

# Unit 3 – Amortized Analysis

CS 201 - Data Structures II

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$O(n)$  — ?

# Asymptotic Analysis - Recap

# Complexity Analysis

- When we are trying to find the complexity of the function/ procedure/ algorithm/ program, we are not interested in the exact number of operations that are being performed. Instead, we are interested in the relation of the number of operations to the problem size.

$n$  →  
 $O$  → order of growth

# Example

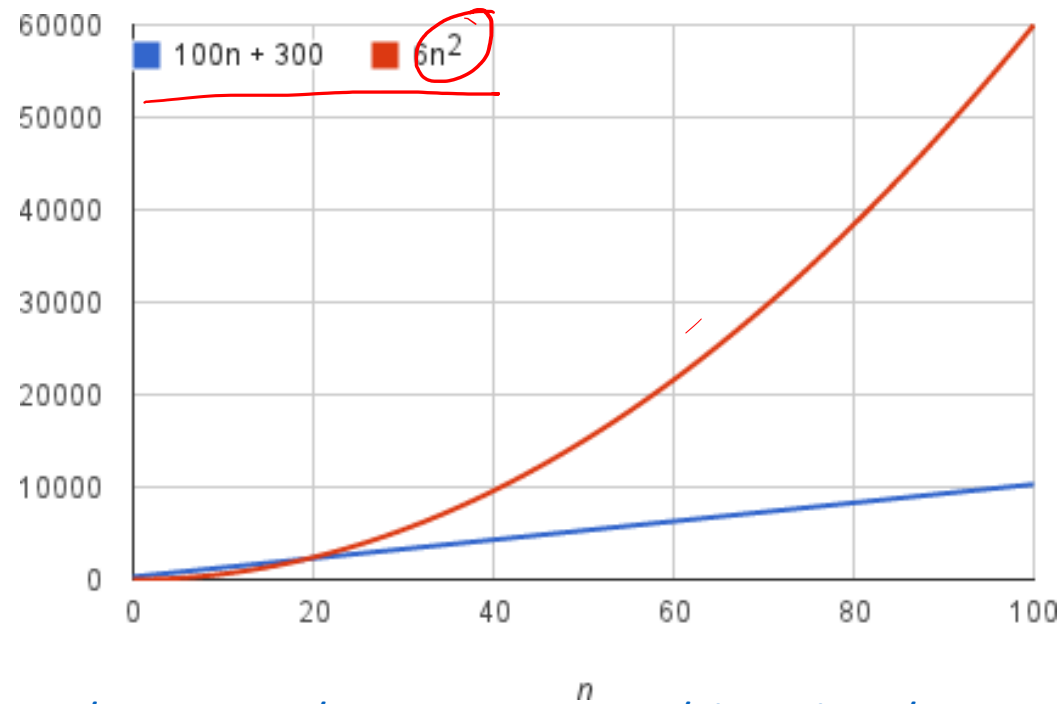
$3K$   $\begin{bmatrix} K \\ K \\ K \\ \vdots \\ K \end{bmatrix}$   $n$

```
a=5
b=6
c=10
for i in range(n):
    for j in range(n):
        x = i * i
        y = j * j
        z = i * j
    for k in range(n):
        w = a*k + 45
        v = b*b
d = 33
```

$$3K + 3Kn^2 + \cancel{2Kn} + \cancel{1} \rightarrow O(n^2)$$

# Asymptotic Analysis

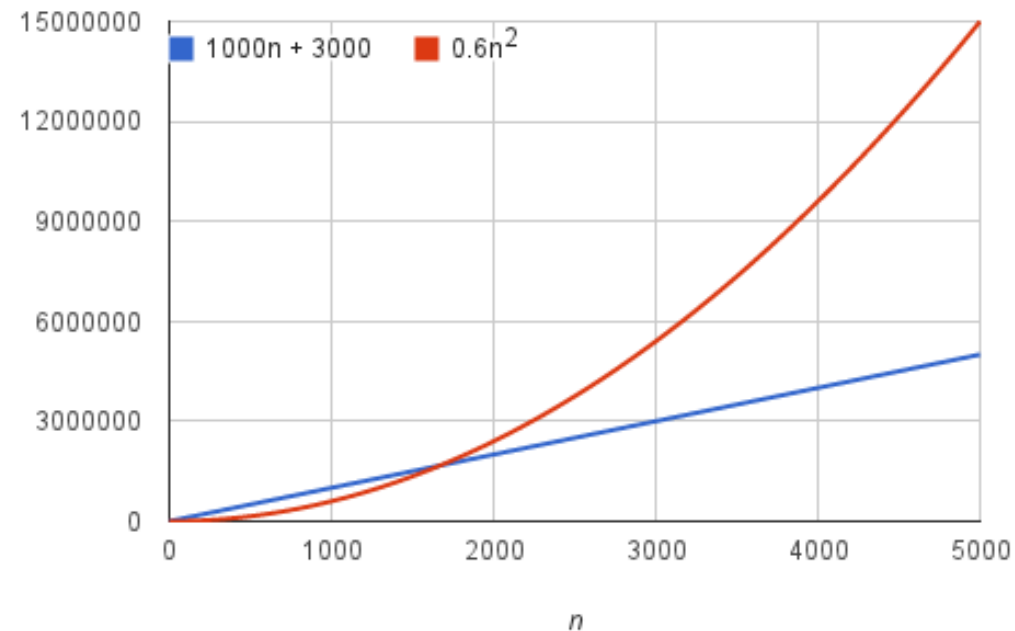
For example, suppose that an algorithm, running on an input of size  $n$  takes  $6n^2 + 100n + 300$ .  $6n^2 + 100n + 300$   
The  $6n^2$  term becomes larger than the remaining terms,  $100n + 300$  once  $n$  becomes large enough, 20 in this case.



- <https://www.khanacademy.org/computing/computer-science/algorithms/asymptotic-notation/a/asymptotic-notation>

# Asymptotic Analysis

- It doesn't really matter what coefficients we use; as long as the running time is  $an^2 + bn + c$  for some numbers  $a$ ,  $b$ , and  $c$ , there will always be a value of  $n$  for which  $an^2$  is greater than  $bn + c$  and this difference increases as  $n$  increases.



<https://www.khanacademy.org/computing/computer-science/algorithms/asymptotic-notation/a/asymptotic-notation>

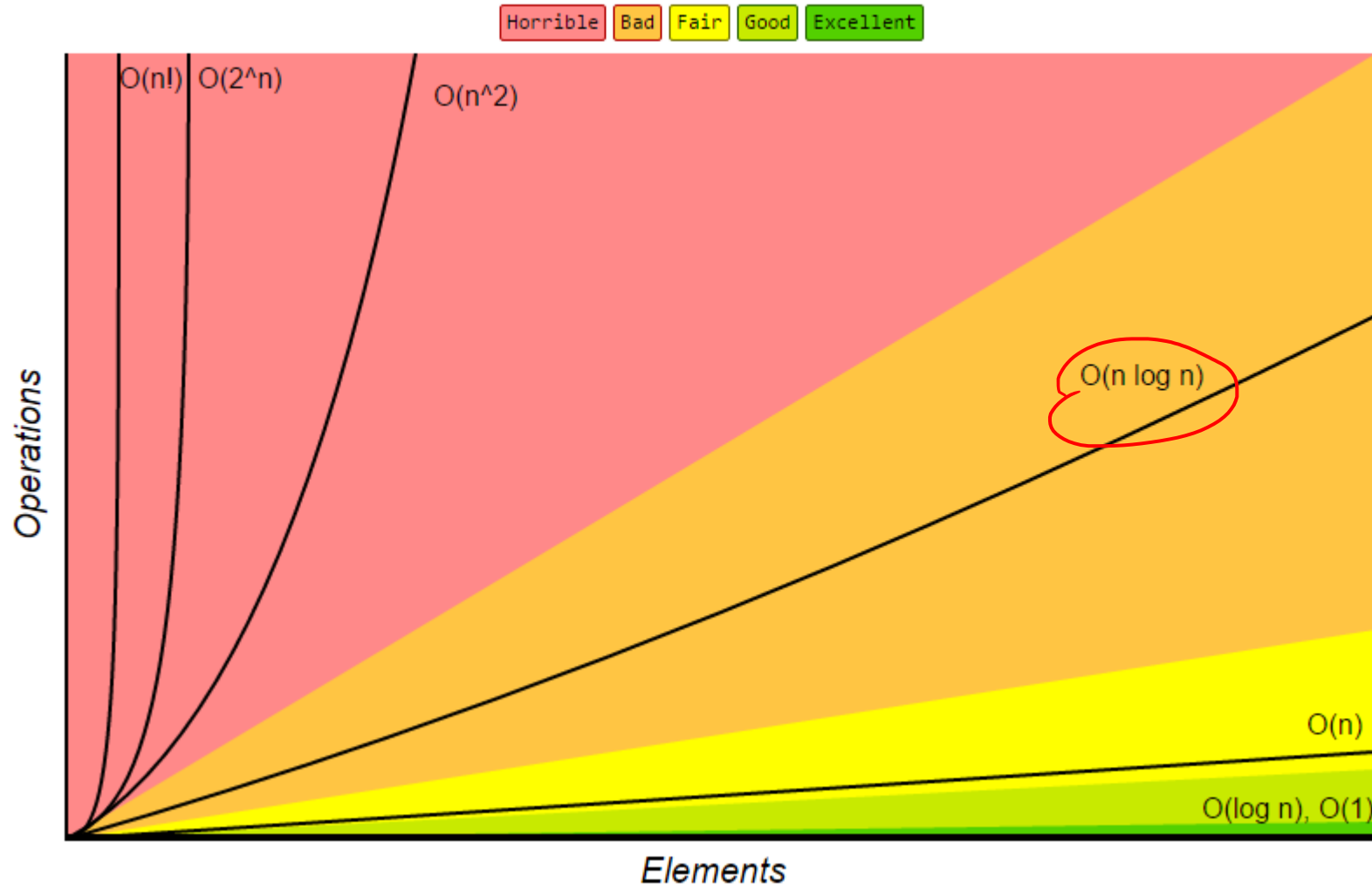
# Asymptotic Analysis

- By dropping the less significant terms and the constant coefficients, we can focus on the important part of an algorithm's running time, — its rate of growth. When we drop the constant coefficients and the less significant terms, we use **asymptotic notation**.

1.  $\Theta(1)$
2.  $\Theta(\lg n)$
3.  $\Theta(n)$
4.  $\Theta(n \lg n)$
5.  $\Theta(n^2)$
6.  $\Theta(n^2 \lg n)$
7.  $\Theta(n^3)$
8.  $\Theta(2^n)$



## Big-O Complexity Chart



<http://bigocheatsheet.com/>

# Amortized Analysis

*Array → Resize*

# Amortized Analysis

- Amortized Analysis is used for algorithms where an occasional operation is very slow, but most of the other operations are faster.

$O(1)$  ←  
 $O(n)$

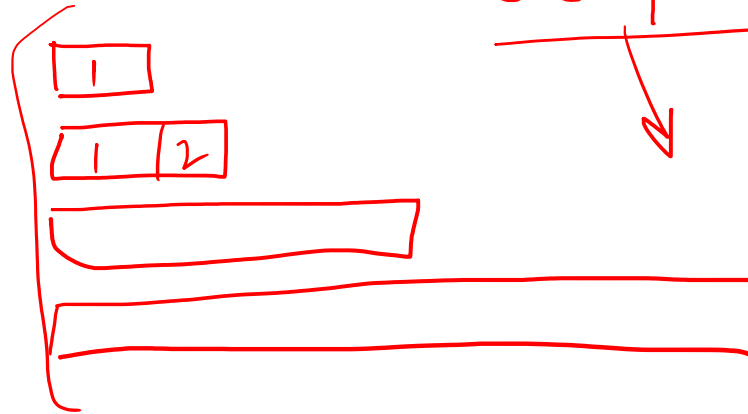
# Amortized time

1, 2, 3, 4, 5, 6, 7, 8, 9 →  $O(n)$

Dynamic  
→  
resize  $O(1)$

**Proposition 5.1:** Let  $S$  be a sequence implemented by means of a dynamic array with initial capacity one, using the strategy of doubling the array size when full. The total time to perform a series of  $n$  append operations in  $S$ , starting from  $S$  being empty, is  $O(n)$ .

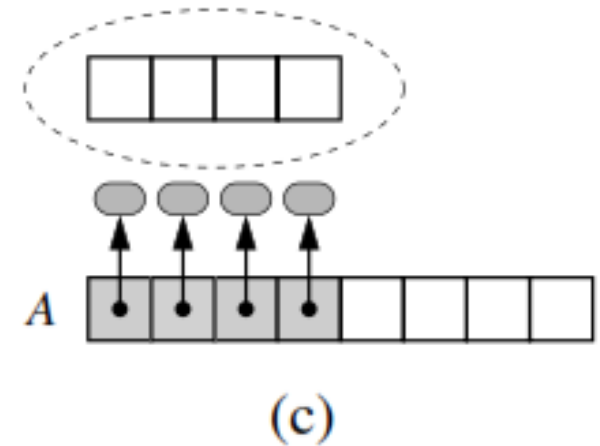
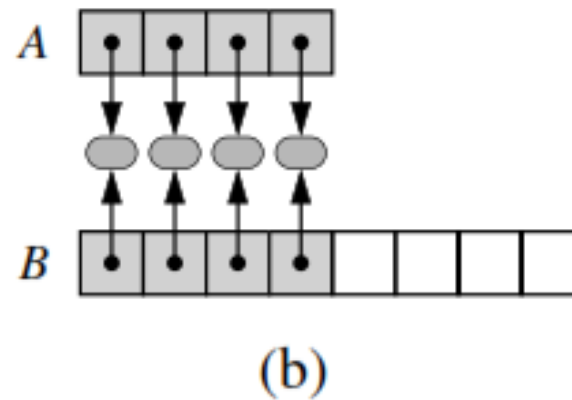
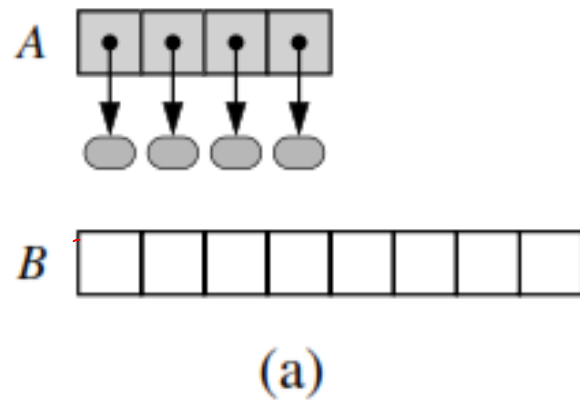
$\frac{3n}{O(n)}$



$\frac{O(n)}{n}$

$\frac{O(n)}{O(n)} \checkmark$   
Static →  $n$  →  $\frac{O(n)}{O(n)} - ?$   
Dynamic →  $n$  →  $\frac{O(n)}{O(n)} \checkmark$

# Resizing an array



# Aggregate Method

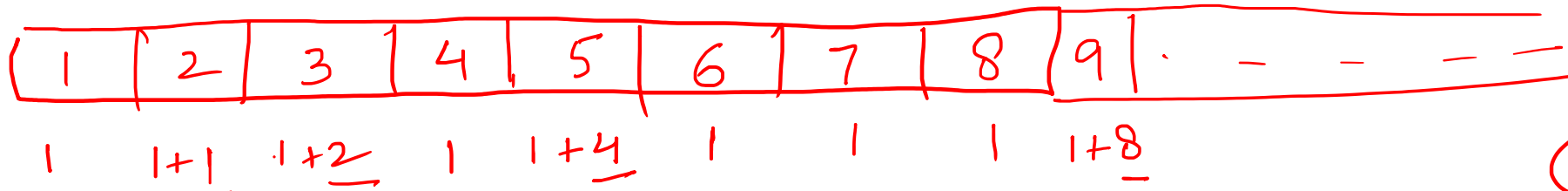
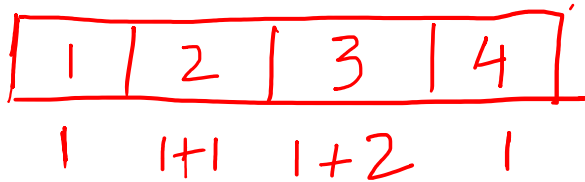
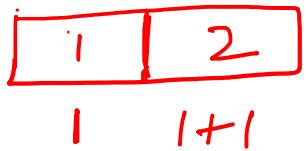
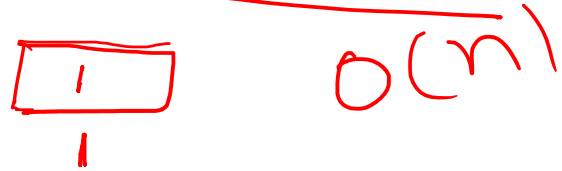
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- Determine the worst-case cost of the entire sequence of operations,  $T(n)$ .
- Divide this cost by the number of operations in the sequence,  $n$ .

$$\text{Dynamic} \rightarrow n \rightarrow \frac{T(n)}{n}$$

$O(n)$

# Aggregate Method

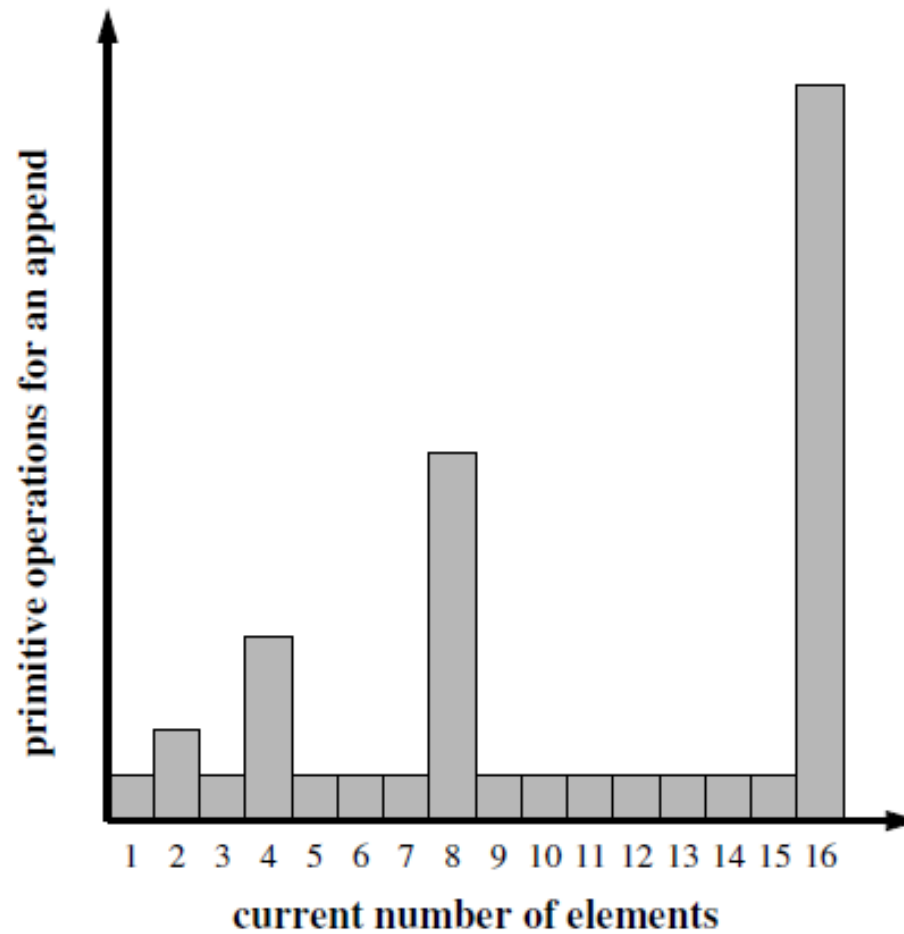


$$\begin{aligned}
 & (1 + 1 + 1 + \dots + 1 + \dots) + (2^0 + 2^1 + 2^2 + 2^3 + 2^4 + \dots + 2^{\log_2 n - 1}) \\
 & \quad \quad \quad n + \underbrace{(2^{\log_2 n} - 1)}_{n} = 2n \rightarrow O(n)
 \end{aligned}$$

Handwritten notes:  $2^{\log_2 n} = n$ ,  $2^{n-1} + 1$ ,  $n \rightarrow 2^{\log_2 n}$

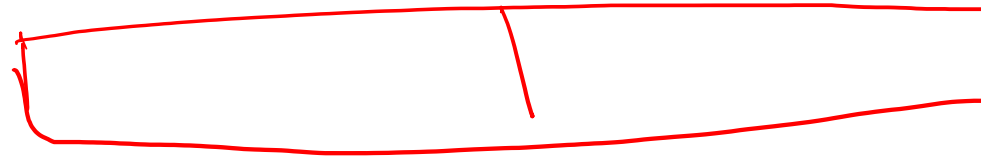
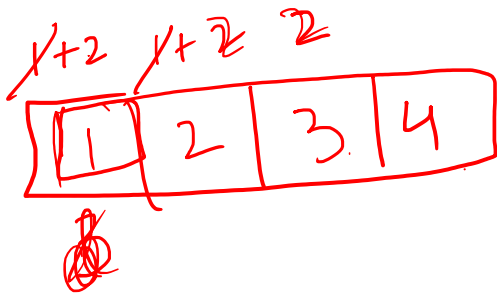
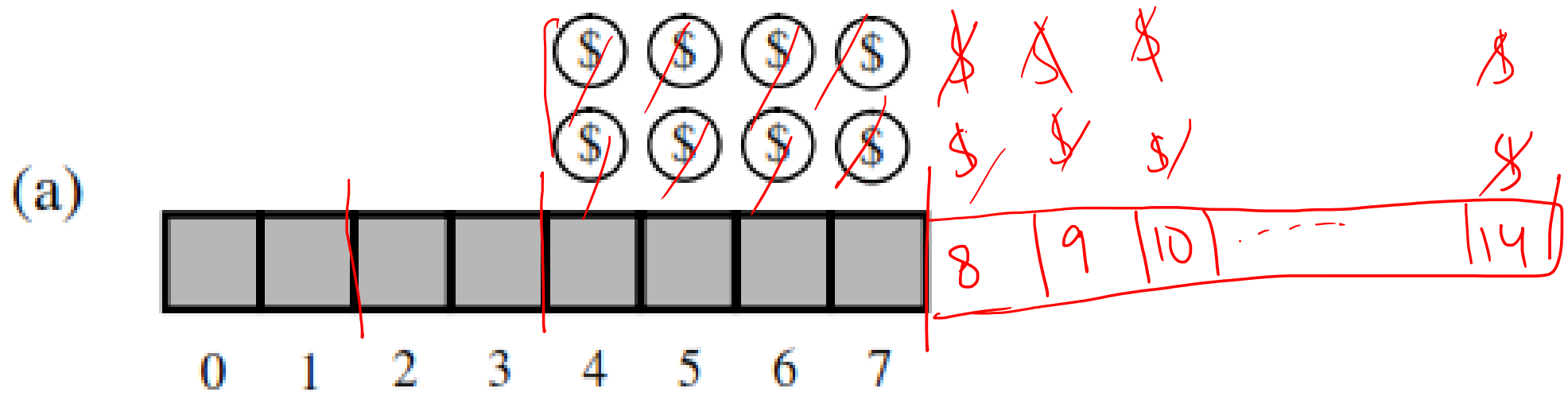
1	$2^0$
1	
2	$2^1$
1	
4	$2^2$
1	
8	$2^3$
1	
16	$2^4$
1	
32	$2^5$

# Doubling the array



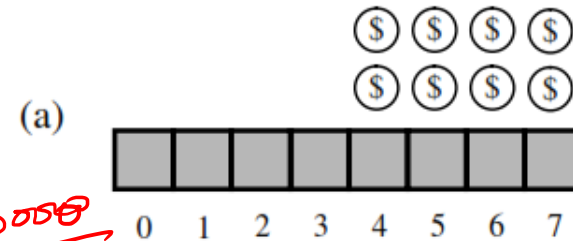


# Accounting Method



$n$  →

# Accounting Method

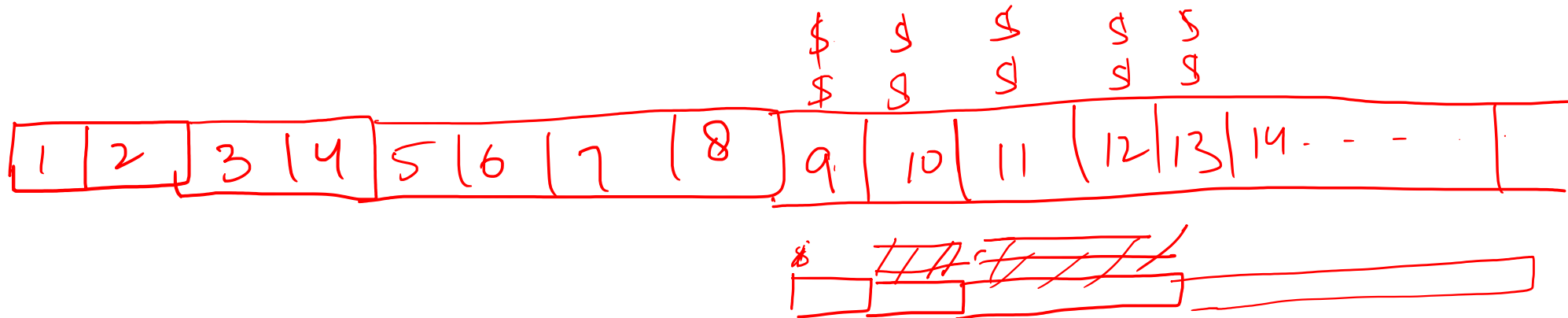


Jan 7000  
 Feb 7000  
 Mar 7000  
 June ——— + 36000  
 ↓  
 Dec 7000

7000  
 (36000)

Jan 10000 → 7000 + 3000  
 Feb 10000 → 7000 + 3000  
 Mar 10000 → 7000 + 3000  
 Apr 10000 → 7000 + 3000  
 ⋮  
 Dec 10000 → 7000 + 3000

7000  
 (10000)



append  $\rightarrow$  1  $O(1)$

$\rightarrow$  3  
1+2

$O(1)$

$n$   
 $3n \rightarrow O(n)$

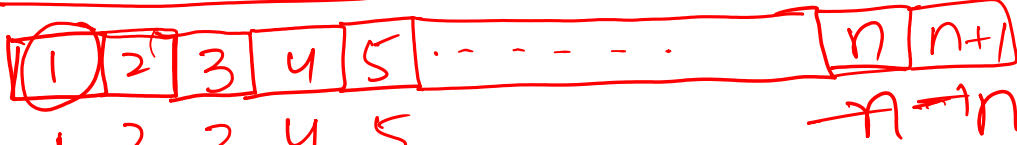


$O(n)$

# Amortized time

**Proposition 5.1:** *Let  $S$  be a sequence implemented by means of a dynamic array with initial capacity one, using the strategy of doubling the array size when full. The total time to perform a series of  $n$  append operations in  $S$ , starting from  $S$  being empty, is  $O(n)$ .*

By a const + number:

By 1: 

$$n \times (n-1) + (n-2) + \dots + 1 + 2 + 3 + 4 + \dots + n$$

$$= \frac{n(n+1)}{2} \rightarrow \underline{O(n^2)}$$

$$\sum_{i=1}^n i = \frac{n(n+1)}{2}$$

By K:

$$\underline{K} + \underline{2K} + \underline{3K} + \underline{4K} + \dots$$

$$K(1 + 2 + 3 + 4 + \dots + n) \rightarrow \underline{O(n^2)}$$

for  $1 - n + 5$   $O(n)$   $O(n)$

for  $i - n$   $1, 2^0, 2^1, 2^2, \dots$

$$\frac{1, 2^0, 2^1, 2^2, \dots}{O(\log n)}$$

# Amortized Analysis

- Increasing the size by:

- A constant factor  $\rightarrow$
- A constant number of cells

$$K + 1.5K + \dots + K + 2^0 K + 2^1 K + \dots + 2^3 K \rightarrow$$

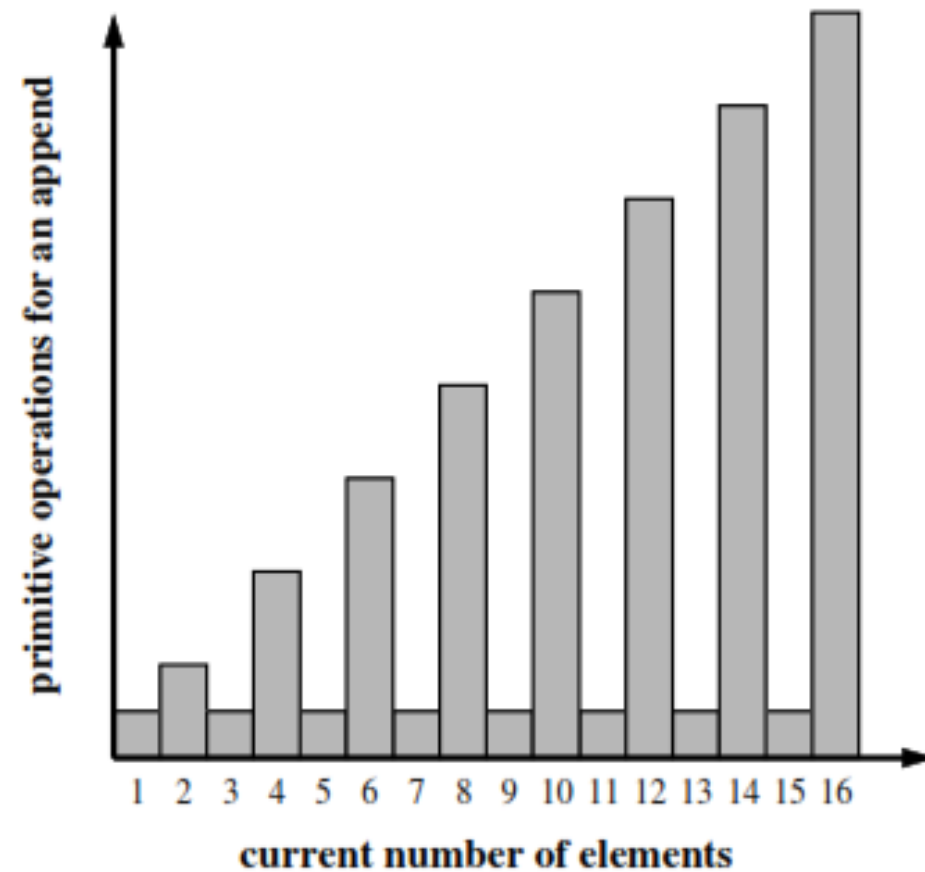
$$\underline{O(n)} \quad \quad \quad \cancel{(3)} \quad \quad \quad \cancel{(1.5)}$$

$$K + (K+5) + (K+10) + (K+15) + \dots - (-)$$

$$10 \xrightarrow{\times 2} 20 \xrightarrow{\times 2} 40 \xrightarrow{\times 2} 80 \xrightarrow{\times 2} 160 \rightarrow O(n)$$

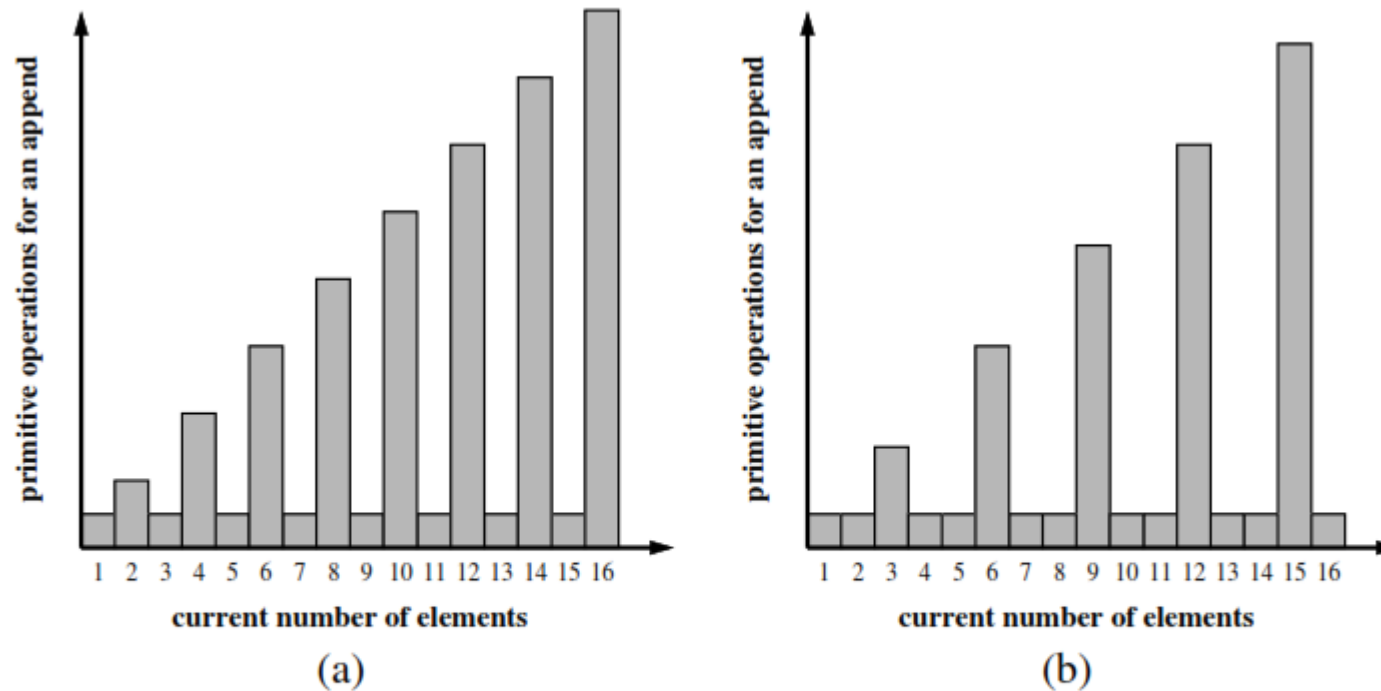
$$10 \xrightarrow{5} 15 \xrightarrow{5} 20 \xrightarrow{5} 25 \xrightarrow{5} 30 \rightarrow O(n^2)$$

# Increasing by a constant number



(a)

# Increasing by a constant number



**Figure 5.15:** Running times of a series of append operations on a dynamic array using arithmetic progression of sizes. (a) Assumes increase of 2 in size of the array, while (b) assumes increase of 3.



# Amortized time

**Proposition 5.2:** *Performing a series of  $n$  append operations on an initially empty dynamic array using a fixed increment with each resize takes  $\Omega(n^2)$  time.*

# Resources

- Open Data Structures (pseudocode edition), by Pat Morin. Available online at <http://opendatastructures.org>
- Data Structures and Algorithms in Python, by Michael T. Goodrich, Roberto Tamassia, and Michael H. Goldwasser. 2013. (1st. ed.). Wiley Publishing
- <https://www.khanacademy.org/computing/computer-science/algorithms/asymptotic-notation/a/asymptotic-notation>

# Thanks