IBM Cloud Pak for Business Automation Demos and Labs

IBM Process Mining

From Insight to Action

IBM Process Mining v1.14 Lab Version 1.2

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1 Introduction

1.1 About Process Mining

Process mining is a family of techniques in process management that support the analysis of actual business processes based on event logs. During process mining, specialized data mining algorithms are applied to identify trends, patterns, and details in event logs recorded by an information system. Process mining aims to improve process efficiency and understanding of processes.

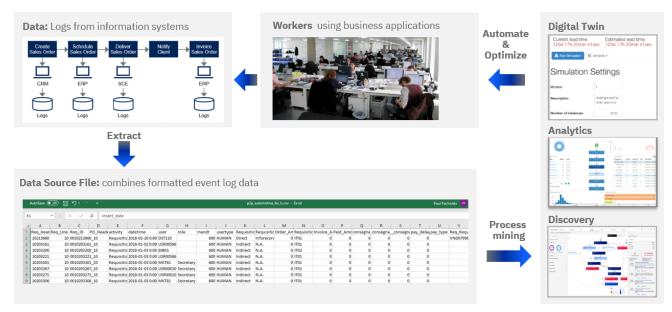


Figure 1. Process Mining

1.2 Process Mining Use Case Used in this Lab

1.2.1 Procure to Pay (P2P) Process

The Procure to Pay Process connects a company's procurement and entire supply chain processes through the goods receipt process and the payment issued to the vendor.

In recent years, companies have carefully looked at their Procure to Pay processes to:

- Reduce overall supply chain and inventory costs
- Free up needed cash
- Improve operational performance
- Make improved financial decisions

In addition to reducing overall supply chain and inventory costs, improving the Procure to Pay Process can add visibility that allows management to better communicate with the vendor about where the items are in the delivery process and payment to the vendor.

1.2.2 P2P Process - Process Improvement Areas

This lab is a case study showing the quick wins businesses can get when they apply process mining to the procure-to-pay (P2P) process. IBM Process Mining uses a standard approach with well-defined insights, including a business dashboard for procure-to-pay process analysis.

There are five areas of P2P processes where process analyses can derive the most significant value. The figure below shows a high-level P2P process and highlights critical improvement areas.

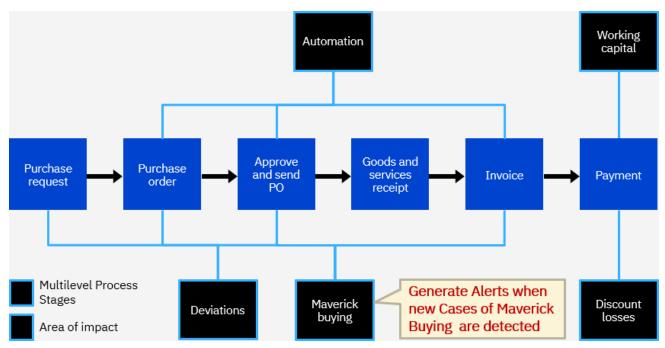


Figure 2. P2P Process Improvement and Automation Opportunities

In this lab, we will focus on Maverick Buying. We will focus on the type of Maverick Busying when the purchase has been made through pre-approved suppliers but outside the correct purchasing process. The specific process violation we will look for is where a purchase order is changed after the invoice is registered.

1.3 Lab Overview

In this lab, you will follow these high-level steps:

- **Examine the Dashboard used for Alert Generation.** The Alerts are generated when new Cases that match this criterion, "purchase order is changed after the invoice is registered," are added.
- Create Action Services and Monitors.
 - **Create Services.** You will create the Action Services, which define what REST endpoints to call when an alert occurs.
 - Create **Monitors.** You will create Monitors which define the criteria for generating an Alert and define what Action Service to call and what parameters to pass to the REST endpoint.
- **Generate New Process Events**. You will simulate the generation of new events in P2P Process by uploading a CVS file with the new events that also include Cases where the "purchase order is changed after the invoice is registered,"
- **Examine the Alerts.** You examine the two types of alerts that were generated: (i) a new BPMN process instance and an email. The Alert information will include a link to the Alerts Dashboard.
 - Examine New Alert Process Instances. You will use IBM Process Portal to claim and examine the Human Tasks in the Process instance that the Alert started. The Human Task includes a link to the Alert Dashboard. You will use this link to navigate to the Alert Dashboard and examine the new Maverick Buying Cases.
 - Examine New Alert Email. You will examine the new Email that includes the Alert information.
- Implementation Details of the Alert Endpoints. Optionally, you can examine how the Alert Endpoints were implemented in IBM Business Automation Workflow.

2 Lab Setup

2.1 Provision Process Mining Environment

_1. Download this document and follow the instruction for reserving Tech Zone Environment

2.2 Set RDP Session to DBA VM

2.2.1 Option 1

Use the IP address of the DBA VM provided by VPN.

- _1. Follow the instructions in "3.3 Using VM's IP via VPN" to set up the VPN
- _2. Follow the instructions in "3.3.2 VPN Accessing TM Client and DBA VM Using Remote desktop" to create RDP access for the DBA VM.

2.2.2 Option 2

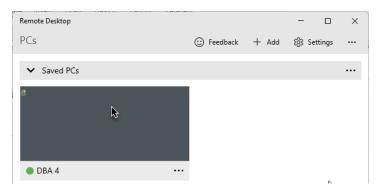
Use the RPD endpoint provided by the Published Services

_1. Follow the instructions in "3.4.2 3.4.2 Accessing Task Mining Client VM Using RDP"

3 Lab Instructions

3.1 Access DBA VM

_1. Start DBA VM RDP session.

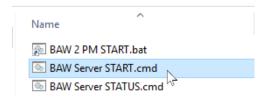


3.2 Start Business Automation Workflow Process Center Server

_1. Open BA RUNTIMES Desktop folder



_2. Double click BAW Server Start.cmd

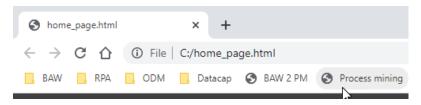


3.3 Open Procure to Pay Process

_1. Start Google Chrome web browser



_2. Click **Process mining** bookmark



_3. Login with maintenance.admin / IBMDem0s!

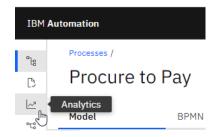
_4. Click the Procure to Pay tile



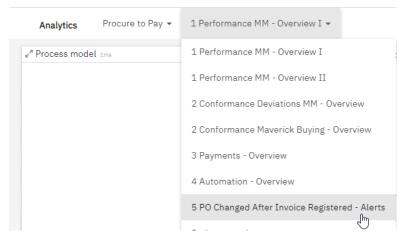
3.4 Examine the Dashabord used for Alert Generation

This Dashboard shows the cases that represent the Maverick Buying deviations. Later on, in this lab, we will create a Monitor that monitors changes to the data in this Dashboard. If new cases representing Maverick Buying are added, the Monitor will create alert actions such as email notifications and new BAW process instances.

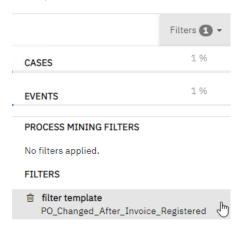
_1. Click Analytics



_2. Select 5 PO Changed After Invoice Registered – Alerts dashboard



_3. Open Filters and note that a Filter that was applied to this dashboard



Let's examine the dashboard filter. The PO_Chnaged_After_Invoice_Registed is a composite filter (it includes sever sub-filters).

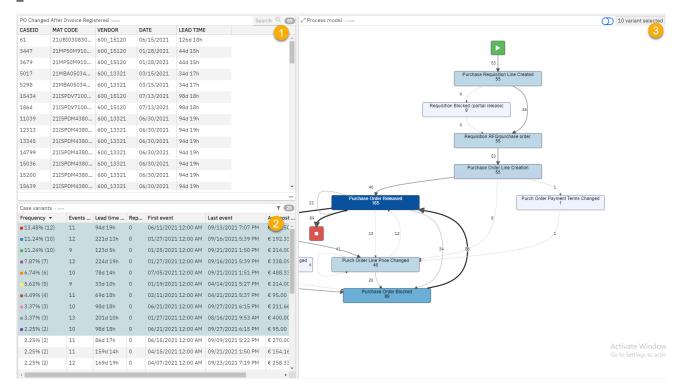


This composite Filter includes 4 filters.

- 1. The Case must not include the "Invoice Cleared' Activity
- 2. The Case must include the "Invoice Registered" Activity
- 3. The Case must include one of the activities that change a Purchase order. This is a custom filter (implemented using Java Script).
- 4. The PO change activities occur before the "Invoice Registered" Activity

This Filter has the effect of including only the cases where a Purchase Order has changed after the Invoice Registered Activity was invoked.

_4. Let's examine the dashboard

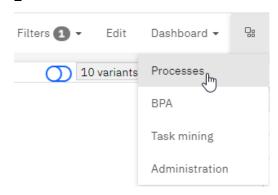


- 1. The **PO Changed After Invoice Registered** widget includes all Cases representing Maverick Buying based on the PO_Chnaged_After_Invoice_Registed Filter. This widget is linked to the other two widgets. Selecting a row representing a Case in this widget will update the other two widgets. We will use this feature later on in this lab after we upload new Cases and receive an alert.
- 2. The **Case variants** widget shows the variants.
- 3. The **Process model** widget shows the combined flows based on the selection in the **Case variants** widget

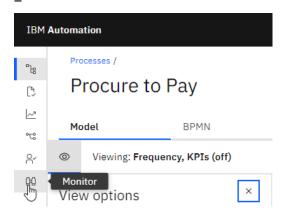
3.5 Create Action Services and Monitors

You will now create the Action Services: Email and start a business Automation Workflow process. Next, you will develop Monitors to monitor the Alert dashboard you just examined and associate Action Services with the Monitors.

Click Processes to switch back to the Model View.



_2. Click Monitor

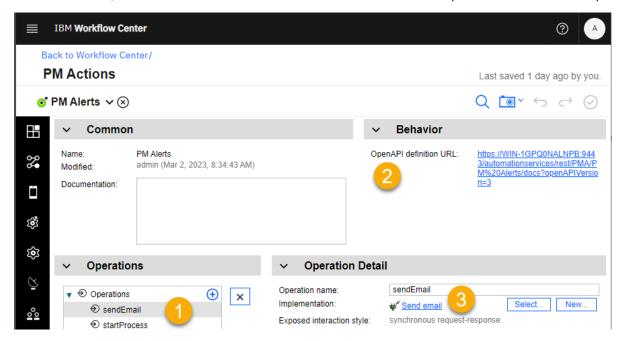


3.5.1 Create Services

Before creating a Monitor for a process, we must create a Service. In IBM Process Mining, Service is an API. Monitors invoke Services when they detect an actionable insight. In this lab, actionable insights are new Cases that are classified as Marick Buying.

We have created the two OpenAPI service operations for you: sendEmail and startPorcess.

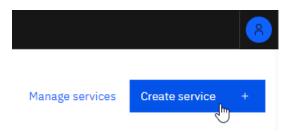
As shown below, we have used IBM Business Automation Workflow to implement these Service operations.



- 1. The PM Alerts REST Service provides two operations; sendEmail and startProcess.
- 2. The OpenAPI definition URL contains the endpoint we will use to define an Action Service in Process Mining.
- 3. The PM Alerts REST services operations were implemented as Service Flows. We will examine the details of the implementation later on in this lab.

3.5.1.1 Create sendEmail Service

_1. Click Crete services +



_2. In the Create a new service window, enter the following:

• Service title: Send Email

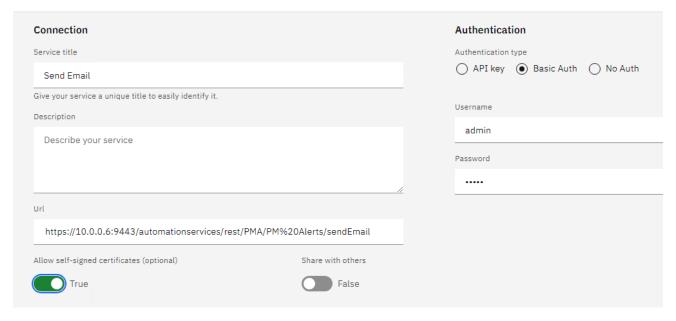
• Url: https://10.0.0.6:9443/automationservices/rest/PMA/PM%20Alerts/sendEmail

• Allow self-signed certificates (option): True

• Authentication type: Basic Auth

Username: adminPassword: admin

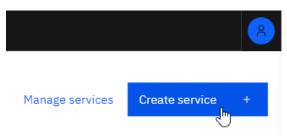
Create a new service



_3. Click Create

3.5.1.2 Create startProcess Service

_1. Click Crete services +



_2. In the Create a new service window, enter the following:

• Service title: Start Process

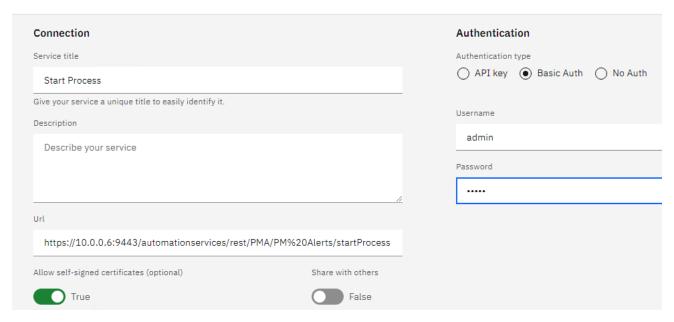
• Url: https://10.0.0.6:9443/automationservices/rest/PMA/PM%20Alerts/startProcess

• Allow self-signed certificates (option): True

• Authentication type: Basic Auth

Username: adminPassword: admin

Create a new service



_3. Click Create

_4. Click **Close** to close the *Manage services* window

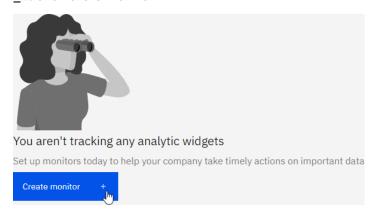
3.5.2 Create Monitors

Monitors in IBM Process Mining help you monitor a business process using custom criteria that can give insights into the Process's changes: Key Performance Indicators (KPIs), activity KPIs, analytic widgets, process activities, and transitions. In this lab, we will monitor changes in the Analytics Widget **PO Changed After Invoice Registered**. Specifically, when new cases classified as instances of maverick Buying arrive, the Monitor will generate alerts, send emails, and start a BPMN process.

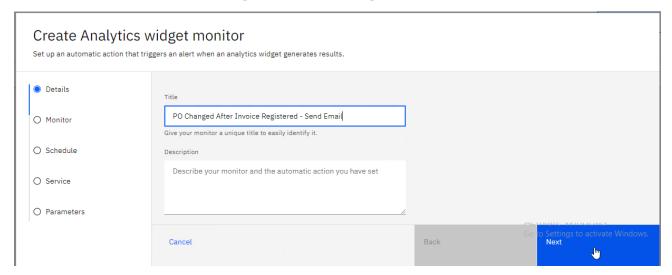
PO Changed After Invoice Registered 14ms				
CASEID	MAT CODE	VENDOR	DATE	LEAD TIME
61	21UBI030830	600_15120	06/15/2021	126d 18h
3447	21MPS0M910	600_15120	01/28/2021	44d 15h
3679	21MPS0M910	600_15120	01/28/2021	44d 15h
5017	21MBA05034	600_13321	03/15/2021	34d 17h
5298	21MBA05034	600_13321	03/15/2021	34d 17h
15434	21ISPDV7100	600_15120	07/13/2021	98d 18h
1864	21ISPDV7100	600_15120	07/13/2021	98d 18h

3.5.2.1 Create a Monitor to Send Email

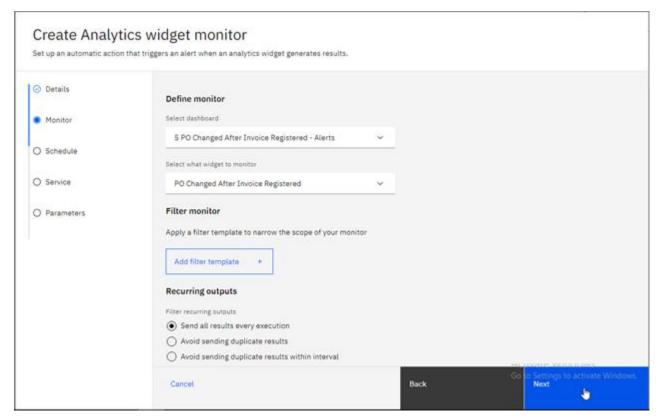
_1. Click Crete monitor +



_2. In Details, for Title, enter PO Changed After Invoice Registered - Send Email and click Next



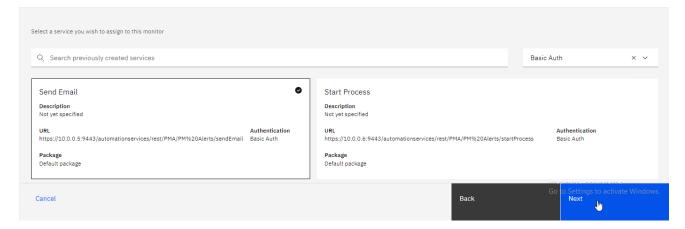
- _3. On the *Monitor* page, enter the following and then click **Next**:
- Selected dashboard: 5 PO Changed After Invoice Registered Alerts
- Select what widget to monitor: PO Changed After Invoice Registered
- Recurring outputs: Send all results every execution.



_4. On the *Schedule* page, leave **Data Upload** (we will generate alerts when a new data set is uploaded) and click **Next**



- _5. On Service, enter the following and then click **Next**:
- Basic Auth
- Select Send Email Service tile



_6. On Parameters, expand Body parameters dropdown and select Customized Body.

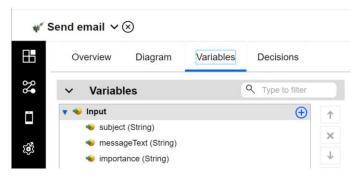


_7. For Customised Body enter:

{ "subject": "Alert: PO Changed After Invoice Registered", "messageText": " <body>1. Click link to open the PO Changed After Invoice Registered - Alerts 2. To locate the cases that caused this alert use the DATE column in the PO Changed After Invoice Registered widget.</bd>

Tegistered, "messageText": " <body>1. Click link to open the PO Changed After Invoice Registered - Alerts 2. To locate the cases that caused this alert use the DATE widget. </body>,"importance": "high" }

The body parameter in JSON format represents the input to the Send email Service Flow.



_8. Click Validate JSON and make sure you see "Valid JSON body".



_9. Click Verify Connection and make sure you see "Connected successfully."



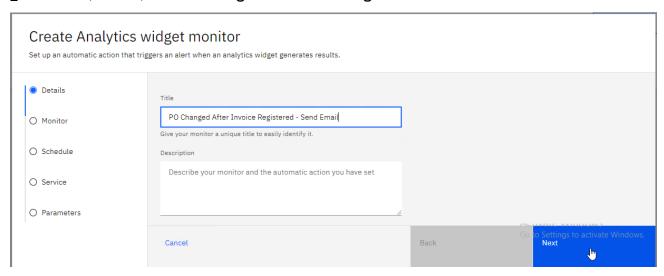
_10. Click Create

3.5.2.2 Create a Monitor to Start a Process

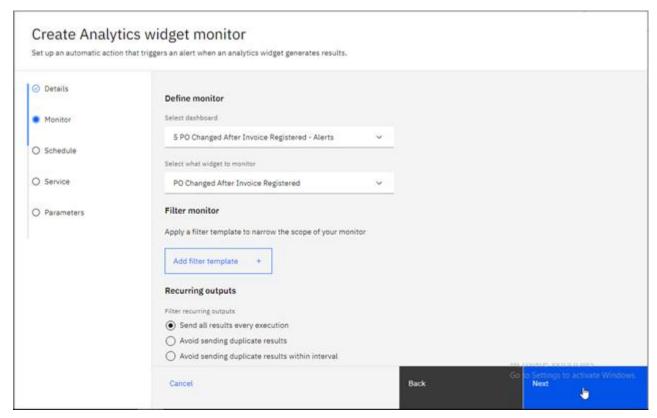
_1. Click Crete monitor +



_2. In Details, for Title, enter PO Changed After Invoice Registered – Start Process and click Next.



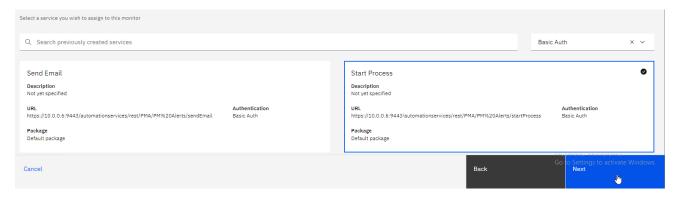
- _3. On *Monitor*, enter the following and then click **Next**:
- Selected dashboard: 5 PO Changed After Invoice Registered Alerts
- Select what widget to monitor: PO Changed After Invoice Registered
- Recurring outputs: Send all results every execution.



_4. On *Schedule*, leave **Data Upload** (we will generate alerts when a new data set is uploaded) and click **Next.**



- _5. On Service, enter the following and then click **Next**:
- Basic Auth
- Select Start Process Service tile

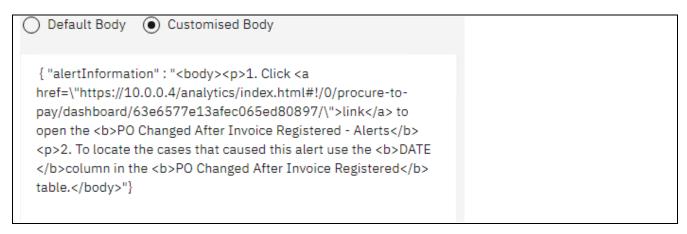


_6. On Parameters, expand Body parameters dropdown and select Customized Body

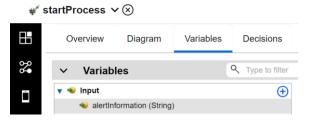


_7. For Customised Body enter:

{ "alertInformation" : "<body>1. Click link to open the PO Changed After Invoice Registered - Alerts2. To locate the cases that caused this alert use the DATE column in the PO Changed After Invoice Registered table.</body>"}



The body parameter in JSON format represents the input to the startProcess Service Flow.



_8. Click Validate JSON and make sure you see "Valid JSON body".



_9. Click Verify Connection and make sure you see "Connected successfully."

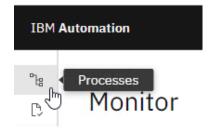


_10. Click Create

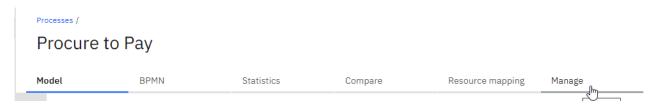
3.6 Generate New Process Events

We will now import a dataset that contains new cases containing instances of Maverick Buying. This will result in the Monitors generating alerts that send emails and start BPMN processes.

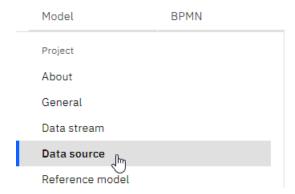
_1. Click **Processes** to switch back to the Model View.



_2. Click Manage tab



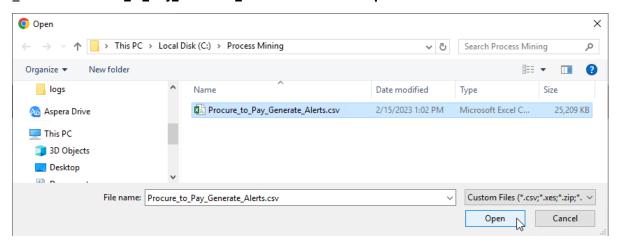
_3. Click the Data source tab



_4. Click Drag and drop file here or click to upload



- _5. Navigate to C:\Process Mining directory.
- _6. Select Procure_to_Pay_Generate_Alerts.csv and click Open.



_7. Click Visualize to analyze the new data set.





_8. Click the Model tab



You should now see more Cases and Events!



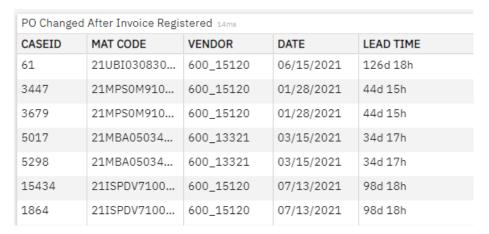
3.7 Examine the Alerts

You will now examine the generated Alerts. You should now see two Email Alerts and two Process Instances.

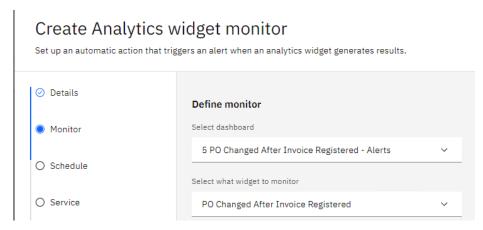
The first set of alerts was generated when you clicked the Verify Connection button while creating the Alert Monitors.



The Monitors generated the second set of alerts when you uploaded a new data set containing Cases (Maverick Buying instances). The new cases included instances where the PO was changed after an invoice was registered. As a result, they were added to the **PO Changed After Invoice Registered** widget



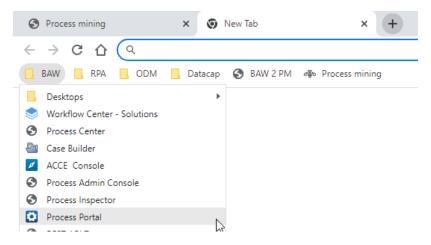
Recall that the Monitors you have created were designed to monitor this widget for changes.



3.7.1 Examine New Alert Process Instances

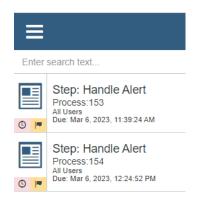
3.7.1.1 Open Handle Alert Task

_1. In the Chrome Web Browser, add a new tab, and from the Bookmarks, select BAW > Process Portal

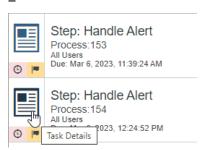


_1. In the Sign in page, enter admin / admin and click Continue

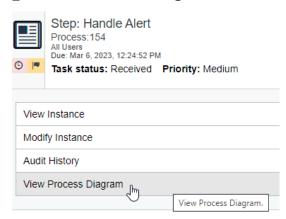
You should now see two Tasks



_2. Click **Task Details**



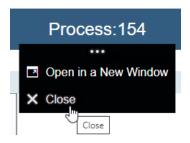
_3. Click View Process Diagram



Note that the process instance contains one Human Task ready to be claimed. This Task includes information about the Process Mining Alert.



_4. Click ... > Close



_5. Click Step: Handle Alert



Note that the Task includes

- 1. The link to the Process Mining Alert Dashboard and
- 2. The instructions on what to do to investigate the Maverik Buying cases

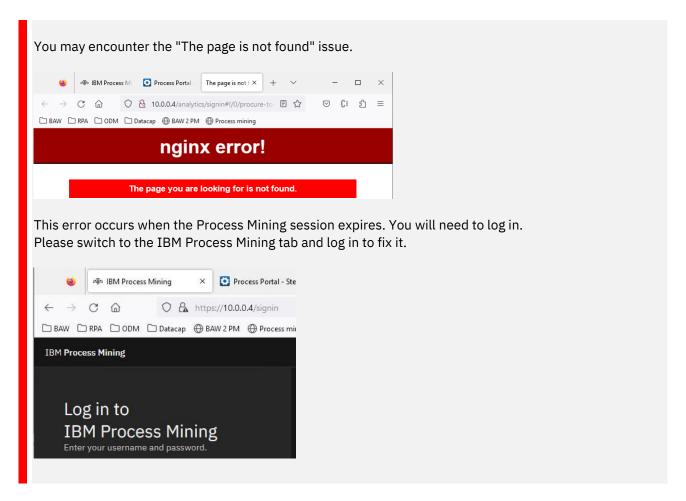


_6. Right-click the link, and from the pop-up menu, select Open link in a new tab



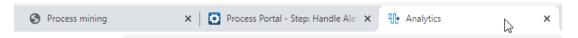
A New Analytics tab should now appear in the Chrome Web Browser.



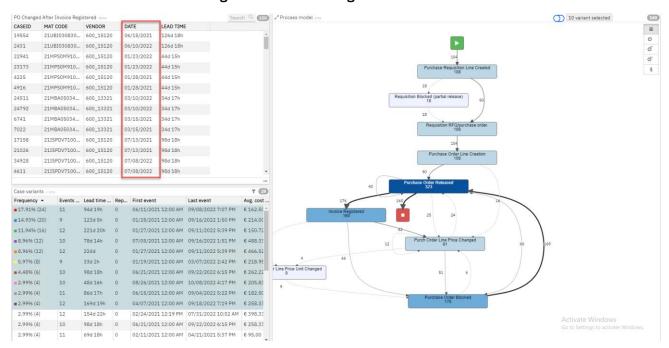


3.7.1.2 Analyze the New Maverick Buying Cases

Click the Analytics tab



You should now see the 5 PO Changed After Invoice Registrerd – Alerts dashboard



Note that the DATE column now shows new cases. The new cases have the date with the year set to 2022.

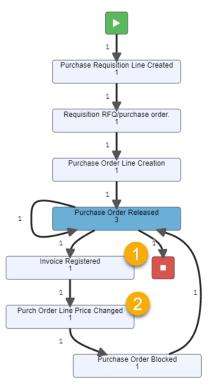
_2. Click the **DATE** column **twice to** sort the Cases in the *PO Changed After Invoice Registered* widget by date

PO Changed After Invoice Registered 23ms				
CASEID	MAT CODE	VENDOR	DATE	LEAD TIME
19554	21UBI030830	600_15120	06/15/2021	126d 18h
2431	21UBI030830	600_15120	06/10/2022	126d 18h

_3. Click any **row** in the *PO Changed After Invoice Registered* widget that continues the new Cases (the year is 2022), for example, row one.

PO Changed After Invoice Registered 20ms				
CASEID	MAT CODE	VENDOR	DATE -	LEAD TIME
1543	215696V000X	600_15120	09/09/2022	48d 16h
1309	215696V000X	600_15120	09/09/2022	48d 16h

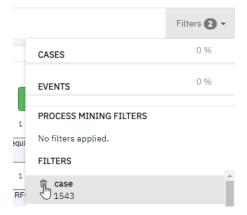
Note that the Dashboard now contains the Case Variant information for your selected Case.



You can now see the Maverick Buying violation:

- (2) the purchase order was changed after
- (1) the invoice was registered.

_4. Click **Filters 2 > Case** to remove the selection.

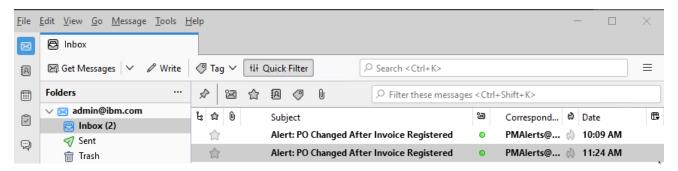


3.7.2 Examine New Alert Email

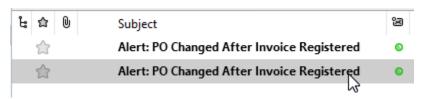
_1. In the Taskbar, click Thunderbird



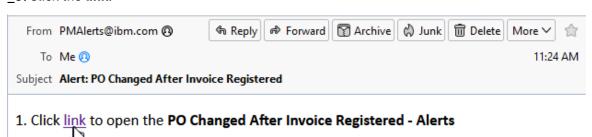
You should now see two new emails with the Subject Alert: PO Changed After Invoice Registered



_2. In the Taskbar, click the second email

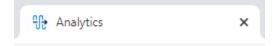


_3. Click the link.



2. To locate the cases that caused this alert use the **DATE** column in the **PO Changed After Invoice Registered** widget.

A new Analytics tab should now appear in the Chrome Web Browser



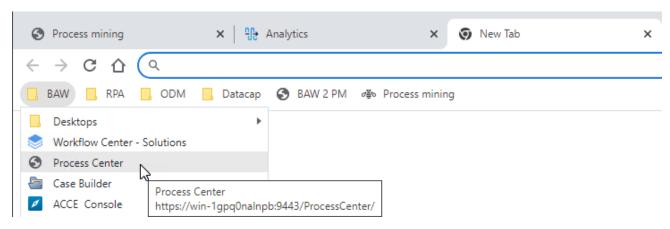
3.8 Lab Summary

In this lab, you completed these high-level steps:

- **Examine the Dashboard used for Alert Generation.** The Alerts are generated when new Cases that match this criterion, "purchase order is changed after the invoice is registered," are added.
- Create Action Services and Monitors.
 - **Create Services.** You will create the Action Services, which define what REST endpoints to call when an alert occurs.
 - Create **Monitors.** You will create Monitors which define the criteria for generating an Alert and define what Action Service to call and what parameters to pass to the REST endpoint.
- **Generate New Process Events**. You will simulate the generation of new events in P2P Process by uploading a CVS file with the new events that also include Cases where the "purchase order is changed after the invoice is registered,"
- **Examine the Alerts.** You examine the two types of alerts that were generated: (i) a new BPMN process instance and an email. The Alert information will include a link to the Alerts Dashboard.
 - Examine New Alert Process Instances. You will use IBM Process Portal to claim and examine the Human Tasks in the Process instance that the Alert started. The Human Task includes a link to the Alert Dashboard. You will use this link to navigate to the Alert Dashboard and examine the new Maverick Buying Cases.
 - Examine New Alert Email. You will examine the new Email that includes the Alert information.
- Implementation Details of the Alert Endpoints. Optionally, you can examine how the Alert Endpoints were implemented in IBM Business Automation Workflow. See Appendix A at the end of this document.

Appendix A. Implementation Details of the Alert Endpoints

_1. Add a new tab in the Chrome Web Browser, and select BAW > Process Center from the Bookmarks.



- _2. Enter credentials of admin / admin and click Log In
- _3. For PM Actions (PMA), click Open in Designer

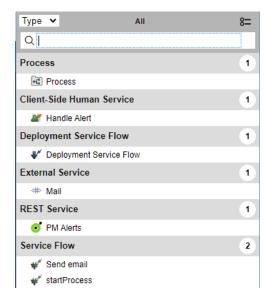


PM Actions is the Process App where the Action Services are implemented and exposed as Open API REST endpoints.

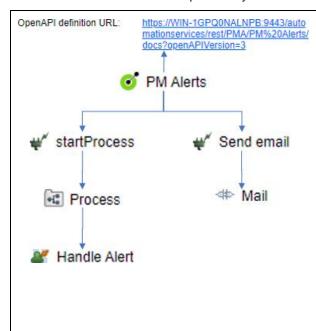
_4. Click **PM Actions** to get a list of all artifacts in the Process App.



You should now see the list of the artifacts.



Let's examine the artifacts' dependency



Open API definition URL

Exposes the endpoint and the operations for use bt the Alert Services in Process Mining

PM Alerts

REST Service definition. It includes two operations referenced by the Alert Services in Process Mining

startProcess

Service flow that starts the BPMN Process

Send Email

Service flow that sends an email with the alert information

Process

BPMN Process that displays the alert information in a Human Task

Mail

The integration for interacting with an email server (integration.jar).

Handle Alert

Client Side Human Service that shows the alert information.