

# CPSC 331 HW1

Ali Akbari

TOTAL POINTS

**27 / 30**

## QUESTION 1

### Asymptotic Notations 10 pts

#### 1.1 Summation is $\Theta(n^{k+1})$ 3 / 3

- ✓ - **0 pts** Correct
  - **0.5 pts** minor mistakes or missing
  - **1 pts** conceptually correct with some incorrect portions, or partial conceptual errors
  - **2 pts** important conceptual mistakes, some correct portions
  - **2.5 pts** mostly incorrect
  - **3 pts** No submission
  - **1.5 pts** either big O or big omega is missing in case proven by definition

#### 1.2 Transitivity of little-o 2 / 3

- ✓ + **3 pts** Correct
  - + **2.5 pts** Mostly correct
  - + **1 pts** the proof is not convincing. some correct parts
  - **0.5 pts** in case definition used: constant c missing or some mistakes
- ✓ - **0.5 pts** in case definition used: mistake or missing in N or  $N_i$ 
  - + **0.5 pts** attempt
  - + **0 pts** not submitted or completely incorrect
  - **1 pts** in case of using definition: the main part of proof has some missing parts or mistakes
- ✓ - **0.5 pts** a mistake in the main part of proof

- 1 wrong
- 2 not necessarily the same N as the previous one so needs a different notation
- 3 ?

#### 1.3 Polynomials are $\Theta(n^k)$ 2 / 2

- ✓ + **2 pts** Correct
  - + **1.5 pts** minor mistake or missing - proof is correct in general
  - + **1 pts** partially correct or either big O or big omega is correct not both
  - + **0.5 pts** unconvincing attempt
  - + **0 pts** no submission or incorrect

#### 1.4 Function examples 2 / 2

- ✓ - **0 pts** Correct
  - **2 pts** Incorrect
  - **1 pts** Major issue
  - **0.5 pts** Major-ish issue

## QUESTION 2

### 2 Loop Complexity 8 / 10

- **1 pts** Big O instead of Theta
- **3 pts** No summation/loop invariant
- **3 pts** Not tracing the code
- **1 pts** Loose upper bound
- ✓ - **4 pts** Underestimating the upper bound
- ✓ - **0 pts** Correct
  - **4 pts** Over estimating the tight bound
  - **10 pts** Not submitted
- + **2 Point adjustment**

💬 good effort, a slight error in the summations caused the final answer to be wrong

## QUESTION 3

### Horner's Rule 10 pts

#### 3.1 Trace execution 2 / 2

- ✓ - **0 pts** Correct
  - **0.5 pts** Minor issue

- **1 pts** Major issue
- **2 pts** DNS
- **0.25 pts** Micro issue

### 3.2 Show loop invariant 3 / 3

✓ - **0 pts** Correct

- **0.5 pts** little problem in maintenance part.
- **1.5 pts** incomplete answer
- **1 pts** Problem in maintenance part.
- **2 pts** incorrect responses in both parts.
- **1 pts** initialization step is not well described.
- **0.5 pts** little problem in initialization part.
- **3 pts** answer not provided.
- **2.5 pts** maintenance step is not provided and initialization is not correct.
- **2 pts** maintenance step is not provided.

### 3.3 Partial correctness 3 / 3

✓ - **0 pts** Correct

- **0.25 pts** precondition is not discussed
- **0.25 pts** Post-condition is not discussed
- **0.25 pts** precondition and post condition are not shown well.
- **2 pts** The answer is not explained well.
- **1 pts** termination is not discussed correctly.
- **3 pts** Answer is not provided.
- **2.5 pts** the answer is not including post and pre condition and termination condition.
- **1.5 pts** no mathematical proof is provided. pre-condition and post-condition is not discussed.

### 3.4 Complexity 2 / 2

✓ + **2 pts** Correct

- **2 pts** incorrect
- **1 pts** The solution is close but not precisely correct.

## CPSC 331 Assignment #1

Ali Akbari

30010402

### 1) Asymptotic Notations

a) Prove that  $\sum_{i=1}^n i^k = \Theta(n^{k+1})$

$$\text{Let } f(n) = \sum_{i=1}^n i^k = 1^k + 2^k + 3^k \dots + n^k$$

$$\text{Let } g(n) = n^{k+1}$$

$f(n) = \Theta(g(n))$  if and only if  $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$ .

By the definition of  $\Theta$  we have to prove that

$$c_1 * n^{k+1} \leq \sum_{i=1}^n i^k \leq c_2 * n^{k+1}, \text{ where } c_1 \text{ \& } c_2 \text{ are positive constant, } k \geq 1,$$

$$n > N$$

#### Upper Bound:

$f(n) = O(g(n))$ , if  $f(n) \leq c * g(n)$ , where  $c > 0$  &  $n > N_2$ .

$$f(n) = \sum_{i=1}^n i^k = 1^k + 2^k + 3^k \dots + n^k$$

Assume that every term is as big as the last term,

then by maximizing the terms we find an upper bound.

$$\text{so, has to be } f(n) = \sum_{i=1}^n i^k = 1^k + 2^k + 3^k \dots + n^k \leq n^k + n^k + n^k \dots + n^k$$

$n^k + n^k + n^k \dots + n^k$  has  $n$  terms, and can be generalized to

$$n * n^k = 1 * n^{k+1}$$

so this proves that  $f(n) \leq g(n)$ ,

$$\sum_{i=1}^n i^k = 1^k + 2^k + 3^k \dots n^k \leq 1 * n^{k+1}$$

where  $c = 1$

Thus,  $f(n) = O(g(n))$

### Lower Bound:

$$f(n) = \sum_{i=1}^n i^k = 1^k + 2^k + 3^k \dots + \left(\frac{n}{2}\right)^k + \dots n^k$$
$$g(n) = n^{k+1}$$

$$f(n) = \Omega g(n), \text{ if } f(n) \geq c * g(n), \text{ where } c > 0 \text{ \& } n > N_1.$$

Since we know that at the halfway point it is  $\left(\frac{n}{2}\right)^k$  and has a complexity of  $n^k$ , by taking the right half of  $f(n)$  we are left with a truncated series :

$$f(n) = \sum_{i=1}^n i^k \geq \left(\frac{n}{2}\right)^k + \left(\frac{n}{2} + 1\right)^k + \left(\frac{n}{2} + 2\right)^k \dots + n^k$$

Assume that every term is as small as the first term, then by decreasing the terms we find a lower bound.

$$f(n) \geq \left(\frac{n}{2}\right)^k + \left(\frac{n}{2}\right)^k + \left(\frac{n}{2}\right)^k \dots + n^k$$

This has  $\frac{n}{2}$  terms (half of  $f(n)$ ), and can be generalized to

$$\frac{n}{2} * \left(\frac{n}{2}\right)^k = \left(\frac{n^{k+1}}{2^{k+1}}\right)$$

the  $\frac{1}{2^{k+1}}$  is just a constant so, it is the same as  $c * n^{k+1}$

so this proves that  $f(n) \geq g(n)$ ,

$$\sum_{i=1}^n i^k = 1^k + 2^k + 3^k \dots n^k \geq \frac{1}{2^{k+1}} * n^{k+1}$$

$$\text{where } c = \frac{1}{2^{k+1}}$$

$$f(n) = \Omega g(n)$$

Using both upper and lower bounds, it proves that  $f(n) = O g(n)$  and  $f(n) = \Omega g(n)$

$$\frac{1}{2^{k+1}} * n^{k+1} \leq \sum_{i=1}^n i^k \leq 1 * n^{k+1}, c_1 * g(n) \leq f(n) \leq c_2 * g(n)$$

For all  $n > \max(N_1, N_2)$  where,  $c_1 = \frac{1}{2^{k+1}}$ ,  $c_2 = 1$

$$\Rightarrow f(n) = \Theta(g(n))$$

## 1.1 Summation is $\Theta(n^{k+1})$ 3 / 3

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- 3 pts No submission
- 1.5 pts either big O or big omega is missing in case proven by definition

**b) Little o Transitive Proof**

Prove that little - o is transitive, ie. if  $f(n) = o(g(n))$  and  $g(n) = o(h(n))$ , then  $f(n) = o(h(n))$ .

By definition of little o :

if  $f(n) = o(g(n))$  then for any positive constant  $c$ , there exists an  $N$  such that  $f(n) < c * g(n)$  for all  $n > N$ .

As  $g(n)$  is a loose upper bound, it must grow at a faster rate

Equivalently the limit is

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \frac{f(n)}{\infty} = 0. \quad \text{①}$$

Similarly it follows that

if  $g(n) = o(h(n))$  then for any positive constant  $c'$ , there exists an  $N$  such that  $g(n) < c' * h(n)$  for all  $n > N$ . ②

As  $h(n)$  is a loose upper bound, it must grow at a faster rate

Equivalently the limit is

$$\lim_{n \rightarrow \infty} \frac{g(n)}{h(n)} = \frac{g(n)}{\infty} = 0.$$

Thus, it follows that

$$f(n) < c * o(g(n)) < c' o(h(n)) \quad \text{③}$$

Since  $h(n)$  is loose upper bound of  $g(n)$  then it is also a looser upper bound of  $f(n)$ .  $f(n) < c'o(h(n))$

Equivalently the limit is

$$\lim_{n \rightarrow \infty} \frac{f(n)}{h(n)} = \frac{f(n)}{\infty} = 0.$$

If  $g(n)$  has a loose upper bound on  $f(n)$  and  $h(n)$  has a loose upper bound on  $g(n)$ , then  $h(n)$  also is a loose upper bound on  $f(n)$

example :  $f(n) = n$ ,  $g(n) = n^2$ ,  $h(n) = n^n$

$$f(n) < 1 * g(n) < 1 * h(n)$$

$$f(n) < 1 * h(n)$$

Transitivity rules hold for little - o.

**c)** Show that for polynomial  $p(n) = \Theta(n^k)$ .

Let  $p(n) = a_0 + a_1n + a_2n^2 + \dots + a_kn^k$  where  $a_k > 0$ . Show that  $p(n) = \Theta(n^k)$ .

By using the limit property of  $\Theta$  we prove this :

$$\text{Let } f(n) = p(n) = a_0 + a_1n + a_2n^2 + \dots + a_{k-1}n^{k-1} + a_kn^k$$

$$\text{Let } g(n) = n^k$$

$$f(n) = \Theta g(n) \text{ if } \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0 < c < \infty \text{ so,}$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \frac{a_0 + a_1n + a_2n^2 + \dots + a_kn^k}{n^k}$$

by dividing out comon term

$$\text{numerator} = a_k * n^k * \left( \frac{a_0}{a_k} \frac{1}{n^k} + \frac{a_1}{a_k} \frac{1}{n^{k-1}} + \frac{a_2}{a_k} \frac{1}{n^{k-2}} + \dots + \frac{a_{k-1}}{a_k} \frac{1}{n} + 1 \right)$$

As  $n$  approaches  $\infty$

$$\text{numerator} = a_k * n^k * \left( \frac{a_0}{a_k} \frac{1}{\infty} + \frac{a_1}{a_k} \frac{1}{\infty} + \frac{a_2}{a_k} \frac{1}{\infty} + \dots + \frac{a_{k-1}}{a_k} \frac{1}{\infty} + 1 \right)$$

$$\text{numerator} = a_k * n^k * (0 + 0 + 0 + \dots + 0 + 1)$$

$$\text{numerator} = a_k * n^k * (1)$$

$$\text{simplified limit, } \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \frac{a_k * n^k}{n^k} = a_k$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = a_k, \text{ so } c = a_k \text{ and } f(n) = p(n) = \Theta(n^k).$$

## 1.2 Transitivity of little-o 2 / 3

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+ 2.5 pts Mostly correct

+ 1 pts the proof is not convincing. some correct parts

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If  $g(n)$  has a loose upper bound on  $f(n)$  and  $h(n)$  has a loose upper bound on  $g(n)$ , then  $h(n)$  also is a loose upper bound on  $f(n)$

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by dividing out comon term

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### 1.3 Polynomials are $\Theta(n^k)$ 2 / 2

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d)

Find two positive valued functions  $f(n)$  and  $g(n)$  such that  
Neither  $f(n) = O(g(n))$  nor  $g(n) = O(f(n))$ .

Not all functions are asymptotically comparable as some do not grow at an orderly rate, some functions oscillate, some functions have conditions like piecewise functions.

For example we can not compare the following :

(CLRS, p. 52)

$$f(n) = n$$

$$g(n) = n^{1 + \sin(n)}$$

The exponent of  $g(n)$  equates to  $(1 + -1)$  or  $(1 + 1)$   
oscillating between 0, 1

Thus,  $g(n)$  oscillates between

$$g(n) = n^0 = 1 \text{ or } g(n) = n^2$$

Example #2

$$f(n) = n$$

$$g(n) = \begin{cases} 1 & \text{if } n \text{ is odd} \\ 0 & \text{if } n^2 \text{ is even} \end{cases}$$

Neither  $f(n) = O(g(n))$  nor  $g(n) = O(f(n))$  for both examples.

They can not be compared using asymptotic notation.

## 2) Loop Invariant asymptotic complexity

```
sum = 0;
```

```
for( i = 1; i < n; i++ )
```

```
    for( j = 1; j < i * i; j++ )
```

```
        if( j % i == 0 )
```

```
            for( k = 0; k < j; k++ )
```

```
                sum ++;
```

## 1.4 Function examples 2 / 2

- ✓ - **0 pts** Correct
- **2 pts** Incorrect
- **1 pts** Major issue
- **0.5 pts** Major-ish issue

d)

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        if( j % i == 0 )
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            for( k = 0; k < j; k++ )
```

```
                sum ++;
```

$i$	$j$	$j \bmod i = 0$	$sum$
1	1	1	1
2	1, 2, 3	2	$1 + (1 + 1) = 3$
3	1, 2, 3, 4, 5, 6, 7, 8	3, 6	$3 + (1 + 1 + 1) = 6$ $6 + (1 + 1 + 1 + 1 + 1 + 1) = 12$
4	1, 2, 3, ....., 15	4, 8, 12	$+= 4, 8, 12$
5	1, 2, 3, ....., 24	5, 10, 15, 20	$+= 5, 10, 15, 20$
$i$	1, 2, 3, ....., $i^2 - 1$	$i, 2i, 3i, \dots, i * (i - 1)$	$+= i, 2i, 3i, \dots, i * (i - 1)$

*sum is dependent on i and sum increments by i, 2i, 3i .... until  $i * (i - 1)$*

$$sum = i + 2i + 3i \dots (i - 1) * i$$

*Let  $x = sum$ , then we get this arithmetic series  $\sum_{x=1}^{i-1} = xi$*

*and i is incremented upto  $n - 1$  from the outer loop, so we get another summation*

*arithmetic series  $\sum_{i=1}^{n-1} = i$  and by combining we get the asymptotic value of sum.*

$$\sum_{i=1}^{n-1} = i * \sum_{x=1}^{i-1} = xi \text{ and by using the arithmetic series formula we get :}$$

$$S_n = \frac{n(a_1 + a_n)}{2}$$

$$\sum_{i=1}^{n-1} * \sum_{x=1}^{i-1} = \frac{i(i(i-1)+i)}{2} = \frac{i(i^2-i+i)}{2} = \frac{(i^3)}{2}$$

$$f(n) = \Theta g(n) \text{ if } \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0 < c < \infty \text{ so,}$$

$$\text{Let } f(n) = \frac{(n^3)}{2} \quad \text{Let } g(n) = n^3$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \frac{\frac{(n^3)}{2}}{n^3} \Rightarrow \frac{1}{2} * \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \frac{n^3}{n^3} = 1 \Rightarrow 1 * \frac{1}{2} = \frac{1}{2}$$

Therefore sum has a precise asymptotic characterization of  
 $\text{sum} = \theta(n^3)$  where  $c = \frac{1}{2}$ .

3)

a) Tracing polynomial using Horner's Rule at  $x = 3$

$$f(x) = \sum_{k=0}^{n-i} a_k x^k$$

$$\begin{aligned} f(x) &= 4x^4 + 8x^3 + x + 2 \\ &= 4(3 * 3 * 3 * 3) + 8(3 * 3 * 3) + (3) + 2 \\ &= 545 \end{aligned}$$

$$y = 0;$$

for  $i = n$  to 0 do

$$y = a_i + (x * y);$$

$i - -$

end

$i = n = \text{degree of polynomial}$

$a_i = \text{coefficient of said term with exponent } i$

$i$	$y$
4	$y = 4 + (3 * 0)$
3	$y = 8 + (3 * 4)$
2	$y = 0 + (3 * 20)$
1	$y = 1 + (3 * 60)$
0	$y = 2 + (3 * 181) = 545$

## 2 Loop Complexity 8 / 10

- 1 pts Big O instead of Theta
- 3 pts No summation/loop invariant
- 3 pts Not tracing the code
- 1 pts Loose upper bound
- ✓ - 4 pts Underestimating the upper bound
- ✓ - 0 pts Correct
- 4 pts Over estimating the tight bound
- 10 pts Not submitted

### + 2 Point adjustment

💬 good effort, a slight error in the summations caused the final answer to be wrong



$$\text{Let } f(n) = \frac{(n^3)}{2} \quad \text{Let } g(n) = n^3$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \frac{\frac{(n^3)}{2}}{n^3} \Rightarrow \frac{1}{2} * \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \frac{n^3}{n^3} = 1 \Rightarrow 1 * \frac{1}{2} = \frac{1}{2}$$

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### 3.1 Trace execution 2 / 2

✓ - **0 pts** Correct

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- **1 pts** Major issue

- **2 pts** DNS

- **0.25 pts** Micro issue

**b)**

*Consider the following loop invariant :*

*At the start of each iteration of the for loop :*

$$y = \sum_{k=0}^{n-(i+1)} a_{k+i+1} x^k$$

*Show that this loop invariant holds by showing the initialization and maintenance steps. This can be shown by induction.*

*Base/ Initialization Case :*

*Let  $i = n$  then,*

$$y = \sum_{k=0}^{-1} a_{k+n+1} x^k \text{ since the summation would have no terms, i.e empty}$$

*summation and based on given algorithm in part a  $y = 0$ .*

*Induction/ Maintenance Step :*

*proof for  $i > n$*

*Suppose the loop invariant holds for  $i$ th iteration, and the loop runs one more time.*

$$y = \sum_{k=0}^{n-(i+1)} a_{k+i+1} x^k$$

$$y = \sum_{k=0}^{n-i-1} a_{k+i+1} x^k$$

*From the above tracing and from the for loop algorithm we know that at some  $i$ th iteration we have :*

$$y = a_i + (x * (\sum_{k=0}^{n-i-1} a_{k+i+1} x^k) - \text{previous summation})$$

$$\text{This is equivalent to } y = a_i + \sum_{k=0}^{n-i-1} a_{k+i+1} x^{k+1}$$

where  $x$  was put back into the sum

If we shift or go to the next iteration as previously stated then we get :

$$y = a_i + \sum_{k=1}^{n-i} a_{k+i} x^k$$

Since  $a_i$  is just part of the sum iteration when  $x^0$ , it can be put back into the summation.

$$y = \sum_{k=0}^{n-i} a_{k+i} x^k$$

holds for the next iteration as  $n - i - 1$  was incremented to  $n - 1$ .

Thus, the loop invariant holds for both initialization and maintenance.

**c)**

*Partial Correctness*

Assume the loop terminates, the loop also has the following conditions :

Pre - condition :  $n \geq 0$  and the coefficient sequence  $(a_0, a_1, \dots, a_n)$  is given.

Post - condition :  $y = \sum_{k=0}^n a_k x^k$  - from part A

Based on our maintenance from part B we got this summation

$$y = \sum_{k=0}^{n-i} a_{k+i} x^k$$

Based on the assumption that the loop terminates, and that it terminates at  $i - 1$  it means that  $i$  has passed 0 so in the post condition  $i = 0$ .

$$f(x) = y = \sum_{k=0}^{n-0} a_{k+0} x^k \Rightarrow f(x) = y = \sum_{k=0}^n a_k x^k$$

Thus, since termination is assumed and post - condition follows the loop is correct.

### 3.2 Show loop invariant 3 / 3

✓ - 0 pts Correct

- 0.5 pts little problem in maintenance part.
- 1.5 pts incomplete answer
- 1 pts Problem in maintenance part.
- 2 pts incorrect responses in both parts.
- 1 pts initialization step is not well described.
- 0.5 pts little problem in initialization part.
- 3 pts answer not provided.
- 2.5 pts maintenance step is not provided and initialization is not correct.
- 2 pts maintenance step is not provided.

where  $x$  was put back into the sum

If we shift or go to the next iteration as previously stated then we get :

$$y = a_i + \sum_{k=1}^{n-i} a_{k+i} x^k$$

Since  $a_i$  is just part of the sum iteration when  $x^0$ , it can be put back into the summation.

$$y = \sum_{k=0}^{n-i} a_{k+i} x^k$$

holds for the next iteration as  $n - i - 1$  was incremented to  $n - 1$ .

Thus, the loop invariant holds for both initialization and maintenance.

**c)**

*Partial Correctness*

Assume the loop terminates, the loop also has the following conditions :

Pre - condition :  $n \geq 0$  and the coefficient sequence  $(a_0, a_1, \dots, a_n)$  is given.

Post - condition :  $y = \sum_{k=0}^n a_k x^k$  - from part A

Based on our maintenance from part B we got this summation

$$y = \sum_{k=0}^{n-i} a_{k+i} x^k$$

Based on the assumption that the loop terminates, and that it terminates at  $i - 1$  it means that  $i$  has passed 0 so in the post condition  $i = 0$ .

$$f(x) = y = \sum_{k=0}^{n-0} a_{k+0} x^k \Rightarrow f(x) = y = \sum_{k=0}^n a_k x^k$$

Thus, since termination is assumed and post - condition follows the loop is correct.

### 3.3 Partial correctness 3 / 3

✓ - 0 pts Correct

- 0.25 pts precondition is not discussed
- 0.25 pts Post-condition is not discussed
- 0.25 pts precondition and post condition are not shown well.
- 2 pts The answer is not explained well.
- 1 pts termination is not discussed correctly.
- 3 pts Answer is not provided.
- 2.5 pts the answer is not including post and pre condition and termination condition.
- 1.5 pts no mathematical proof is provided. pre-condition and post-condition is not discussed.

**d)**

*Find precise asymptotic notation of Horner's Rule*

```
y = 0;                                Cost = 1
for i = n to 0 do                      Cost = 1
    y = ai + (x * y);                Cost = 3
    i = i - 1;                        Cost = 1
end
```

*//This algorithm runs for all elements  $n + 1$ , so  $2 + 4(n + 1) = 6 + 6n$  is the number of steps. Cost is in terms of operations and initialization.*

*As the loop runs through all  $n$  degree + 1 (1 for  $a_i x^0$ ), i.e  $i = n$  and  $i$  is decremented to  $-1$ . From question 1c, a polynomial  $P(n) = \Theta(n^k)$ . Substituting we get that our  $k$  is 1, and  $6n^0 + 6n^1 = \Theta(n^1)$ .*

*The precise running time complexity of the Horner's rule is  $\Theta(n)$ .*



### 3.4 Complexity 2 / 2

✓ + 2 pts Correct

- 2 pts incorrect

- 1 pts The solution is close but not precisely correct.