

## Longest Common Subsequence (LCS): an example

**CS 412** 

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Source: CLRS

The LCS problem has an optimal-substructure property, however, as the following theorem shows. As we shall see, the natural classes of subproblems correspond to pairs of "prefixes" of the two input sequences. To be precise, given a sequence  $X = \langle x_1, x_2, \dots, x_m \rangle$ , we define the *i*th *prefix* of X, for  $i = 0, 1, \dots, m$ , as  $X_i = \langle x_1, x_2, \dots, x_i \rangle$ . For example, if  $X = \langle A, B, C, B, D, A, B \rangle$ , then  $X_4 = \langle A, B, C, B \rangle$  and  $X_0$  is the empty sequence.

## Theorem 15.1 (Optimal substructure of an LCS)

Let  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  be sequences, and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of X and Y.

- 1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is an LCS of  $X_{m-1}$  and  $Y_{n-1}$ .
- 2. If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that Z is an LCS of  $X_{m-1}$  and Y.
- 3. If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that Z is an LCS of X and  $Y_{n-1}$ .

$$c[i,j] = \begin{cases} 0 & , if \ i = 0 \ or \ j = 0 \\ c[i-1,j-1] + 1 & , if \ i,j > 0 \ and \ x_i = y_j \\ \max(c[i,j-1], c[i-1,j] \ , if \ i,j > 0 \ and \ x_i \neq y_j \end{cases}$$

$$c[i,j] = \begin{cases} 0 & \text{, if } i = 0 \text{ or } j = 0 \\ c[i-1,j-1] + 1 & \text{, if } i,j > 0 \text{ and } x_i = y_j \\ \max(c[i,j-1], c[i-1,j] \text{, if } i,j > 0 \text{ and } x_i \neq y_j \end{cases}$$

	j	0	1	2	3	4	5
i		$y_j$	В	D	С	Α	В
0	$x_i$						
1	A						
2	В						
3	<i>C</i>						
4	В						

$$c[i,j] = \begin{cases} 0 & \text{, if } i = 0 \text{ or } j = 0 \\ c[i-1,j-1] + 1 & \text{, if } i,j > 0 \text{ and } x_i = y_j \\ \max(c[i,j-1], c[i-1,j] \text{, if } i,j > 0 \text{ and } x_i \neq y_j \end{cases}$$

	j	0	1	2	3	4	5		
i		y <sub>j</sub>	В	D	С	A	В		
0	X <sub>i</sub>	0	0	0	0	0	0		
1	Α	0					9		
2	В	0	Smallest sub-problems with						
3	С	0	an optimal solution.						
4	В	0							

$$c[i,j] = \begin{cases} 0 & \text{, if } i = 0 \text{ or } j = 0 \\ c[i-1,j-1] + 1 & \text{, if } i,j > 0 \text{ and } x_i = y_j \\ \max(c[i,j-1], c[i-1,j] \text{, if } i,j > 0 \text{ and } x_i \neq y_j \end{cases}$$

	j	0	1	2	3	4	5
i		y <sub>j</sub>	В	D	С	A	В
0	X <sub>i</sub>	0	0	0	0	0	0
1	Α	0	0				
2	В	0					
3	С	0					
4	В	0					

$$c[i,j] = \begin{cases} 0 & \text{, if } i = 0 \text{ or } j = 0 \\ c[i-1,j-1] + 1 & \text{, if } i,j > 0 \text{ and } x_i = y_j \\ \max(c[i,j-1], c[i-1,j] \text{, if } i,j > 0 \text{ and } x_i \neq y_j \end{cases}$$

	j	0	1	2	3	4	5
i		$y_j$	В	D	C	A	В
0	X <sub>i</sub>	0	0	0	0	0	0
1	Α	0	0	0			
2	В	0					
3	С	0					
4	В	0					

$$c[i,j] = \begin{cases} 0 & \text{, if } i = 0 \text{ or } j = 0 \\ c[i-1,j-1] + 1 & \text{, if } i,j > 0 \text{ and } x_i = y_j \\ \max(c[i,j-1], c[i-1,j] \text{, if } i,j > 0 \text{ and } x_i \neq y_j \end{cases}$$

	j	0	1	2	3	4	5
i		$y_j$	В	D	C	A	В
0	X <sub>i</sub>	0	0	0	0	0	0
1	Α	0	0	0	0		
2	В	0					
3	С	0					
4	В	0					

$$c[i,j] = \begin{cases} 0 & \text{, if } i = 0 \text{ or } j = 0 \\ c[i-1,j-1] + 1 & \text{, if } i,j > 0 \text{ and } x_i = y_j \\ \max(c[i,j-1], c[i-1,j] \text{, if } i,j > 0 \text{ and } x_i \neq y_j \end{cases}$$

	j	0	1	2	3	4	5
i		$y_j$	В	D	С	Α	В
0	Xi	0	0	0	0	0	0
1	Α	0	0	0	0	1	
2	В	0					
3	С	0					
4	В	0					

$$c[i,j] = \begin{cases} 0 & \text{, if } i = 0 \text{ or } j = 0 \\ c[i-1,j-1] + 1 & \text{, if } i,j > 0 \text{ and } x_i = y_j \\ \max(c[i,j-1], c[i-1,j] \text{, if } i,j > 0 \text{ and } x_i \neq y_j \end{cases}$$

	j	0	1	2	3	4	5
i		$y_j$	В	D	C	Α	В
0	X <sub>i</sub>	0	0	0	0	0	0
1	Α	0	0	0	0	1 ←	<del>-</del> 1
2	В	0					
3	С	0					
4	В	0					

$$c[i,j] = \begin{cases} 0 & \text{, if } i = 0 \text{ or } j = 0 \\ c[i-1,j-1] + 1 & \text{, if } i,j > 0 \text{ and } x_i = y_j \\ \max(c[i,j-1], c[i-1,j] \text{, if } i,j > 0 \text{ and } x_i \neq y_j \end{cases}$$

	j	0	1	2	3	4	5
i		$y_j$	В	D	С	Α	В
0	X <sub>i</sub>	0	0	0	0	0	0
1	A	0	0	0	0	1	1
2	В	0	1				
3	С	0					
4	В	0					

$$c[i,j] = \begin{cases} 0 & \text{, if } i = 0 \text{ or } j = 0 \\ c[i-1,j-1] + 1 & \text{, if } i,j > 0 \text{ and } x_i = y_j \\ \max(c[i,j-1], c[i-1,j] \text{, if } i,j > 0 \text{ and } x_i \neq y_j \end{cases}$$

	j	0	1	2	3	4	5
i		<b>y</b> <sub>j</sub>	В	D	C	A	В
0	X <sub>i</sub>	0	0	0	0	0	0
1	Α	0	0	0	0	1	1
2	В	0	1←	<del>-</del> 1			
3	С	0					
4	В	0					

$$c[i,j] = \begin{cases} 0 & \text{, if } i = 0 \text{ or } j = 0 \\ c[i-1,j-1] + 1 & \text{, if } i,j > 0 \text{ and } x_i = y_j \\ \max(c[i,j-1], c[i-1,j] \text{, if } i,j > 0 \text{ and } x_i \neq y_j \end{cases}$$

	j	0	1	2	3	4	5
i		<b>y</b> <sub>j</sub>	В	D	C	A	В
0	X <sub>i</sub>	0	0	0	0	0	0
1	A	0	0	0	0	1	1
2	В	0	1	1←	<del>-</del> 1		
3	С	0					
4	В	0					

$$c[i,j] = \begin{cases} 0 & \text{, if } i = 0 \text{ or } j = 0 \\ c[i-1,j-1] + 1 & \text{, if } i,j > 0 \text{ and } x_i = y_j \\ \max(c[i,j-1], c[i-1,j] \text{, if } i,j > 0 \text{ and } x_i \neq y_j \end{cases}$$

	j	0	1	2	3	4	5
i		$y_j$	В	D	С	A	В
0	X <sub>i</sub>	0	0	0	0	0	0
1	Α	0	0	0	0	1	1
2	В	0	1	1	1 ←	<del>-</del> 1	
3	С	0					
4	В	0					

$$c[i,j] = \begin{cases} 0 & \text{, if } i = 0 \text{ or } j = 0 \\ c[i-1,j-1] + 1 & \text{, if } i,j > 0 \text{ and } x_i = y_j \\ \max(c[i,j-1], c[i-1,j] \text{, if } i,j > 0 \text{ and } x_i \neq y_j \end{cases}$$

	j	0	1	2	3	4	5
i		$y_j$	В	D	C	A	В
0	X <sub>i</sub>	0	0	0	0	0	0
1	Α	0	0	0	0	1	1
2	В	0	1	1	1	1	2
3	С	0					
4	В	0					

$$c[i,j] = \begin{cases} 0 & \text{, if } i = 0 \text{ or } j = 0 \\ c[i-1,j-1] + 1 & \text{, if } i,j > 0 \text{ and } x_i = y_j \\ \max(c[i,j-1], c[i-1,j] \text{, if } i,j > 0 \text{ and } x_i \neq y_j \end{cases}$$

	j	0	1	2	3	4	5
i		$y_j$	В	D	C	A	В
0	X <sub>i</sub>	0	0	0	0	0	0
1	A	0	0	0	0	1	1
2	В	0	<sub>1</sub> 1	1	1	1	2
3	С	0	1				
4	В	0					

$$c[i,j] = \begin{cases} 0 & \text{, if } i = 0 \text{ or } j = 0 \\ c[i-1,j-1] + 1 & \text{, if } i,j > 0 \text{ and } x_i = y_j \\ \max(c[i,j-1], c[i-1,j] \text{, if } i,j > 0 \text{ and } x_i \neq y_j \end{cases}$$

	j	0	1	2	3	4	5
i		y <sub>j</sub>	В	D	С	A	В
0	X <sub>i</sub>	0	0	0	0	0	0
1	A	0	0	0	0	1	1
2	В	0	1	<b>↑</b> 1	1	1	2
3	С	0	1	1			
4	В	0					

$$c[i,j] = \begin{cases} 0 & \text{, if } i = 0 \text{ or } j = 0 \\ c[i-1,j-1] + 1 & \text{, if } i,j > 0 \text{ and } x_i = y_j \\ \max(c[i,j-1], c[i-1,j] \text{, if } i,j > 0 \text{ and } x_i \neq y_j \end{cases}$$

	j	0	1	2	3	4	5
i		y <sub>j</sub>	В	D	С	A	В
0	X <sub>i</sub>	0	0	0	0	0	0
1	A	0	0	0	0	1	1
2	В	0	1	1	1	1	2
3	С	0	1	1	2		
4	В	0					

$$c[i,j] = \begin{cases} 0 & \text{, if } i = 0 \text{ or } j = 0 \\ c[i-1,j-1] + 1 & \text{, if } i,j > 0 \text{ and } x_i = y_j \\ \max(c[i,j-1], c[i-1,j] \text{, if } i,j > 0 \text{ and } x_i \neq y_j \end{cases}$$

	j	0	1	2	3	4	5
i		$y_j$	В	D	С	A	В
0	X <sub>i</sub>	0	0	0	0	0	0
1	A	0	0	0	0	1	1
2	В	0	1	1	1	1	2
3	С	0	1	1	2 ←	_ 2	
4	В	0					

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	j	0	1	2	3	4	5
i		<b>y</b> <sub>j</sub>	В	D	С	A	В
0	Xi	0	0	0	0	0	0
1	A	0	0	0	0	1	1
2	В	0	1	1	1	1	<u>†</u> 2
3	C	0	1	1	2	2	2
4	В	0					

$$c[i,j] = \begin{cases} 0 & \text{, if } i = 0 \text{ or } j = 0 \\ c[i-1,j-1] + 1 & \text{, if } i,j > 0 \text{ and } x_i = y_j \\ \max(c[i,j-1], c[i-1,j] \text{, if } i,j > 0 \text{ and } x_i \neq y_j \end{cases}$$

	j	0	1	2	3	4	5
i		$y_j$	В	D	C	Α	В
0	X <sub>i</sub>	0	0	0	0	0	0
1	A	0	0	0	0	1	1
2	В	0	1	1	1	1	2
3	С	0	1	1	2	2	2
4	В	0	1				

$$c[i,j] = \begin{cases} 0 & \text{, if } i = 0 \text{ or } j = 0 \\ c[i-1,j-1] + 1 & \text{, if } i,j > 0 \text{ and } x_i = y_j \\ \max(c[i,j-1], c[i-1,j] \text{, if } i,j > 0 \text{ and } x_i \neq y_j \end{cases}$$

	j	0	1	2	3	4	5
i		$y_j$	В	D	C	Α	В
0	Xi	0	0	0	0	0	0
1	Α	0	0	0	0	1	1
2	В	0	1	1	1	1	2
3	С	0	1	<u>†</u> 1	2	2	2
4	В	0	1	1			

$$c[i,j] = \begin{cases} 0 & \text{, if } i = 0 \text{ or } j = 0 \\ c[i-1,j-1] + 1 & \text{, if } i,j > 0 \text{ and } x_i = y_j \\ \max(c[i,j-1], c[i-1,j] \text{, if } i,j > 0 \text{ and } x_i \neq y_j \end{cases}$$

	j	0	1	2	3	4	5
i		$y_j$	В	D	C	A	В
0	Xi	0	0	0	0	0	0
1	Α	0	0	0	0	1	1
2	В	0	1	1	1	1	2
3	C	0	1	1	<u></u> ↑ 2	2	2
4	В	0	1	1	2		

$$c[i,j] = \begin{cases} 0 & \text{, if } i = 0 \text{ or } j = 0 \\ c[i-1,j-1] + 1 & \text{, if } i,j > 0 \text{ and } x_i = y_j \\ \max(c[i,j-1], c[i-1,j] \text{, if } i,j > 0 \text{ and } x_i \neq y_j \end{cases}$$

	j	0	1	2	3	4	5
i		<b>y</b> <sub>j</sub>	В	D	С	A	В
0	Xi	0	0	0	0	0	0
1	A	0	0	0	0	1	1
2	В	0	1	1	1	1	2
3	С	0	1	1	2	<u>†</u> 2	2
4	В	0	1	1	2	2	

$$c[i,j] = \begin{cases} 0 & \text{, if } i = 0 \text{ or } j = 0 \\ c[i-1,j-1] + 1 & \text{, if } i,j > 0 \text{ and } x_i = y_j \\ \max(c[i,j-1], c[i-1,j] \text{, if } i,j > 0 \text{ and } x_i \neq y_j \end{cases}$$

	j	0	1	2	3	4	5
i		$y_j$	В	D	С	Α	В
0	Xi	0	0	0	0	0	0
1	Α	0	0	0	0	1	1
2	В	0	1	1	1	1	2
3	С	0	1	1	2	2	2
4	В	0	1	1	2	2	3

$$c[i,j] = \begin{cases} 0 & \text{, if } i = 0 \text{ or } j = 0 \\ c[i-1,j-1] + 1 & \text{, if } i,j > 0 \text{ and } x_i = y_j \\ \max(c[i,j-1], c[i-1,j] \text{, if } i,j > 0 \text{ and } x_i \neq y_j \end{cases}$$

	j	0	1	2	3	4	5
i		$y_j$	В	D	С	A	В
0	X <sub>i</sub>	0	0	0	0	0	0
1	A	0	0	0	0	1	1
2	В	0	1 ←	<u> </u>	1	1	2
3	С	0	1	1	2 ←	<del>-</del> 2 🔪	2
4	В	0	1	1	2	2	3

$$c[i,j] = \begin{cases} 0 & \text{, if } i = 0 \text{ or } j = 0 \\ c[i-1,j-1] + 1 & \text{, if } i,j > 0 \text{ and } x_i = y_j \\ \max(c[i,j-1], c[i-1,j] \text{, if } i,j > 0 \text{ and } x_i \neq y_j \end{cases}$$

	j	0	1	2	3	4	5
i		$y_j$	В	D	С	A	В
0	Xi	0	<b>↑</b> 0	<u></u> 0	• 0 •	0	0
1	Α	0 🔨	i 0	0	0	1 4-	- 1
2	В	0	1 ←	1	<b></b> 1	1 1	2
3	С	0	1	1	2 ←	2	12
4	В	0	1	11	12	1 2	3