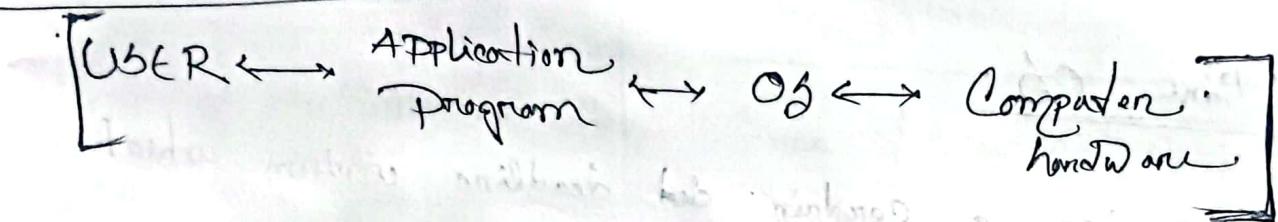


## Chapter 1

Aljal2 Emory 7/7

- # An OS is a program that acts as an interface between the user and the hardware. Controls the computer program [bridge between user and hardware]

Computer system structure

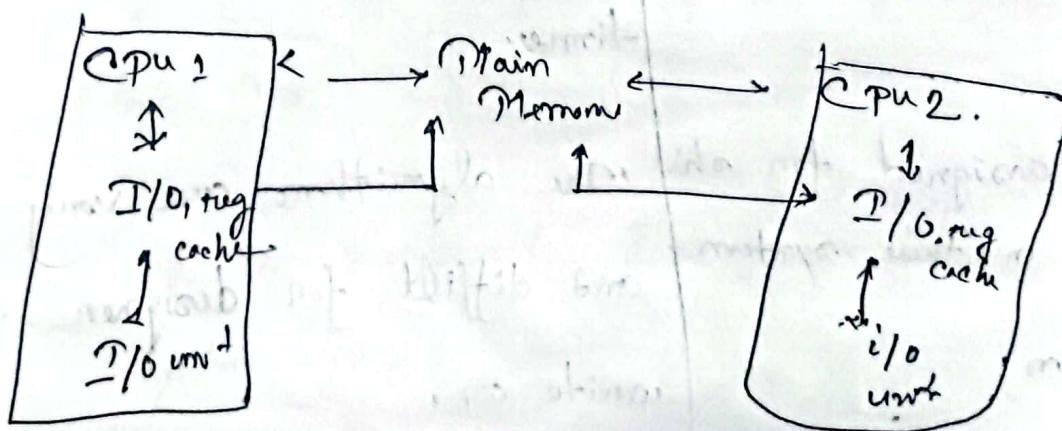


## Types of operating system

Multiprocessing OS: Parallel computing is achieved.

It has more than one CPU present in the system and execute more than one process at the same time.

Example: UNIX OS.



## # Advantage:

- Increase throughput of the system
- It has several processes, so if one is idle, we can proceed with another.

## Dis Advantage

- Due to multiple CPU's it can be complex.
- Some how difficult to understand.

## # Real Time OS:

each job carries a certain deadline within which the job is supposed to be completed.  
(Time diff 20-28 sec/m min)

Example: Missile, traffic Control, robots.

## Advantage

- Maximum utilization of system

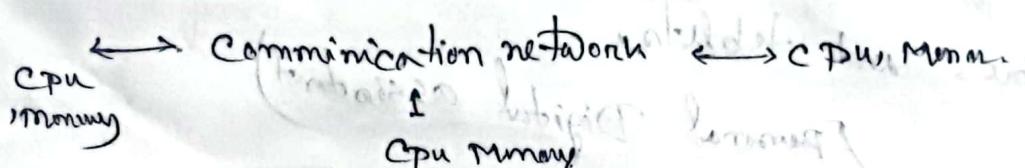
The time assigned for scheduling tasks in these systems is very less.

## Dis Advantage

- Very few tasks at the same time.
- The algorithms are very complex and difficult for designer to write on.

Distributed OS  
(Loc, Mon, WAN)

Communicate with each other using a shared communication network. [Independent system - Client]



Advantage

- Failure of one will not affect the other.
- Memory system can be easily added to the network.

Disadvantage

- Failure of network stops the entire communication.
  - It is very expensive.
- Large number of computation communicate with  
→ Shared server one local area network.

Distributed OS 2 types Client Server, Peer to peer.  
(Unix / Linux)

Clustered OS:

- It is a live or parallel system because both systems have multiple CPU.

Contains a large number of processors that do not share memory or clocks.

It is composed of 2 or more individual computer system joined together.

share storage and are closely linked through local area network.

Handheld OS: The present in all handheld devices like  
mobilephones and tablets.  
(Personal Digital assistant)

## Goals of OS:

- ④ Execute User programs and solving user problems.

easier; viennes part of P.

It made the computer system convenient to use.

**I** Use the Computer hardware in an efficient manner

## A Storage device hierarchy

register

cache -

Maine and N.Y.

Primary  $\rightarrow$  acus some

Pimoray acus some

Strange <sup>→</sup> forsten

Capítulo

115

shy.

optical chalk } accretion to storage Capacity. → tertiary  
Magnesian Limestone } slower storage  
Canyon

## Process

Process ~~consists~~ job.

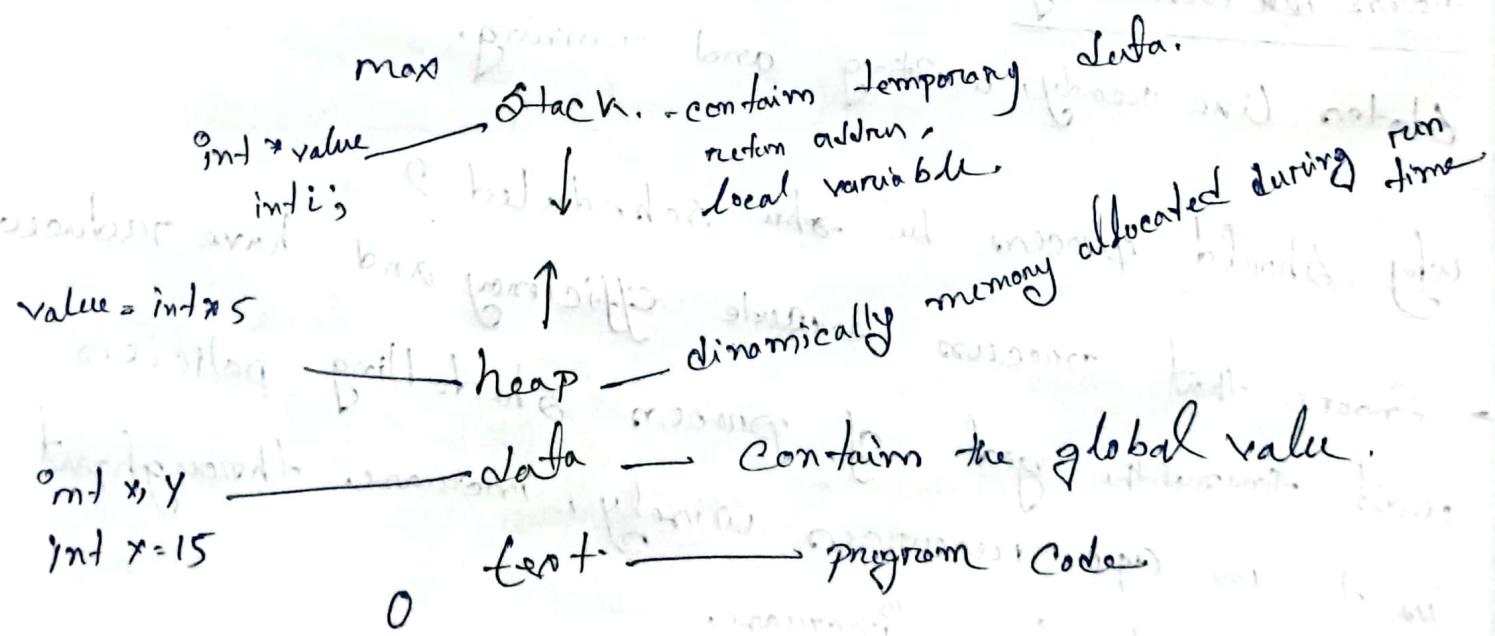
a process is a program on Execution. (Sequential  $\Rightarrow$ )

## Process management

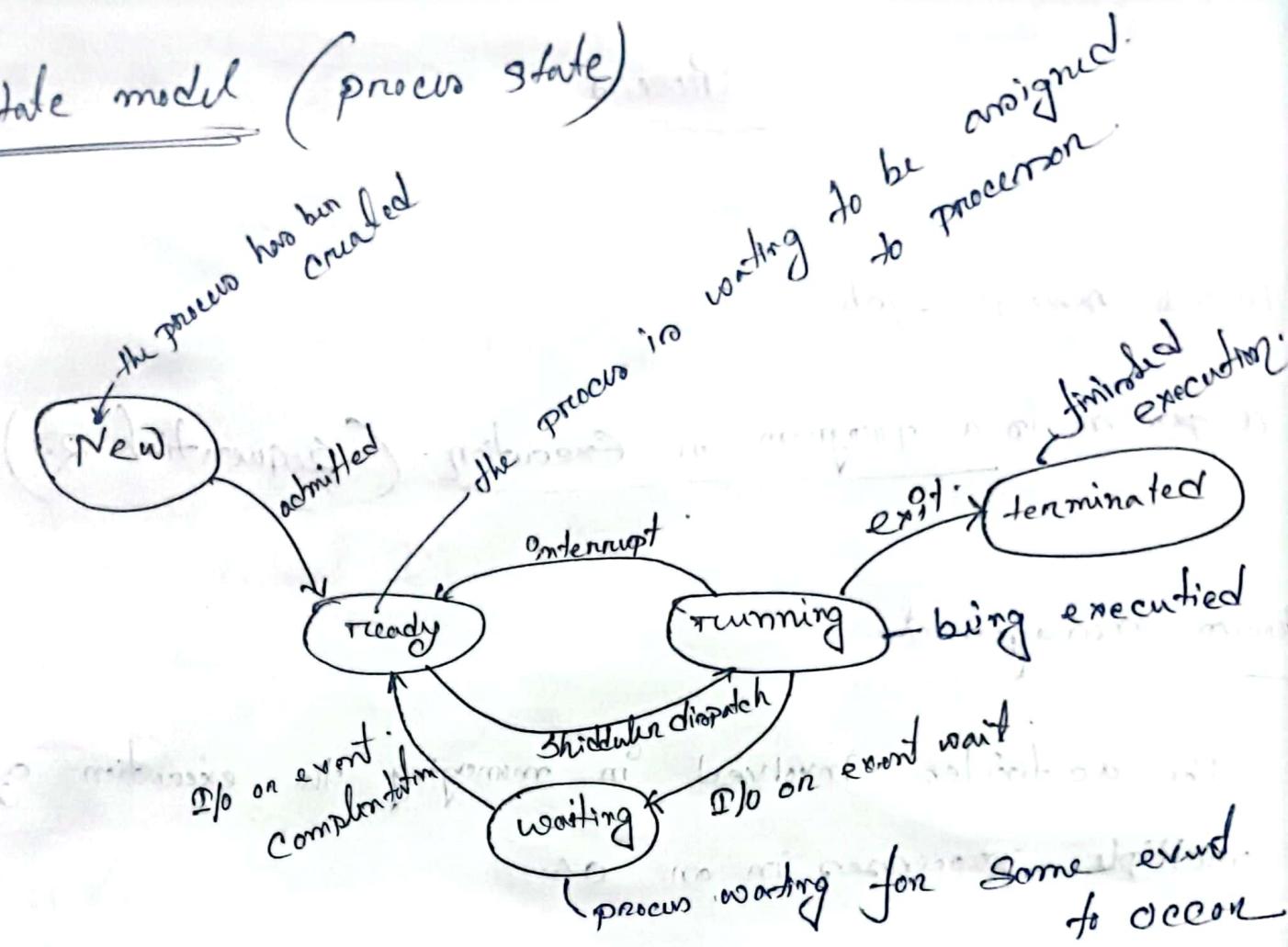
The activities involved in managing the execution of multiple processes in an OS.

## Layout of proc (Program $\rightarrow$ process $\Rightarrow$ executable file)

memory off: load  $\Rightarrow$ )



## Finite state model (process state)



Process Scheduling: The scheduler schedules processes of different

states like ready, waiting and running.

why should process be scheduled?

- Ensure that process execute efficiently and have reduced wait times. The goal of process scheduling policies is to use CPU resources wisely, increase throughput, reduce wait time, increase

Context switching

CPU switch process to process

• Grab off memory [2]

21301.

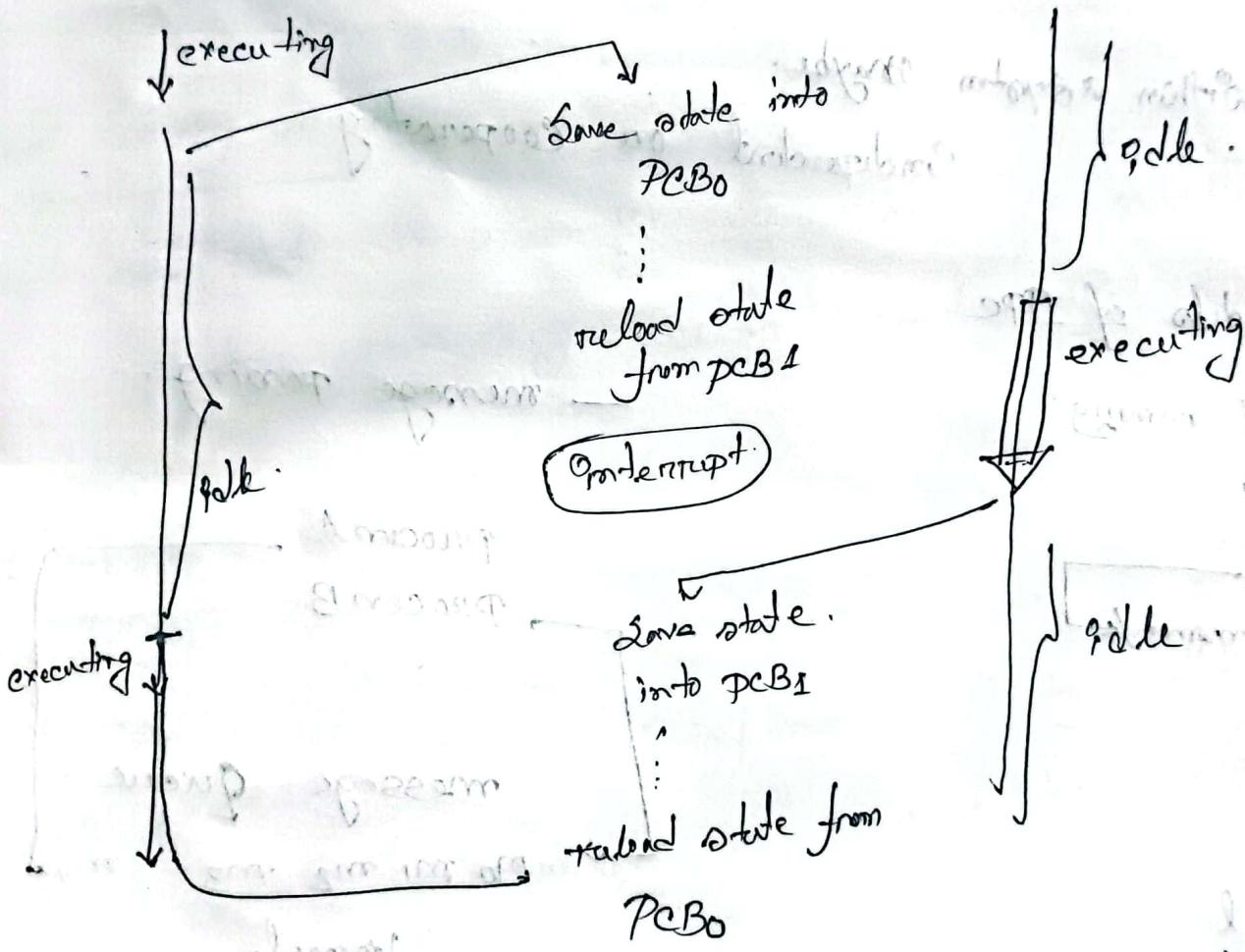
(Kernel Loading)

Process P<sub>0</sub>

OS

Process

P<sub>1</sub>



when CPU switches to another process, the operator must  
Save the state of the old process and load the saved  
state for the new process via a context switch.

RB — program Control Block

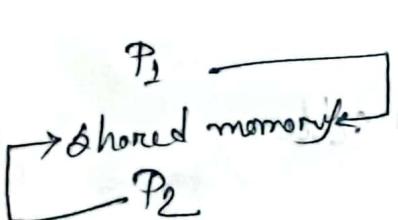
## Interprocess Communication (IPC)

IPC is the mechanism provided by the os that allows processes to communicate with each other.

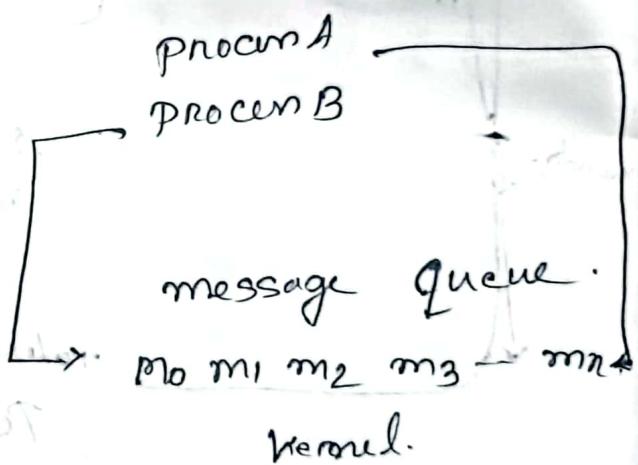
Process within a system maybe independent or cooperating.

Two models of IPC

- shared memory



- message passing



Kernel

why IPC occurs : IPC helps exchange data between multiple threads in one or more program. doesn't matter whether process is running on single or multiple computer

## IPC - Message Passing

Mechanism for processes to communicate and to synchronize their actions.

Two operations (Send, receive) makes use of shared memory.

Shared memory (IPC) and no mechanism is required.

Mechanism that will allow the two process to synchronize their actions when they access shared memory.

## Synchronization

It refers to a case where the data used to communicate between processes is given by the communication mechanism provided by the IPC mechanism or handled by the communicating processes.

Synchronization is used to ensure that process operate in a coordinated and mutually agreed-upon manner to prevent error or conflict.

## Buffering

The act of storing data temporarily in the buffer is called buffering. It is used when moving data between processes within computer. It can be implemented in a fixed memory location in hardware or by using a virtual data buffer in software, pointing location in physical memory.

Options include:

Zero-Queue has a maximum length of zero.

Bounded capacity - atmost n message can reside in it.

Unbounded - length is potentially infinite.

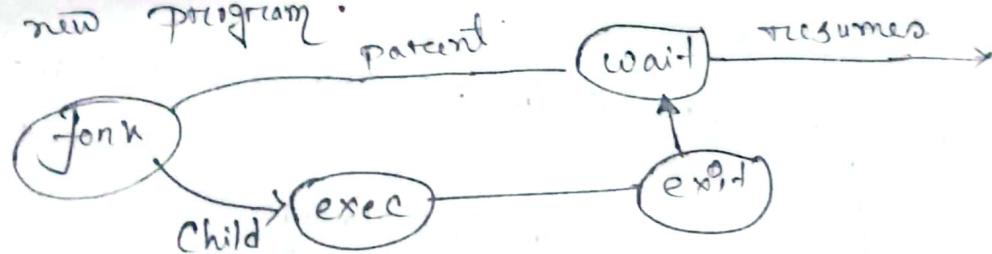
Sender never delayed.

## Operations on process

System must provide mechanisms for

Process creation - Parent process create child process which in turn create other processes forming a tree.

- \* resource sharing
  - parent and children share all resources.
  - children share subset of parent's resources.
- \* Execution > parent and children execute concurrently.  
 parent waits until children terminate
- \* fork() system call creates process.
- \* exec() used after a fork() to replace process memory space with new program.



### Process termination

- Process executes `exit` statement and then asks the OS to delete its using `exit()` system call.
- return status data from child to parent via `wait()`.
- \* parent may terminate the execution of children process using the `abnormal()` system call.
  - \* Child has executed allocated resources.
  - \* Task assigned to child is no longer required.

parent terminated  
 child continues  
 go on

resource sharing  
parent and children share all resources.

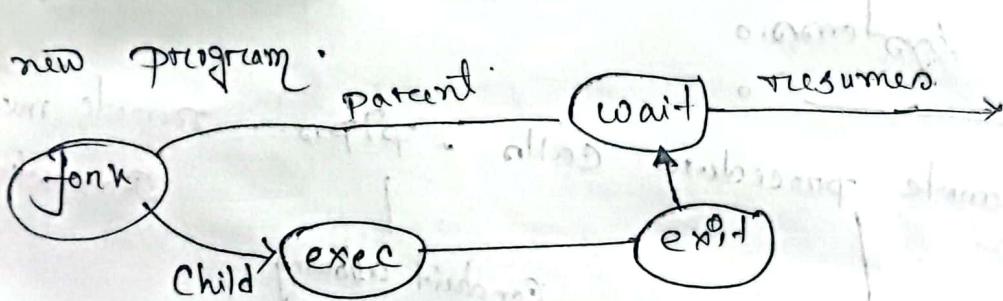
children share subset of parent's resources.

Execution > parent and children execute concurrently.  
parent waits until children terminate

\* fork() system call creates process.

\* exec() used after a fork() to replace process memory space

with new program.



## Process termination

Process executes last statement and then asks the OS to delete it using exit() system call.

return status data from child to parent via wait().

parent may terminate the execution of children process using the abort() system call.

child has executed allocated resources.

task assigned to child is no longer required.

parent terminated  
2nd child continues  
etc on

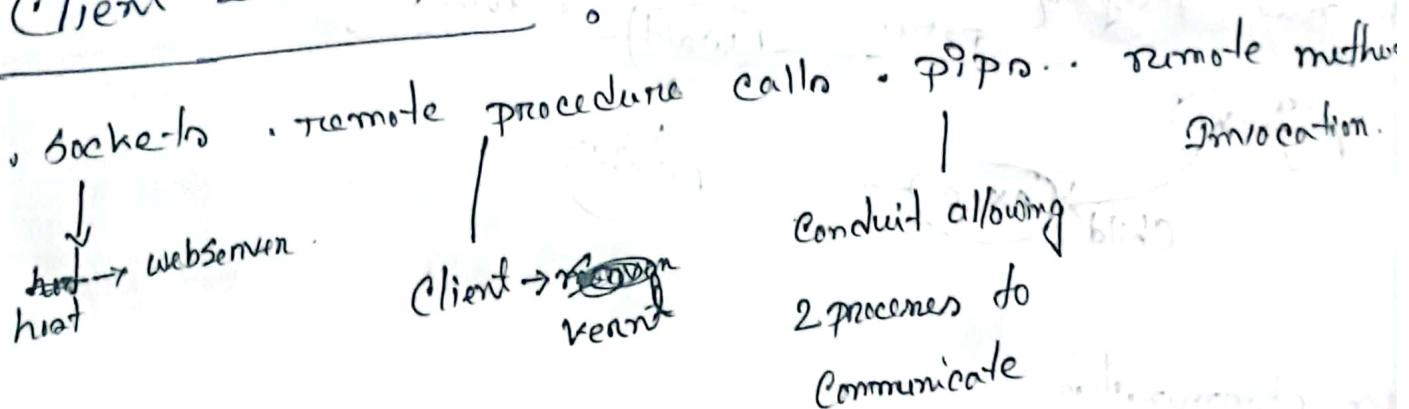
The parent process may or wait for termination of a child process by using wait. The call returns info. and the Pid of terminated process

### Process wait ( & status )

If no parent waiting process no zombie

If parent terminated without invoking wait then process becomes a orphan

## Client Server Systems



Process Control Block: Information associated with each process.

Process state → program counter, CPU register, CPU scheduling info.  
Memory management, accounting information, I/O status info.

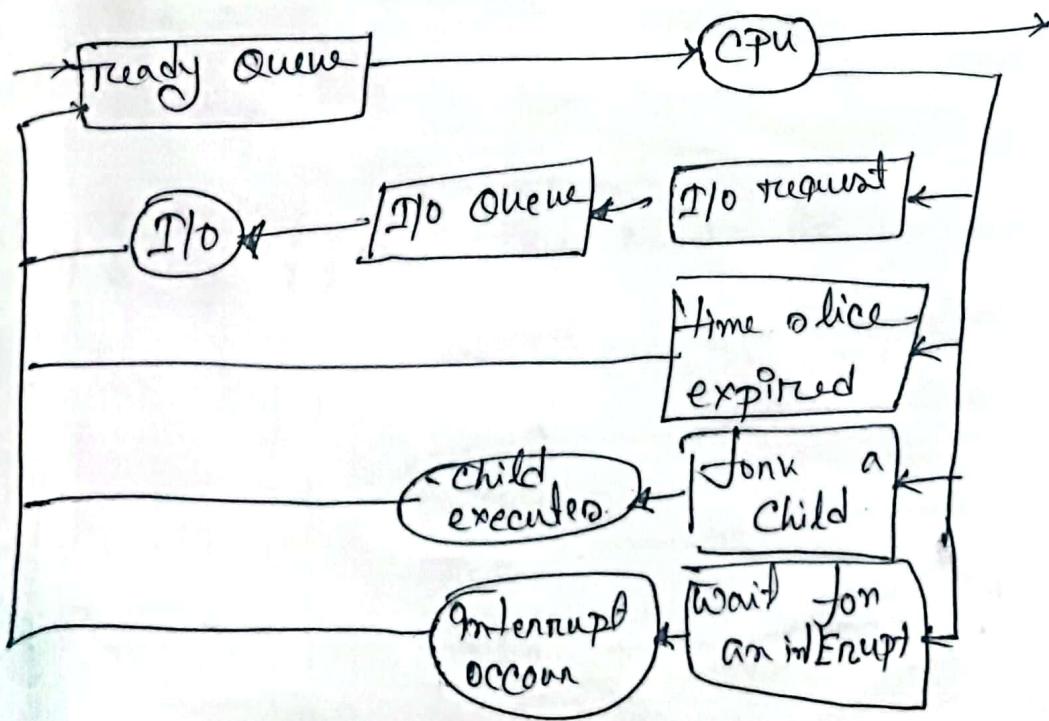
## Process Scheduling

- we quickly switch processes onto CPU for time sharing
- program scheduler selects among available process for next execution on CPU.

## Maintains scheduling queues of processes

- Job Queue - Set all process in system
- Ready Queue - " " residing in main memory ready and waiting to execute.
- Device Queue - set of processes waiting for an I/O device

## Representation of processes scheduling



## Schedulers

### Short-time (CPU schedulers)

Selects which process should be executed next and allocated CPU.

- Sometimes the only scheduler in a system.
- Short-time scheduler invoked frequently  $\rightarrow$  must be fast.

### Long-term Scheduler (Job Scheduler) - Selects which process should be brought in the ready queue.

- Gets invoked (rarely) infrequently (sec, min)  $\rightarrow$  slow.
- degree of multiprogramming.
- gets control

(NFS)

The result of NFS

more work

more time

less work

more time

less work

# FIFO Come First Served Scheduling

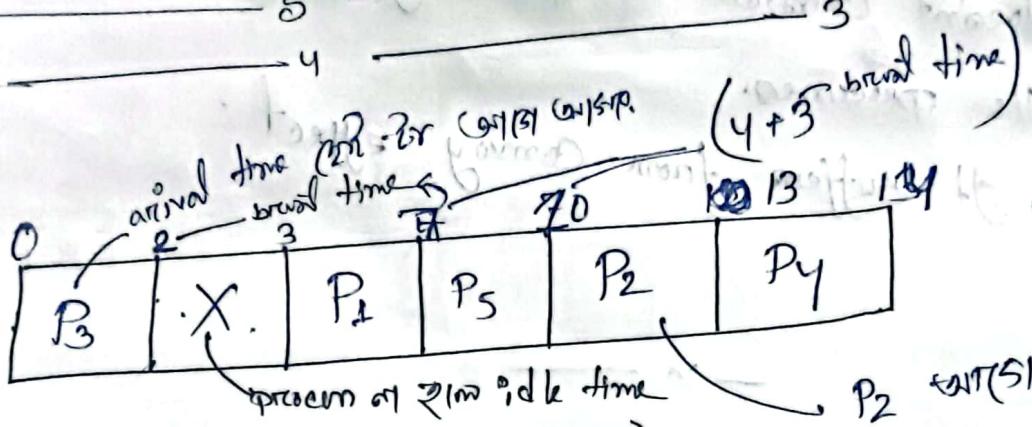
Process ID

Arrival time.

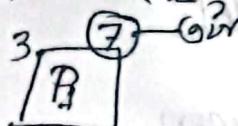
Burst time

P <sub>1</sub>	3	4
P <sub>2</sub>	5	3
P <sub>3</sub>	0	2
P <sub>4</sub>	5	1
P <sub>5</sub>	4	3

Queue:



Exit time 214



Turn around time =  $\text{Exit time} - \text{Arrival time}$

Waiting time  $\Rightarrow$  Turn Around time - Burst time

$$\rightarrow \boxed{\begin{array}{l} \text{Exit time} \\ P_1 = 7 \\ P_2 = 13 \\ P_3 = 2 \\ P_4 = 14 \\ P_5 = 10 \end{array}}$$

Proc. Turn Around time

$$P_1 \rightarrow 4$$

$$P_2 \rightarrow 8$$

$$P_3 \rightarrow 2$$

$$P_4 \rightarrow 9$$

$$P_5 \rightarrow 1$$

Waiting time

$$0$$

$$5$$

$$0$$

$$8$$

$$3$$

## Advantage

- simple and easy to understand.
- easily implemented using queue ds
- It doesn't lead to starvation.

## Disadvantage

- doesn't consider the priority on burst time of the processes.
- It suffers from Convo effect.

### Premptive

Process ready one (RAM)

(CPU running one (CPU))

execute work over

one CPU (CPU working)

2nd RAM 2nd

Process ready one (CPU)

one after one

SRTF

### non-preemptive

Burst time full complete

- then new process

comes

Ready one (CPU)

running one (CPU)

FCFS

SJF

shortest job first: (SJF) used both preemptive and non-preemptive mode.

(0 hr SRTF)

a) shortest job first called as shortest remaining time first (SRTF)

for n processes time complexity =  $n \log(n)$

(Burst time  $T_{burst}$ )

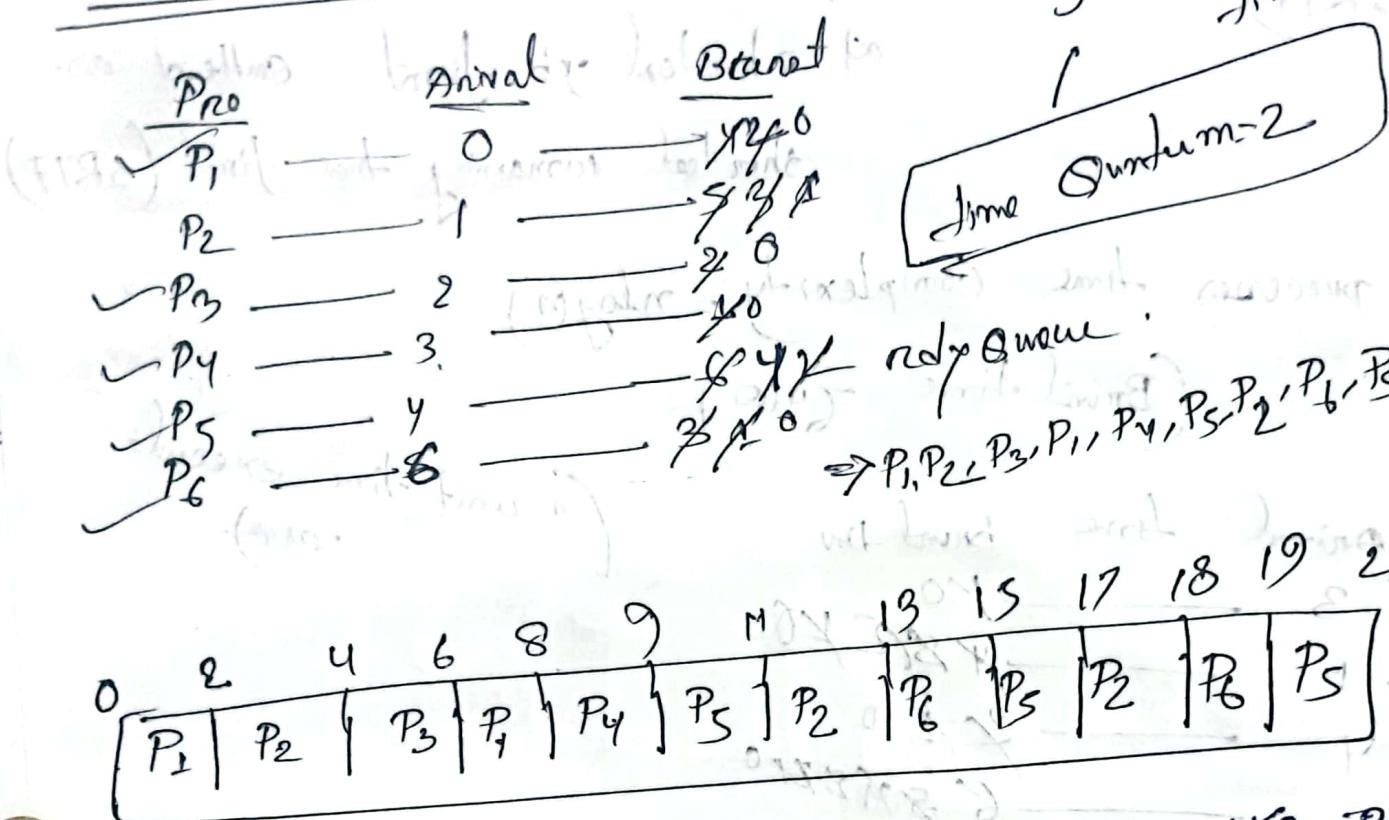
(1 unit time execute  
and 10).

Process	Arrival	Time	Burst time
P <sub>1</sub>	3	0	10
P <sub>2</sub>	1	4	8
P <sub>3</sub>	4	7	10
P <sub>4</sub>	0	6	5
P <sub>5</sub>	2	8	6

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
P <sub>4</sub>	P <sub>2</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>3</sub>	P <sub>5</sub>	P <sub>5</sub>	P <sub>5</sub>	P <sub>4</sub>						

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
P <sub>4</sub>	P <sub>2</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>3</sub>	P <sub>5</sub>	P <sub>5</sub>	P <sub>5</sub>	P <sub>4</sub>						

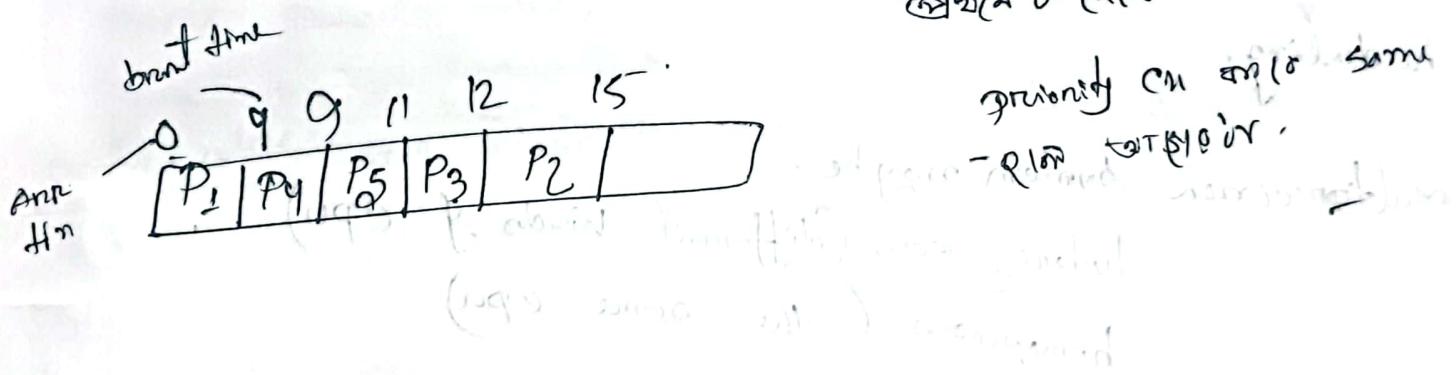
## Round Robin Scheduling



Call for Ann O and P1 अग्रणी Count 2. ४८० so ४/2 रुपये का  
2. ६० P2, P3 एवं सो ग्राम ग्रामीण नद्य घास का लिए  
P3 (रुपर फैसल घास) का अग्रणी तक 2 टका सो लिए  
नद्य घास का P2 P3 २/० लिए लिए गया नद्य एवं

~~non preemptive~~  
Priority Scheduling. higher Num represent higher Priority.

Proc id	Arr	Burst	Priorty
$P_1$	0	4	2
$P_2$	1	3	3
$P_3$	2	1	4
$P_4$	3	5	5
$P_5$	4	2	5



and priorities determine whether or not to switch to the next process

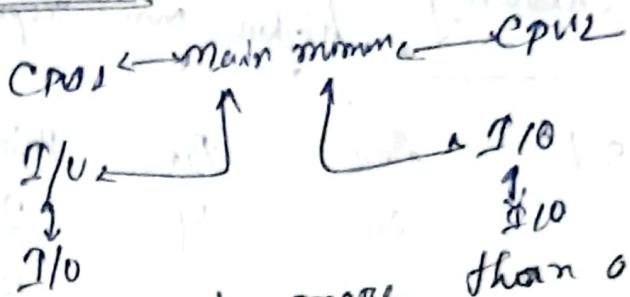
switched pre-emptive with the other two

not interleaved with priorities of two

processes with higher priority always

get more work with smaller priority -

## 3rd chapter



### multi process scheduling

A multi-processor is a system that has more than one processor but shares the same memory, bus, and I/O devices.

It is complex as compared to single processor scheduling.

Multiprocessor system may be:

- heterogeneous (different kinds of CPU)
- homogeneous (the same CPU)

④ 2 approaches in multiple processor scheduling in OS

Symmetric / Asymmetric.

where each processor is self scheduling

each processor have private queue for only process.

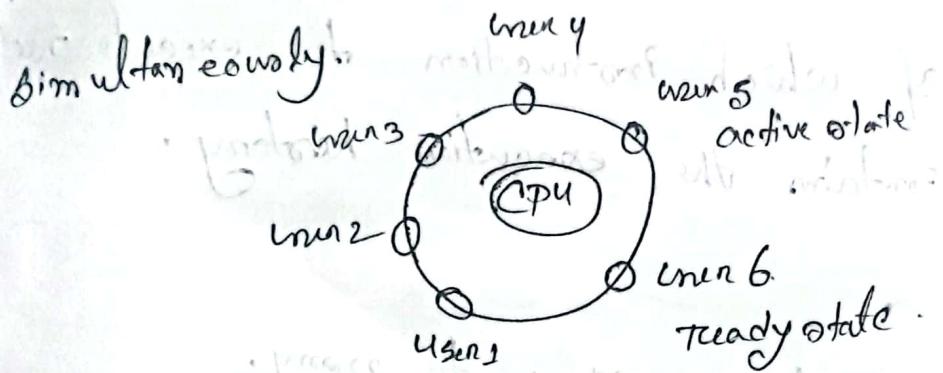
Execute I/O

used when all the scheduling decisions and I/O processing are handled by a single processor called the master server

- Simple, reduces the need for data sharing.

## Time sharing

A time shared OS allows multiple users to share computer.



user 5 active state, 6 ready state 1, 2, 3, 4, waiting

active state: user program is under the control of CPU only.  
one program in this state.

ready state: user program is ready to execute. Wait for turn to get CPU  
- CPU enters program

waiting state: user program is waiting for some I/O operation.  
- User program source

### Advantage

- Each turn gets equal opportunity
- CPU idle time can be reduced

### disAdvantage

- Reliability problem
- Data communication problem

## Thread

(Code copy over the process unit and unit the consumer)

It is a flow of execution through the process code

that keeps track of which instruction to execute next  
and stack which contains the execution history.

## Multithreading Models

Many to one, one to One, Many to many.

- we have multiple user threads mapped to one kernel thread when user thread makes a blocking system call entire process blocks.

• more efficient

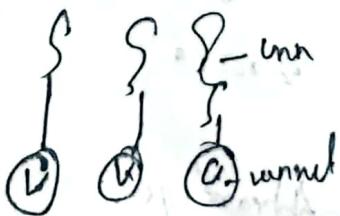


Each user level thread maps to kernel thread.

User block 2<sup>16</sup>  
Kernel block 2<sup>10</sup>

Exam - Windows.

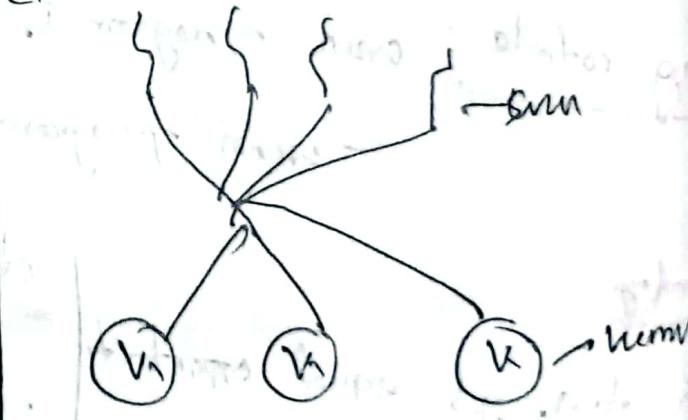
OS/2



• Allows many user level threads to be mapped to many kernel threads

• Allows the OS to create sufficient number of kernel threads

Ex - Solaris 2, windows NT/2k



## Resource Allocation

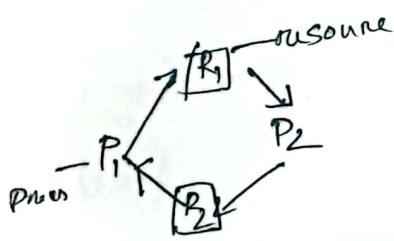
resource allocation. It is the process by which a computing system aims to meet the hardware requirement of an application run by it.

when multiple user are logged on the system or multiple jobs are running at the same time, resources must be allocated to each of them.

2 types of resource allocation in OS.

OS live as manager decide who will do what

resource allocation  
with deadlock



circle create

deadlock

center want center  
center run 2<sup>nd</sup>  
center  
so circle

resource allocation  
without deadlock.

if there are multiple instance of the resource, then there are chances that deadlock will not occur

resource and proc 2<sup>nd</sup> circle

deadlock occur

at 2<sup>nd</sup>

→ 2<sup>nd</sup>

## Dispatching

The done often the Scheduler who will determine which job is given to the CPU.

Often Scheduling process → Dispatching given to 3rd CPU.

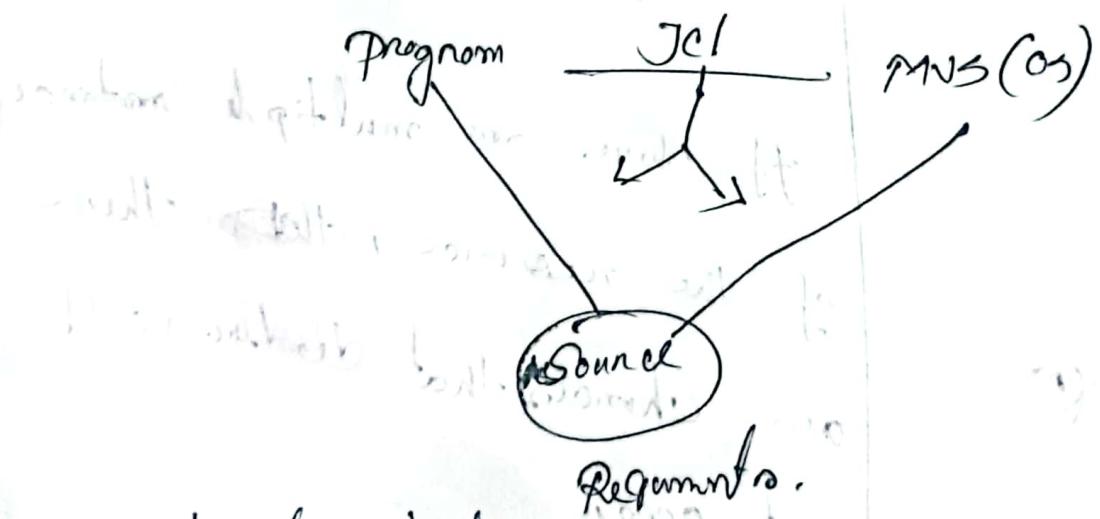
Only rotate → Short term → Dispatching - running state.

(function → switching context  
switching to user mode)

## Job control language:

The instruct the system for the batch job.

Code to tell the OS about the task you want to perform.



## Job Statement in jcl:

Job statement gives the job priority to the OS in the queue and the scheduler. It's 1st control statement of job.

To help the OS in allocating its resources also useful for analyzing the required CPU and issuing notifications to the user.

## Syntax

### Job statement (JCL)

// Job name job positional-param, keyword-param.

#### Symbol of JCL

- (1) is the symbol of jcl statements. Each jcl statements must begin with this symbol. otherwise jcl statements error.
- (2) Do avoid runtime exceptions. (1) beginning we -25

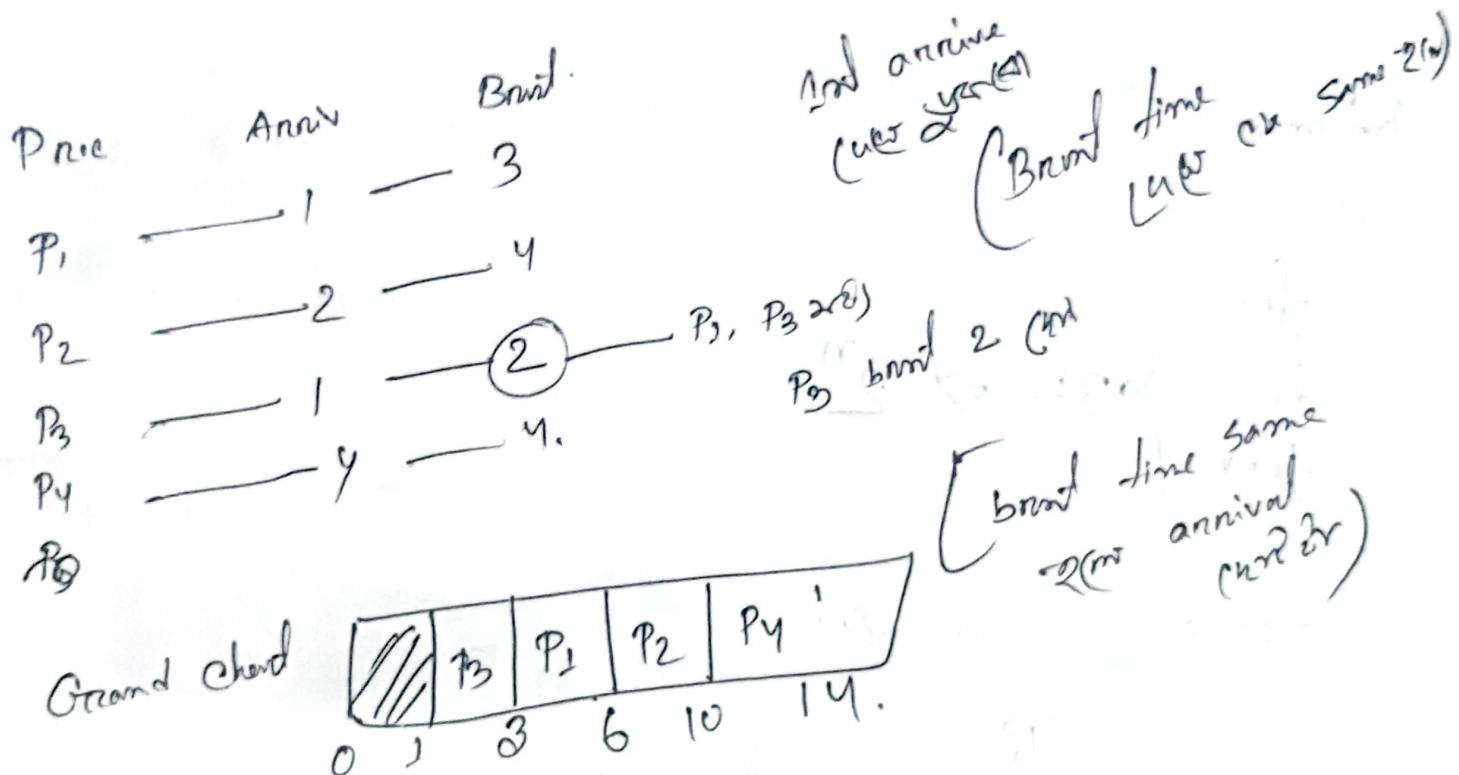
#### Condition checking in jcl

Condition checking is possible on both job and code level It is done through the COND keyword with a return code and operand as predefined in jcl.

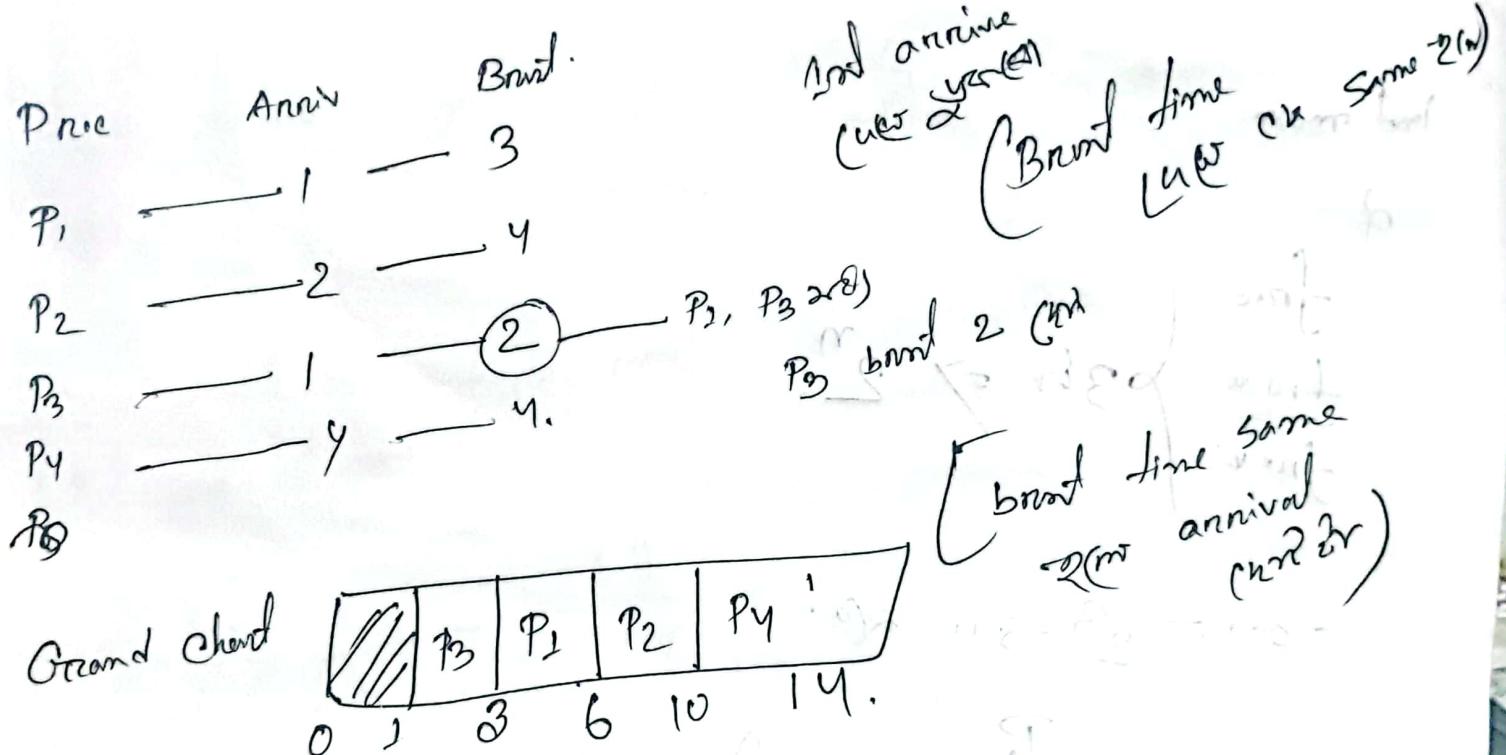
#### Hierarchy levels in jcl

- Every statement of jcl consist of the following keywords.
  - Name . Field . operation . operand . parameter . positional . keyword . command if any .

Shortest job first (Non preemptive)  
full execute



Shortest job first (Non preemptive)  
full execute



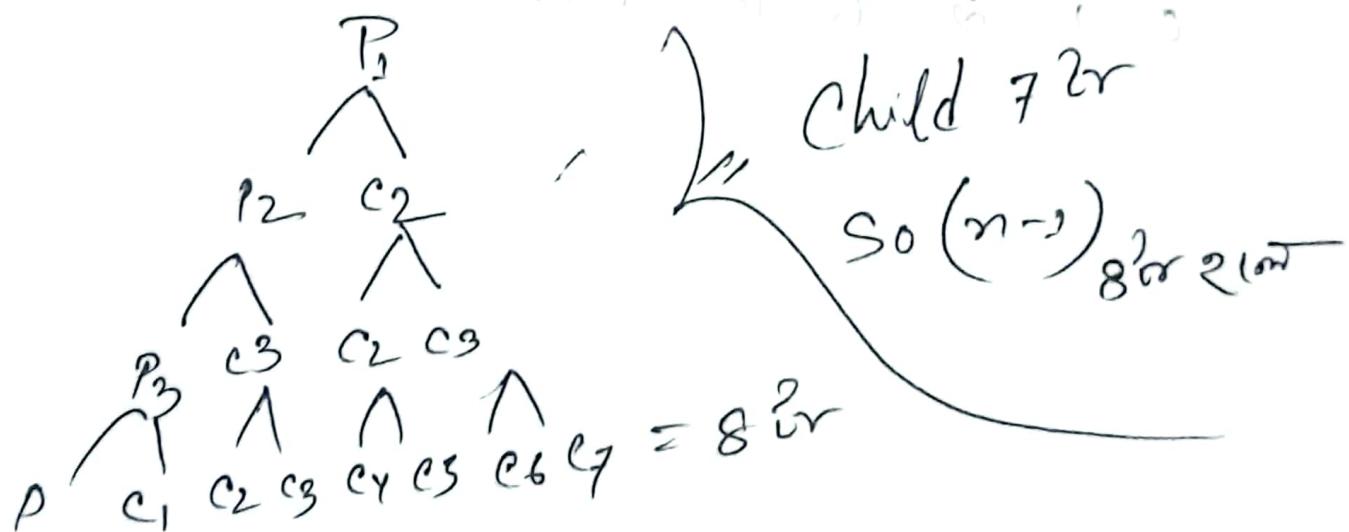
## Free node

Not main

d

$$\begin{cases} \text{free} \\ \text{fron v} \\ \text{fron v} \end{cases} \left\{ \begin{array}{l} 3^{br} \Rightarrow 2^n \\ \dots \end{array} \right.$$

- Gaurav  $2^3 = 8^{br} = 2^{10}$



wt

i.e.  $2^5 = 32^{\text{br}} 2^{10}$   
child 32^{\text{br}}

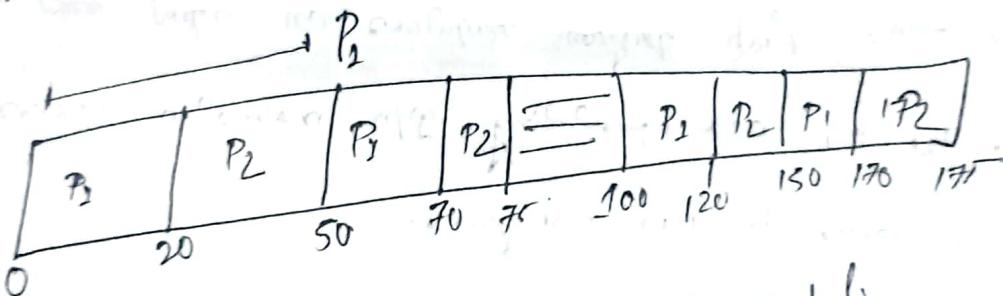
## Rate monotonic scheduling

Lower priority gets higher priority.

high → lower priority goes higher priority

$P_1 = 50, f_1 = 20$  — high priority

$P_2 = 100, f_2 = 35$

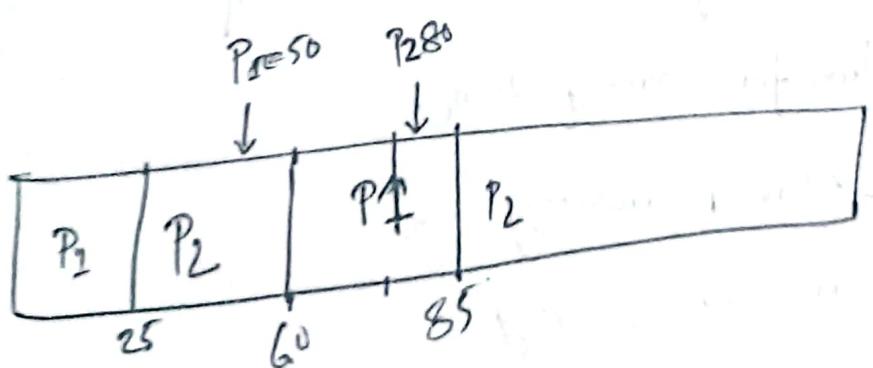


## Deadline first scheduling

high = high priority , low = low priority

$P_1 = 50, f_1 = 25$

$P_2 = 80, f_2 = 35$



## # OS Services

Program execution: The OS provides an environment where the user can easily run programs. Main purpose is to allow the user to execute programs.

I/O operations: Each program requires an input and produces output. The OS are providing I/O makes it convenient for the users to run programs.

File management: OS also helps manage files. If a program needs access to a file, it's the OS that grants access. These permission include read, write etc.

Communication with process: The process need to communicate with each other to exchange information during execution. It's include data transfer among them.

Communication via -  
- Shared memory  
- message passing

- One Computer user can't or running Network concept  
- info exchange 20.

Error handling: OS also handles the error ~~handling~~ occurring in the CPU, in I/O devices. It ensures that an error doesn't occur frequently (एप्प) and fixes errors. It also looks for any type of error or bug that occurs in ~~while~~ the task.

Security and privacy: OS keeps our computer safe from an unauthorized user by adding security layers.

OS respects our secrets and provide us facility to keep safe

User interface: User either interface with the OS through

- Command line (CMD)
- graphical user interface

### OS Advantage vs Disadvantage

<u>Advantage</u>	<u>Disadvantage</u>
① Providing user interface	① It's complex and difficult to use
② Manages files and folder	② Expensive to purchase
③ Managing security, privacy	③ difficult to maintain
④ Allowing different applications to run <u>concurrently</u>	⑤ It can be prone to attacks from malicious users.

\* function of OS

- Controlling Computer hardware
- Organising of jobs
- Providing user interface
- Security
- Protecting tasks
- Reporting & Logging
- Provides platform for running Application
- Handle memory management & CPU scheduling

④ The OS has 2 components

shell

kernel

Shell: Shell handle user ~~interaction~~ interaction. It's outermost layer of the OS and manages the interaction between user and operating system.

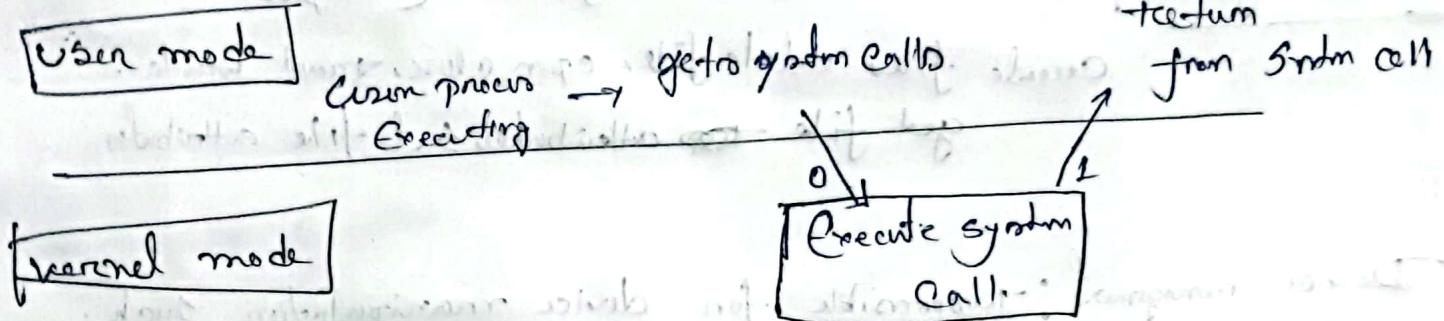
(Interpreting input and output from OS)

Kernel: Central component of an OS. that manages operation of computer and hardware. basically manage operation of memory and CPU time. It acts as bridge between application and data processing performed at hardware level using IPC and system calls.

## System Calls

A system call is a way for a user program to interface with OS. (Provide Service via API)

Computer program makes System Call when it makes a request to OS kernel.



Some System calls are: open, read, write, close.

wait() = a process must wait for another process to finish

fork() = To make a copies of themselves.

exec() = Executable file replaces an earlier executable file within an active process.

exit() = processes to terminate by sending them a signal.

Exit: when programme must be stopped

## Types of System calls:

process control: It is used to control the processes.

- Create process, terminate process, load, execute with exec, allocate and free memory.

File management: used to handle the files : Examples

Create file, delete file, open, close, read, write,  
get file ~~attr~~ attributes, set file attributes

Device management: responsible for device manipulation such

as → request device, release device, logically attach or  
detach devices

Information

management: responsible for device manipulation. Such as

get date and time, set date and time

get " ", " " set date.

get process file, set process file

Communication: used for communication . Such as:  
Create delete connection.

Send, receive message.

Transfer status.

attach detach remote devices

## Interrupt

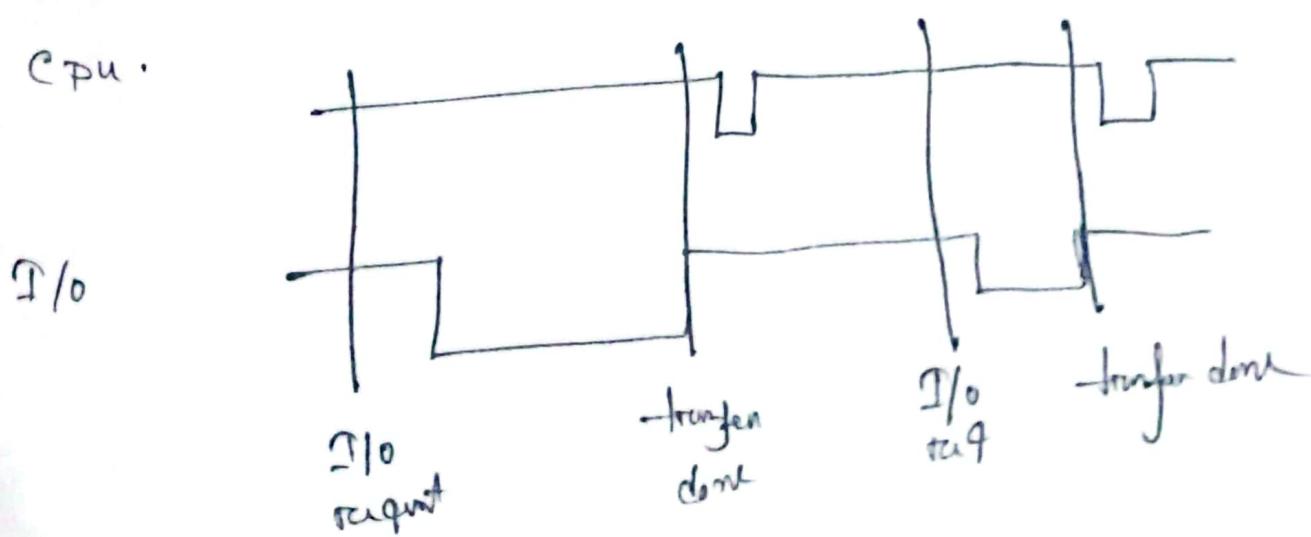
Device controller inform Cpu that I/O has finished its operation by causing an interrupt.

An OS is interrupt driven.

## Interrupt handling

- An OS preserve the state of the CPU by storing register and program counter.
- Determine which type of interrupt has occurred
  - polling  $\rightarrow$  vectored interrupt system.
- separate seg. of code determine what action should be taken for each type of interrupt.

CPU.



## ■ Interrupt OS handling Significant for

- Efficient multitasking
- Device communication
- Error management
- Scheduling
- Responsiveness
- Concurrency

## Multiprogramming

- where multiple programs reside in the main memory.

objective - maximize Cpu utilization

- reduce cpu idle time
- mult. time sharing mode

## Multitasking

Logical extension of multiprogramming. It allows OS to execute multiple task simultaneously. on a single Cpu.

- . increase Cpu utilization.
- . enhances system responsiveness
- . shorten turnpike time

It refers to thread execution when one process divide sub tasks.

## Multiprogramming

- where multiple programs coexist in the main memory.

Objective - Maximize CPU utilization.

Aim → Reduce CPU idle time.

→ used: time sharing mode

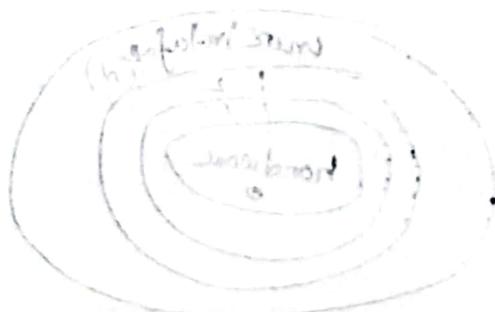
## Multitasking

Logical extension of multiprogramming. It allows OS to execute.

multiple tasks simultaneously on a single CPU.

- Increases CPU utilization.
- enhances system responsiveness.
- Shorten response time

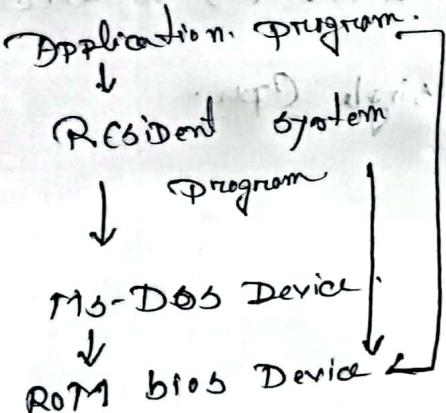
It refers to thread execution when one program divides sub-tasks.



## Operating System Structure (Interconnection of Components)

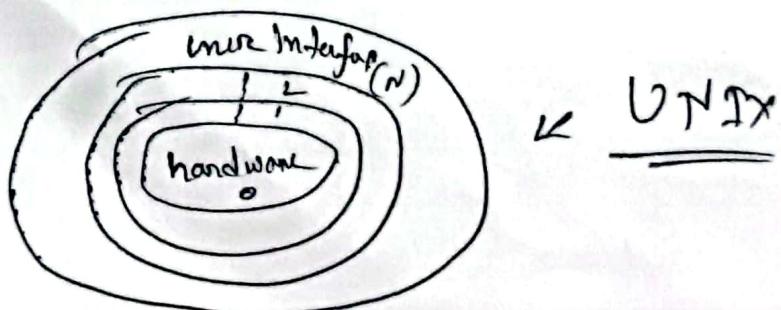
- Simple . . layered approach . monolithic approach
- Micro kernels.
- virtual machines

Simple Structure: OS is simple, limited, with no well defined structure. The MS-DOS is best example of OS. user programs fail to run full system crashes.



Layered approach . OS can broken into pieces and retain much more control on system.

OS broken into layers . bottom is hardware topmost is user interface .



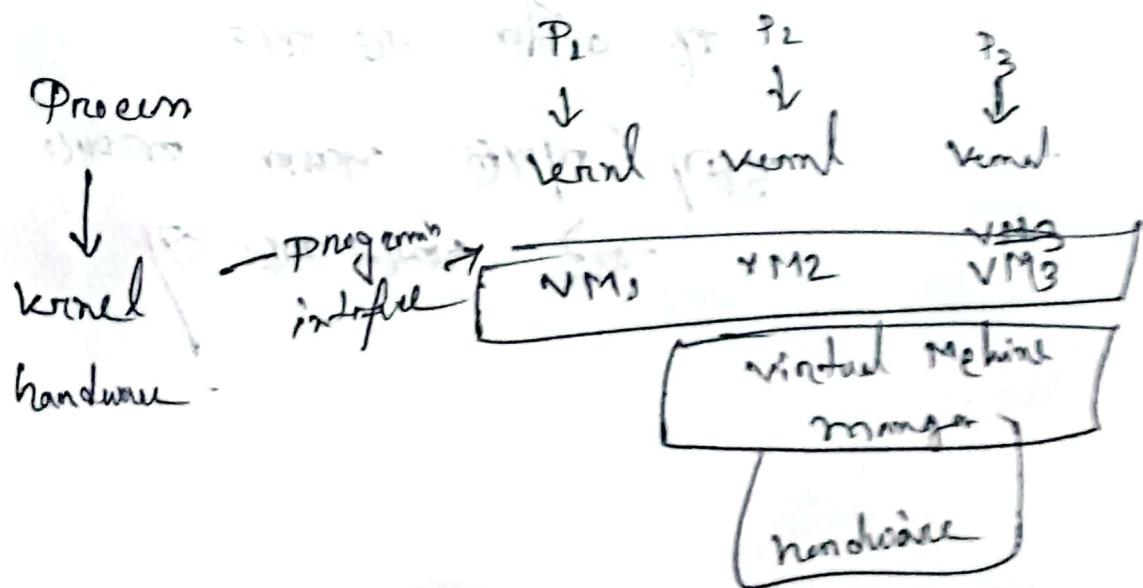
monolithic approach: where the entire OS is in a large binary program in a kernel mode.

Microkernel mode: In this Design for removing all non-essential components from the kernel and implementing them as system and user programs.

Virtual machine: virtualization is a technology that allows us to abstract the hardware of a single computer into several different execution environments.

(Personal Computer each execution)

Goal = multiple operating sys. can operate on same hardware. increase data restore, easy, operational flexibility, etc.



## Load balancing (server farm)

- Helps to efficiently distributing incoming traffic across a group of backend servers.

multicore processor: In ob can tell the processor to do.

multiple things at once (single CPU)

## OS review class

- Interrupts and OS
- Multiprogramming and multitasking
- Computer system architecture - single processor/ multiprocessor/ clustered system, real time system
- Dual mode
- Operating-System Services
- System call
- OS structures
- Process, process state, PCB, Operations on Processes, thread
- Interposes communication : shared memory approach, producer -consumer algorithm
- CPU Burst cycle
- Dispatcher
- Context switching
- Scheduling criteria
- Scheduling algorithms ; FIFO, SJF, SRTF, RR , PRIORITY
- Real time scheduling algorithms ; Monotonic and EDF
- Multicore processor
- Multithreading
- Load Balancing
- Deterministic approach to evaluate the performance of scheduling algorithms