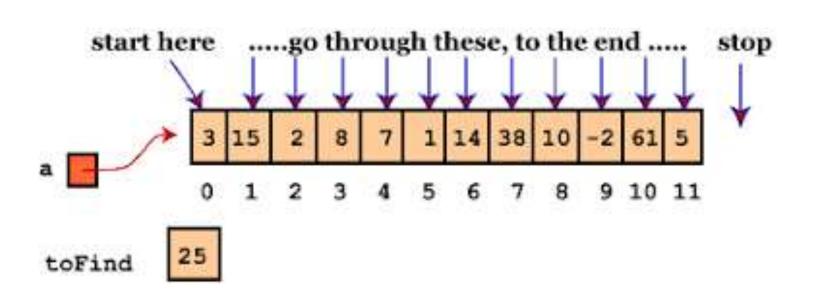
# Searching Techniques

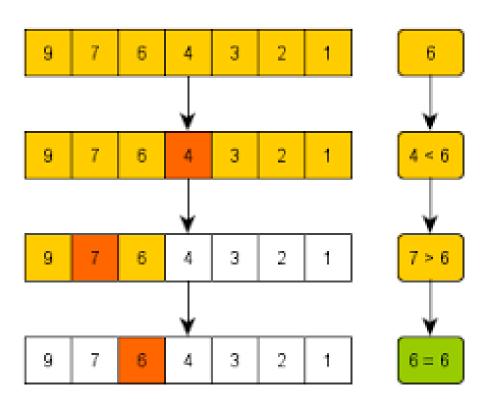


Recall the efficiency of searching techniques.

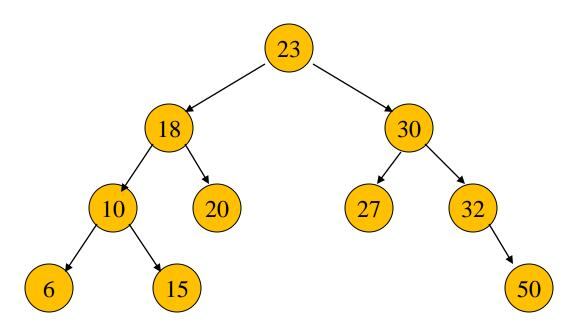
The sequential search algorithm takes time proportional to the data size, i.e, O(n).



Binary search improves on linear search reducing the search time to O(log n).

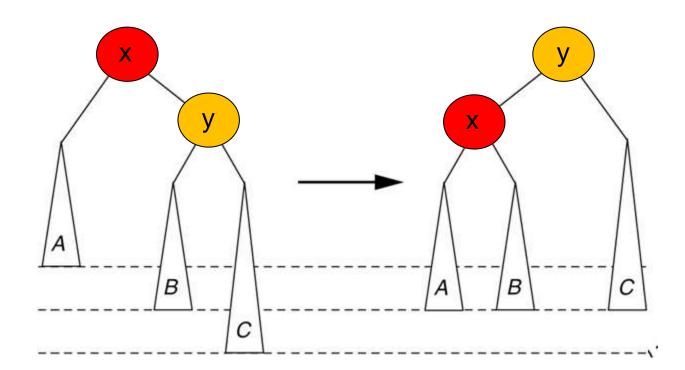


With a BST, an O(log n) search efficiency can be obtained; but the worst-case complexity is O(n).



To guarantee the O(log n) search time, BST height balancing is required.

Balanced AVL tree



7

### Can we do better than that?

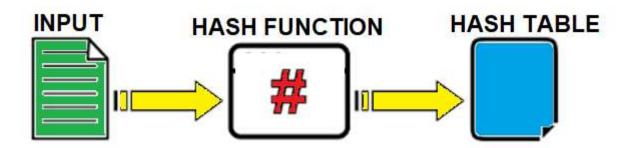
Is it possible to design a search of O(1)

That is, one that has a constant search time (on average), no matter where the element is in the list

# Hashing

## Hashing

Hashing is a technique that can perform *insertions, deletions, and Search* in **Hash Table** in *O(1) average time.* 



The goal is O(1) time

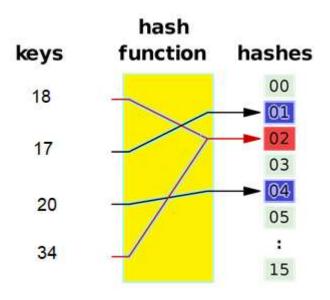
Use a function of the key value to identify its location in the list.

The function of the key value is called a hash function.

## Hashing

#### • Whats the Catch ?

- Operations that require any ordering information among the elements are not supported efficiently
  - findMin,
  - findMax, and
  - the printing in sorted order in linear time



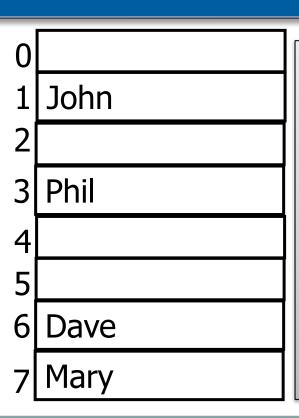
### Hash Table

- The ideal hash table data structure is an array of some fixed size, containing the keys.
- Typically, a key is an int or string with an associated value (for instance, salary information).



### Hash Table

Each key is mapped into some number in the range 0 to TableSize-1 and placed in the appropriate cell.

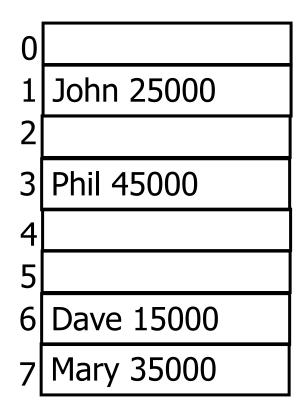


The mapping is called a hash function,

which ideally should be simple to compute and should ensure that any two distinct keys get different cells

Since there are a finite number of cells and a virtually inexhaustible supply of keys, this is clearly impossible,
Thus we seek a **hash function** that distributes the keys evenly among the cells.

### General IDEA & ISSUES



Ideal Hash Table

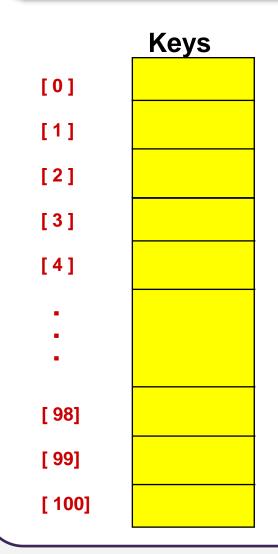
#### The Issues

- Choose a hash function,
- Deciding what to do when two keys hash to the same value (this is known as a collision),
- Decide the table size.

### Hash Function

- It is usually a good idea to ensure that the table size is prime.
- When the input keys are random integers, then Key mod TableSize function is not only very simple to compute but also distributes the keys evenly.

### Using a hash function



Let suppose we can have at most 100 students in a class & Roll number is a 3 digit number

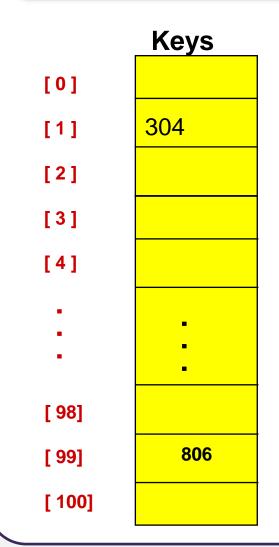
We look for first prime after 100

We create an hashtable (array of size 101)

This hash function can be used to store and retrieve parts in an array.

Hash(key) = RollNo % 101

## Using a hash function



Input Key is 304, 806

This hash function can be used to store and retrieve parts in an array.

### Collision

If, when an element is inserted, it hashes to the same value as an already inserted element, then we have a *collision* and need to resolve it.

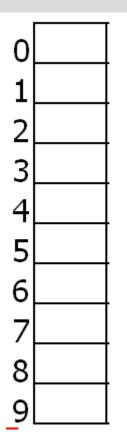
There are several methods for dealing with this.

### We will discuss two of the simplest:

- separate chaining
- open addressing.

## Separate Chaining

The first strategy, separate chaining, is to keep a list of all elements that hash to the same value.



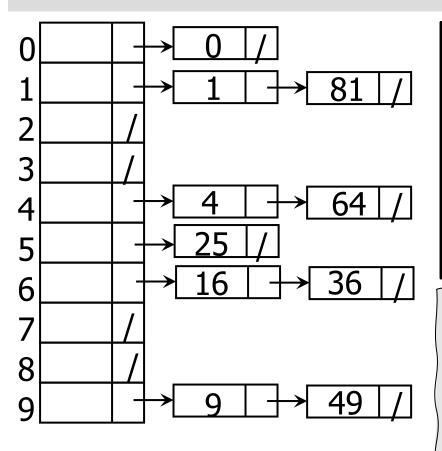
We assume that the keys are the first 10 perfect squares, namely 0, 1, 4, 9, 16, 25, 36, 49, 64, 81.

The hash function is simply Hash(X)=X mod 10

(The table size is not prime but is used here for simplicity).

# Separate Chaining

The first strategy, separate chaining, is to keep a list of all elements that hash to the same value.



The effort required to perform a search is

the constant time required to evaluate the hash function

plus

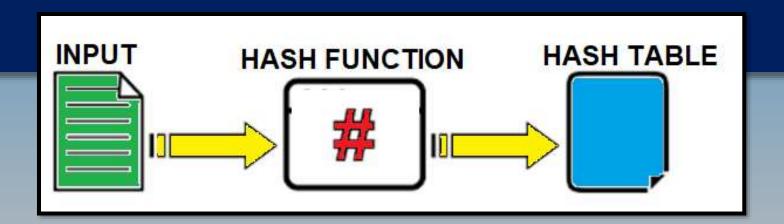
the time to traverse the list.

load factor,  $\lambda$ , of a hash table

 $\lambda$  = no. of values in the Htable\ Htable size

For chaining  $\lambda = 1.0$ .

# Hashing Lecture 2



## Open Addressing

- In an open addressing hashing system, if a collision occurs, alternative cells are tried until an empty cell is found.
- More formally, cells  $h_0(X)$ ,  $h_1(X)$ ,  $h_2(X)$ , ... are tried in succession, where

```
h_i(X)=(Hash(X)+F(i)) \mod TableSize, where F(i)=i.
```

• The function, *F*, is the collision resolution strategy.

0	
1	
2	
3	
4	
5	
6	
7	
8	
9	

0	
1	
2	
4	
5 6	
7	
8	
9	89

0	
1	
2	
3	
4	
5 6	
7	
8	18
9	89

0	49
1	
2	
3	
4	
5	
6	
7	
8	18
9	89

0	49
1	58
2	
3	
4 5	
6	
7	
8	18
9	89

0	49
1	58
2	69
3	
4 5	
6	
7	
8	18
9	89

- h<sub>i</sub>(X)= Hash(X)+F(i) mod TableSize
- In linear probing, *F* is a linear function of *i*, typically F(i)=i.
- This amounts to trying cells sequentially (with wraparound) in search of an empty cell.

In open addressing it is required that Hash table is half filled.

 As long as the table is big enough, a free cell can always be found, but the time to do so can get quite large.

0	49
1	58
2	69
3	
4	
5	
6	
7	
8	18
9	89

#### load factor, $\lambda$ , of a hash table

 $\lambda$  = no. of values in the Htable\ Htable size

$$\lambda = 0.5$$

 Worse, even if the table is relatively empty, blocks of occupied cells start forming.

- This effect, known as primary clustering,
  - means that any key that hashes into the cluster will require several attempts to resolve the collision, and then it will add to the cluster.

0	49
1	58
2	69
3	
4	
5 6	
6	
7	
8	18
9	89

- The empty cells following clusters have a much greater chance to be filled than other positions.
- This probability is equal to (sizeof (cluster) + 1)/TSize.
   Other empty cells have only 1/TSize chance of being filled.

0	49
1	58
2	69
3	
4	
5	
6	
7	
8	18
9	89

- If a cluster is created, it has a tendency to grow, and
  - the larger a cluster becomes, the larger the likelihood that it will become even larger.
- This fact undermines the performance of the hash table for storing and retrieving data.
- The problem at hand is how to avoid cluster buildup.
  - An answer can be found in a more careful choice of the probing function p.

0	49
1	58
2	69
3	
4	
5	
6	
7	
8	18
9	89

- Quadratic probing is a collision resolution method that eliminates the primary clustering problem of linear probing.
- Quadratic probing the collision function is quadratic.
- The popular choice is  $F(i)=i^2$ .
- h<sub>i</sub>(X)=(Hash(X)+F(i)) mod TableSize

• Example: Keys are {89, 18, 49, 58, 69}.

	Keys
0	
1	
2	
3	
4	
4 5 6	
7	
8	
9	89

• Example: Keys are {89, 18, 49, 58, 69}.

	Keys
0	
1	
2	
3	
4	
5 6	
7	
8	18
9	89

• Example: Keys are {89, 18, 49, 58, 69}.

	Keys
0	49
1	
2	
3	
4	
5 6	
6	
7	
8	18
9	89

• Example: Keys are {89, 18, 49, 58, 69}.

Keys
49
58
18
89

## Quadratic Probing

• Example: Keys are {89, 18, 49, 58, 69}.

 $h_i(X)=(Hash(X)+F(i)) mod TableSize$ 

	Keys
0	49
1	
2	58
3	69
4 5	
5	
6	
7	
8	18
9	89

## Quadratic Probing

- For linear probing it is a bad idea to let the hash table get nearly full, because performance degrades.
- For quadratic probing, the situation is even more drastic:
- There is no guarantee of finding an empty cell once the table gets more than half full, or even before the table gets half full if the table size is not prime.
- This is because at most half of the table can be used as alternative locations to resolve collisions.

• Question: You task is to hash some keys in a table of size 11 using the Hash Function = Key % TableSize.

For collision resolution use the technique of **Linear Probing**.

Insert the following keys in the given hash table 16, 66, 99, 11, 88,132

tile i	onowing keys in the
0	605
1	
2	
3	
4	484
5	643
1 2 3 4 5 6	
7	84
7 8 9	
9	
10	

• Question: You task is to hash some keys in a table of size 11 using the Hash Function = Key % TableSize.

For collision resolution use the technique of Linear Probing.

• Insert the following keys in the given hash table 16, 66, 99, 11, 88,132

0	605	
1	66	
2	99	
1 2 3	11	
4	484	
5	643	
6	16	
7	84	
8		
9		
10		

• Question: You task is to hash some keys in a table of size 11 using the Hash Function = Key % TableSize.

For collision resolution use the technique of **Quadratic Probing**.

Insert the following keys in the given hash table 16, 66, 99, 11, 88,132

0	605
1	
2	
3	
1 2 3 4 5 6 7	484
5	643
6	
7	84
8 9	
9	
10	

• Question: You task is to hash some keys in a table of size 11 using the Hash Function = Key % TableSize.

For collision resolution use the technique of **Quadratic Probing**.

• Insert the following keys in the given hash table 16, 66, 99, 11, 88,132

0	605
1	66
1 2 3 4	
3	
4	484
<b>5</b>	643
	16
7	84
8	
9	
10	

```
11%11 =0

1 coliision

11%11 +1<sup>2=</sup>

2 collision

(11%11 + 2<sup>2)</sup> %11= 4

3 collision

(11%11 + 3<sup>2)</sup> %11

9
```

• Question: You task is to hash some keys in a table of size 11 using the Hash Function = Key % TableSize.

For collision resolution use the technique of **Quadratic Probing**.

• Insert the following keys in the given hash table 16, 66, 99, 11, 88,132

0	605
1	66
1 2 3	
3	
4	484
<ul><li>4</li><li>5</li><li>6</li></ul>	643
	16
7	84
8	
9	99
10	

```
11%11 =0

1 coliision

11%11 +1<sup>2=</sup>

2 collision

(11%11 + 2<sup>2)</sup> %11= 4

3 collision

(11%11 + 3<sup>2)</sup> %11

9
```

• Question: You task is to hash some keys in a table of size 11 using the Hash Function = Key % TableSize.

For collision resolution use the technique of **Quadratic Probing**.

• Insert the following keys in the given hash table 16, 66, 99, 11, 88,132

0	605
1	66
1 2 3	
3	<mark>11</mark>
<b>4 5</b>	484
5	643
6 7	16
	84
8	
9	99
10	

$$(H(X) \% ts +F(i))) \% ts$$

$$X\%11 + 0^{2=}$$

$$X\%11 + 1^{2}$$

$$(X\%11 + 2^2)\%11 = 4$$

5 collision

$$(X\%11 + 5^2) \frac{\%11}{}$$

#### Exercise

• Question: You task is to hash some keys in a table of size 11 using the Hash Function = Key % TableSize.

For collision resolution use the technique of **quadratic probing**. The probing function that you will use is

- $h(K) i^2$ ,  $h(K) + i^2$  for i = 1, 2, ..., (TableSize 1)/2
- For first collision of an Input\_key K use h(K) 1, for second collision of K use h(K) + 1, for third use h(K) 4, for fourth use h(K) + 4 so on....
- Insert the following keys in the given hash table 16, 66, 99, 11, 88,132

0	605
1	
2	
3	
1 2 3 4 5 6 7	484
5	643
6	
7	84
8	
9	
10	

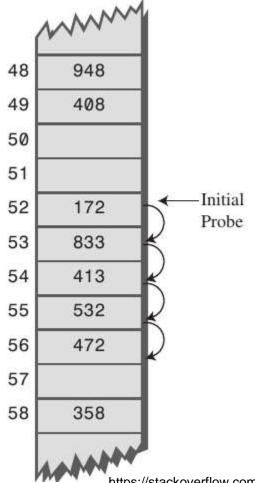
## Open Addressing

- In an open addressing hashing system, if a collision occurs, alternative cells are tried until an empty cell is found.
- More formally, cells  $h_0(X)$ ,  $h_1(X)$ ,  $h_2(X)$ , ... are tried in succession, where  $h_i(X)=(Hash(X)+F(i))$  mod TableSize, with F(0)=0.
- There are three common collision resolution strategies, namely
  - Linear Probing,
  - Quadratic Probing, and
  - Double Hashing.

The load factor for a hash table in open addressing is that it should be less than half filled.

## Quadratic Probing

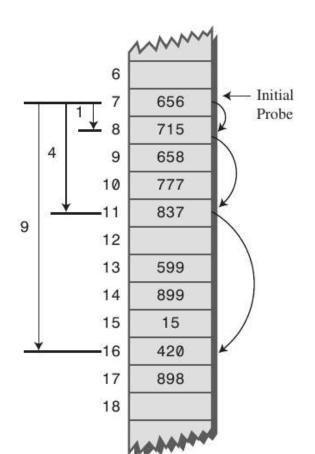
Quadratic probing eliminates primary clustering.



**Primary clustering** is the tendency for a collision resolution scheme to create long runs of filled slots near the **hash** position of keys.

## Quadratic Probing

 Quadratic probing eliminates primary clustering but causes secondary clustering.



**Secondary clustering** is the tendency for a collision resolution scheme to create long runs of filled slots away from the **hash** position of keys.

If the primary **hash** index is x, probes go to x+1, x+4, x+9, x+16, x+25 and so on,

## Issue with quadratic formula

- H(K)+1, H(K)+4, H(K)+9, . . . , H(K)+ (TSize-1)<sup>2</sup>
- Covers only half of the table

 The second half of the sequence repeats the first half in the reverse order

- For example, if TSize = 19 and H(K) = 9, then the sequence is
- 9,10,13,18,6,15,7,1,16,14,14,16,1,7,15,6,18,13,10

$$h(K) + i^2$$
,  $h(K) - i^2$  for  $i = 1, 2, ..., (TSize - 1)/2$ 

Including the first attempt to hash *K*, this results in the sequence:

1

$$h(K)$$
,  $h(K) + 1$ ,  $h(K) - 1$ ,  $h(K) + 4$ ,  $h(K) - 4$ , ...,  $h(K) + (TSize - 1)^2/4$ ,  $h(K) - (TSize - 1)^2/4$ 

- For example, if TSize = 19 and H(K) = 9, then the sequence is
- 9,10,8,13,5,18,0,6,12,15,3,11,1,17,16,2,14,4

## Quadratic Probing

Secondary clustering is a slight theoretical blemish.

• Simulation results suggest that it generally causes less than an extra half probe per search.

• Double Hashing eliminates it but at the cost of computing an extra hash function.

- The last collision resolution method we will examine is Double hashing.
- For double hashing, one popular choice is F(i)=i\*hash<sub>2</sub>(X)
  - This formula says that we apply a second hash function to X and probe at a distance hash<sub>2</sub>(X), 2hash<sub>2</sub>(X), ..., and so on.
- A function such as hash<sub>2</sub>(X)=R-(X mod R), with R a prime smaller than TableSize, will work well. Here, R is set to 7.

- It works on a similar idea to linear and quadratic probing.
- Use a big table and hash into it.
- Whenever a collision occurs, choose another spot in table to put the value.
- The difference here is that instead of choosing next opening, a second hash function is used to determine the location of the next spot.

- For example, given hash function H1 and H2 and key.
   do the following:
  - Check location hash<sub>1</sub>(key). If it is empty, put record in it.
  - If it is not empty calculate hash2(key).
  - Check if hash<sub>1</sub>(key)+hash<sub>2</sub>(key) is empty, if it is, put it in
  - Repeat with hash<sub>1</sub>(key)+2hash<sub>2</sub>(key), hash<sub>1</sub>(key)+3hash<sub>2</sub>(key) and so on, until an opening is found.
  - Like quadratic probing, you must take care in choosing hash<sub>2</sub>
  - hash<sub>2</sub> CANNOT return 0 it should be such that all cells will be probed eventually.

Example: Keys are {89, 18, 49, 58, 69}.

	Empty
0	
1	
2	
3	
4	
5	
6	
7	
8	
9	

Hash(X)+F(i)) mod TableSize

F(i)=i\*hash<sub>2</sub>(X)

hash<sub>1</sub>(X)= X mod TableSize hash<sub>2</sub>(X)=R-(X mod R), with R a prime smaller than TableSize,

Example: Keys are {89, 18, 49, 58, 69}.

	Empty
0	
1	
2	
3	
4	
5	
6	
7	
8	
9	89

Hash(X)+F(i)) mod TableSize

 $F(i)=i*hash_2(X)$ 

 $hash_1(X) = X \mod TableSize$   $hash_2(X) = R - (X \mod R)$ , with R a prime smaller than TableSize,

Example: Keys are {89, 18, 49, 58, 69}.

	Empty
0	
1	
2	
3	
4	
5	
6	
7	
8	18
9	89

Hash(X)+F(i)) mod TableSize

F(i)=i\*hash<sub>2</sub>(X)

 $hash_1(X) = X \mod TableSize$   $hash_2(X) = R - (X \mod R)$ , with R a prime smaller than TableSize,

Example: Keys are {89, 18, 49, 58, 69}.

	Empty
0	
1	
2	
3	
4	
5	
6	49
7	
8	18
9	89

Hash(X)+F(i)) mod TableSize

F(i)=i\*hash<sub>2</sub>(X)

hash<sub>1</sub>(X)= X mod TableSize hash<sub>2</sub>(X)=R-(X mod R), with R a prime smaller than TableSize,

hash<sub>1</sub>(X)= 49 mod 10 hash<sub>2</sub>(X)= 7 - (49 mod 7), with R = 7

Hash(49) = 9 + 7 = 16%10 = 6

Example: Keys are {89, 18, 49, 58, 69}.

	Empty		
0			
1			
2			
3	58		
4			
5			
6	49		
7			
8	18		
9	89		

Hash(X)+F(i)) mod TableSize

F(i)=i\*hash<sub>2</sub>(X)

hash<sub>1</sub>(X)= X mod TableSize hash<sub>2</sub>(X)=R-(X mod R), with R a prime smaller than TableSize,

$$Hash(49) = 8 + 5 = 13\%10 = 3$$

Example: Keys are {89, 18, 49, 58, 69}.

	Empty		
0	69		
1			
2			
3	58		
4	79		
5			
6	49		
7			
8	18		
9	89		

Hash(X)+F(i)) mod TableSize

hash<sub>1</sub>(X)= X mod TableSize hash<sub>2</sub>(X)=R-(X mod R), with R a prime smaller than TableSize,

$$Hash(69) = 9 + 5 = 14\%10 = 4$$

	Empty	After 89	After 18	After 49	After 58	After 69
0						69
1						
2						
3					58	58
4						
5						
6				49	49	49
7						
8			18	18	18	18
9		89	89	89	89	89

# A good hash function should

*Minimize* collisions.

Be *easy* and *quick* to compute.

Distribute key values evenly in the hash table.

Use all the information provided in the key.

- If hash function transforms different keys into different numbers, it is called a **perfect hash function**.
- To create a perfect hash function, the tableSize has to be at least equal to the number of elements being hashed.

If the input keys are integers, then *Key* mod *TableSize* is generally a reasonable strategy, where *TableSize* should be prime

1. Division Remainder (using the table size as the divisor)

Key mod TableSize

where TableSize should be prime

#### 2. Truncation or Digit/Character Extraction

- · Works based on the distribution of digits or characters in the key.
- More evenly distributed digit positions are extracted and used for hashing purposes.
- For instance, students IDs or ISBN codes may contain common subsequences that can increase the likelihood of collision.
- To map the key 25936715 to a range between 0 and 99
  - Take 4<sup>th</sup> and 7<sup>th</sup> digit → 3 and 1. Truncate the rest
  - Place key at index 31
- Pros\Cons
  - Very fast but digits/characters distribution in keys may not be very even.

#### 3. Folding

- Split keys into two or more parts and combine the parts to form the hash addresses.
- To map the key 25936715 to a range between 0 and 9999, we can:
  - · split the number into two as 2593 and 6715 and
  - · add these two to obtain 9308 as the hash value.

#### Pros\Cons

- Very useful if we have keys that are very large.
- Fast and simple especially with bit patterns.
- A great advantage is ability to transform non-integer keys into integer values.

#### 4. Radix Conversion

- Transforms a key into another number base to obtain the hash
- Typically use number base other than base 10 and base 2 to calculate the hash addresses.
- To map the key 55354 in the range 0 to 9999 using base 11 we have:

$$55354_{10} = 38652_{11}$$

• We may truncate the high-order 3 to yield 8652 as our hash address within 0 to 9999.

#### 5. Mid-Square

- The key is squared, and the middle part of the result taken as the hash value.
- To map the key 3121 into a hash table of size 1000
  - we square it 31212 = 9740641
  - extract 406 as the hash value.

#### Pros\Cons

- Works well if the keys do not contain a lot of leading or trailing zeros.
- Non-integer keys must be preprocessed to obtain corresponding integer values.

- Usually, the keys are strings; in this case, the hash function needs to be chosen carefully.
- One option is to add up the ASCII values of the characters in the string.

```
int Hash(char *Key, int TableSize)
{
   unsigned int HashVal=0;
   while (*Key!='\0')
     HashVal+=*Key++;
   return HashVal%TableSize;
}
```

- If the table size is large, the function does not distribute the keys well. WHY?
- For instance, suppose that *TableSize*=10007 (prime number), and all keys are eight or fewer characters long.
  - Since a char has an integer value that is always at most 127,
  - the hash function can only assume values between 0 and 1016, which is 127\*8.
- This is clearly not an equitable distribution!

```
int Hash(char *Key, int TableSize){
  return (Key[0]+27*Key[1]+729*Key[2]) % TableSize;
}
```

- This hash function assumes that Key has at least two characters plus the NULL terminator.
- The value 27 represents the number of letters in the English alphabet, plus the blank, and 729 is 27<sup>2</sup>.
- This function examines only the first three characters, but if these are random and the table size is 10,007, as before, then we would expect a reasonably equitable distribution.

- Unfortunately, English is not random.
- Although there are 26<sup>3</sup>=17,576 possible combinations of three characters (ignoring blanks), a check of a reasonably large on-line dictionary reveals that the number of different combinations is actually only 2,851.
- Even if none of these combinations collide, only 28 percent of the table can actually be hashed to.

#### Third Hash Function

```
int Hash(char *Key, int TableSize){
   for( i =0 to size(key))
     hash += (37^i)*Key[i];
   return hash % TableSize;
}
```

 This hash function involves all characters in the key and can generally be expected to distribute well

#### Third Hash Function

```
int Hash(char *Key, int TableSize){
   for( i =0 to size(key))
     hash += (37^i)*Key[i];
   return hash % TableSize;
}
```

 This hash function involves all characters in the key and can generally be expected to distribute well

$$h_k = k_0 + 37k_1 + 37^2k_2$$

#### Third Hash Function

```
/** A hash routine for string objects. */
unsigned int hash(const string & key, int tableSize){
   unsigned int hashVal = 0;
   for (char ch : key)
       hashVal = 37 * hashVal + ch;
   return hashVal % tableSize;
}
```

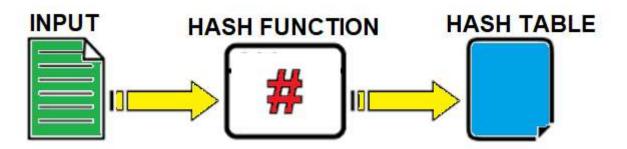
The code computes a polynomial function (of 37) by use of Horner's rule

$$h_k = k_0 + 37k_1 + 37^2k_2$$
 is by the formula  $h_k = (k_2) * 37 + k_1 * 37 + k_0$ .

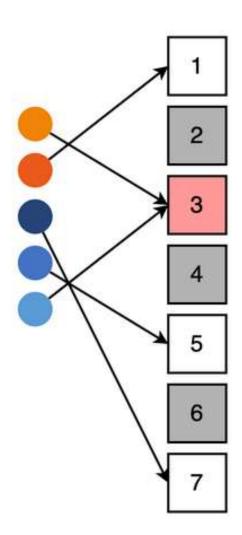
If the keys are very long, the hash function will take too long to compute.

A common practice is not to use all the characters.

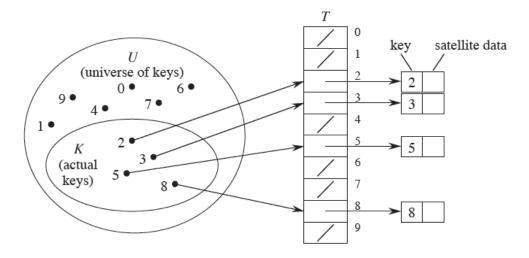
When very little is known about keys, a universal class of hash functions can be used



- A class of functions is universal when for any sample, a randomly chosen member of that class will be expected to distribute the sample evenly,
- and guarantee low probability of collisions



 H is universal if no pair of distinct keys are mapped into the same index by a randomly chosen function h with the probability equal to 1/TSize.



• In other words, there is one chance in *TSize* that two keys collide when a randomly picked hash function is applied.

One class of such functions is defined as follows.

For a prime number  $p \ge |keys|$ , and randomly chosen numbers a and b,

$$H = \{h_{a,b}(K): h_{a,b}(K) = ((aK+b) \bmod p) \bmod T \text{Size and } 0 \le a, b < p\}$$

- We can choose a and b randomly and fix it for one hashtable.
- So if everyone is using this class of universal hash function, they can have their own hash function because of different a and b

One class of such functions is defined as follows.

For a prime number  $p \ge |keys|$ , and randomly chosen numbers a and b,

$$H = \{h_{a,b}(K): h_{a,b}(K) = ((aK+b) \bmod p) \bmod T \text{Size and } 0 \le a, b < p\}$$

- However, we can use pseudo-random number generator to generate a and b for each key.
- The pseudo-random number generator take a seed to generate random sequence.
- For a particular seed they generate the same sequences...so for each key their will be a different hash function