  machines, How can we schedule them such that they will finish as early as possible.

- ▶ What if there is not deadline?
- ▶ How do we define earliness/lateness?

# Scheduling on Identical Parallel Machines - Formal Definition

More machines  $\implies$  more complexity

So the problem is **NP-hard** even if

we don't consider **release time** and **deadlines**

# Scheduling on Identical Parallel Machines - Formal Definition

**Input:**  $n$  jobs with processing time  $p_j$  and  $k$  machines

**Output:** A schedule that minimizes

$$\max_{j \in [n]} C_j$$

where  $C_j$  is the completion time of job  $j$ .

# Algorithm - Local Search

## **Recap:** Local search

- ▶ starts with a valid solution



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- ▶ no better strategy can be made

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- ▶ **Finish all jobs in one machine.**
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# Algorithm - Local Search

## **Recap:** Local search

- ▶ How do we find a valid solution?
- ▶ Finish all jobs in one machine.
- ▶ How to make greedy strategy?
- ▶ Separate those jobs to other machines.

# Local Search - Separation of Jobs

- ▶ Pick the last job  $j$  at the machine  $M$  with the latest running time
- ▶ Let  $t$  be the processing time of all other jobs on  $M$
- ▶ Find another machine  $M'$  such that  $t' < t$  is minimized
- ▶ Add  $j$  to  $M'$

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But, can we go into **LOOPs** ?



# Local Search - Analysis

We show that a job is only assigned **once** in our local search algorithm.

**Suppose** that a job  $j$  on machine  $M \neq M_1$  is assigned to another machine  $M'$ .

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**BUT:** by our strategy  $t$  has to be minimal when  $j$  was assigned to  $M$  at an earlier step.

We denote completion time on  $M'$  at this step as  $t'_0$ , it holds

$$t' \geq t'_0 \geq t \nmid$$

# Algorithm - Greedy and More

- ▶ This is a 2-approximation.
- ▶ There exists greedy algorithm that solves problem with  $\alpha = 2, \frac{4}{3}$
- ▶ With rounding and DP, the problem can be approximated with  $\alpha = 1 + \epsilon$  for any  $\epsilon > 0$

# K-Center Problem

**Problem Statement:** Given  $n$  points in a space, choose  $k$  centers from them such that the maximal distance between each point and its nearest center is minimized.

# K-Center Problem - Formal Definition

$d(p, q)$ : distance between  $p, q$

$d(p, S) := \min_{q \in S} d(p, q)$

**Input:**  $S, k$

**Output:**  $C \subseteq S$  s.t.  $|C| = k$  and  $r = \max_{p \in S} d(p, C)$  is minimized



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**We don't require constraints on distance, as we need to do to TSP**

# Algorithm - Intuition

How do we search **greedily**?

If we have a temporary center  $|C| < k$ , how to build  $|C'| = |C| + 1$ ?

# Algorithm - Description

Find  $v \in S \setminus C$  s.t.  $d(v, C)$  is maximized.

$$C' = C \cup \{v\}$$

# Algorithm - Description

But, what is  $C$  at the beginning of the iteration?

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Any arbitrary point would do!

## Approximation ratio: Base

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Guarantees  $\alpha = \frac{r}{r^*} = 2$



## Approximation ratio: General

What if one optimal cluster contains two or even more centers?

This is solved by our greediness. **HOW?**

# Approximation ratio: Improvement?

Can we have a better approximation?

Sadly, NO

# Dominating Set

If there is  $\alpha < 2 \implies$  Dominating Set is solvable in polytime.

**Input:** Graph  $G = (V, E)$

**Output:**  $D \subseteq V$  and integer  $k$  s.t.  $|D| = k$  and each vertex in  $V$  is either in  $D$  or is adjacent to a vertex in  $D$

# Dominating Set - Reduction

We reduce the instance of dominating set to  $k$ -center.

- ▶ For each vertex  $u, v \in V$ 
  1. If  $u, v$  are adjacent:  $d(u, v) = 2$
  2. If not:  $d(u, v) = 1$
- ▶ If there is  $|D| = k$ : each cluster in  $k$ -center has radius  $r^* = 1$
- ▶ If  $|D| > k$ : there exists cluster with  $r^* = 2$
- ▶ If we can approximate  $k$ -center with  $\alpha < 2$

$$r \leq \alpha r^* = \alpha < 2$$

This solves dominating set directly.

# Travelling Salesman Problem(TSP)

**Problem Statement:** Given a complete weighted graph of  $n$  vertices, find the round tour with the minimal cost.

# TSP - Formal Definition

**Input:**  $G = (V, d)$

**Output:** Path  $\pi$  s.t.  $\sum_{i=1}^{n-1} w(\pi(i), \pi(i+1))$  is minimized

# TSP - Formal Definition

**Input:**  $G = (V, d)$

**Output:** Path  $\pi$  s.t.  $\sum_{i=1}^{n-1} w(\pi(i), \pi(i+1))$  is minimized

**What is the distance function?**

**Or what property does the distance function have?**

# TSP-Limit of Approximation

1. No existent approximation for TSP in general



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2. If there was  $\implies$  Hamilton-Cycle solvable in P!

Suppose for each vertice  $u, v, w \in V$ , triangular inequality holds

$$d(u, v) + d(v, w) \geq d(u, w)$$

# TSP-Limit of Approximation

1. No existent approximation for TSP in general
2. If there was  $\implies$  Hamilton-Cycle solvable in P!
3. But: metric distance can help us!

Suppose for each vertex  $u, v, w \in V$ , triangular inequality holds

$$d(u, v) + d(v, w) \geq d(u, w)$$

## Algorithm - A bit help from Euler

- ▶ Minimum Spanning Tree(MST). The tree that connects all vertices with minimal edge cost.

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- ▶ Minimum Spanning Tree(MST). The tree that connects all vertices with minimal edge cost.
- ▶ Eulerian Graph: connected graph where all vertices have even degrees.

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- ▶ What if we make a copy of MST?
- ▶ This is make a Eulerian Graph  $\implies$  Not a round tour
- ▶ What if we remove all but the first occurrences?
- ▶ This is a round tour!



# Algorithm - A bit help from Euler

For the size of the tour:

1.  $w_{MST} \leq w_{Opt^*}$ : Round tour contains trees!
2.  $w_G \leq 2w_{MST}$ : triangular inequality

$$\implies w_G \leq 2w_{Opt^*}$$

## Algorithm - A bit help from Christofide

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- ▶ We can do the same trick to all Eulerian graphs
- ▶ As long as it connects all vertices
- ▶ How to make MST Eulerian?
- ▶ Make all vertices have **even** degrees

# Algorithm - A bit help from Christofide

## HOW?

1. Connect all vertices with odd degrees

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## HOW?

1. Connect all vertices with odd degrees
2. How many vertices with odd degrees?

# Algorithm - A bit help from Christofide

Matching: a set of edges that don't share vertices.

Perfect Matching: a matching covering all vertices



# Algorithm - A bit help from Christofide

Matching: a set of edges that don't share vertices.

Perfect Matching: a matching covering all vertices

- ▶ Remove all vertices with even degrees and their edges in  $G$
- ▶ Find a PM in the result graph  $O$
- ▶ Combine  $O$  with the MST
- ▶ Do the trick on Eulerian graph!

# Algorithm - A bit help from Christofide

For the size of the tour:

1.  $w_{MST} \leq w_{Opt^*}$ : see 1st part
  2.  $w_{PM} \leq \frac{1}{2}w_{Opt^*}$ :
  3.  $w_G \leq w_{MST} + w_{PM}$ : traingular inequality
- $$\implies w_G \leq \frac{3}{2}w_{Opt^*}$$

# Limit of Approximation(Again)

Even if it is possible to do the approximation for metric TSP, we cannot do better than  $\alpha < \frac{220}{219}$  unless **P** = **NP**

# Conclusion

- ▶  $\text{PTAS} \leftrightarrow \text{P}$
- ▶  $\text{APX-intermediate} \leftrightarrow \text{NP-intermediate}$
- ▶  $\text{APX} \leftrightarrow \text{NP}$
- ▶  $\text{APX-complete} \leftrightarrow \text{NP-complete}$

# Conclusion

- ▶ Scheduling with Deadline on a Single Machine:  $\alpha = 2$
- ▶ Scheduling on Identical Parallel Machines: PTAS
- ▶ K-Center Problem:  $\alpha \geq 2$
- ▶ Travelling Salesman Problem:  $\alpha = 1.5 - 10^{-36}$

# Conclusion

A vivid and popular field in complexity theory!

1. IN2004: Efficient algorithms and data structures II
2. CIT4100003: Approximation Algorithms