

Operating System (OS)

CS232

Concurrency: Semaphores

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Outlines

- Semaphores in programming?
- Semaphores used for
 - mutual exclusion (as mutex locks)
 - Synchronization (as condition variables)
- Examples
 - Producer/Consumer Problem
 - Reader/Write Problem
 - Dining Philosopher Problem
- Summary

Semaphores

- Special synchronization construct
- Can be used as a lock or condition variable
- Provides two operations
 - `sem_wait()`
 - `sem_post()`

Semaphores – operations

```
1  int sem_wait(sem_t *s) {  
2      decrement the value of semaphore s by one  
3      wait if value of semaphore s is negative  
4  }  
5  
6  int sem_post(sem_t *s) {  
7      increment the value of semaphore s by one  
8      if there are one or more threads waiting, wake one  
9  }
```

```
1  #include <semaphore.h>  
2  sem_t s;  
3  sem_init(&s, 0, 1);
```

Semaphore – accessing critical section

```
1  sem_t m;  
2  sem_init(&m, 0, X); // initialize semaphore to X;  
3  
4  sem_wait(&m);  
5  // critical section here  
6  sem_post(&m);
```

- Initial value of X ??
- with $X=1$, this semaphore will behave as a lock!

Semaphore

- Named (files stored at /dev/shm/)
 - `sem_open((const char *name, int oflag, mode_t mode, unsigned int value)`
//**value** → 0,1,n
 - `sem_close()`
 - `sem_unlink()`
- Unnamed (memory)
 - `sem_init(sem_t *sem, int pshared, unsigned int value)`
 - `sem_destory()` **pshared =0 (threads only) non-zero (multiple processes)**
- `sem_wait()` / `sem_trywait()`
- `sem_post()`
- `sem_getvalue()`

Mutual Exclusion using Named Semaphore

```
long balance = 0;           // (Debit/Credit on balance)
sem_t *mutex;
```

```
int main(){
char* name = "/b_sem1"; //file at folder ... /dev/shm/sem.b_sem1
mutex = sem_open(name, O_CREAT, 0666, 1); //1-mutual exclusion,0-sync, n-counting
pthread_t t1, t2; pthread_create(&t1, NULL, credit,(void *)100); pthread_create(&t2, NULL, debit,50);
pthread_join(t1, NULL); pthread_join(t2, NULL);
sem_close(mutex);
sem_unlink(name);
printf("Value of balance is :%ld\n", balance);
return 0;
}
```

```
void * credit(void * val){
sem_wait(mutex);
    balance = balance + val; //typecasting
sem_post(mutex);
pthread_exit(NULL);
}
```

```
void * debit(void * val){
sem_wait(mutex);
    balance = balance - val; //typecasting
sem_post(mutex);
pthread_exit(NULL);
}
```

Mutual Exclusion using Un-Named Semaphore

```
long balance = 0;           // (Debit/Credit on balance)
sem_t mutex;
```

```
int main(){
//char* name = "/b_sem1";  mutex = sem_open(name, O_CREAT, 0666, 1);
sem_init(&mutex, 0, 1); // 0-threads, otherwise process ; 1-mutual exclusion,0-sync, n-counting
pthread_t t1, t2; pthread_create(&t1, NULL, credit,(void *)100); pthread_create(&t2, NULL, debit,50);
pthread_join(t1, NULL); pthread_join(t2, NULL);
//sem_close(mutex); sem_unlink(name);
sem_destroy(&mutex);
printf("Value of balance is :%ld\n", balance);
return 0;
}
```

```
void * credit(void * val){
sem_wait(&mutex);
    balance = balance + val; //typecasting
sem_post(&mutex);
pthread_exit(NULL);
}
```

```
void * debit(void * val){
sem_wait(&mutex);
    balance = balance - val; //typecasting
sem_post(&mutex);
pthread_exit(NULL);
}
```


Synchronization using Semaphores

```
void * order_1(void *);
void * order_2(void *);
void * order_3(void *);
sem_t *semA, *semB;

int main() {
    char* sem1 = "/sem1";
    char* sem2 = "/sem2";
    semA = sem_open(sem1, O_CREAT, 0666, 0);
    semB = sem_open(sem2, O_CREAT, 0666, 0);
    pthread_t t1, t2, t3;
    pthread_create(&t1, NULL, order_1, NULL);
    pthread_create(&t2, NULL, order_2, NULL);
    pthread_create(&t3, NULL, order_3, NULL);
    pthread_join(t1, NULL);
    pthread_join(t2, NULL);
    pthread_join(t3, NULL);

    sem_close(semA);
    sem_close(semB);
    sem_unlink(sem1);
    sem_unlink(sem2);
    printf("\n");
    return 0;
}
```

```
void * order_3(void * arg){
    sem_wait(semB);
    fprintf(stderr, "must be done 3rd\n");
}

void * order_2(void * arg){
    sem_wait(semA);
    fprintf(stderr, "must be done 2nd\n");
    sem_post(semB);
}

void * order_1(void * arg){
    fprintf(stderr, "must be done 1st\n ");
    sem_post(semA);
}
```

```
must be done 1st
must be done 2nd
must be done 3rd
```

More Examples

- As Reading Assignment
 - Producer/Consumer Problem (Already Discussed)
 - Reader/Write Problem
 - Dining Philosopher Problem

Semaphore – Producer-consumer problem

```
1  int buffer[MAX];
2  int fill = 0;
3  int use  = 0;
4
5  void put(int value) {
6      buffer[fill] = value;    //
7      fill = (fill + 1) % MAX; //
8  }
9
10 int get() {
11     int tmp = buffer[use];    //
12     use = (use + 1) % MAX;    //
13     return tmp;
14 }
```

```
int main(int argc, char *argv[])
// ...
sem_init(&empty, 0, MAX); //
sem_init(&full, 0, 0);    //
// ...
}
```

```
1  sem_t empty;
2  sem_t full;
3
4  void *producer(void *arg) {
5      int i;
6      for (i = 0; i < loops; i++) {
7          sem_wait(&empty);    // Line P1
8          put(i);              // Line P2
9          sem_post(&full);     // Line P3
10     }
11 }
12
13 void *consumer(void *arg) {
14     int i, tmp = 0;
15     while (tmp != -1) {
16         sem_wait(&full);      // Line C1
17         tmp = get();          // Line C2
18         sem_post(&empty);     // Line C3
19         printf("%d\n", tmp);
20     }
21 }
```

Semaphores – Producer Consumer with mutual exclusion

```
1 sem_t empty;
2 sem_t full;
3 sem_t mutex;
4
5 void *producer(void *arg) {
6     int i;
7     for (i = 0; i < loops; i++)
8         sem_wait(&mutex);
9         sem_wait(&empty);
10        put(i);
11        sem_post(&full);
12        sem_post(&mutex);
13    }
14 }
15
16 void *consumer(void *arg) {
17     int i;
18     for (i = 0; i < loops; i++)
19         sem_wait(&mutex);
20         sem_wait(&full);
21         int tmp = get();
22         sem_post(&empty);
23         sem_post(&mutex);
24         printf("%d\n", tmp);
25     }
26 }
```

```
int main(int argc, char *argv[]) {
    // ...
    sem_init(&empty, 0, MAX); // MAX are empty
    sem_init(&full, 0, 0);    // 0 are full
    sem_init(&mutex, 0, 1);   // mutex=1: a lock
    // ...
}
```

Semaphore – Producer consumer (correct)

```
1  sem_t empty;
2  sem_t full;
3  sem_t mutex;
4
5  void *producer(void *arg) {
6      int i;
7      for (i = 0; i < loops; i++) {
8          sem_wait(&empty); /
9          sem_wait(&mutex); /
10         put(i); /
11         sem_post(&mutex); /
12         sem_post(&full); /
13     }
14 }
15
16 void *consumer(void *arg) {
17     int i;
18     for (i = 0; i < loops; i++) {
19         sem_wait(&full); /
20         sem_wait(&mutex); /
21         int tmp = get(); /
22         sem_post(&mutex); /
23         sem_post(&empty); /
24         printf("%d\n", tmp);
25     }
26 }
```

```
int main(int argc, char *argv[]) {
    // ...
    sem_init(&empty, 0, MAX); // MAX are empty
    sem_init(&full, 0, 0);    // 0 are full
    sem_init(&mutex, 0, 1);   // mutex=1: a lock
    // ...
}
```

Readers-Writers Problem

- Let's say a concurrent operations happen on a global linked-list
- Of two kinds:
 - Write(), modify the list
 - Read(), simply read list data
- Multiple threads
- Can we have multiple reads in parallel? How?

```
typedef struct _rwlock_t {
    sem_t lock;        // binary semaphore
    sem_t writelock;   // allow ONE writer
    int    readers;    // #readers in
} rwlock_t;

void rwlock_init(rwlock_t *rw) {
    rw->readers = 0;
    sem_init(&rw->lock, 0, 1);
    sem_init(&rw->writelock, 0, 1);
}
```

```
void rwlock_acquire_writelock(rwlock_t *rw) {
    sem_wait(&rw->writelock);
}

void rwlock_release_writelock(rwlock_t *rw) {
    sem_post(&rw->writelock);
}
```

```
void rwlock_acquire_readlock(rwlock_t *rw) {
    sem_wait(&rw->lock);
    rw->readers++;
    if (rw->readers == 1) // first reader gets writelock
        sem_wait(&rw->writelock);
    sem_post(&rw->lock);
}

void rwlock_release_readlock(rwlock_t *rw) {
    sem_wait(&rw->lock);
    rw->readers--;
    if (rw->readers == 0) // last reader lets it go
        sem_post(&rw->writelock);
    sem_post(&rw->lock);
}
```

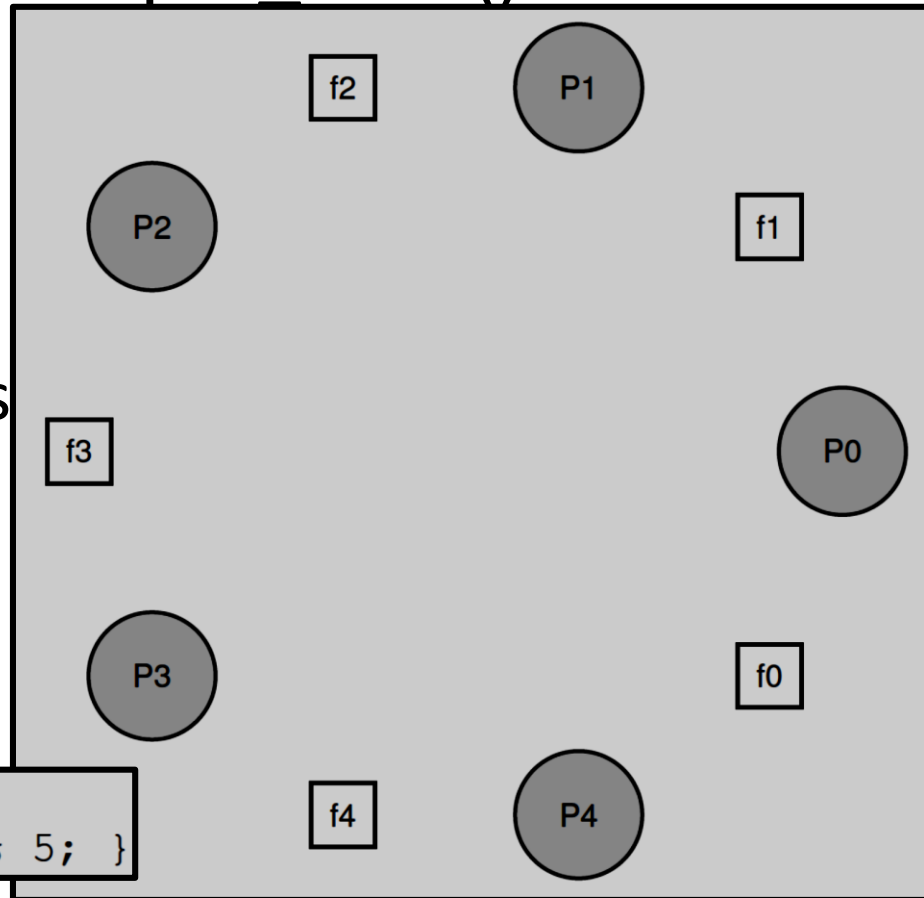
Dining Philosophers Problem

- N philosophers sitting on a table
- N forks b/w them. One b/w each pair.
- A philosopher can do two things:
 - Philosophize (no need of forks)
 - Eat (needs both forks: left and right)
- A philosopher can only do one of the two things at a time

```
while (1) {  
    think();  
    get_forks(p);  
    eat();  
    put_forks(p);  
}
```


Dining Philosophers Problem ... contd.

- Implement `get_forks()` and `put_forks()` such that:
 - There's no deadlock
 - No philosopher starves
 - As much concurrency as possible



```
int left(int p)  { return p; }  
int right(int p) { return (p + 1) % 5; }
```

Dining Philosophers - implementation

- Five semaphores (one for each fork)
- Initialize each semaphore to 1

```
sem_t forks[5].
```

```
1 void get_forks(int p) {  
2     sem_wait(&forks[left(p)]);  
3     sem_wait(&forks[right(p)]);  
4 }  
5  
6 void put_forks(int p) {  
7     sem_post(&forks[left(p)]);  
8     sem_post(&forks[right(p)]);  
9 }
```

Dining Philosophers – breaking deadlock

- Break the dependency!
- Let at least one philosopher acquire forks in different order!

```
1 void get_forks(int p) {  
2     if (p == 4) {  
3         sem_wait(&forks[right(p)]);  
4         sem_wait(&forks[left(p)]);  
5     } else {  
6         sem_wait(&forks[left(p)]);  
7         sem_wait(&forks[right(p)]);  
8     }  
9 }
```

Semaphores – an implementation

```
1  typedef struct __Zem_t {
2      int value;
3      pthread_cond_t cond;
4      pthread_mutex_t lock;
5  } Zem_t;
6
7  // only one thread can call this
8  void Zem_init(Zem_t *s, int value) {
9      s->value = value;
10     Cond_init(&s->cond);
11     Mutex_init(&s->lock);
12 }
13
14 void Zem_wait(Zem_t *s) {
15     Mutex_lock(&s->lock);
16     while (s->value <= 0)
17         Cond_wait(&s->cond, &s->lock);
18     s->value--;
19     Mutex_unlock(&s->lock);
20 }
21
22 void Zem_post(Zem_t *s) {
23     Mutex_lock(&s->lock);
24     s->value++;
25     Cond_signal(&s->cond);
26     Mutex_unlock(&s->lock);
27 }
```

Summary

- Semaphores are a simple, powerful and flexible primitive for writing concurrent programs
- Semaphores provide a general solution that can operate as a lock or condition variable