

Unit 13 - Heap

CS 201 - Data Structures II

Spring 2023

Habib University

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Motivation

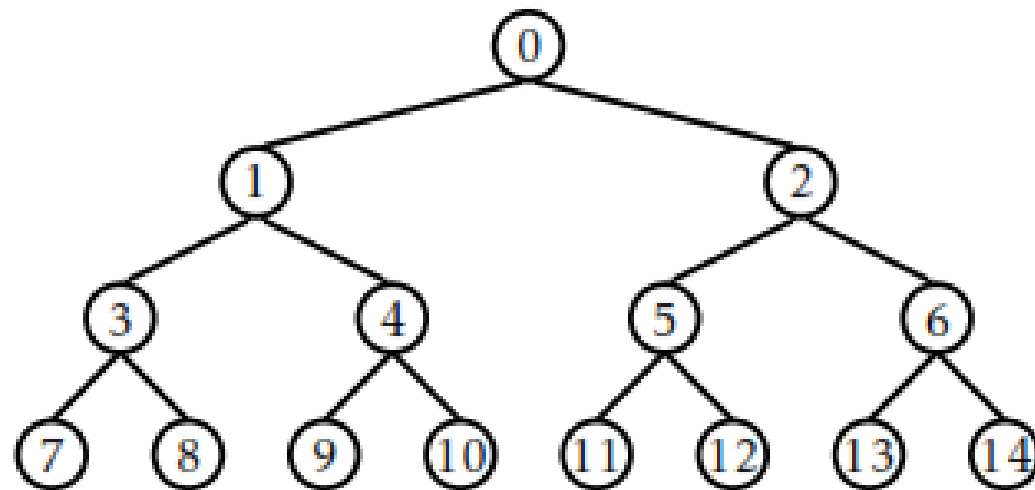
- Efficient implementation of priority queue

Heap

- Heap is a binary tree (**NOT BST**)
- Heap:
 - **Completeness** Property: Heap has restricted structure. It must be a complete binary tree .
 - **Ordering** Property: Relates parent value with that of its children
- **MaxHeap** property: Value of parent must be greater than **both** its children
- **MinHeap** property: Value of parent must be less than **both** its children
- Heap with n elements has height $O(\lg n)$

http://saravanan-thirumuruganathan.github.io/cse5311Fall2014/slides/7_Heap_UnionFind/7_Heap_UnionFind.pdf

Heap

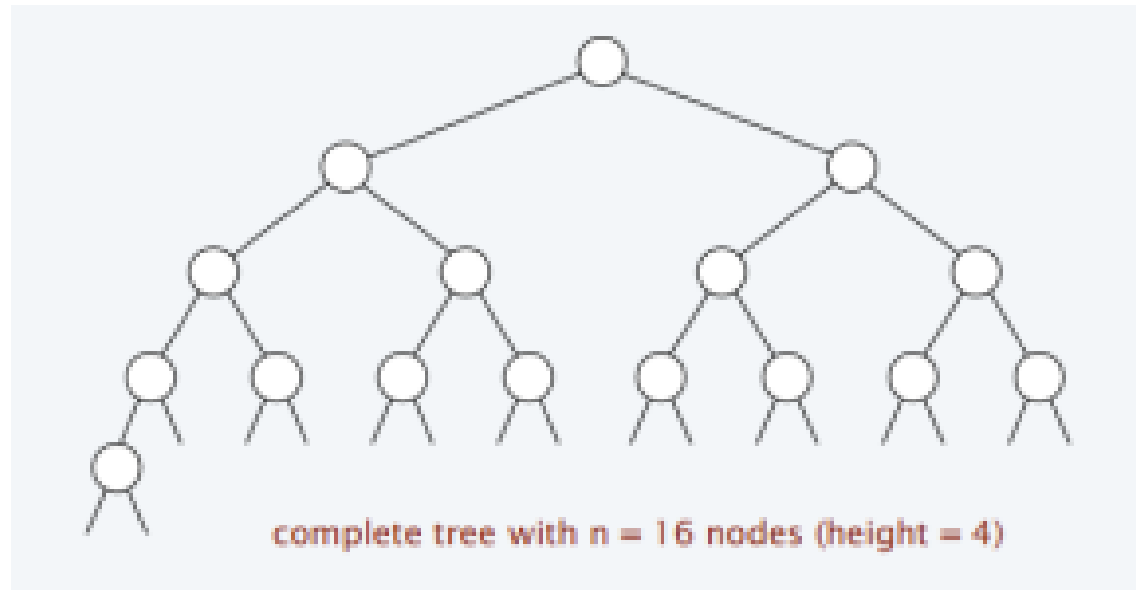


Heap Property

Heap-Order Property: In a heap T , for every position p other than the root, the key stored at p is greater than or equal to the key stored at p 's parent.

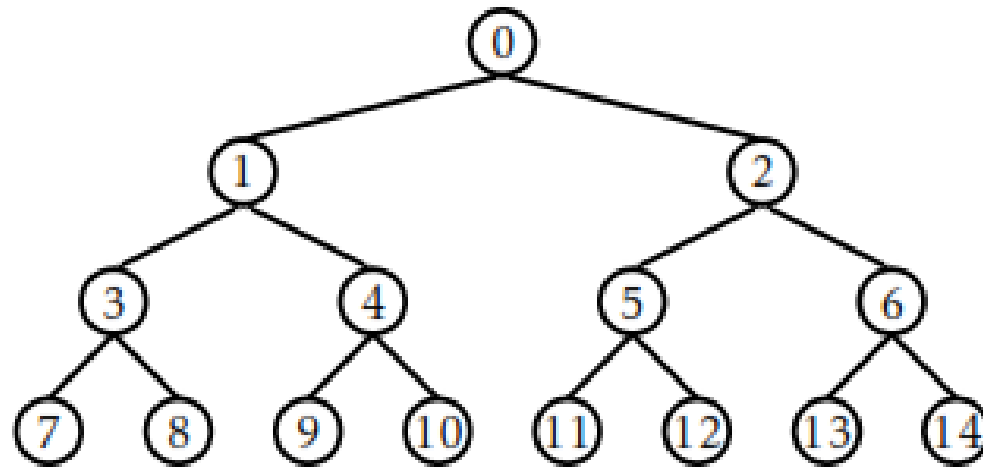
Complete Binary Tree property

- Perfectly balanced, except for bottom level
- Elements were inserted top-to-bottom and left-to-right



http://saravanan-thirumuruganathan.github.io/cse5311Fall2014/slides/7_Heap_UnionFind/7_Heap_UnionFind.pdf

Binary Heap



0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
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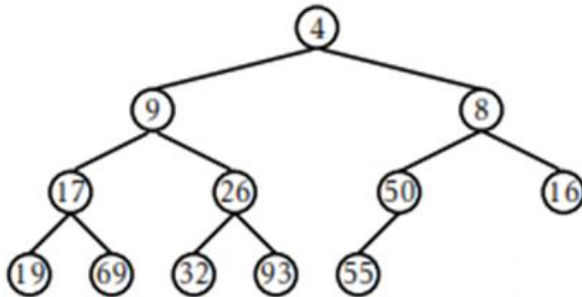
Accessing left/right child and parent

```
left(i)
    return  $2 \cdot i + 1$ 

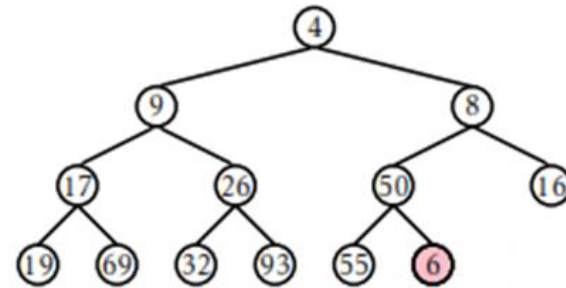
right(i)
    return  $2 \cdot (i + 1)$ 

parent(i)
    return  $(i - 1) \text{div } 2$ 
```

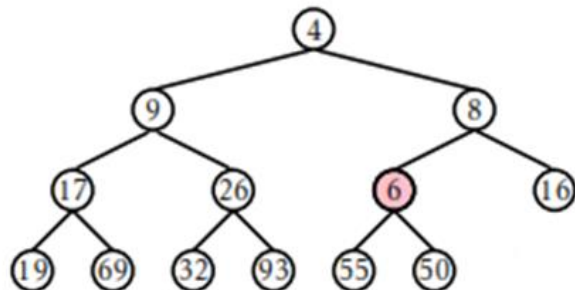

Insertion – Bubbling up



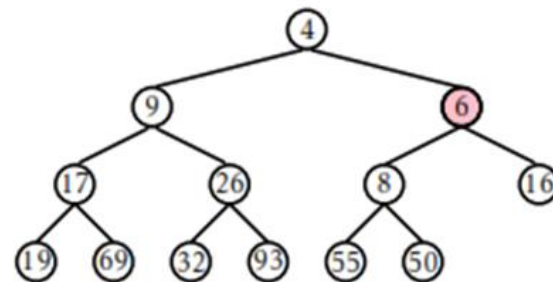
4	9	8	17	26	50	16	19	69	32	93	55			
---	---	---	----	----	----	----	----	----	----	----	----	--	--	--



4	9	8	17	26	50	16	19	69	32	93	55	6		
---	---	---	----	----	----	----	----	----	----	----	----	---	--	--

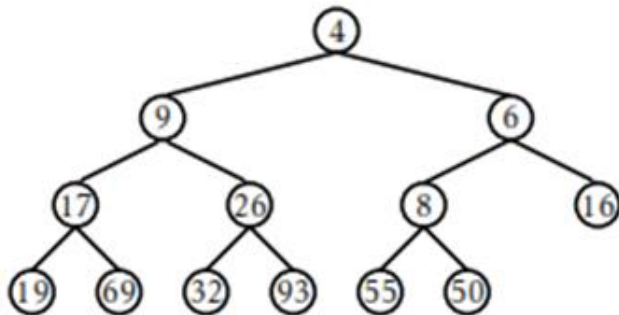


4	9	8	17	26	6	16	19	69	32	93	55	50		
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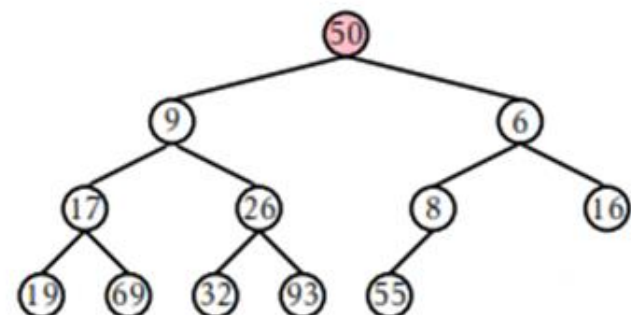


4	9	6	17	26	8	16	19	69	32	93	55	50		
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14

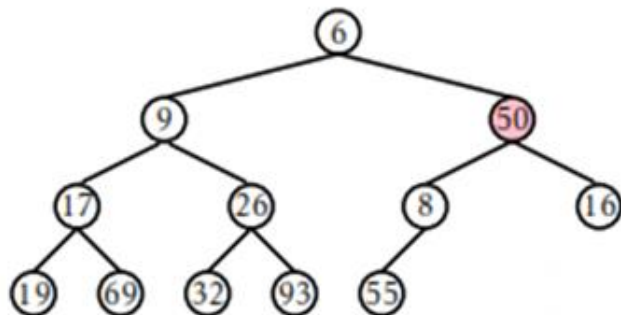
Deletion – Trickling down



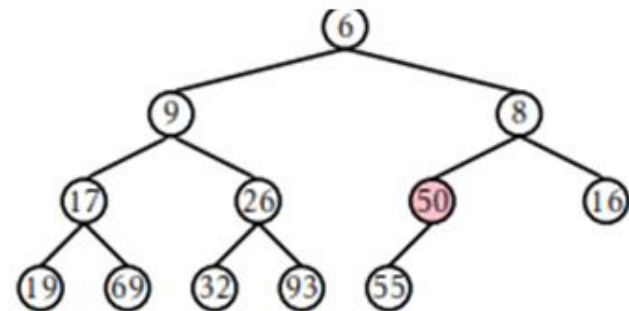
4	9	6	17	26	8	16	19	69	32	93	55	50		
---	---	---	----	----	---	----	----	----	----	----	----	----	--	--



50	9	6	17	26	8	16	19	69	32	93	55			
----	---	---	----	----	---	----	----	----	----	----	----	--	--	--



6	9	50	17	26	8	16	19	69	32	93	55			
---	---	----	----	----	---	----	----	----	----	----	----	--	--	--



6	9	8	17	26	50	16	19	69	32	93	55			
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14

Example

- Build a heap using the following keys:
 - 9,6,14,3,7,11

Exercise

- Build a heap using the following keys:
 - 9,3,8,2,1,10,21,7

Complexity of min, remove_min and insertion

Complexity

- Insertion
- Min
- Remove_min

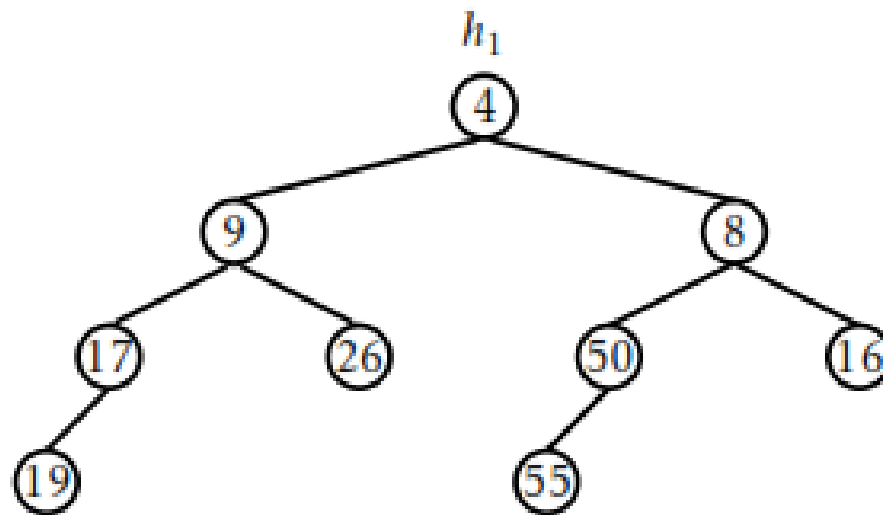
Complexity

Theorem 10.1. *A BinaryHeap implements the (priority) Queue interface. Ignoring the cost of calls to `resize()`, a BinaryHeap supports the operations `add(x)` and `remove()` in $O(\log n)$ time per operation.*

Meldable Heap

- A randomized meldable heap (also Meldable Heap or Randomized Meldable Priority Queue) is defined as a priority queue based data structure in which the underlying structure is also a heap-ordered binary tree. However, there are no hard and fast rules on the shape of the underlying binary tree.

Meldable Heap



Merge

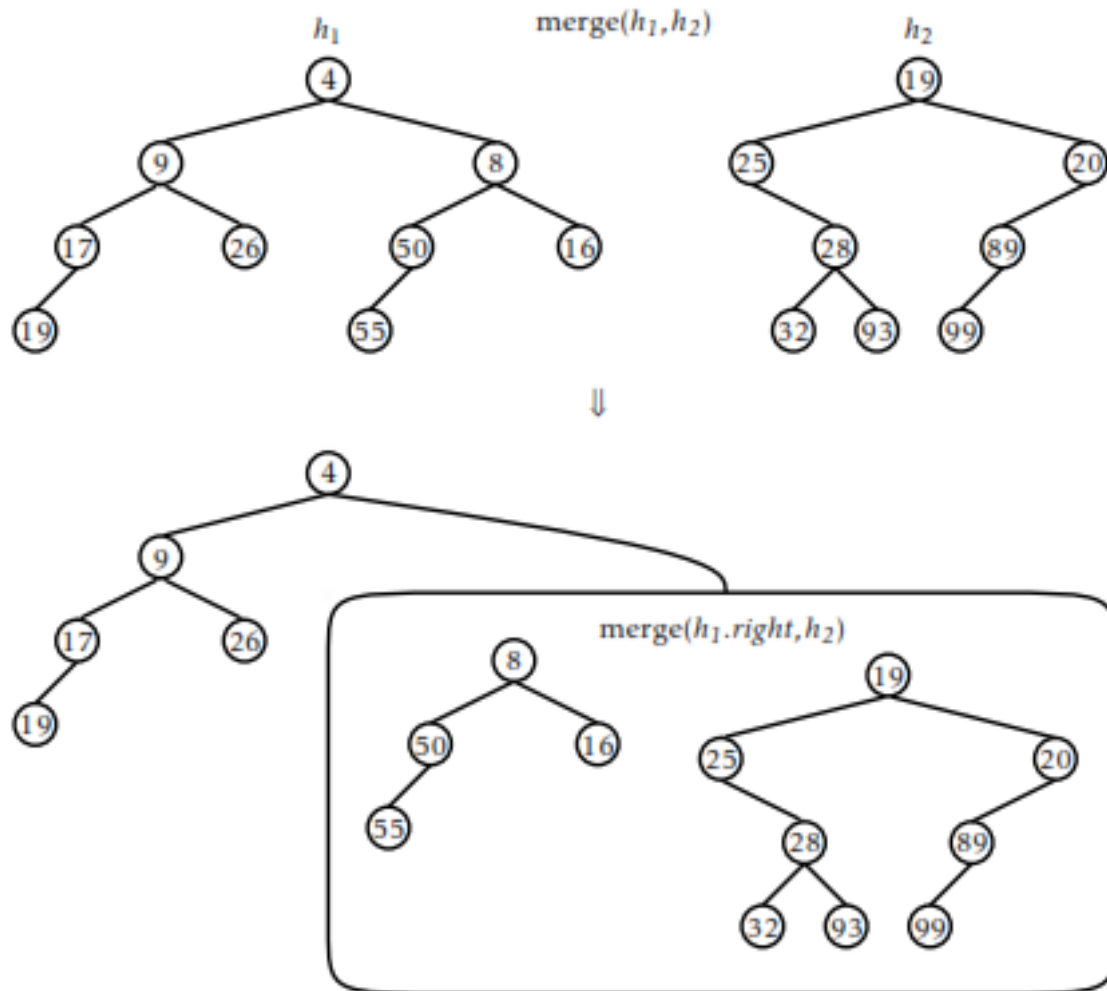


Figure 10.4: Merging h_1 and h_2 is done by merging h_2 with one of $h_1.\text{left}$ or $h_1.\text{right}$.

Merge

```
merge( $h_1, h_2$ )  
  if  $h_1 = \text{nil}$  then return  $h_2$   
  if  $h_2 = \text{nil}$  then return  $h_1$   
  if  $h_2.x < h_1.x$  then  $(h_1, h_2) \leftarrow (h_2, h_1)$   
  if random_bit() then  
     $h_1.\text{left} \leftarrow \text{merge}(h_1.\text{left}, h_2)$   
     $h_1.\text{left.parent} \leftarrow h_1$   
  else  
     $h_1.\text{right} \leftarrow \text{merge}(h_1.\text{right}, h_2)$   
     $h_1.\text{right.parent} \leftarrow h_1$   
  return  $h_1$ 
```

Add(..) operation using merge

```
add(x)
  u ← new_node(x)
  r ← merge(u, r)
  r.parent ← nil
  n ← n + 1
  return true
```

Remove() operation using merge

```
remove()  
   $x \leftarrow r.x$   
   $r \leftarrow \text{merge}(r.\text{left}, r.\text{right})$   
  if  $r \neq \text{nil}$  then  $r.\text{parent} \leftarrow \text{nil}$   
   $n \leftarrow n - 1$   
  return  $x$ 
```

Resources

- Data Structures and Algorithms in Python, by Michael T. Goodrich, Roberto Tamassia, and Michael H. Goldwasser. 2013. (1st. ed.). Wiley Publishing
- Open Data Structures (pseudocode edition), by Pat Morin. Available online at <http://opendatastructures.org>

Thanks