

# Category 9

Basis on Lie algebras, the semisimple ones.

$\mathfrak{g}$  is a semi-simple algebra, we take  $\mathfrak{h} \subset \mathfrak{g}$  to be its Cartan matrix, maximal nilpotent self-normalizing algebra of  $\mathfrak{g}$ .  $\text{ad}_x^n \mathfrak{h} = 0$

$$[x, y] \in \mathfrak{h} \quad \text{for all } x \in \mathfrak{h} \Rightarrow y \in \mathfrak{h}$$

For the cartan subalgebra, we have a root system  $\bigoplus_{\alpha \in \Phi} \mathbb{C}\alpha^*$  and we call the dual of  $\mathfrak{h}$ , the weights of  $\mathfrak{h}$ , noted  $\mathfrak{h}^*$ . For each root of  $\Phi$ , we denote

$$\mathfrak{g}_\alpha := \{ x \in \mathfrak{g} \mid [\text{th}, x] = \alpha(\text{th}) x, \text{th} \in \mathfrak{h} \}$$

We have the triangle decomposition

$$\mathfrak{g} = \mathfrak{n} \oplus \mathfrak{h} \oplus \mathfrak{n}^- \quad \text{with } \mathfrak{n} = \bigoplus_{\alpha > 0} \mathfrak{g}_\alpha, \mathfrak{n}^- = \bigoplus_{\alpha < 0} \mathfrak{g}_\alpha.$$

for  $\mathfrak{n}$ :  $\mathfrak{n}$  is strict upper triangular matrices  
 $\mathfrak{h}$  is tridiagonal matrices  
 $\mathfrak{n}^-$  is strict lower triangular matrices

We call

$$\mathfrak{h} = \mathfrak{n} \oplus \mathfrak{n}^-$$

L

The Borel subalgebra.

We take the universal enveloping algebra  $U(\mathfrak{g})$  and then, if we have ordered bases for  $\mathfrak{n}, \mathfrak{h}, \mathfrak{n}^-$ , the Poincaré-Birkhoff-Witt (PBW) theorem state that first  $U(\mathfrak{g}) = U(\mathfrak{n})U(\mathfrak{h})U(\mathfrak{n}^-)$

and that the basis of  
 $\left\{ \underbrace{x_1 \dots x_m}_\text{basis for \eta} \mid \underbrace{y_1 \dots y_n}_\text{basis for \gamma} \mid \underbrace{\text{local form}}_\text{basis for m} \right\}$  are a basis for  $U(\gamma)$

We note  $\text{Mod } U(\gamma)$  for module  
 and  $Z(\gamma) \subset U(\gamma)$  as the center of  $U(\gamma)$ .

for a weight  $\lambda \in \gamma^*$ , we denote for  $M \in \text{Mod}(U(\gamma))$

$$M_\lambda = \{ v \in M \mid h \cdot v = \lambda(h)v \text{ for all } h \in \gamma \}$$

and we call them weight space of  $M$  of multiplicity claim  $M_\lambda$  for the weight  $\lambda$ .

## Category $\mathcal{O}$ , arrival

- Introduced by Bernstein Gel'fand Gel'fand, also known as the BGG Category (1976), after work by Verma (68).
- It's everywhere, especially in Kazhdan-Lusztig theory.

Definition  $\mathcal{O} \subset \text{Mod}(U(\gamma))$  consists

- O<sub>1</sub>)  $M$  is finitely generated
- O<sub>2</sub>)  $M$  is  $\gamma$ -semisimple ( $M = \bigoplus_{\lambda \in \gamma} M_\lambda$ ) so it is also a weight module
- O<sub>3</sub>)  $M$  is locally  $\gamma$ -finite (for  $v \in M$   $U(\gamma) \cdot v \subset M$  is finite-dimensional, so  $U(\gamma)$  acts locally nilpotently)

We can deduce two others directly

- O<sub>4</sub>) All weight space of  $M$  are finite dimensional
- O<sub>5</sub>) (technical)  $\Pi(M) = \{ \lambda \in \gamma \mid M_\lambda \neq 0 \}$  is contained in the union of finitely many  $\lambda - P$ , with  $P$  the root lattice generated by  $\Phi^+$ .

## Properties

- a)  $\mathcal{O}$  is noetherian, so  $M \in \mathcal{O}$  will be noetherian  
Decreasing chain condition.
- b)  $\mathcal{O}$  is closed under submodule, quotient, finite direct sums
- c)  $\mathcal{O}$  is abelian category
- d) all finite-dimensional modules are in  $\mathcal{O}$
- e)  $M \mapsto L \otimes M$  is an exact endofunctor when  $L$  is finite-dimensional
- f)  $M \in \mathcal{O}$  is  $\mathbb{Z}(\mathfrak{g})$ -finite
- g)  $M$  is finitely generated as a  $U(n)$  module.

We look at highest weight module in  $\mathcal{O}$  for a more subset. Another nice subset will be the Verma modules.

If you have  $v^+ \in M$ , it is a maximal vector of weight  $\lambda \in \mathfrak{h}^*$  if  $v^+ \in M_\lambda$  and  $\eta^+ \cdot v^+ = 0$ . From  $\mathcal{O}_2$   $\mathcal{O}_3$ , all  $M$  have at least one maximal vector.

$M$  is an highest weight module if  $M = U(\mathfrak{g}) \cdot v^+$  for a certain maximal vector  $v^+$ .

**Properties of HWM**  $M$  is a HWM

- a)  $M \in \mathcal{O}$ ,  $M_\lambda$  of  $M$  is finite-dimensional  $\forall \lambda$
- b) A quotient of  $M$  is also a HWM
- c)  $M$  has a unique maximal submodule and simple quotient.
- d) all simple HWM of weight  $\lambda$  are isomorphic.

$\rightarrow$  For  $M \in \mathcal{O}$ , there exists a Jordan filtration of  $M$

$$0 \hookrightarrow M_1 \hookrightarrow M_2 \hookrightarrow \cdots \hookrightarrow M_m = M$$

such that  $M_i/M_{i-1} \cong N_i$  for  $i \in HWM$ .

Verma module.

Take a weight  $\lambda \in \mathfrak{h}^*$ , a maximal vector  $v_\lambda$  and  $\mathbb{C}_\lambda := \mathbb{C} \cdot v_\lambda$ .

$$M(\lambda) := U(\mathfrak{g}) \otimes_{U(\mathfrak{h})} \mathbb{C}_\lambda \quad \text{Verma module.}$$

The Verma module have a unique simple quotient  $L(\lambda)$ .

Prop each simple module of  $\mathcal{O}$  is isomorphic to one of  $L(\lambda)$ .

Gap!!

**Prop**

$\mathcal{O}$  is artinian category and so

$M \in \mathcal{O}$  is of finite Jordan-Hölder length  
there exist a composition series

$$0 \longrightarrow M_0 \hookrightarrow M_1 \hookrightarrow \dots \hookrightarrow M_m = M$$

with  $M_i/M_{i-1} \cong L(N)$ -

As a consequence, we get the first step towards categorification:

**Prop** The Grothendieck group of  $\mathcal{O}$  is free and abelian

$$K(\mathcal{O}) = \left\{ [L(\lambda)] \mid \lambda \in \bar{\Lambda} \right\}$$

$$K(M) = \sum_{\lambda \in \bar{\Lambda}} [M : L(\lambda)] \underbrace{[L(\lambda)]}_{\text{Number of copies of } L(\lambda)}$$

→ Number of copies of  $L(\lambda)$  in the composition series

→ We get the " $\mathbb{Z}$ " part of  $\mathbb{Z}[S_n]$ .

In a consequence of  $\mathcal{O}$  being artinian and noetherian,  $\mathcal{O}$  has enough projective, each  $M \in \mathcal{O}$  has a projective cover  $P \rightarrow M \rightarrow 0$ .

For the Verma module  $M(\lambda)$ , if has a unique simple module  $L(\lambda)$ . So it has a unique projective cover

$$\underline{P(\lambda)} \longrightarrow M(\lambda) \longrightarrow L(\lambda)$$

## BGG Reciprocity

1) Each module has a Verma flag or Standard filtration

$$0 \hookrightarrow M_1 \hookrightarrow \cdots \hookrightarrow M_n = M$$

where  $M_i/M_{i-1} \cong M(\lambda)$   $\rightarrow$  Verma module

and we denote  $(M: M(\lambda)) = \# \text{ of quotient} \cong M(\lambda) \text{ in Verma flag}$

2)  $(P(\lambda): M(\mu)) = [M(\mu): L(\lambda)]$

## Blocks

We say that two simple module  $M_1, M_2$  are in the same block if there exist non-split exact sequence

$$0 \longrightarrow M_1 \longrightarrow M \longrightarrow M_2 \longrightarrow 0$$

$$0 \longrightarrow M_2 \longrightarrow M \longrightarrow M_1 \longrightarrow 0$$

$M$  is in a block if all its simple factors (in composition series) are in the same block.

Usually,  $M$  decomposes as a direct sum of submodules belonging to a single  $M$ . Indecomposable means that  $M$  is in a single block.

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Characters on  $Z(\mathfrak{g})$

Humphreys.



$\mathcal{O}$  decomposes as sum of blocks via central characters.  $\mathcal{O}_0$  is the principal block.

- Each block is isomorphic to a category of finite-dim. modules for a  $C$ -algebra. (Hard to describe)
- All regular blocks are isomorphic (under condition on the stabilizer of the action of the Weyl group)

Takeaway: you can study the principal block linked with a trivial representation of  $W$  without worrying too much.

Denote  $\mathcal{O}_{x_1}$  the block linked to character of  $x$ .



# Translation functors

Take  $\lambda, \mu \in \mathbb{H}^*$ .  $p_{\lambda}: \mathcal{O} \rightarrow \mathcal{O}_{X_\lambda}$  Suppose  $\lambda = \mu$  so they are compatible lattice

$$p_{\lambda\mu}: \mathcal{O} \rightarrow \mathcal{O}_{X_\mu}$$

The translation functor  $T_\lambda^\mu: \mathcal{O}_{X_\lambda} \rightarrow \mathcal{O}_{X_\mu}$

$$M \mapsto p_{\lambda\mu}(L(\overline{\mu-\lambda}) \otimes M) \quad \overline{\mu-\lambda} \in \Lambda^+ \text{ in the } w\text{-orbit of } \mu-\lambda.$$

or  $T_\lambda^\mu: \mathcal{O} \rightarrow \mathcal{O}$

$$M \mapsto \text{inc}_\mu(p_{\lambda\mu}(L(\lambda-\mu) \otimes p_{\lambda\mu}(M)))$$

## Properties

a) send projective to projective

$$\hookrightarrow \text{Hom}_{\mathcal{O}}(T_\lambda^\mu M, N) \simeq \text{Hom}(M, T_\mu^\lambda N)$$

b) induce endomorphisms on the Grothendieck group

c) They are exact.

## Theorems (using conditions technical)

$$T_\lambda^\mu(M(w \cdot \lambda)) = TM(w \cdot \mu) \quad 7.6 - 7.9$$

↳ "dot" action of  $w$

$$T_\lambda^\mu(L(w \cdot \lambda)) = \begin{cases} 0 \\ \simeq L(w \cdot \mu) \end{cases}$$

Induce an equivalence of category between the

blocks  $\mathcal{O}_{X_\lambda} \xrightarrow{T_\lambda^\mu} \mathcal{O}_{X_\mu}$

$\xleftarrow{T_\mu^\lambda}$

$$T_p(\rho(w \cdot \nu)) = \rho(w \cdot \lambda)$$

We will use lastly something called  
the Translates through the wall

$$\mathcal{O}_\lambda = T_p^\lambda T_x^w \quad \text{for } \beta, \nu \text{ in a 1-wall of} \\ \text{the root system.}$$

$$\mathcal{O}_\lambda \cdot L(w \cdot \lambda) = L(w \cdot \lambda) \oplus L(w \cdot \mu)$$

$$\mathrm{Hom}(T_\lambda^\mu M, T_\lambda^\mu N) \cong \mathrm{Hom}(M, \mathcal{O}_\lambda N)$$

$$\mathcal{O}_\lambda(M \otimes w \cdot \lambda) \cong P(w \cdot \lambda - \gamma)$$

$\hookrightarrow$  switch the chamber in  
the root system

They are used in the projective functors category