

## Assignment 1

### 1. Skip-Gram

$w \in V_w$ , a word,  $V_w$  word vocabulary,  $w \rightarrow \vec{w} \in \mathbb{R}^d$

$c \in V_c$ , a context,  $V_c$  context vocabulary,  $c \rightarrow \vec{c} \in \mathbb{R}^d$

$\mathcal{D}$ , collection of observed word and context pairs

$\#(w, c)$ , number of times  $(w, c)$  appears in  $\mathcal{D}$

$$\#(w) = \sum_{c \in V_c} \#(w, c) \quad \#(c) = \sum_{w' \in V_w} \#(w', c)$$

$$1. \quad P(\mathcal{D}=1 | w, c) = \sigma(\vec{w} \cdot \vec{c}) = \frac{1}{1 + \exp(-\vec{w} \cdot \vec{c})}$$

$$l = \sum_{w \in V_w} \sum_{c \in V_c} \#(w, c) \log(\sigma(\vec{w} \cdot \vec{c}))$$

$$= \sum_{w \in V_w} \sum_{c \in V_c} \frac{\#(w, c)}{1 + \exp(-\vec{w} \cdot \vec{c})}$$

### Answers 1.1

An objective function tries to optimize something. Here, we want to maximize  $P(\mathcal{D}=1 | w, c)$  for ~~an~~ observed  $(w, c)$  pairs, ~~the maximizing~~

~~$P(\mathcal{D}=0)$~~ . We can see that we could use this log likelihood function as the objective function, it would work, but it is really heavy on computation

It is heavy because for each time we need to compute  $l$ , we need to go through every word, and for each word, we go through every context.

2.  $c_N$ , randomly drawn negative samples

for  $(w, c)$  we want to

1. maximize  $P(D=1|w, c)$
2. while maximizing  $P(D=0|w, c)$  for negative samples

$h = \#$  of samples

$$c_N \sim \frac{\#(c)}{|D|} = P_D(c)$$

Answers 1.2

Recall that  $P(D=1|w, c) = \sigma(\bar{w}^T \cdot \bar{c}^T) = \frac{1}{1 + \exp(-\bar{w} \cdot \bar{c})}$

$$\Rightarrow P(D=0|w, c) = 1 - P(D=1|w, c) = 1 - \sigma(\bar{w}^T \cdot \bar{c}^T)$$

$$L(w, c) = P(D=1|w, c) \prod_{i=1}^h P(D=0|w, c) \text{ by 1i}$$

We calculate the log

$$\log L(w, c) = \log(P(D=1|w, c) \prod_{i=1}^h P(D=0|w, c)) = \log(\sigma(\bar{w}^T \cdot \bar{c}^T)) + \log\left(\prod_{i=1}^h (1 - \sigma(\bar{w}^T \cdot \bar{c}^T))\right)$$

$$= \log(\sigma(\bar{w}^T \cdot \bar{c}^T)) + \sum_{i=1}^h \log(1 - \sigma(\bar{w}^T \cdot \bar{c}^T))$$

💡 multiply 2nd term and divide by  $h$ .

$$= \log(\sigma(\bar{w}^T \cdot \bar{c}^T)) + \frac{1}{h} \sum_{i=1}^h \log(1 - \sigma(\bar{w}^T \cdot \bar{c}^T))$$

$$= \log(\sigma(\bar{w}^T \cdot \bar{c}^T)) + \mathbb{E}_{c_N \sim P_D} (\log(1 - \sigma(\bar{w}^T \cdot \bar{c}^T)))$$

$$1 - \sigma(\bar{w}^T \cdot \bar{c}^T) = 1 - \frac{1}{1 + e^{\bar{w} \cdot \bar{c}}} = \frac{1 + e^{\bar{w} \cdot \bar{c}} - 1}{1 + e^{\bar{w} \cdot \bar{c}}} = \frac{e^{\bar{w} \cdot \bar{c}}}{1 + e^{\bar{w} \cdot \bar{c}}}$$

$$1 - \sigma(x) = 1 - \frac{1}{1 + e^x} = \frac{1 + e^x - 1}{1 + e^x} = \frac{e^x}{1 + e^x} = \frac{1}{e^{-x} + 1}$$

## Following of 1.2

with ii, we have

$$\log h(w, c) = \log(\sigma(\vec{w} \cdot \vec{c})) + \frac{1}{h} \mathbb{E}_{c_N \sim P_D} (\log(\sigma(-\vec{w} \cdot \vec{c})))$$

So we have for each pair

the objective function we want to minimize :

$$h(\vec{w}, \vec{c}) = \log(\sigma(\vec{w} \cdot \vec{c})) + h \mathbb{E}_{c_N \sim P_D} (\log(\sigma(-\vec{w} \cdot \vec{c})))$$

For all pairs, it gives

$$l = \sum_{w \in V_w} \sum_{c \in V_c} \#(w, c) (\log \sigma(\vec{w} \cdot \vec{c})) + h \cdot \mathbb{E}_{c_N \sim P_D} [\log \sigma(-\vec{w} \cdot \vec{c}_N)]$$

3. Assuming  $\vec{w} \cdot \vec{c}$  independent,  $l(w, c) = ?$ , let's rewrite  $l$

$$l = \sum_w \sum_c \#(w, c) (\log \sigma(\vec{w} \cdot \vec{c})) + \sum_w \sum_c \#(w, c) (h \cdot \mathbb{E}_{c_N \sim P_D} [\log \sigma(-\vec{w} \cdot \vec{c}_N)])$$

$$= \sum_w \sum_c \#(w, c) (\log \sigma(\vec{w} \cdot \vec{c})) + \sum_{w \in V_w} \#(w) (h \cdot \mathbb{E}_{c_N \sim P_D} [\log \sigma(-\vec{w} \cdot \vec{c}_N)])$$

recall the expectation term:  $\mathbb{E}_{c_N \sim P_D} [\log \sigma(-\vec{w} \cdot c_N)] = \sum_{c_N \in C} \frac{\#(c_N)}{|D|} \log \sigma(-\vec{w} \cdot c_N)$

$$= \frac{\#(c)}{|D|} \log \sigma(-\vec{w} \cdot \vec{c}) + \sum_{c_N \in V_c \setminus \{c\}} \frac{\#(c_N)}{|D|} \log \sigma(-\vec{w} \cdot c_N)$$

we then plug that back in the loss function:

$$l = \sum_w \sum_c \#(w, c) \log(\sigma(\vec{w} \cdot \vec{c})) + \sum_w \#w \cdot h \cdot ( \dots ) \xrightarrow{\text{answer}} \boxed{3}$$

We can see how to express  $l(w, c)$ :

$$l(w, c) = \#(w, c) \log(\vec{w} \cdot \vec{c}) + h \cdot \#w - \frac{\#c}{|D|} \log(-\vec{w} \cdot \vec{c})$$

1.4  $x = \vec{w} \cdot \vec{c}$ , we want  $x^* = \max_x l$

~~$l(x) = \#(w, c) \cdot \sigma(-x)$~~

$l(x) = \#(w, c) \cdot \log(\sigma(x)) + \#(w) \cdot h \cdot \frac{\#c}{|D|} \log(\sigma(-x))$

$$x^* = \max_x l \quad \sim \quad \frac{\partial l(x)}{\partial x} = 0$$

$$\sim \#(w, c) \sigma'(x) \cdot \frac{1}{\sigma(x)} + \frac{\#(w) \cdot h \cdot \#(c)}{|D|} \cdot \sigma'(-x) \frac{1}{\sigma(-x)} = 0$$

as we saw in 1.1

$$\sigma(x) = \frac{1}{1 + e^{-x}} = (1 + e^{-x})^{-1}$$

$$\begin{aligned} \sigma'(x) &= -(1 + e^{-x})^{-2} (1 + e^{-x})' \\ &= -(1 + e^{-x})^{-2} e^{-x} \end{aligned}$$

$$= \frac{1}{e^x + e^{-2x}}$$

$$\sim \dots = \sigma(x)(1 - \sigma(x))$$

$$\sim \#(w, c) \sigma(x) (1 - \sigma(x)) \frac{1}{\sigma(x)}$$

$$+ \frac{h \#(w) \#(c)}{|D|} \sigma(x) = 0$$

$\circ \rightarrow$  with trivial adjustments

$$\Rightarrow \left[ e^{2x} - \left( \frac{\#(w, c)}{h \#(w) \frac{\#(c)}{|D|}} - 1 \right) e^x - \frac{\#(w, c)}{h \#(w) \frac{\#(c)}{|D|}} \right] = 0$$

result  $\rightarrow$

rewritten



following of 1.4

$$\rightarrow e^{2x} - \left( \frac{\#(w, c)}{h \#(w) \frac{\#(c)}{|D|}} - 1 \right) e^x - \frac{\#(w, c)}{h \#(w) \frac{\#(c)}{|D|}} = 0$$

let's define  $y = e^x$

$$\Rightarrow y^2 - by - c = 0$$

So this equation has 2 solutions:

$$y_1 = -1 \Rightarrow e^x = -1 \Rightarrow \text{not possible}$$

$$y_2 = \frac{\#(w, c)}{h \cdot \#(w) \cdot \frac{\#(c)}{|D|}}$$

Remember, we have  $y = e^x = e^{\vec{w} \cdot \vec{c}}$

$$\Rightarrow \vec{w} \cdot \vec{c} = \log(y)$$

$$x^* =$$

$$\Rightarrow \vec{w} \cdot \vec{c} = \log \left( \frac{\#(w, c) \cdot |D|}{\#(w) \cdot \#(c)} \cdot \frac{1}{a} \right) = \operatorname{argmax} \ell$$

□

5.  $h=1$

$$x^* = \log \left( \frac{\#(w,c) \cdot |D|}{\#(w) \cdot \#(c)} \cdot \frac{1}{h} \right)$$

$$= \log \left( \frac{\#(w,c)}{|D|} \cdot \frac{|D|}{\#(w)} \cdot \frac{|D|}{\#(c)} \right)$$

$$= \log \left( \frac{P_D(w,c)}{P_D(c)P(w)} \right) = \text{PMI}(w,c) \quad \text{by definition}$$

6.  $M = W^* \cdot C^{*T} ?$

$$M_{ij}^{\text{SGNS}} = W_i \cdot C_j = \bar{w}_i \cdot \bar{c}_j = \text{PMI}(w_i, c_j) - \log h$$

For negative-sampling values  $h > 1$ , SGNS is factorizing a shifted PMI matrix  $M^{\text{PMI}_h} = M^{\text{PMI}} - \log h$