



#### An Introduction to Neuroevolution

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## Evolutionary, Genetic and Neuroevolution Algorithms



"A generic population-based and meta-heuristically optimized algorithmic solution to an applied problem"

(Source: [16])



"A generic population-based and meta-heuristically optimized algorithmic solution to an applied problem"

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"A generic population-based and meta-heuristically optimized algorithmic solution to an applied problem"

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population algorithm algorithm





population algorithm algorithm

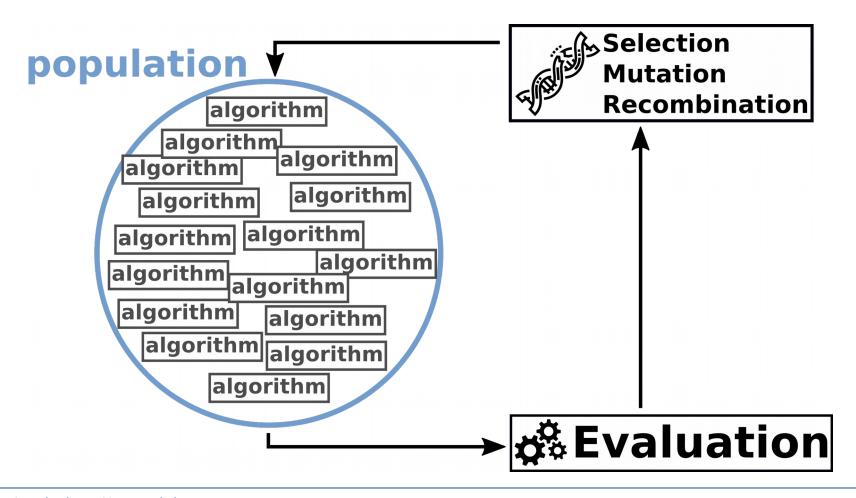
algorithm



7







## **Evolutionary Algorithms – Mutation**

```
1 max_number = 0
2 for number in list:
3   if number > max_number:
4      number++
5
6 return max_number
```



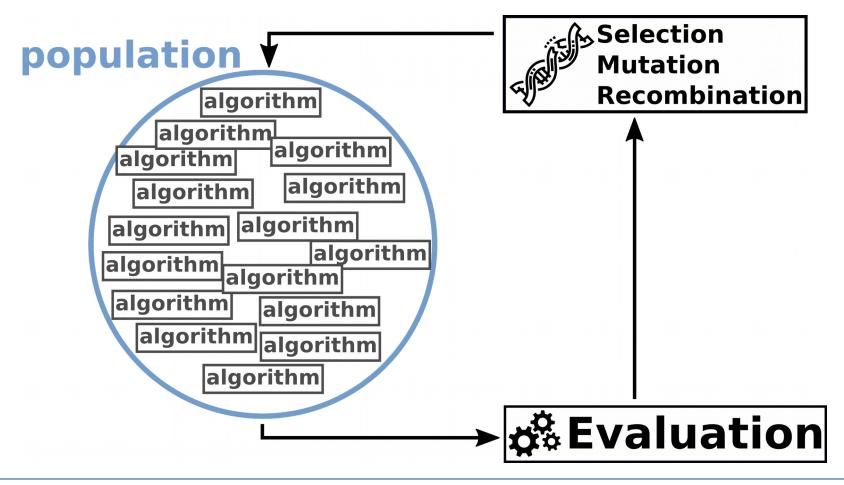


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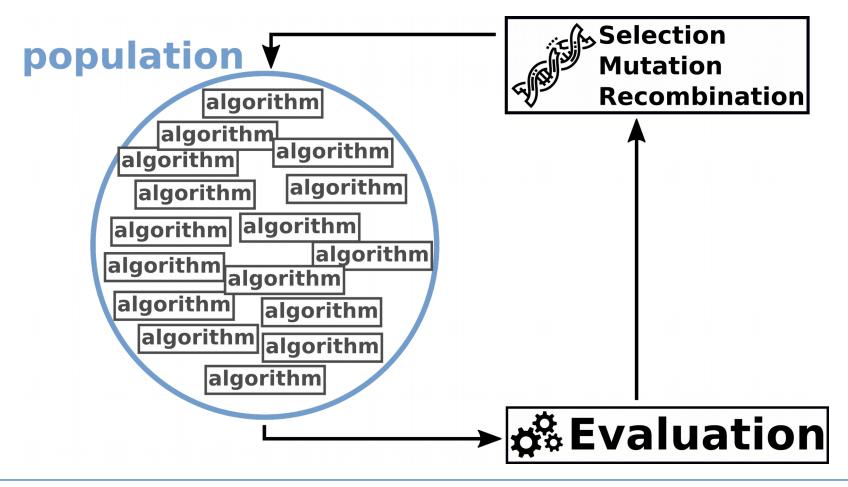


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"A generic population-based and meta-heuristically optimized algorithmic solution to an applied problem"

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(a)

(b)

## **Genetic Algorithms**



5

## **Genetic Algorithms**

(a) (b)

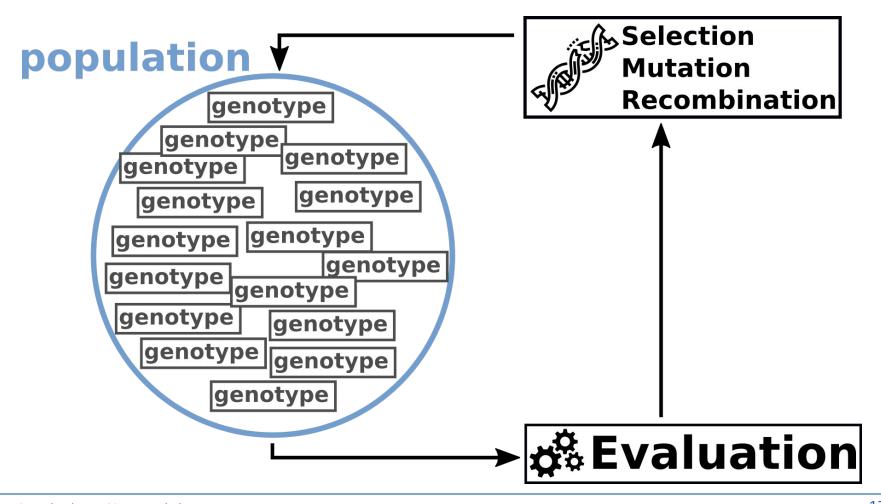
[phenotype]

[genotype]



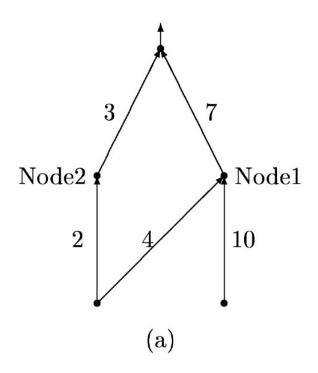


## **Genetic Algorithms**





"A neuroevolution algorithm is a genetic algorithm, whose searchspace (genotypes) consists **only** of artificial neural networks. A genetic algorithm in turn is an evolutionary algorithm that evolves genotypes through **mutation**, **recombination** and **selection**."



[phenotype]

0010 0000 0100 1010 0011 0111

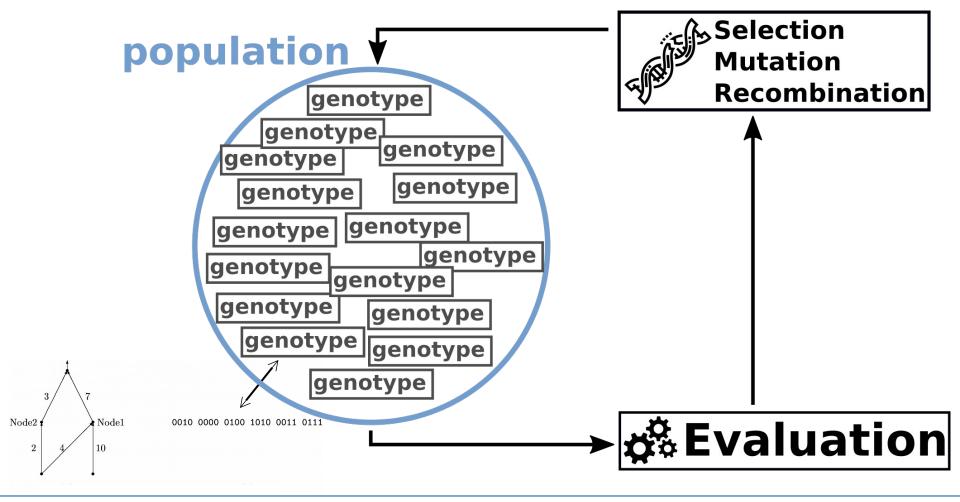
(b)

[genotype]

Source: [4]



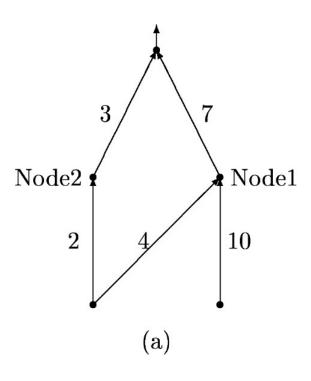








### Neuroevolution - Mutation

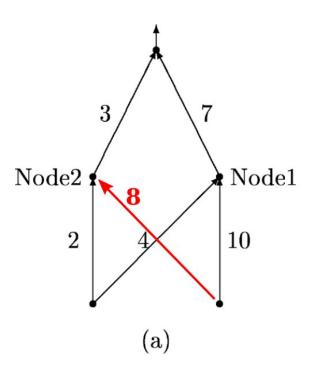


0010 0000 0100 1010 0011 0111

(b)

Source: [4]

#### Neuroevolution – Mutation



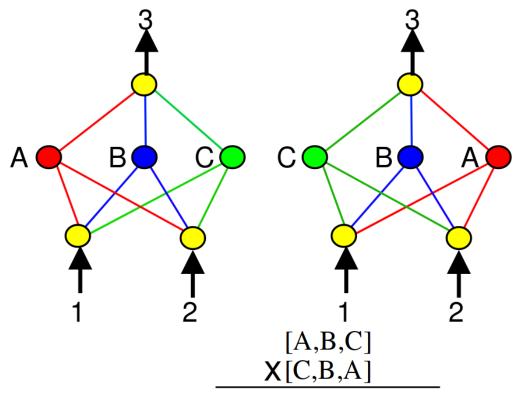
0010 1000 0100 1010 0011 0111

(b)

Source: [4], modified



#### Neuroevolution – Recombination



Crossovers: [A,B,A] [C,B,C] (both are missing information)

Source: [7]



#### Neuroevolution – Selection

Periodical **removal** of the **lowest performing algorithms** in the population



"Neuroevolution is the - possibly boundless - process in which by the means of a genetic algorithm the population of artificial neural networks is increasingly optimized in order to maximize the accuracy or fitness of the best ANN."

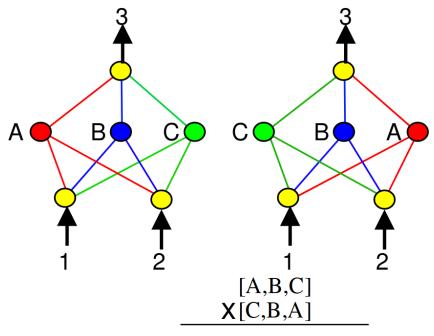
# Neuroevolution of Augmenting Topologies (abbr. NEAT)



## Neuroevolution of Augmenting Topologies

- Published in 2002 by Kenneth O.Stanley and Risto Miikkulainen [6]
- Outperformed all contemporary neuroevolution systems when introduced [8]
- Achieved performance because it solved a fundamental problem in neuroevolution, while still being elegantly simple [8, chap. 3.2]
- Still one of the most prominent neuroevolution systems today and considered a benchmark in the field [22]

## NEAT – Principle of Historical Markings



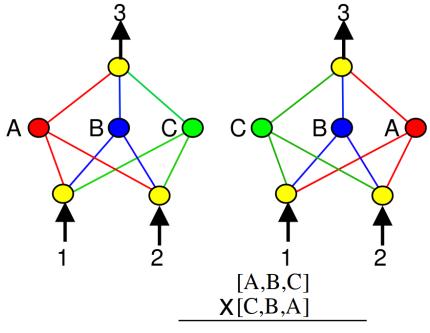
Crossovers: [A,B,A] [C,B,C] (both are missing information)

Source: [7]



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## NEAT – Principle of Historical Markings



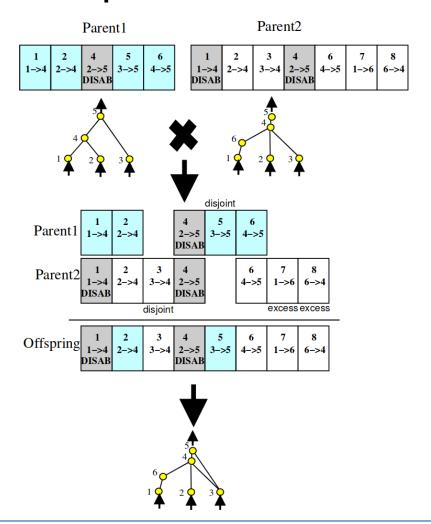
[C,B,C]Crossovers: [A,B,A] (both are missing information)

Principle: "Enable information-preserving crossovers by keeping track of genes – and their presence in genomes – by introducing innovation numbers"





## NEAT – Principle of Historical Markings



Source: [7]

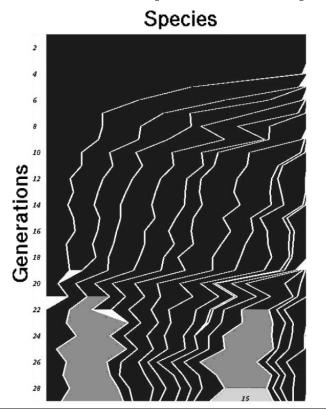




## **NEAT – Principle of Speciation**

Principle: "Protect innovation by **dividing population into niches** according to their distinctiveness and only comparing niches against one another"

## NEAT – Principle of Speciation



Source: [8]

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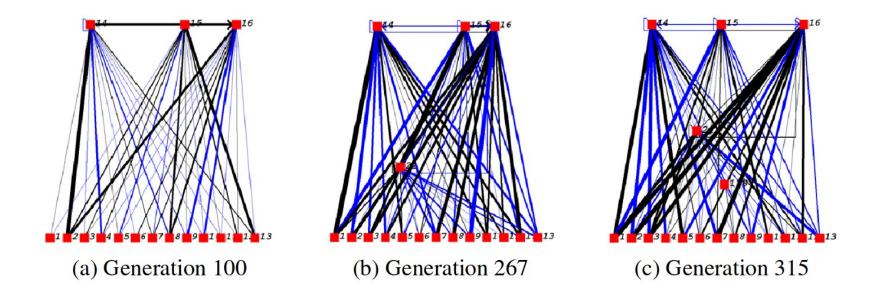


## NEAT – Principle of Complexification

Principle: "Keep topology purposeful by providing a **minimal initial population**, which is **only expanded upon**"



## **NEAT – Principle of Complexification**



Source: [8]

Principle: "Keep topology purposeful by providing a **minimal initial population**, which is **only expanded upon**"



## Neuroevolution of Augmenting Topologies

The key aspects of NEAT as a neuroevolution system:

- Facilitates innovation through lossless recombination (Principle of Historical Markings)
- Protects innovation through speciation (Principle of Speciation)
- Keeps solutions minimal (Principle of Complexification)

# Neuroevolution Performance and Practical Applications

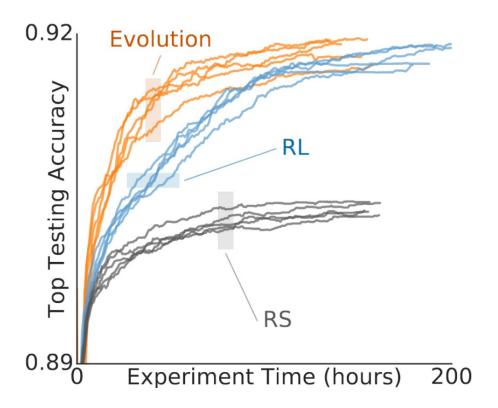


## Neuroevolution – Performance

Model	# Parameters	# Multiply-Adds	Top-1 / Top-5 Accuracy (%)
Incep-ResNet V2 [44]	55.8M	13.2B	80.4 / 95.3
ResNeXt-101 [48]	83.6M	31.5B	80.9 / 95.6
PolyNet [51]	92.0M	34.7B	81.3 / 95.8
Dual-Path-Net-131 [7]	79.5M	32.0B	81.5 / 95.8
GeNet-2 [47]*	156M	_	72.1 / 90.4
Block-QNN-B [52]*	_	_	75.7 / 92.6
Hierarchical [30]*	64M	_	79.7 / 94.8
NASNet-A [54]	88.9M	23.8B	82.7 / 96.2
PNASNet-5 [29]	86.1M	25.0B	82.9 / 96.2
AmoebaNet-A (N=6, F=190)*	86.7M	23.1B	82.8 / 96.1
AmoebaNet-A (N=6, F=448)*	469M	104B	83.9 / 96.6

Source: [23]

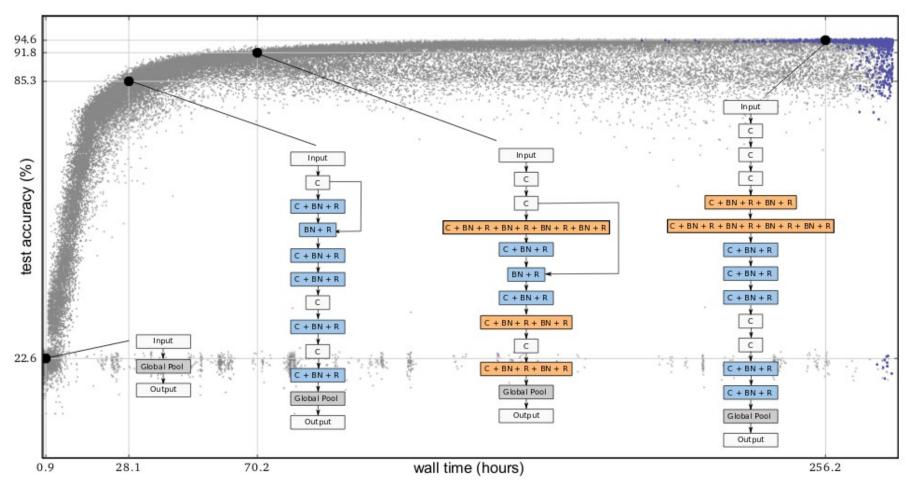
#### Neuroevolution – Performance



Source: [23]



#### Neuroevolution – Performance



Source: [23]

## Neuroevolution – Practical Example





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