

On the ground state degeneracy of the Quantum Ising Model

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1 Goal

We want to characterize the ground state of the 1D Quantum Ising Model, we will focus in particular on his symmetry properties and on the differences between the Classical and Quantum ground states.

2 Some definitions

The **2D Ising model** is a classical model composed of a 2D lattice of discrete variables s_k such that $s_k \in \{-1, 1\}$ and an Hamiltonian with two-sites and one-site terms:

$$H_{CI} = -J \sum_{\langle i \ j \rangle} s_i s_j - h \sum_j s_j \quad (1)$$

The pointy brackets indicate nearest-neighbors.

The **Transverse-field Ising model** or **1D Quantum Ising model** [Sac11] is defined by a chain of spin-(1/2) variables interacting with an Hamiltonian of the form:

$$H_{QI} = -J \left(\sum_l \sigma_l^{\parallel} \sigma_{l+1}^{\parallel} + \lambda \sum_l \sigma_l^{\perp} \right) \quad (2)$$

The λ term represent an external magnetic field transverse to the interaction term. Usually H_{IM} it's written as:

$$H_{QI} = -J \sum_l \left(\sigma_l^z \sigma_{l+1}^z + \lambda \sigma_l^x \right) \quad (3)$$

or the equivalent (by a simple rotation):

$$H_{QI} = -J \sum_l \left(\sigma_l^x \sigma_{l+1}^x + \lambda \sigma_l^z \right) \quad (4)$$

The absolute value of J it's just a scaling factor, the sign of J it's usually taken positive, to favor the alignment of adjacent spins (ferromagnetism).

3 Spontaneous symmetry breaking in the 2D Ising Model

To study the temperature dependence of the 2D Ising model 1 we assign it the Boltzmann Distribution:

$$P_{\beta}(\sigma) = \frac{e^{-\beta H(\sigma)}}{Z_{\beta}} \quad (5)$$

Simulating the model is a classic application of the Monte Carlo Method ¹ it is found that at low temperatures, even if $h = 0$, the system becomes "magnetized": meaning that all the variables take the same value +1 or -1.

We also notice that if the size of the lattice is small the simulation alternates between the two values. For large sizes, the system gets stuck in one of the two ordered states.

This is an example of Spontaneous Symmetry Breaking (SSB): the $h = 0$ Hamiltonian is clearly symmetric under sign inversion: symmetry and translational invariance imply $\langle s \rangle = 0$, yet at low temperature (and large enough size) $\langle s \rangle \neq 0$.

How can we explain this behavior?

The model 1 has been exactly solved by Onsager [Hua87] and the magnetization has been calculated by Yang [Yan52]. These solutions are quite complicated but we understand that the symmetry breaking stems from the following argument:

The limit function of a sequences of analytic function need not to be analytic, so the thermodynamic limit of the partition function can show some non-analytic behavior.

3.1 Landau Theory

We can get a qualitative idea of what's happening using the Landau Theory of Symmetry Breaking. It can be shown (A) that under some approximation the free energy density of the 2D Ising Model can be expressed as:

$$f(m) \simeq f_0 + a(T) (T - T_c) m^2 + b(T) m^4 \quad (6)$$

Figure 1

This Free Energy give rise to the familiar "Mexican Hat" of the Landau Theory of symmetry breaking. We conclude that:

¹See for example <https://mattbierbaum.github.io/ising.js/>

1. When $T < T_c$ two different ground states appear with a non-zero magnetization
2. The time it takes to

3.2 The QC mapping

4 Symmetry Breaking in the Quantum Ising Model

From now on $J = 1/2$ and the interaction is on the x direction:

$$H_{IM} = -\frac{1}{2} \sum_l (\sigma_l^x \sigma_{l+1}^x + \lambda \sigma_l^z) \quad (7)$$

This system has a spin-flip (\mathbb{Z}_2) symmetry in the x direction, formally:

$$[H, \otimes_i \sigma_i^z] = 0 \quad (8)$$

If we set $\lambda = 0$, the Hamiltonian in the x basis becomes:

$$H_{IM} = -\frac{1}{2} \bigoplus_l \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \quad (9)$$

The eigenvectors with the lowest eigenvalue have the form:

$$|\psi_0\rangle = \alpha \prod_i |\rightarrow\rangle_i + \beta \prod_i |\leftarrow\rangle_i \quad (10)$$

The only one who respects the \mathbb{Z}_2 symmetry is the GHZ state:

$$|GHZ\rangle = \frac{1}{\sqrt{2}} \prod_i |\rightarrow\rangle_i + \frac{1}{\sqrt{2}} \prod_i |\leftarrow\rangle_i \quad (11)$$

For $\lambda \gg 1$ instead the ground state is simply:

$$|\psi_p\rangle = \prod_i |\uparrow\rangle_i = \prod_i \frac{1}{\sqrt{2}} (|\rightarrow\rangle_i + |\leftarrow\rangle_i) \quad (12)$$

Question: Why in the real world (and in computer simulations) we don't observe the GHZ state

A Mean field Approximation

The MFA for the Ising Model it's also called Bragg-Williams Approximation, it's a crude way to simplify the Ising Hamiltonian but it's qualitatively correct in 2D

$$s_i = \langle s_i \rangle + \delta s_i \quad (13)$$

We neglect the quadratic corrections in δs :

$$s_i s_j \simeq \langle s_i \rangle \langle s_j \rangle + \langle s_j \rangle \delta s_i + \langle s_i \rangle \delta s_j \quad (14)$$

The expectation value $\langle s_i \rangle = m$ for every site because the system is translationally invariant.

Consider the Hamiltonian 1 with $h = 0$:

$$H_{CI} = -J \sum_{\langle i j \rangle} s_i s_j \quad (15)$$

If we apply the Mean Field Approximation:

$$\mathcal{H}_{MF} = -Jm \sum_{\langle ij \rangle} (s_i + s_j - m) \quad (16)$$

In the 2D square-lattice every site has 4 nearest-neighbors, we sum to all the N sites and divide by two to avoid double-counting:

$$\mathcal{H}_{MF} = -2Jm \sum_{i=1}^N (2s_i - m) = 2NJm^2 - 4Jm \sum_{i=1}^N s_i \quad (17)$$

With this Hamiltonian it's quite easy to calculate the partition function:

$$Z_{MF} = \text{Tr} (e^{-\beta \mathcal{H}_{MF}}) = \prod_{i=1}^N \left(\sum_{s_i = \pm 1} \right) e^{-\beta \mathcal{H}_{MF}} = e^{-2\beta NJm^2} [2 \cosh (4\beta Jm)]^N \quad (18)$$

And from there the Free Energy:

$$F_{MF} = -k_B T \ln Z_{MF} = 2NJm^2 - Nk_B T \ln 2 - Nk_B T \ln [\cosh \beta 4Jm] \quad (19)$$

Expanding in powers of the mean-magnetization m and defining $k_B T_c \equiv 4J$ we obtain:

$$f(m) = \frac{F_{MF}}{N} \simeq F_0 + \frac{k_B T_c}{2T} (T - T_c) m^2 + \frac{k_B T_c^4}{12T^3} m^4 = F_0 + a(T) (T - T_c) m^2 + b(T) m^4 \quad (20)$$

References

- [Hua87] Kerson Huang. *Statistical Mechanics*. John Wiley & Sons, 2 edition, 1987.
- [Sac11] Subir Sachdev. *Quantum Phase Transitions*. Cambridge University Press, 2 edition, 2011.
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