



# The master method for solving recurrences

CS-6<sup>th</sup>

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# Definition

- A master recurrence describes the running time of a divide-and-conquer algorithm that divides a problem of size  $n$  into  $a$  subproblems, each of size  $n/b < n$ .
- For solving algorithmic recurrences of the form:
  - $T(n) = aT(n/b) + f(n)$
  - Where  $a > 0$  and  $b > 1$  are constants.
  - Merge Sort Example:  $T(n) = 2T(n/2) + \Theta(n)$ , where  $a=2$  and  $b=2$  and  $f(n) = \Theta(n)$

# For cases where $n$ is an odd number

- To ensure that the problem sizes are integers, we round one subproblem down to size  $\lfloor n/2 \rfloor$  and the other up to size  $\lceil n/2 \rceil$ , so the true recurrence for  $T(n) = 2T(n/2) + \Theta(n)$ , where  $n$  is an odd value, is  $T(n) = T(\lceil n/2 \rceil) + T(\lfloor n/2 \rfloor) + \Theta(n)$ .

# Master Theorem

- Let  $a > 0$  and  $b > 1$  be constants, and let  $f(n)$  be a driving function that is defined and nonnegative on all sufficiently large reals. Define the recurrence  $T(n)$  on  $n \in \mathbb{N}$  by
  - $T(n) = aT(n/b) + f(n)$ ,
  - Where  $aT(n/b)$  actually means  $a'T(\lfloor n/b \rfloor) + a''T(\lceil n/b \rceil)$  for some constants  $a' \geq 0$  and  $a'' \geq 0$  satisfying  $a = a' + a''$ . Then the asymptotic behavior of  $T(n)$  can be characterized using three cases.

# Master Theorem

1. If there exists a constant  $\epsilon > 0$  such that  $f(n) = O(n^{\log_b a - \epsilon})$ , then  $T(n) = \Theta(n^{\log_b a})$ .
2. If there exists a constant  $k \geq 0$  such that  $f(n) = \Theta(n^{\log_b a} \lg^k n)$ , then  $T(n) = \Theta(n^{\log_b a} \lg^{k+1} n)$ .
3. If there exists a constant  $\epsilon > 0$  such that  $f(n) = \Omega(n^{\log_b a + \epsilon})$ , and if  $f(n)$  additionally satisfies the **regularity condition**  $af(n/b) \leq cf(n)$  for some constant  $c < 1$  and all sufficiently large  $n$ , then  $T(n) = \Theta(f(n))$ . ■

# Simplified

- We can express  $f(n)$  as  $f(n) = \Theta(n^x \lg^y n)$ , where  $x$  is power of  $n$  and  $y$  is power of  $\lg$
- Case 1: If  $\lg_b a > x$ , then:
  - $T(n) = \Theta(n^{\lg_b a})$ .
- Case 2: if  $\lg_b a = x$ :
  - If  $y > -1$  then  $T(n) = \Theta(n^x \lg^{y+1} n)$
  - If  $y = -1$  then  $\Theta(n^x \lg \lg n)$
  - If  $y < -1$  then  $\Theta(n^x)$
- Case 3: if  $\lg_b a < x$ :
  - $y \geq 0$  then  $\Theta(n^x \lg^y n)$
  - $y < 0$  then  $\Theta(n^x)$

## Simplified steps (Case1: $n^{\lg_b a} > f(n)$ )

- $n^{\lg_b a}$  is watershed function
- In every case we compare the watershed function with  $f(n)$
- Or we compare the power of  $n$  in  $f(n)$  with  $\lg_b a$
- Case1: the watershed function  $n^{\lg_b a}$  must be asymptotically larger than the driving function  $f(n)$  by at least a factor of  $\Theta(n^\epsilon)$  for some constant  $\epsilon > 0$ . The master theorem then says that the solution is  $T(n) = \Theta(n^{\lg_b a})$ .

# Using the master method (Case1)

- $T(n) = 9T(n/3) + n$
- Lets identify a and b.
- $a=9, b=3$
- *watershed funtion is  $n^{\lg_b a} = n^{\lg_3 9} = O(n^2)$*
- $n^2 > n$
- $T(n) = \Theta(n^2)$



## Simplified steps (Case2: $n^{\lg_b a} \leq f(n)$ )

- The driving function grows similar or faster than the watershed function by a factor of  $\Theta(\lg^k n)$ , where  $k \geq 0$ . The master theorem says that we tack on an extra  $\lg n$  factor to  $f(n)$ , yielding the solution  $T(n) = \Theta(n^{\lg_b a} \lg^{k+1} n)$ .
- Most commonly occurs for  $k=0$
- For simplicity this is for the case where the  $\lg_b a$  is equal to the power of  $n$  in  $f(n)$ .

# Using the master method (Case2)

- $T(n)=T(2n/3)+1$
- $a=1, b=3/2$
- *watershed funtion is*  $n^{\lg_b a} = n^{\lg_{3/2} 1} = n^0 = 1.$
- $f(n)=1= n^{\lg_b a}$
- $T(n)=\Theta(n^{\lg_b a} \lg^{0+1} n)= \Theta(\lg n)$

## Simplified steps (Case3: $n^{\lg_b a} < f(n)$ )

- $f(n)$  must be asymptotically larger than the watershed function  $n^{\lg_b a}$  by at least a factor of  $\Theta(n^\epsilon)$  for some constant  $\epsilon > 0$ .
- The Master's Theorem says that  $T(n) = \Theta(f(n))$
- Moreover, the driving function must satisfy the regularity condition that  $a \cdot f(n/b) \leq c \cdot f(n)$ .

# Using the master method (Case3)

- $T(n)=3T(n/4)+n\lg n$
- $a=3, b=4$
- *watershed function is  $n^{\lg_b a} = n^{\lg_4 3} = O(n^{0.793})$*
- $f(n)=n\lg n$
- $T(n)= \Theta(n\lg n)$

# Exercise (identify the cases)

- $T(n) = 2T(n/2) + n \lg n$
- $T(n) = 2T(n/2) + \Theta(n)$
- $T(n) = 8T(n/2) + \Theta(1)$
- $T(n) = 7T(n/2) + \Theta(n^2)$

# Exercise (identify the cases)

- $T(n) = 2T(n/2) + n \lg n \rightarrow \text{Case 2/case 3}$
- $T(n) = 2T(n/2) + \Theta(n) \rightarrow \text{Case 2}$
- $T(n) = 8T(n/2) + \Theta(1) \rightarrow \text{Case 1}$
- $T(n) = 7T(n/2) + \Theta(n^2) \rightarrow \text{Case 1}$

# Justification

- $T(n) = 2T(n/2) + n \lg n \rightarrow \text{Case 2}$
- $a=2, b=2$ , and  $n^{\lg_2 2} = n$
- $f(n) > \text{watershed function by a factor } \lg n$

# Justification

- $T(n) = 2T(n/2) + \Theta(n) \rightarrow \text{Case 2}$
- $f(n) = \Theta(n)$
- Watershed function evaluates to  $n$
- Similar growth



# Justification

- $T(n) = 8T(n/2) + \Theta(1)$
- $a=8$ ,  $b=2$ ,  $f(n) = \Theta(1)$  and watershed function evaluates to  $= n^3$
- $F(n) < \text{watershed function}$

# Justification

- $T(n) = 7T(n/2) + \Theta(n^2) \rightarrow \text{Case 1}$
- $a=7, b=2, f(n) = \Theta(n^2)$ , watershed function  $n^{\lg_b a} = n^{2.807}$

$$T(n)=T(n/2)+2^n$$

- Case 3
- $a=1$ ,  $b=2$ ,  $f(n)=2^n$  watershed function evaluates to  $n^0=1$
- Solution:  $\Theta(2^n)$