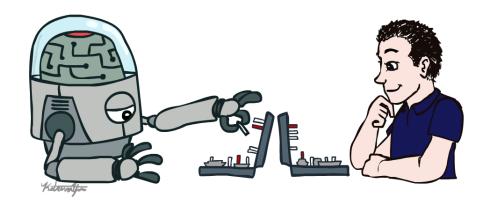
# CSE 3521: Introduction to Artificial Intelligence





### **FOPC**

- First-order logic
  - o Increased expressive power over Propositional Logic
  - Objects and relations are semantic primitives
  - Syntax: constants, functions, predicates, equality, quantifiers
    - Two standard quantifiers
      - ➤ Universal ∀
      - ➤ Existential ∃

### **Universal Quantifiers**

- $\forall x \ \forall y$  is same as  $\forall y \ \forall x \ (\ \forall x,y)$
- $\exists x \exists y$  is same as  $\exists y \exists x (\exists x,y)$
- $\exists x \forall y$  is <u>not same</u> as  $\forall y \exists x$ 
  - $\circ \exists y \ Person(y) \land (\forall x \ Person(x) \Rightarrow Loves(x,y))$ 
    - "There is someone who is loved by everyone"
  - $\forall x \ Person(x) \Rightarrow \exists y \ Person(y) \land Loves(x,y)$ 
    - "Everybody loves somebody" (not guaranteed to be the same person)

### How to do inference in FOPC

- Reduction of first-order inference to propositional inference
- First-order inference algorithms
  - Generalized Modus Ponens
  - oForward chaining \*\*\*
  - OBackward chaining \*\*\*
  - Resolution-based theorem proving \*\*\*

### **Topics**

- Reduction of first-order inference to propositional inference
- First-order inference algorithms
  - Generalized Modus Ponens
  - o Forward chaining \*\*\*
  - OBackward chaining \*\*\*
  - Resolution-based theorem proving \*\*\*

### Propositional vs. FOL Inference

- First-order inference can be done by converting KB to propositional logic and using propositional inference
  - Using modus ponens, etc.
- Specifically, what to do with quantifiers?
- Substitution: {variable/Object}
  - o Remove quantifier by substituting variable with specific object

Think about C or Python → assembly language!

### Reduction to Propositional Inference

- Universal Quantifiers (∀)
  - Recall: Sentence must be true *for all* objects in the world (all values of variable)
  - So substituting any object must be valid (Universal Instantiation, UI)
- Example
  - $\circ \forall x \ Person(x) \Rightarrow Likes(x,IceCream)$ 
    - Substituting: (1), {x/Jack}
  - $\circ$  Person(Jack)  $\Rightarrow$  Likes(Jack,IceCream)

- Existential Quantifiers (∃)
  - Recall: Sentence must be true for some object in the world (or objects)
  - Assume we know this object and give it an arbitrary (unique!) name (Existential Instantiation, EI)
  - Known as <u>Skolem constant</u> (SK1, SK2, ...)
- Example
  - $\circ \exists x \ Person(x) \land Likes(x,IceCream)$ 
    - Substituting: (1), {*x*/*SK1*}
  - Person(SK1) ∧ Likes(SK1,IceCream)
- We don't know who "SK1" is (and usually can't), but we know they must exist

- Multiple Quantifiers
  - No problem if same type  $(\forall x,y \text{ or } \exists x,y)$
  - $\circ$  Also no problem if:  $\exists x \forall y$ 
    - There must be some x for which the sentence is true with every possible y
    - Skolem constant still works (for x)
- Problem with  $\forall x \exists y$ 
  - o For every possible x, there must be some y that satisfies the sentence
  - Could be different y value to satisfy for each x!

- Problem with  $\forall x \exists y \text{ (con't)}$ 
  - The value we substitute for y must depend on x
  - Use a Skolem <u>function</u> instead
- Example
  - $\circ \forall x \exists y Person(x) \Rightarrow Loves(x,y)$ 
    - Substitute: (1), {*y*/*SK1*(*x*)}
  - $\circ \forall x \ Person(x) \Rightarrow Loves(x,SK1(x))$ 
    - Then: (2), {x/*Jack*}
  - $\circ$  Person(Jack)  $\Rightarrow$  Loves(Jack,SK1(Jack))
- SK1(x) is effectively a function which returns a person that x loves. But, again, we can't generally know the specific value it returns.

- Internal Quantifiers
  - Previous rules only work if quantifiers are external (left-most)
  - $\circ$  Consider:  $\forall x (\exists y \ Loves(x,y)) \Rightarrow Person(x)$
  - o "For all x, if there is some y that x loves, then x must be a person"
  - A Skolem function limits the values y could take (to one) and we can't know what it is.
- Need to move the quantifier outward
  - $\circ \forall x (\exists y \ Loves(x,y)) \Rightarrow Person(x)$
  - $\circ \forall x \neg (\exists y \ Loves(x,y)) \lor Person(x) \ (convert \ to \neg, \lor, \land)$
  - $\circ \forall x \forall y \neg Loves(x,y) \lor Person(x) \text{ (move } \neg \text{ inward)}$
  - $\circ \forall x \forall y \ Loves(x,y) \Rightarrow Person(x)$
- Now we can see that we can actually substitute anything for y
- May need to rename variables before moving quantifier left

- Once have non-quantified sentences (from quantified sentences using UI, EI), possible to reduce first-order inference to propositional inference
- Suppose KB contains:

```
\forall x \; King(x) \land Greedy(x) \Rightarrow Evil(x)
King(John)
Greedy(John)
Brother(Richard, John)
```

• Using UI with  $\{x/John\}$  and  $\{x/Richard\}$ , we get

```
King(John) \wedge Greedy(John) \Rightarrow Evil(John)
King(Richard) \wedge Greedy(Richard) \Rightarrow Evil(Richard)
```

Now the KB is essentially propositional:

```
King(John) \land Greedy(John) \Rightarrow Evil(John)
King(Richard) \land Greedy(Richard) \Rightarrow Evil(Richard)
King(John)
Greedy(John)
Brother(Richard, John)
```

Then can use propositional inference algorithms to obtain conclusions
 Modus Ponens yields Evil(John)

$$\frac{\alpha, \ \alpha \to \beta}{\beta}$$

 $\frac{\mathit{King}(\mathit{John}) \land \mathit{Greedy}(\mathit{John}), \, \mathit{King}(\mathit{John}) \land \mathit{Greedy}(\mathit{John}) \!\! \Rightarrow \!\! \mathit{Evil}(\mathit{John})}{\mathit{Evil}(\mathit{John})}$ 

### **Topics**

- Reduction of first-order inference to propositional inference
- First-order inference algorithms
  - Generalized Modus Ponens
  - oForward chaining \*\*\*
  - ○Backward chaining \*\*\*
  - Resolution-based theorem proving \*\*\*

### Forward and Backward Chaining

- Have language representing knowledge (FOL) and inference rules (Generalized Modus Ponens)
  - Now study how a reasoning program is constructed
- Generalized Modus Ponens can be used in two ways:
  - Start with sentences in KB and generate new conclusions (<u>forward chaining</u>)
    - "Used when a new fact is added to database and want to generate its consequences"
      or
  - Start with something want to prove, find implication sentences that allow to conclude it, then attempt to establish their premises in turn (backward chaining)
    - "Used when there is a goal to be proved"

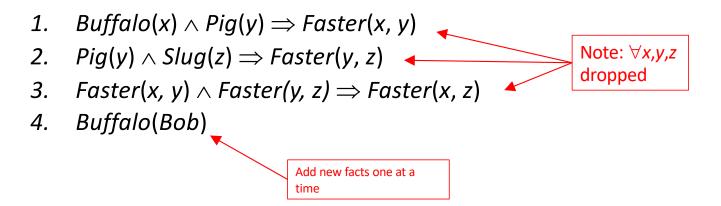
### **Forward Chaining**

- Forward chaining normally triggered by addition of <u>new</u> fact to KB (using TELL)
- When new fact p added to KB:
  - For each rule such that p unifies with a premise
    - If the other premises are known, then add the conclusion to the KB and continue chaining
  - Premise: Left-hand side of implication
    - Or, each term of conjunction on left hand side
  - Conclusion: Right-hand side of implication
- Forward chaining uses unification
  - Make two sentences (fact + premise) match by substituting variables (if possible)
- Forward chaining is <u>data-driven</u>
  - Inferring properties and categories from percepts

- Add sentences gradually
  - 1.  $\forall x,y \; Buffalo(x) \land Pig(y) \Rightarrow Faster(x,y)$
  - 2.  $\forall y,z \ Pig(y) \land Slug(z) \Rightarrow Faster(y,z)$
  - 3.  $\forall x,y,z \; Faster(x,y) \land Faster(y,z) \Rightarrow Faster(x,z)$

Now we need to find rule(s) that can use this fact...

Add sentences gradually



Now we need to find rule(s) that can use this fact...

- Add facts 1, 2, 3, 4, 5, 7 in turn
  - Number in [] is unification literal
  - 1.  $Buffalo(x) \land Pig(y) \Rightarrow Faster(x, y)$
  - 2.  $Pig(y) \land Slug(z) \Rightarrow Faster(y, z)$
  - 3. Faster(x, y)  $\wedge$  Faster(y, z)  $\Rightarrow$  Faster(x, z)
  - 4. Buffalo(Bob) [1]

Check each rule in turn...

Rule 1 can make use of the fact that something (x) is a Buffalo

- Add facts 1, 2, 3, 4, 5, 7 in turn
  - $\circ$  Number in [] is unification literal;  $\sqrt{}$  rule firing
  - 1.  $Buffalo(x) \land Pig(y) \Rightarrow Faster(x, y)$
  - 2.  $Pig(y) \land Slug(z) \Rightarrow Faster(y, z)$
  - 3. Faster(x, y)  $\wedge$  Faster(y, z)  $\Rightarrow$  Faster(x, z)
  - And it's the first (a) term that our fact (#4) can satisfy.

    Which is important because...

- Add facts 1, 2, 3, 4, 5, 7 in turn
  - $\circ$  Number in [] is unification literal;  $\sqrt{}$  rule firing
  - 1. Buffalo(x)  $\land$  Piq(y)  $\Rightarrow$  Faster(x, y)
  - 2.  $Pig(y) \wedge Slug(z) \Rightarrow Faster(y, z)$
  - 3. Faster(x, y)  $\wedge$  Faster(y, z)  $\Rightarrow$  Faster(x, z)
  - 4. Buffalo(Bob) [1]

...we need to check to see if the rule can be satisfied and fired.

BUT we are missing a fact to fill in the second (b) part of the rule, so NO, we fail to fire the rule.

- Add facts 1, 2, 3, 4, 5, 7 in turn
  - $\circ$  Number in [] is unification literal;  $\sqrt{}$  rule firing
  - 1.  $Buffalo(x) \land Pig(y) \Rightarrow Faster(x, y)$
  - 2.  $Pig(y) \wedge Slug(z) \Rightarrow Faster(y, z)$
  - 3. Faster(x, y)  $\land$  Faster(y, z)  $\Rightarrow$  Faster(x, z)
  - *4. Buffalo*(*Bob*) [1]

5. Pig(Pat) [1] **←** 

From (#4) we also can satisfy (1), so we can fire the rule!

6. Faster(Bob, Pat)₄

Firing the rule gets us a new fact! But we treat it the same, so check against all rules...

- Add facts 1, 2, 3, 4, 5, 7 in turn
  - $\circ$  Number in [] is unification literal;  $\sqrt{}$  rule firing
  - 1.  $Buffalo(x) \land Pig(y) \Rightarrow Faster(x, y)$
  - 2.  $Pig(y) \wedge Slug(z) \Rightarrow Faster(y, z)$
  - 3. Faster(x, y)  $\wedge$  Faster(y, z)  $\Rightarrow$  Faster(x, z)
  - *4. Buffalo*(*Bob*) [1]
  - 5. *Pig(Pat)* [1]
    - 6. Faster(Bob, Pat) [3]

- Add facts 1, 2, 3, 4, 5, 7 in turn
  - $\circ$  Number in [] is unification literal;  $\sqrt{}$  rule firing
  - 1.  $Buffalo(x) \wedge Pig(y) \Rightarrow Faster(x, y)$
  - 2.  $Pig(y) \wedge Slug(z) \Rightarrow Faster(y, z)$
  - 3. Faster(x, y)  $\wedge$  Faster(y, z)  $\Rightarrow$  Faster(x, z)
  - *4. Buffalo*(*Bob*) [1]
  - 5. *Pig(Pat)* [1]
    - 6. Faster(Bob, Pat) [3]
  - 7. Slug(Steve) [2]
    - 8. Faster(Pat, Steve) [3]
      - 9. Faster(Bob, Steve) [3]

#### **Knowledge Base**

 $A \Rightarrow B$ 

 $A \Rightarrow D$ 

 $D \Rightarrow C$ 

 $A \Rightarrow E$ 

 $D \Rightarrow F$ 

 $E \Rightarrow G$ 

#### Add A:

A,  $A \Rightarrow B$  gives B [done]

A,  $A \Rightarrow D$  gives D

D, D  $\Rightarrow$  C gives C [done]

D, D  $\Rightarrow$  F gives F [done]

A,  $A \Rightarrow E$  gives E

 $E, E \Rightarrow G \text{ gives } G \text{ [done]}$ 

[done]

Order of generation B, D, C, F, E, G

### **Topics**

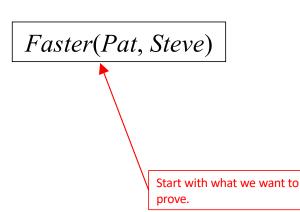
- Reduction of first-order inference to propositional inference
- First-order inference algorithms
  - Generalized Modus Ponens
  - oForward chaining \*\*\*
  - ○Backward chaining \*\*\*
  - Resolution-based theorem proving \*\*\*

### **Backward** Chaining

- Backward chaining designed to find all answers to a question posed to KB (using ASK)
- When a query *q* is asked:
  - o If a matching fact q 'is known, return the unifier
  - For each rule whose consequent q 'matches q
    - Attempt to prove each premise of the rule by backward chaining
- Added complications
  - Keeping track of unifiers, avoiding infinite loops
- Two versions
  - Find <u>any</u> solution
  - Find <u>all</u> solutions
- Backward chaining is basis of <u>logic programming</u>
  - Prolog

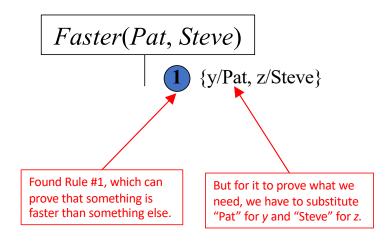
#### Given facts/rules 1-5 in KB:

- 1.  $Pig(y) \wedge Slug(z) \Rightarrow Faster(y, z)$
- 2.  $Slimy(z) \land Creeps(z) \Rightarrow Slug(z)$
- 3. Pig(Pat)
- 4. Slimy(Steve)
- Creeps(Steve)



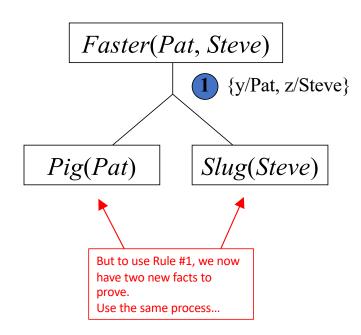
#### Given facts/rules 1-5 in KB:

- 1.  $Pig(y) \wedge Slug(z) \Rightarrow Faster(y, z)$
- 2.  $Slimy(z) \land Creeps(z) \Rightarrow Slug(z)$
- $3. \quad Pig(Pat)$
- 4. Slimy(Steve)
- Creeps(Steve)



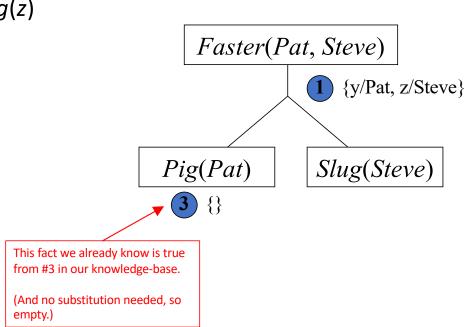
#### Given facts/rules 1-5 in KB:

- 1.  $Pig(y) \wedge Slug(z) \Rightarrow Faster(y, z)$
- 2.  $Slimy(z) \land Creeps(z) \Rightarrow Slug(z)$
- 3. Pig(Pat)
- 4. Slimy(Steve)
- Creeps(Steve)



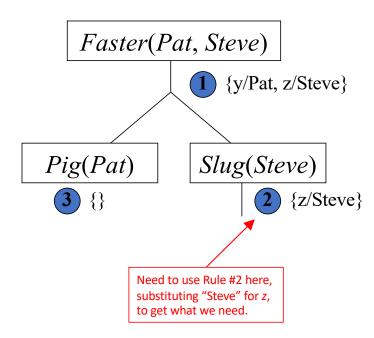
#### Given facts/rules 1-5 in KB:

- 1.  $Pig(y) \wedge Slug(z) \Rightarrow Faster(y, z)$
- 2.  $Slimy(z) \land Creeps(z) \Rightarrow Slug(z)$
- 3. Pig(Pat)
- 4. Slimy(Steve)
- Creeps(Steve)



#### Given facts/rules 1-5 in KB:

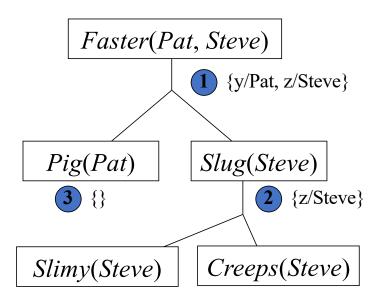
- 1.  $Pig(y) \wedge Slug(z) \Rightarrow Faster(y, z)$
- 2.  $Slimy(z) \land Creeps(z) \Rightarrow Slug(z)$
- 3. Pig(Pat)
- 4. Slimy(Steve)
- Creeps(Steve)



#### Given facts/rules 1-5 in KB:

- 1.  $Pig(y) \wedge Slug(z) \Rightarrow Faster(y, z)$
- 2.  $Slimy(z) \land Creeps(z) \Rightarrow Slug(z)$
- 3. Pig(Pat)
- 4. Slimy(Steve)
- Creeps(Steve)

Prove: Faster(Pat, Steve)



And Rule #2 requires these two facts...

#### Given facts/rules 1-5 in KB:

- 1.  $Pig(y) \wedge Slug(z) \Rightarrow Faster(y, z)$
- 2.  $Slimy(z) \land Creeps(z) \Rightarrow Slug(z)$
- 3. Pig(Pat)
- 4. Slimy(Steve)
- Creeps(Steve)

Prove: Faster(Pat, Steve)

Which we know are true directly from our knowledge-base.

### **Topics**

- Reduction of first-order inference to propositional inference
- First-order inference algorithms
  - Generalized Modus Ponens
  - o Forward chaining \*\*\*
  - oBackward chaining \*\*\*
  - Resolution-based theorem proving \*\*\*

### Resolution

- Uses proof by contradiction
  - Referred to by other names
    - Refutation
    - Reductio ad absurdum
- Inference procedure using resolution
  - To prove *P*:
    - Assume P is FALSE
    - Add  $\neg P$  to KB
    - Prove a contradiction
  - Given that the <u>KB</u> is known to be <u>True</u>, we can believe that the negated goal is in fact False, meaning that the original goal must be <u>True</u>

### Simple Example

• Given: "All birds fly", "Peter is a bird"

• Prove: "Peter flies"

• Step #1: have in FOL

```
\forall x \; Bird(x) \rightarrow Flies(x)
Bird(Peter)
```

• Step #2: put in normal form

```
\neg Bird(x) \lor Flies(x)
Bird(Peter)
```

## Simple Example (con't)

Step #3: Assume contradiction of goal

**GOAL TO TEST:** ¬Flies(Peter)

• Step #4: Unification {*x/Peter*}

 $\neg Bird(Peter) \lor Flies(Peter)$ 

Step #5: Resolution (unit)

$$\frac{\alpha, \neg \alpha \lor \beta}{\beta} \quad \frac{\neg Flies(Peter), Flies(Peter) \lor \neg Bird(Peter)}{\neg Bird(Peter)}$$

- Step #6: Contradiction
  - The result of Step #5 says that "Peter is not a bird", but this is in contrast to KB containing Bird(Peter)

KB:

Bird(Peter)

 $\neg Bird(x) \lor Flies(x)$ 

• Therefore, we can conclude that "Peter does indeed fly"

#### KB:

kb-1:  $A(x,bar) \vee B(x) \vee C(x)$ 

kb-2:  $D(y,foo) \lor \neg B(y)$ 

kb-3:  $E(z) \vee \neg A(z,bar)$ 

kb-4: ¬D(Minsky,foo)

kb-5: ¬A(Minsky,bar)

Goal: prove C(Minsky)

#### KB:

kb-1:  $A(x,bar) \vee B(x) \vee C(x)$ 

kb-2:  $D(y,foo) \lor \neg B(y)$ 

kb-3:  $E(z) \vee \neg A(z,bar)$ 

kb-4: ¬D(Minsky,foo)

kb-5: ¬A(Minsky,bar)

Goal: prove C(Minsky)

0: ¬C(Minsky)

Start off using our negated goal (proof by contradiction)

#### KB:

kb-1:  $A(x,bar) \vee B(x) \vee C(x)$ 

kb-2:  $D(y,foo) \lor \neg B(y)$ 

kb-3:  $E(z) \vee \neg A(z,bar)$ 

kb-4: ¬D(Minsky,foo)

kb-5: ¬A(Minsky,bar)

Goal: prove C(Minsky)

0: ¬C(Minsky)

1:  $A(Minsky,bar) \vee B(Minsky) \vee C(Minsky)$  [kb-1]  $\{x/Minsky\}$ 

Look for a rule that has C(Minsky) to oppose ¬C(Minsky) from #0. This rule (kb-1) needed a substitution for it to work, giving us the new sentence #1.

#### KB:

kb-1:  $A(x,bar) \vee B(x) \vee C(x)$ 

kb-2:  $D(y,foo) \lor \neg B(y)$ 

kb-3:  $E(z) \vee \neg A(z,bar)$ 

kb-4: ¬D(Minsky,foo)

kb-5: ¬A(Minsky,bar)

Goal: prove C(Minsky)

 $0: \neg C(Minsky)$ 

1: A(Minsky,bar)  $\vee$  B(Minsky)  $\vee$  C(Minsky) [kb-1]  $\{x/Minsky\}$ 

2: ¬C(Minsky), A(Minsky,bar) ∨ B(Minsky) ∨ C(Minsky) 2.a: A(Minsky,bar) ∨ B(Minsky) *[resolution: 0,1]* 

Now that we have #0 and #1 with opposing terms, use resolution to eliminate them.

#### KB:

kb-1:  $A(x,bar) \vee B(x) \vee C(x)$ 

kb-2:  $D(y,foo) \lor \neg B(y)$ 

kb-3:  $E(z) \vee \neg A(z,bar)$ 

kb-4: ¬D(Minsky,foo)

kb-5: ¬A(Minsky,bar)

Goal: prove C(Minsky)

```
0: ¬C(Minsky)
```

- 1: A(Minsky,bar)  $\vee$  B(Minsky)  $\vee$  C(Minsky) [kb-1]  $\{x/Minsky\}$
- 2: ¬C(Minsky), A(Minsky,bar) ∨ B(Minsky) ∨ C(Minsky) 2.a: A(Minsky,bar) ∨ B(Minsky) [resolution: 0,1]
- 3:  $D(Minsky,foo) \lor \neg B(Minsky)$  [kb-2]  $\{y/Minsky\}$
- 4: A(Minsky,bar) ∨ B(Minsky), D(Minsky,foo) ∨ ¬B(Minsky) 4.a: A(Minsky,bar) ∨ D(Minsky,foo) *[resol: 2a,3]*

And repeat to find and eliminate other opposing terms.

#### KB:

kb-1:  $A(x,bar) \vee B(x) \vee C(x)$ 

kb-2:  $D(y,foo) \lor \neg B(y)$ 

kb-3:  $E(z) \vee \neg A(z,bar)$ 

kb-4: ¬D(Minsky,foo)

kb-5: ¬A(Minsky,bar)

Goal: prove C(Minsky)

```
0: ¬C(Minsky)
```

1: A(Minsky,bar)  $\vee$  B(Minsky)  $\vee$  C(Minsky) [kb-1]  $\{x/Minsky\}$ 

2: ¬C(Minsky), A(Minsky,bar) ∨ B(Minsky) ∨ C(Minsky) 2.a: A(Minsky,bar) ∨ B(Minsky) *[resolution: 0,1]* 

3:  $D(Minsky,foo) \lor \neg B(Minsky) [kb-2]$ {y/Minsky}

4: A(Minsky,bar) ∨ B(Minsky), D(Minsky,foo) ∨ ¬B(Minsky) 4.a: A(Minsky,bar) ∨ D(Minsky,foo) *[resol: 2a,3]* 

5: ¬A(Minsky,bar), A(Minsky,bar) ∨ D(Minsky,foo) 5.a: D(Minsky,foo) [resol: 4a,kb-5]

And again...

#### KB:

kb-1:  $A(x,bar) \vee B(x) \vee C(x)$ 

kb-2:  $D(y,foo) \vee \neg B(y)$ 

kb-3:  $E(z) \vee \neg A(z,bar)$ 

kb-4: ¬D(Minsky,foo)

kb-5: ¬A(Minsky,bar)

Goal: prove C(Minsky)

```
0: ¬C(Minsky)
```

- 1: A(Minsky,bar)  $\vee$  B(Minsky)  $\vee$  C(Minsky) [kb-1]  $\{x/Minsky\}$
- 2: ¬C(Minsky), A(Minsky,bar) ∨ B(Minsky) ∨ C(Minsky) 2.a: A(Minsky,bar) ∨ B(Minsky) [resolution: 0,1]
- 3:  $D(Minsky,foo) \lor \neg B(Minsky) [kb-2]$ {y/Minsky}
- 4: A(Minsky,bar) ∨ B(Minsky), D(Minsky,foo) ∨ ¬B(Minsky) 4.a: A(Minsky,bar) ∨ D(Minsky,foo) *[resol: 2a,3]*
- 5: ¬A(Minsky,bar), A(Minsky,bar) ∨ D(Minsky,foo) 5.a: D(Minsky,foo) *[resol: 4a,kb-5]*
- 6:  $D(Minsky,foo) \land \neg D(Minsky,foo)$

FALSE, CONTRADICTION!!! must be C(Minsky)

### **FOPC Infrerence**

- Reduction of first-order inference to propositional inference
  - Universal and Existential Instantiation
- Forward chaining
  - Infer properties in data-driven manner
- Backward chaining
  - Proving query of a consequent by proving premises
- Resolution using proof by contradiction