# Approximation: Greedy and Local Search

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#### Agenda

- 1. Introduction
- 2. Scheduling Problems
  - Scheduling with deadlines on a Single machine
  - Scheduling on Multiple Machines
- 3. Graph Problems
  - K-center Problem
  - Travelling Salesman Problem
- 4. Conclusion

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- Can we find a flawed solution?
- ► How flawed/good is this solution?
- ▶ What is the limit of this flawed solution?

# Quick Recap: Approximation Ratio

Opt\*: the optimal solution

Opt: the suboptimal solution we compute

For minimization problems, we have

$$lpha = rac{|\mathit{Opt}|}{|\mathit{Opt}^*|} > 1$$

And for maximization problems

$$\alpha = \frac{|\mathit{Opt}|}{|\mathit{Opt}^*|} < 1$$

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- 4. Greedy & Local Search

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- Greedy algorithms form a solution step by step
- Local Search starts search from an arbitrary solution

# Scheduling with deadlines on a Single machine

**Problem Statement:** Given *n* jobs to be processed on a single machine. How can we schedule them such that they will finish as early as possible.

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**Problem Statement:** Given *n* jobs to be processed on a single machine. How can we schedule them such that they will finish as early as possible.

- ▶ Suppose  $d_j < 0$  to reduce complexity
- How do we define earlieness/lateness?
- ▶ Suppose job j is finished at time  $C_i$ , the lateness is

$$L_j := C_j - d_j$$

The lateness of all jobs is

$$L_{max} = \max_{i \in [n]} L_i$$

# Scheduling with deadlines on a Single machine - Formal Definition

**Input:** n jobs with release time  $r_j$ , processing time  $p_j$ , and deadline  $d_i < 0$ .

**Output:** a schedule such that  $L_{max}$  is minimized.

#### Algorithm - Intuition

If the job j is finished before the deadline we don't get penalty for it, so

What if we always choose the job with the earliest deadlines?

**Earliest Deadline Rule** 

Recall 
$$L_{max}=C_j-d_j$$
 for some  $j$  , it suffices to show 
$$c_1L_{max}^*\geq C_j, c_2L_{max}^*\geq -d_j$$

We start with an observation. Let S be a subset of jobs,

- $ightharpoonup r(S) := \min_{j \in S} r_j$
- $\triangleright p(S) := \sum_{j \in S} p_j$
- $b d(S) := \max_{j \in S} d_j$

We claim that

$$L_{max}^* \ge r(S) + p(S) - d(S)$$

By considering the optimal schedule.

$$L_{max}^* \ge r(S) + p(S) - d(S)$$

This leads directly to

$$L_{max}^* \ge r(\{j\}) + p(\{j\}) - d(\{j\}) \ge -d_j$$

where j is the job that leads to the maximal lateness.

#### How about $L_{max}^* \geq C_j$

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- Find the earliest time t such that  $[t, C_i]$  has no idle time
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- ► r(S) = t,  $p(S) = C_j t$
- ▶ Thus  $C_j = r(S) + p(S) \le L_{max}^*$

Summarizing two results  $L^*_{max} \ge -d_j$  and  $L^*_{max} \ge C_j$ , we obtain  $L_{max} = C_i - d_i \le 2L^*_{max}$ 

# Scheduling on Identical Paralle Machines

**Problem Statement:** Given n jobs to be processed on k machines, How can we schedule them such that they will finish as early as possible.

- What if there is not deadline?
- ► How do we define earlieness/lateness?

# Scheduling on Identical Paralle Machines - Formal Definition

More machines ⇒ more complexity

So the problem is NP-hard even if

we don't consider release time and deadlines

# Scheduling on Identical Paralle Machines - Formal Definition

**Input:** n jobs with processing time  $p_j$  and k machines

Output: A schedule that minimizes

$$\max_{j \in [n]} C_j$$

where  $C_i$  is the completion time of job j.

Recap: Local search

starts with a valid solution

- starts with a valid solution
- searches greedily until

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- searches greedily until
- no better strategy can be made

- ► How do we find a valid solution?
- ► How to make greedy strategy?

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## Algorithm - Local Search

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# Algorithm - Local Search

#### Recap: Local search

- ► How do we find a valid solution?
- Finish all jobs in one machine.
- How to make greedy strategy?
- Separate those jobs to other machines.

#### Local Search - Seperation of Jobs

- ▶ Pick the last job j at the machine M with the latest running time
- ▶ Let t be the processing time of all other jobs on M
- Find another machine M' such that t' < t is minimized
- ▶ Add *j* to *M'*

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But, can we go into LOOPs?

We show that a job is only assigned **once** in our local search algorithm.

**Suppose** that a job j on machine  $M \neq M_1$  is assigned to another machine M'.

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We denote completion time on M' at this step as  $t'_0$ , it holds

$$t' \geq t'_0 \geq t$$
 §



# Algorithm - Greedy and More

- ► This is a 2-approximation.
- There exists greedy algorithm that solves problem with  $\alpha=2,\frac{4}{3}$
- With rounding and DP, the problem can be approximated with  $\alpha=1+\epsilon$  for any  $\epsilon>0$

#### K-Center Problem

**Problem Statement:** Given n points in a space, choose k centers from them such that the maximal distance between each point and its nearest center is minimized.

#### K-Center Problem - Formal Definition

```
d(p,q): distance between p,q
d(p, S) := \min_{q \in S} d(p, q)
```

Input: S, k

**Output:**  $C \subseteq S$  s.t. |C| = k and  $r = \max_{p \in S} d(p, C)$  is minimized

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We don't require constraints on distance, as we need to do to TSP

# Algorithm - Intuition

How do we search greedily?

If we have a temporary center |C| < k, how to build |C'| = |C| + 1?

# Algorithm - Description

Find  $v \in S \setminus C$  s.t. d(v, C) is maximized.

$$C' = C \cup \{v\}$$

## Algorithm - Description

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Any arbitrary point would do!

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Suppose each optimal cluster contains exactly one center by our algorithm.

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Guarantees 
$$\alpha = \frac{r}{r^*} = 2$$

### Approximation ratio: General

What if one optimal cluster contains two or even more centers?

This is solved by our greediness. HOW?

# Approximation ratio: Improvement?

Can we have a better approximation?

Sadly, NO

# **Dominating Set**

If there is  $\alpha < 2 \implies$  Dominating Set is solvable in polytime.

**Input:** Graph G = (V, E)

**Output:**  $D \subseteq V$  and integer k s.t. |D| = k and each vertice is V is either in D or is adjacent to a vertice in D

# Dominating Set - Reduction

We reduce the instance of dominating set to k-center.

- ▶ For each vertice  $u, v \in V$ 
  - 1. If u, v are adjacent: d(u, v) = 2
  - 2. If not: d(u, v) = 1
- ▶ If there is |D| = k: each cluster in k-center has radius  $r^* = 1$
- ▶ If |D| > k: there exists cluster with  $r^* = 2$
- ▶ If we can approximate k—center with  $\alpha$  < 2

$$r \le \alpha r^* = \alpha < 2$$

This solves dominating set directly.

# Travelling Salesman Problem(TSP)

**Problem Statement:** Given a complete weighted graph of *n* vertices, find the round tour with the minimal cost.

#### TSP - Formal Definition

Input: G = (V, d)Output: Path  $\pi$  s.t.  $\sum_{i=1}^{n-1} w(\pi(i), \pi(i+1))$  is minimized

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Input: G = (V, d)

**Output:** Path  $\pi$  s.t.  $\sum_{i=1}^{n-1} w(\pi(i), \pi(i+1))$  is minimized

What is the distance function? Or what property does the distance function have?

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- 2. If there was  $\implies$  Hamilton-Cycle solvable in P!

Suppose for each vertice  $u, v, w \in V$ , triangular inequality holds

$$d(u,v)+d(v,w)\geq d(u,w)$$

## TSP-Limit of Approximation

- 1. No existent approximation for TSP in general
- 2. If there was  $\implies$  Hamilton-Cycle solvable in P!
- 3. But: metric distance can help us!

Suppose for each vertice  $u, v, w \in V$ , triangular inequality holds

$$d(u,v)+d(v,w)\geq d(u,w)$$

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- ▶ This is make a Eulerian Graph ⇒ Not a round tour
- ▶ What if we remove all but the first occurrences?
- This is a round tour!

#### For the size of the tour:

- 1.  $w_{MST} \leq w_{Opt^*}$ : Round tour contains trees!
- 2.  $w_G \leq 2wMST$ : triangular inequality

$$\implies w_G \leq 2w_{Opt^*}$$

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- ▶ We can do the same trick to all Eulerian Graphs
- As long as it connects all vertices
- ► How to make MST Eulerian?
- ► Make all vertices have **even** degrees

#### HOW?

1. Connect all vertices with odd degrees

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- 1. Connect all vertices with odd degrees
- 2. How many vertices with odd degrees?

Matching: a set of edges that don't share vertices.

Perfect Matching: a matching covering all vertices

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- Remove all vertices with even degrees and their edges in G
- ► Find a PM in the result graph O
- Combine this with the MST

#### For the size of the tour:

- 1.  $w_{MST} \leq w_{Opt^*}$ : see 1st part
- 2.  $w_{PM} \leq \frac{1}{2} w_{Opt^*}$ :
- 3.  $w_G \leq w_{MST} + wPM$ : traingular inequality

$$\implies w_G \leq \frac{3}{2} w_{Opt^*}$$

# Limit of Approximation(Again)

Even if it is possible to do the approximation for metric TSP, we cannot do better than  $\alpha < \frac{220}{219}$  unless  ${\bf P} = {\bf NP}$