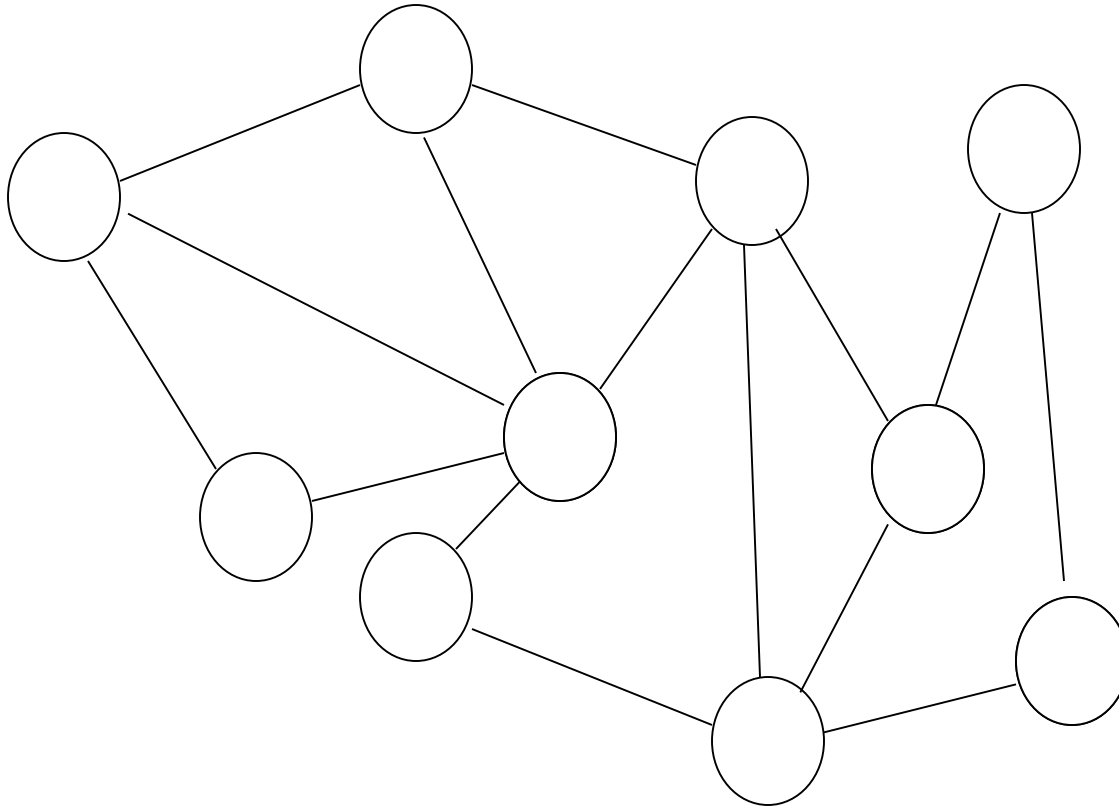


GIAN Course on Distributed Network Algorithms

Spanning Tree Constructions

Spanning Trees

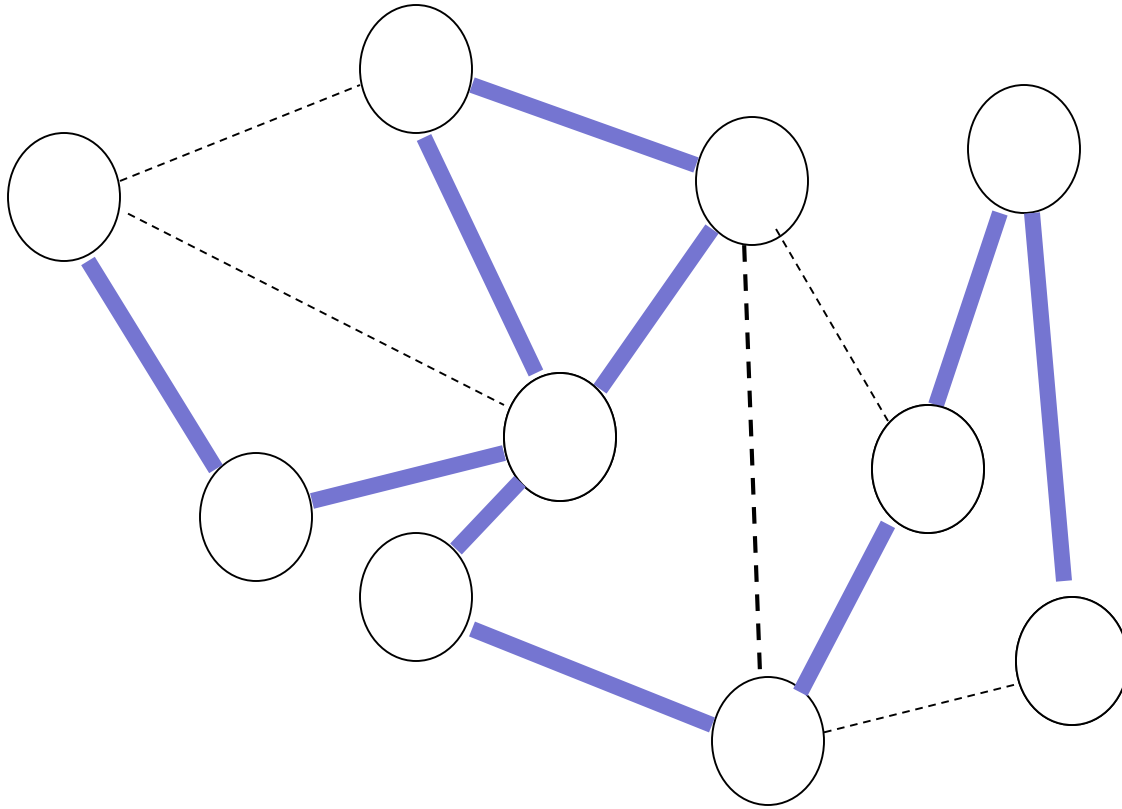
Attractive „infrastructure“: sparse subgraph („loop-free backbone“) connecting all nodes. E.g., cheap flooding.



Spanning Tree

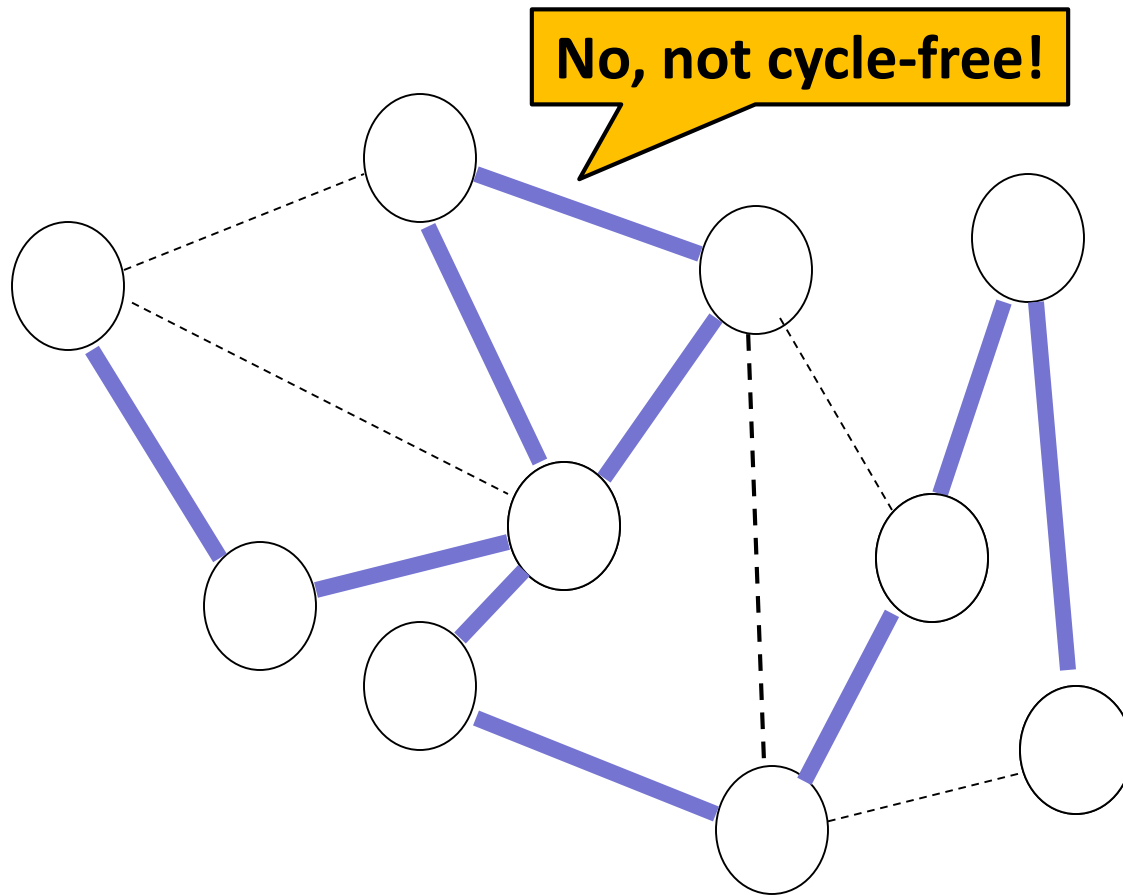
Cycle-free subgraph spanning all nodes.

Spanning Trees

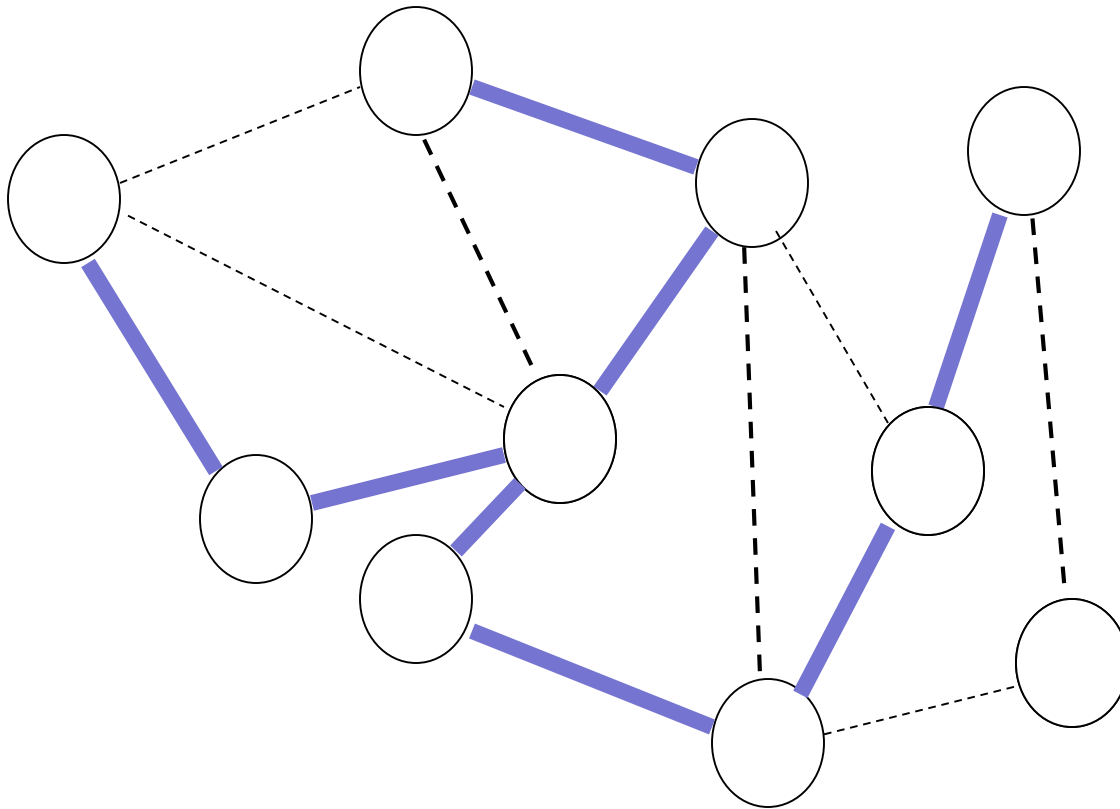


Is this a spanning tree?

Spanning Trees

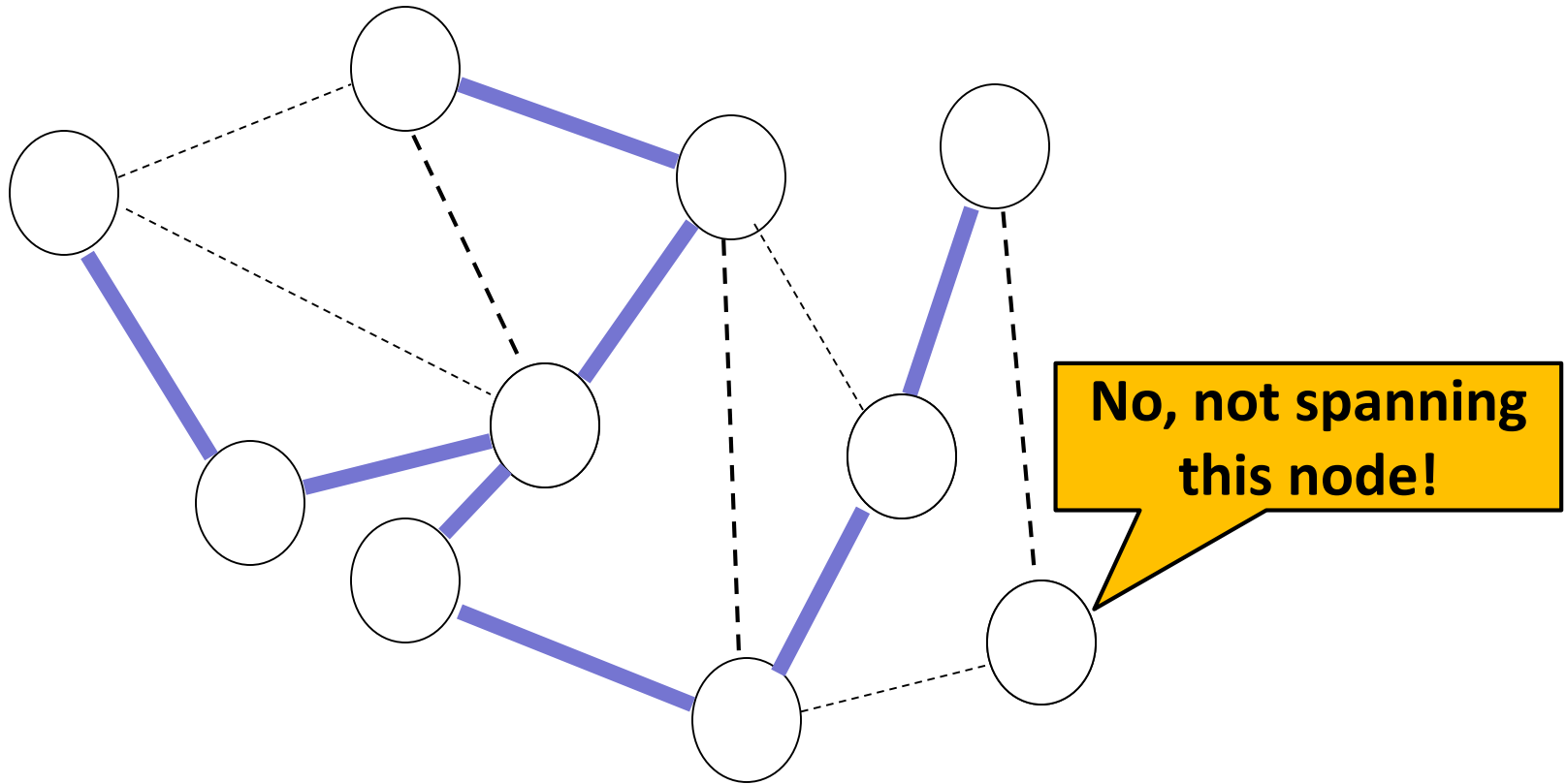


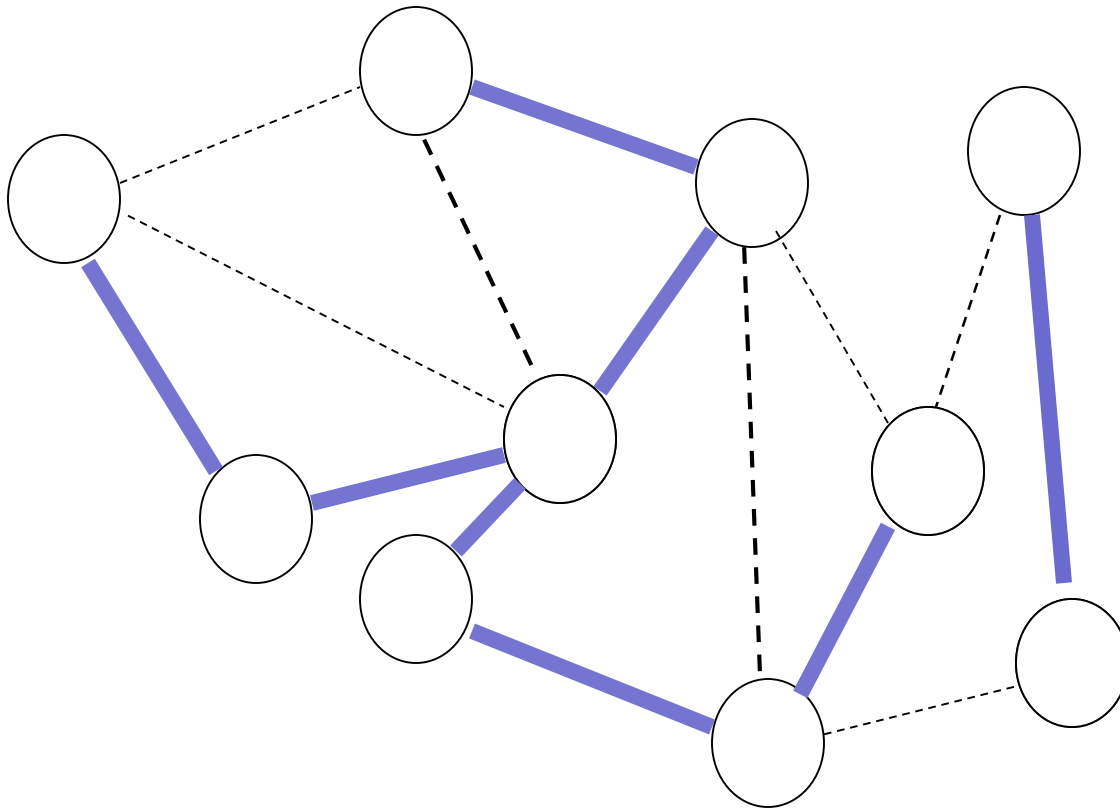
Spanning Trees



Is this a spanning tree?

Spanning Trees

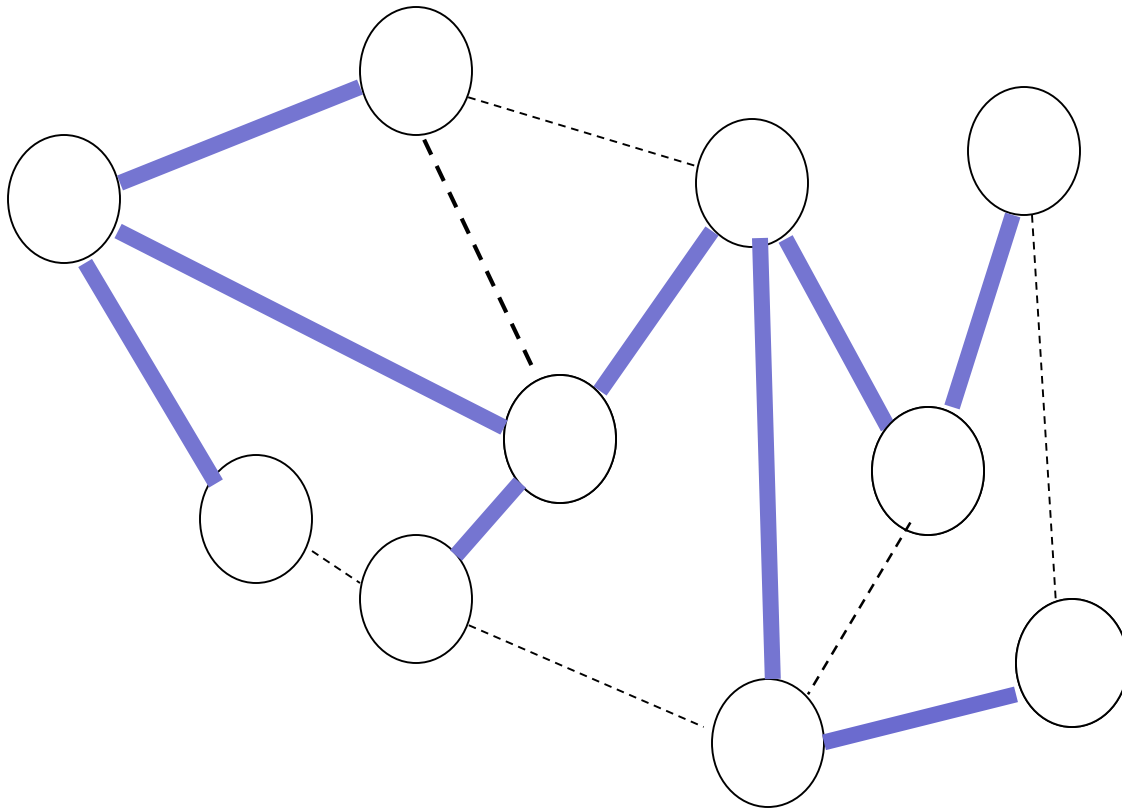




Is this a spanning tree?

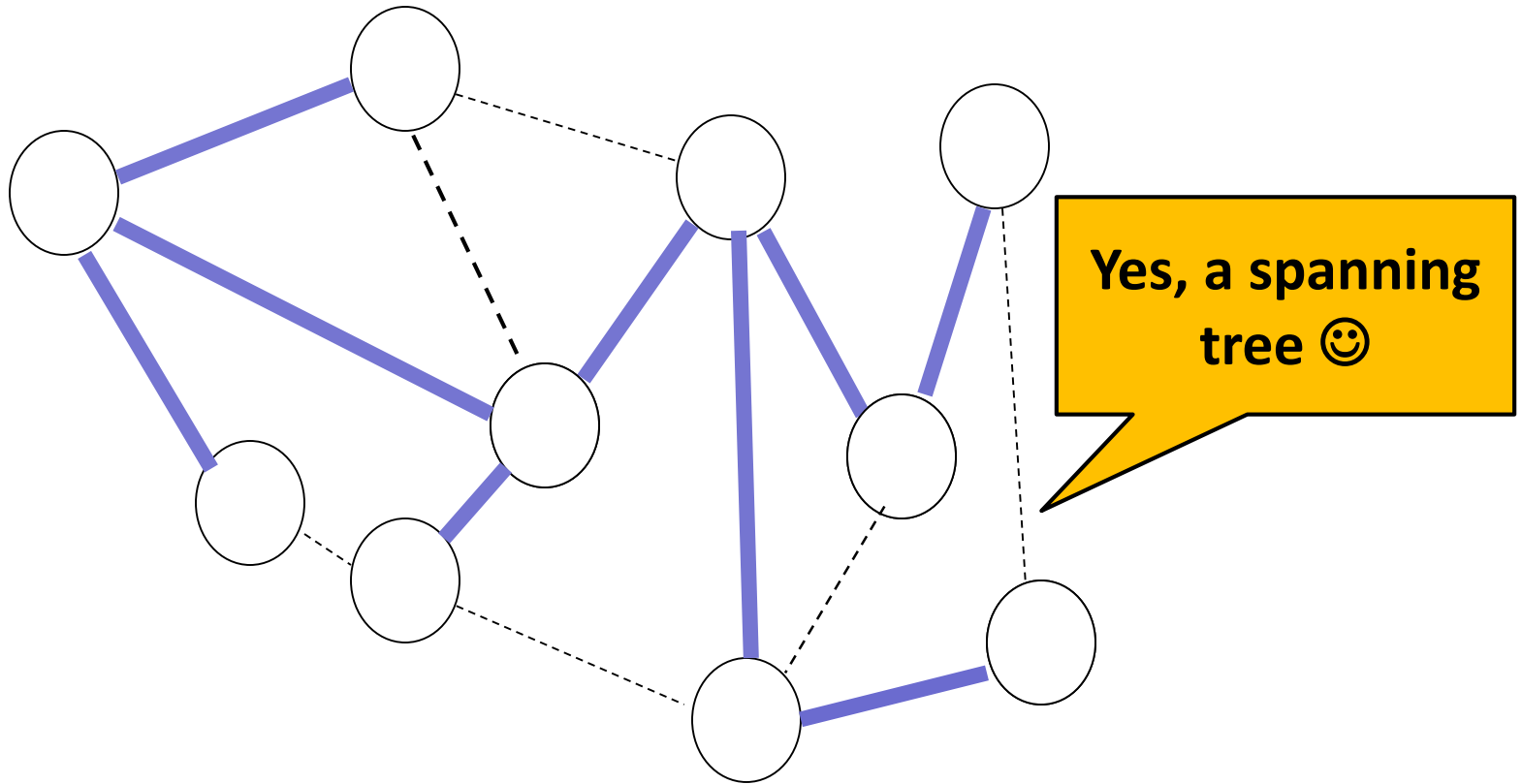


Spanning Trees



Is this a spanning tree?

Spanning Trees



Applications

Efficient Broadcast and Aggregation



- ❑ Used in Ethernet network to avoid Layer-2 forwarding loops: Spanning Tree Protocol
- ❑ In ad-hoc networks: efficient backbone: broadcast and aggregate data using a linear number of transmissions

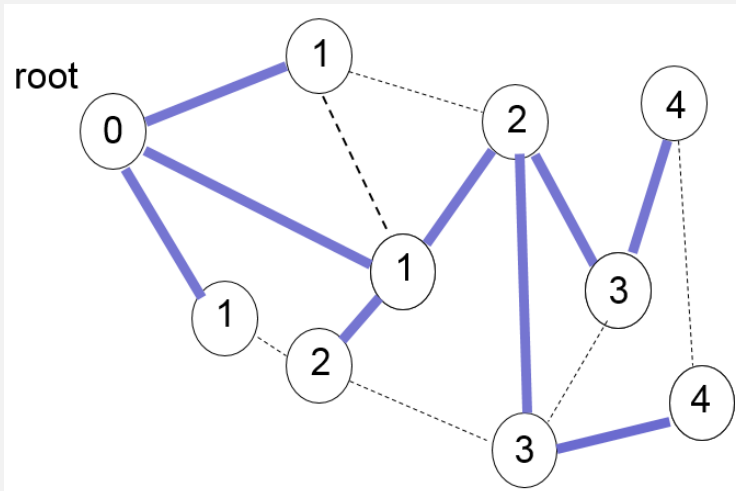
Algebraic Gossip



- ❑ Disseminating multiple messages in large communication network
- ❑ Random communication pattern with neighbors
- ❑ Gossip: based on local interactions

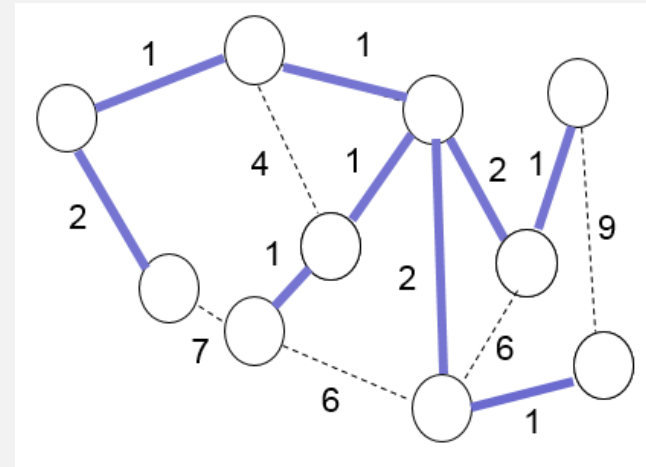
Types of Spanning Trees

BFS



- ❑ a.k.a. shortest distance spanning tree (may also be weighted)
- ❑ Spanning tree includes shortest paths from **a given root** to all nodes
- ❑ Interesting e.g. for fast broadcast

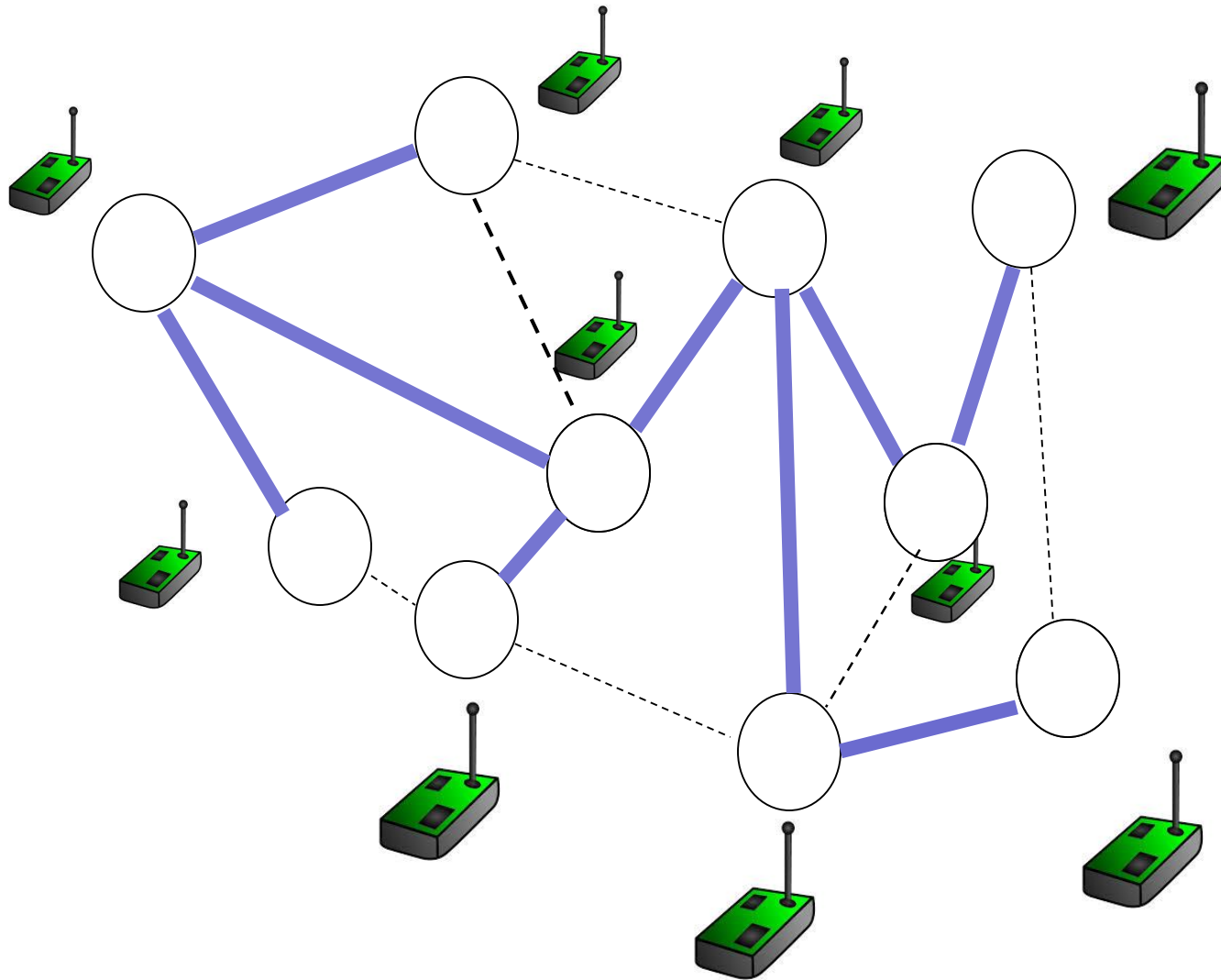
MST



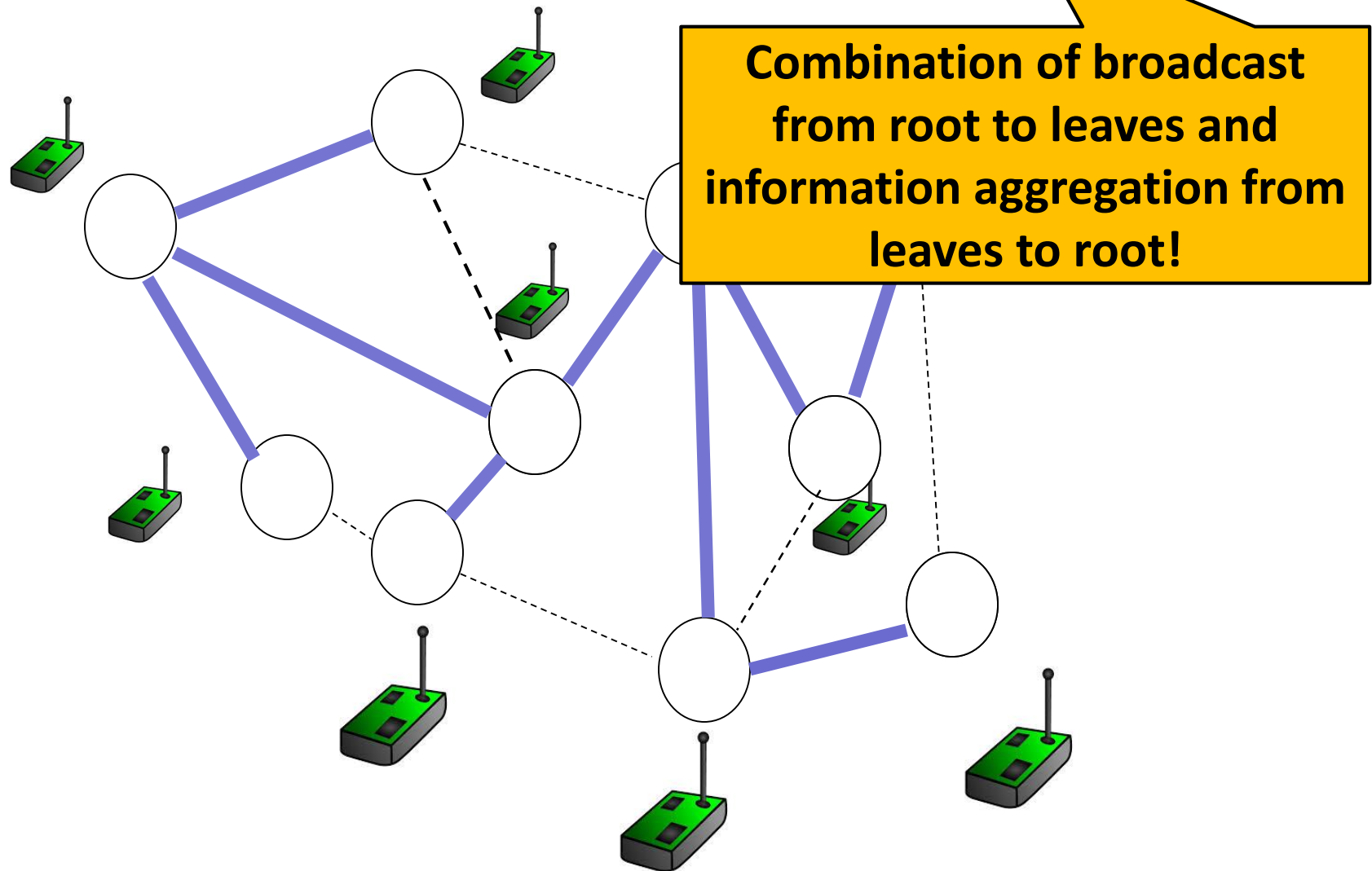
- ❑ Minimum link cost spanning tree
- ❑ Interesting, e.g., for least routing cost or energy cost

How to compute a spanning tree in the LOCAL model?

A Fundamental Communication Primitive: ConvergeCast

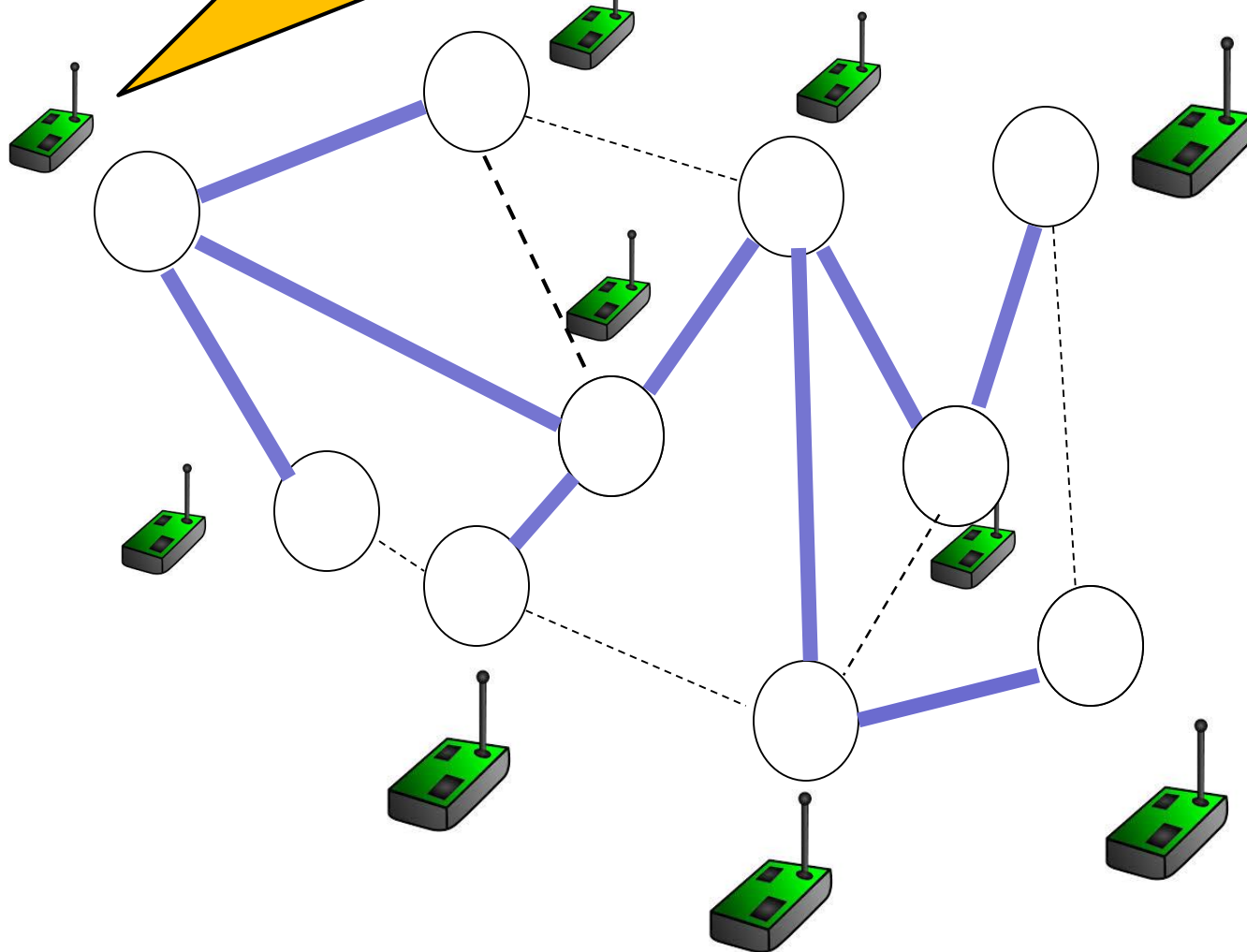


A Fundamental Communication Primitive: ConvergeCast



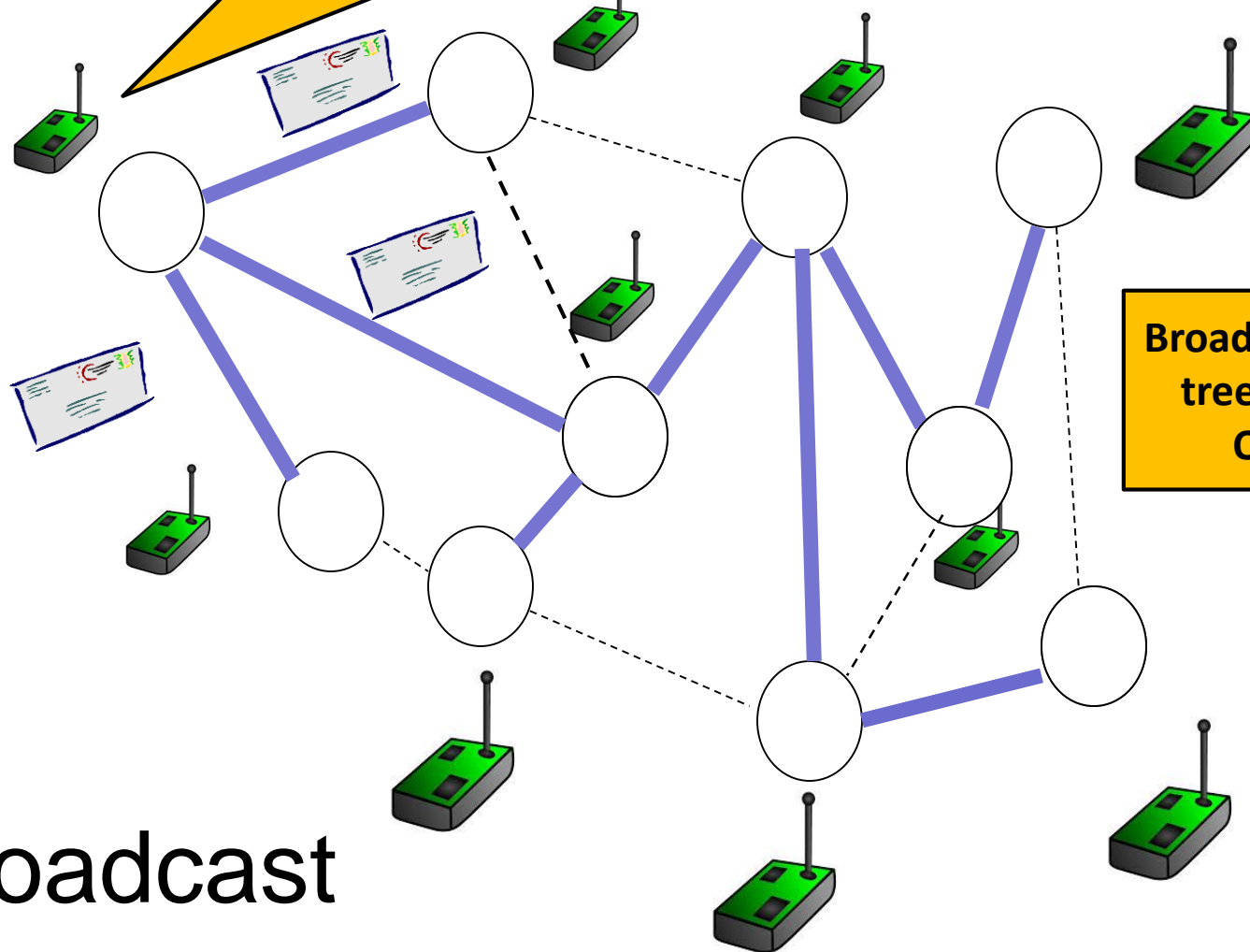
A Fundamental Communication Primitive: ConvergeCast

„Want to know average temperature!“



A Fundamental Communication Primitive: ConvergeCast

„Want to know average temperature!“

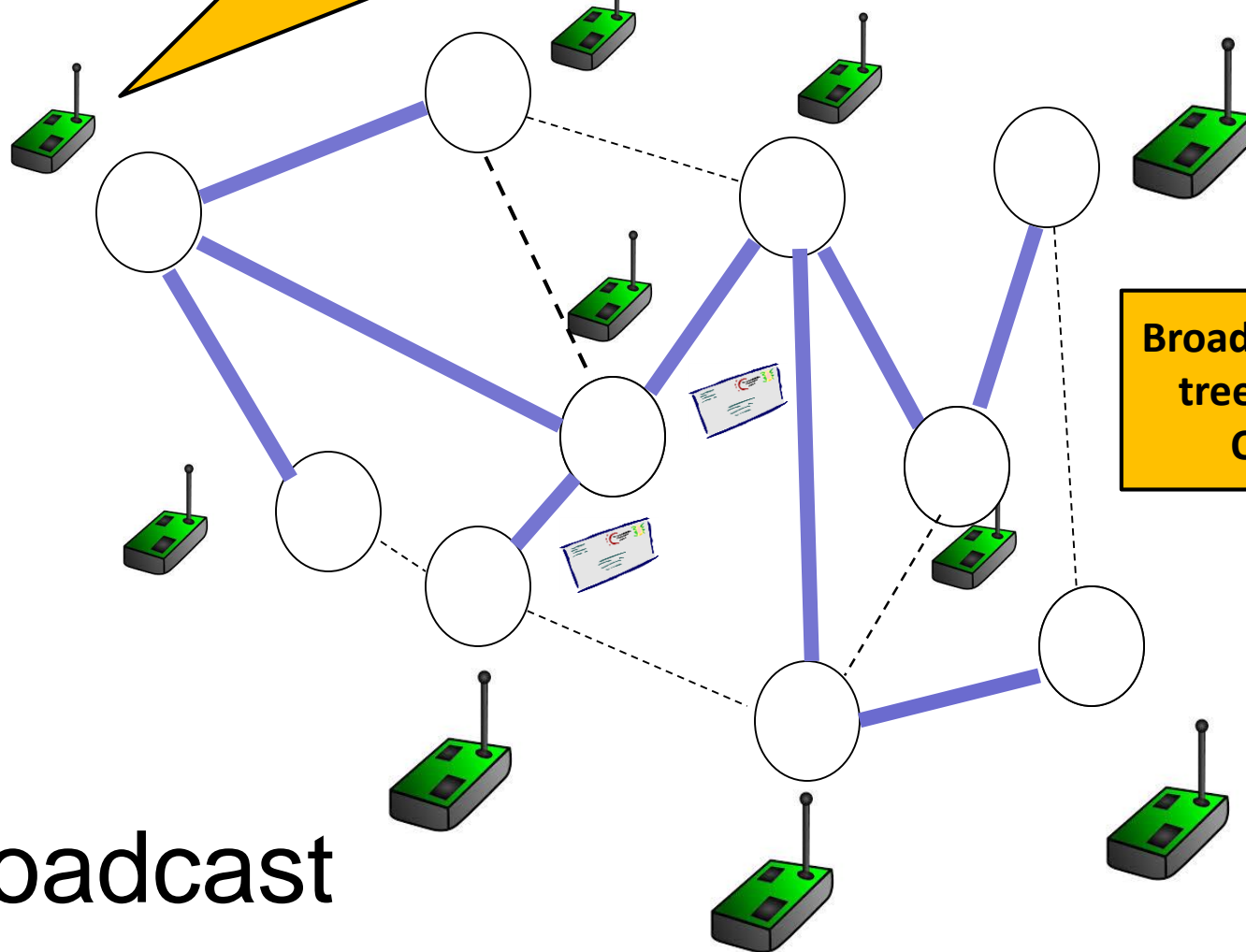


Broadcast along spanning tree: $O(n)$ rather than $O(m)$ messages!

**Broadcast
Round 1**

A Fundamental Communication Primitive: ConvergeCast

„Want to know average temperature!“

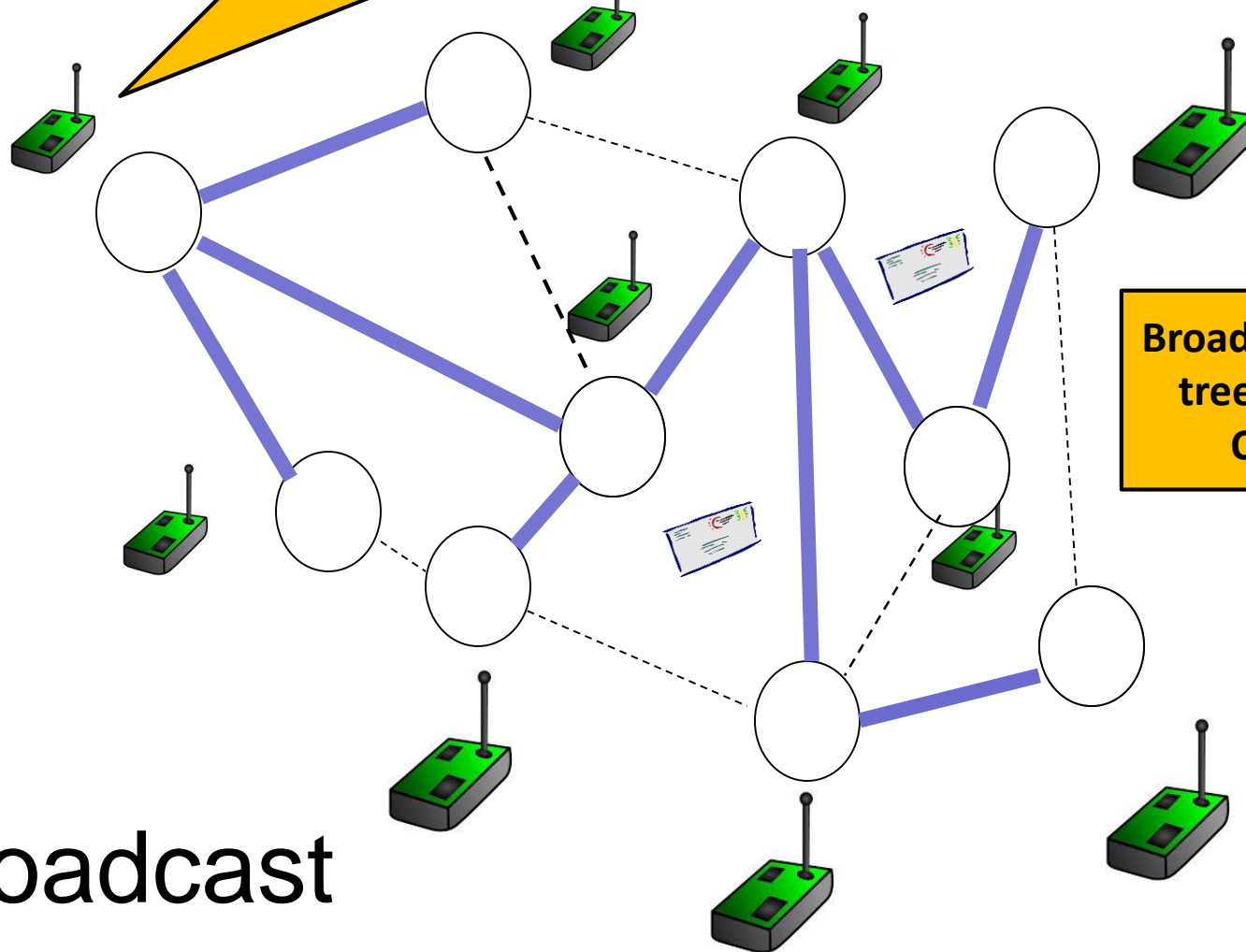


Broadcast along spanning tree: $O(n)$ rather than $O(m)$ messages!

Broadcast
Round 2

A Fundamental Communication Primitive: ConvergeCast

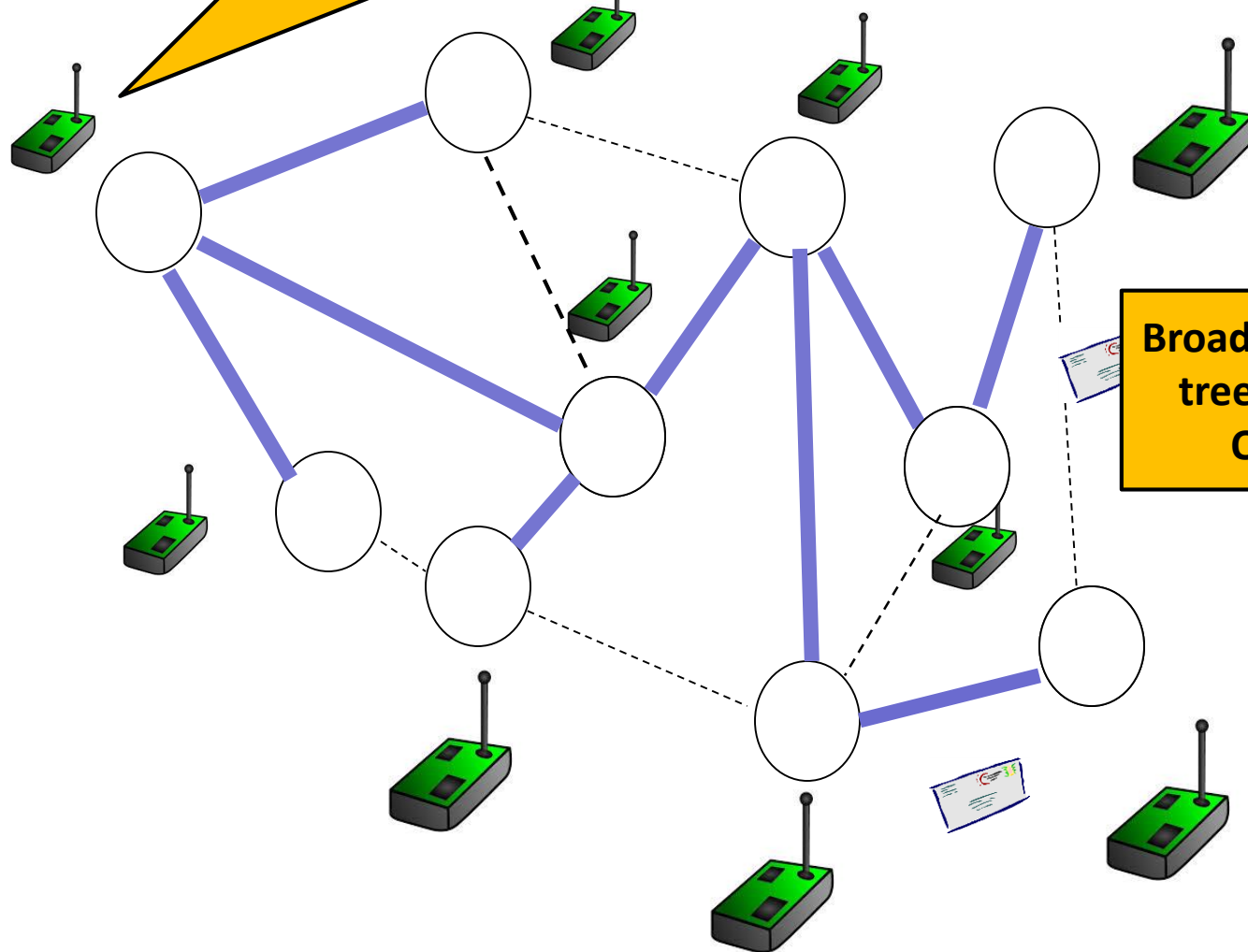
„Want to know average temperature!“



Broadcast
Round 3

A Fundamental Communication Primitive: ConvergeCast

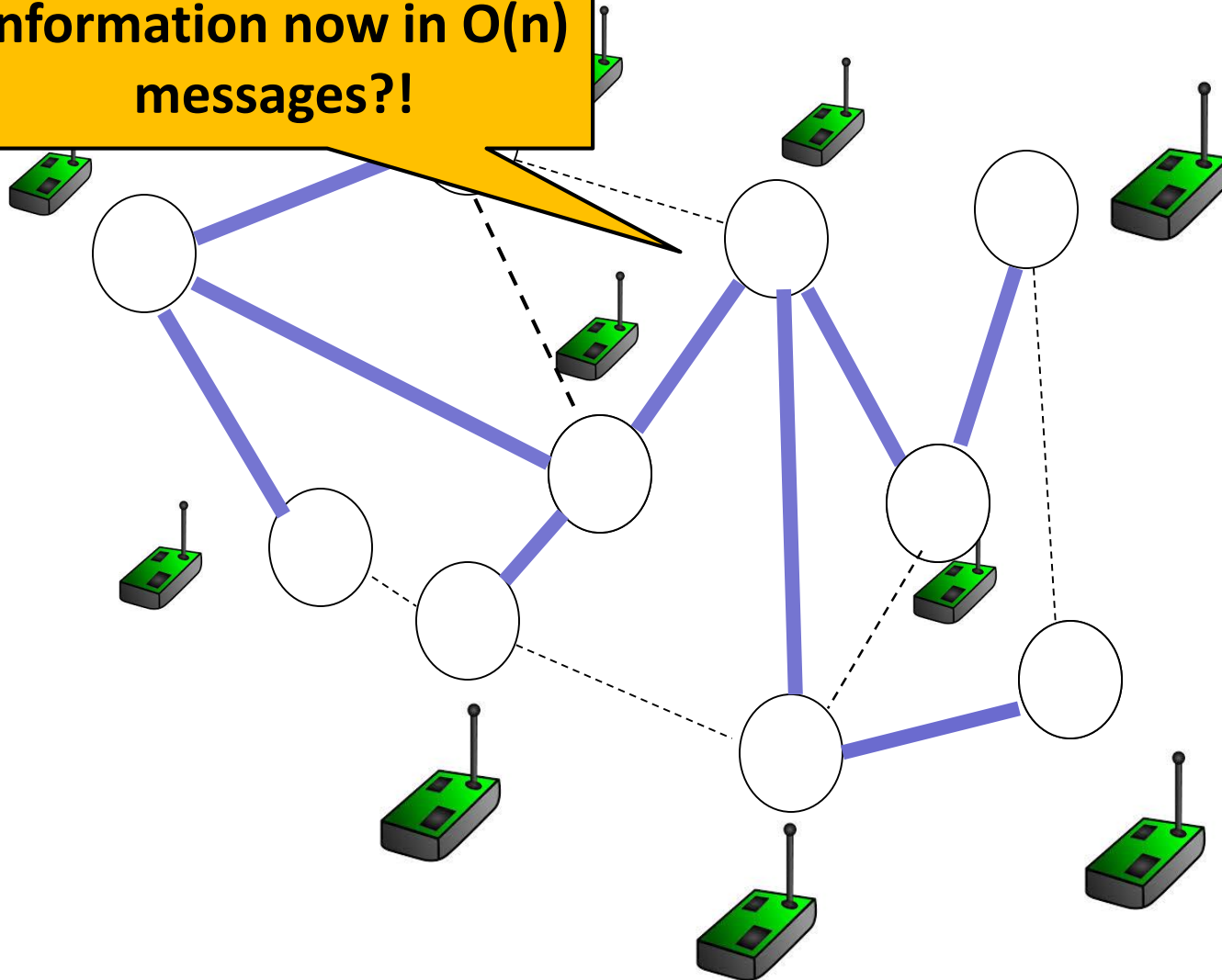
„Want to know average temperature!“



$O(n)$ messages for broadcast!

A Fundamental Communication Primitive: ConvergeCast

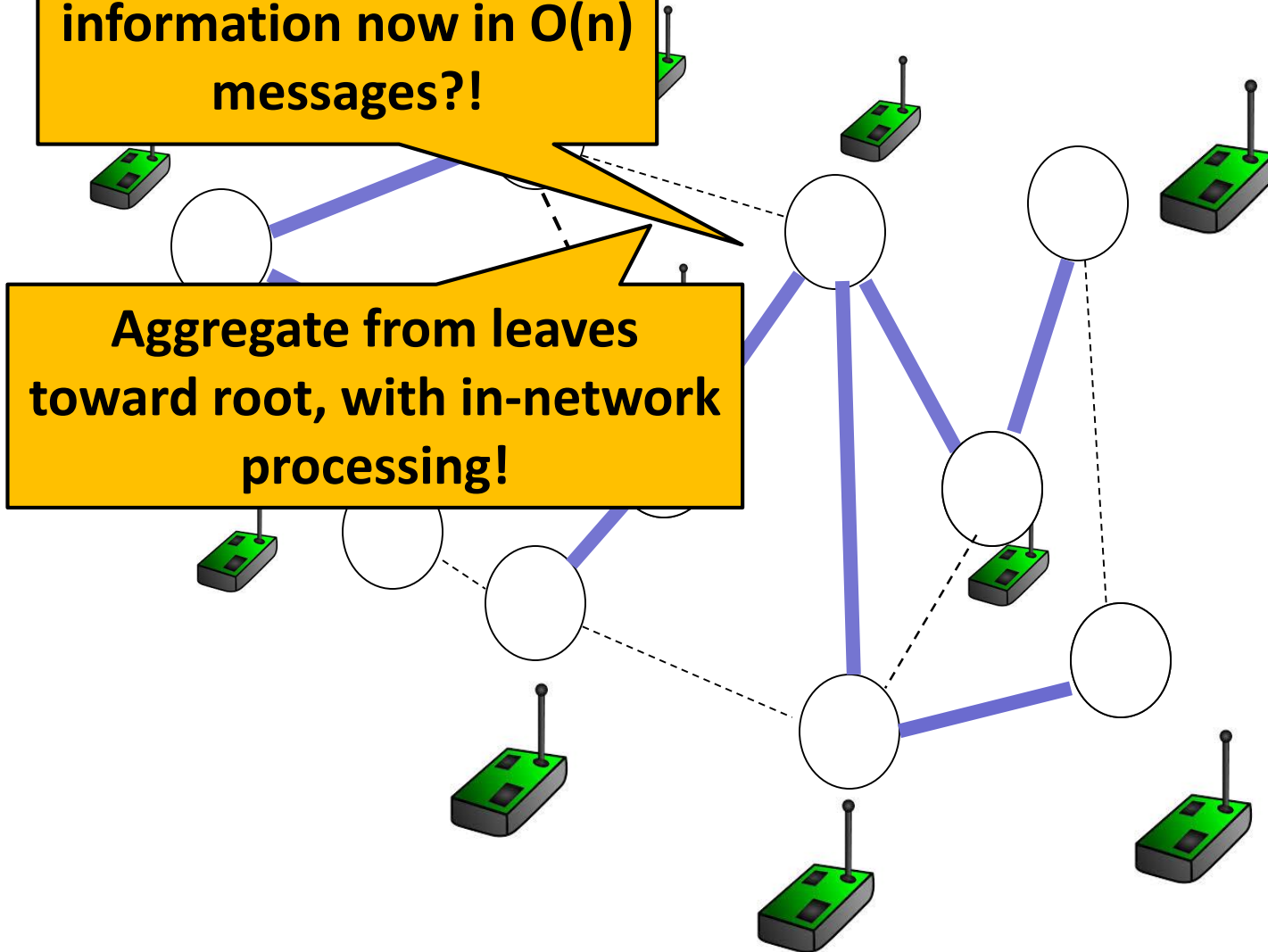
**But how to aggregate
information now in $O(n)$
messages?!**



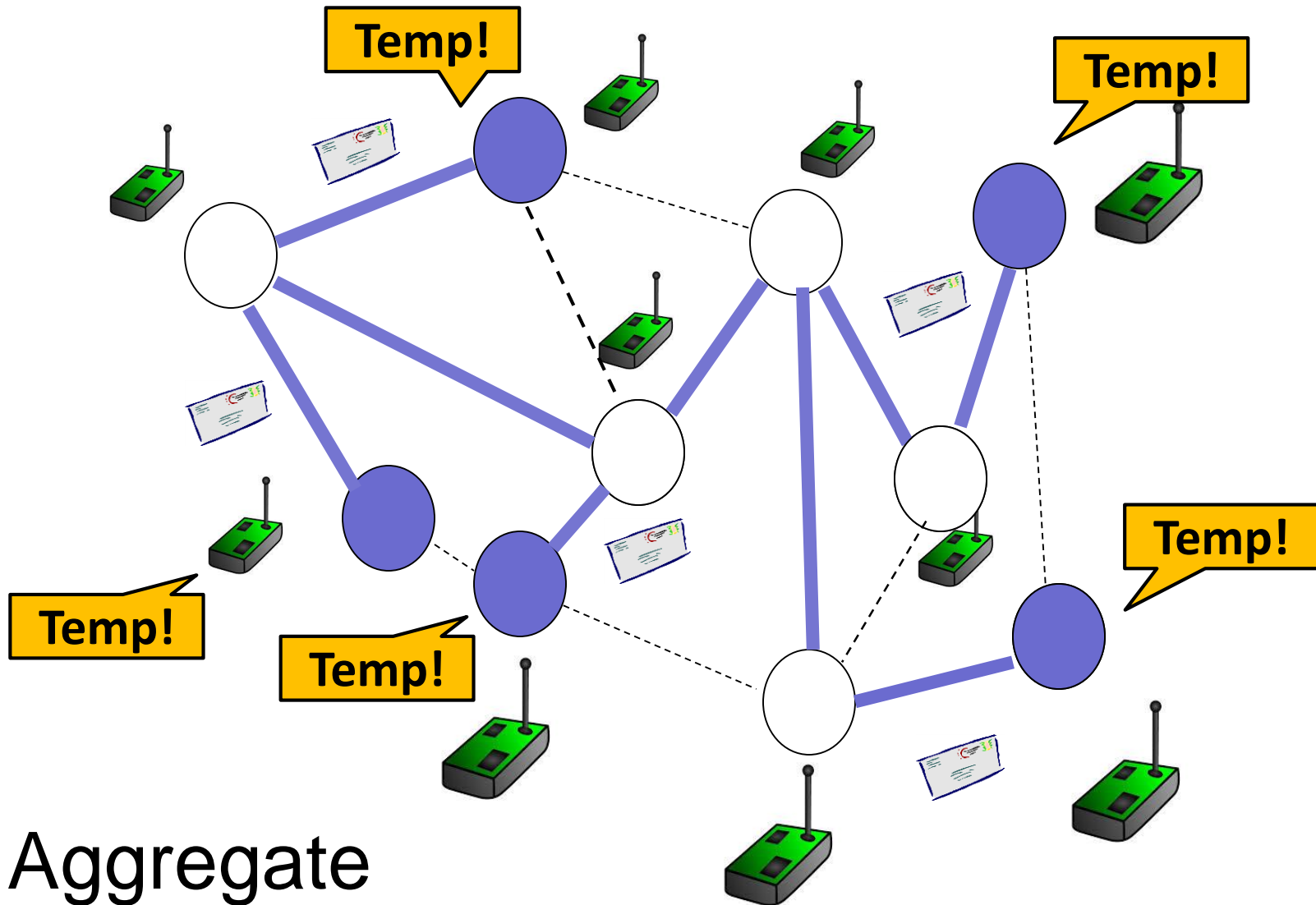
A Fundamental Communication Primitive: ConvergeCast

**But how to aggregate
information now in $O(n)$
messages?!**

**Aggregate from leaves
toward root, with in-network
processing!**

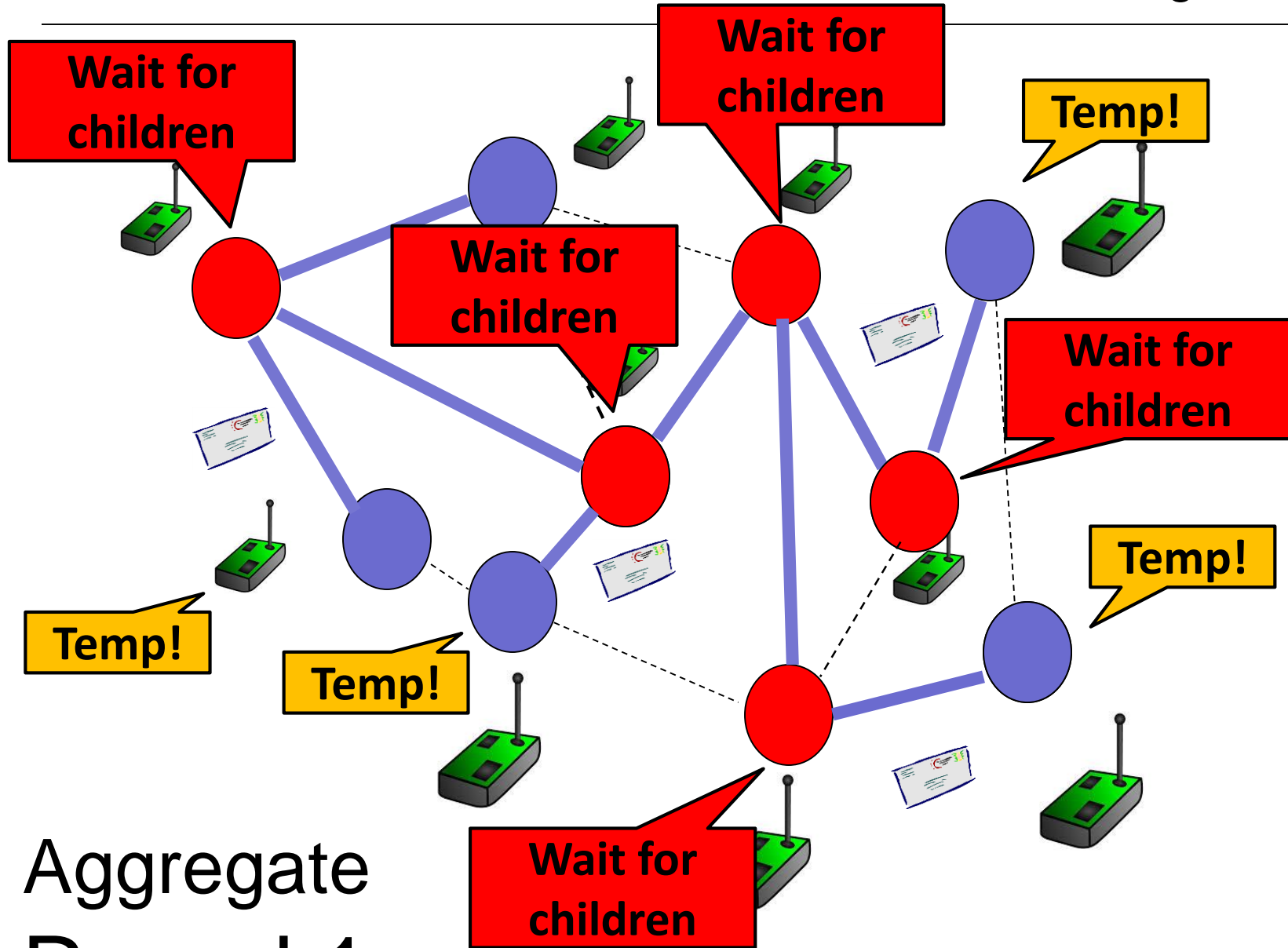


A Fundamental Communication Primitive: ConvergeCast



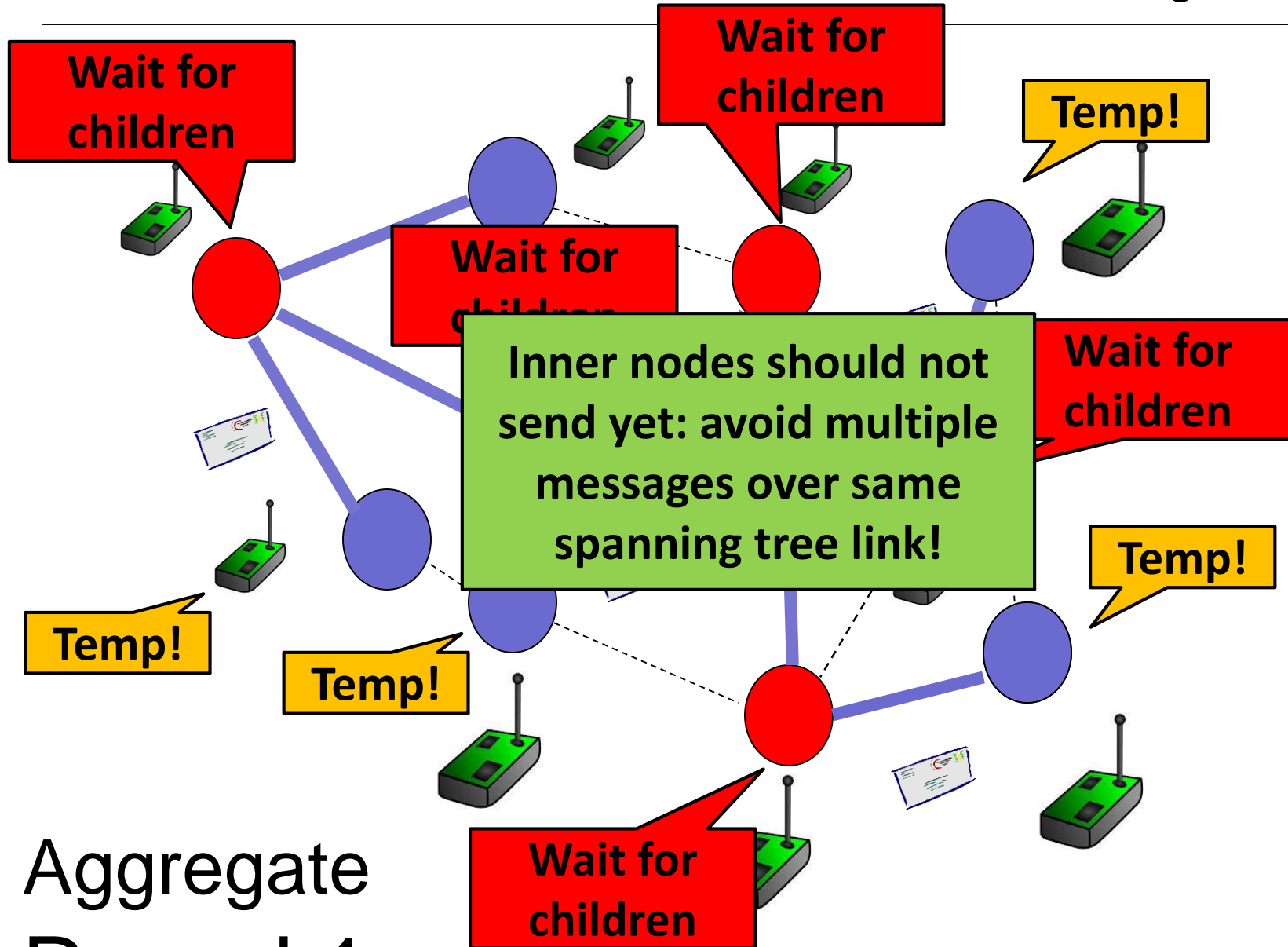
Aggregate
Round 1

A Fundamental Communication Primitive: ConvergeCast



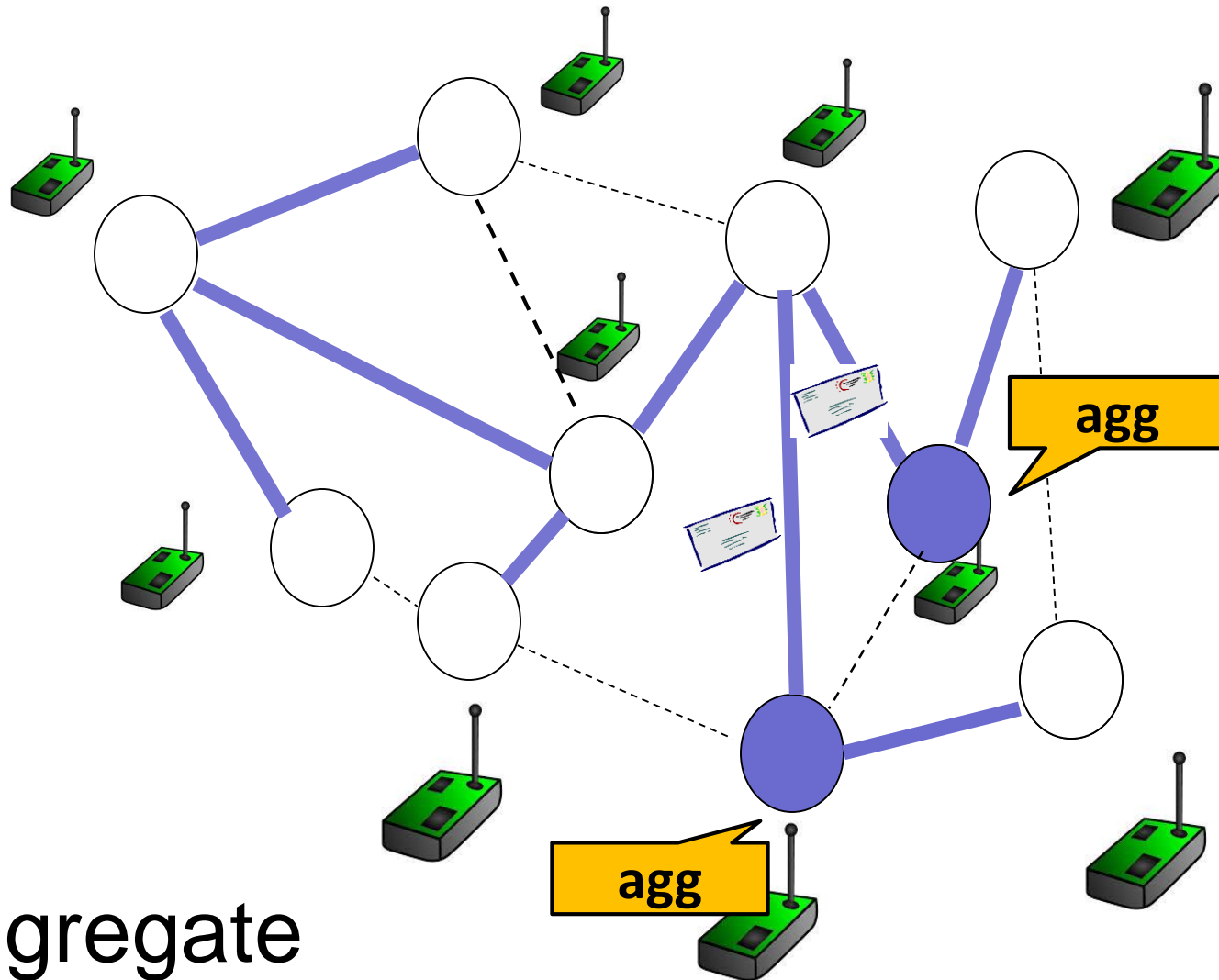
Aggregate
Round 1

A Fundamental Communication Primitive: ConvergeCast



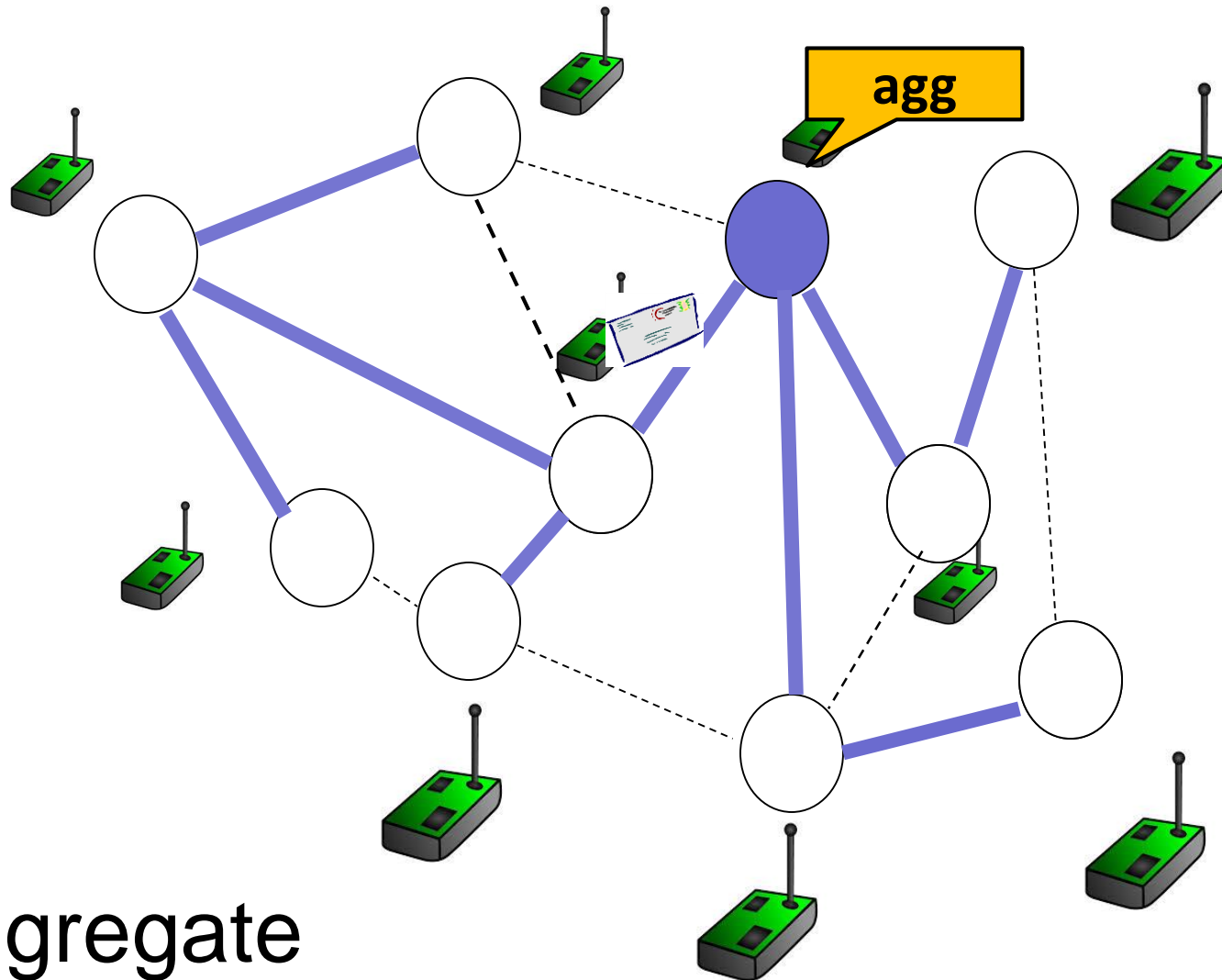
Aggregate
Round 1

A Fundamental Communication Primitive: ConvergeCast



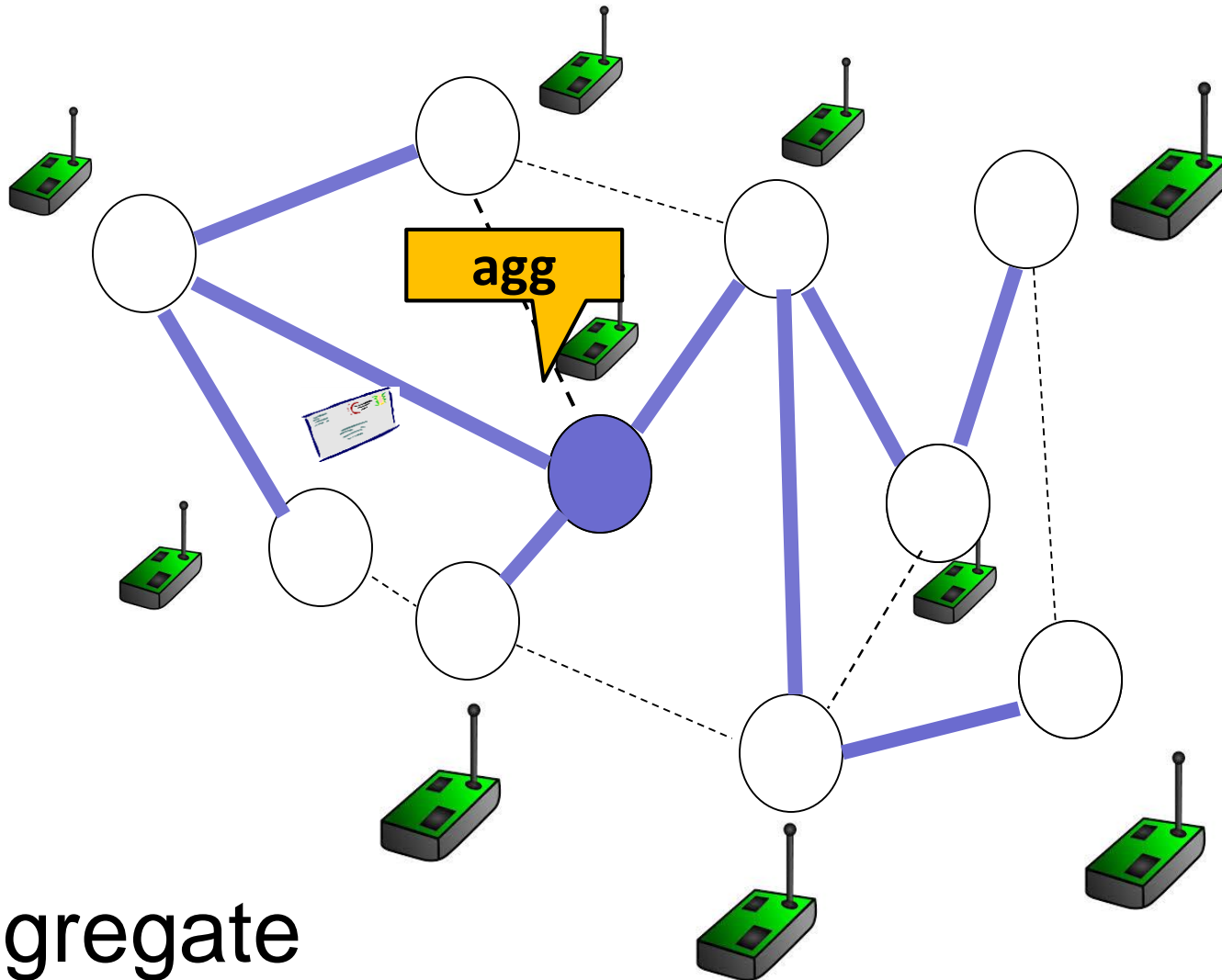
Aggregate
Round 2

A Fundamental Communication Primitive: ConvergeCast



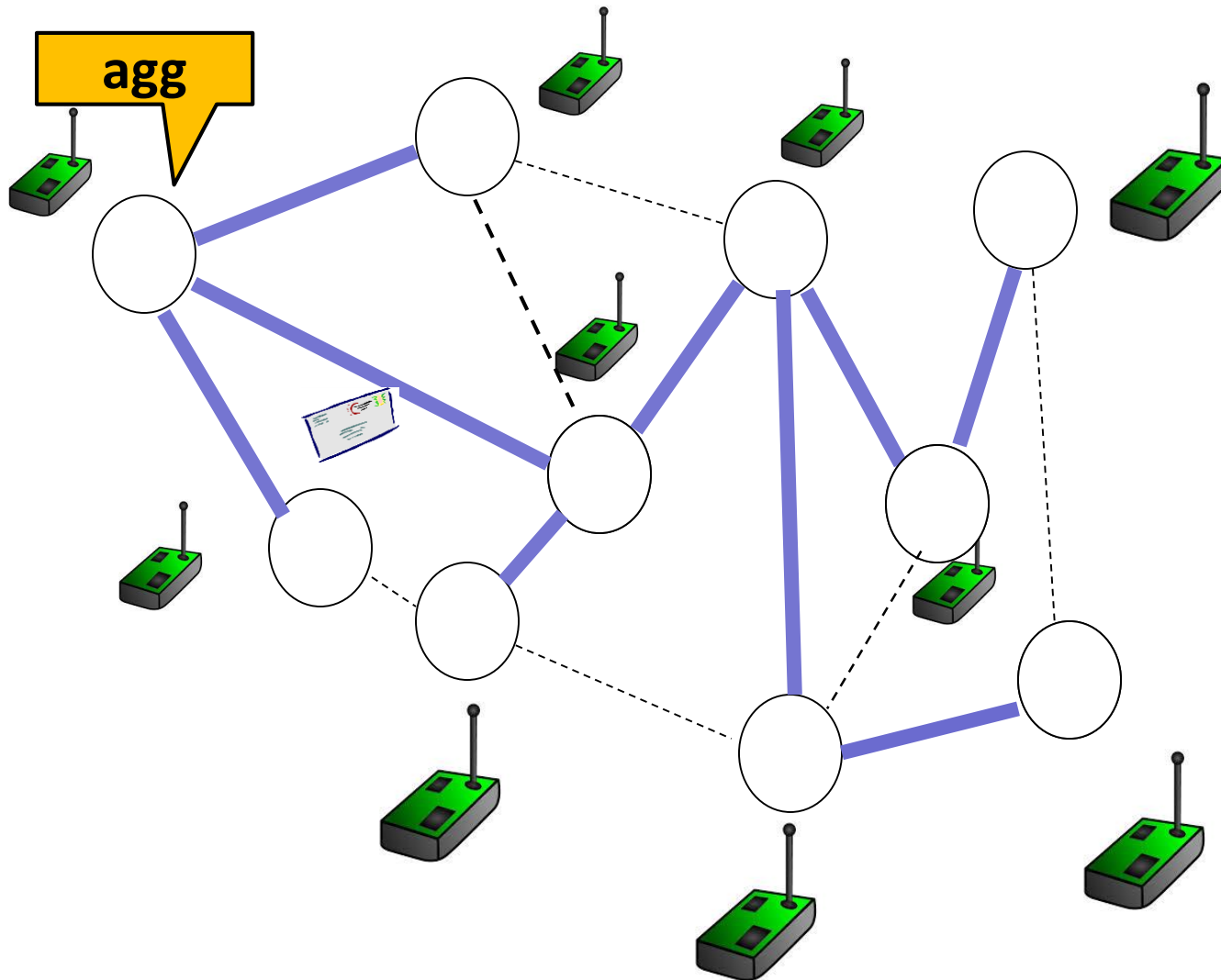
Aggregate
Round 3

A Fundamental Communication Primitive: ConvergeCast



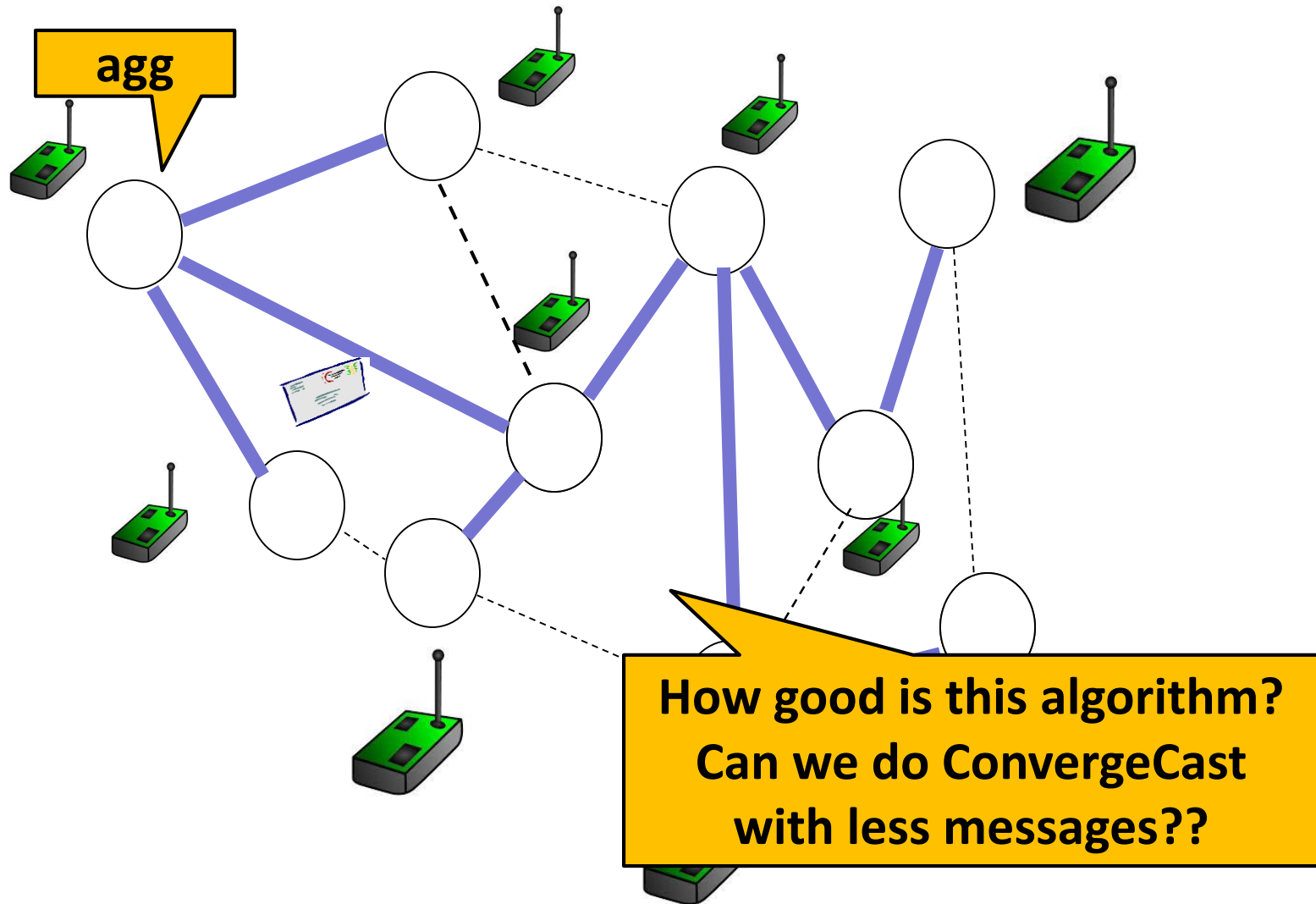
Aggregate
Round 4

A Fundamental Communication Primitive: ConvergeCast



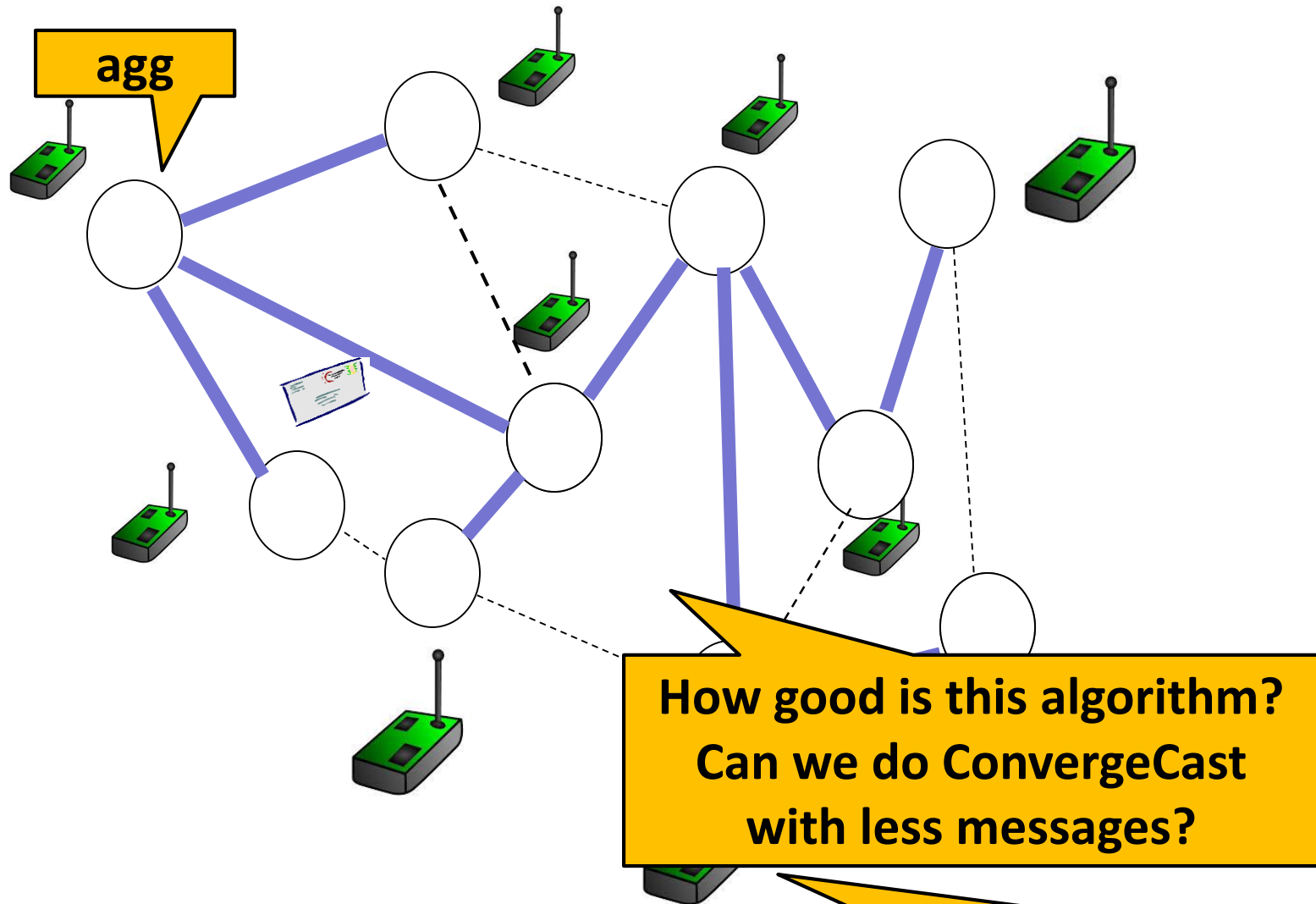
Finished!

A Fundamental Communication Primitive: ConvergeCast



Finished!

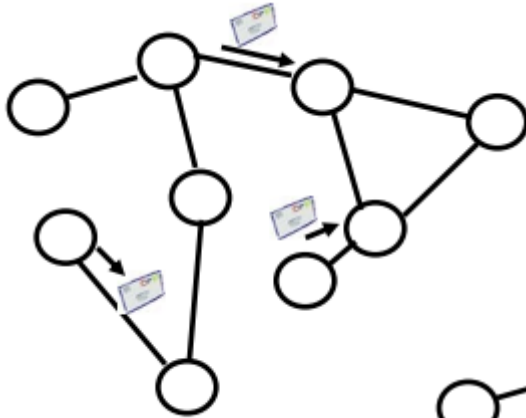
A Fundamental Communication Primitive: ConvergeCast



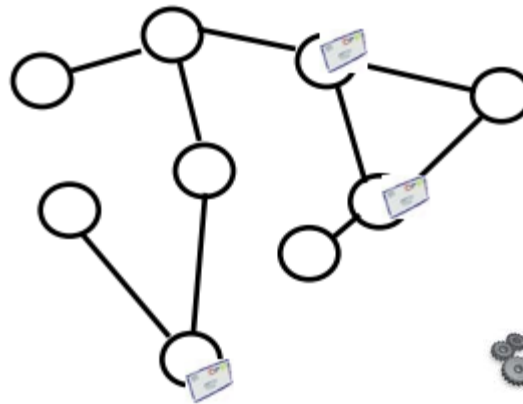
Finished!

Recall: Local Algorithm

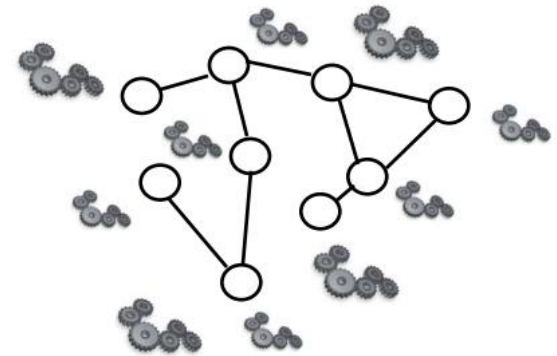
Send...



... receive...



... compute.



Let us introduce some definitions

Distance, Radius, Diameter

Distance between two nodes is # hops.

Radius of a node is max distance to any other node.

Radius of graph is *minimum* radius of any node.

Diameter of graph is *max* distance between any two nodes.

Relationship
between R and D?

Lo
ons

In general: $R \leq D \leq 2R$.
max distance cannot be
longer than going through
this node.

Distance, Radius, Diameter

Distance between two nodes is # hops.

Radius of a node is max distance to any other node.

Radius of graph is *minimum* radius of any node.

Diameter of graph is *max* distance between any two nodes.

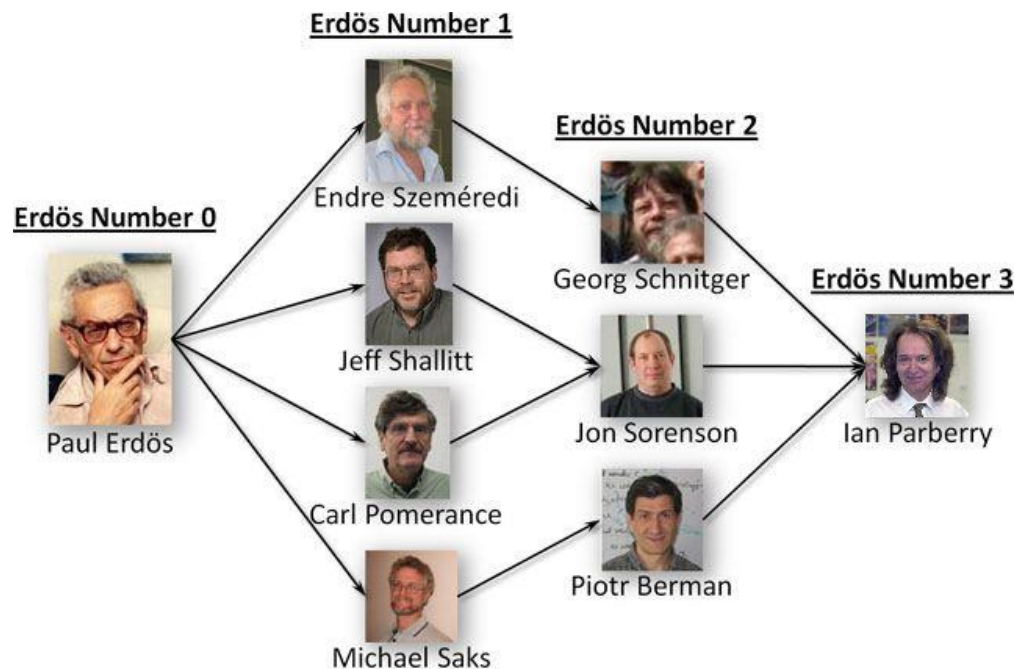
In the complete graph, for
all nodes: $R=D$.

On the line, for border
nodes: $2R=D$.

Relevance: Radius

People enjoy identifying nodes of **small radius** in a graph!

E.g., Erdős number, Kevin Bacon number, joint Erdős-Bacon number, etc.

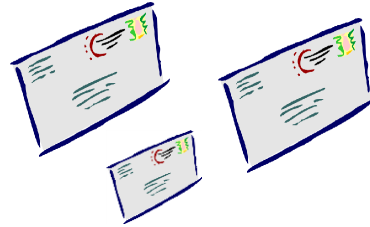


Kevin Bacon Number	# of People
0	1
1	3211
2	376831
3	1359872
4	347806
5	29593
6	3496
7	515
8	102
9	8
10	1

Total number of linkable actors: 2121436
Weighted total of linkable actors: 6401157
Average Kevin Bacon number: 3.017

Lower Bounds for Broadcast

Message complexity?

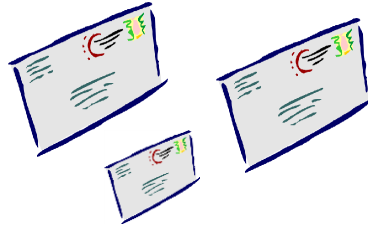


Time complexity?



Lower Bounds for Broadcast

Message complexity?



Each node must receive message: so at least $n-1$.

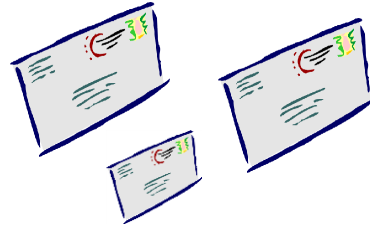
Time complexity?



The **radius of the source**: each node needs to receive message.

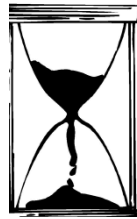
Lower Bounds for Broadcast

Message complexity?



Each node must receive message: so at least $n-1$.

Time complexity?

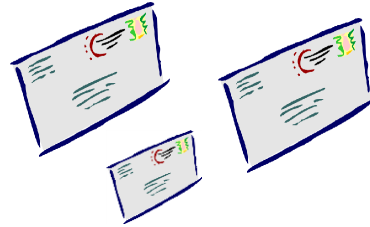


The **radius of the source**: each node needs to receive message.

How to achieve this?

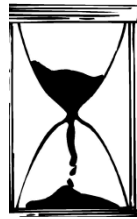
Lower Bounds for Broadcast

Message complexity?



Each node must receive message: so at least $n-1$.

Time complexity?

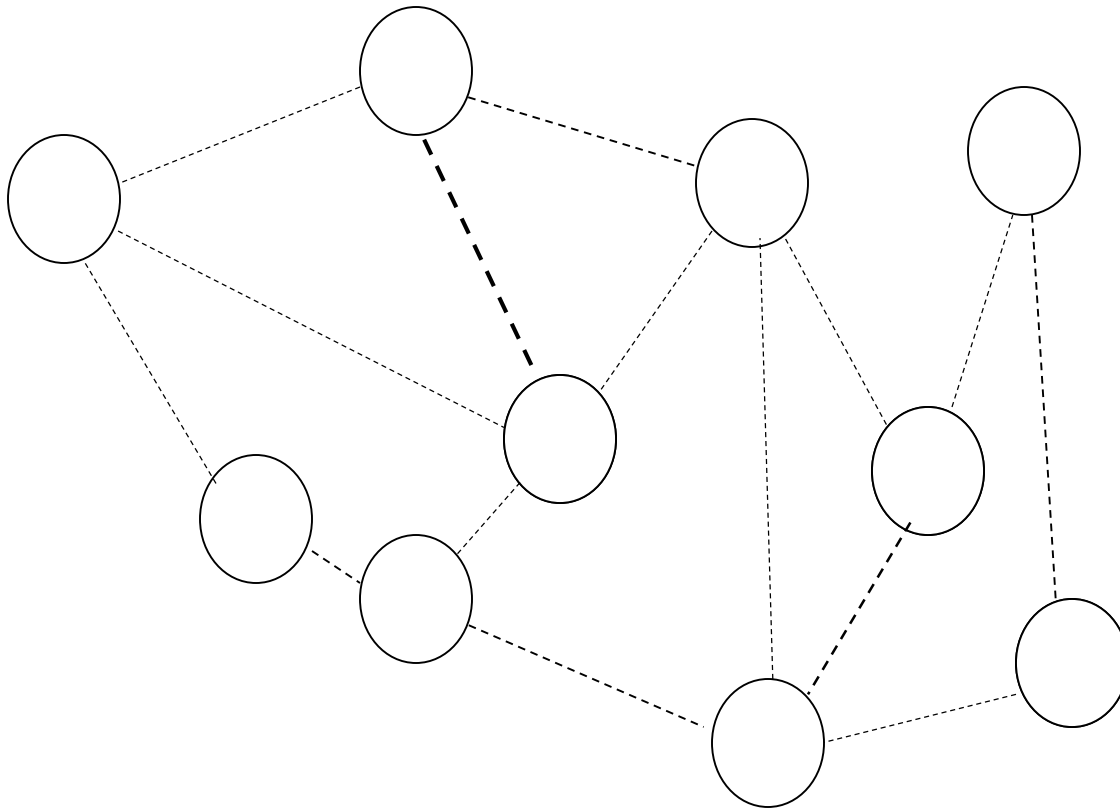


The **radius of the source**: each node needs to receive message.

How to achieve this?

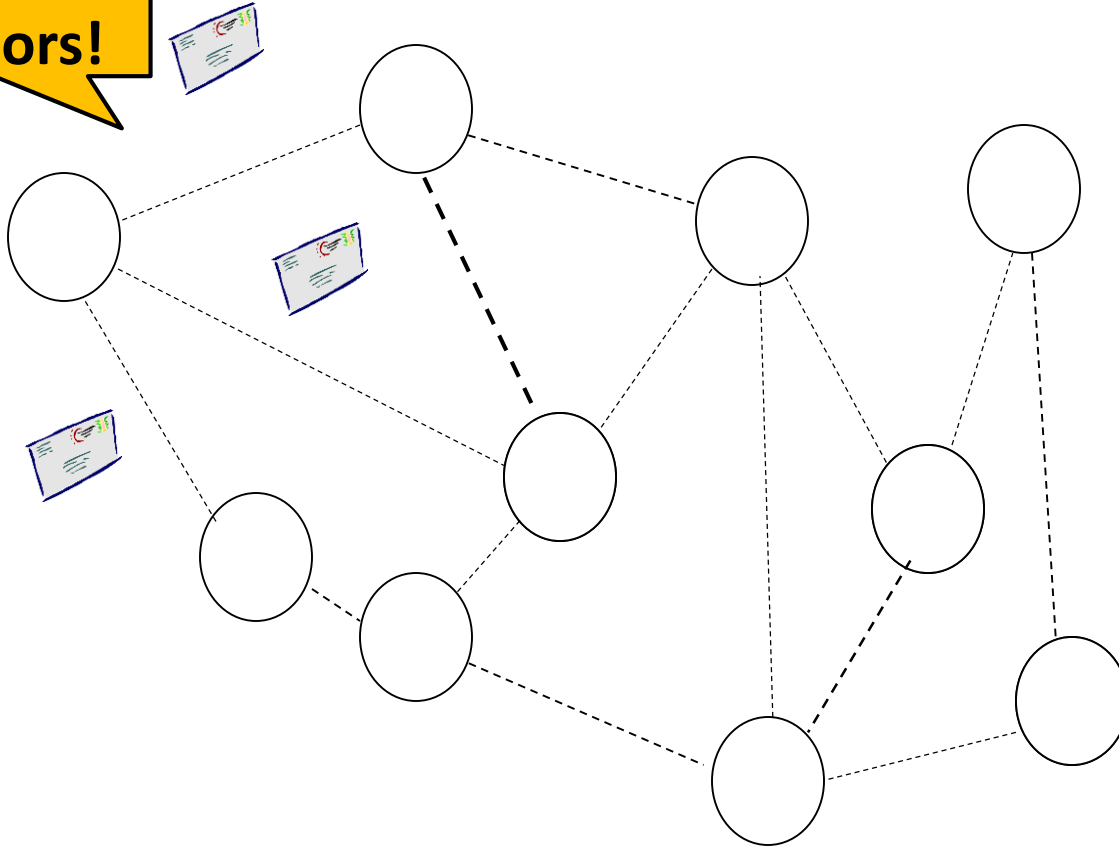
**Compute a breadth first
spanning tree! 😊 But how?**

Idea: Compute BFS using Flooding!



Idea: Compute BFS using Flooding!

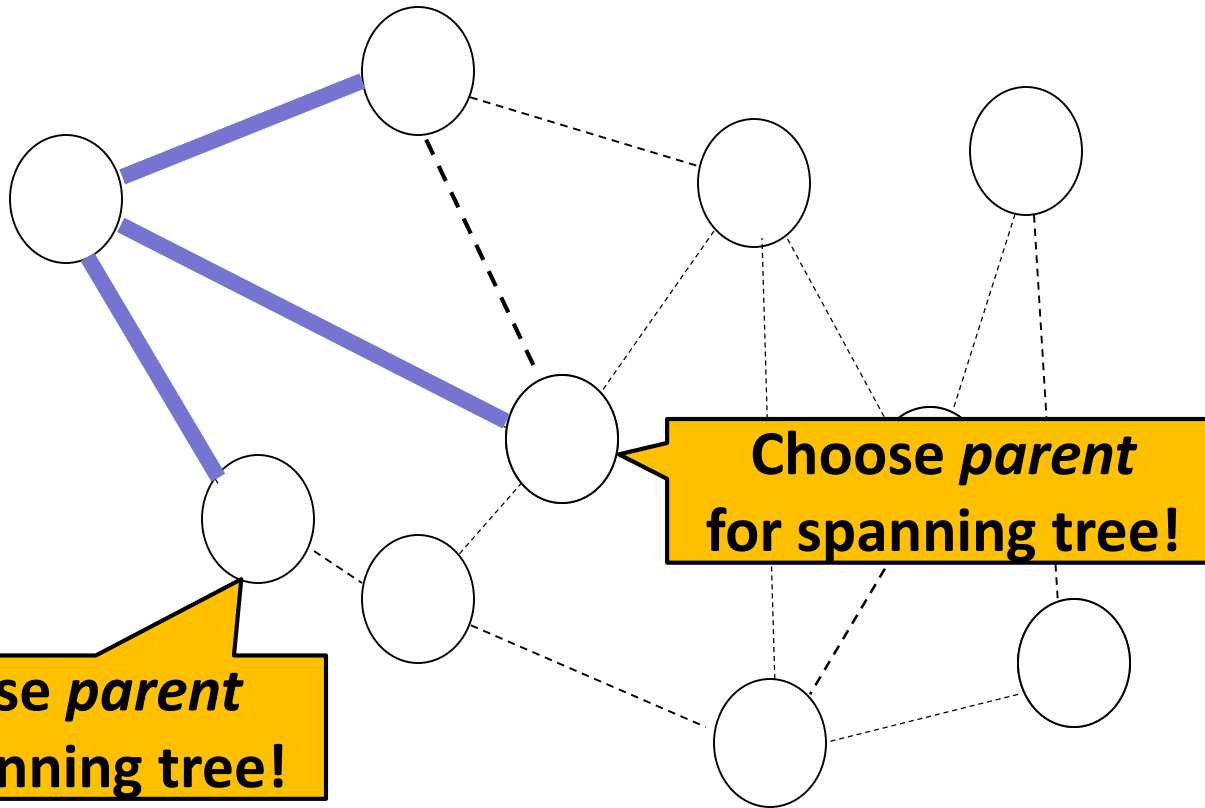
Send to *all* neighbors!



Round 1

Idea: Compute B

**Choose *parent*
for spanning tree!**



**Choose *parent*
for spanning tree!**

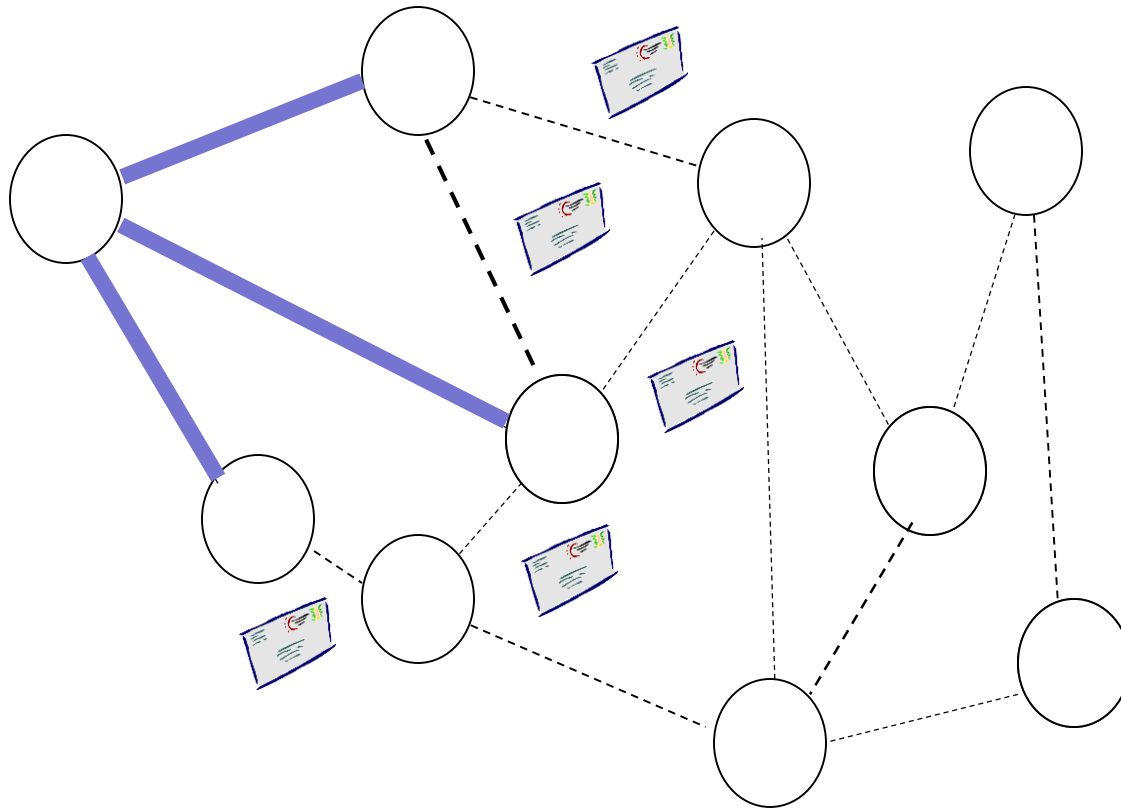
**Choose *parent*
for spanning tree!**

Round 1

**Invariant: parent has shorter
distance to root: loop-free!**

Idea: Compute BFS using Flooding!

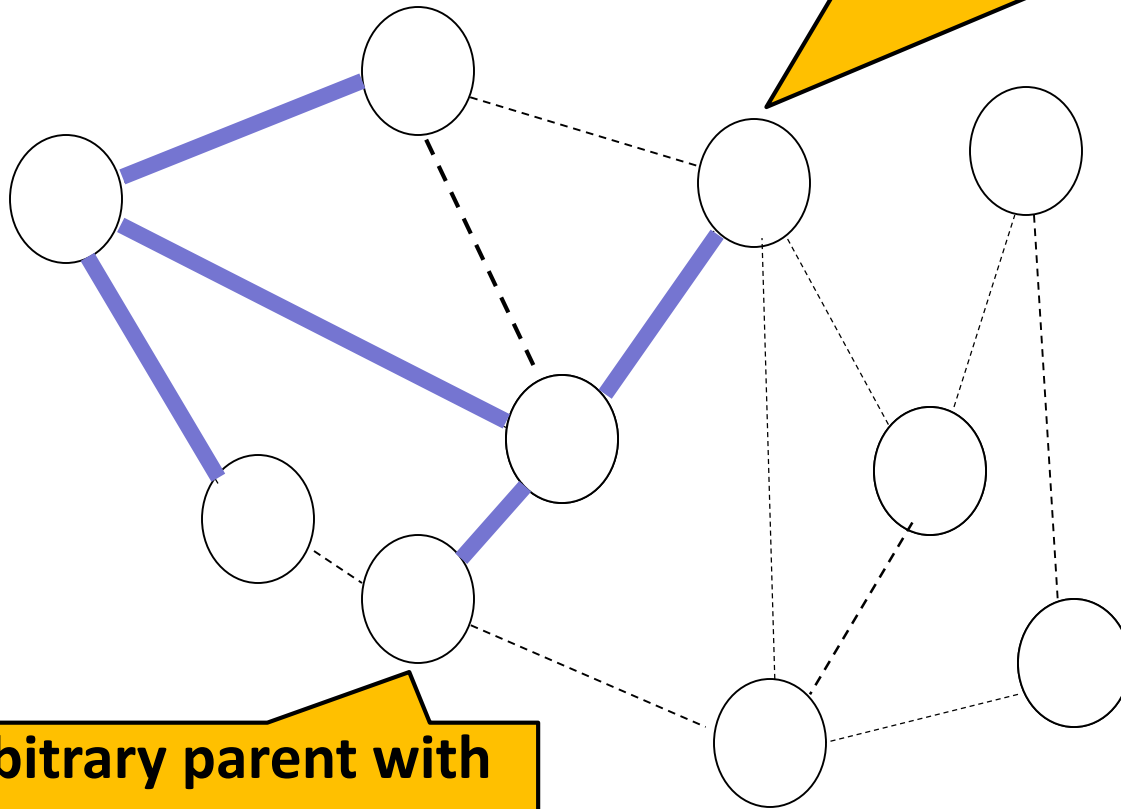
Send to *all* neighbors!



Round 2

Idea: Compute BFS using Flooding

Choose a parent: if multiple arrive at same time, take *arbitrary*!

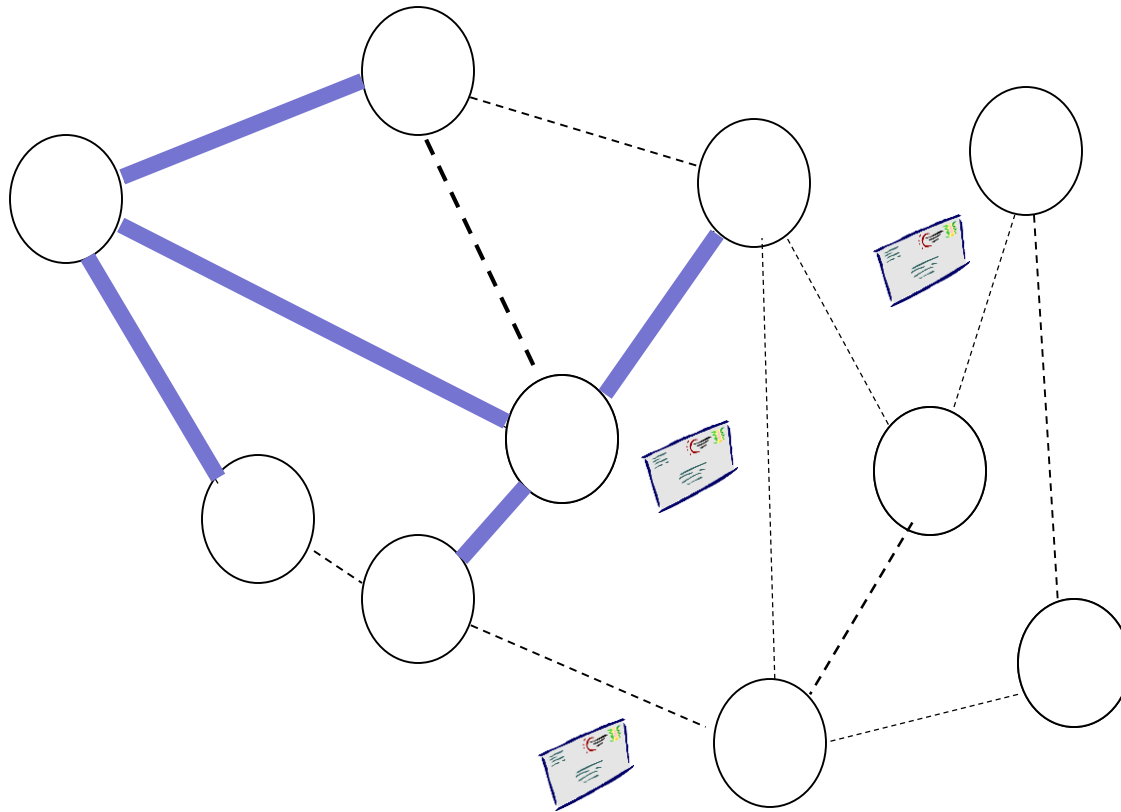


arbitrary parent with shorter distance!

Round 2

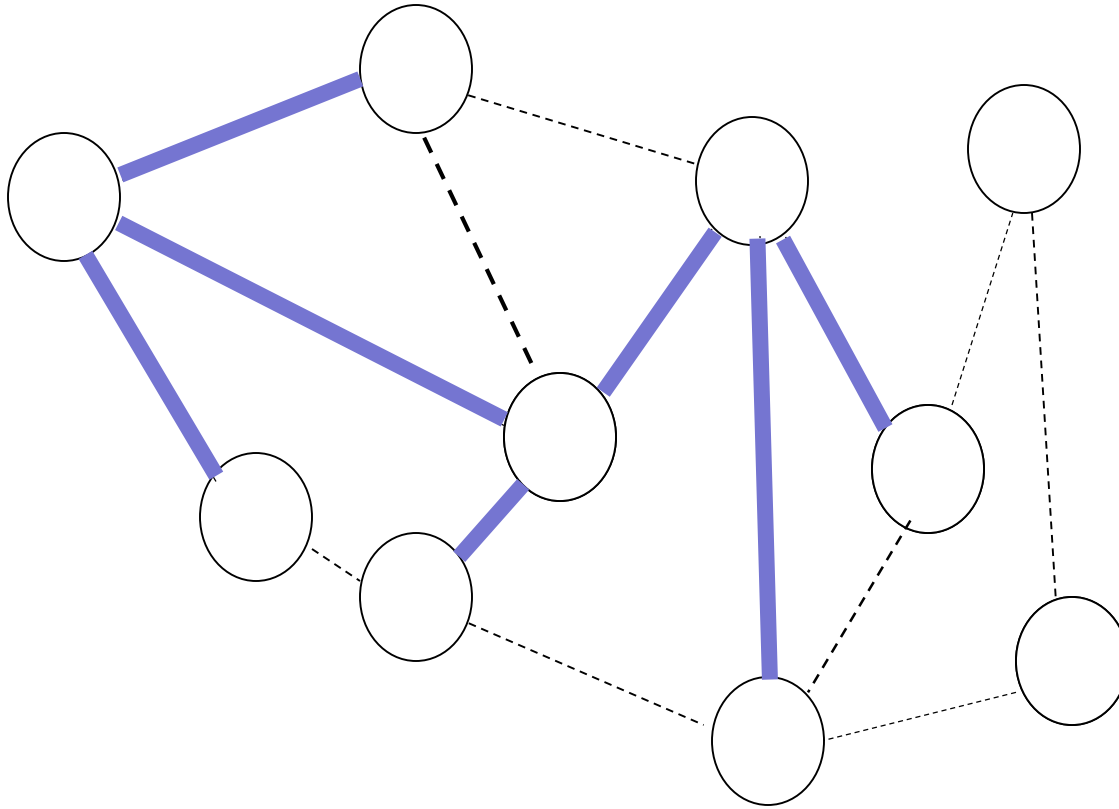
Invariant: parent has shorter distance to root: loop-free!

Idea: Compute BFS using Flooding!



Round 3

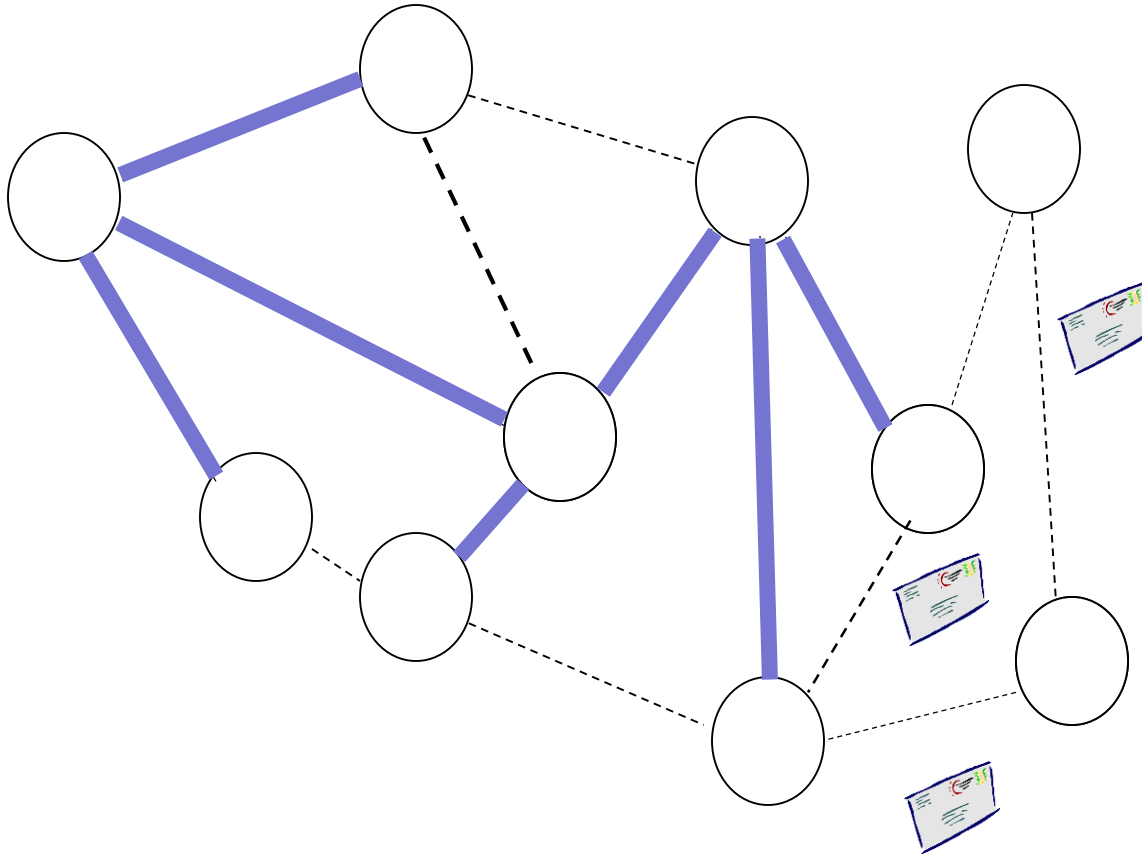
Idea: Compute BFS using Flooding!



Round 3

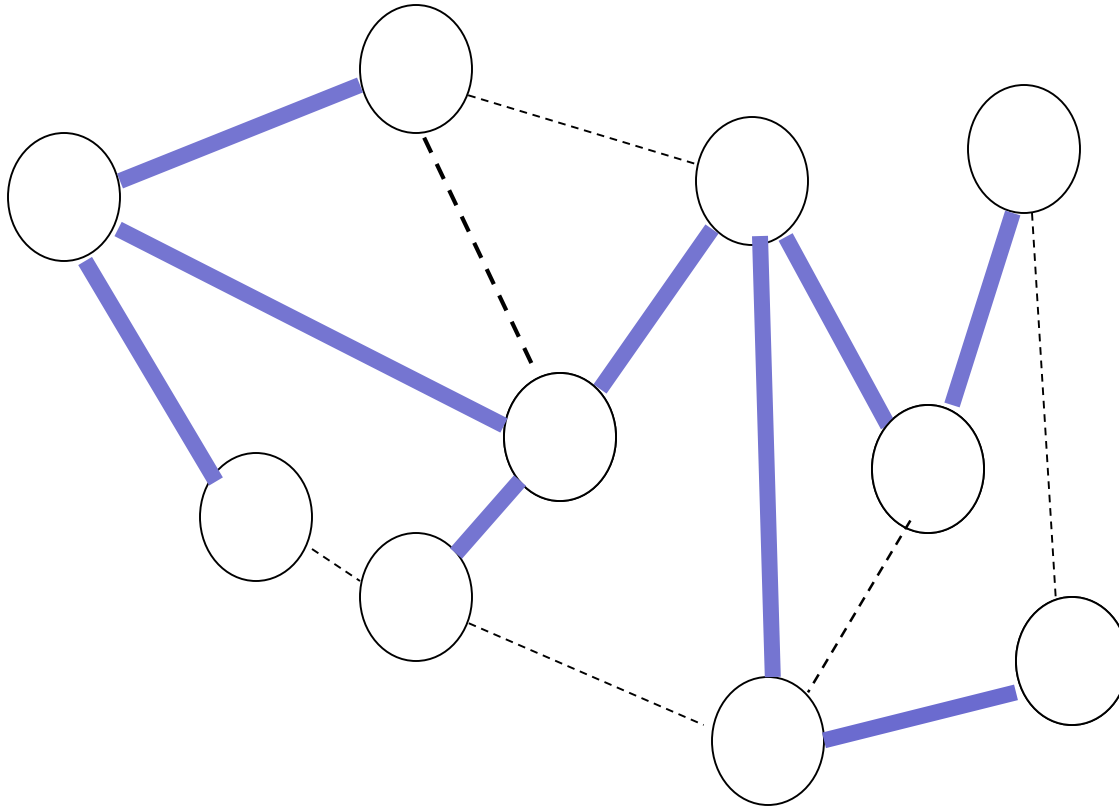
**Invariant: parent has shorter
distance to root: loop-free!**

Idea: Compute BFS using Flooding!



Round 4

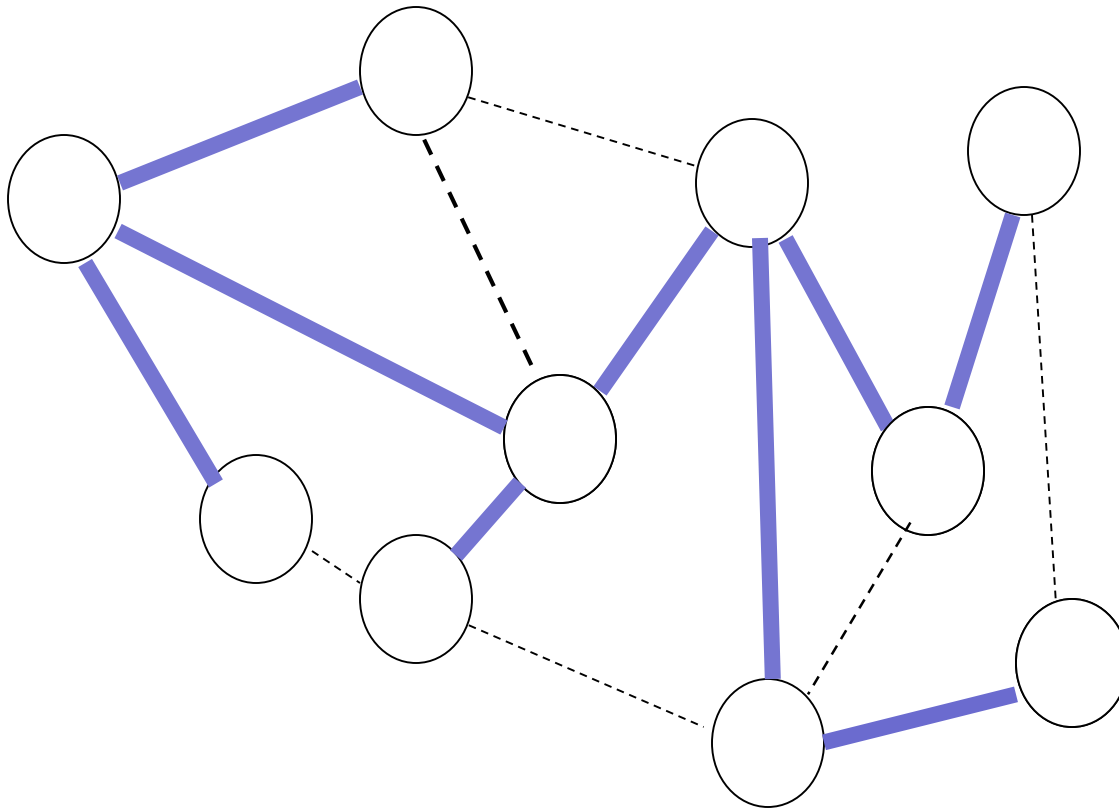
Idea: Compute BFS using Flooding!



BFS!

Invariant: parent has shorter distance to root: loop-free!

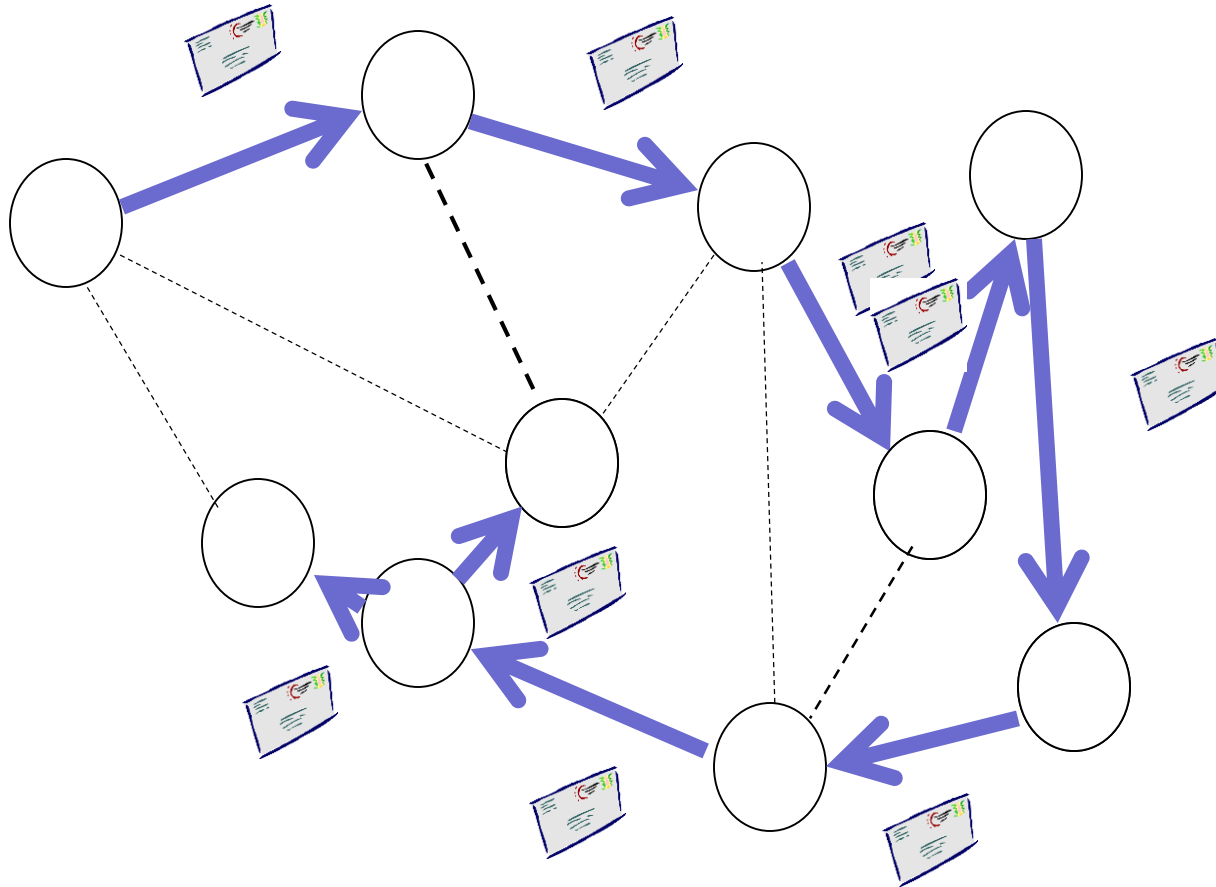
Idea: Compute BFS using Flooding!



BFS!

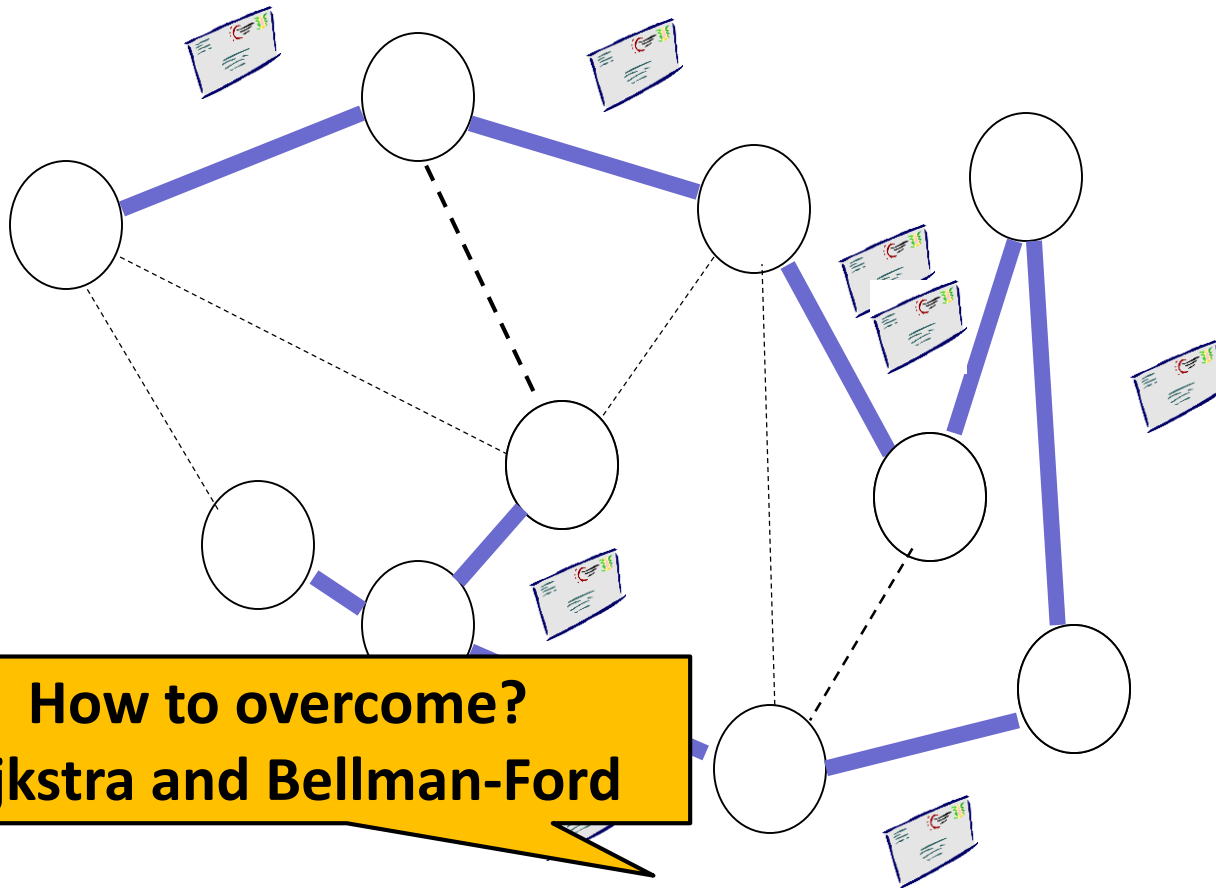
But careful! We assumed that messages propagate in synchronous manner! What if not?

Bad example



Careful: in asynchronous environment, should not make first successful sender my parent!

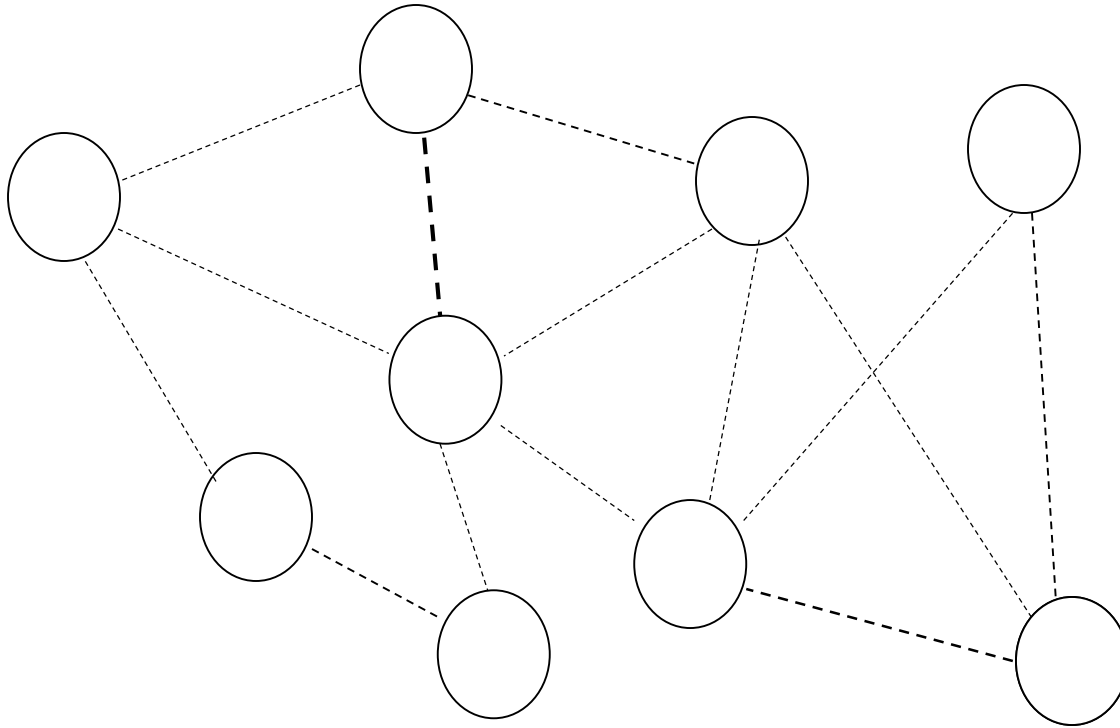
Bad example



**How to overcome?
Dijkstra and Bellman-Ford**

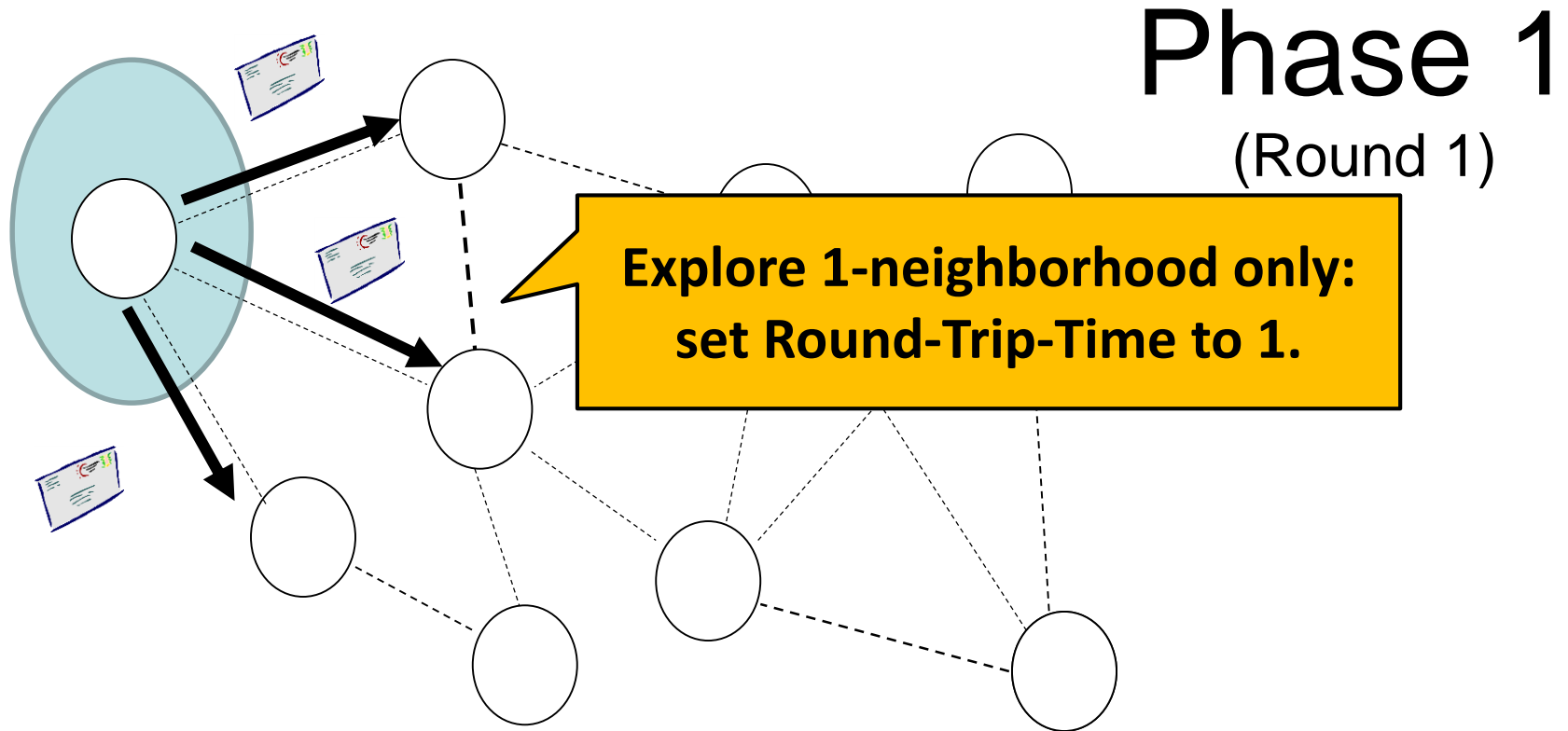
**Careful: in asynchronous environment, should
not make first successful sender my parent!**

Distributed BFS: Dijkstra Flavor



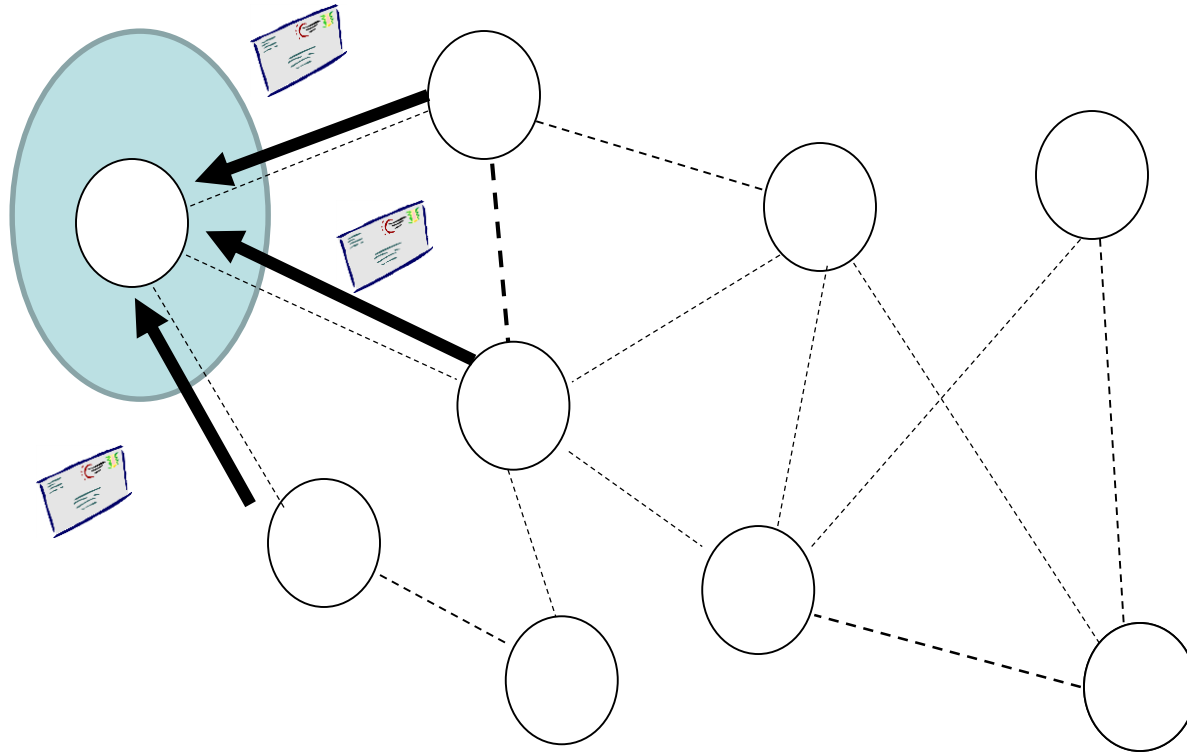
Idea: overcome asynchronous problem by proceeding in phases!

Distributed BFS: Dijkstra Flavor



Idea: overcome asynchronous
problem by proceeding in phases!

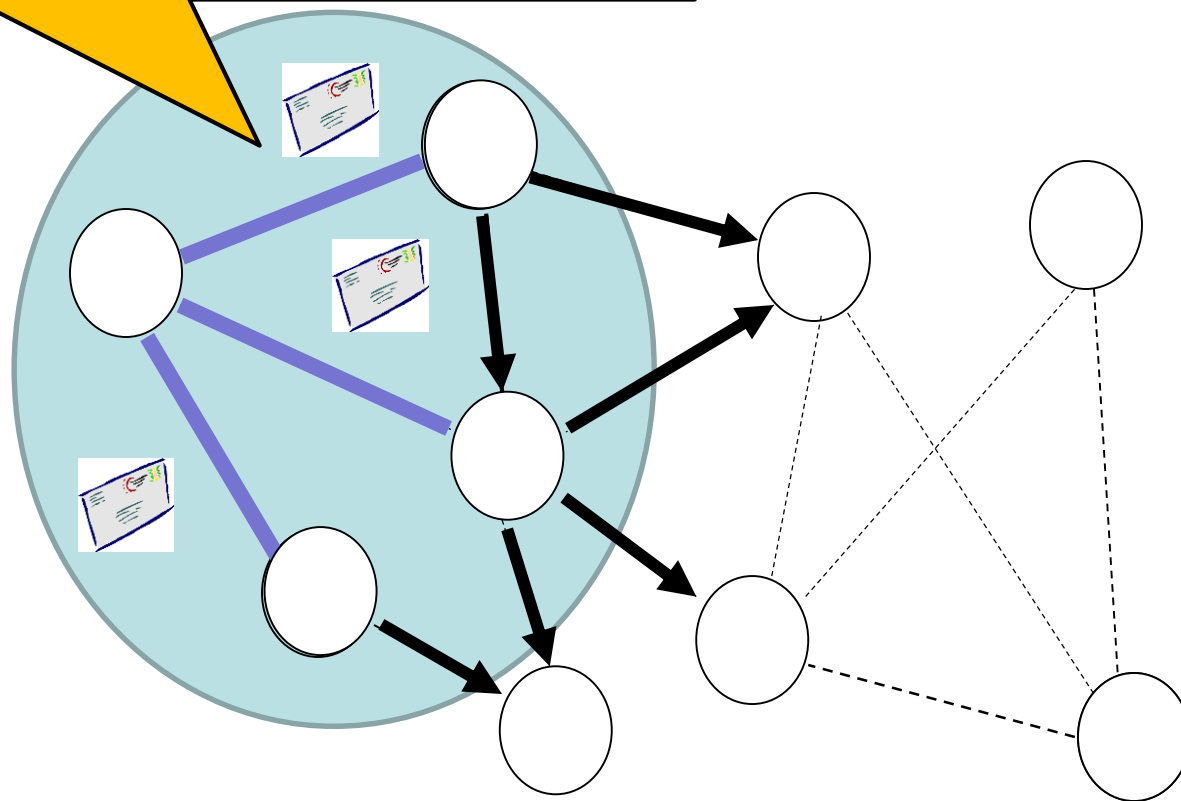
Distributed BFS: Dijkstra Flavor



Phase 1
(Round 2)

Idea: overcome asynchronous
problem by proceeding in phases!

Start Phase 2! (Propagate
along existing spanning tree!) favor



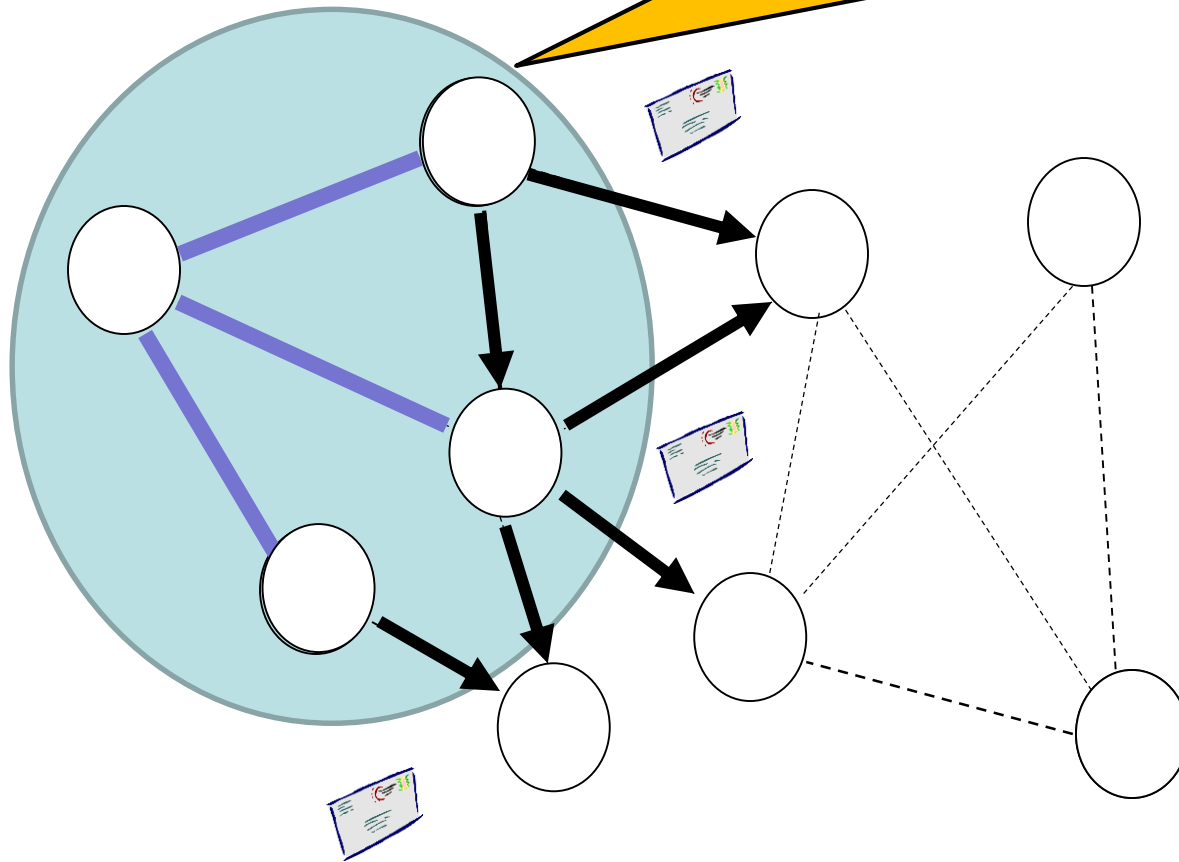
Phase 2 (Round 1)

Idea: overcome asynchronous
problem by proceeding in phases!

Start Phase 2!
I am at distance 1 from root!

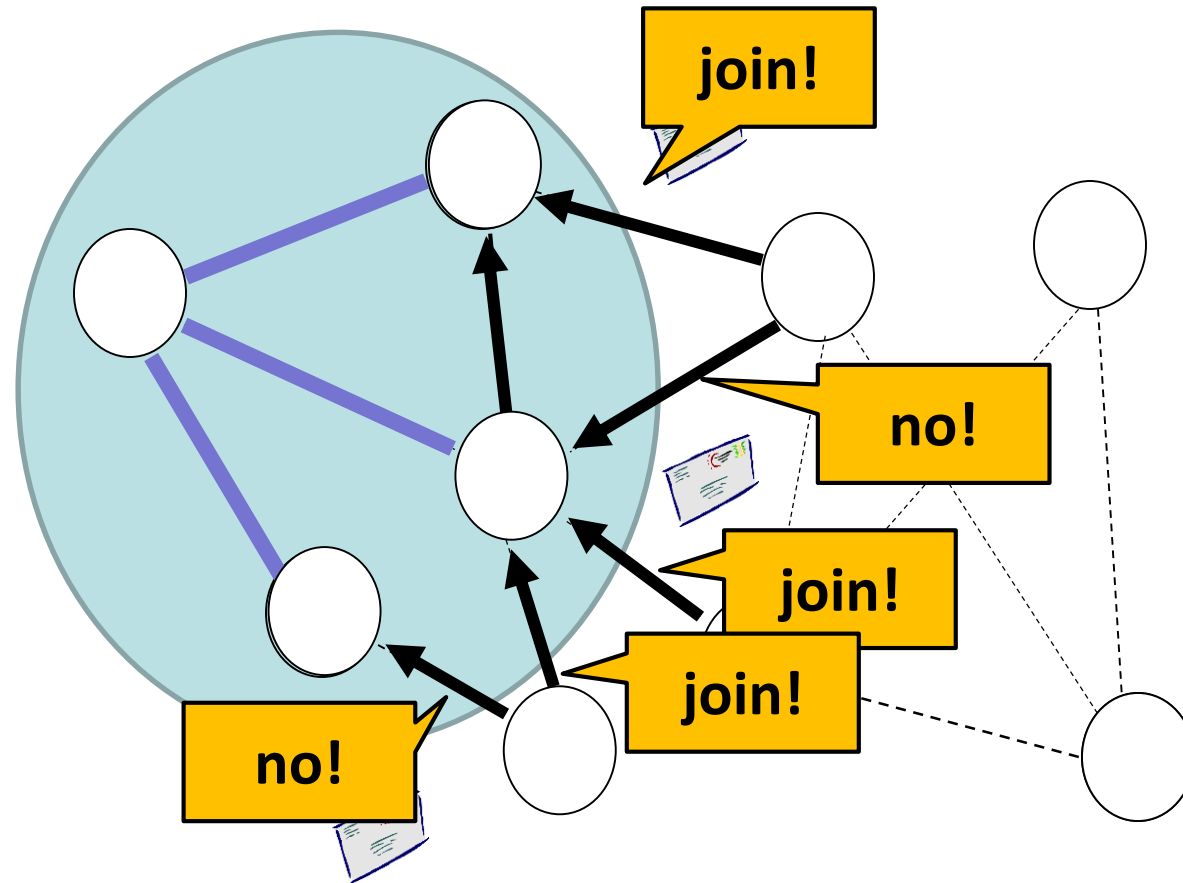
Phase 2

(Round 2)



Idea: overcome asynchronous problem by proceeding in phases!

Distributed BFS: Dijkstra Flavor

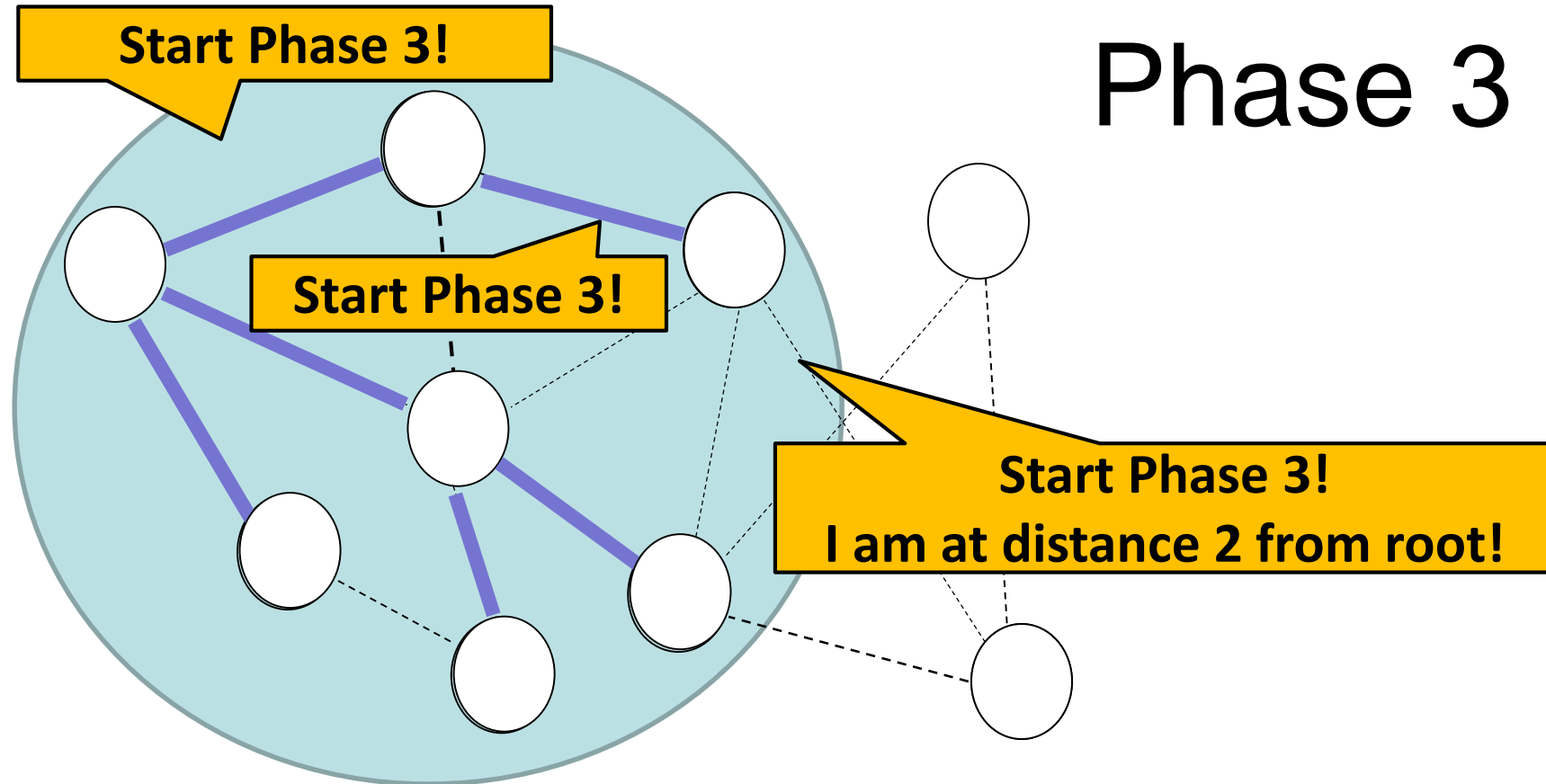


Phase 2
(Round 3)

Idea: overcome asynchronous problem by proceeding in phases!

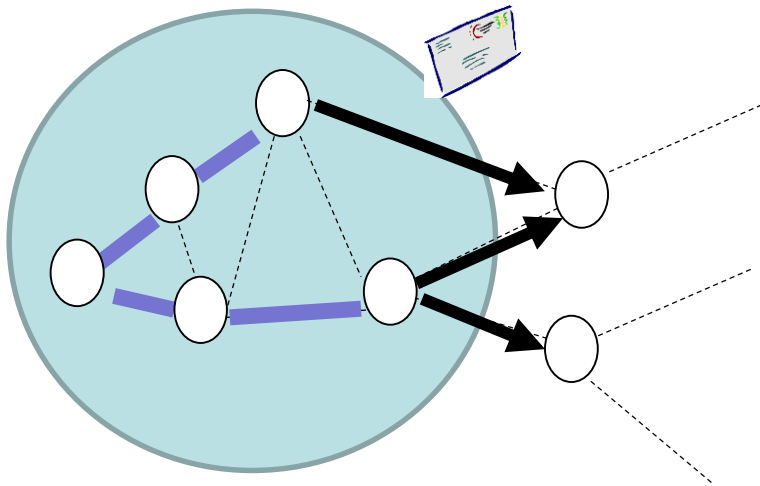
Choose parent with smaller distance!

Distributed BFS: Dijkstra Flavor

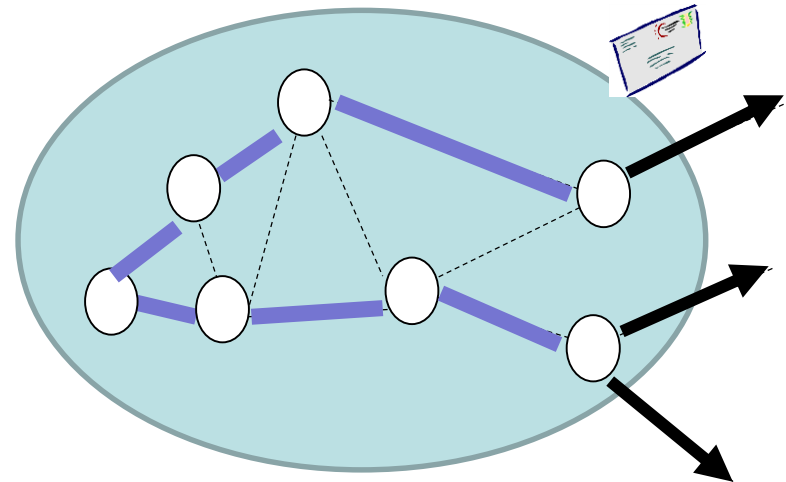


Idea: overcome asynchronous problem by proceeding in phases!

General Scheme

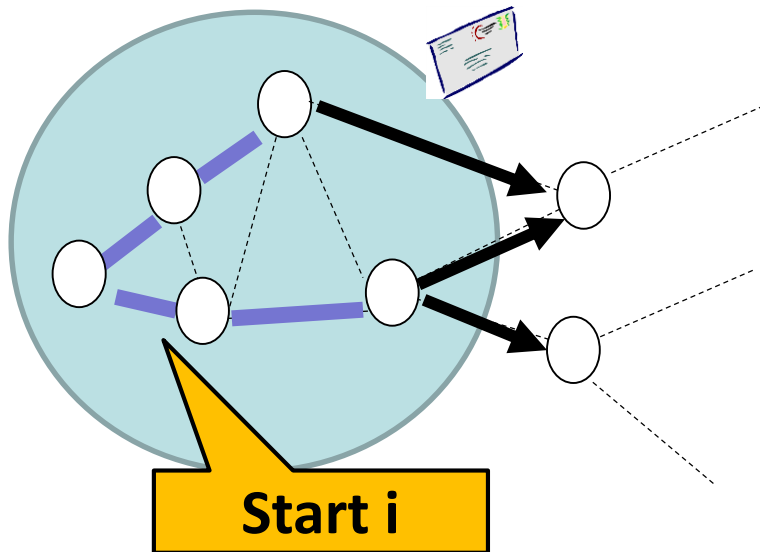


Phase i

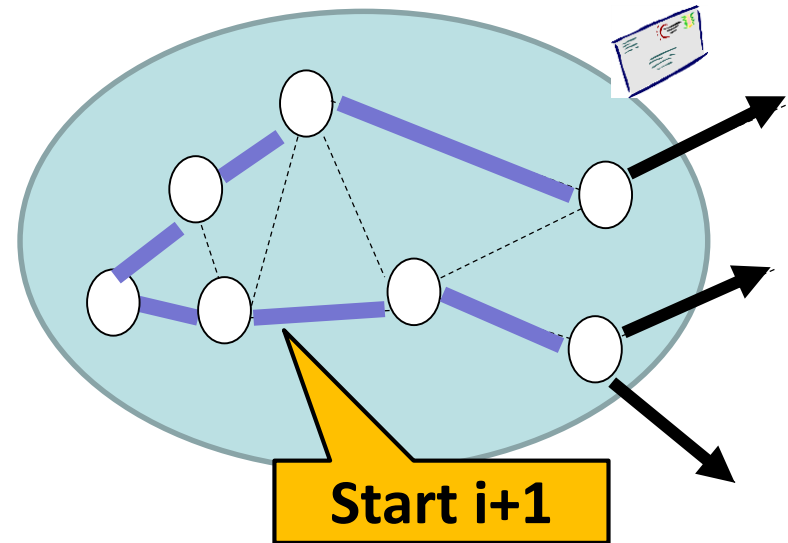


Phase i+1

General Scheme



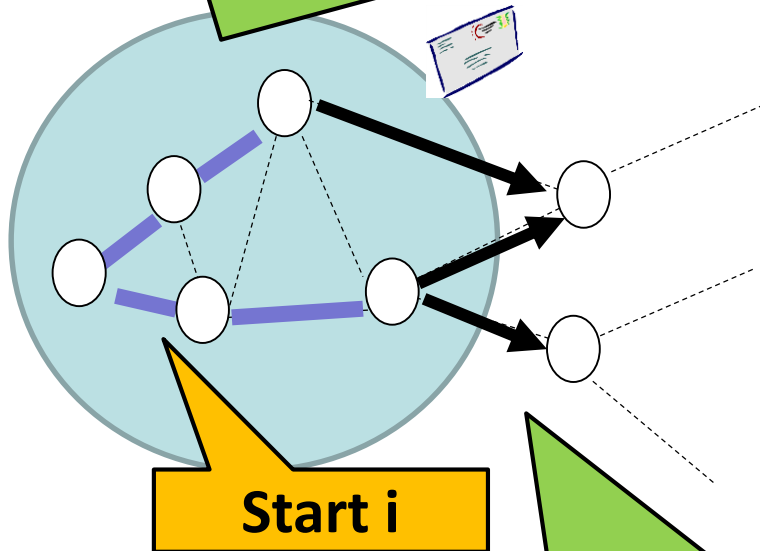
Phase i



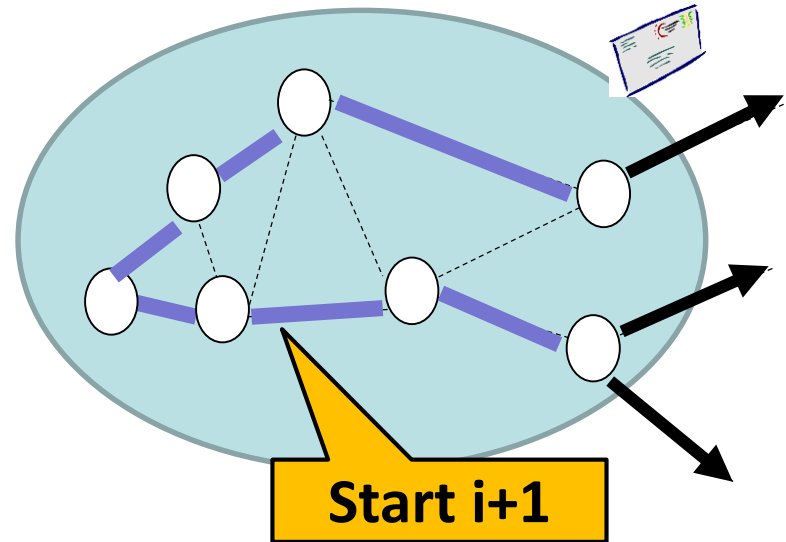
Phase i+1

General Scheme

For efficiency: can propagate start i messages along pre-established spanning tree!



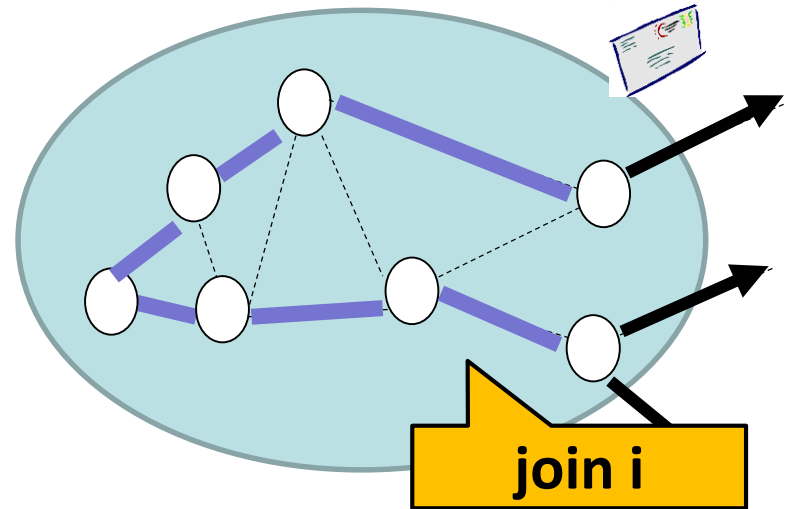
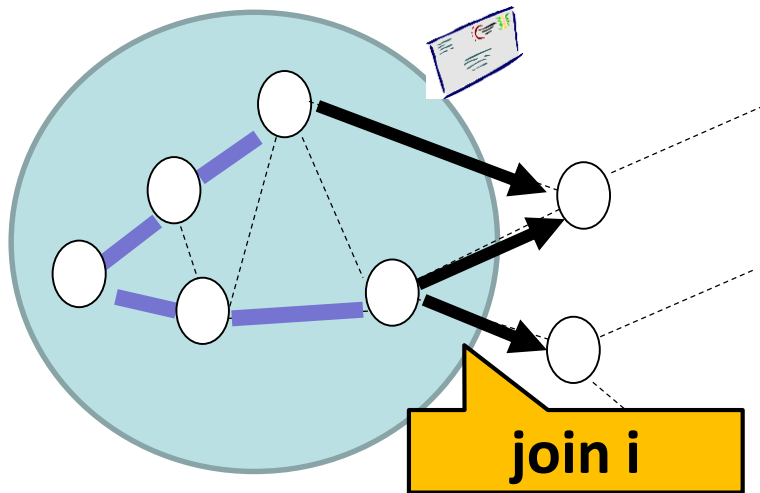
At edge i need to try all.



Phase i

Phase $i+1$

Distributed BFS: Dijkstra Flavor



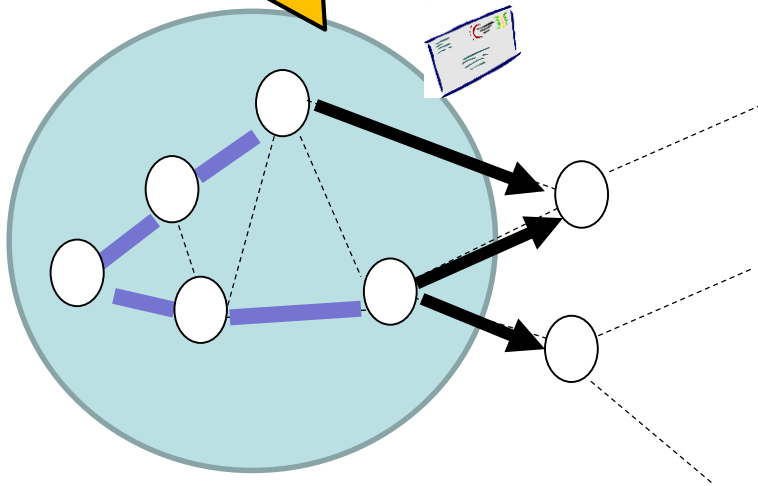
Same for responses...!
(Aggregated along existing BFS)

Phase i

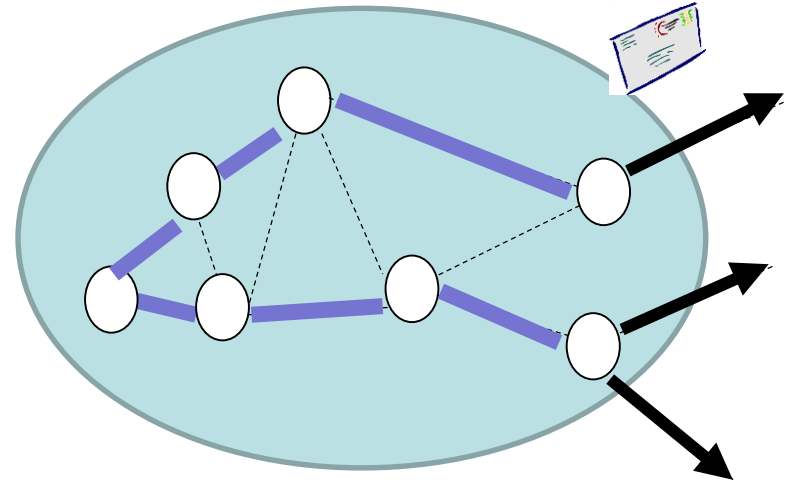
Phase i+1

Time Complexity?

or



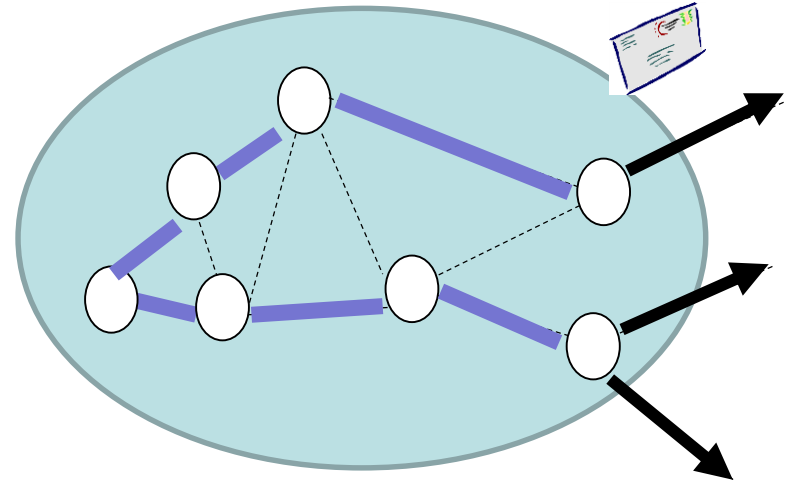
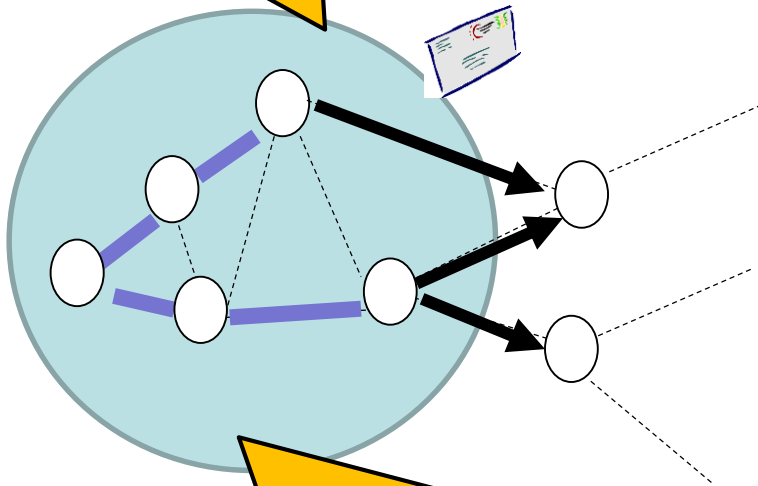
Phase i



Phase i+1

Time Complexity?

or



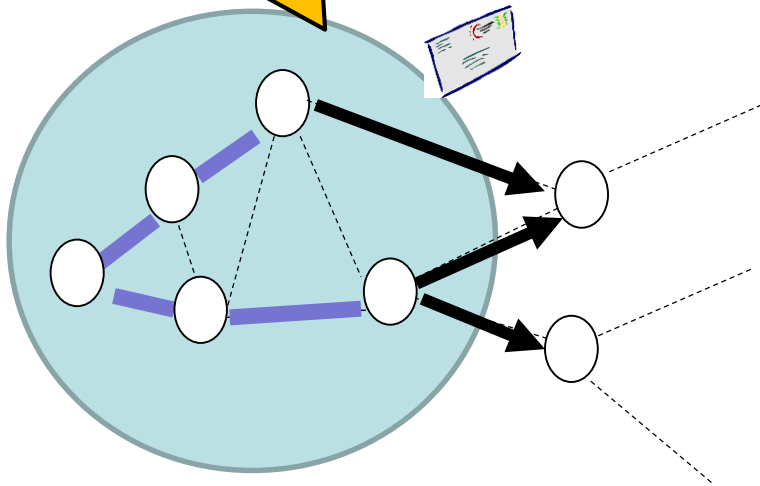
$O(D)$ phases, take time $O(D)$: $O(D^2)$
where D is the radius from the root.

Phase i

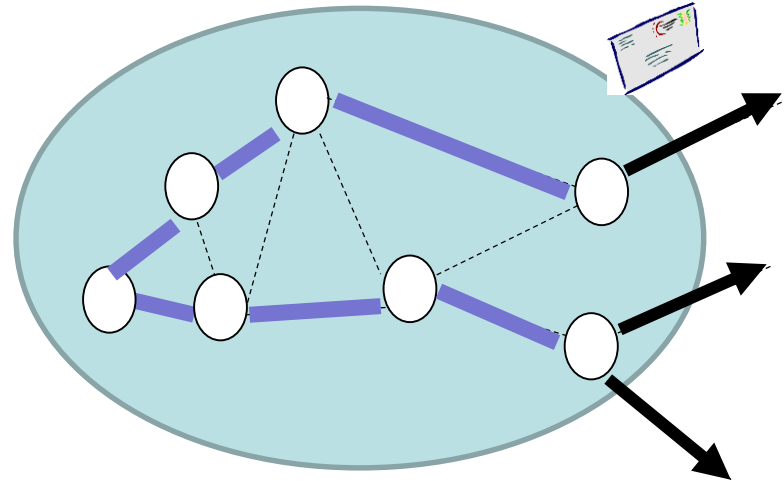
Phase $i+1$

Message Complexity?

or



Phase i

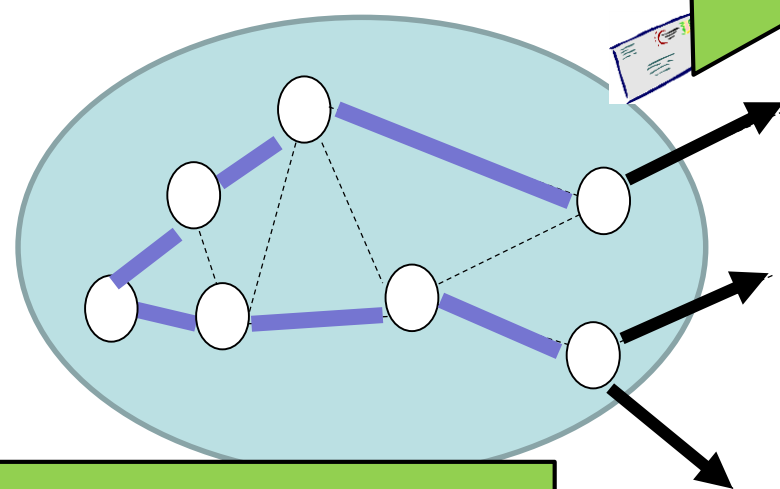
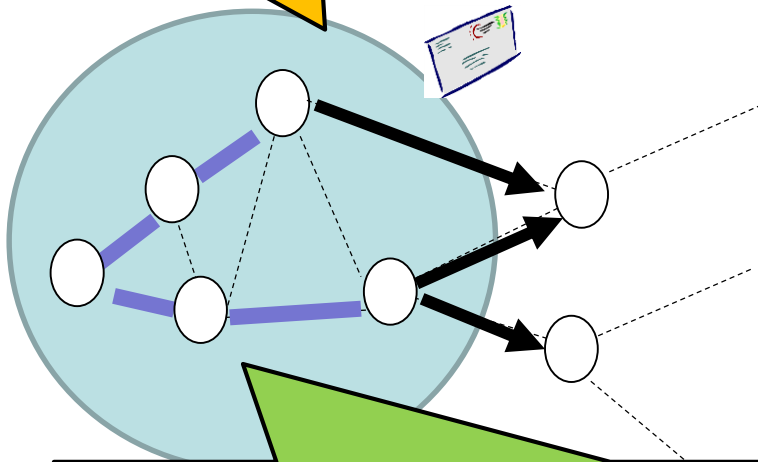


Phase i+1

Message Complexity?

or

Plus: test each edge once: join, ACK/NAK at edge: total $O(m)$.



„start“ and „join“ propagation inside spanning tree: $O(n)$ per phase: $O(nD)$ in total.

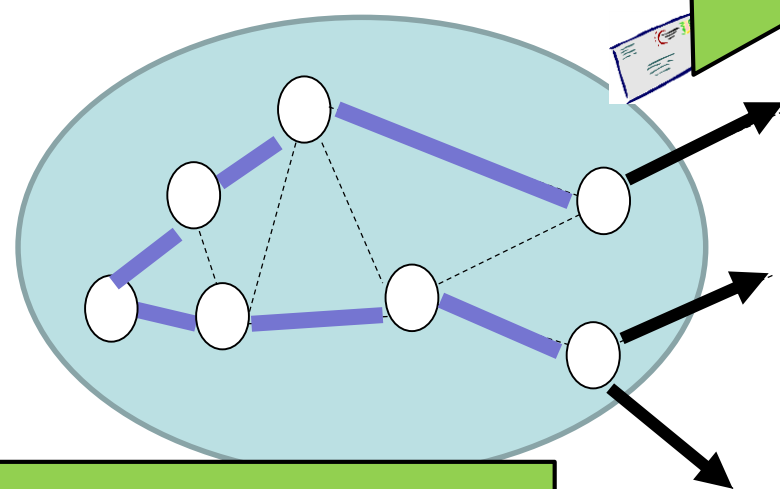
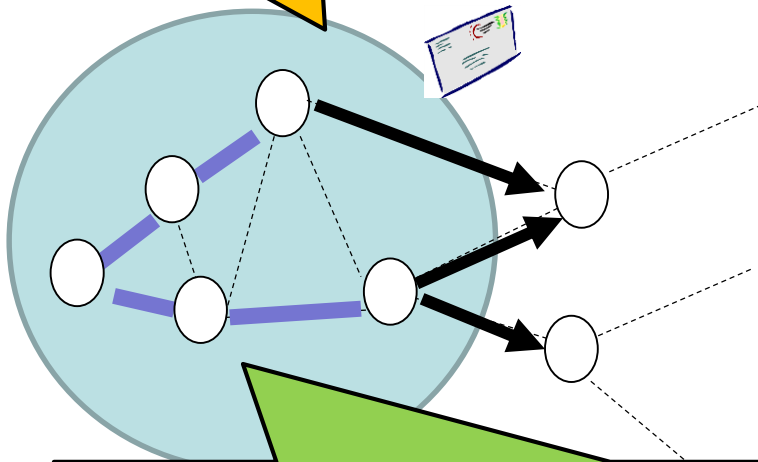
Phase i

Phase i+1

Message Complexity?

or

Plus: test each edge once: join, ACK/NAK at edge: total $O(m)$.



„start“ and „join“ propagation inside spanning tree: $O(n)$ per phase: $O(nD)$ in total.

Phase **$O(nD+m)$** e $i+1$

Distributed BFS: Dijkstra Flavor

Dijkstra: find next closest node („on border“) to the root

Dijkstra Style

Divide execution into *phases*. In *phase p*, nodes with distance p to the root are detected. Let T_p be the tree of phase p . T_1 is the root plus all direct neighbors.

Repeat (until no new nodes discovered):

1. Root starts phase p by broadcasting „**start p**“ within T_p
2. A leaf u of T_p (= node discovered only in last phase) sends „**join p+1**“ to all *quiet neighbors* v (u has not talked to v yet)
3. Node v hearing „join“ for first time sends back „**ACK**“: it becomes leaf of tree T_{p+1} ; otherwise v replied „**NACK**“ (needed since async!)
4. The leaves of T_p collect *all* answers and start *Echo Algorithm* to the root
5. Root initiates next phase

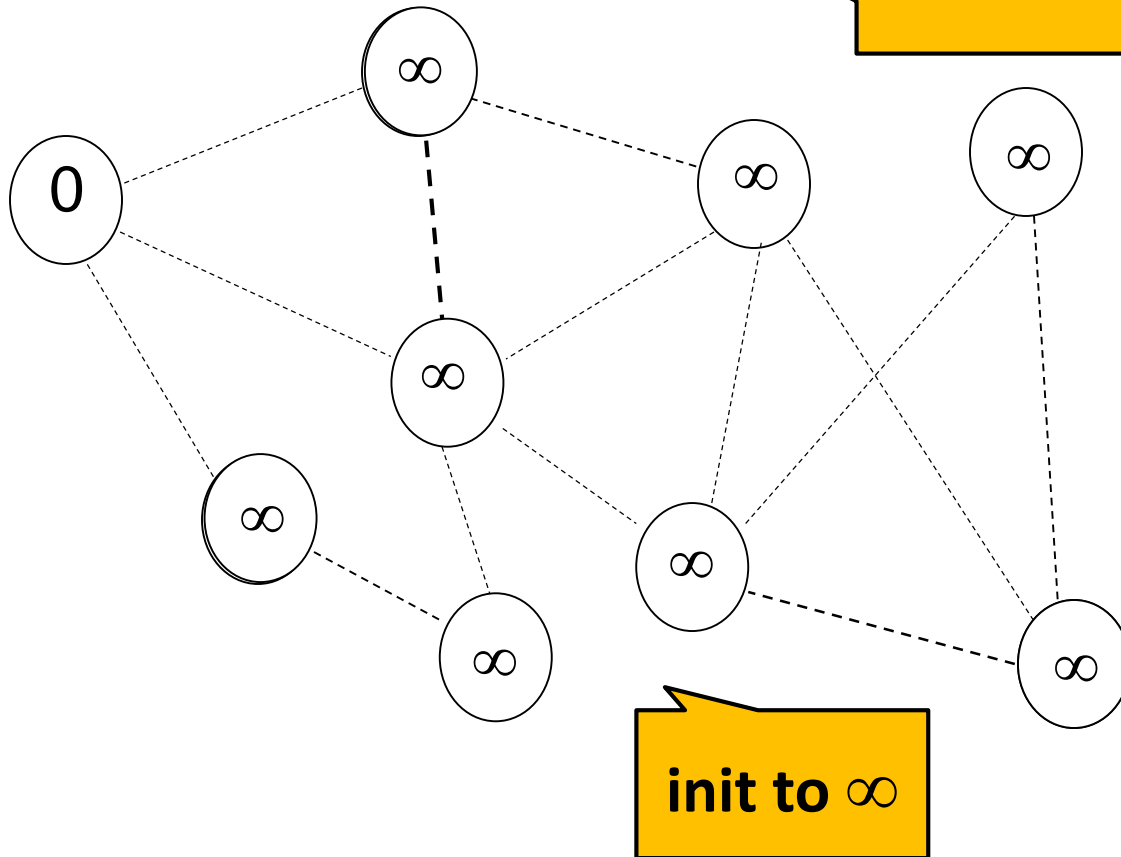
Distributed BFS: Bellman-Ford Flavor

Distributed BFS: Bellman-Ford Flaw

Idea: Don't go through these time-consuming phases but blast out messages but with distance!

Distributed BFS: Bellman-Ford Flaw

Idea: Don't go through these time-consuming phases but blast out messages but with distance!



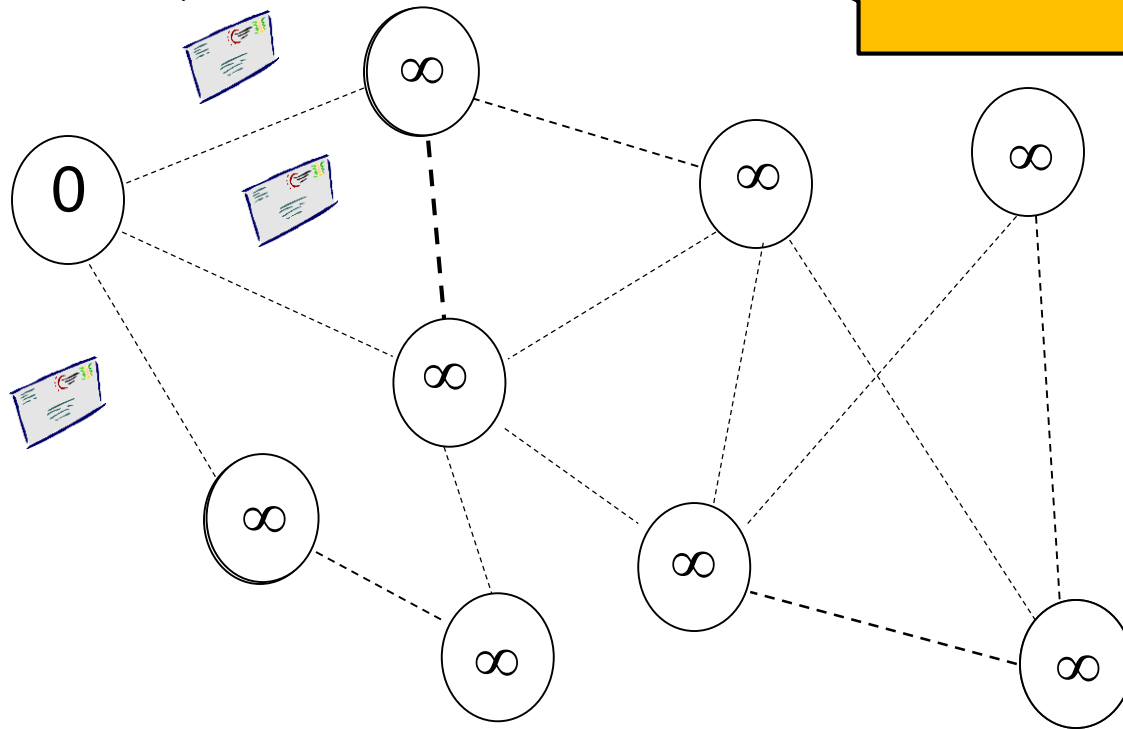
Initialize: root distance 0, other nodes ∞

Dis

Bellman-Ford Flaw

distance 1

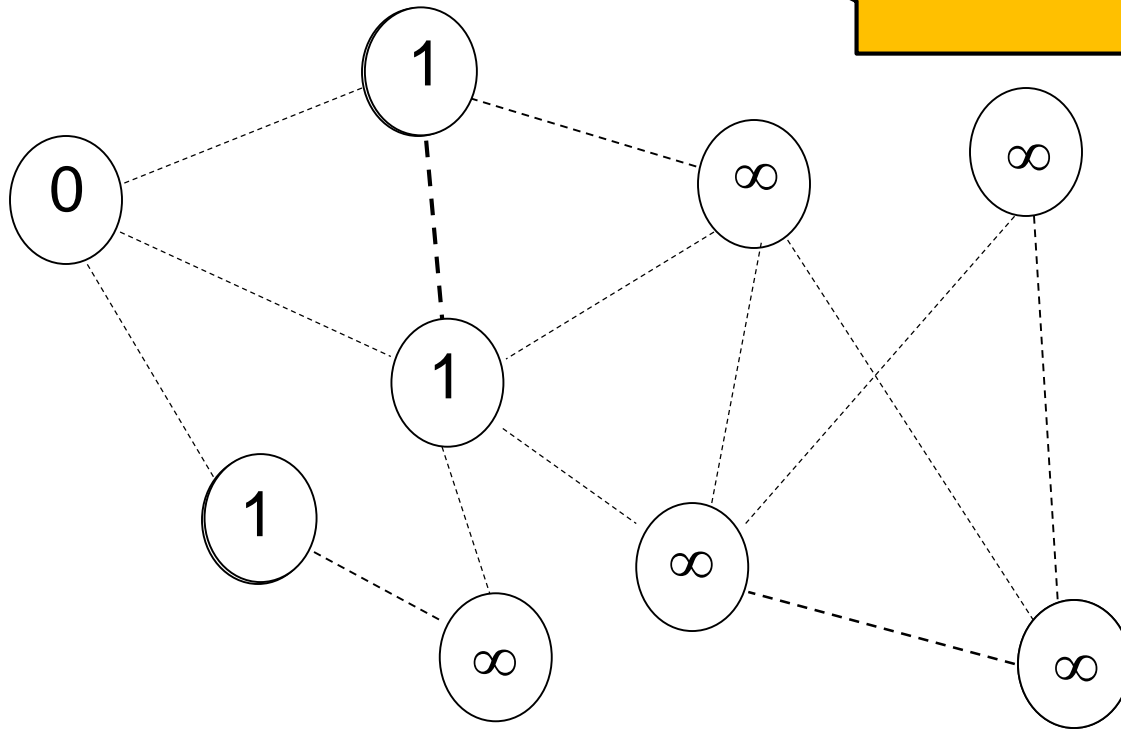
Idea: Don't go through these time-consuming phases but blast out messages but with distance!



Start: root sends distance 1 packet to neighbors

Distributed BFS: Bellman-Ford Flavor

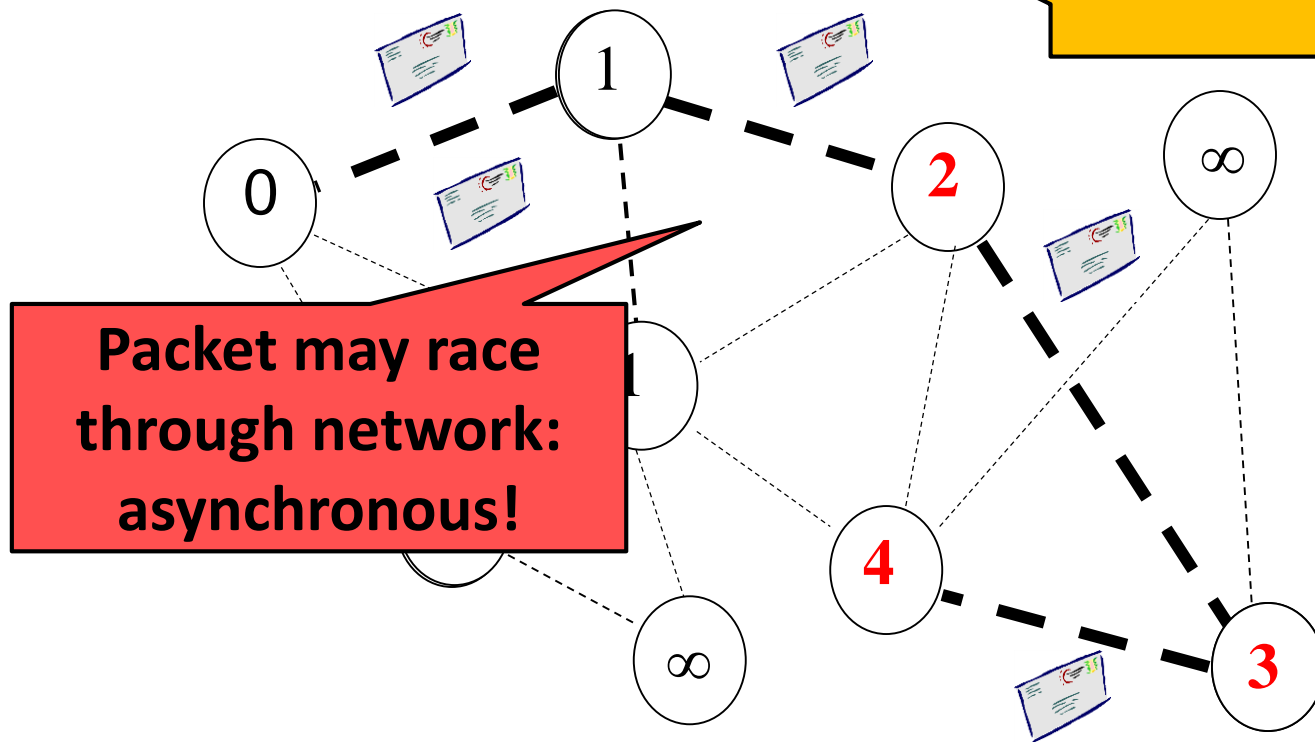
Idea: Don't go through these time-consuming phases but blast out messages but with distance!



Repeat: whenever receive new packet: check whether new minimal distance (if so change parent), and propagate!

Distributed BFS: Bellman-Ford Flaw

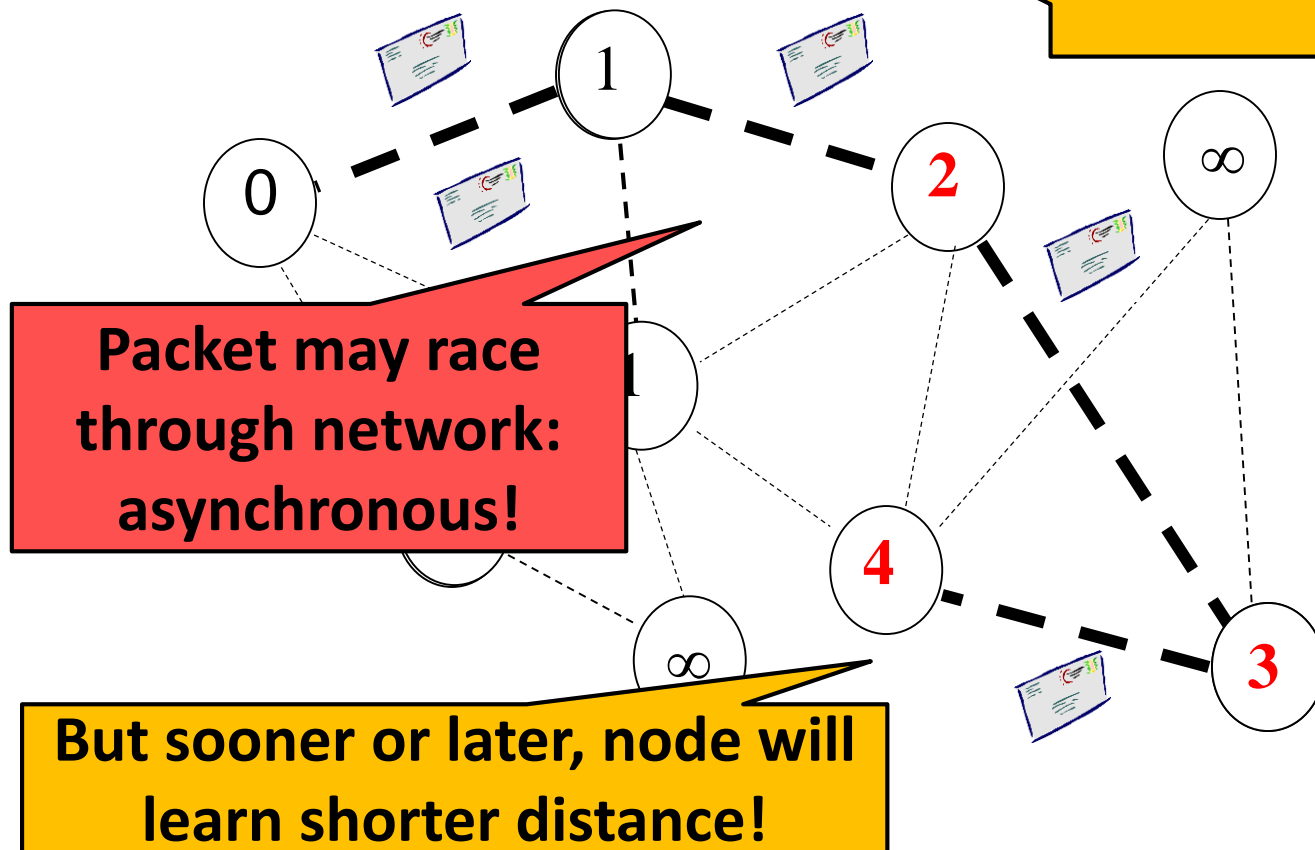
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Repeat: whenever receive new packet: check whether new minimal distance (if so change parent), and propagate!

Distributed BFS: Bellman-Ford Flavor

Bellman-Ford: compute shortest distances by flooding an all paths;
best predecessor = parent in tree

Bellman-Ford Style

Each node u stores d_u , the distance from u to the root.
Initially, $d_{\text{root}}=0$ and all other distances are 1. Root starts algo by sending „1“ to all neighbors.

1. If a node u receives message „ y “ with $y < d_u$
 $d_u := y$
 send „ $y+1$ “ to all other neighbors

Analysis

How is this defined?! Assuming a unit upper bound on per link delay!

Time Complexity?



Message Complexity?



Worst propagation time is simply the diameter.



Time Complexity?

$O(D)$ where D is diameter of graph. 😊

By induction: By time d , node at distance d got „ d “.

Clearly true for $d=0$ and $d=1$.

A node at distance d has neighbor at distance $d-1$ that got „ $d-1$ “ on time by induction hypothesis. It will send „ d “ in next time slot...

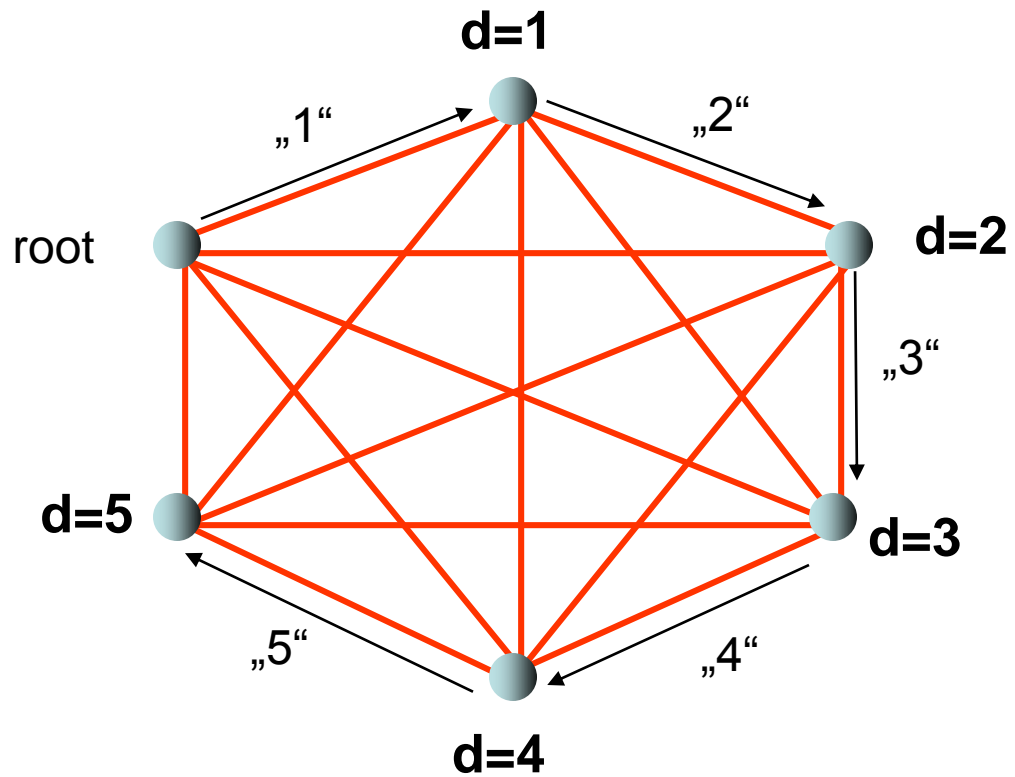
Message Complexity?



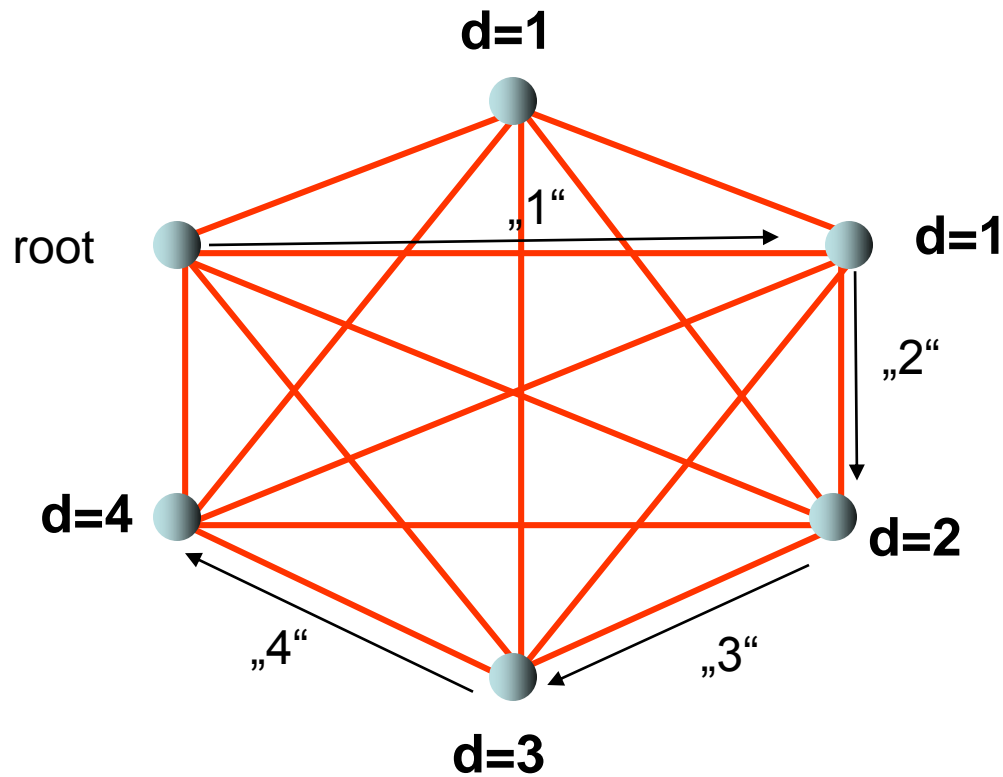
$O(mn)$ where m is number of edges, n is number of nodes. 😞

Because: A node can reduce its distance at most $n-1$ times (recall: **asynchronous!). Each of these times it sends an update message to all its neighbors**

Bellman-Ford with Many Messages

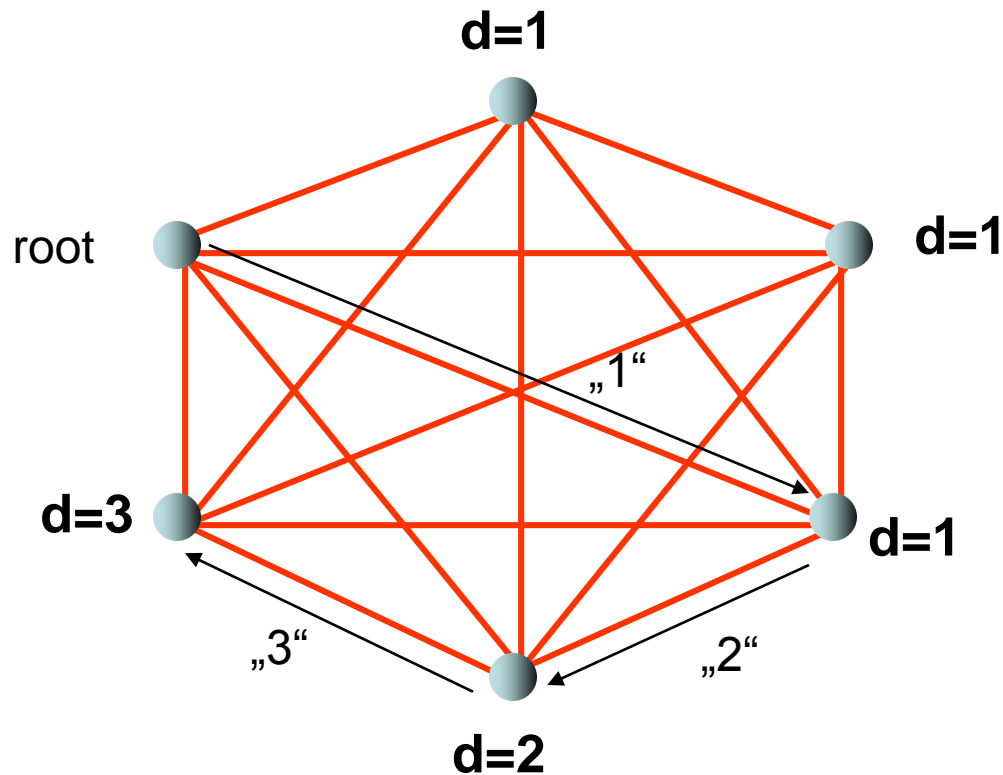


Bellman-Ford with Many Messages



Everyone has a new best distance and informs neighbors!

Bellman-Ford with Many Messages



Everyone has a new best distance and informs neighbors!

Which algorithm is better?

Dijkstra has better message complexity, Bellman-Ford better time complexity.

Can we do better?

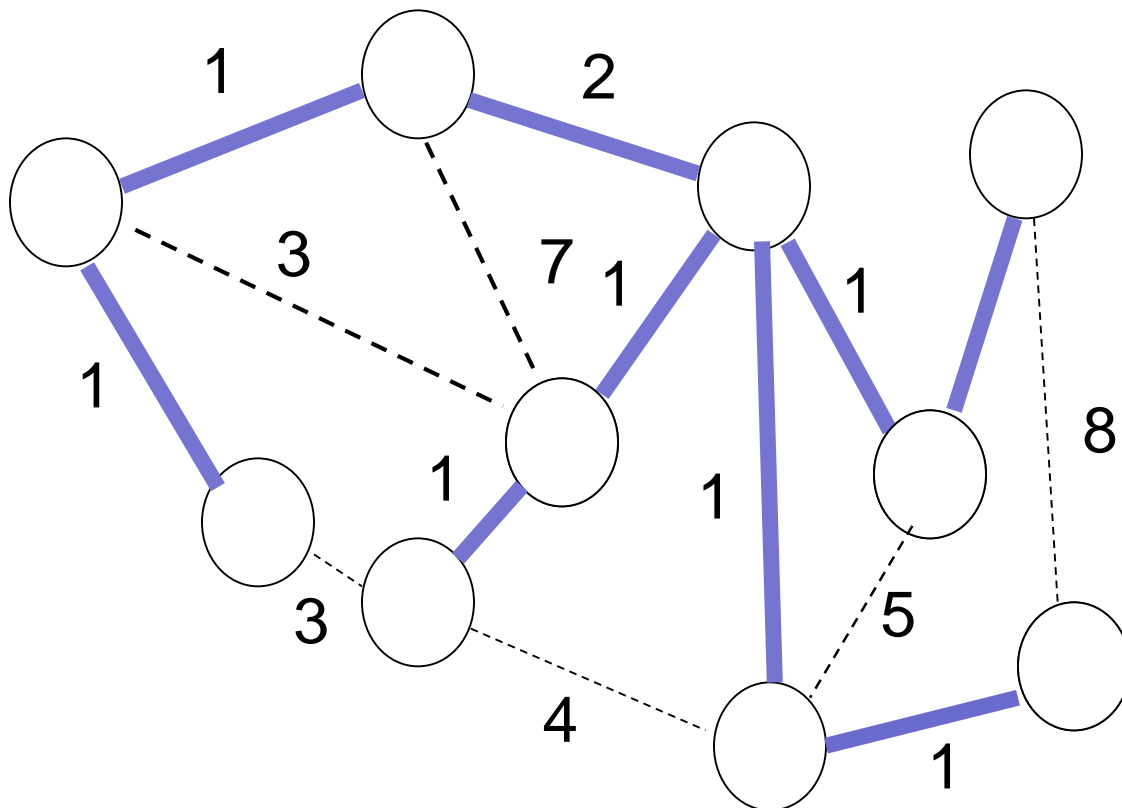
Yes, but not in this course... 😊

Remark: Asynchronous algorithms can be made synchronous... (e.g., by central controller or better: **local synchronizers**)

How to compute an MST?

MST

Tree with edges of minimal total weight.



Idea: Exploit Basic Fact of MST: Blue Edges

Blue Edge

Let T be an MST and T' a subgraph of T .

Edge $e=(u,v)$ is *outgoing edge* if $u \in T'$ and $v \notin T'$.

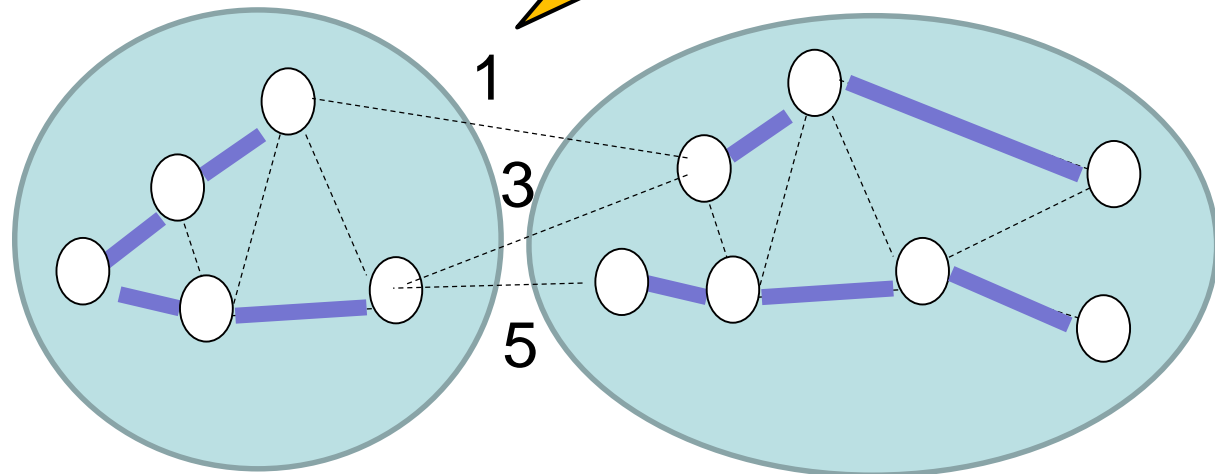
The outgoing edge of minimal weight is called *blue edge*.

Lemma

If T is the MST and T' a subgraph of T , then the blue edge of T' is also part of T .

It holds: the lightest edge across a cut must be part of the MST!

By contradiction:
otherwise get a cheaper
MST by swapping the
two cut edges!



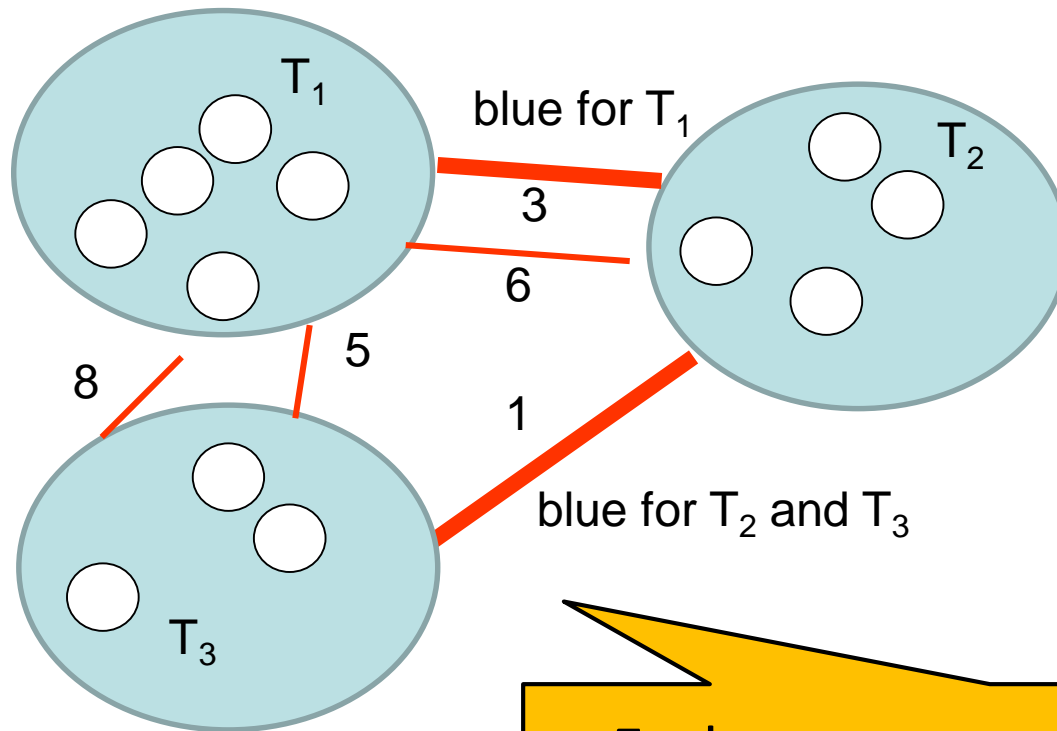
Gallager-Humblet-Spira

Basic idea: Grow components in parallel and merge them at the blue edge! Using Covergecast.

Gallager-Humblet-Spira

Basic idea: Grow components in parallel and merge them at the blue edge! Using Covergecast.

Assume some components have already emerged:

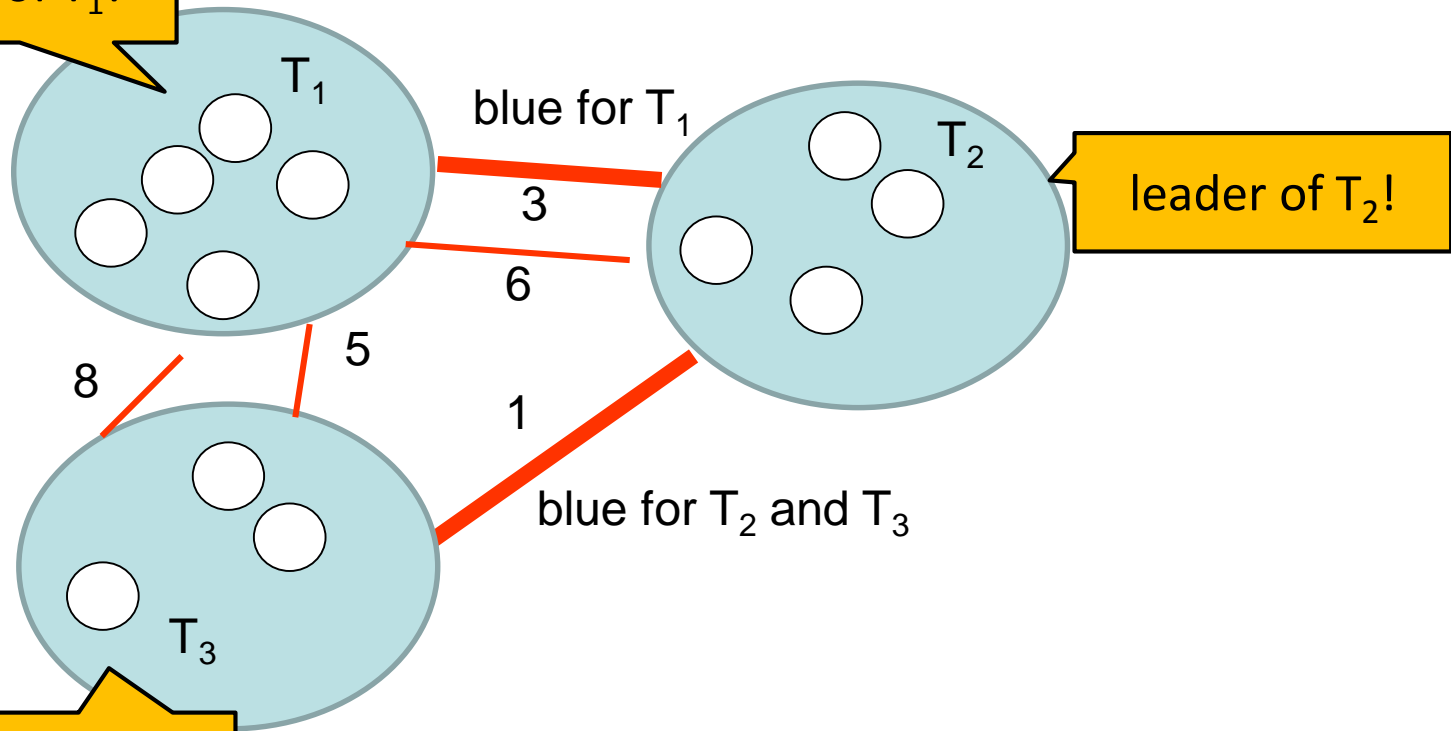


Each component has only one blue edge (cheapest outgoing): loops impossible, can take them in parallel!

Gallager-Humblet-Spira

Basic idea: Grow components in parallel and merge them at the blue edge! Using Covergecast.

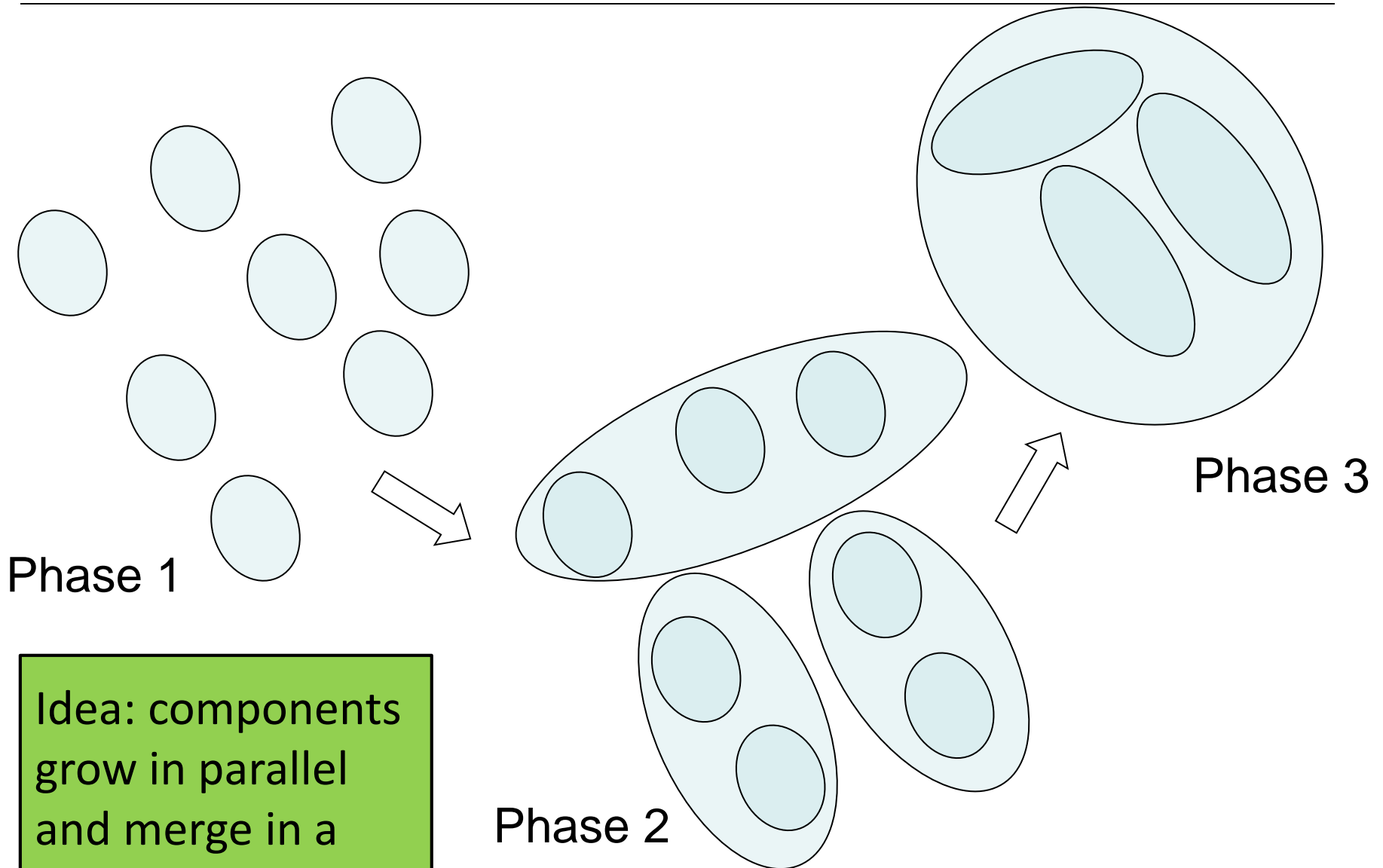
leader of T_1 !



leader of T_3 !

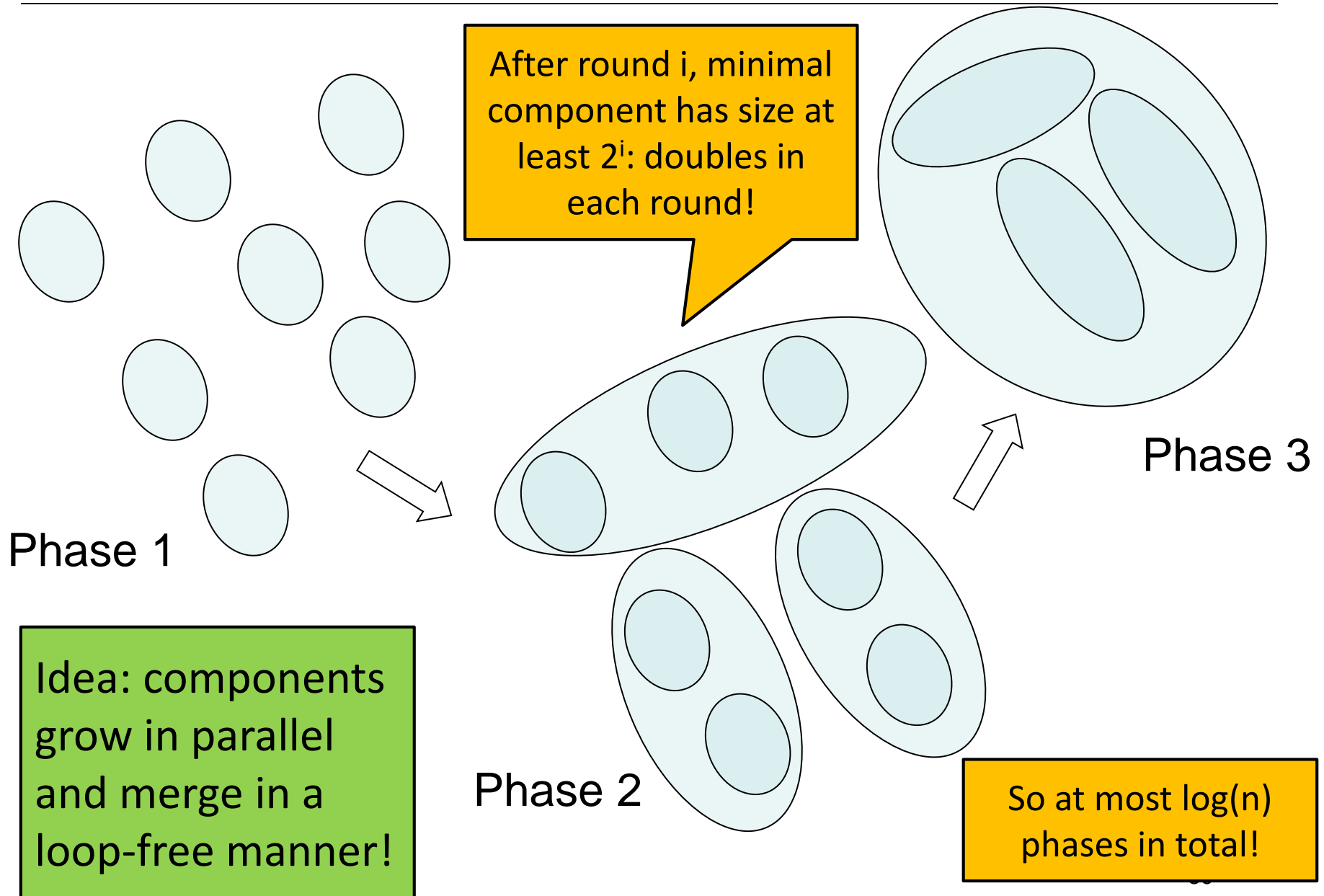
Idea: a leader in each cluster responsible of finding blue edge with convergecast!

Gallager-Humblet-Spira: High-level View

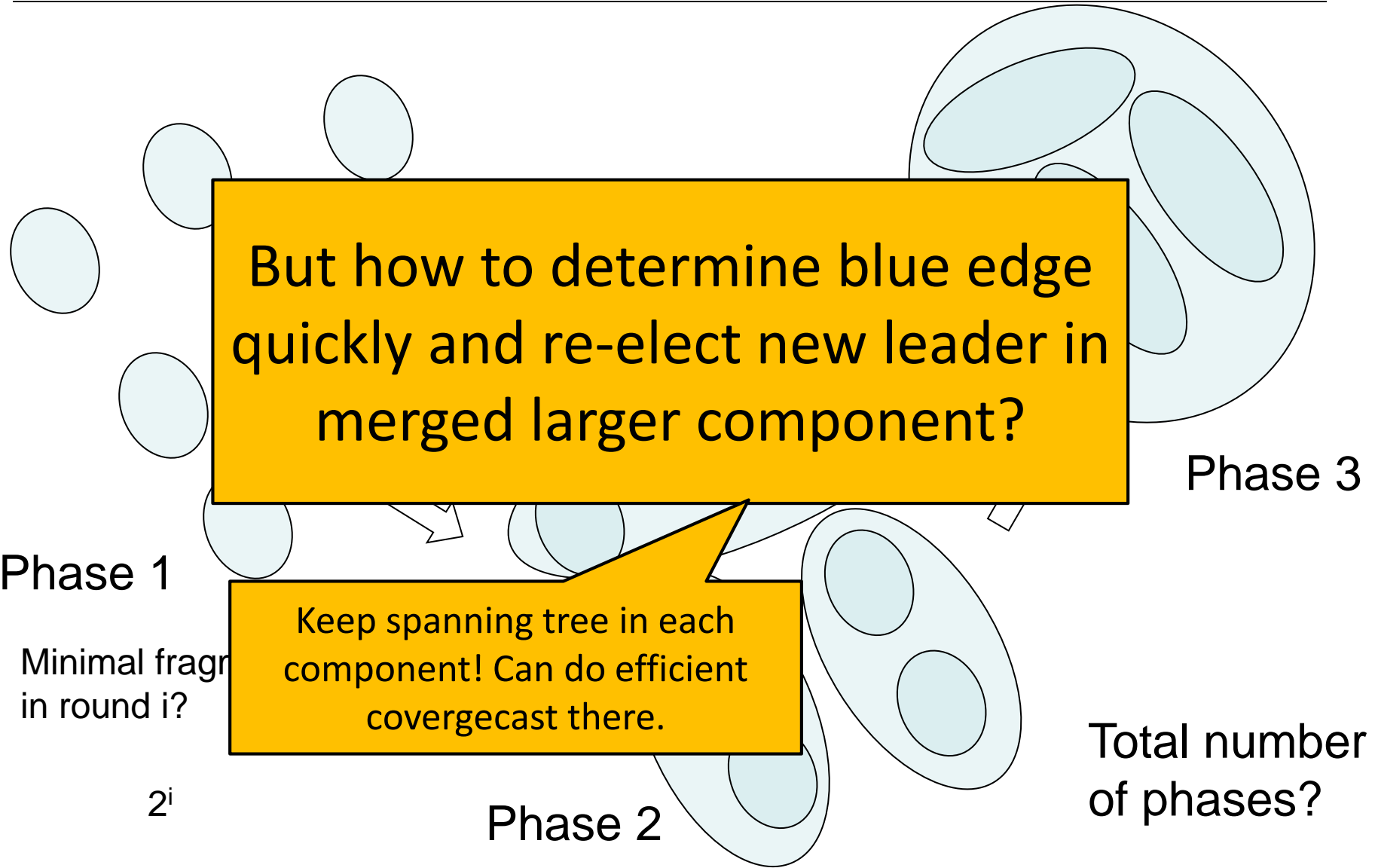


Idea: components
grow in parallel
and merge in a
loop-free manner!

Gallager-Humblet-Spira: High-level View



Gallager-Humblet-Spira: High-level View



But how to determine blue edge quickly and re-elect new leader in merged larger component?

Phase 1

Minimal fragments
in round i ?

2^i

Keep spanning tree in each
component! Can do efficient
covergecast there.

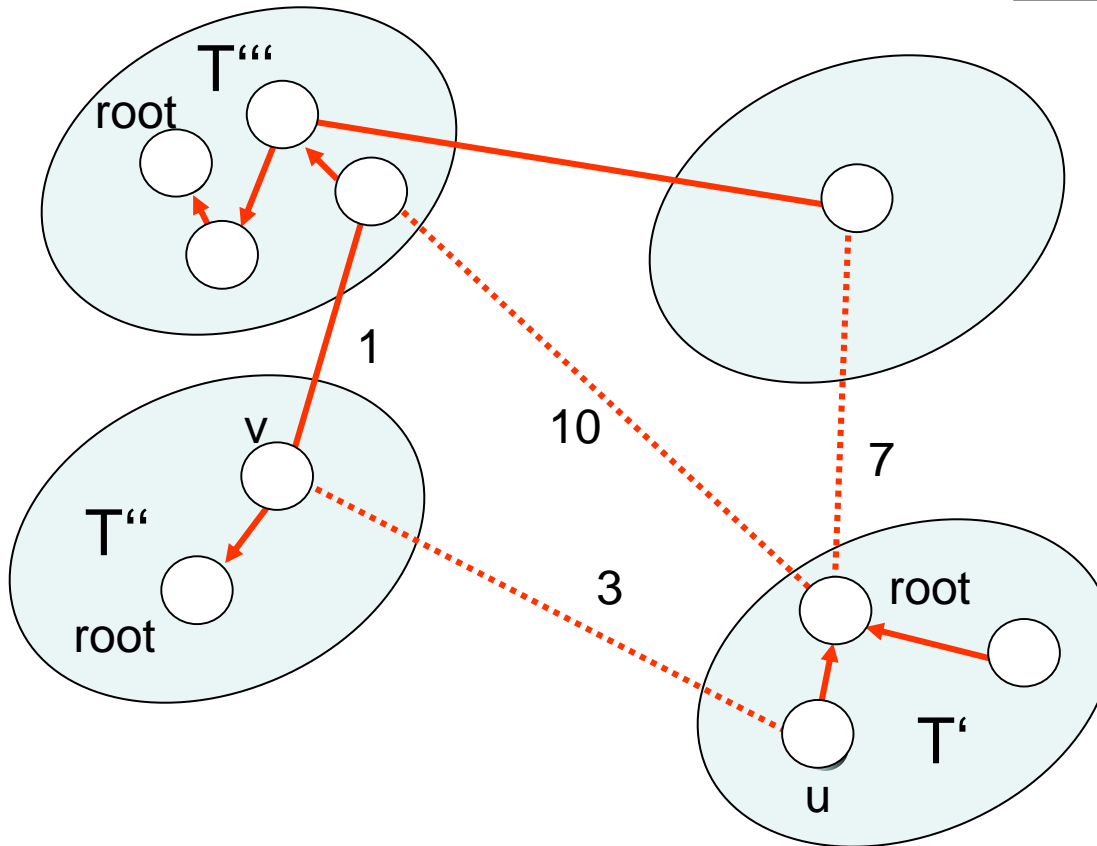
Phase 2

Phase 3

Total number
of phases?

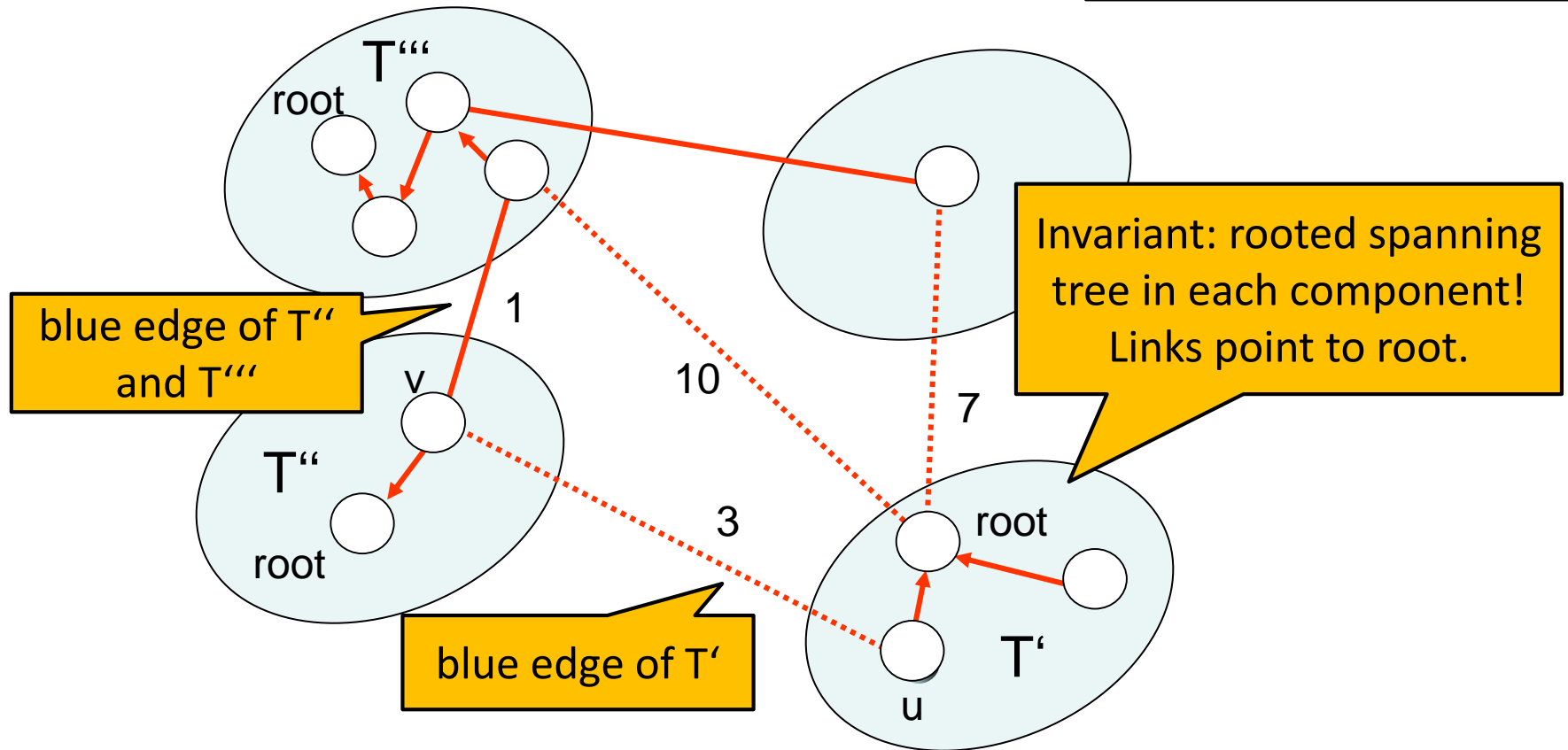
Example: Agree on a New Root

How to merge T' and T'' across (u,v) ?



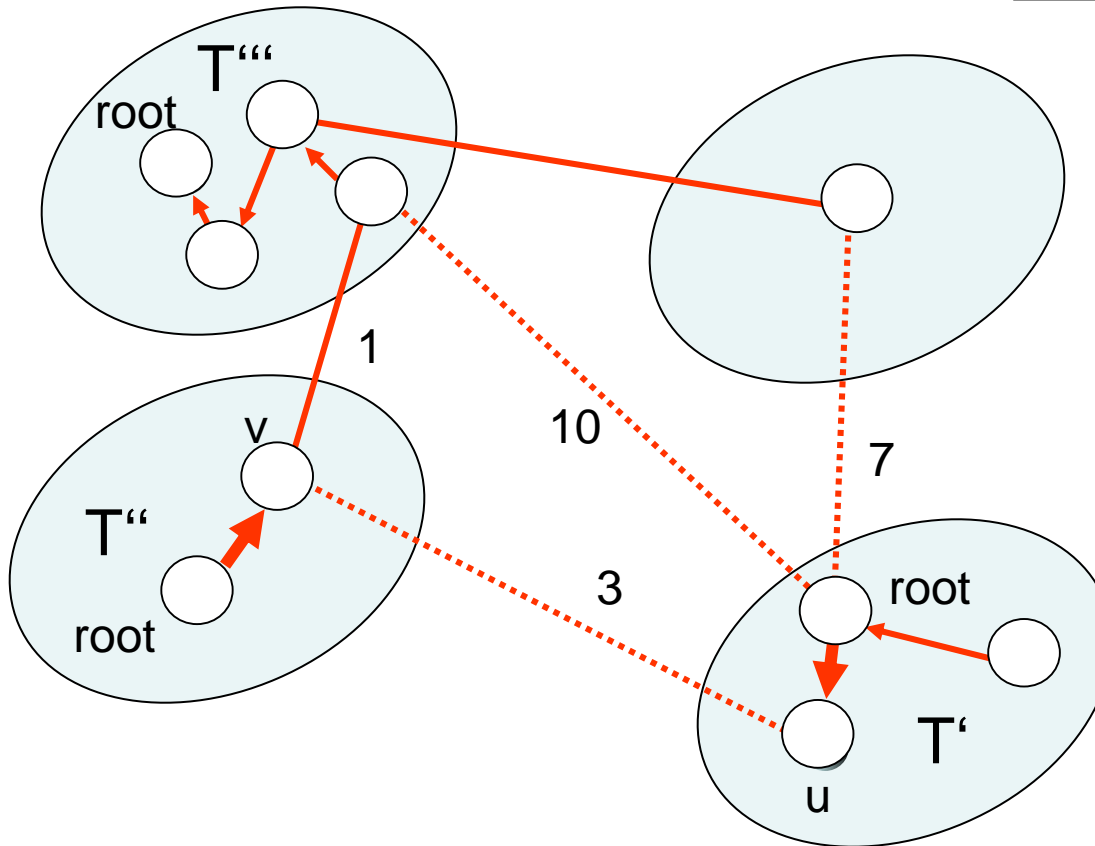
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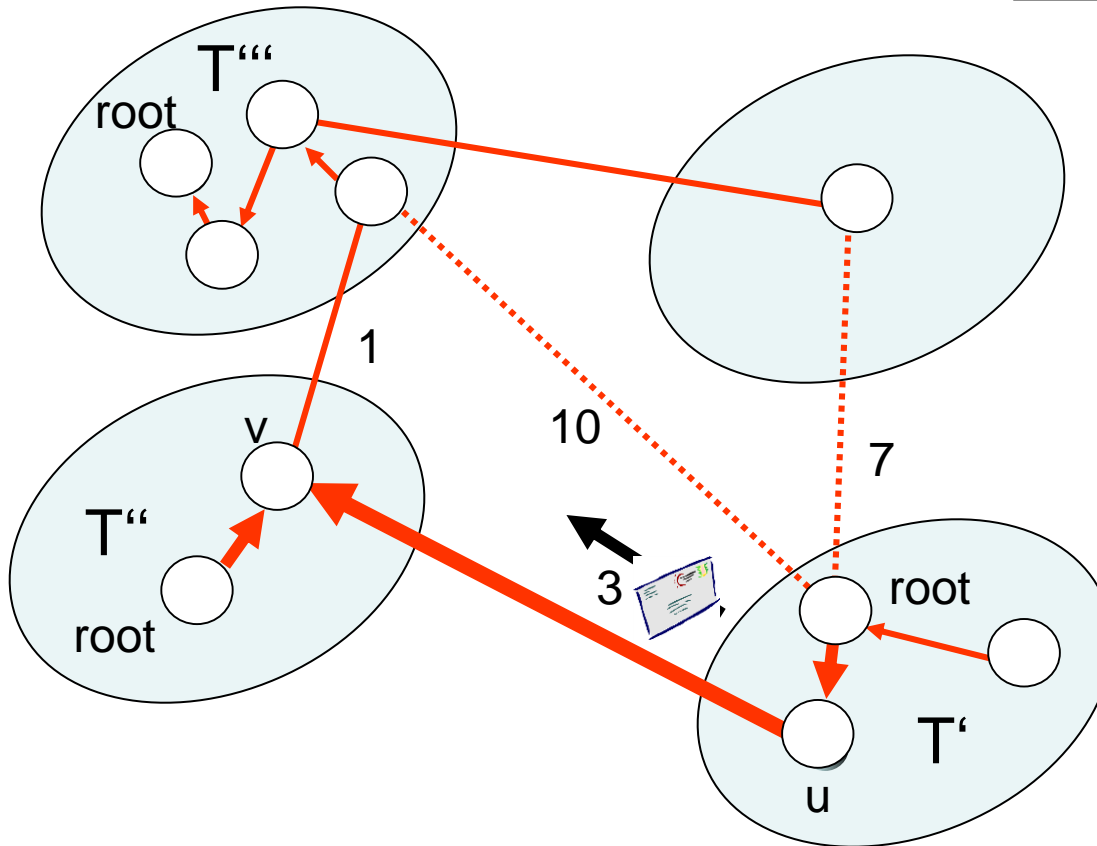
How to merge T' and T'' across (u,v) ?



Step 1: invert path
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Example: Agree on a New Root

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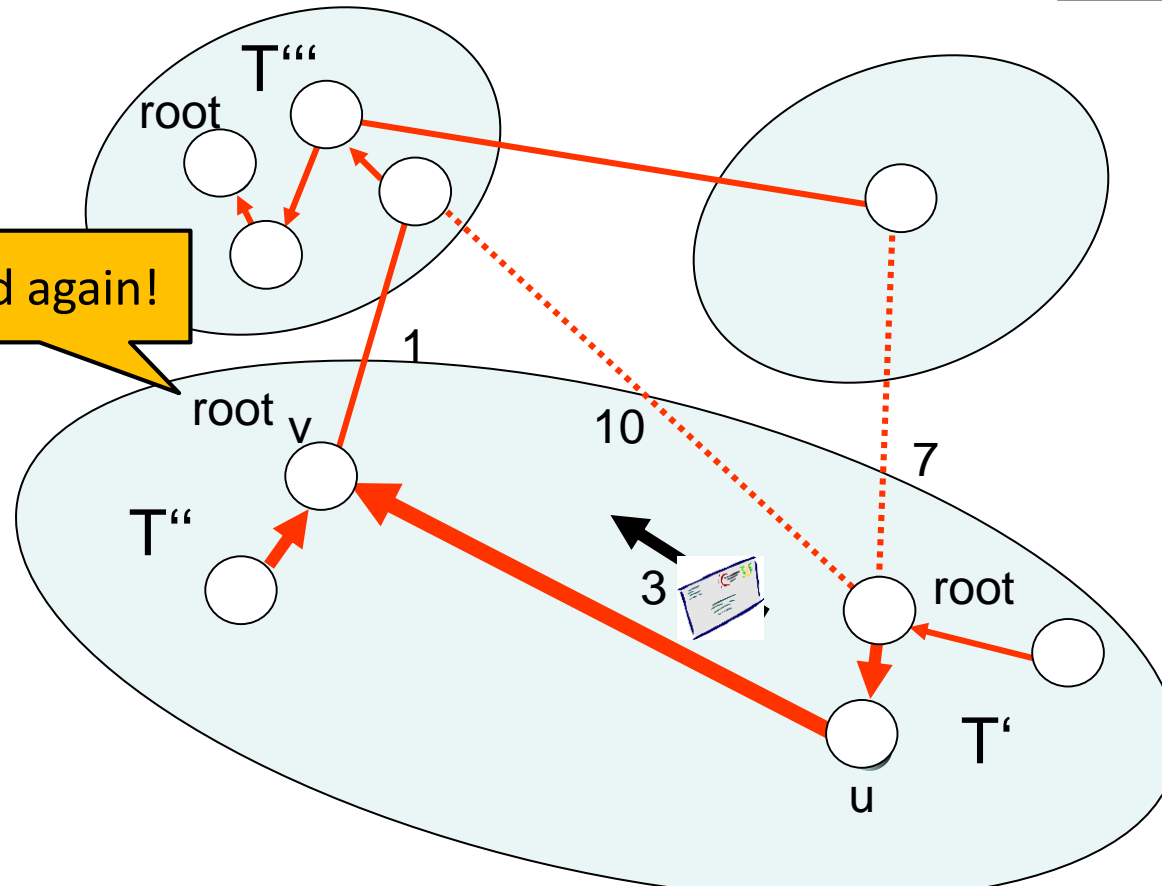
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Step 2: send merge request across blue edge (u,v) . Here only blue edge for T' so one message!

Step 3: v becomes new root overall!

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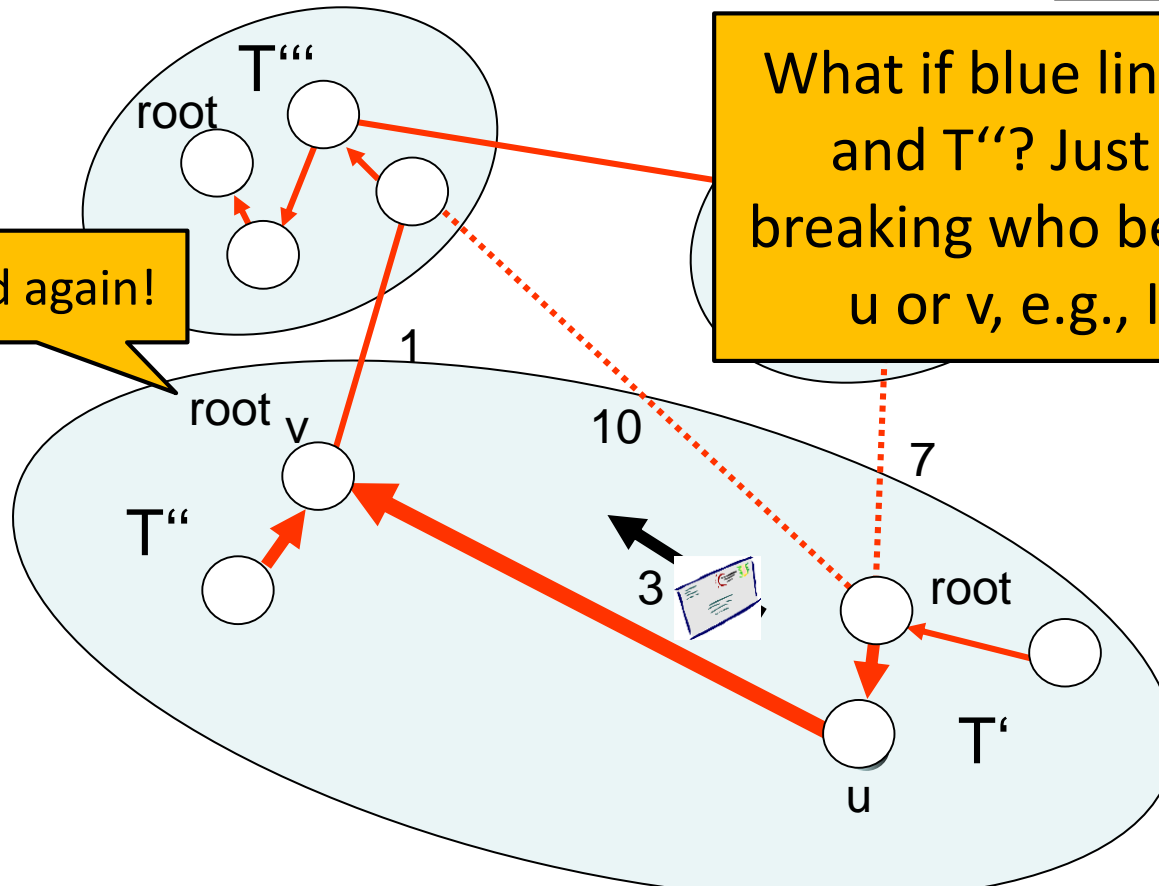
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Example: Agree on a New Root

How to merge T' and T'' across (u,v) ?

What if blue link for both T' and T'' ? Just make tie-breaking who becomes root, u or v , e.g., ID based!

Rooted again!



Step 1: invert path from root to u and v .

Step 2: send merge request across blue edge (u,v) . Here only blue edge for T' so one message!

Step 3: v becomes new root overall!

Distributed Kruskal

Idea: Grow components by learning blue edge!
But do many **fragments in parallel!**

Gallager-Humblet-Spira

Initially, each node is root of its own fragment.

Repeat (until all nodes in same fragment)

1. nodes learn fragment IDs of neighbors
2. root of fragment finds **blue edge (u,v)** by convergecast
3. root sends message to u (inverting parent-child)
4. if v also sent a **merge request** over (u,v), **u or v becomes new root** depending on smaller ID (make **trees directed**)
5. new root informs fragment about new root (convergecast on „MST“ of fragment): new fragment ID

Time Complexity?



Message Complexity?



Each phase mainly consists of two convergecasts, so $O(D)$ time and $O(n)$ messages per phase?

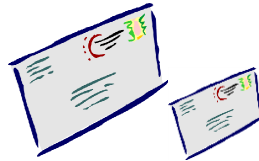
Analysis



Time Complexity?

Log n phases with $O(n)$ time
convergecast: spanning tree is not BFS!

The size of the smallest fragment **at least doubles** in each phase, so it's **logarithmic**. But converge cast may take n hops
 $O(n \log n)$ where n is graph size.



Message Complexity?

Log n phases but in each
phase need to learn leader ID
of neighboring fragments, for
all neighbors!

$O(m \log n)$ where m is number of edges: at most $O(1)$
messages on each edge in a phase.

Really needed? Each phase mainly consists of two convergecasts, so **$O(n)$ time and $O(n)$ messages**. In order to learn fragment IDs of neighbors, $O(m)$ messages are needed (again and again: ID changes in each phase).

Yes, we can do better. ☺

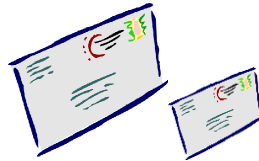
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Really needed? Each phase mainly consists of two convergecasts, so $O(n)$
time (m)
mess

Note: this algorithm can solve leader
election! Leader = last surviving root!

Literature for further reading:

- Peleg's book

End of lecture

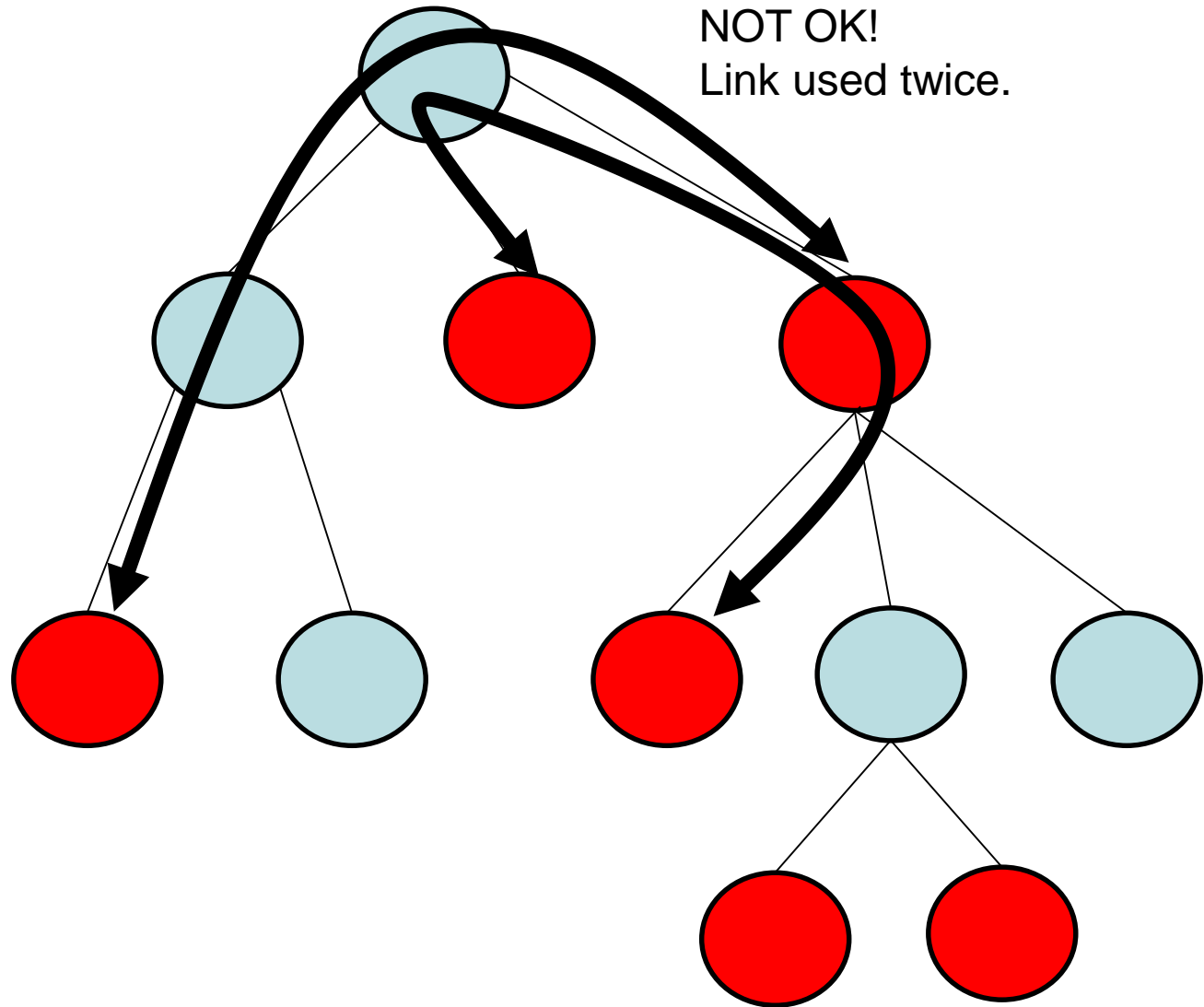
Exercise 2: License to Match

In preparation of a highly dangerous mission, the participating agents of the gargantuan Liechtensteinian secret service (LSS) need to work in pairs of two for safety reasons. All members in the LSS are organized in a tree hierarchy. Communication is only possible via the official channel: an agent has a secure phone line to his direct superior and a secure phone line to each of his direct subordinates. Initially, each agent knows whether or not he is taking part in this mission. The goal is for each agent to find a partner.

- a) Devise an algorithm that will match up a participating agent with another participating agent given the constrained communication scenario. A “match” consists of an agent knowing the identity of his partner and the path in the hierarchy connecting them. Assume that there is an even number of participating agents so that each one is guaranteed a partner. Furthermore, observe that¹ the phone links connecting two paired-up agents need to remain open at all times. Therefore, you cannot use the same link (i.e., an edge) twice when connecting an agent with his partner.
- b) What are the time and message (i.e., “phone call”) complexities of your algorithm?

Exercise 2: License to Match

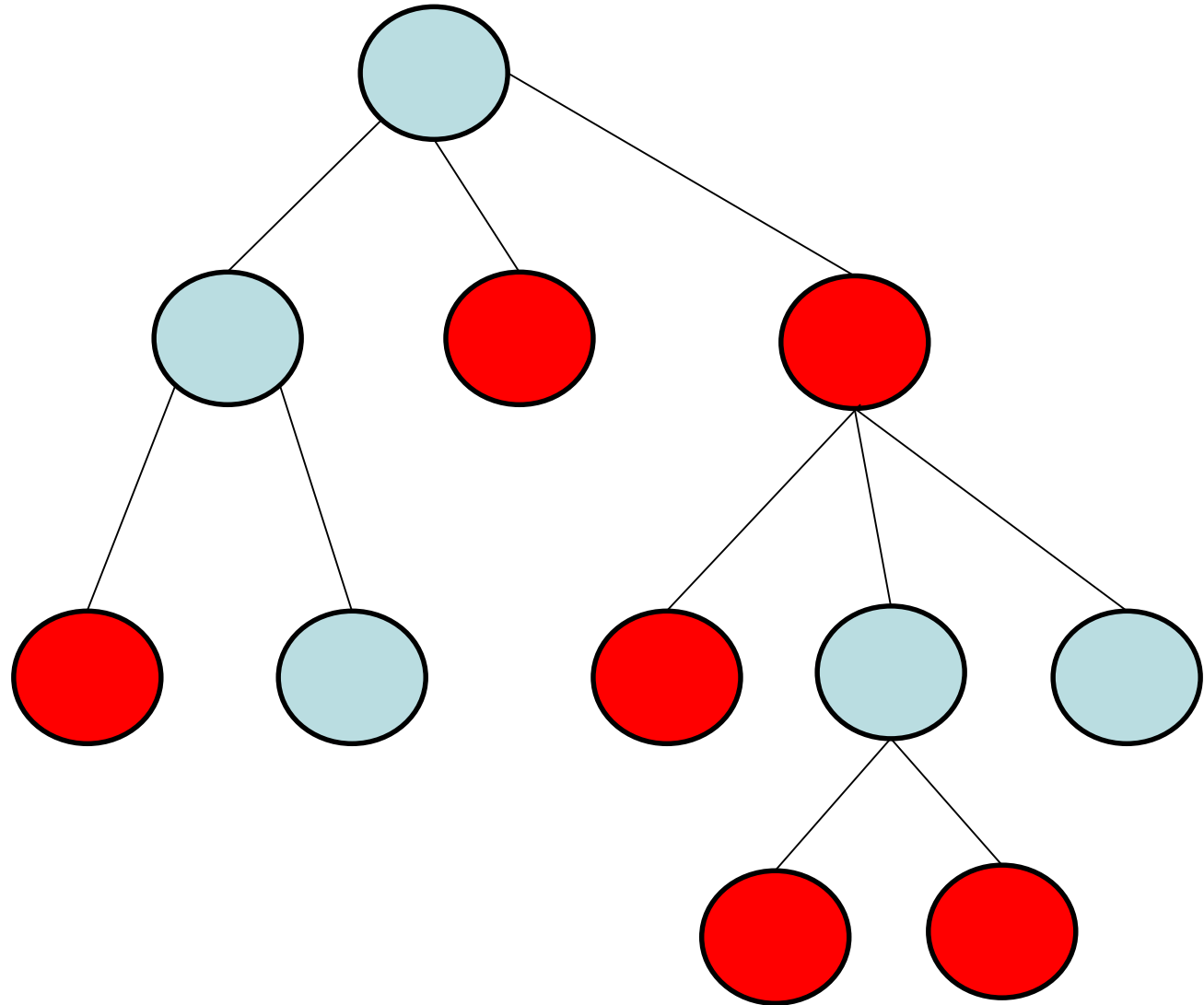
- Only a subset participates in the mission!
- Only know whether I myself participate initially
- Need to build pairs
- Communication along tree: use link only once!



Exercise 2: License to Match

Idea: Convergecast!

- Pair up from leaves upward
- At most one unmatched guy in subtree: either match with myself or propagate upward (links used at most once!)
- If total number of active nodes even, there is a solution



Exercise 2: License to Match

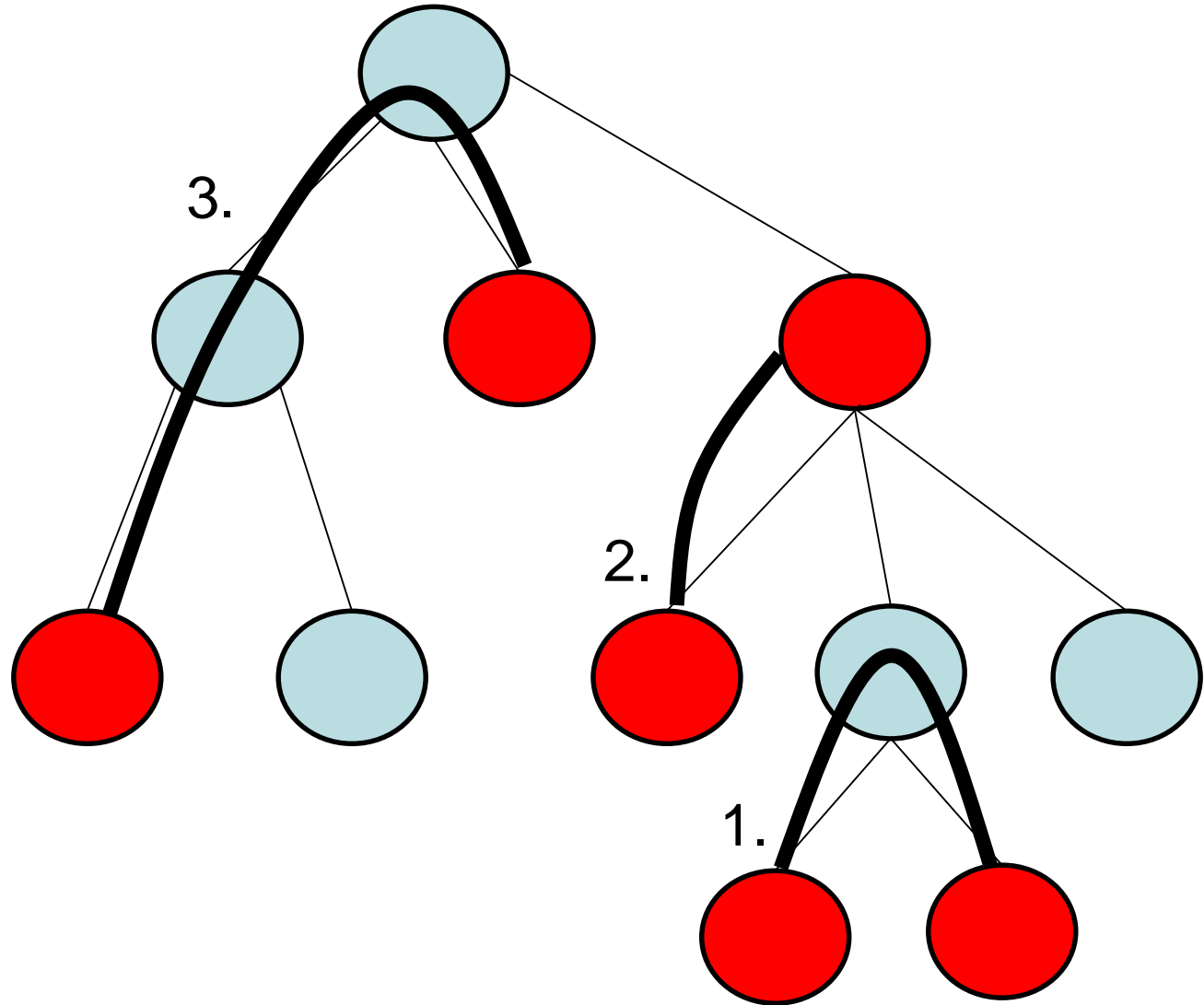
Algorithm 1 Edge-Disjoint Matching

```
1: wait until received message from all children
2: while remain  $\geq 2$  requests (including myself) do
3:   match any two requests
4: end while
5: if exists leftover request then
6:   send up "match"
7: else
8:   send up "no match"
9: end if
```

Exercise 2: License to Match

Idea: Convergecast!

- Pair up from leaves upward
- At most one unmatched guy in subtree: either match with myself or propagate upward (links used at most once!)
- If total number of active nodes even, there is a solution



Exercise 2: License to Match

Analysis

- Runtime in the order of tree depth
- Number of messages linear in tree size

