# Neuroevolution of Augmenting Topologies

Paul Pauls Advisor: Michael Adam

### **CONTENTS**

I	Introdu	ction	
II	Neuroev	volution and Evolutionary Algorithms	
	II-A	Evolutionary Algorithms	
	II-B	Neuroevolution	
	II-C	Landmark Research in Neuroevolution .	
III	NeuroE	volution of Augmenting Topologies	
(NEAT)			
	III-A	Key Aspects of NEAT and Differences	
		to Preceding Neuroevolution	4
	III-B	Performance of NEAT	1
	III-C	Variants and Advancements of NEAT .	1
		III-C1 ¡Variant 1;	1
		III-C2 ¡Variant 2;	1
		III-C3 ¡Variant 3¿	1
IV	IV Practical Applications of NEAT		
	IV-A	¡Application 1;	1
	IV-B		9
	IV-C		2
V	Conclus	sion	1

References

Abstract—Lorem ipsum dolor sit amet, consectetuer adipiscing elit. Etiam lobortis facilisis sem. Nullam nec mi et neque pharetra sollicitudin. Praesent imperdiet mi nec ante. Donec ullamcorper, felis non sodales commodo, lectus velit ultrices augue, a dignissim nibh lectus placerat pede. Vivamus nunc nunc, molestie ut, ultricies vel, semper in, velit. Ut portitor. Praesent in sapien. Lorem ipsum dolor sit amet, consectetuer adipiscing elit. Duis fringilla tristique neque. Sed interdum libero ut metus. Pellentesque placerat. Nam rutrum augue a leo. Morbi sed elit sit amet ante lobortis sollicitudin. Praesent blandit blandit mauris. Praesent lectus tellus, aliquet aliquam, luctus a, egestas a, turpis. Mauris lacinia lorem sit amet ipsum. Nunc quis urna dictum turpis accumsan semper.

### I. Introduction

THIS shall be my introduction. And this shall be my citation [?]. Lorem ipsum dolor sit amet, consectetuer adipiscing elit. Etiam lobortis facilisis sem. Nullam nec mi et neque pharetra sollicitudin. Praesent imperdiet mi nec ante. Donec ullamcorper, felis non sodales commodo, lectus velit ultrices augue, a dignissim nibh lectus placerat pede. Vivamus nunc nunc, molestie ut, ultricies vel, semper in, velit. Ut porttitor. Praesent in sapien. Lorem ipsum dolor sit amet, consectetuer adipiscing elit. Duis fringilla tristique neque. Sed interdum libero ut metus. Pellentesque placerat. Nam rutrum

augue a leo. Morbi sed elit sit amet ante lobortis sollicitudin. Praesent blandit blandit mauris. Praesent lectus tellus, aliquet aliquam, luctus a, egestas a, turpis. Mauris lacinia lorem sit amet ipsum. Nunc quis urna dictum turpis accumsan semper.

#### II. NEUROEVOLUTION AND EVOLUTIONARY ALGORITHMS

Neuroevolution is a form of evolutionary algorithm that generates specific artificial neural networks (short form: ANN) through modification of its parameters, topology and rules in order to maximize the ANN's accuracy or fitness score. The neuroevolution algorithm seeks to modify the ANN in an evolutionary process similar to the Darwinian process that produced human brains and its process-summarizing maxim "Survival of the fittest". First methods using neuroevolution can be traced back to the 1980s and 1990s [cite], though the first evolutionary algorithms were conceived in the 1950s by Alan Turing and Nils Barricelli. [cite]

To better characterize neuroevolution is it best to first roughly categorize it, whereupon the categories are defined in detail. A *neuroevolution algorithm* is a *genetic algorithm*, whose search-space (or genotypes) consist only of artificial neural networks. A *genetic algorithm* in turn is a *evolutionary algorithm* that evolves genotypes - genetically encoded representations of the actual solution (phenotype) - through reproduction, mutation, recombination, and selection.

# A. Evolutionary Algorithms

A evolutionary algorithm is defined as 'a generic population-based and meta-heuristically optimized algorithmic solution to an applied problem' [cite].

## B. Neuroevolution

## C. Landmark Research in Neuroevolution

# III. NEUROEVOLUTION OF AUGMENTING TOPOLOGIES (NEAT)

¡Section Introduction;

Neuroevolution of Augmenting Topologies (short form: NEAT) was first introduced in the paper "Evolving Neural Networks through Augmenting Topologies" by Kenneth O.Stanley and Risto Miikkulainen in the year 2002. [cite] It was finalized and shown to be superior to any preceding neuroevolution algorithm in Stanley's PhD thesis "Efficient Evolution of Neural Networks through Complexification" in 2004. [cite]

At time of envisioning of NEAT was Neuroevolution most promising learning approach. Still is powerful today (see rea17/19) "NE is a promising approach to learning behavioral policies and finds solutions faster than leading RL methods on many benchmark tasks (Gomez 2003; Moriarty and Miikkulainen 1997)" [5]

"In highly complex domains the heuristics for determining the appropriate size are not very useful, and it becomes increasingly difficult to solve such domains with fixed-length encodings." [5]

[See all notes write down about stanleys PhD thesis]

- A. Key Aspects of NEAT and Differences to Preceding Neuroevolution
- B. Performance of NEAT
- C. Variants and Advancements of NEAT
  - 1) ¡Variant 1¿:
  - 2) ¡Variant 2¿:
  - 3) ¡Variant 3¿:

## IV. PRACTICAL APPLICATIONS OF NEAT

- A. ¡Application 1;
- B. ¡Application 2;
- C. ¡Application 3¿

#### V. CONCLUSION

Lorem ipsum dolor sit amet, consectetuer adipiscing elit. Etiam lobortis facilisis sem. Nullam nec mi et neque pharetra sollicitudin. Praesent imperdiet mi nec ante. Donec ullamcorper, felis non sodales commodo, lectus velit ultrices augue, a dignissim nibh lectus placerat pede. Vivamus nunc nunc, molestie ut, ultricies vel, semper in, velit. Ut porttitor. Praesent in sapien. Lorem ipsum dolor sit amet, consectetuer adipiscing elit. Duis fringilla tristique neque. Sed interdum libero ut metus. Pellentesque placerat. Nam rutrum augue a leo. Morbi sed elit sit amet ante lobortis sollicitudin. Praesent blandit blandit mauris. Praesent lectus tellus, aliquet aliquam, luctus a, egestas a, turpis. Mauris lacinia lorem sit amet ipsum. Nunc quis urna dictum turpis accumsan semper.

# REFERENCES

- [1] Yao Evolving Artificial Neural Networks; 1999; http://avellano.fis.usal.es/~lalonso/compt\_soft/articulos/yao99evolving.pdf
- [2] Stanley, Miikkulainen Efficient Evolution of Neural Network Topologies; 2002; http://nn.cs.utexas.edu/downloads/papers/stanley.cec02.pdf
- [3] Stanley, Miikkulainen Evolving Neural Networks through Augmented Topologies; 2002; http://nn.cs.utexas.edu/downloads/papers/stanley.ec02.
- [4] Geard, Wiles Structure and Dynamics of a Gene Network Model Incorporating Small RNAs; Dec 2003; https://ieeexplore.ieee.org/document/ 1299575
- [5] Stanley Efficient Evolution of Neural Networks through Complexification; Aug 2004; http://nn.cs.utexas.edu/downloads/papers/stanley.phd04. pdf
- [6] Reisinger, Miikkulainen Acquiring Evolvability through Adaptive Representations; Jul 2007; http://nn.cs.utexas.edu/downloads/papers/reisinger.gecco07.pdf
- [7] Mattiussi, Duerr, et al Center of Mass Encoding: A self-adaptive representation with adjustable redundancy for real-valued parameters; Jul 2007; https://infoscience.epfl.ch/record/101405
- [8] Floreano, Duerr, et al Neuroevolution: From Architectures to Learning; Jan 2008; http://citeseerx.ist.psu.edu/viewdoc/summary?doi=10.1.1.182. 1567
- [9] Mattiussi, Marbach, et al The Age of Analog Networks; Sep 2008; https://www.aaai.org/ojs/index.php/aimagazine/article/view/2156

- [10] Stanley, D'Ambrosio, et al A Hypercube-Based Indirect Encoding for Evolving Large-Scale Neural Networks; 2009; http://axon.cs.byu.edu/~dan/778/papers/NeuroEvolution/stanley3\*\*.pdf
- [11] Risi, Stanley Enhancing ES-HyperNEAT to Evolve More Complex Regular Neural Networks; Jul 2011; http://citeseerx.ist.psu.edu/viewdoc/ summary?doi=10.1.1.365.4332
- [12] Lehman, Stanley Novelty Search and the Problem with Objectives; Oct 2011; https://www.cs.ucf.edu/eplex/papers/lehman\_gptp11.pdf
- [13] Woergoetter, Porr Scholarpedia Article on 'Reinforcement Learning'; Sep 2012; http://www.scholarpedia.org/article/Reinforcement\_learning
- [14] Holland Scholarpedia Article on 'Genetic Algorithms'; Oct 2012; http://www.scholarpedia.org/article/Genetic\_algorithms
- [15] Fogel, Fogel, et al Scholarpedia Article on 'Evolutionary Programming'; Oct 2013; http://www.scholarpedia.org/article/Evolutionary\_programming
- [16] Lehman, Miikkulainen Scholarpedia Article on 'Neuroevolution'; Oct 2013; http://www.scholarpedia.org/article/Neuroevolution
- [17] Pascanu, Ganguli, et al On the Saddle Point for Non-Convex Optimization; May 2014; https://www.researchgate.net/publication/262452520
- [18] Kim, Rigazio Deep Clustered Convolutional Kernels; Mar 2015; https://arxiv.org/abs/1503.01824
- [19] Fernando, Banarse, et al Convolution by Evolution; Jun 2016; https://arxiv.org/abs/1606.02580
- [20] Miikkulainen, Liang, et al Evolving Deep Neural Networks; Mar 2017; https://arxiv.org/abs/1703.00548
- [21] Xie, Yuille Genetic CNN; Mar 2017; https://arxiv.org/abs/1703.01513
- [22] Negrinho, Gordon DeepArchitect: Automatically Designing and Training Deep Architectures; Apr 2017; https://arxiv.org/abs/1704.08792
- [23] Real, Moore, et al Large-scale Evolution of Image Classifiers; Jun 2017; https://arxiv.org/abs/1703.01041
- [24] Stanley Neuroevolution: A Different Kind of Deep Learning; Jul 2017; https://www.oreilly.com/ideas/ neuroevolution-a-different-kind-of-deep-learning
- [25] Brock, Lim, et al SMASH: One-Shot Model Architecture Search through HyperNetworks; Aug 2017; https://arxiv.org/abs/1708.05344
- [26] Salimans, Ho Evolution Strategies as a Scalable Alternative to Reinforcement Learning; Sep 2017; https://arxiv.org/abs/1703.03864
- [27] Jaderberg, Dalibard, et al Population Based Training of Neural Networks; Nov 2017; https://arxiv.org/abs/1711.09846
- [28] Zhang, Clune, et al On the Relationship Between the OpenAI Evolution Strategy and Stochastic Gradient Descent; Dec 2017; https://arxiv.org/abs/ 1712.06564
- [29] Stanley, Clune Welcoming the Era of Deep Neuroevolution; Dec 2017; https://eng.uber.com/deep-neuroevolution/
- [30] Liu, Simonyan, et al Hierarchical Representation for Efficient Architecture Search; Feb 2018; https://arxiv.org/abs/1711.00436
- [31] Such, Stanley, et al Accelerating Deep Neuroevolution: Train Atari in Hours on a Single Personal Computer; Apr 2018; https://eng.uber.com/ accelerated-neuroevolution/
- [32] Such, Madhavan, et al Deep Neuroevolution: Genetic Algorithms Are a Competitive Alternative for Training Deep Neural Networks for Reinforcement Learning; Apr 2018; https://arxiv.org/abs/1712.06567
- [33] Zoph, Vasudevan, et al Learning Transferable Architectures or Scalable Image Recognition; Apr 2018; https://arxiv.org/abs/1707.07012
- [34] Lehman, Chen, et al ES Is More Than Just a Traditional Finite-Difference Approximator; May 2018; https://arxiv.org/abs/1712.06568
- [35] Lehman, Chen, et al Safe Mutations for Deep and Recurrent Neural Networks through Output Gradients; May 2018; https://arxiv.org/abs/ 1712.06563
- [36] Zhong, Yan, et al Practical Block-Wise Neural Network Architecture Generation; May 2018; https://arxiv.org/abs/1708.05552
- [37] Rawal, Miikkulainen From Nodes to Networks: Evolving Recurrent Neural Networks; Jun 2018; https://arxiv.org/abs/1803.04439
- [38] Conti, Madhavan, et al Improving Exploration in Evolution Strategies for Deep Reinforcement Learning via a Population of Novelty Seeking Agents; Oct 2018; https://arxiv.org/abs/1712.06560
- [39] Real, Aggarwal, et al Regularized Evolution for Image Classifier Architecture Search; Feb 2019; https://arxiv.org/abs/1802.01548
- [40] Sun, Xue, et al Evolving Deep Convolutional Neural Networks for Image Classification; Mar 2019; https://arxiv.org/abs/1710.10741