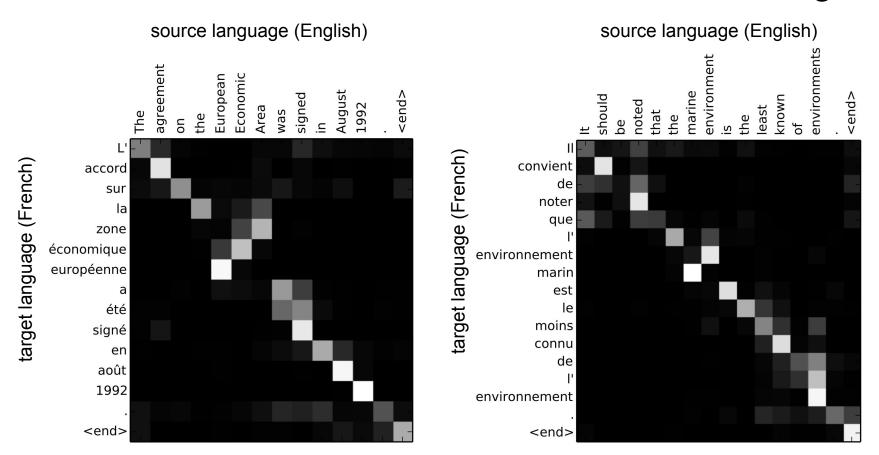


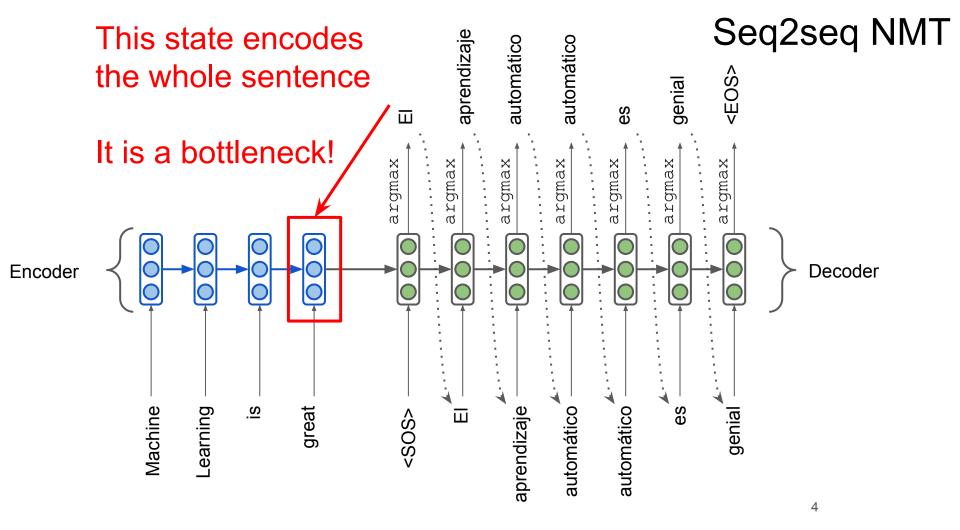
# Lecture 03: Attention mechanism

**Anastasia Yanina** 

#### Words alignment



# Attention

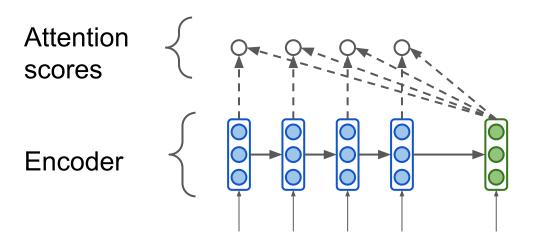


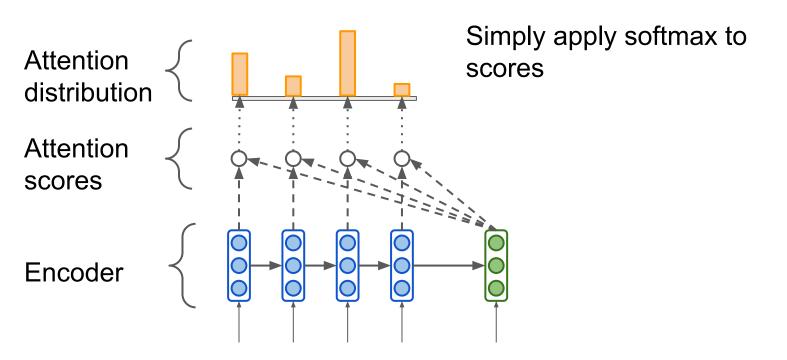
#### **Attention**

#### Main idea:

on each step of the **decoder**, use **direct connection to the encoder** to focus on a particular part of the source sequence





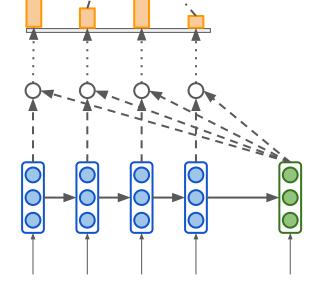


# Attention output Seq2seq with attention Weighted sum of all encoder states

Attention distribution

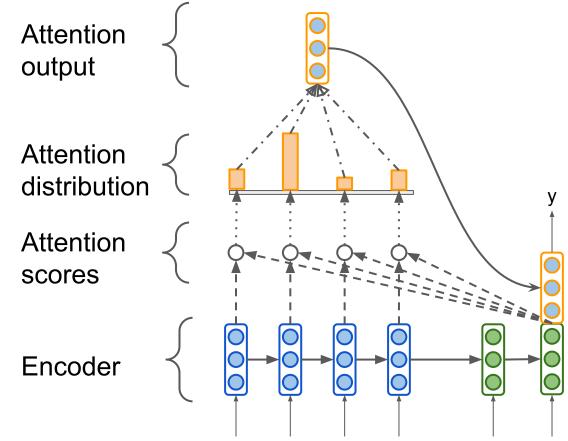
Attention scores

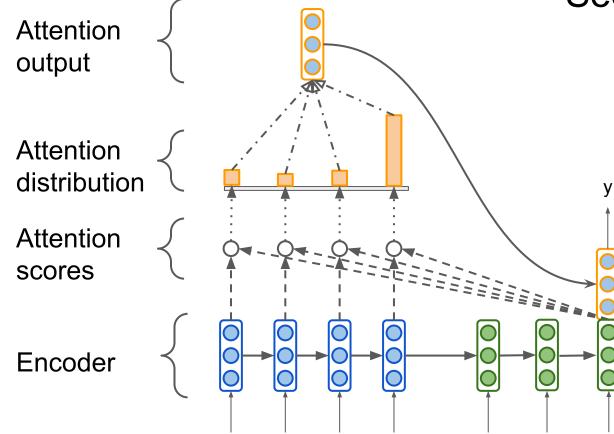
Encoder



**Attention** output **Attention** Concatenate distribution **Attention** scores Encoder

# **Attention** output **Attention** distribution Attention scores Encoder





# Attention in equations

Denote encoder hidden states  $\mathbf{h}_1,\dots,\mathbf{h}_N\in\mathbb{R}^k$  and decoder hidden state at time step t  $\mathbf{s}_t\in\mathbb{R}^k$ 

The attention scores  $\mathbf{e}^t$  can be computed as dot product

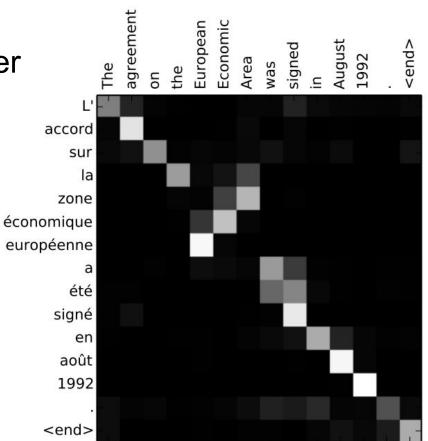
$$\mathbf{e}^t = [\mathbf{s}^T \mathbf{h}_1, \dots, \mathbf{s}^T \mathbf{h}_N]$$

Then the attention vector is a linear combination of encoder states

$$\mathbf{a}_t = \sum_{i=1}^N oldsymbol{lpha}_i^t \mathbf{h}_i \in \mathbb{R}^k$$
 , where  $oldsymbol{lpha}_t = \operatorname{softmax}(\mathbf{e}_t)$ 

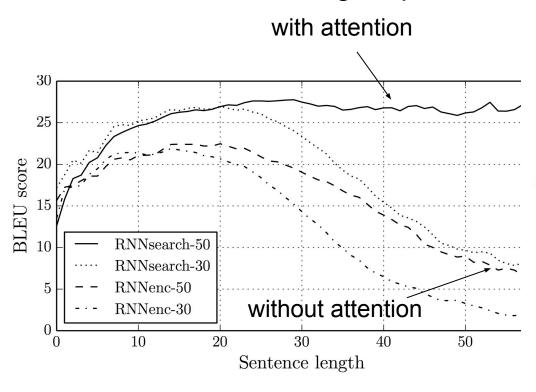
#### Attention provides interpretability

- We may see what the decoder was focusing on
- We get word alignment for free!



#### Attention advantages

- "Free" word alignment
- Better results on long sequences



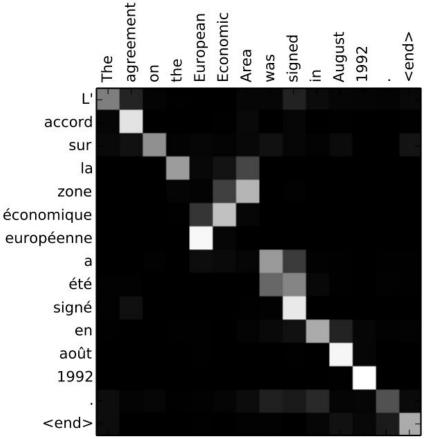


Image source: Neural Machine Translation by Jointly Learning to Align and Translate

#### Attention variants

- Basic dot-product (the one discussed before):  $e_i = s^T h_i \in \mathbb{R}$
- Multiplicative attention:  $e_i = s^T W h_i \in \mathbb{R}$ 
  - $\bigcirc$   $W \in \mathbb{R}^{d_2 \times d_1}$  weight matrix
- ullet Additive attention:  $oldsymbol{e}_i = oldsymbol{v}^T anh(oldsymbol{W}_1 oldsymbol{h}_i + oldsymbol{W}_2 oldsymbol{s}) \in \mathbb{R}$ 
  - $\circ$   $extbf{W}_1 \in \mathbb{R}^{d_3 imes d_1}, extbf{W}_2 \in \mathbb{R}^{d_3 imes d_2}$  weight matrices
  - $\circ$   $v \in \mathbb{R}^{d_3}$  weight vector

# **Self-Attention**

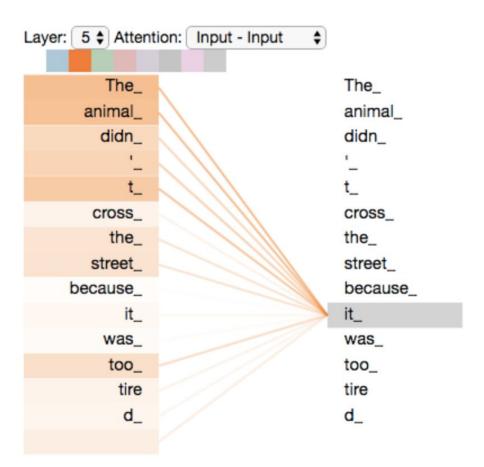
#### Self-Attention at a High Level

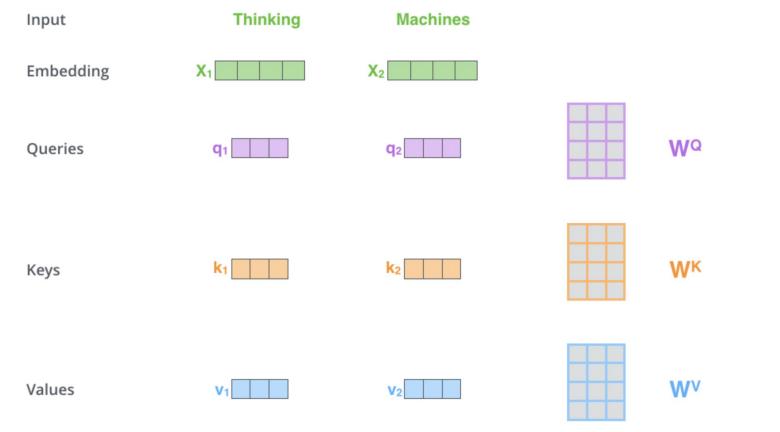
"The animal didn't cross the street because it was too tired"

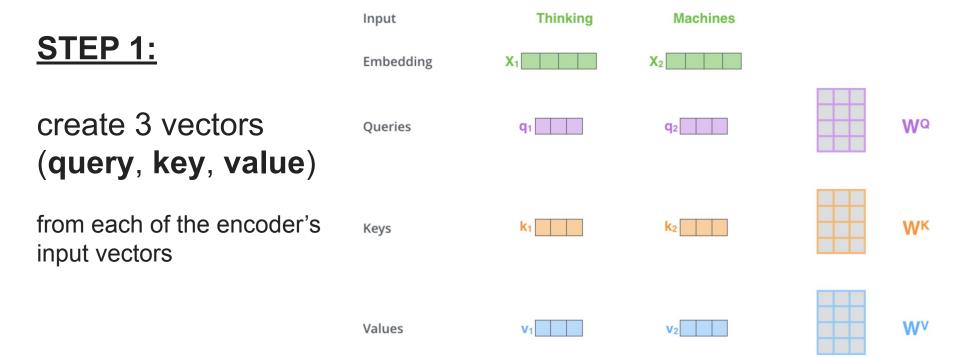
- What does "it" in this sentence refer to?
- We want self-attention to associate "it" with "animal"

 Self-attention is the method the Transformer uses to bake the "understanding" of other relevant words into the one we're currently processing

#### Self-Attention at a High Level







What are the query, key, value vectors?

They're abstractions that are useful for calculating and thinking about attention.

#### **STEP 2:**

calculate a score

(score each word of the input sentence against the current word) Input

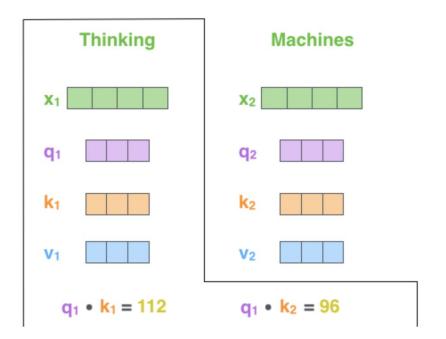
**Embedding** 

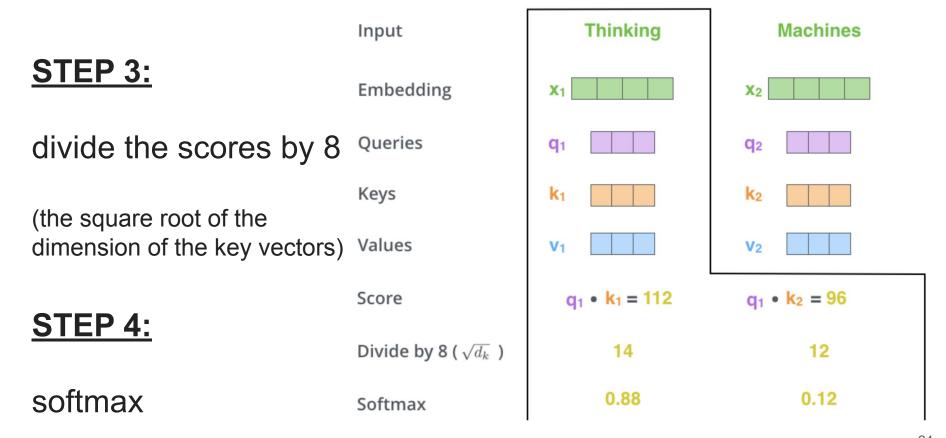
Queries

Keys

Values

Score



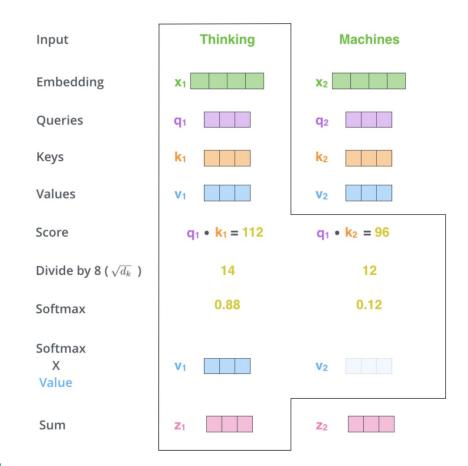


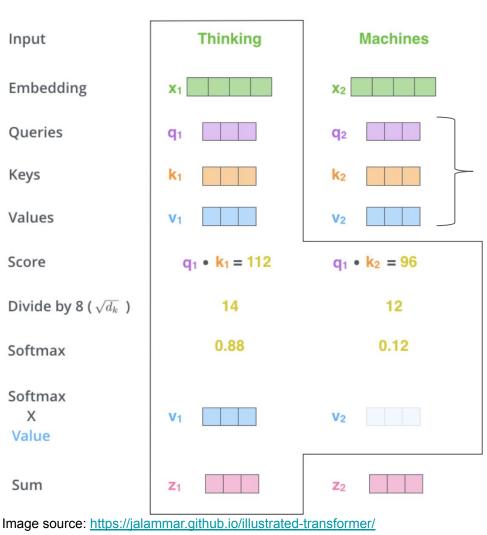
#### **STEP 5**:

multiply each value vector by the softmax score

#### STEP 6:

sum up the weighted value vectors





# Self-Attention

**STEP 1:** create Query, Key, Value

**STEP 3:** divide by  $\sqrt{d_k}$ 

**STEP 2:** calculate scores

STEP 4: softmax

STEP 5: multiply each value vector by the softmax score

**STEP 6:** sum up the weighted value vectors

#### Self-Attention: Matrix Calculation

Pack embeddings into matrix **X** 

Multiply X by weight matrices we've trained (Wk, Wq, Wv)

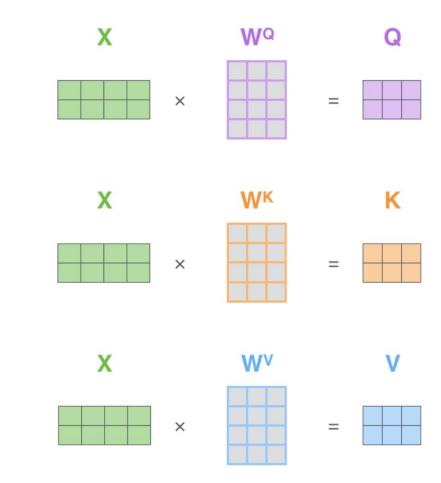
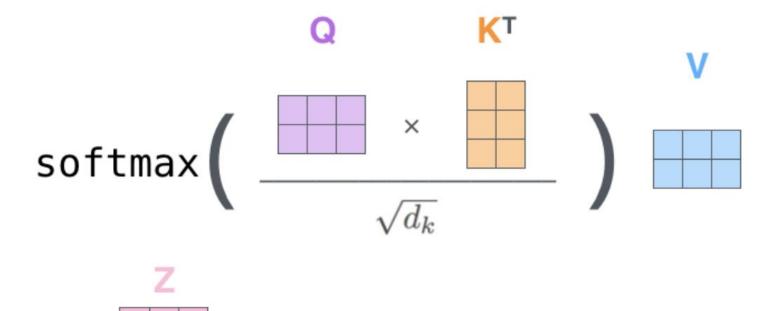


Image source: <a href="https://jalammar.github.io/illustrated-transformer/">https://jalammar.github.io/illustrated-transformer/</a>

#### Self-Attention: Matrix Calculation



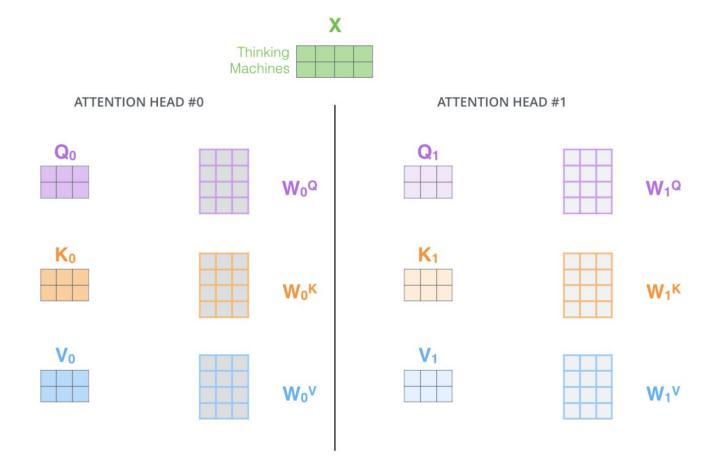
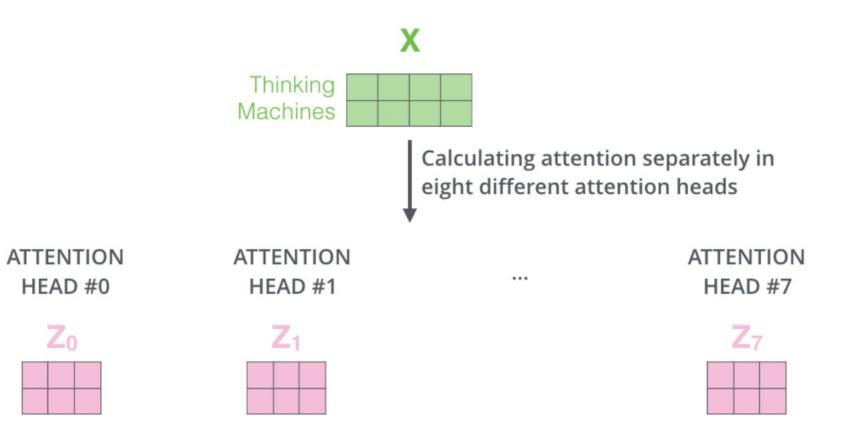
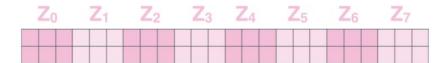


Image source: <a href="https://jalammar.github.io/illustrated-transformer/">https://jalammar.github.io/illustrated-transformer/</a>



1) Concatenate all the attention heads

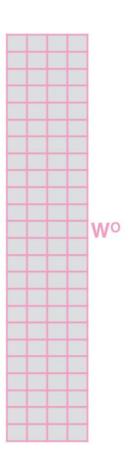


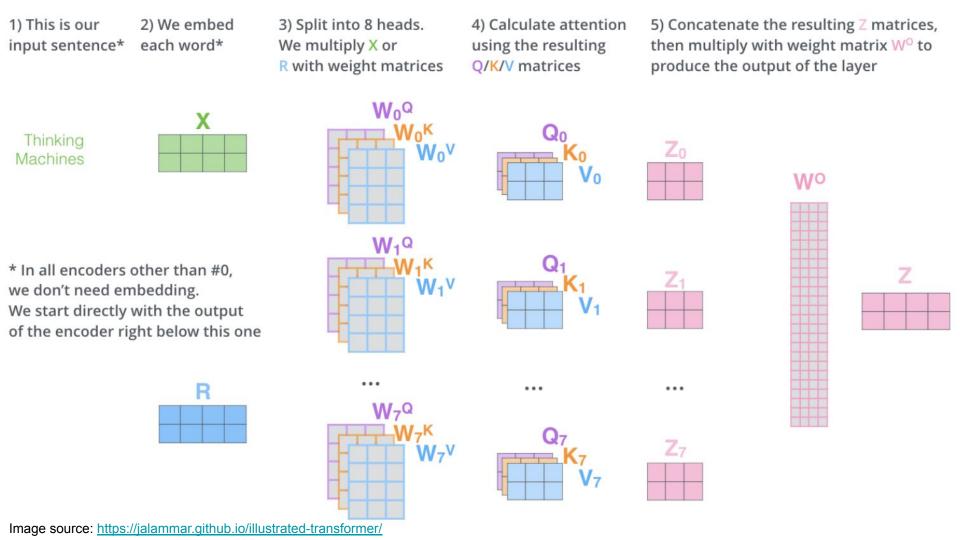
2) Multiply with a weight matrix W° that was trained jointly with the model

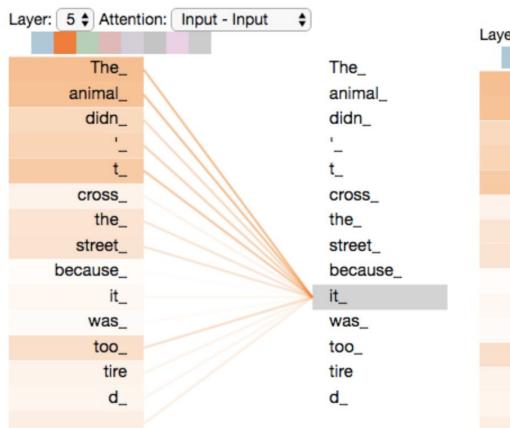
Χ

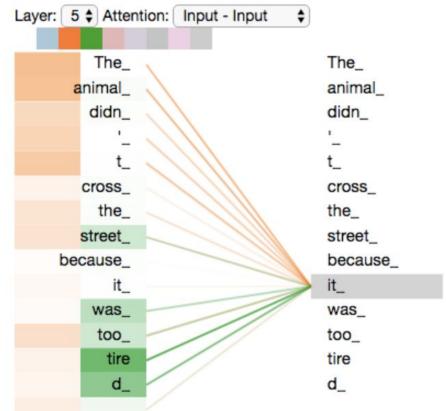
3) The result would be the Z matrix that captures information from all the attention heads. We can send this forward to the FFNN



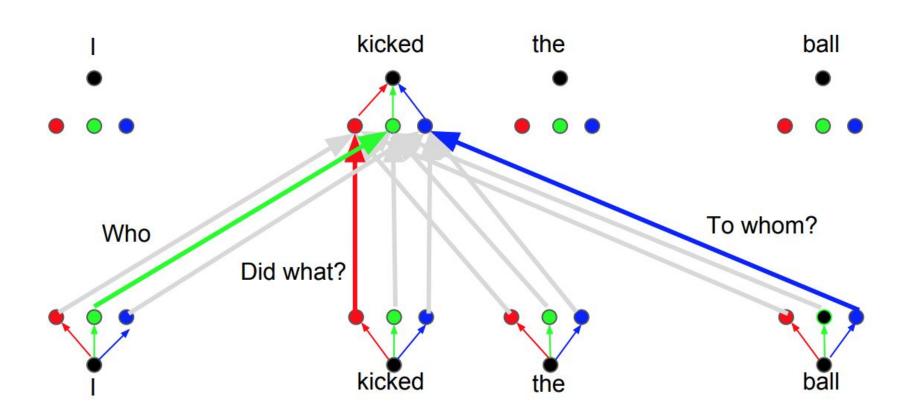




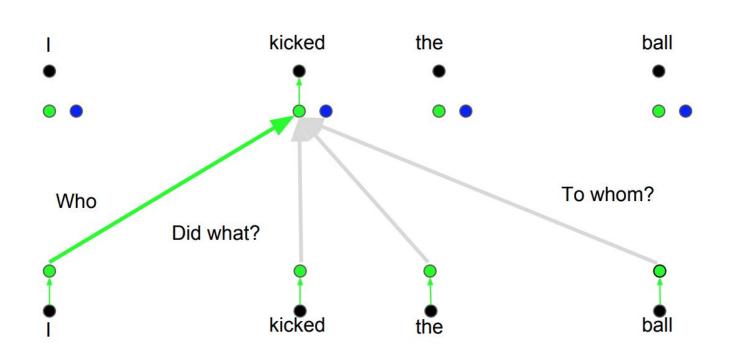




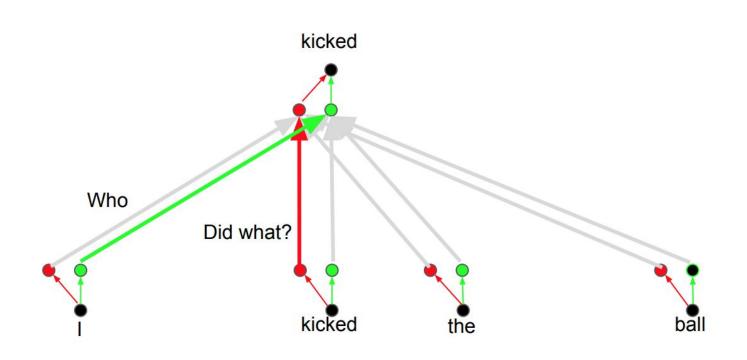
# Why Multi-Head Attention?



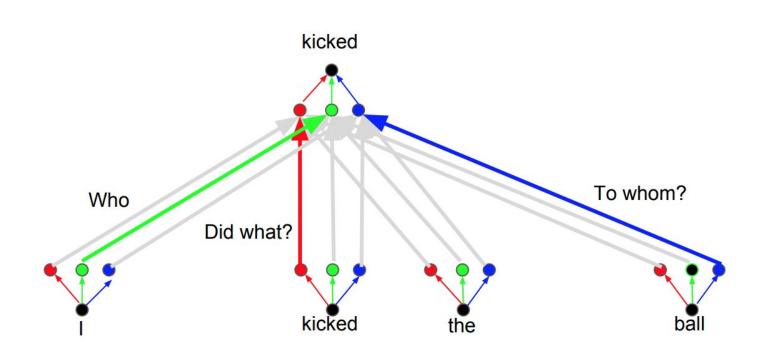
# Attention head: Who



## Attention head: Did What?

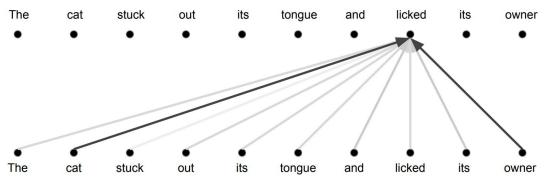


# Attention head: To Whom?



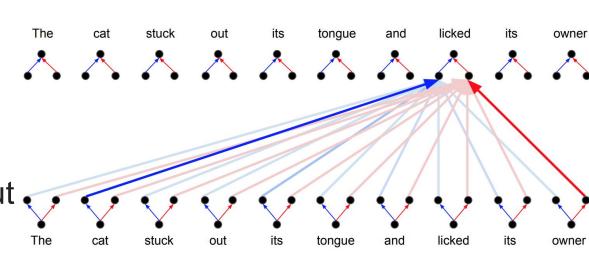
#### Attention vs. Multi-Head Attention

Attention: a weighted average



#### **Multi-Head Attention:**

parallel attention layers with different linear transformations on input and output.

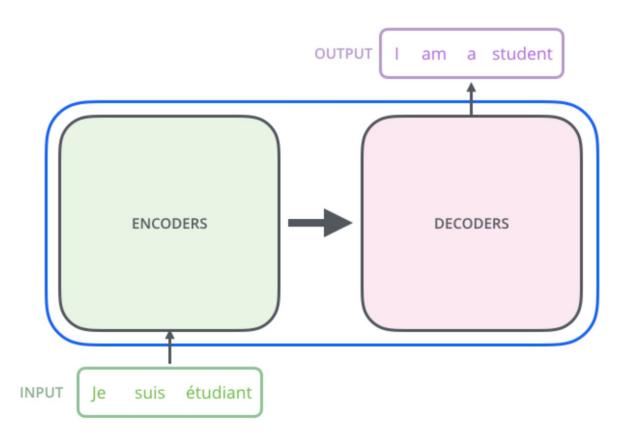


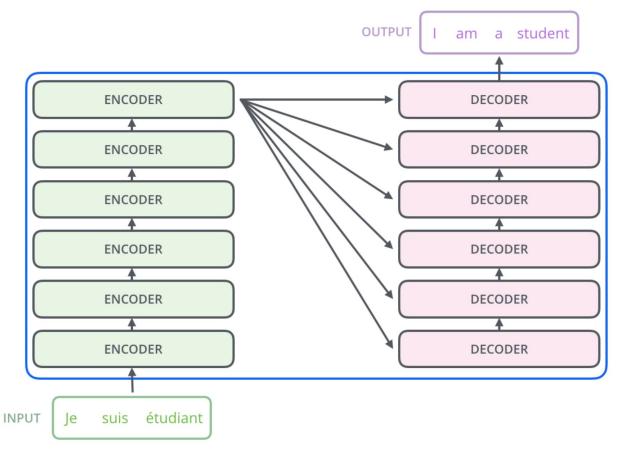
#### Outro

- Attention mechanism allows to "attend all positions" in the original sequence (or any other input with internal structure)
- Attention mechanism requires more computational resources than original seq2seq models
- Change of the model architecture affects the training procedure, so be careful with intuitive explanations

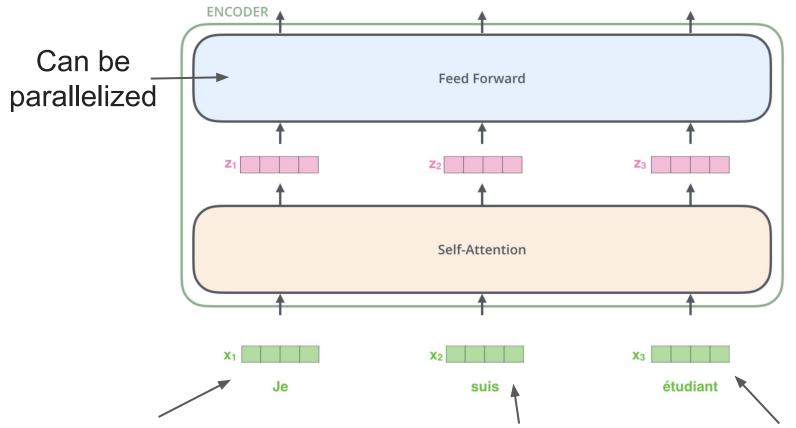
# Transformer outro



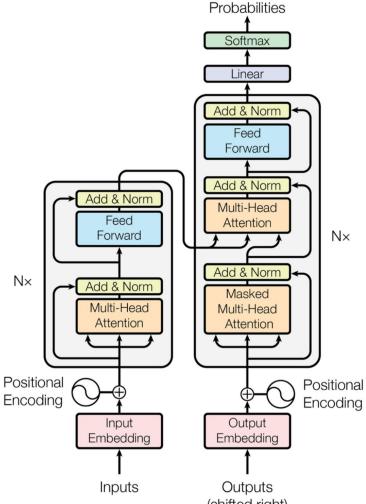




#### The Encoder Side

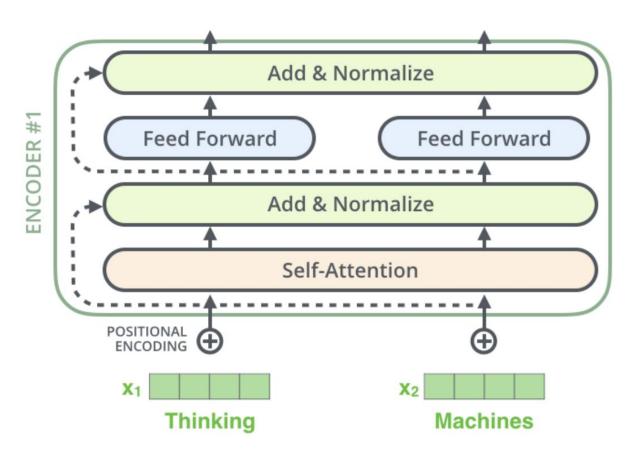


the word in each position flows through its own path in the encoder 43



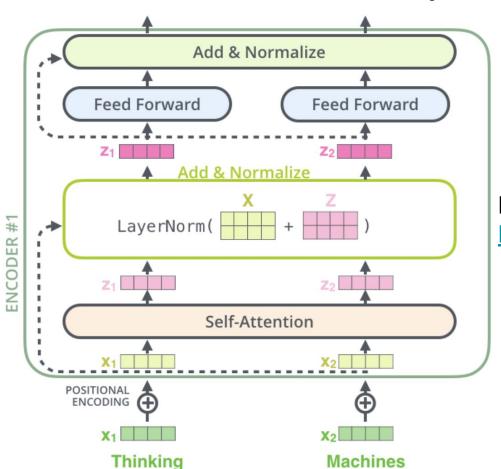
Output

# The Transformer: recap



Like BatchNorm

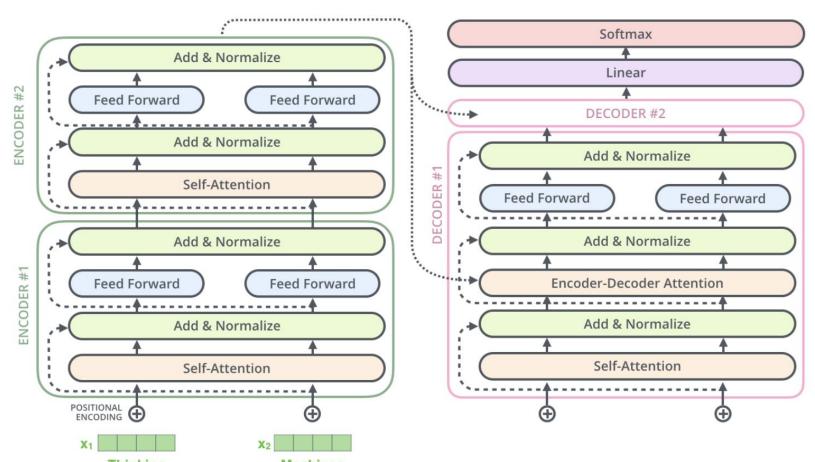
but normalize along all features representing latent vector



More info:

<u>Layer Normalization</u>

Image source: <a href="https://jalammar.github.io/illustrated-transformer/">https://jalammar.github.io/illustrated-transformer/</a>



Thinking Machines Image source: https://jalammar.github.fo/illustrated-transformer/

# The Decoder

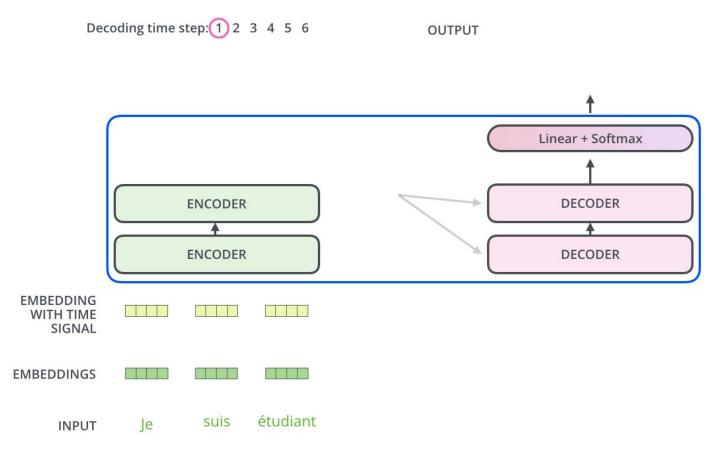


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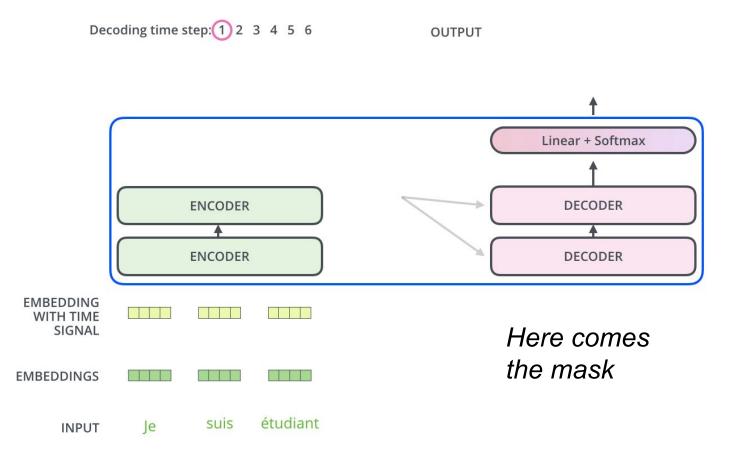
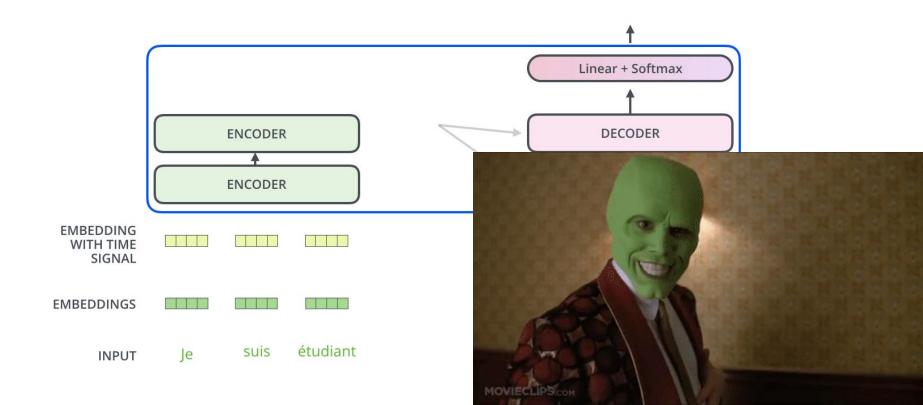
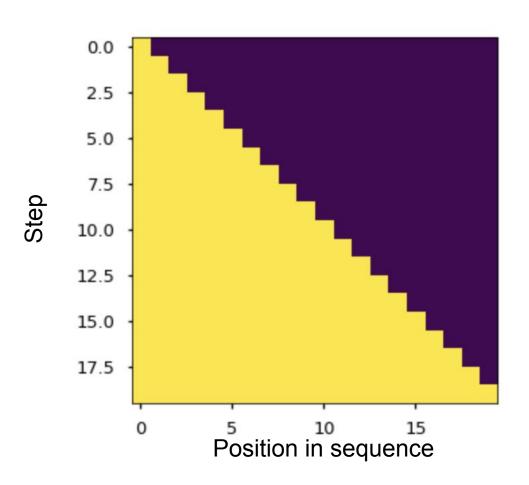


Image source: <a href="https://jalammar.github.io/illustrated-transformer/">https://jalammar.github.io/illustrated-transformer/</a>

Decoding time step: 1 2 3 4 5 6 OUTPUT



### The masked decoder input



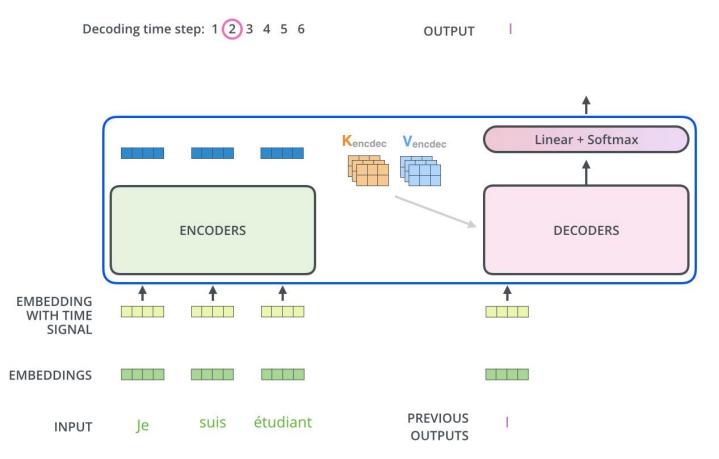


Image source: <a href="https://jalammar.github.io/illustrated-transformer/">https://jalammar.github.io/illustrated-transformer/</a>

# Final Linear and Softmax Layer

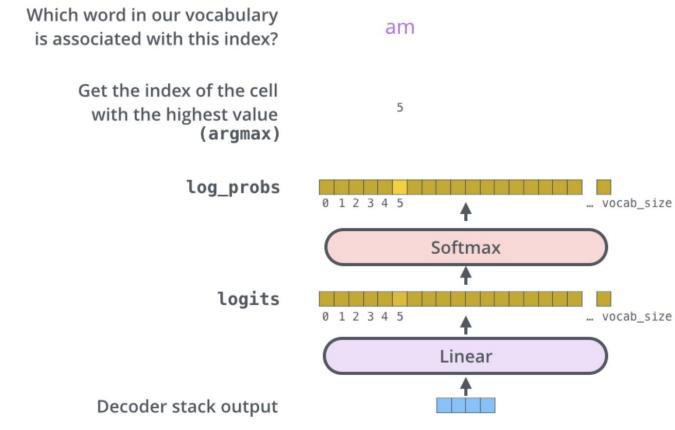
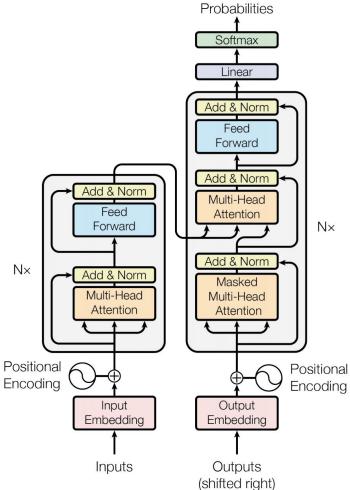


Image source: <a href="https://jalammar.github.io/illustrated-transformer/">https://jalammar.github.io/illustrated-transformer/</a>



Output

Image source: Attention Is All You Need, Neural Information Processing Systems 2017

# Positional Encoding

### Positional encoding requirements

- Positional encoding should be unique for every position in the sequence
- Distance between two same positions should be preserved with sequences of different length
- The positional encoding should be deterministic
- It would be great if it would work with long sequences (longer than any sequence in the training set)

## Positional Encoding

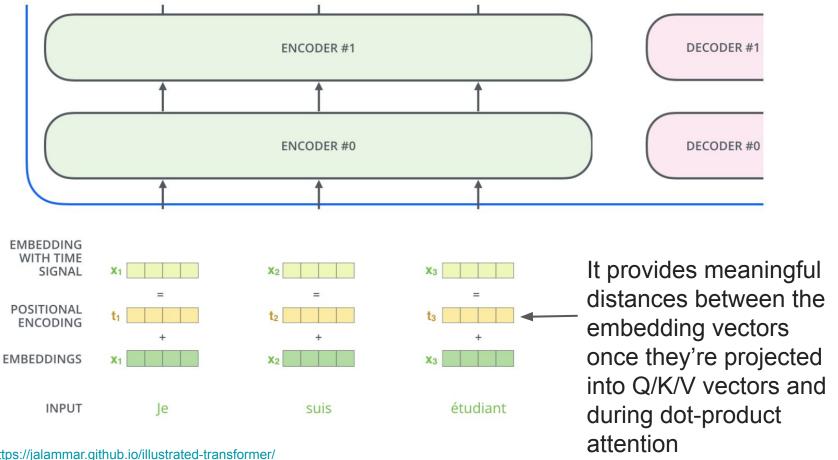


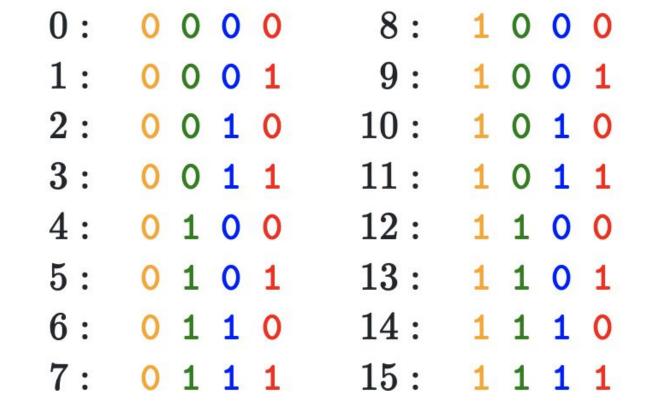
Image source: https://jalammar.github.io/illustrated-transformer/

## Positional Encoding: why sin and cos?

$$\vec{p_t}^{(i)} = f(t)^{(i)} = \begin{cases} \sin(\omega_k t), & \text{if } i = 2k \\ \cos(\omega_k t), & \text{if } i = 2k + 1 \end{cases}$$
 
$$\omega_k = \frac{1}{10000^{2k/d}} \qquad \vec{p_t} = \begin{cases} \sin(\omega_1 . t) \\ \cos(\omega_1 . t) \\ \sin(\omega_2 . t) \\ \cos(\omega_2 . t) \\ \vdots \\ \sin(\omega_{d/2} . t) \\ \cos(\omega_{d/2} . t) \\ \cos(\omega_{d/2} . t) \end{cases}$$
 t stays for position in the original sequence k is the index of the element in the positional vector

$$\sin(\omega_2.t)$$
 $\cos(\omega_2.t)$ 
 $\vdots$ 

# Positional Encoding



# Positional Encoding

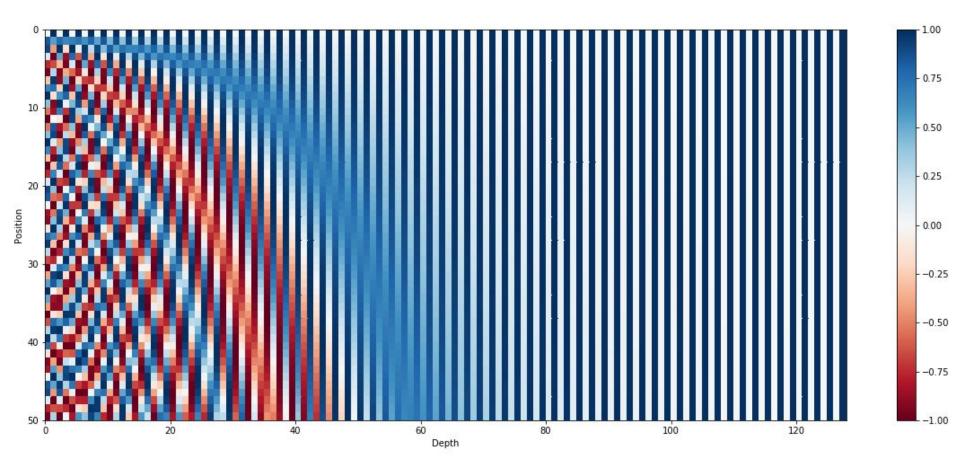


Image source: https://kazemnejad.com/blog/transformer\_architecture\_positional\_encoding/

# Positional Encoding: why sin and cos?

We chose this function because we hypothesized it would allow the model to easily learn to attend by relative positions, since for any fixed offset k, PEpos+k can be represented as a linear function of PEpos.

$$M \begin{bmatrix} \sin(\omega_k t) \\ \cos(\omega_k t) \end{bmatrix} = \begin{bmatrix} \sin(\omega_k (t + \phi)) \\ \cos(\omega_k (t + \phi)) \end{bmatrix}$$

Positional Encoding: why sin and cos?

$$\begin{bmatrix} u_1 & v_1 \\ u_2 & v_2 \end{bmatrix} \begin{bmatrix} \sin(\omega_k t) \\ \cos(\omega_k t) \end{bmatrix} = \begin{bmatrix} \sin(\omega_k (t + \phi)) \\ \cos(\omega_k (t + \phi)) \end{bmatrix}$$
$$\begin{bmatrix} u_1 & v_1 \\ u_2 & v_2 \end{bmatrix} \begin{bmatrix} \sin(\omega_k t) \\ \cos(\omega_k t) \end{bmatrix} = \begin{bmatrix} \sin(\omega_k t) \cos(\omega_k \phi) + \cos(\omega_k t) \sin(\omega_k \phi) \\ \cos(\omega_k t) \cos(\omega_k \phi) - \sin(\omega_k t) \sin(\omega_k \phi) \end{bmatrix}$$

$$M_{\phi,k} = \begin{bmatrix} \cos(\omega_k \phi) & \sin(\omega_k \phi) \\ -\sin(\omega_k \phi) & \cos(\omega_k \phi) \end{bmatrix}$$

#### Outro and Q&A

- Transformer is novel and very powerful architecture
- It is worth it to understand how Self-Attention works
- Physical analogues can help you

Further readings are available in the repo