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# CS 201 Data Structure II (L2 / L5)

## Binary Heaps

Chapter 10, Open Data Structure

Muhammad Qasim Pasta

[qasim.pasta@sse.habib.edu.pk](mailto:qasim.pasta@sse.habib.edu.pk)

Slides are designed to be filled during the lectures. Some details are intentionally mentioned to be discussed in the class. These slides should not be used as reading.

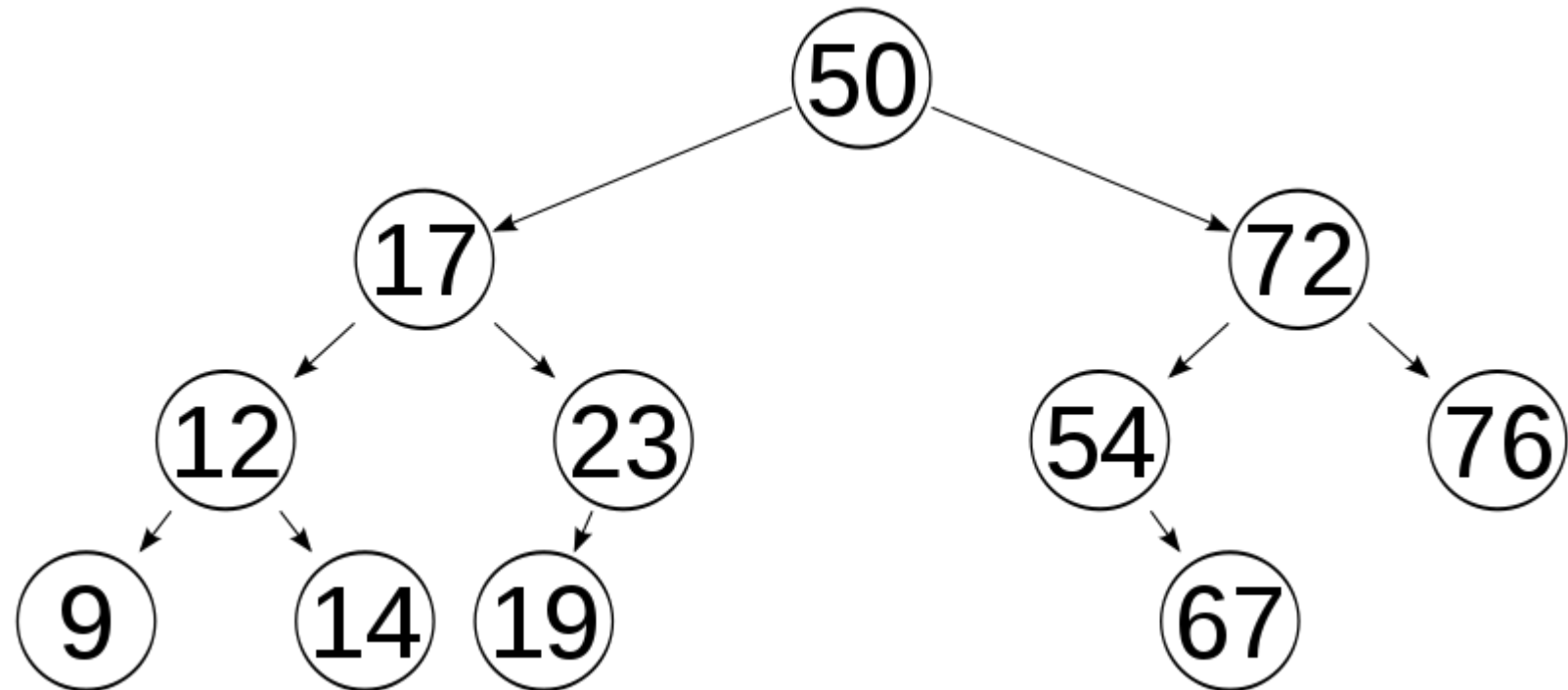


# Priority Queue

- We want to extract the values of the basis of priority
- Consider, the values are priorities
- Max Priority Queue: Dequeue() will return the maximum value
- Min Priority Queue: Dequeue() will return the minimum value
- Let's we implement this using List:
  - Insertion will take:
  - Removal will take:

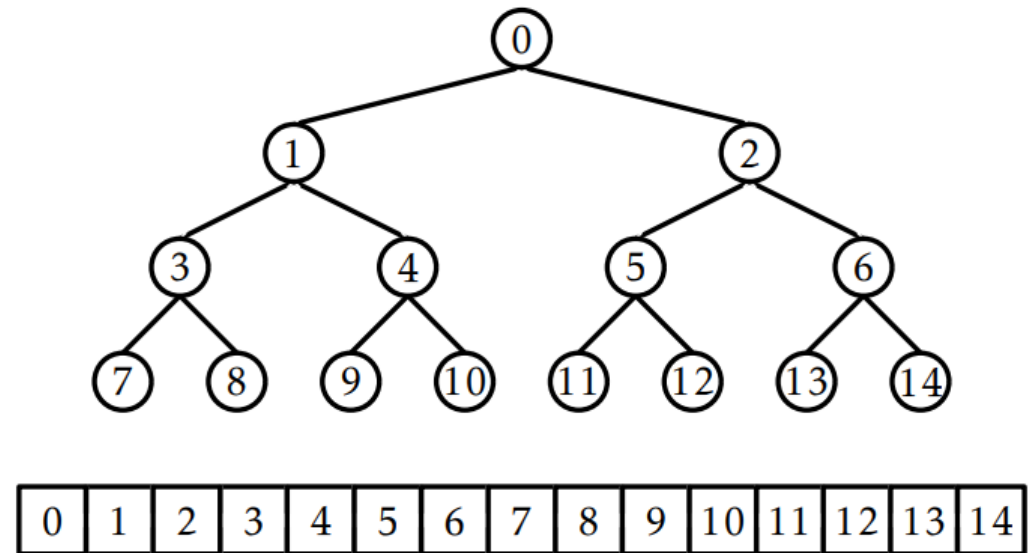
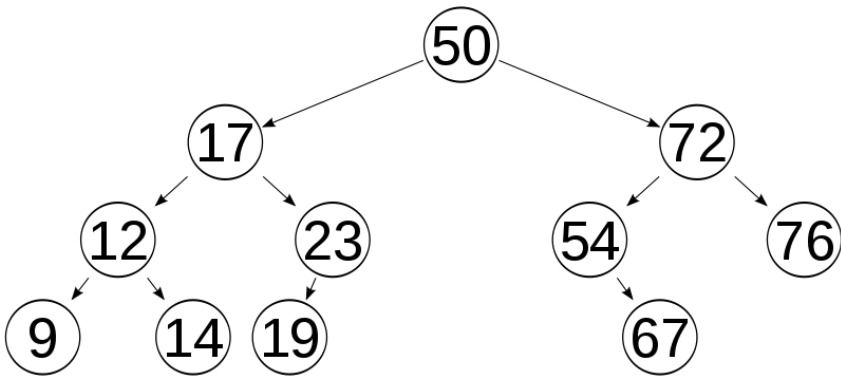
# Binary Trees

- Complete Binary Tree
- Full Binary Tree
- Left aligned/balanced Binary Tree

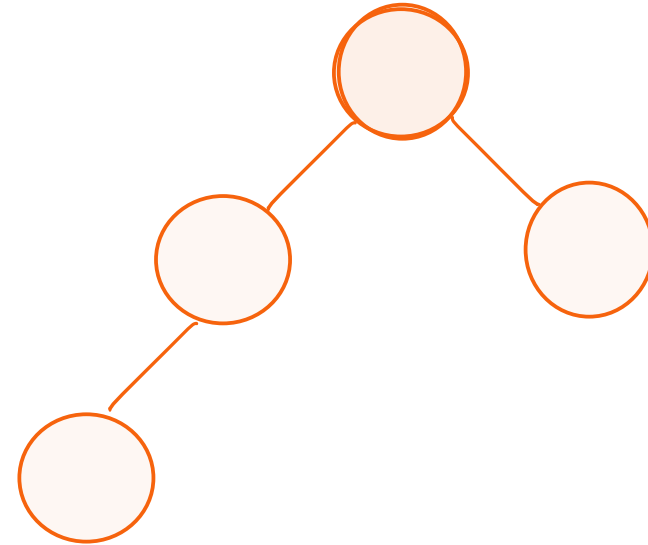
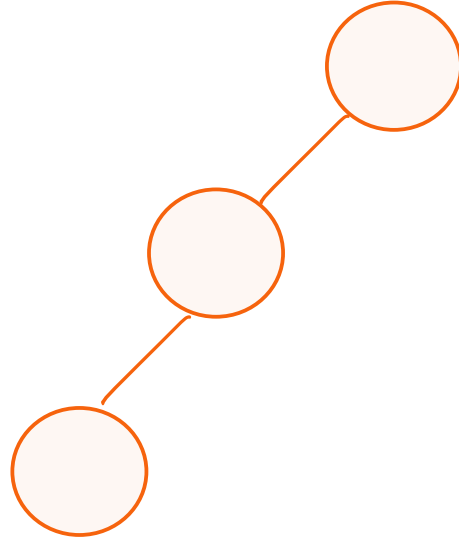
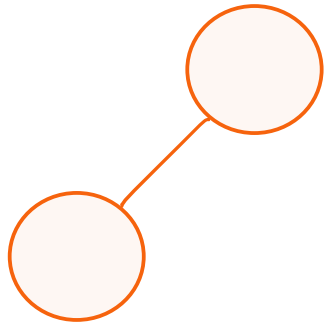


# Representing Binary Trees as Array

- $\text{left}(i) = 2 * i + 1$
- $\text{right}(i) = 2 * (i+1)$  [OR  $2i+2$ ]
- $\text{parent}(i) = (i - 1) / 2$



# Valid left-balanced trees?

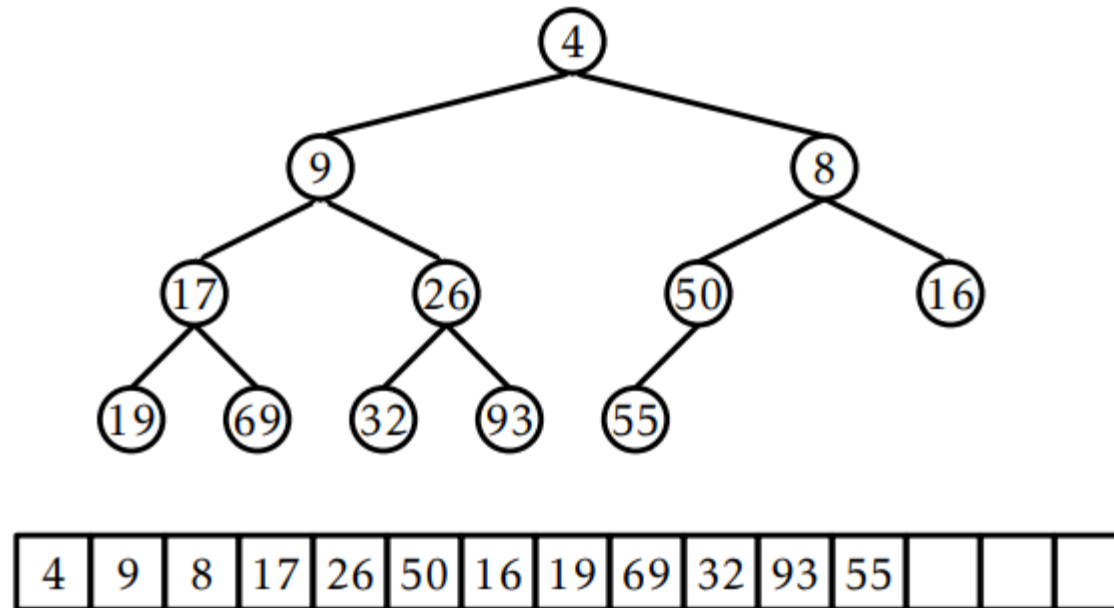




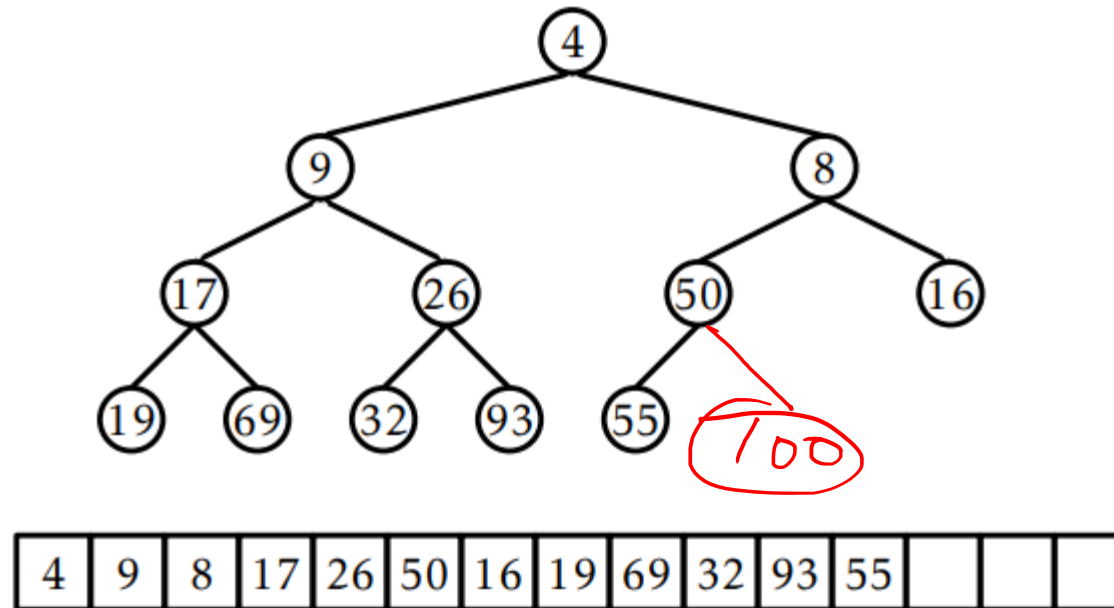
# Binary Heaps

- Left balanced binary tree (no gaps)
- Ordering of data must obey heap property
- Min Heap: children values must be **greater** than parent's value
  - Root must be: ?
- Max Heap: children values must be **smaller** than parent's value
  - Root must be: ?
- No BST property! It's not a search tree

# Binary heap

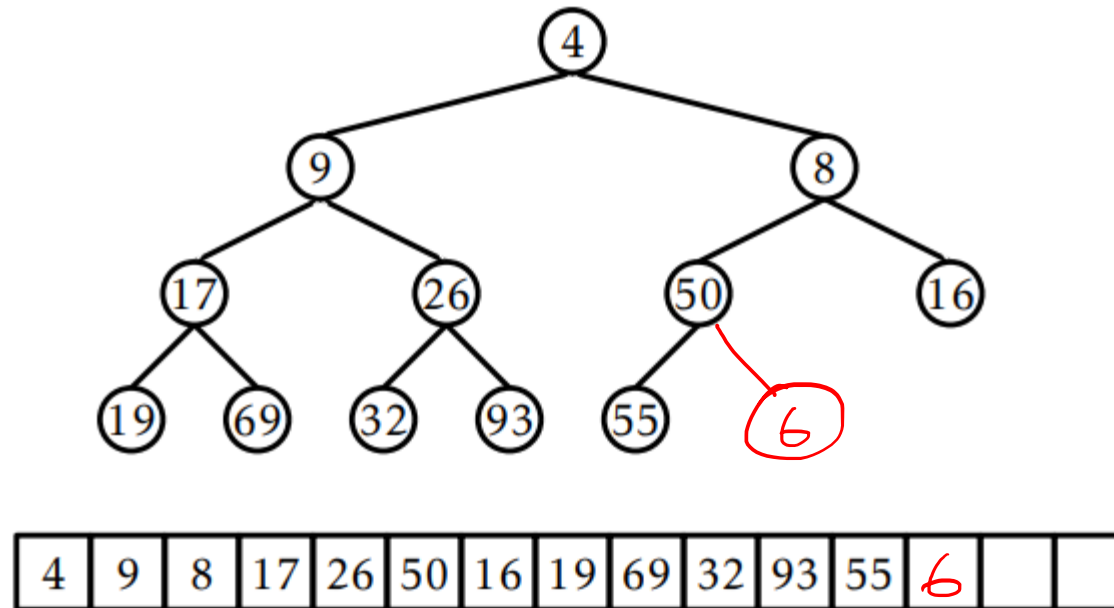


# Binary heap





# Binary heap





# Insertion in binary heap

- Add element at the last location of the array
  - To make sure the tree is left balanced
- Bubble up
  - Fix the new element by moving up, if violate
  - Repeat this until we reach at root

BubbleUp(i)

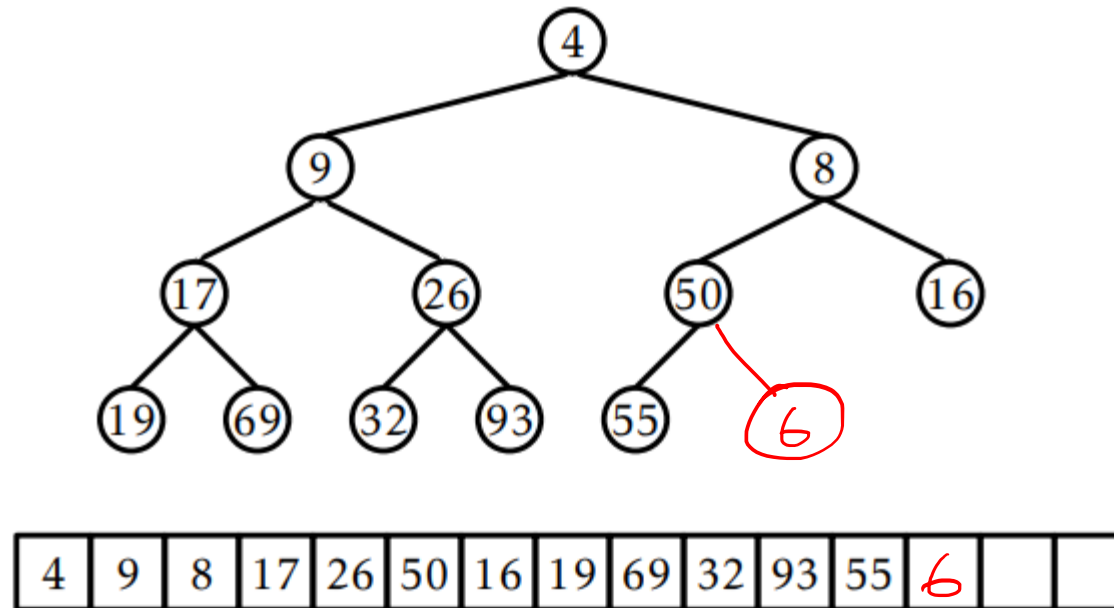
$p = \text{parent}(i)$

if  $i > 0$  and  $A[p] < A[i]$

$A[i], A[p] = A[p], A[i]$

BubbleUp(p)

# Binary heap

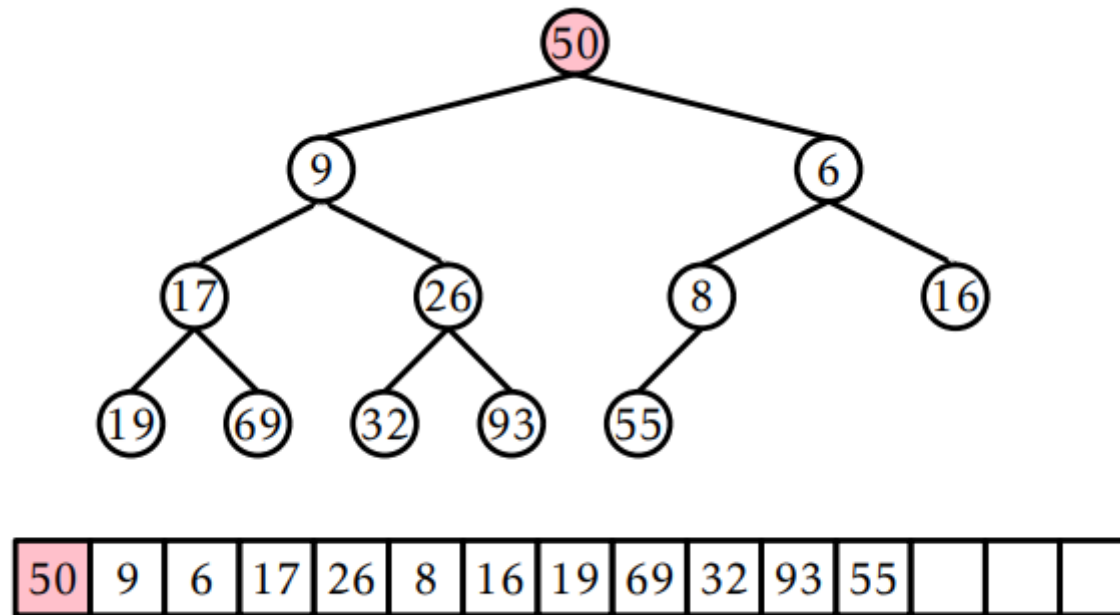




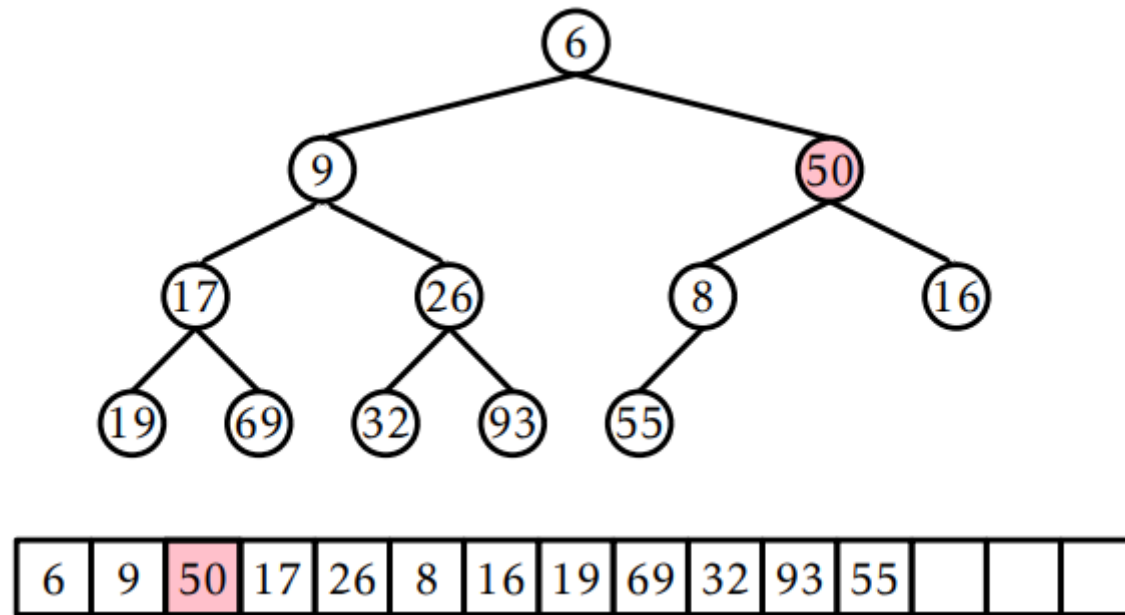
# Remove: Extract min/max

- Minimum /Maximum at Root
- Replace Root with the last value (n-1)
- Trickle Down: Place root's new value at the right place
- TrickleDown(i)
  - $rt = \text{right}(i)$
  - $lt = \text{left}(i)$
  - If  $A[rt] < A[i]$  and  $A[rt] < A[lt]$ : swap  $A[i]$  with  $A[rt]$  and TrickleDown(rt)
  - If  $A[lt] < A[i]$  and  $A[lt] < A[rt]$ : swap  $A[i]$  with  $A[lt]$  and TrickleDown(lt)

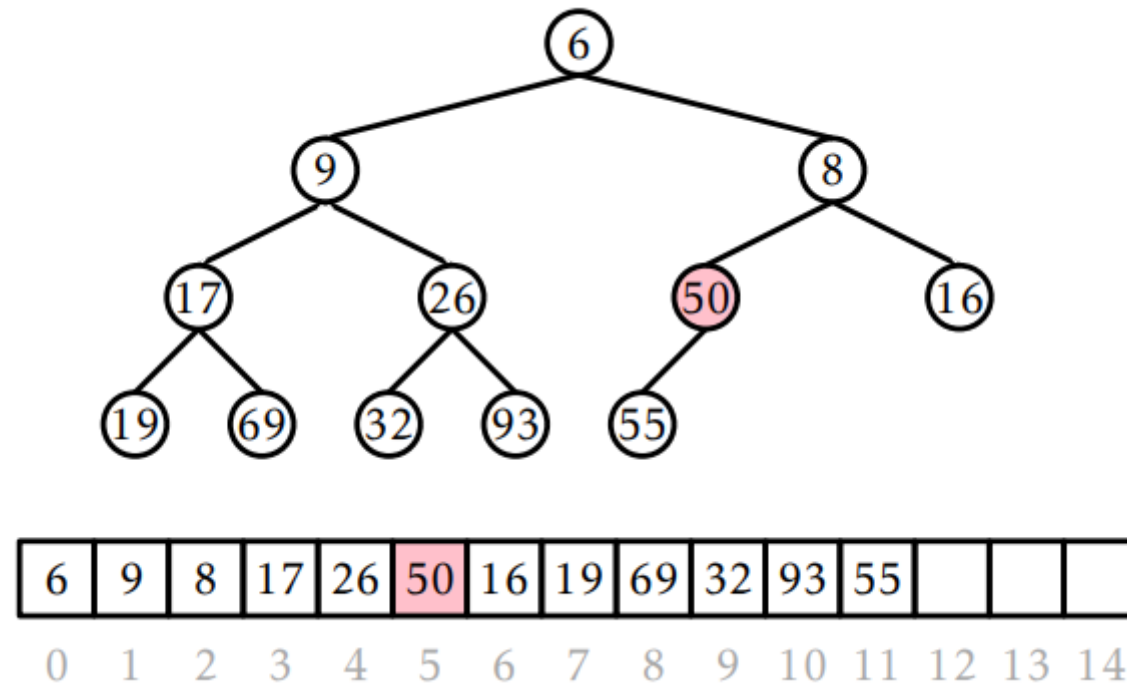
# Example: Trickle Down



# Example: Trickle Down



# Example: Trickle Down





# Analysis

**Theorem 10.1.** *A BinaryHeap implements the (priority) Queue interface. Ignoring the cost of calls to `resize()`, a BinaryHeap supports the operations `add(x)` and `remove()` in  $O(\log n)$  time per operation.*

*Furthermore, beginning with an empty BinaryHeap, any sequence of  $m$  `add(x)` and `remove()` operations results in a total of  $O(m)$  time spent during all calls to `resize()`.*

- You must be able to prove it yourself
  - What would be height of a heap of  $n$  elements?
  - Maximum number of nodes we need to travel to fix an element?