Advanced Attention Mechanisms & MOE

CS XXX: Introduction to Large Language Models

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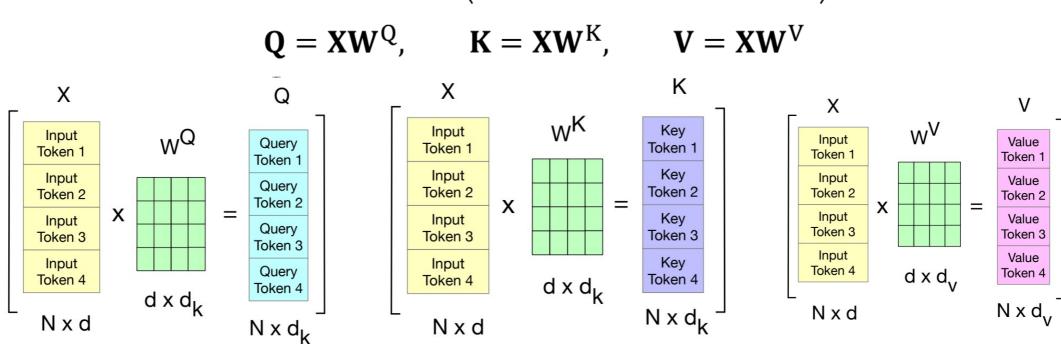
Optimizing Attention

• The area that gets the most focus from the research community is the attention layer of the Transformer. This is because the attention calculation is the most computationally expensive part of the process.

RECALL

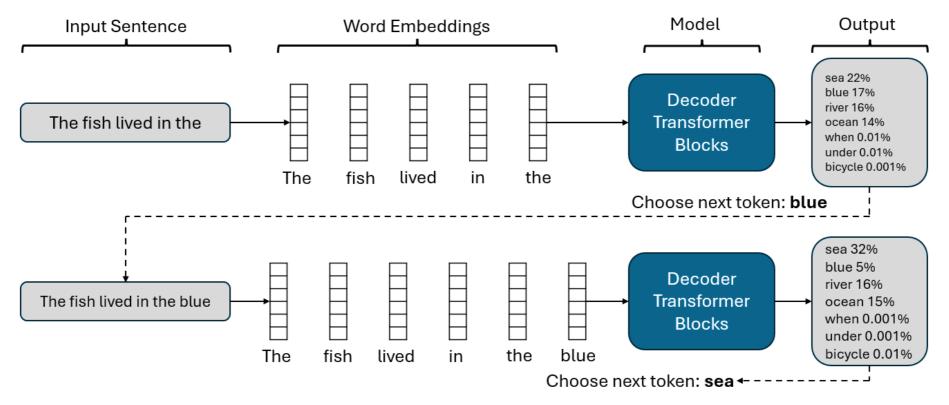
Attention Mechanism

Self-Attention (A) =
$$\left(\text{softmax} \left(\frac{\mathbf{Q}\mathbf{K}^{\mathsf{T}}}{\sqrt{d_k}} \right) \right) \mathbf{V}$$



RECALL

• **Token by token generation**: Recall that when generating the second token, we simply append the output token to the input and do another forward pass through the model.



• At each time step several dot products to compute the matrix $\mathbf{Q}\mathbf{K}^T$ are recomputed from the previous time step

• Consider the following computation at time step t for the sequence "John" let the composite embeddings for each word be

$$John = \begin{bmatrix} a \\ b \end{bmatrix}$$

• And the matrices \mathbf{W}^K and \mathbf{W}^Q are

$$W^Q = \begin{bmatrix} q_1 & q_2 \\ q_3 & q_4 \end{bmatrix}, \qquad W^K = \begin{bmatrix} k_1 & k_2 \\ k_3 & k_4 \end{bmatrix}$$

Then the matrices Q and K are

$$Q = [aq_1 + bq_3 \quad aq_2 + bq_4]$$
 $K = [ak_1 + bk_3 \quad ak_2 + bk_4]$

The product QK^T is

$$QK^{T} = [(aq_1 + bq_3)(ak_1 + bk_3) + (aq_2 + bq_4)(ak_2 + bk_4)]$$

Assume that for this time step the next word predicted is "eats". This
output token is appended to the input at the next time step. The
composite embeddings for this new token is

eats =
$$\begin{bmatrix} c \\ d \end{bmatrix}$$

• At time step t+1

$$Q = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \cdot \begin{bmatrix} q_1 & q_2 \\ q_3 & q_4 \end{bmatrix} = \begin{bmatrix} aq_1 + bq_3 & aq_2 + bq_4 \\ cq_1 + dq_3 & cq_2 + dq_4 \end{bmatrix}$$

$$K = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \cdot \begin{bmatrix} k_1 & k_2 \\ k_3 & k_4 \end{bmatrix} = \begin{bmatrix} ak_1 + bk_3 & ak_2 + bk_4 \\ ck_1 + dk_3 & ck_2 + dk_4 \end{bmatrix}$$

$$QK^T$$

$$= \begin{bmatrix} (aq_1 + bq_3)(ak_1 + bk_3) + (aq_2 + bq_4)(ak_2 + bk_4) & (aq_1 + bq_3)(ck_1 + dk_3) + (aq_2 + bq_4)(ck_2 + dk_4) \\ (cq_1 + dq_3)(ak_1 + bk_3) + (cq_2 + dq_4)(ak_2 + bk_4) & (cq_1 + dq_3)(ck_1 + dk_3) + (cq_2 + dq_4)(ck_2 + dk_4) \end{bmatrix}$$

Was computed previously at time step t

- At each time step there are values that we re-calculate values already calculated in the previous time step.
- If Q and K are matrices of size 4×4096 at some time step t

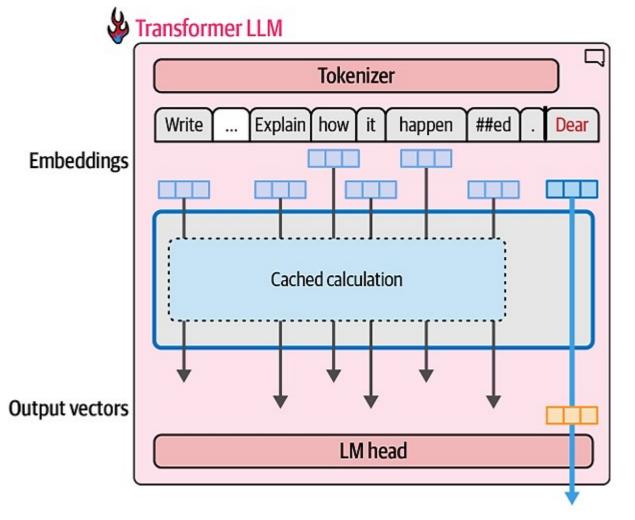
$$QK^T = \begin{bmatrix} \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots \end{bmatrix}$$

the red values were computed in the previous time step t-1.

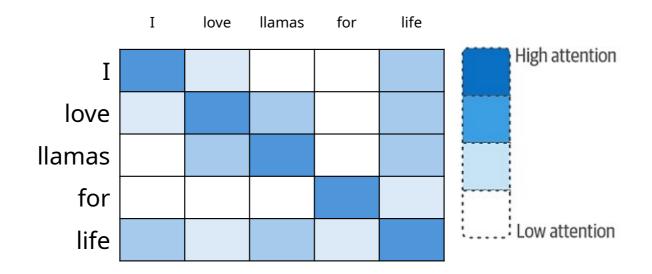
• If we give the model the ability to cache the results of the previous calculation, we no longer need to repeat the calculations of the previous streams. This time the only needed calculation is for the last stream. This is an optimization technique called the keys and values (KV) cache and it provides a significant speedup of the generation process.

• When generating text, it's important to cache the computation results of previous tokens instead of repeating the same calculation over and over

again

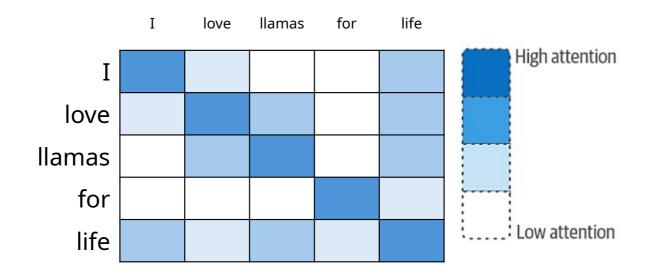


 Attention scores determine how strongly the model on the relationship between certain words

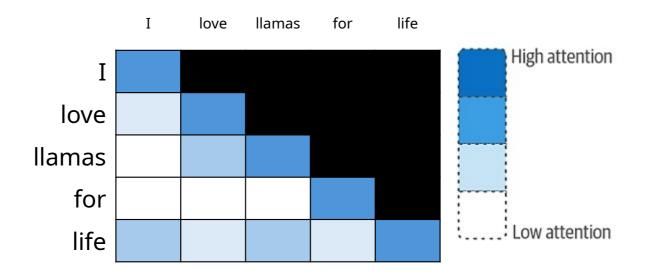


 "love" has high attention on "llamas," it means the model considers "love" important in relation to "llamas."

• Full n^2 attention: every token in the input sequence attends to every other token. This means that for an input sequence of length n, the attention mechanism computes relationships between all n tokens, leading to a complexity of $O(n^2)$ in both computation and memory.

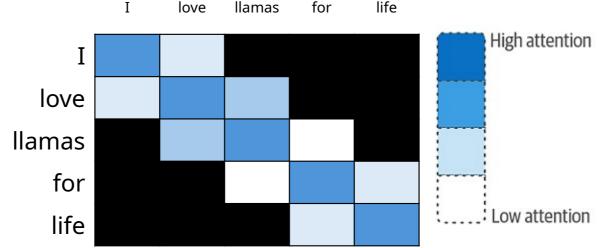


• Full $\frac{n^2}{2}$ attention: each token can only attend to itself and past tokens, preventing information leakage from future tokens. This introduces a triangular mask in the attention matrix, effectively halving the number of token pairs considered.



• Sliding Window Attention: Sliding Window Attention (SWA) is a more efficient alternative to full n^2 attention, designed to reduce computational complexity by limiting the number of attended tokens per position. Instead of attending to all past and future tokens, each token only attends to a fixed-size local window around it.

• Fixed Window Size w: Each token only attends to w tokens before and after it. If w = 1



• O(nw) instead of $O(n^2)$ making it more scalable for long sequences

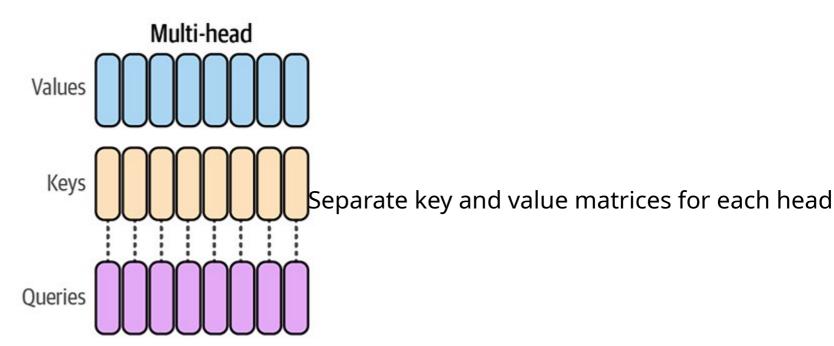
Multi Query Attention

Multihead Attention

$$\mathbf{Q}^{i} = \mathbf{X}\mathbf{W}_{i}^{\mathbf{Q}} \qquad \mathbf{K}^{i} = \mathbf{X}\mathbf{W}_{i}^{\mathbf{K}} \qquad \mathbf{V}^{i} = \mathbf{X}\mathbf{W}_{i}^{\mathbf{V}}$$

$$\operatorname{head}_{i} = \operatorname{Self-Attention}\left(\mathbf{A}\right) = \left(\operatorname{softmax}\left(\frac{\mathbf{Q}^{i}\mathbf{K}^{i}^{\mathsf{T}}}{\sqrt{d_{k}}}\right)\right)\mathbf{V}^{i}$$

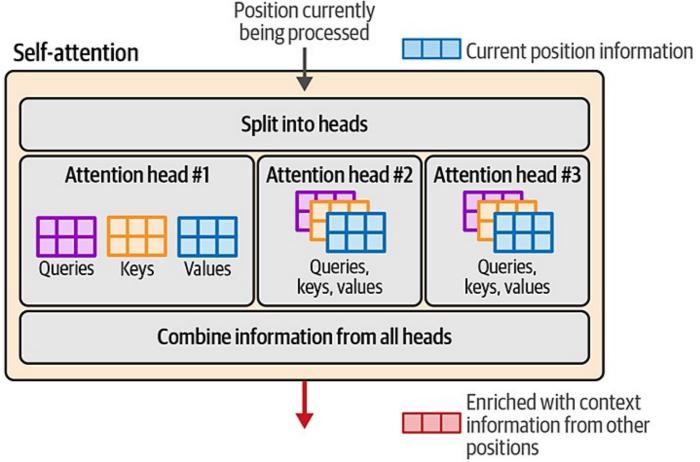
$$\operatorname{MultiHead Attention}\left(\mathbf{M}\right) = \left(\operatorname{head}_{1} \oplus \operatorname{head}_{2} \dots \oplus \operatorname{head}_{h}\right)\mathbf{W}^{0}$$



Multi Query Attention

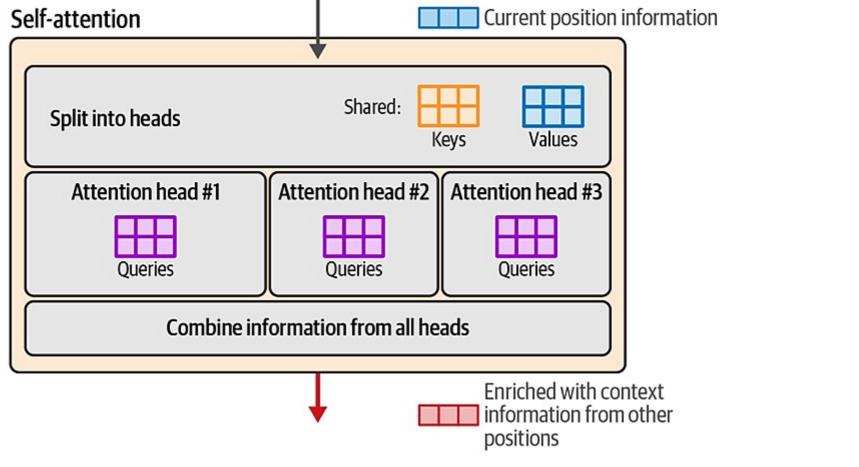
Multihead Attention

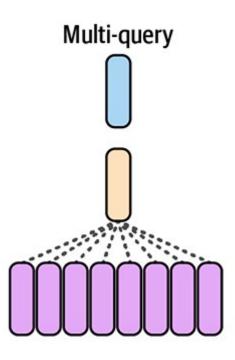
• Attention is conducted using matrices of queries, keys, and values. In multi-head attention, each head has a distinct version of each of these matrices.



Multi Query Attention

 Multi-query attention presents a more efficient attention mechanism by sharing the keys and values matrices across all the attention heads.



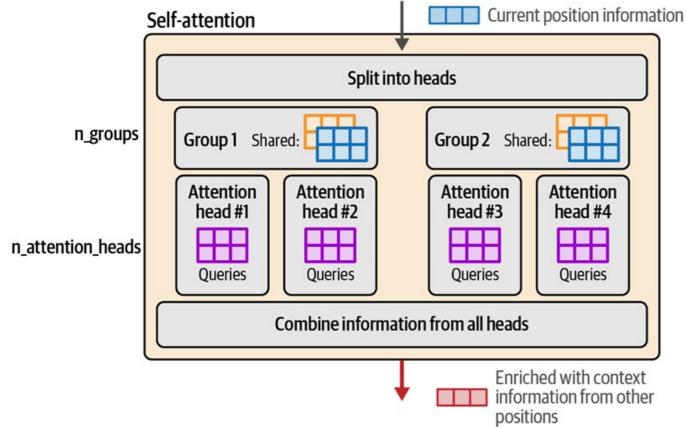


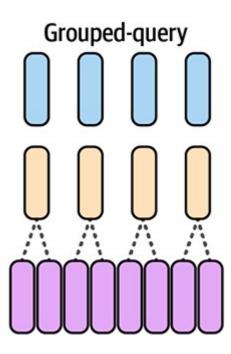
Grouped Query Attention

• As model sizes grow, however, multi-query optimization can be too punishing and we can afford to use a little more memory to improve the quality of the models. This is where grouped-query attention comes in. Instead of cutting the number of keys and values matrices to one of each, it allows us to use more (but less than the number of heads).

Grouped Query Attention

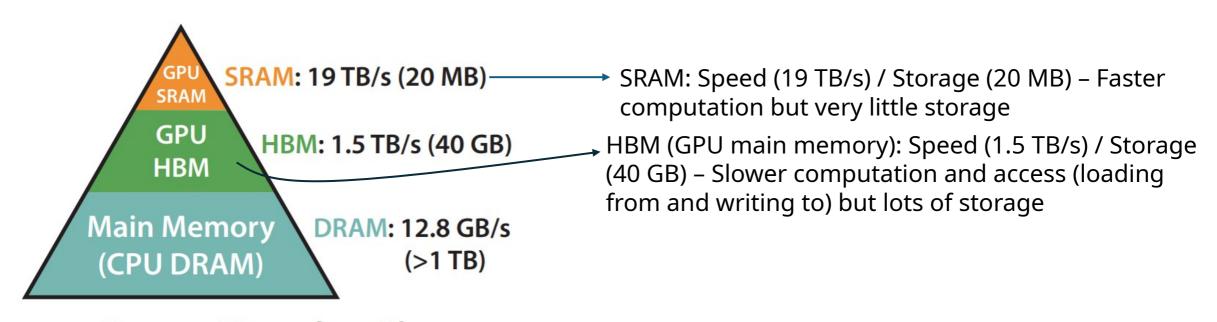
 Grouped-query attention sacrifices a little bit of the efficiency of multiquery attention in return for a large improvement in quality by allowing multiple groups of shared key/value matrices; each group has its respective set of attention heads.





age Models

• Flash Attention is a popular method and implementation that provides significant speedups for both training and inference of Transformer LLMs on GPUs. It speeds up the attention calculation by optimizing what values are loaded and moved between a GPU's shared memory (SRAM) and high bandwidth memory (HBM).



Memory Hierarchy with Bandwidth & Memory Size

Standard Attention

Given input sequences $\mathbf{Q}, \mathbf{K}, \mathbf{V} \in \mathbb{R}^{N \times d}$ where N is the sequence length and d is the head dimension, we want to compute the attention output $\mathbf{O} \in \mathbb{R}^{N \times d}$:

$$\mathbf{S} = \mathbf{Q}\mathbf{K}^{\mathsf{T}} \in \mathbb{R}^{N \times N}, \quad \mathbf{P} = \operatorname{softmax}(\mathbf{S}) \in \mathbb{R}^{N \times N}, \quad \mathbf{O} = \mathbf{P}\mathbf{V} \in \mathbb{R}^{N \times d},$$

where softmax is applied row-wise.

```
Require: Matrices Q, K, V in HBM

1 Load Q, K from HBM

2 Compute S = QK<sup>T</sup>

3 Write S to HBM.

4 Read S from HBM

5 Compute P = softmax(S)

6 write P to HBM.

7 Load P and V by blocks from HBM

8 Compute O = PV

9 write O to HBM.
```

Standard Attention

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Compute 0 = PV

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Load P and V from HBM

Compute 0 = PV

Write O to HBM.
```

- Number of reads from the HBM = 3
- Number of writes to HBM = 3

Goal of flash attention: Reduce HBM accesses, Do most of the computation using SRAM

Goal of Flash Attention

```
Require: Matrices Q, K, V in HBM

Load Q, K, V Block from HBM

Compute S = QK<sup>T</sup>
Compute P = softmax(S)
Compute O = PV
write O to HBM.

Require: Matrices Q, K, V in HBM

Do all the computation using SRAM without having to read and write to HBM at each step because of the limited storage of the SRAM
```

- Number of Reads: 1
- Number of Writes: 1
- Total Accesses = 2

Goal of Flash Attention

```
Require: Matrices Q, K, V in HBM

Load Q, K, V from HBM

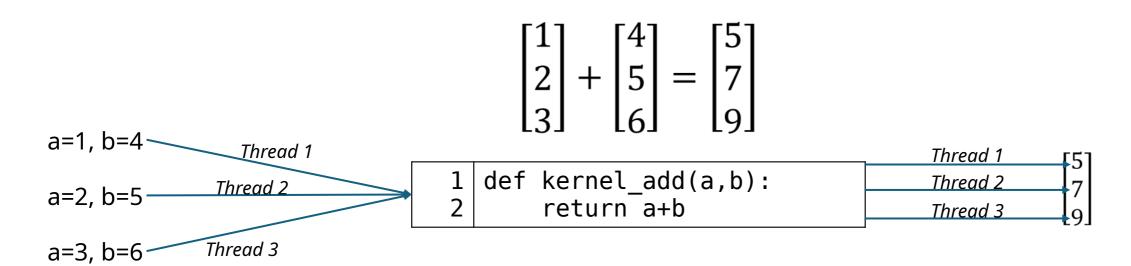
Compute S = QK<sup>T</sup>
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Compute O = PV
write O to HBM.

Do all the computation using SRAM without having to read and write to HBM at each step because of the limited storage of the SRAM
```

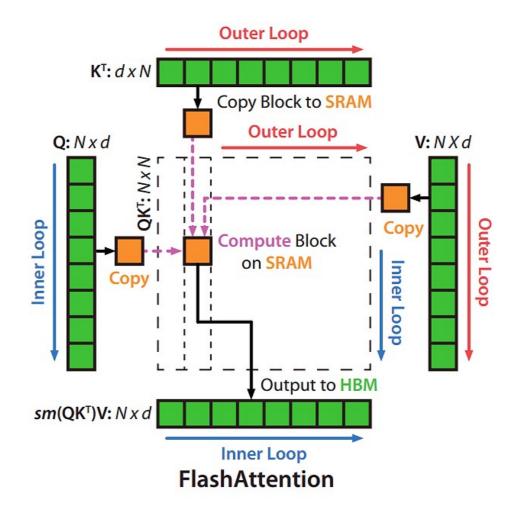
- To utilize SRAM memory efficiently Flash attention uses tiling: The Q, K, V matrices are split into blocks and then attention is computed by blocks.
- Tiling enables computation to be in one CUDA kernel, loading input from HBM, performing all the computation steps (matrix multiply, softmax, optionally masking and dropout, matrix multiply), then write the result back to HBM

CUDA Kernel

- A kernel is a function that runs in parallel on multiple threads, with each thread executing the function independently on its own portion of the data.
- Consider the example of adding two vectors



Tiling: Attention Computation by blocks



$$Q = \begin{bmatrix} 1 & 2 & 1 & 2 \\ 3 & 4 & 3 & 4 \\ 5 & 6 & 5 & 6 \\ 7 & 8 & 7 & 8 \end{bmatrix}, \qquad K = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 \\ 0 & 0 & 2 & 2 \\ 0 & 0 & 2 & 2 \end{bmatrix}$$

$$V = \begin{bmatrix} 1 & 2 & 3 & 4 \\ 5 & 6 & 7 & 8 \\ 9 & 10 & 11 & 12 \\ 13 & 14 & 15 & 16 \end{bmatrix}$$

Attention Computation by blocks

Divide the matrices into blocks

$$Q = \begin{bmatrix} 1 & 2 & 1 & 2 \\ 3 & 4 & 3 & 4 \\ 5 & 6 & 7 & 8 \\ 7 & 8 & 7 & 8 \end{bmatrix}, \quad K = \begin{bmatrix} 1 & 1 & 2 \\ 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 \\ 0 & 0 & 2 & 2 \\ 2 & 2 & 2 \\ 3 & 4 & 3 & 4 \end{bmatrix}$$

$$V = \begin{bmatrix} 1 & 2 & 2 & 2 \\ 5 & 6 & 7 & 8 \\ 9 & 10 & 11 & 12 \\ 13 & 14 & 15 & 16 \end{bmatrix}$$
Introduction to Large Language Models

Attention Computation by blocks

• For each K, V block iterate over all blocks of Q computing $S_{block} = Q_{block} K_{block}^T$

Example

For
$$K_1 = \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}$$
 and $V_1 = \begin{bmatrix} 1 & 2 \\ 5 & 6 \end{bmatrix}$: initialize $m_1 = -\infty$, $l_1 = 0$, $O_1 = 2 \times 2$ zero matrix Iterate over all Q_i compute $S_{1,i} = Q_i K_1^T$

For
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$$S_{1,1} = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix} \cdot \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix} = \begin{bmatrix} 3 & 3 \\ 7 & 7 \end{bmatrix}$$

```
For K_1 and V_1: initialize m_1=-\infty, l_1=0, O_1=2\times 2 zero matrix Iterate over all Q_i compute S_{1,i}=Q_iK_1^T compute row max m_{1,i}
```

$$S_{1,1} = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix} \cdot \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix} = \begin{bmatrix} 3 & 3 \\ 7 & 7 \end{bmatrix} \xrightarrow{\max(3,3) = 3} \max(7,7) = 7$$

$$m_{1,1} = \begin{bmatrix} 3 \\ 7 \end{bmatrix}$$

```
For K_1 and V_1: initialize m_1=-\infty, l_1=0, O_1=2\times 2 zero matrix Iterate over all Q_i compute S_{1,i}=Q_iK_1^T compute row max m_{1,i} compute numerator for softmax: P_{1,i}=\exp(S_{1,i}-m_{1,i})
```

$$\exp(S_{1,1} - m_{1,1}) = \begin{bmatrix} e^{3-3} & e^{3-3} \\ e^{7-7} & e^{7-7} \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}$$

Attention Computation by blocks

```
For K_1 and V_1: initialize m_1 = -\infty, l_1 = 0, O_1 = 2 \times 2 zero matrix Iterate over all Q_i compute S_{1,i} = Q_i K_1^T compute row max m_{1,i} compute numerator for softmax: P_{1,i} = \exp \left( S_{1,i} - m_{1,i} \right) compute denominator for softmax: l_{1,i} by summing row entries of P_{1,i}
```

$$\exp(S_{1,1} - m_{1,1}) = \begin{bmatrix} e^{3-3} & e^{3-3} \\ e^{7-7} & e^{7-7} \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}$$

Summing across rows:

$$l_{1,1} = \begin{bmatrix} 2 \\ 2 \end{bmatrix}$$

```
For K_1 and V_1: initialize m_1 = -\infty, l_1 = 0, O_1 = 2 \times 2 zero matrix Iterate over all Q_i compute S_{1,i} = Q_i K_1^T compute row max m_{1,i} compute numerator for softmax: P_{1,i} = \exp \left( S_{1,i} - m_{1,i} \right) compute denominator for softmax: l_{1,i} by summing row entries of P_{1,i} Compute partial output O_{1,i} = P_{1,i} \cdot V_1
```

$$O_{1,1} = \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix} \cdot \begin{bmatrix} 1 & 2 \\ 5 & 6 \end{bmatrix} = \begin{bmatrix} 6 & 8 \\ 6 & 8 \end{bmatrix}$$

Attention Computation by blocks

```
For K_1 and V_1: initialize m_1 = -\infty, l_1 = 0, O_1 = 2 \times 2 zero matrix Iterate over all Q_i compute S_{1,i} = Q_i K_1^T compute row max m_{1,i} compute numerator for softmax: P_{1,i} = \exp \left( S_{1,i} - m_{1,i} \right) compute denominator for softmax: l_{1,i} by summing row entries of P_{1,i} Compute partial output O_{1,i} = P_{1,i} \cdot V_1 update global max value statistic m_1
```

$$m_1^{new} = \max(m_1, m_{1,1}) = \max(m_1, {3 \brack 7}) = {3 \brack 7}$$

Note that max is taken element wise. So for -infty and a vector the max is the vector. If the comparison is between a vector and a vector we take the max entry in each row. So max([2, 7], [3, 6]) = [3, 7]

Attention Computation by blocks

```
For K_1 and V_1: initialize m_1 = -\infty, l_1 = 0, O_1 = 2 \times 2 zero matrix Iterate over all Q_i compute S_{1,i} = Q_i K_1^T compute row max m_{1,i} compute numerator for softmax: P_{1,i} = \exp \left( S_{1,i} - m_{1,i} \right) compute denominator for softmax: l_{1,i} by summing row entries of P_{1,i} Compute partial output O_{1,i} = P_{1,i} \cdot V_1 update global max value statistic m_1 update softmax normalization factor l_1
```

$$\begin{split} l_1^{new} &= e^{m_1 - m_1^{new}} l_1 + e^{m_{1,1} - m_1^{new}} l_{1,1} \\ m_1 - m_1^{new} &= -\infty - {3 \brack 7} = -\infty \\ e^{-\infty} &= 0, \qquad l_1 = 0 \\ l_1^{new} &= e^{m_1 - m_1^{new}} l_{1,1} = e^{{3 \brack 7} - {3 \brack 7}} \cdot {2 \brack 2} = e^{{0 \brack 0}} \cdot {2 \brack 2} = {1 \brack 1} \cdot {2 \brack 2} = {2 \brack 2} \end{split}$$

Attention Computation by blocks

```
For K_1 and V_1: initialize m_1 = -\infty, l_1 = 0, O_1 = 2 \times 2 zero matrix lterate over all Q_i compute S_{1,i} = Q_i K_1^T compute row max m_{1,i} compute numerator for softmax: P_{1,i} = \exp \left( S_{1,i} - m_{1,i} \right) compute denominator for softmax: l_{1,i} by summing row entries of P_{1,i} Compute partial output O_{1,i} = P_{1,i} \cdot V_1 update global max value statistic m_1 update softmax normalization factor l_1 update output O_1 before O_1 before O_2 in accumulate across all query blocks. The division with O_1 applies softmax implicitly.
```

$$O_1^{new} = \frac{1}{l_1^{new}} (e^{m_1 - m_1^{new}} O_1 + e^{m_{1,1} - m_1^{new}} O_{1,1})$$
 Simplified

$$O_1^{new} = \frac{1}{{2 \brack 2}} \left(\begin{bmatrix} 1 \\ 1 \end{bmatrix} \cdot \begin{bmatrix} 6 & 8 \\ 6 & 8 \end{bmatrix} \right) = \frac{{1 \times 6 \quad 1 \times 8}}{{1 \times 6 \quad 1 \times 8}} = \begin{bmatrix} 6/2 & 8/2 \\ 6/2 & 8/2 \end{bmatrix} = \begin{bmatrix} 3 & 4 \\ 3 & 4 \end{bmatrix}$$

Note that multiplication by $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$ and division by $\begin{bmatrix} 2 \\ 2 \end{bmatrix}$ are row wise scaling operations

Attention Computation by blocks

The actual computation to update O_i involves taking the inverse of the diagonal matrix constructed from l_i^{new} and l_i .

$$O_1^{new} = \text{diag}(l_1^{new})^{-1} \left(\text{diag}(l_i) e^{m_1 - m_1^{new}} O_1 + e^{m_{1,1} - m_1^{new}} O_{1,1} \right)$$

$$\operatorname{diag}(l_1^{new}) = \begin{bmatrix} 2 & 0 \\ 0 & 2 \end{bmatrix}, \operatorname{diag}(l_1) = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$$

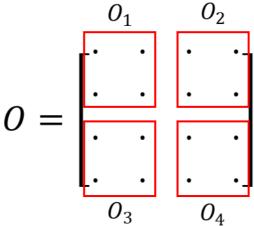
Inverse of a diagonal matrix

$$D = egin{bmatrix} d_1 & 0 & 0 & \dots & 0 \ 0 & d_2 & 0 & \dots & 0 \ 0 & 0 & d_3 & \dots & 0 \ dots & dots & dots & dots & dots \ 0 & 0 & 0 & \dots & d_n \end{bmatrix} \hspace{1cm} D^{-1} = egin{bmatrix} 1/d_1 & 0 & 0 & \dots & 0 \ 0 & 1/d_2 & 0 & \dots & 0 \ 0 & 0 & 1/d_3 & \dots & 0 \ dots & dots & dots & dots & dots & dots \ 0 & 0 & 0 & \dots & 1/d_n \end{bmatrix}$$

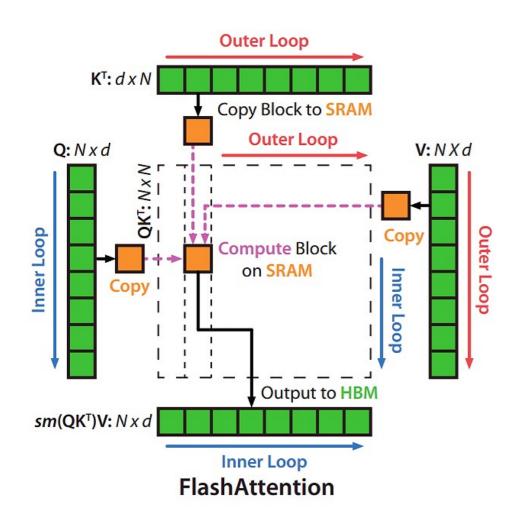
Attention Computation by blocks

• We similarly update O_1 in each iteration of the inner loop. At the end of the inner loop we have the block 1 entries of the final attention output matrix O. This O_1 is now written to HBM

The final attention output O will have four blocks.



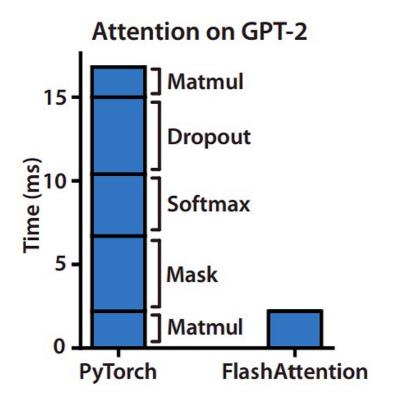
Tiling: Attention Computation by blocks



```
For all K_i and V_i:
     initialize m_i = -\infty, l_i = 0, O_i = zero matrix
     For all Q_i:
                load m_i, l_i from HBM
               compute S_{i,i} = Q_i K_i^T
                compute row max m_{i.i.}
               P_{i,i} = \exp(S_{i,i} - m_{i,i})
                l_{i,i} by summing row entries of P_{i,i}
                Compute partial output O_{i,i} = P_{i,i} \cdot V_i
                update global max value statistic m_i
                update softmax normalization factor l_i
                update output O_i
               write m_i, l_i to HBM
     Output O_i to HBM
```

Speedup over the PyTorch implementation of attention on GPT-2.

• FlashAttention does not read and write the large $N \times N$ attention matrix to HBM, resulting in an 7.6X speedup on the attention computation.

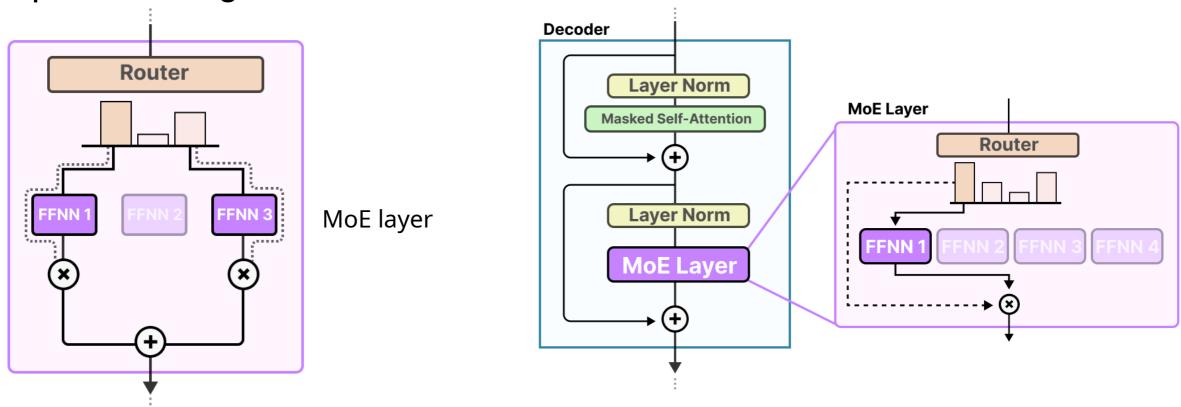


• We have explored how advanced attention mechanisms enhance efficiency. However, the majority of parameters in a Large Language Model (LLM) reside in the Feed-Forward Network (FFN) layers.

- We have explored how advanced attention mechanisms enhance efficiency. However, the majority of parameters in a Large Language Model (LLM) reside in the Feed-Forward Network (FFN) layers.
- Increasing the number of parameters in a model improves performance by capturing more complex patterns.
- More parameters, however, lead to higher computational costs, longer training times, and slower inference.

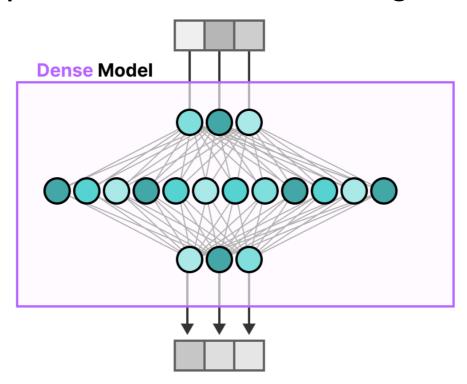
- How can we increase parameters without higher computational cost and training time?
 - The Mixture-of-Expert (MoE) structure (Jacobs et al., 1991; Jordan and Jacobs, 1994) is a classic design that substantially scales up the model capacity and only introduces small computation overhead.
 - Mixture of Experts (MoE) increases model capacity while maintaining efficiency by using sparse activation of subnetworks.
 - MoE consists of multiple expert networks, but only a subset is activated per input, reducing computation.

 MoE layer contains many experts (non linear neural networks) that share the same network architecture and are trained by the same algorithm, with a gating (or routing) network that routes individual inputs to a few experts among all the candidates.



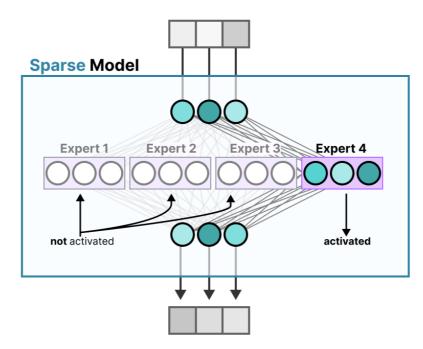
Sparse Layers

- The FFNN in a traditional Transformer is called a dense model since all parameters (its weights and biases) are activated. Nothing is left behind and everything is used to calculate the output.
- The input activates all parameters to some degree:



Sparse Layers

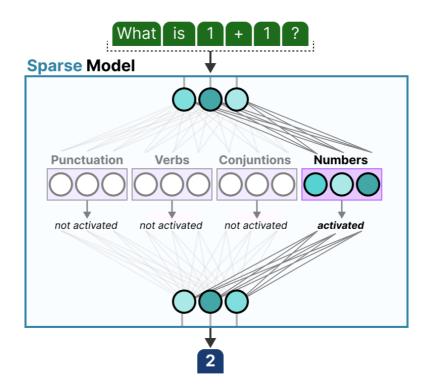
- Sparse models only activate a portion of their total parameters and are closely related to Mixture of Experts.
- In MoE, we can chop up our dense model into pieces (so-called experts), retrain it, and only activate a subset of experts at a given time:



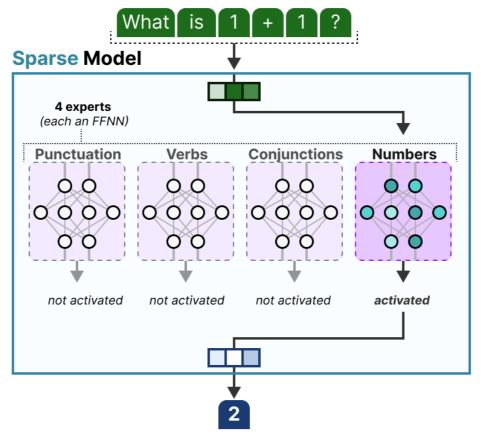
• The underlying idea is that each expert learns different information during training. Then, when running inference, only specific experts are used as they are most relevant for a given task.

• When asked a question, we can select the expert best suited for a given

task:



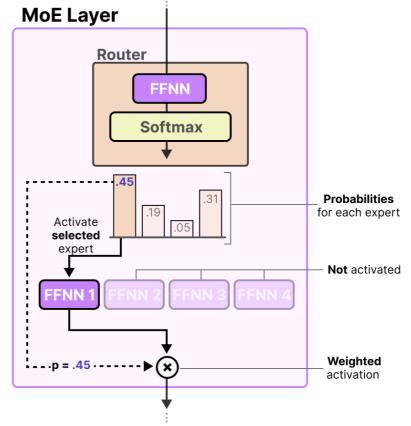
• All Experts in the MoE layer are FFNNs themselves. All experts have the same structure, initialized from the same weight distribution and are trained with the same optimization configuration.



The Routing Mechanism

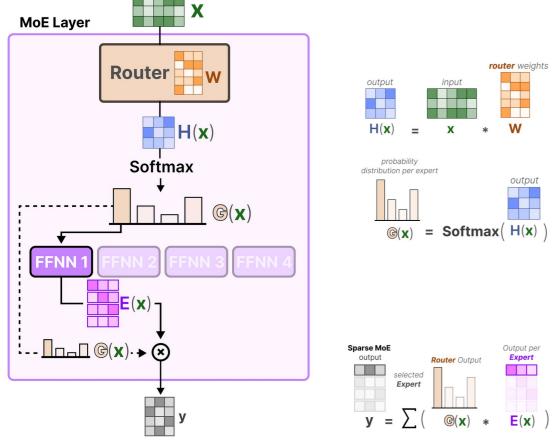
• The router (or gate network) is also an FFNN and is used to choose the expert based on a particular input. It outputs probabilities which it uses to

select the best matching expert:



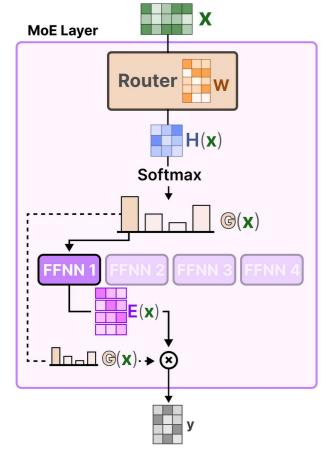
The Routing Mechanism

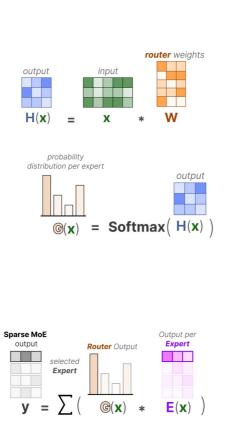
• The gating network is arguably the most important component of any MoE as it not only decides which experts to choose during inference but also training.

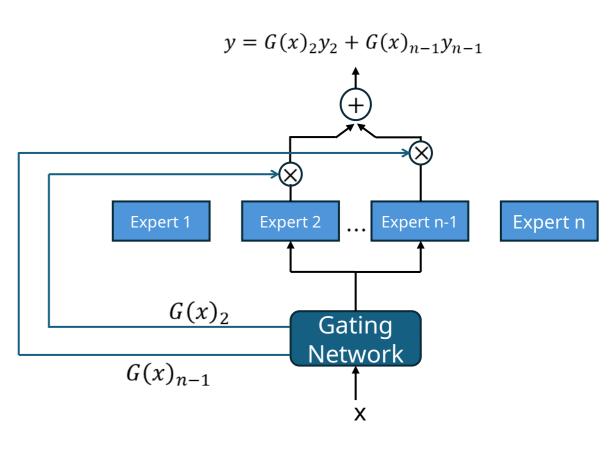


The Routing Mechanism

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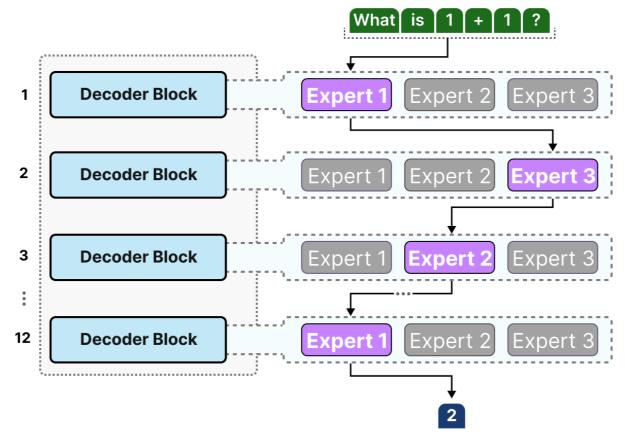






The Routing Mechanism

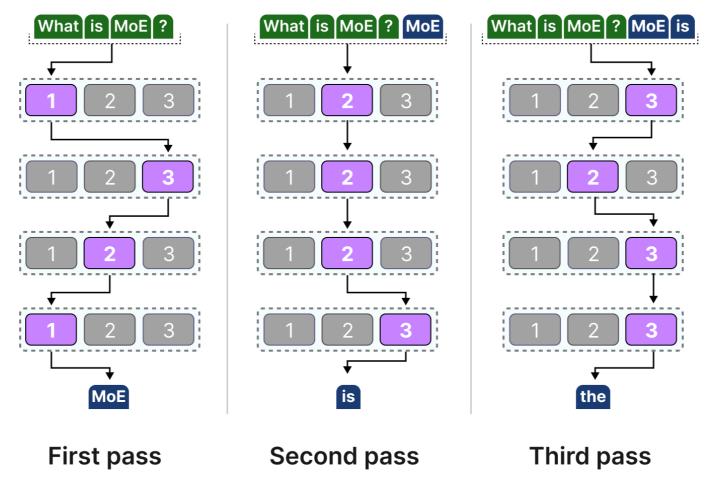
 Since most LLMs have several decoder blocks, a given text will pass through multiple experts before the text is generated:



The Routing Mechanism

• The chosen experts likely differ between tokens which results in different "paths"

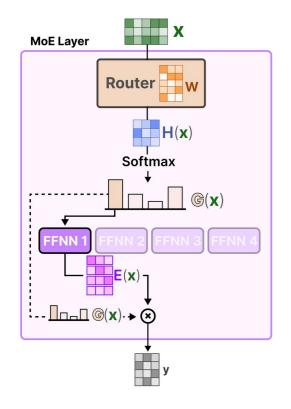
being taken:

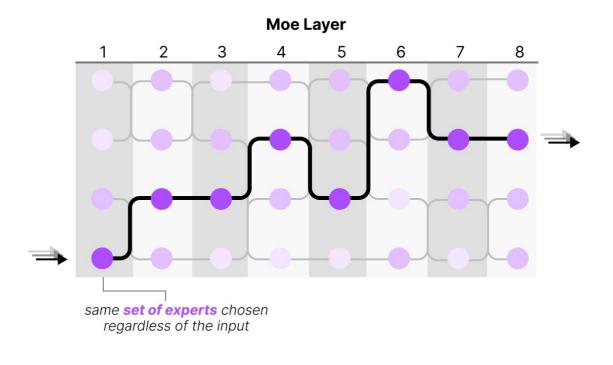


Introduction to Large Language Models

Load Balancing

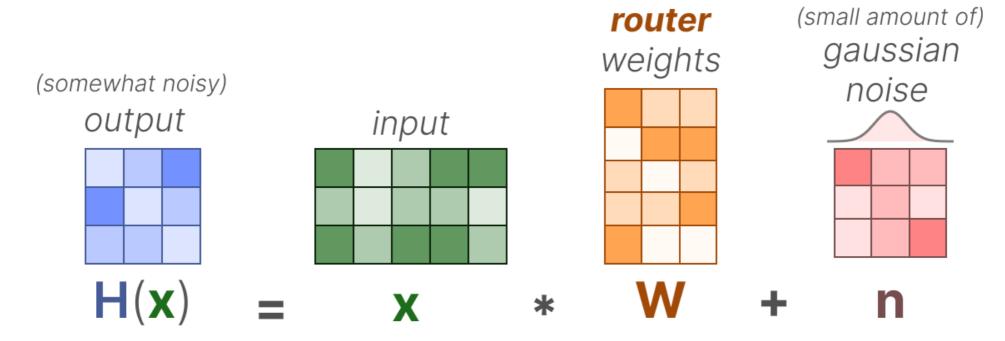
• The simple routing function often results in the router choosing the same expert since certain experts might learn faster than others Not only will there be an uneven distribution of experts chosen, but some experts will hardly be trained at all. This results in issues during both training and inference.





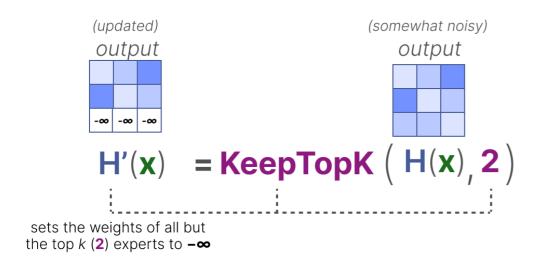
Load Balancing

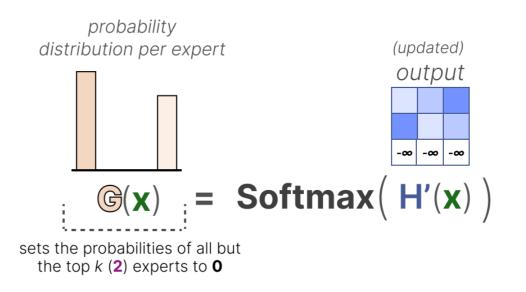
 To balance the importance of experts, we will need to look at the router as it is the main component to decide which experts to choose at a given time. One method of load balancing the router is through a straightforward extension called KeepTopK2. By introducing trainable (gaussian) noise, we can prevent the same experts from always being picked:



Load Balancing

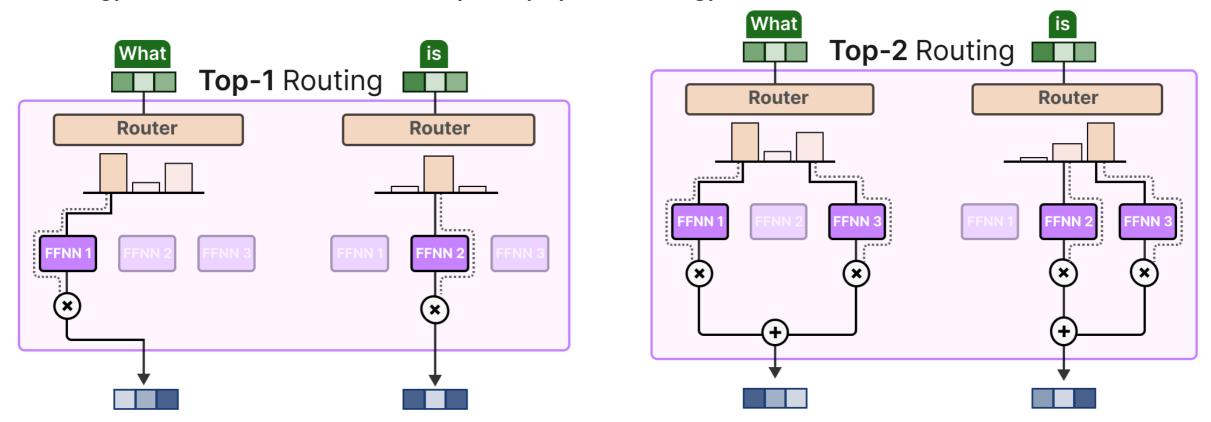
• Then, all but the top k experts that you want activating (for example 2) will have their weights set to $-\infty$. By setting these weights to $-\infty$, the output of the SoftMax on these weights will result in a probability of 0:





Load Balancing

• The KeepTopK strategy routes each token to a few selected experts. This method is called Token Choice3 and allows for a given token to be sent to one expert (top-1 routing) or to more than one expert (top-k routing):

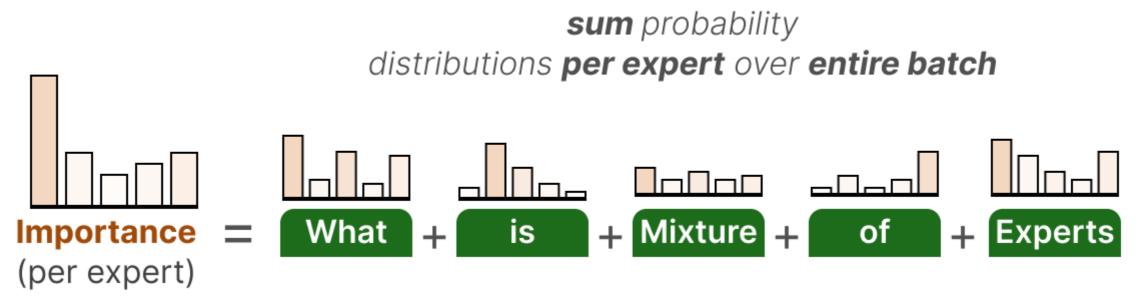


Auxiliary Loss

- To get a more even distribution of experts during training, the auxiliary loss (also called load balancing loss) was added to the network's regular loss.
- It adds a constraint that forces experts to have equal importance.

Auxiliary Loss

 The first component of this auxiliary loss is to sum the router values for each expert over the entire batch:



• This gives us the importance scores per expert which represents how likely a given expert will be chosen regardless of the input.

Auxiliary Loss

• We can use this to calculate the coefficient variation (CV), which tells us how different the importance scores are between experts.

Coefficient Variation (CV) =
$$\frac{\text{standard deviation }(\sigma)}{\text{mean }(\mu)}$$

• If there are a lot of differences in importance scores, the CV will be high. In contrast, if all experts have similar importance scores, the CV will be low (which is what we aim for):

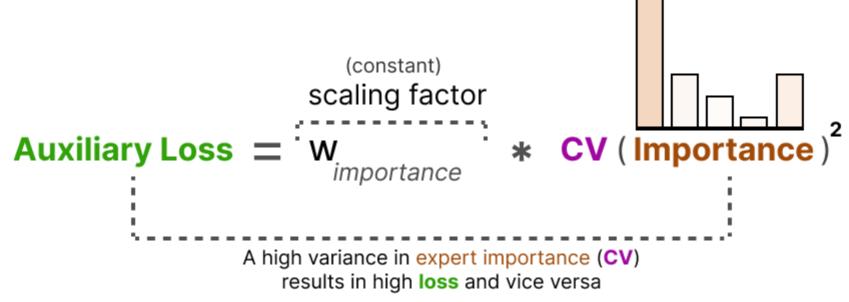
.8

CV (Importance) =
$$\frac{.05}{.26}$$
 = .19

Low variance in expert importance result in low CV

Auxiliary Loss

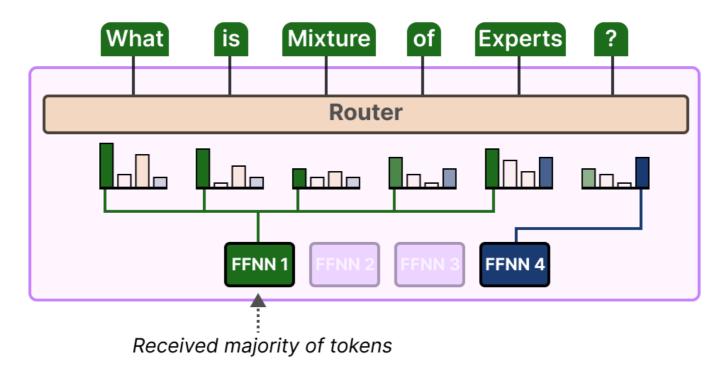
 Using this CV score, we can update the auxiliary loss during training such that it aims to lower the CV score as much as possible (thereby giving equal importance to each expert):



• Finally, the auxiliary loss is added as a separate loss to optimize during training.

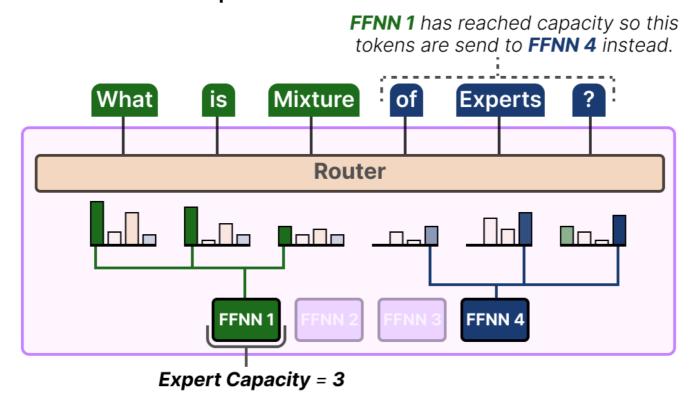
Expert Capacity

• Imbalance is not just found in the experts that were chosen but also in the distributions of tokens that are sent to the expert. For instance, if input tokens are disproportionally sent to one expert over another then that might also result in undertraining:



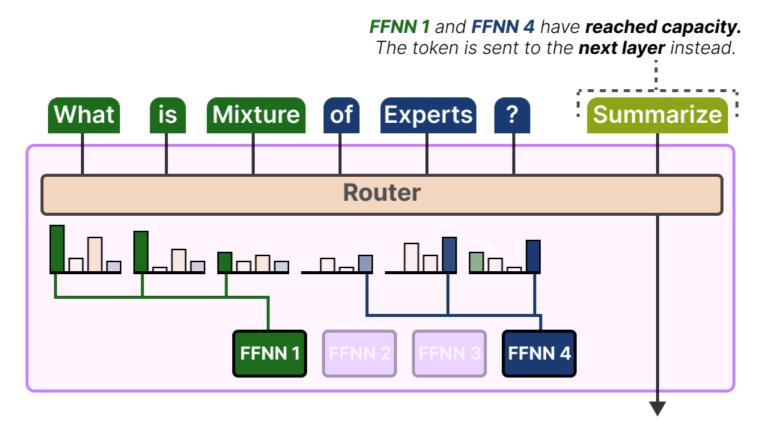
Expert Capacity

 A solution to this problem is to limit the amount of tokens a given expert can handle, namely Expert Capacity. By the time an expert has reached capacity, the resulting tokens will be sent to the next expert:



Expert Capacity

If both experts have reached their capacity, the token will not be processed by any
expert but instead sent to the next layer. This is referred to as token overflow.



References

- Dao, Tri, et al. FlashAttention: Fast and Memory-Efficient Exact Attention with IO-Awareness. Advances in Neural Information Processing Systems (NeurIPS), 2022
- Alammar, Jay, and Maarten Grootendorst. Hands-On Large Language Models: Language Understanding and Generation. O'Reilly Media, 2024.
- Grootendorst, M. (2024, October 7). A Visual Guide to Mixture of Experts (MoE): Demystifying the role of MoE in Large Language Models. Exploring Language Models.