

Algorithms: Design & Analysis Notes

What is an algorithm?

- Well defined (unambiguous) series of steps/instructions
- Executes in a finite amount of time.

Computational Problem: → defines a well-specified relationship between the input & output of the problem instance.
→ A problem instance consists of the input needed to compute a solution to the problem.

Sorting:
Input: sequence of n numbers: $\langle a_1, a_2, \dots, a_n \rangle$ [$n!$ permutations]
Output: a permutation $\langle a'_1, a'_2, \dots, a'_n \rangle$ of the input sequence such that $a'_1 \leq a'_2 \leq \dots \leq a'_n$.

* RAM → Random Access Machine Model of Computation

Insertion Sort: Best Case: $T(n) \geq an + b$

Worst Case: $T(n) \geq an^2 + bn + c$

O -Notation: → upper bound on the asymptotic behaviour of a function
 $O(n^c)$ $c \geq$ some value. $\exists n^s O(n^3), O(n^5), O(n^5)$
Big-O: $\Rightarrow \exists c, n_0 \forall n \geq n_0, f(n) \leq c g(n) \Leftrightarrow f(n) = O(g(n))$

Ω -Notation: → lower bound on the asymptotic behaviour of a function
↳ grows at least as fast as a certain rate
 $\Omega(n^c)$ $c \leq$ some value. $\exists n^s \Omega(n^3), \Omega(n^2), \Omega(n)$
Big- Ω : $\Rightarrow \exists c, n_0 \forall n \geq n_0, c g(n) \leq f(n) \Leftrightarrow f(n) = \Omega(g(n))$

Θ -Notation: → tight bound on the asymptotic behaviour
↳ grows precisely at a certain rate.
If a function is both $O(f(n))$ & $\Omega(f(n))$, it is $\Theta(f(n))$
 $\exists n^s \Omega(n^3) \& O(n^3)$, hence $\Theta(n^3)$
Big- Θ : $\Rightarrow \exists c_1, c_2, n_0 \forall n \geq n_0, c_1 g(n) \leq f(n) \leq c_2 g(n)$

Small- o : $\forall c > 0, \exists n_0 \geq n, 0 \leq f(n) < c g(n) \Leftrightarrow f(n) = o(g(n))$
 $f(n) = o(g(n)) \Leftrightarrow \lim_{n \rightarrow \infty} f(n)/g(n) = 0$

Small- ω : $\forall c > 0, \exists n_0 \geq n, 0 \leq c g(n) < f(n) \Leftrightarrow f(n) = \omega(g(n))$
 $f(n) = \omega(g(n)) \Leftrightarrow \lim_{n \rightarrow \infty} f(n)/g(n) = \infty$
 ~~$f(n) = \omega(g(n)) \Leftrightarrow \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)}$~~

$T(n) = 10n^3 + 100n^2 + 55$. Show that $T(n) = O(n^3)$

By the definition, $O \leq 10n^3 + 100n^2 + 55 \leq cn^3$

$$O \leq 10 + \frac{100}{n} + \frac{55}{n^3} \leq c$$

Let $c=11$.

Then we need n such that $\frac{100}{n} + \frac{55}{n^3} < 1$.

Let $n=101$. Then $\frac{100}{n} + \frac{55}{n^3} < 1$.

Then $n_0 = 101$, $c_0 = 11$.

$10(101)^3 + 100(101)^2 + 55 \leq 11(101)^3$ is true. Hence proved.

$$f(n) = 2n^2 + 3n + 1$$

$$g(n) = n^2$$

$$\text{Hence } f(n) = O(g(n))$$

$O \leq f(n) \leq cg(n) \rightarrow$ By definition

$$2n^2 + 3n + 1 \leq cn^2$$

$$2 + \frac{3}{n} + \frac{1}{n^2} \leq c$$

$$< 1$$

$$\frac{3}{n} + \frac{1}{n^2} < 1$$

$$3n$$

$$\frac{3}{n} + \frac{1}{16} < 1$$

$$\Rightarrow \frac{3}{n} + \frac{1}{16} = 0.8125 < 1$$

$$c = 2 + 0.8125 \Rightarrow c = 2.8125$$

$$n=4$$

Comparison Sort \rightarrow compares elements to gain info.

$\exists a_i, a_j, i \leq j$, one of the tests is performed:

$a_i < a_j, a_i \leq a_j, a_i = a_j, a_i \geq a_j, a_i > a_j$

Can view comparison sorts abstractly as decision trees.

Decision Trees: Full Binary Trees, with each node representing comparisons performed by an alg on an input.

Consider Input: a_1, a_2, a_3 for 6, 8, 5 [$a_1 = 6, a_2 = 8, a_3 = 5$]

6 < 8 ✓ $a_1 < a_2$ 5, 6, 8 $\Rightarrow a_3, a_1, a_2$

T F

$a_2 < a_3$ (8 < 5 X)

$a_1 < a_3$

a_1, a_2, a_3

6 < 5 X

$a_3 < a_2$

a_1, a_3, a_2

a_2, a_1, a_3

a_2, a_3, a_1

a_3, a_1, a_2

a_3, a_2, a_1

* Leaf Node \rightarrow representing possible permutations / order.

* Path / Decision Route for inputs 6, 8, 5 (T, F, F)

* Length of the longest simple path from root to any of its reachable leaves presents the worst case.

~~Left the right~~

* Consequently, the height of the tree gives the worst-case number of comparisons for a decision tree.

Theorem 8.1:

Any comparison sort algorithm requires $\Omega(n \lg n)$ comparisons in the worst case.

↳ A lower bound for the worst case.

Proof: Consider a decision tree of height ' h ', with ' l ' reachable leaves corresponding to a comparison set on ' n ' elements. We have $n!$ permutations, as one or more leaves. Then ~~is~~ $n! \leq l$.

* A binary tree of height ' h ' has no more than 2^h leaves.

Then: $n! \leq l \leq 2^h$

$$\Rightarrow n! \leq 2^h \quad (\text{By taking } O(\lg))$$

$$\Rightarrow h \geq \lg(n!) \quad (\text{By taking logs})$$

$$\Rightarrow h \geq \lg\left(\sqrt{2\pi n} \left(\frac{n}{e}\right)^n \left(1 + \frac{k}{n}\right)\right) \quad (k \text{ is a constant})$$

$$\Rightarrow h \geq \frac{1}{2} \lg(2\pi n) + n \lg(n) - n \lg(e) + \lg\left(1 + \frac{k}{n}\right)$$

$$\Rightarrow h \geq \frac{1}{2} \lg(2\pi) + \frac{1}{2} \lg(n) + n \lg(n) - n \lg(e) + \lg\left(1 + \frac{k}{n}\right)$$

$$\Rightarrow h \geq n \lg(n) + \frac{1}{2} [\lg(2\pi) + \lg(n)] - n \lg(e) + \lg\left(1 + \frac{k}{n}\right)$$

* Since $n \lg n$ is monotonically increasing, it is the highest order term.

~~So $h \geq \lg(n!)$~~

~~So $h \geq \lg(n!)$~~

$$\Rightarrow h = \Omega(n \lg n). \quad \text{QED!}$$

* No comparison sorting algorithm can have a worst case scenario as best as ~~is~~ $\Omega(n \lg n)$.

Recurrence Relations

Divide & Conquer Algorithm \rightarrow Divide into smaller parts / problems
 ↳ Conquer \rightarrow solve the problem recursively.
 → Usually, $T(n) = D(n) + \underbrace{c_1(n)}_{\text{work on 'a'}} + c_2(n)$

$$F(n) = F(n-1) + F(n-2) = O(2^n) \quad 2T\left(\frac{n}{2}\right) \xrightarrow{\text{conquer } n \text{ size prob into } \frac{n}{2} \text{ if 'a' is worked on 'a'}}$$

$$T(n) = nT(n-1) \quad T(n) = \Theta(n!)$$

$Fib(n)$: Base case

$$F(2) = F(1) + F(0) = 1 + 1 = 2.$$

$$F(n) = F(n-1) + F(n-2)$$

$$\begin{aligned} \text{Consider: } F(n) &= F(n-1) + F(n-2) + 1 && \text{[Difference]} \\ &= 2F(n-1) + 1 && \text{[Equation]} \\ \Rightarrow \nabla F(n) &= F_n - F_{n-1} \end{aligned}$$

Unroll:

$$\begin{aligned} F(n) &= 2F(n-1) + 1 = 2[2F(n-2) + 1] + 1 \\ &= 2^2 [F(n-2)] + 2 + 1 \\ &= 2^2 [2F(n-3) + 1] + 2 + 1 \\ &= 2^3 F(n-3) + 2^2 + 2 + 1 \\ &= 2^3 F(n-3) + 2^2 + 2^1 + 2^0 \\ &= 2^n F(0) + \underbrace{2^{n-1} + 2^{n-2} + \dots + 2^1 + 2^0}_{F(0)=0} \\ &= 2^{n-1} + \dots + 2^2 + 2^1 + 2^0 \\ &= \sum_{j=1}^{n-1} 2^j = 2^n - 1 \end{aligned}$$

$$\begin{aligned} \text{Ans: } F(n) &= bF(n-1) + f(n) \\ &= b[bF(n-2) + f(n)] + f(n) \\ &= b^2 F(n-2) + b^1 f(n) + b^0 f(n) \\ &= b^2 [bF(n-3) + f(n)] + b^1 f(n) + b^0 f(n) \\ &= b^3 F(n-3) + b^2 f(n) + b^1 f(n) + b^0 f(n) \\ &= b^n F(0) + \underbrace{b^{n-1}(f_n) + \dots + b^1 f(n) + b^0 f(n)}_{f(0)=c} \quad [F(0)=c] \\ &= cb^n + \sum_{j=0}^{n-1} b^j f(n) \end{aligned}$$

Linear Recurrence Relation of order i with constant coefficients:

$$\alpha_0 A_n + \alpha_1 A_{n-1} + \alpha_2 A_{n-2} + \dots + \alpha_i A_{n-i} = 0$$

given $A_n = q^n$ ($q^n > 0$)

$$\alpha_0 q^n + \alpha_1 q^{n-1} + \dots + \alpha_i q^{n-i} = 0$$

$$q^{n-i} [\alpha_0 q^i + \alpha_1 q^{i-1} + \dots + \alpha_i] = 0$$

$$\underbrace{\alpha_0 q^i + \alpha_1 q^{i-1} + \dots + \alpha_i}_{} = 0 \quad \rightarrow \text{Characteristic Equation}$$

Characteristic Polynomial

Let r_1, r_2, \dots, r_i be i distinct roots of our C.P

Then the general solution is:

$$A_n = \alpha_1 r_1^n + \alpha_2 r_2^n + \dots + \alpha_i r_i^n$$

Solving Fibonacci Now:

$$F_n = F_{n-1} + F_{n-2}$$

$$F_n - F_{n-1} - F_{n-2} = 0$$

Let $F_n = r^n$

$$r^n - r^{n-1} - r^{n-2} = 0$$

$$r^{n-2} [r^2 - r - 1] = 0$$

$$r^2 - r - 1 = 0 \quad : \quad r_1 = \frac{1+\sqrt{5}}{2}, r_2 = \frac{1-\sqrt{5}}{2}$$

$\hat{\varphi}$ [Golden Ratio]

~~$F_n = \hat{\varphi}^n \Rightarrow F_n = \alpha_1 \hat{\varphi}^n + \alpha_2 \hat{\varphi}^n = O(2^n)$~~

$$F_0: \alpha_1 \hat{\varphi}^0 + \alpha_2 \hat{\varphi}^0 = 0 \Rightarrow \alpha_1 + \alpha_2 = 0 \Rightarrow \alpha_1 = -\alpha_2$$

$$F_1: \alpha_1 \hat{\varphi}^1 + \alpha_2 \hat{\varphi}^1 = 1$$

$$-\alpha_2 \hat{\varphi}^1 + \alpha_2 \hat{\varphi}^1 = 1$$

$$\alpha_2 (\hat{\varphi}^2 - \hat{\varphi}) = 1$$

$$\Rightarrow \alpha_2 = \frac{1}{\hat{\varphi} - 1} \quad \alpha_1 = \frac{1}{\hat{\varphi} + 1}$$

$$\alpha_2 = \frac{1}{\frac{1+\sqrt{5}}{2} - \left(\frac{1-\sqrt{5}}{2}\right)} = \frac{1}{\frac{1+\sqrt{5}-1+\sqrt{5}}{2}} = \frac{1}{2\sqrt{5}}$$

$$\alpha_2 = -\frac{1}{\sqrt{5}}$$

$$\alpha_1 = \frac{1}{\frac{1+\sqrt{5}}{2} - \left(-\frac{1-\sqrt{5}}{2}\right)} = \frac{1}{\frac{1+\sqrt{5}+1-\sqrt{5}}{2}} = \frac{1}{2\sqrt{5}}$$

$$\alpha_1 = \frac{1}{\sqrt{5}}$$

$$A_n = 3A_{n-1} + 2A_{n-2}$$

$$\text{let } A_n = q^n$$

$$q^n = 3q^{n-1} + 2q^{n-2}$$

$$r^2 - 3r + 2 = 0$$

$$r^2 - 2r - r + 2 = 0$$

$$r(r-2) - 1(r-2) = 0$$

$$(r-1)(r-2) = 0 \Rightarrow r_1 = 1, r_2 = 2.$$

$$A_n = \alpha_1(1)^n + \alpha_2(2)^n$$

$$A_n = \alpha_1 + \alpha_2(2^n)$$

Assumption: α_1 doesn't matter

$$\Rightarrow A_n = \Theta(2^n)$$

Divide & Conquer - Divide the problem into smaller problems.

- Conquer smaller problems recursively.

- Combine the sub-problems into your solution.

Let $T(n)$ be the running time with a base case $n \ll c$.
then $T(n) = \Theta(1) \rightarrow$ base case.

Suppose we split the problem into some ' n ' in any tree,

subproblems [$a \geq 1$] \rightarrow branching factor.

- each of the subproblem is of size by a dividing factor [$b > 1$] \rightarrow dividing factor [n/b].

$$\text{Then: } T(n) = \underbrace{a \cdot T\left(\frac{n}{b}\right)}_{\text{Conquer}} + \underbrace{f(n)}_{\text{Cost of Div.}} \quad [f(n) = D(n) + C(n)]$$

Cost of Div. + Cost of combine.

$$T(n) = T\left(\frac{n}{2}\right) + \Theta(1) \rightarrow \text{Binary Search}$$

$$T(n) = 2T\left(\frac{n}{2}\right) + \Theta(n) \rightarrow \text{Merge Sort}$$

$$T(n) = n \lg n.$$

* Substitution using mathematical induction
 \hookrightarrow Read from book

$$T(n) = 2T\left(\frac{n}{2}\right) + \Theta(n) \quad \text{Assuming } n \text{ is in the exact powers of 2.}$$

$$T(n) = n \lg n \quad \therefore T(n) = \Theta(n \lg n).$$

$$T(n) = 2T(\frac{n}{2}) + \Theta(n) \quad [\Theta(D(n))=1 \quad T(n)=n]$$

n is the exact power of 2.

Recurrence: $T(n) = \begin{cases} \Theta(1), & n=2 \\ 2T(\frac{n}{2}) + \Theta(n) & \text{otherwise, } n>1, n=2^k \end{cases}$

$$T(n=2) = 2 \cdot 1 \lg_2 2 = 2$$

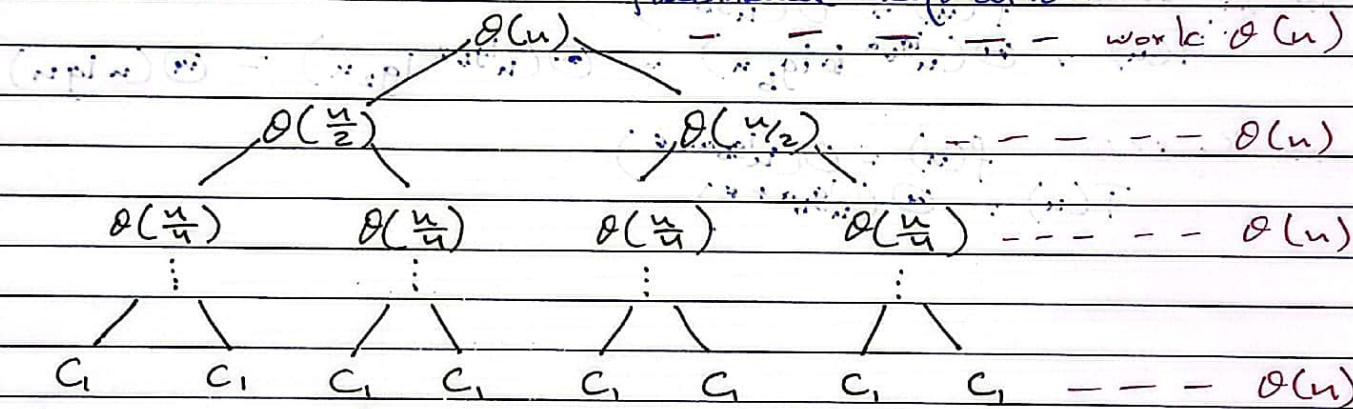
$$T(2n) = 2n \lg 2n$$

$$= 2T(n) + 2n = 2(\lg \frac{2n}{2}) + 2n$$

$$= 2(\lg \frac{2n}{2}) + 2n = 2n[\lg 2n - \lg 2] + 2n$$

$$\therefore T(2n) = 2n[\lg 2n - 1] + 2n = 2n \lg 2n$$

* This type of equation is called a recurrence equation, recurrence relation or a functional equation.



Master Theorem: If $T(n) = aT(\lceil n/b \rceil) + O(n^d)$ for some constants $a > 0$, $b > 1$ & $d \geq 0$, then

$$T(n) = \begin{cases} O(n^d) & \text{--- } d > \log_b a \\ O(n^d \log(n)) & \text{--- } d = \log_b a \\ O(n^{\log_b a}) & \text{--- } d < \log_b a \end{cases}$$

$$T(n) = \sum_{j=0}^{\lfloor \log_b n \rfloor} a^j f\left(\frac{n}{b^j}\right) + \Theta(n^{\log_b a})$$

Case 1: $\epsilon > 0$: $f(n) \geq O(n^{\log_b a + \epsilon})$, $\epsilon > 0$
 $T(n) \geq \Theta(n^{\log_b a})$

Case 2: $\epsilon = 0$: $f(n) \geq O(n^{\log_b a})$

$$T(n) \geq \Theta(n^{\log_b a} \lg_b n) = \Theta(n^{\log_b^2 \lg_2 n}) = \Theta(n \lg n)$$

Case 3: $\epsilon < 0$: $f(n) = O(n^{\log_b a + \epsilon})$

$$T(n) = \Theta(n^{\log_b a + \epsilon})$$

Master Theorem
 $T(n) = aT(\lceil n/b \rceil) + f(n)$
 $\text{where } a > 0, b > 1, f(n) = O(n^d)$
 $\text{and } d \geq 0$

$T(n) = aT(\lceil n/b \rceil) + f(n)$
 $= a(aT(\lceil n/b^2 \rceil) + f(n/b)) + f(n)$
 $= a^2 T(\lceil n/b^2 \rceil) + af(n/b) + f(n)$

$T(n) = a^2 T(\lceil n/b^2 \rceil) + af(n/b) + f(n)$
 $= a^2(aT(\lceil n/b^3 \rceil) + f(n/b^2)) + af(n/b) + f(n)$
 $= a^3 T(\lceil n/b^3 \rceil) + a^2 f(n/b^2) + af(n/b) + f(n)$

$T(n) = a^3 T(\lceil n/b^3 \rceil) + a^2 f(n/b^2) + a^2 f(n/b^2) + af(n/b) + f(n)$

9

Maximum Subarray Problem

- * Array of size n , find the contiguous subarray with the largest sum.

$$\text{arr} = [2, -3, 7, -3, 4, 6, -10]$$

largest Max. Sub array.

- * Brute Force takes $O(n^2)$

↳ Total sub arrays are $n(n+1)/2$

- * Divide & Conquer \Rightarrow similar to merge sort.

↳ $O(n \lg n)$ time.

→ Split array into two parts.

→ compute max on left

→ compute max on right

→ compute max through middle.

Karatsuba

Multiplying Long Integers:

Karatsuba's Algorithm:

↳ Multiplying two n -digit numbers takes n^2 time.

↳ 3 multiplications op.

↳ Divide & Conquer Approach $\Rightarrow n^{\frac{3}{2}}$ time.

- * Assume n is in the powers of 2.

$$X : \boxed{A} \boxed{B} \quad X = A \cdot 2^{\frac{n}{2}} + B$$

$$Y : \boxed{C} \boxed{D} \quad Y = C \cdot 2^{\frac{n}{2}} + D$$

n -bit

$$\text{eg. } X = 9724 = \underbrace{97}_{X_H} \underbrace{24}_{r^{\frac{n}{2}}} + \underbrace{24}_{X_L}$$

$$\Rightarrow X = X_H r^{\frac{n}{2}} + X_L$$

$$Y = Y_H r^{\frac{n}{2}} + Y_L$$

$$\text{Then } XY = (X_H r^{\frac{n}{2}} + X_L)(Y_H r^{\frac{n}{2}} + Y_L)$$

$$= X_H Y_H r^n + (X_H Y_L + X_L Y_H) r^{\frac{n}{2}} + X_L Y_L$$

* 4 Mults, 3 additions.

$$T(n) = 4\left(\frac{n}{2}\right) + O(n)$$

$$\Rightarrow T(n) = O(n^2)$$

$$T(1) = 1$$

(1c)

Gauss's Trick: $bc + ad = (a+b)(c+d) - ac - bd$
 * Karatsuba found & realized that instead of
 "four Mults," we can do it in 3.

Then: $XY = \underbrace{(X_L Y_H)}_a r^n + \underbrace{(X_H Y_L + X_L Y_H)}_b r^{n/2} + \underbrace{X_U Y_U}_d$

3 SubProb: $XY = ar^n + br^{n/2} + d$

$$\Rightarrow e = \lfloor (X_H + X_L)(Y_H + Y_L) - a - d \rfloor$$

$$\therefore T(n) = 3T\left(\frac{n}{2}\right) + O(n)$$

$$\Rightarrow T(n) \geq O(n^{1.584})$$

For $n \geq 500$ bits

Graphs & Graph Algorithms.

→ Graph is a pair of set of vertices & edges.

$$G = (V, E) \text{ where, } V = \{v_1, v_2, v_3, \dots, v_n\}$$

$$E = \{(v_i, v_j) | v_i, v_j \in V, i \neq j\}$$

→ Path in an undirected graph is a sequence v_1, v_2, \dots, v_k of nodes st. $\{v_i, v_{i+1}\} \in E, i = 1, 2, \dots, k-1$

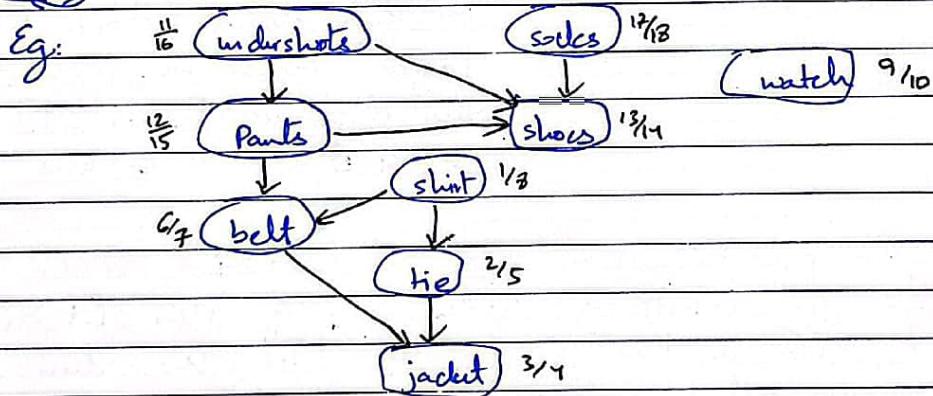
- * A path is a cycle if $k \geq 2$ & first $(k-1)$ nodes are disjoint.

Directed Acyclic Graph (DAG)

↳ a directed graph G is ~~called~~ acyclic if & only if a dfs of G yields no back edges.
 ↳ & Has no cycles.

Topological Sort → TS of a dag $G = (V, E)$ is a linear ordering of all its vertices such that if G contains an edge (u, v) , then u appears before v in the ordering.

→ only applicable on dag



Output: socks → undershorts → pants → shoes → jacket
 watch → shirt → belt → tie → jacket

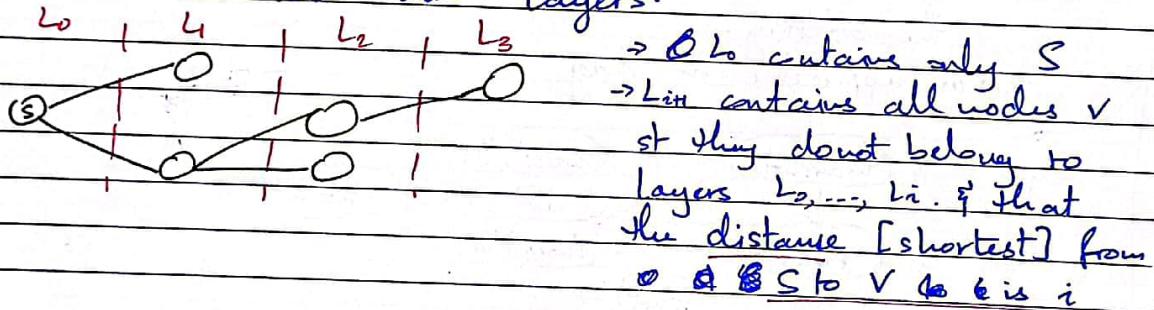
Connectivity: \rightarrow A graph is called connected if all nodes belong to the same component.

Directed Graph \leftarrow Weakly Connected Components
Strongly Connected Components (SCC)

A DG is Strongly Connected if for every two nodes $u \neq v$, $u \rightarrow v \wedge v \rightarrow u$ [a path exists from u to v & vice versa]

Graph Traversal { DFS } Runtime is $O(V+E)$ or $O(n+m)$
{ BFS } ie linear time in graphs.

- * Let S be a node of an undirected graph $G_i = (V, E)$.
- * BFS(S) finds all nodes t , ~~treess~~, $t \in V \setminus S$ that are reachable from S .
- * BFS constructs a tree from G_i , where all reachable nodes are divided into layers.



Finding Connected Components in an Undirected Graph $G = (V, E)$

- 1 - Start with an arbitrary node S , & run BFS(S) to construct a tree T_1 , if $|T_1| = n$, then the graph is connected. [$\#$ of comps ≥ 1]
- 2 - Otherwise pick a node $u \notin T_1$, & run BFS(u) to construct T_2 . IF $|T_1| + |T_2| = n$, stop. Else repeat until all nodes in G are visited.

Finding Strongly Connected Components (SCC)

↳ in a directed graph $DG = (V, E)$

- * Given two nodes $u, v \in V$, in a directed graph $DG = (V, E)$, define an equivalence relation 'SCC'.

SCC is an equivalence relation.

i) Reflexive, $s \sim s$ ($s \in V$)

ii) Symmetric, $s \sim t \Leftrightarrow t \sim s$ ($s, t \in V$)

iii) Transitive, if $s \sim t \wedge t \sim u \Rightarrow s \sim u$ ($s, t, u \in V$)

- * An equivalence relation partitions V into disjoint sets called equivalence sinks.

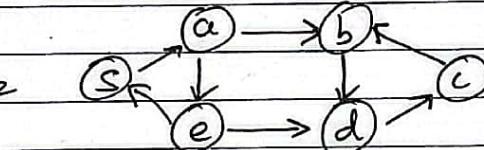
- * In case of a directed graph $DG = (V, E)$, the node set V is partitioned into disjoint sets (equivalence relations) or SCC by this relation.

i.e., Given two sinks nodes, $u, v \in V$, either u, v are in the same SCC or in different SCCs.

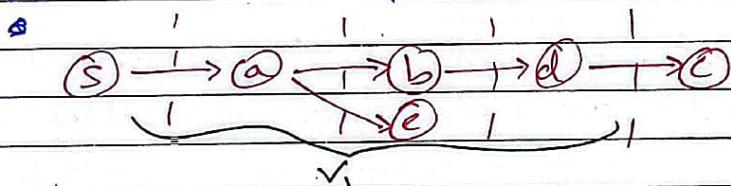
Finding SCCs:- BFS & DFS both can be used.

- * Using BFS to find SCCs

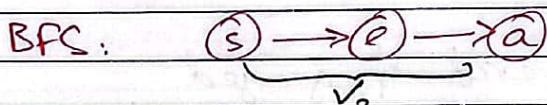
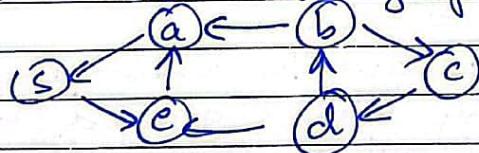
Given a $DG = (V, E)$ $DG =$



- * Pick a node 'S' & run BFS on it.

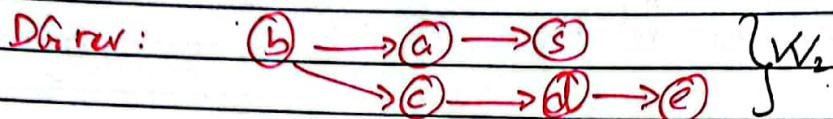
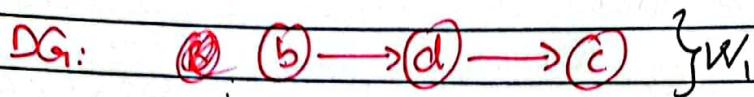


- * Now reverse the graph, & run BFS again by 'S'.



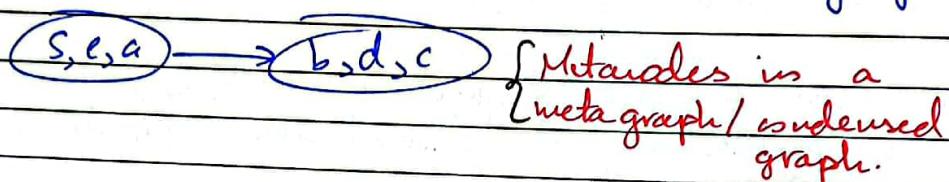
$V_1 \cap V_2 = \{s, e, a\} \rightarrow$ they belong to one SCC.

Likewise, Run BFS(B) on DG & DG reverse.

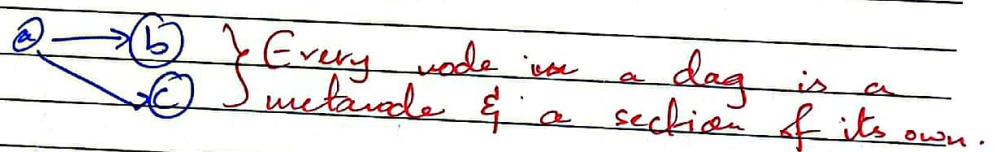


$W_1 \cap W_2 = \{b, c, d\} \rightarrow$ belong to one SCC.

Then we can draw the actual directed graph as:



* A DAG (Directed Acyclic Graph) is a directed graph (meta) of ∞ SCCs of a DG. e.g.,



* i.e., given a dag, $G_1 = (V, E)$, every node is a SCC.

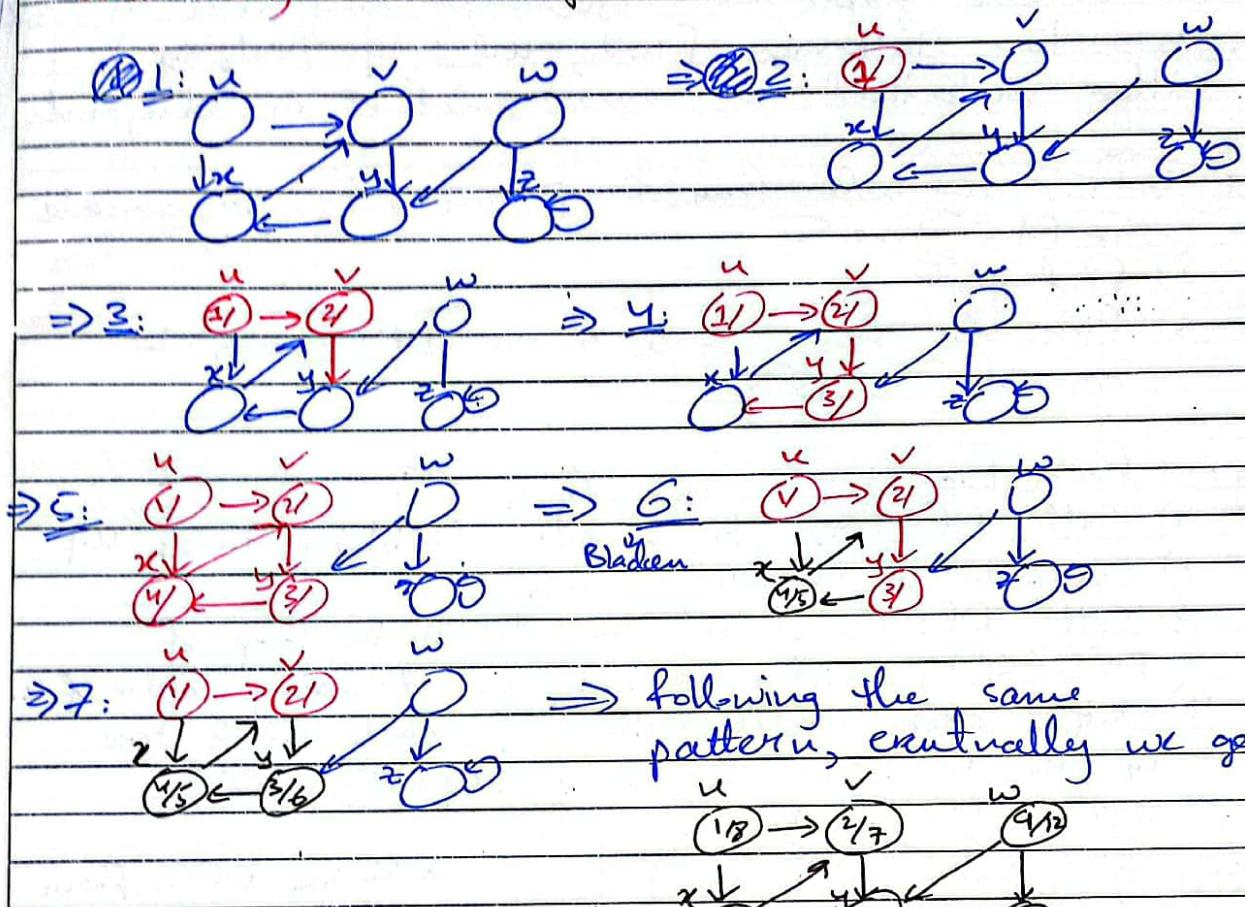
- * There is at least one node that is called a sink.
- * There is at least one node that is called a source.

Depth - First - Search (DFS)

- * Searches 'deep' in the graph whenever possible.
- * Each vertex u , is initially white, grayed when discovered, & blackened when finished.
- * Use two timestamps
 - ↳ first when is discovered & grayed
 - ↳ second when is finishes examination & back tracks.
- * Timestamps are b/w 1 & 21 VI.

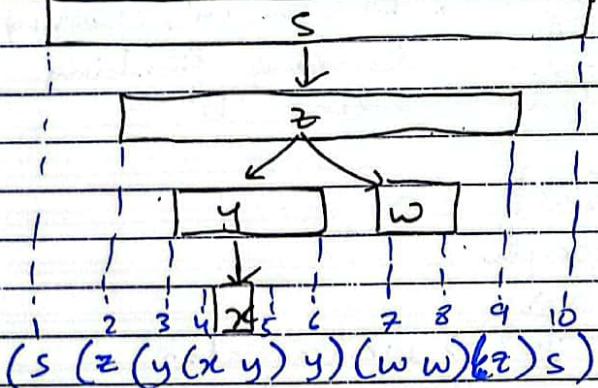
→ Example.

In the example, blue is initially undiscovered, red is discovered, black is finished.



DFS $\Rightarrow \omega(v \in E)$

Paranthesis Structure Property \Rightarrow interesting



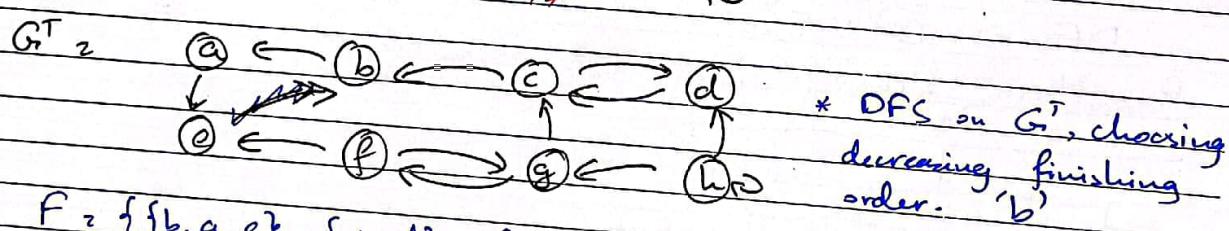
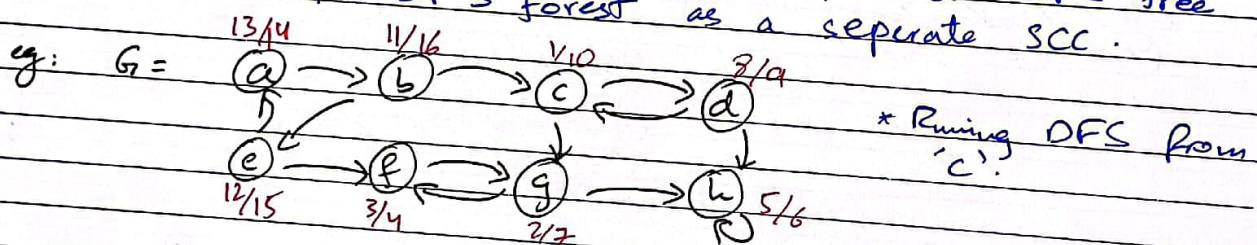
Using DFS to find SCC.

Given a directed graph $DG = (V, E)$, for any nodes $u, v \in V$ there are two intervals $[u.d, u.f]$ & $[v.d, v.f]$, are either contained in one another or disjoint.

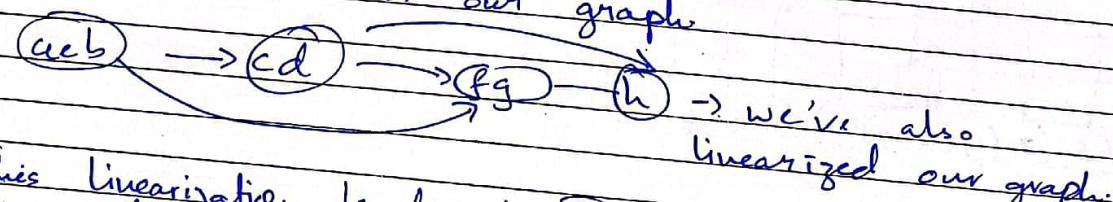
Alg: 1) Pick any node from G & run DFS to generate a BFS tree
2) Find G^T
3) Pick the same node & run DFS on G^T to generate a BFS

Alg: Run two DFS on G & G^T .

- 1) Call $DFS(G)$ to compute finishing times $u.f$
- 2) Compute G^T (Reversed Graph)
- 3) Call $DFS(G^T)$ but in the main loop of $DFS(G)$, consider vertices in decreasing order of $u.f$.
- 4) Output ~~order~~ nodes / vertices of each DFS tree in the DFS forest as a separate SCC.



$$F = \{\{b, a, e\}, \{c, d\}, \{f, g\}, \{h\}\}$$
$$\hookrightarrow |F| = 4 \rightarrow \text{SCCs in our graph.}$$



This linearization leads to Topological Sorting as discussed before.

Date: _____

Maximum Flows.

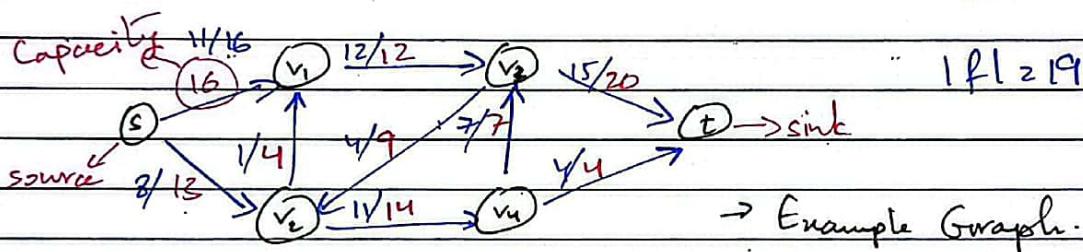
Flow Network: A flow network $G_2(V, E)$ is a directed graph in which each edge $(u, v) \in E$ has a non-negative capacity $c(u, v) \geq 0$. If an edge from u to v exists, an edge from v to u does not.

* If (u, v) does

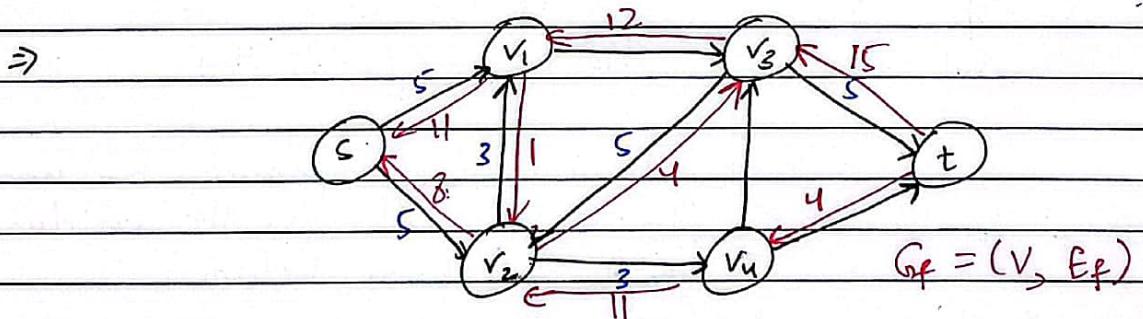
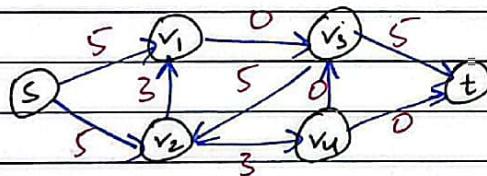
* Each flow has 2 distinguished nodes: a source 's' & a sink 't'.

* $\forall u, v \in V, 0 \leq f(u, v) \leq c(u, v) \Rightarrow$ Capacity Constraint.
 $\forall u \in V - \{s, t\}, \sum_{v \in V} f(u, v) = \sum_{v \in V} f(v, u)$.

Flow Conservation ($\text{in-}f = \text{out-}f$)



Residual Network of above Graph, $c_f(u, v) = \begin{cases} c(u, v) - f(u, v) \\ f(v, u) \\ 0 \end{cases}$



* Now how can we find the maximum flow across the network graph?

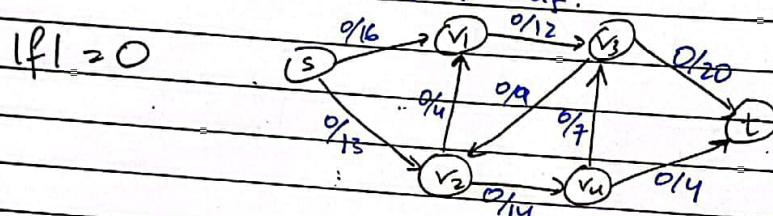
Ford - Fulkerson Method

→ Finding a max-flow is an optimization problem.

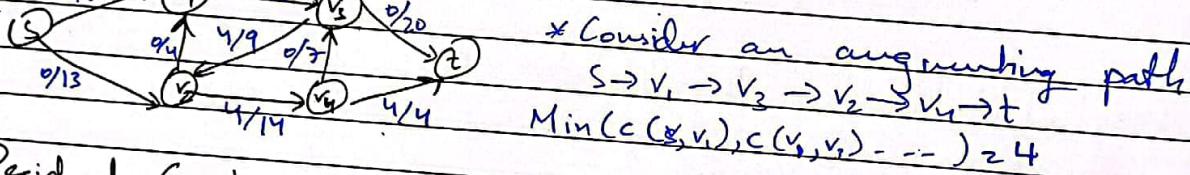
Ford - Fulkerson Method (G, s, t)

1. Initialize flow to 0
2. While there exists an augmenting path ' p ' in the residual network
3. augment flow f along p
4. return f .

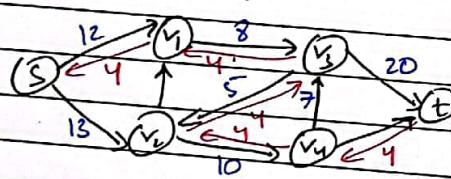
→ An augmenting path p is a simple path from s to t in the residual network G_f .



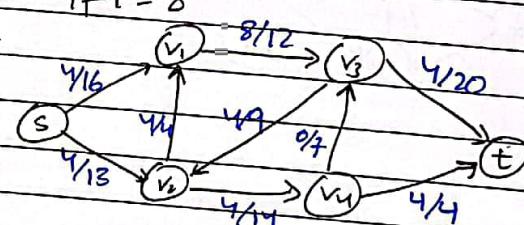
Flow graph with $|f| = 4$



Residual Graph G_f :

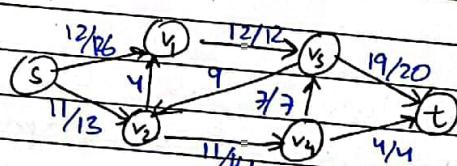
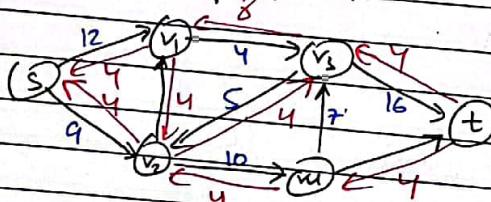


$|f| = 8$



Residual Graph for $|f| = 8$

→ Continuing this approach, our max flow is of $|f| = 23$. Its residual graph is shown below.



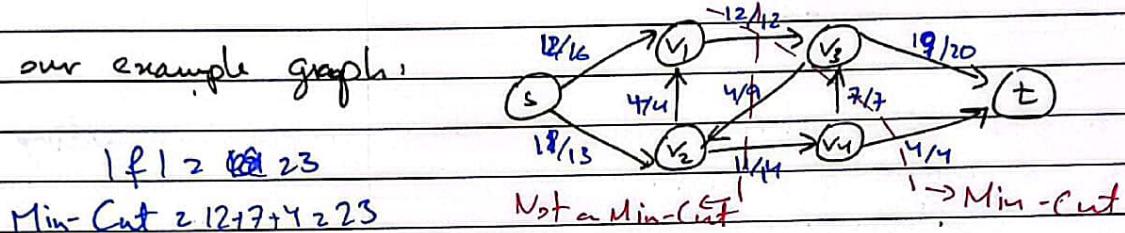
Max-flow, Min-Cut Theorem [FFM Correctness]

↳ Max value of an s-t flow is equal to the minimum capacity over all s-t cuts.

* A cut (S, T) of $G(V, E)$ is a partition of V into S & $T \subseteq V - S$ such that $S \subseteq S \& T \subseteq T$ (disjoint sets).

* A Min-Cut is a cut of a network whose capacity is minimum over all cuts of the network.

For our example graph:



- * To find the min-cut, check whether any edge has a flow at full capacity. If cutting it reduces the capacity as the flow can't find a way, then cut it.
- * Repeat until all such paths are blocked/cut.

* Min-Cut Capacity = Max-Flow.

* Finding perfect Bipartite Matching

The Min-Cut, Max-Flow Theorem:

If the total flow is $|f|$ in a network $G = (V, E, s, t)$, then the following three statements are equivalent:

- (1) $|f|$ is a maximum flow in G .
- (2) The residual network G_f contains no augmenting path(s) $s \rightarrow t$.
- (3) The total flow $|f| = c(u, v) \quad \forall S, V \setminus S$, for some cut (minimum capacity cut) (S, T) of G .

Proof of the above:

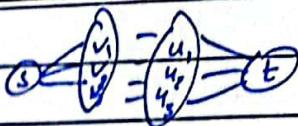
\Rightarrow Suppose (1) is true, but an augmenting path 'p' exists.

Then the flow found by augmenting 'p' ~~some path~~ by some f_p is a flow in G but with a value which is strictly greater than $|f|$. i.e., $|f| + |f_p| > f_{\max}$

which is a contradiction. Hence (2) must be true.

[Make similar reasonings for $2 \Rightarrow 3$ etc].

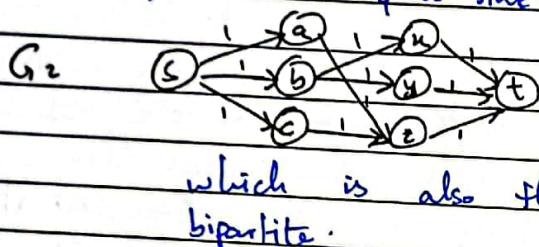
Finding Perfect Bipartite Matching



Take an eg: what is the maximum matching in the above graph on the left?

Take another eg: the max possible matching in this case is 2.

We can convert the above into a flow graph by adding two nodes, a source & a sink.



Then we can solve this to get max flow as 2,

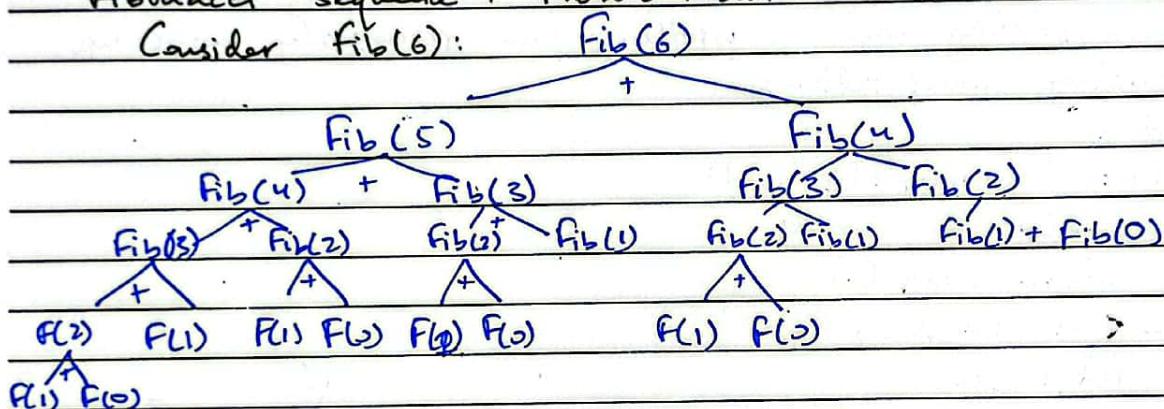
which is also the maximum matching for the bipartite.

Dynamic Programming

- * Similar to DnC, solves divides the problem into subproblems, & recursively solves the subproblems.
- * DP applies when subproblems overlap (are common).
- * DP solves each subproblem only once, & stores its answer or solution [hash table / array / table], thus avoiding recomputation.
- * DP \rightarrow optimization problems.
- * Solves linear recurrences.

Fibonacci Sequence : $Fib_n = Fib_{n-1} + Fib_{n-2}$

Consider $Fib(6)$:



* Overlapping subproblems

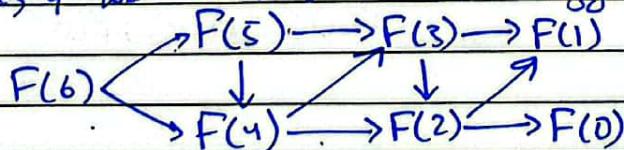
* Optimal substructure. * We can solve only the leftmost branch to get the whole solution.
These 2 properties make it suitable for DP.

Recursively this takes exponential time.

We can solve using DP efficiently in 2 ways \rightarrow Bottom-Up (1)
(2) \rightarrow Top-Down/Memoization

~~Solving through Bottom-up~~ Solving through Top-Down Approach.

* Interestingly, $Fib(6)$ can be represented via a dag as well, & we can solve the dag..



* This dag shows a top-down approach, since we start at the top, & solve to down until base case, then recursively solve each subprob.

* In Memoization, a memo [map] of solutions of already computed subproblems is used.

$F(6)$	Memo:	Memo:
$F(5) + F(4)$	$F(5) = 5$	$F(0) = 0$
$F(4) + F(3)$	$F(4) = 1$	$F(1) = 1$
\vdots	$F(3) = 2$	$F(2) = 1$
$F(3) + F(2)$	$F(2) = 2$	$F(3) = 2$
$F(2) + F(1)$	$F(1) = 3$	$F(4) = 3$
\vdots	$F(0) = 5 \Rightarrow F(0) = 3 \Rightarrow F(1) = 5 \Rightarrow F(2) = 8 \Rightarrow F(3) = 5 \Rightarrow F(4) = 8$	$F(5) = 5$
		$F(6) = 8$

Hope Then we can keep a memo, park continuously over the left branch solving each subproblem, thus recursively come up, thus reducing computations.

Pseudocode:

```
fibHelper(n: int, memo: list[int])
```

if memo[n] is not None

return memo[n]

if $n \leq 2$. (n is less than 2)

ret fib - n

else:

$fib = fibHelper(n-1, memo) + fibHelper(n-2, memo)$

memo[n] = fib

return fib

fib-TopDown(n: int):

memo = [None for _ in range(n)]

return fibHelper(n-1, memo)

Bottom Up Approach: Simply keep a list! Day: 8

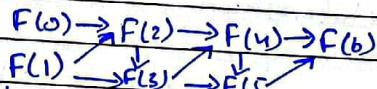
fib-BottomUp(n: int):

$fib = [0, 1] + [0] * (n-1)$

for i = 2 to n+1:

$fib[i] = fib[i-1] + fib[i-2]$

return fib[n]



So When does DP (Tabulation Method) apply? →

Q DPP DP → When to use tabulation / bottom-up?

* 1) recursive approach & optimization.

2) Problem can be broken into a combination of subproblems

3) Use solutions (optional) to solve (of subprobs) the orig prob.

4) Recurrence relation is linear, or has only a slight difference. $D(n), T(n) = aT(n/b) + f(n)$

$$\hookrightarrow F(n) = F(n-1) + F(n-2)$$

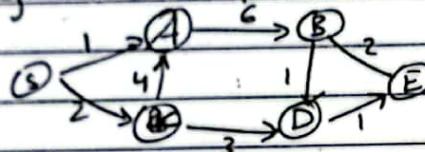
↳ To get $F(10)$, we need $F(9)$ & $F(8)$ which are only slightly smaller.

5) Overlapping subproblems.

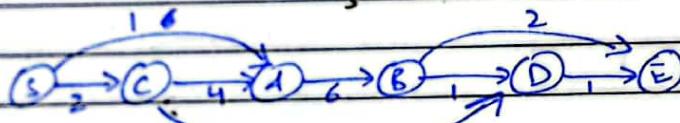
Solving DAGs:

↳ Finding Shortest Path in a DAG DAG (Basis of DP)

DAG:



* Run DFS on G to get the linearized, topologically sorted dag.



Claim: to find the shortest path from a source node to any node, it is sufficient to linearize the dag.

↳ Smallest optimal subproblem: $\text{dist}(S, S) = 0$

↳ $\text{dist}(S) = 0$ which is our base case.

2) Start building the solution to bigger problems using optimal solutions to subproblems.

↳ We get: $\text{dist}(v) \leftarrow \infty$

$$\text{dist}(v) = \min_{\substack{\text{from source} \\ b(u, v) \in E}} \{ \text{dist}(v), \text{dist}(u) + \text{cost}(u, v) \}$$

recursive definition

1) $\text{dist}(S) = 0$ base case.

$$2) \text{dist}(C) = \text{dist}(S) + c(S, C) = 0 + 2 = 2 \quad \because c(u, v) = \text{cost}(u, v)$$

$$3) \text{dist}(A) = \min \{ \text{dist}(S) + c(S, A), \text{dist}(C) + c(C, A) \} \\ = \min \{ 0 + 1, 2 + 4 \} = \min \{ 1, 6 \} = 1$$

$$4) \text{dist}(B) = \text{dist}(A) + c(A, B) = 1 + 6 = 7$$

$$5) \text{dist}(D) = \min \{ \text{dist}(B) + c(B, D), \text{dist}(C) + c(C, D) \}$$

$$= \min \{ 7 + 1, 2 + 3 \} = \min \{ 8, 5 \} = 5$$

$$6) \text{dist}(E) = \min \{ \text{dist}(D) + c(D, E), \text{dist}(B) + c(B, E) \} = \min \{ 5 + 1, 7 + 2 \} \\ = \min \{ 6, 9 \} = 6$$

⇒ Bellman's idea went beyond just finding the shortest path in a dag. Imagine that the nodes are subproblems of larger problem, and finding the shortest path from the source [base-case] to every node the subproblem.

⇒ All Dynamic Programming problems can be reduced to the shortest path problem in a dag

Finding the Longest Common Subsequence (LCS)

$\text{LCS}(X, Y)$ where $X \in Y$ are strings.

e.g. Let: $X = \langle A, B, C, B, D, B, B \rangle$

$Y = \langle B, D, C, A, B, A \rangle$

Then $Z = \langle B, C, B, A \rangle$ is a common subsequence

which is also the longest common subsequence.

$Z' = \langle B, D, B, A \rangle$ is also an LCS

* Brute force results in exponential time solution!
 $O(2^{n+m})$ when $n = |X| \in m = |Y|$ since all sequences of X are compared with all sequences of Y .

Theorem: Let $X = \langle x_1, x_2, \dots, x_m \rangle \in Y = \langle y_1, y_2, \dots, y_n \rangle$

Then let $Z = \langle z_1, z_2, \dots, z_k \rangle$ be any LCS of $X \in Y$.

Then: 1) If $x_m = y_n$, then $z_k = x_m = y_n$
 $\& z_{k-1} = \text{LCS}(x_{m-1}, y_{n-1})$

2) Else if, $x_m \neq y_n \& z_k \neq y_n$

then, $z_k = \text{LCS}(x_m, y_{n-1})$

3) Else if, $x_m \neq y_n \& z_k \neq x_m$

then, $z_k = \text{LCS}(x_{m-1}, y_n)$

Now suppose, $X = \langle A, B, C, B, D, A, B \rangle \in Y$

Then intuitively we get the following cases:

$z_k = (x_0, y_0) = \emptyset$ { Base cases }

$z_k = (x_m, y_0) = \emptyset$ { for our }

$z_k = (x_0, y_n) = \emptyset$ { recursion }

* From the above we can deduce that $\text{LCS}(X, Y)$ contains within the LCS of prefixes of 2 sequences. Hence we have an optimal substructure.

Overlapping Subproblems:

Let $X_2 \langle A, X, Y, T \rangle$ & $Y_2 \langle A, Y, Z, X \rangle$

$$L("AXYT", "AYZX")$$

$$L("AXY", "AYZX")$$

$$L("AXYT", "AYZ")$$

$$L("AX", "AYZX")$$

$$L("AXY", "AYZ")$$

$$L("AXY", "AYZ"), L("AXYT", "AY")$$

* We already have overlapping subproblems.*

* Further expanding the recursion tree would show more overlapping subproblems. Hence we can use DP.

* Let $C[i, j]$ be the length of $LCS(X_i, Y_j)$

if $i=0$ or $j=0$, then $C[i, j]=0$ (base-case)

or

$$C[i, j] = \begin{cases} 0 & \text{if } i=0 \text{ or } j=0 \\ C[i-1, j-1] + 1 & \text{if } i, j > 0 \text{ & } X_i = Y_j \\ \max\{C[i-1, j], C[i, j-1]\} & \text{if } i, j > 0 \text{ & } X_i \neq Y_j \end{cases}$$

⇒ Instead of recursion, we fill up a table → tabulation approach [bottom-up].

Consider, $X_2 \langle ABCB \rangle$ & $Y_2 \langle BDCTB \rangle$

Filling the table:

1) Fill the initial cases first. Call trivial subprobs / base cases

2) Do any a row major / column major order.

$$C[m, n] = 3 \quad (\text{length of LCS})$$

$$\& LCS(X, Y) = BCB.$$

The table is as follows:

→ ① Small subprobs with optimal solutions.

i	j	0	1	2	3	4	5
i	y_i	B	D	C	A	B	
0	x_i	0	0	0	0	0	0
1	A	0					
2	B	0					
3	C	0					
4	B	0					
—	—	—	—	—	—	—	—

i	j	0	1	2	3	4	5
i	y_i	B	D	C	A	B	
0	x_i	0	0	0	0	0	0
1	A	0	0	0	0	0	1
2	B	0	0	0	0	1	1
3	C	0	0	0	0	0	0
4	B	0	0	0	0	0	0

We move row wise, since A has ← no matches, we put 0, then on the match, we put 1 & carry that value forwards until the next match.

(3)	j	0	1	2	3	4	5
i	y _i	B	D	C	A	B	
0	x _i	0	0	0	0	0	0
1	A	0	0	0	0	1	0
2	B	0	1	1	1	1	2
3	C	0	1	1	2	2	2
4	B	0	1	1	2	2	3

→ We start on the second row from 'B', & on the match set the value to 1. It gets carried till another match that "B" = 2. Below

Now the interesting thing happens!

(4)	j	0	1	2	3	4	5
i	y _i	B	D	C	A	B	
0	x _i	0	0	0	0	0	0
1	A	0	0	0	0	1	1
2	B	0	1	1	1	1	2
3	C	0	1	1	2	2	2
4	B	0	1	1	2	2	3

* We follow the rules, & since $i, j > 0$ & $x_i \neq y_j$, we set $c[i, j]$ to $\max[c[i, j-1], c[i-1, j]]$ which was 1 which gets carried the same way until our second match of 'C' when the value gets updated & then carried, showing LCS to be 2 yet ["BC"].

(5)	j	0	1	2	3	4	5
i	y _i	B	D	C	A	B	
0	x _i	0	0	0	0	0	0
1	A	0	0	0	0	1	1
2	B	0	1	1	1	1	2
3	C	0	1	1	2	2	2
4	B	0	1	1	2	2	3

* Finally our last string "B", which starts from '1' by $c[i-1, j]$, then gets the value 2 similarly, finally being incremented on match with the last 'B'. Hence, the final value is our LCS which is 3. $LCS(X, Y) = 3$ ["BCB"].

* This shows how we back track on the matched indices to get our longest subsequence "BCB".

* This shows how we can use DP to solve LCS in $O(n \times m)$ from $O(2^{mn})$. Quite convenient & efficient!

Pseudocode:

`Lcs-tabulation(X, Y, m, n):` → Returns only the length

table = [[None] * (n+1) for i in range(m+1)]

for i ← 0 to m+1:

 for j ← 0 to n+1:

 if i = 0 or j = 0: table[i][j] = 0

 elif X[i-1] == Y[j-1]:

 table[i][j] = 1 + table[i-1][j-1]

 else tab[i][j] = max{table[i-1][j], table[i][j-1]}

return table[m][n]

The 0/1 Knapsack Problem

No fractions

- * Each item represented by a pair <value, weight>
- * Knapsack can accommodate items with a total weight of at most capacity w .

Our question is:

"There are 'n' items to pick from, of weight w_1, w_2, \dots, w_n , & value $v_1, v_2, v_3, \dots, v_n$. What's the most valuable combination of items we can accommodate in our Knapsack?"

- ⇒ Let, I be a vector of length n , [set of items]
- ⇒ Let, V be a vector of length n to indicate whether the item ends up in the Knapsack.
- if $V[i]=1$, then $I[i] \in \text{Knapsack}$.

$$\Rightarrow \text{Maximize } K(w) = \sum_{i=0}^{n-1} V[i] \times I[i] \leq w \quad [w = \text{capacity}]$$

With Brute Force:

- * Enumerate all possible combinations
- ↳ Exponential time.

* Guarantees optimal solution but in exponential time.

- 2 Versions of Knapsack:
- 1) Items added with repetition
 - 2) Items added without repetition.

Item	Weight	Value
1	6	30
2	3	14
3	4	16
4	2	9

1) With Repetition

'a' & 2 'd's.

$$\sum w_i = a \cdot w_a + d \cdot w_d + d \cdot w_d$$

$$= 30 + 2 \cdot 6 + 2 \cdot 2 = 48$$

$$K(w) = 30 + 9 + 9 = 48$$

2) Without Repetition

Pick 'a' & 'c'.

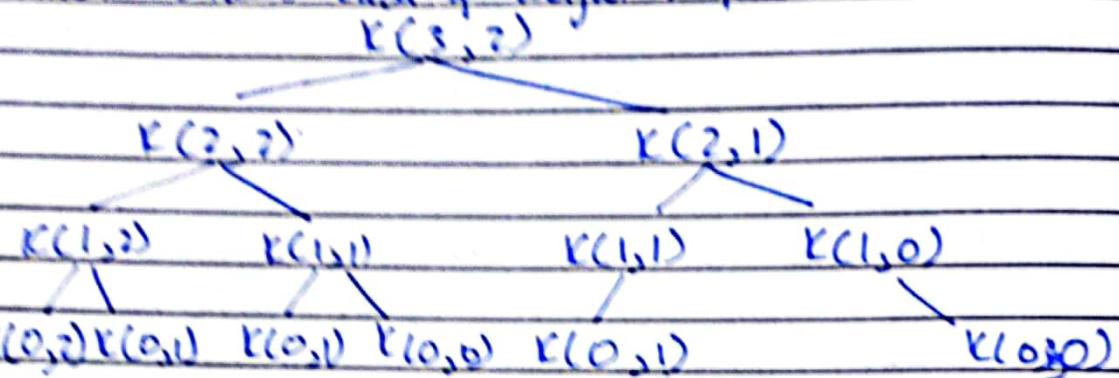
$$\sum w_i = a \cdot w_a + c \cdot w_c$$

$$= 6 + 4 = 10$$

$$K(w) = 30 + 16 = 46$$

Recursive Optimal Structure.

Consider 3 items each of weight 1 & same value v_i



* We can already see overlapping subproblems. Hence DP is applicable!

Recursively: * let i be an optm item in the optimal solution, $K(w)$

* Removing ' i ' still maintains results in the Knapsack having optimal solution for weight/capacity $w-w_i$ & total value $K(w-w_i)$.

* Then, $K(w) = \max_{\substack{\text{optimal sol} \\ \text{optimal sol for} \\ \text{the subproblem}}} \{K(w-w_i) + v_i\}$ for some i

But which i to remove? Try all possibilities.

$$\star K(w) = \max_{1 \leq i \leq n} \{K(w-w_i) + v_i\} \quad [\text{Base Case: } K(0)=0]$$

with repetition, size by cap only, 1-D table we get.

w	0	1	2	3	4	5	6	7	8	9	10
$K(w)$	0	0	9	14	18	23	30	32	39	44	45

Pseudocode for Recursive

Knapsack(w , weights, values, n)

if n is 0 or w is 0: return 0

return 0

if $\text{weights}[n-1] > w$:

return Knapsack(w , weights, values, $n-1$)

else:

$w - \text{weights}[n-1]$

without repetition return $\max\{\text{values}[n-1] + \text{Knapsack}(w, \text{weights}, \text{values}, n-1), \text{Knapsack}(w, \text{weights}, \text{values}, n-1)\}$

with repetition & return $\max\{\text{values}[n-1] + \text{Knapsack}(w - \text{weights}[n-1], \text{weights}, \text{values}, n), \text{Knapsack}(w, \text{weights}, \text{values}, n-1)\}$

Matrix-Chain Multiplication

* Matrix Multiplication is not Commutative, however, it is Associative.

$$i. A \times B \neq B \times A$$

$$ii. (A \times B) \times C = A \times (B \times C).$$

* Associativity allows us to find different ways to compute the product of a series of matrices.

* Then gives a chain of matrices $\langle A_1, A_2, \dots, A_n \rangle$ where we have ' n ' matrices (not necessarily square) what is the most efficient way to compute their product?

Consider: ~~ABCD~~

$$\begin{matrix} & A & \times & B & \times & C & \times & D \\ \text{size:} & 50 \times 20 & : & 20 \times 1 & & 1 \times 10 & & 10 \times 100 \end{matrix}$$

Paranthesization	Cost Computation	Cost
$A \times (B \times C) \times D$	$20 \cdot 1 \cdot 10 + 20 \cdot 10 \cdot 100 + 50 \cdot 20 \cdot 100$	120,200
$(A \times B) \times (C \times D)$	$20 \cdot 1 \cdot 10 + 50 \cdot 20 \cdot 10 + 50 \cdot 10 \cdot 100$	60,200
$(A \times B) \times (C \times D)$	$50 \cdot 20 \cdot 1 + 1 \cdot 10 \cdot 100 + 50 \cdot 1 \cdot 100$	7,000

* Order makes a big difference in the cost!

For 2 Matrices A_1, A_2 only 1 Parenthesization:

For 3 Matrices A_1, A_2, A_3 :

$$1. A_1 \times (A_2 \times A_3) \quad 2. (A_1 \times A_2) \times A_3$$

For 4 Matrices A_1, A_2, A_3, A_4 :

$$1. A_1 (A_2 (A_3 A_4))$$

* For 5 Mats, we get 14 ways

$$2. A_1 ((A_2 A_3) A_4)$$

* For 6 Mats, 42 ways!

$$3. (A_1 A_2) (A_3 A_4)$$

* For 7 Mats, 132 ways!

$$4. (A_1 (A_2 A_3)) A_4$$

$$5. ((A_1 A_2) A_3) \cdot A_4$$

Following this pattern, we get a really nice & interesting series: 1, 2, 5, 14, 42, 132, 429, 1430, 4862

-- Given by the formula:

$$C_n = \frac{1}{n+1} \binom{2n}{n} \rightarrow \text{The Catalan Numbers}$$

Solving Knapsack Using ~~Knapsack~~ Dynamic Programming

* We need to keep track of $K(w)$, but also whether an item j ($1 \leq j \leq n$) from in items is already in the optimal solution or not.

* 2-D problem of size $(n+1) \times (w+1)$.

* Decision Problem

* Keep a memo to track filled in values, \rightarrow top down

* Keep a 2D table to track \rightarrow bottom up.

$$r(w, j) = \max \begin{cases} K(w - w_j, j-1) + v_j & ; w_j \leq w \\ K(w, j-1) \end{cases}$$

Knapsack Pseudocode \rightarrow Top-Down Approach

memo = [-1 for _ in range(w+1)] for _ in range(n+1):

Knap-Memo(w, weights, vals, n):

if n is 0 or w is 0:

 return

if memo[n][w] != -1:

 return memo[n][w]

if weights[n-1] $\leq w$:

 memo[n][w] = max { vals[n-1] + Knap-Memo(w - weights[n-1], weights, vals, n-1), Knap-Memo(w, weights, vals, n-1) }

 return memo[n][w]

else:

 memo[n][w] = Knap-Memo(w, weights, vals, n-1)

 return memo[n][w]

\checkmark
no
repetition

Pseudocode \rightarrow Bottom Up Approach

Knap-Bup(w, wts, vals, n):

 K = [[0 for i in range(w+1)] for _ in range(n+1)]

 for i in range(n+1):

 for w in range(w+1):

 if i is 0 or w is 0: $K[i][w] = 0$

 else if wts[i-1] $\leq w$:

$K[i][w] = \max \{ vals[i-1] + K[i-1][w - wts[i-1]], K[i-1][w] \}$

 else $K[i][w] = K[i-1][w]$

 return K[n][w]

Date: _____

- * Then for any number of Matrices 'n', the possible ways to parenthesize them are:

$$C_n = \frac{1}{n+1} \binom{2n}{n}$$

- * Interestingly, the Catalan Numbers also follow the recurrence relation:

$$C_{n+1} = C_0 C_n + C_1 C_{n-1} + \dots + C_n C_0 = \sum_{k=0}^n C_k C_{n-k}$$

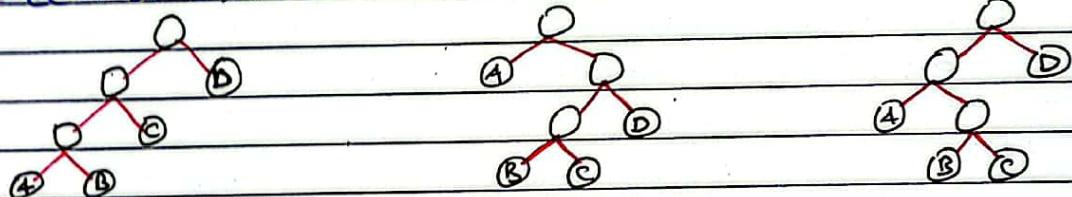
which implies that we are going to be having overlapping subproblems!

Solving the Problem - Binary Trees

↳ Every particular parenthesization involves 2 matrices, hence can be represented by a binary tree.

↳ Consider A, B, C, D.

$$\text{cost}(A \times B) \times C \times D : \quad (b) A \times ((B \times C) \times D) \quad (c) (A \times (B \times C)) \times D$$



* We get full binary trees with 'n' leaves which grow exponentially. Thus Brute Force is bad.

- * For a tree to be optimal, its subtrees must also be optimal. Since we want to minimize our cost; we have a minimization problem.

$$C(i, j) = \min_{1 \leq k \leq j} \text{cost of prod of } A_i \times A_{i+1} \times \dots \times A_j$$

* Each subprob q-size $|j-i| \Rightarrow \# \text{ mat mults}$

* Base Case when $i=j \Rightarrow C(i, j) = 0$

* For $j > i$, consider optimal subtree for $C(i, j)$. The first branch splits into $A_i \times \dots \times A_k$ & $A_{k+1} \times \dots \times A_j$ $\exists k$ s.t. $i \leq k \leq j$

* Then cost = $C(i, k) + C(k+1, j) + m_{i-1} \cdot m_k \cdot m_j$ & we need to find the smallest splitting point 'k'. s.t.

$$C(i, j) = \min_{i \leq k \leq j} \{ C(i, k) + C(k+1, j) + m_{i-1} \cdot m_k \cdot m_j \}$$

Pseudocode - Recursive / Brute Force

~~Recursion~~

MatChainMult(chain, i, j)

if $i == j$:

return 0

min $\leftarrow -\infty$

for $k \leftarrow i - j$:

count = MatChainMult(chain, i, k) + MatChainMult(chain, k+1, j)
 $+ chain[i-1]^* chain[k]^* chain[j]$

min = min{min, count}

return min

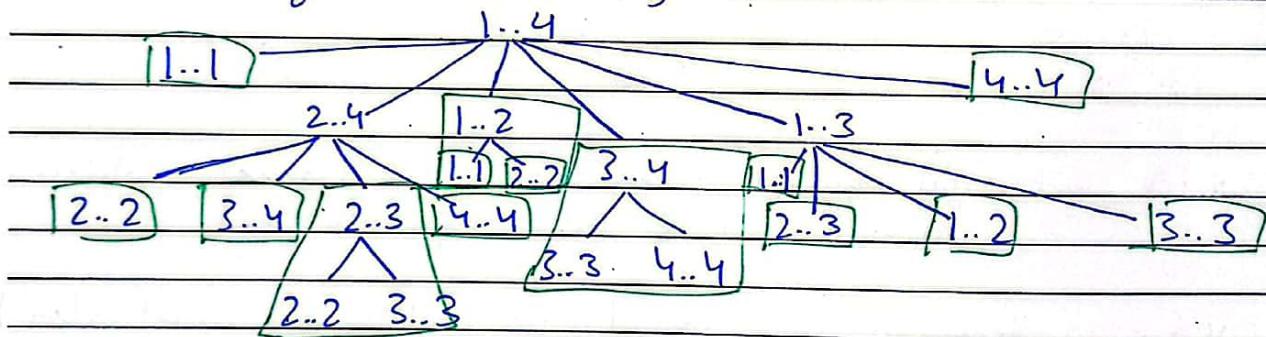
Solving Using Dynamic Programming

1) Memoization

Consider 4 Matrices of Dimensions:

$1 \times 2, 2 \times 3, 3 \times 4, 4 \times 3$. [arr2 [1, 2, 3, 4, 3]]

Then we get the following Recursion Tree



We can already see so many overlapping subproblems.

* Keep a memo of size $n \times n$

memo = [[-1 for _ in range(n)] for _ in range(n)]

def MatChainMemo(chain, i, j):

return 0 if $i == j$

return memo[i][j] if memo[i][j] != -1

memo[i][j] $\leftarrow -\infty$

for $k \leftarrow i - j$:

count = MatChainMemo(chain, i, k) + MatChainMemo(chain, k+1, j)
 $+ chain[i-1]^* chain[k]^* chain[j]$

memo[i][j] = min{memo[i][j], count}

return memo[i][j]

Date: _____

2) Tabular - Bottom Up Approach.

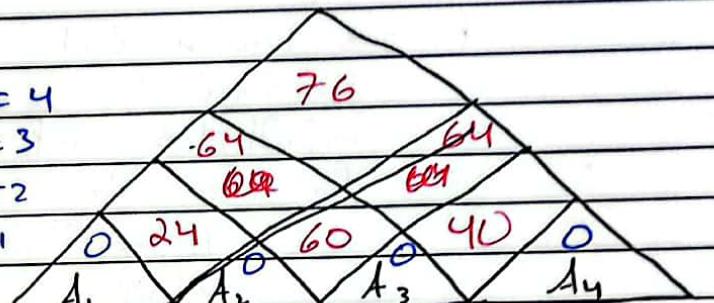
Consider 4 mats: A_1, A_2, A_3, A_4

$$\begin{matrix} P_0 & A_1 \\ 2 \times 3 & \end{matrix} \times \begin{matrix} P_0 & A_2 \\ 3 \times 4 & \end{matrix} \times \begin{matrix} P_0 & A_3 \\ 4 \times 5 & \end{matrix} \times \begin{matrix} P_0 & A_4 \\ 5 \times 2 & \end{matrix}$$

$$\text{Recall: } m[i, j] = \begin{cases} 0 & i=j \\ \min\{m[i, k] + m[k+1, j] + P_{i-1} \cdot P_k \cdot P_j \} & i < j \end{cases}$$

Create a table!

i	j	1	2	3	4
1	0	24	64	76	
2	0	60	64		
3	0	24			
4	0				

 $A_2 \vdash A_3$ 

$$\begin{aligned} & : m[1,2] \\ & m[1,1] + m[2,2] \\ & 0 + 0 \end{aligned}$$

$$A_2 ; A_3 = m[2,3] + P_2 P_3 P_4 = 0 + 3 \cdot 4 \cdot 5 = \cancel{0} \cancel{0} \cancel{0} 60$$

$$\begin{matrix} m[2,3] \\ 0 \end{matrix} + \begin{matrix} m[3,3] \\ 0 \end{matrix}$$

$$A_2 ; A_4 = m[3,4] + P_2 P_3 P_4 = 0 + 4 \cdot 5 \cdot 2 = 40$$

For $n=3$:

$$\begin{aligned} A_1 ; A_2 ; A_3 &= \min \left[m[1,1] + m[2,3] + P_0 P_1 P_3, \right. \\ &\quad \left. m[1,2] + m[3,3] + P_0 P_2 P_3 \right] \\ &= \min \{ 0 + 60 + 2 \cdot 3 \cdot 5, 24 + 0 + 2 \cdot 4 \cdot 5 \} \\ &= \min \{ 60 + 30, 24 + 40 \} \\ &= \min \{ 90, 64 \} = \underline{\underline{64}} \end{aligned}$$

fill the rest of table in the Δ

Pseudocode for Tabular / Bottom Up.

MatChainUp(chain):

$m = \text{length}(\text{chain})$

$m = [[0 \text{ for } - \text{ in range}(n)] \text{ for } - \text{ in range}(n)]$

for $i \leftarrow 1$ to n :

$m[i][i] = 0$

for $l \leftarrow 2$ to n :

for $i \leftarrow 1$ to $n-l+1$:

$j = i+l-1$

$m[i][j] \leftarrow -\infty$

for $k \leftarrow i$ to j :

$q = m[i][k] + m[k+1][j] + \text{chain}[i-1]^T \text{chain}[k]^T \text{chain}[j]$

$m[i][j] = \min\{q, m[i][j]\}$

return $m[1][n-1]$

→ We get a 2-D table, each of whose entries takes $O(n^2)$ time to compute.

→ $O(n^2)$ for table & $O(n)$ for each entry.

* Overall runtime = $O(n^3)$!

Interesting things ~~existing~~ related to Catalan Numbers
[Optional]:

Pascals Triangle \rightarrow Binomial Theorem

1

1 1

1 2 1

1 3 3 1

1 4 6 4 1

1 5 10 10 5 1

1 6 15 20 15 6 1

Sierpinski's Triangle

1 1

1 0 1

1 1 1 1 1

1 0 0 0 0 1

1 1 0 0 1 1

1 0 1 0 1 0 1

* Replace even numbers with 0s,
replace odd numbers with 1s,