

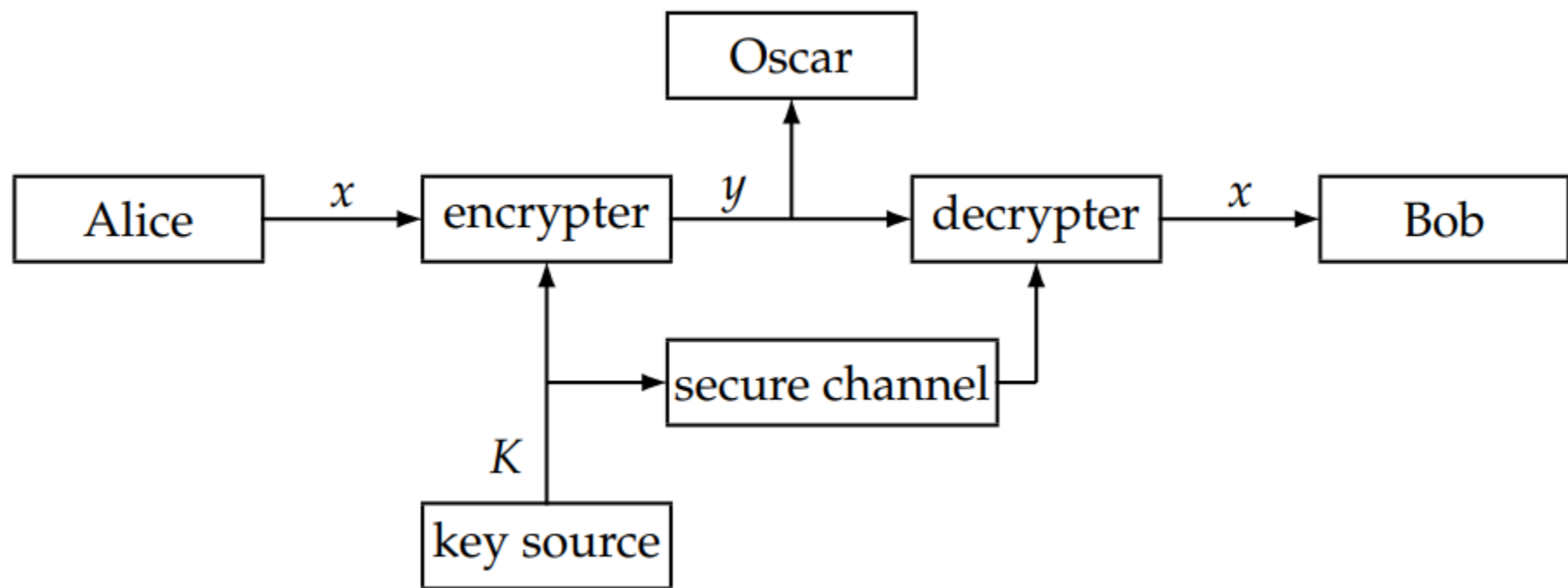
Cryptography

Lecture 4

Vahid Amin-Ghafari

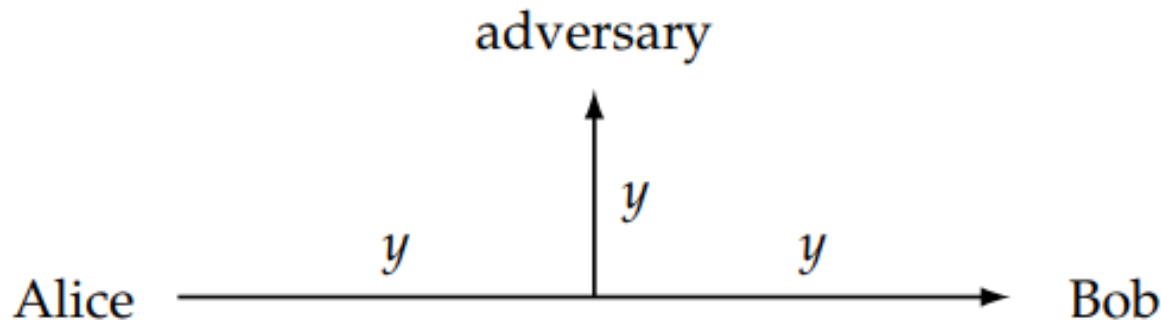
Vahidaming@cumt.edu.cn

- The fundamental objective of cryptography is to enable two people, usually referred to as **Alice** and **Bob**, to communicate over an insecure channel in such a way that an opponent, **Oscar**, cannot understand what is being said



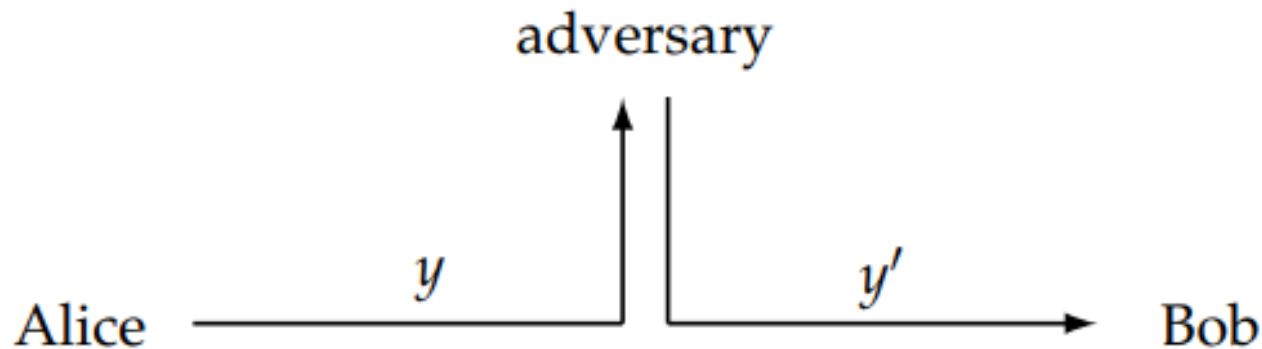
A passive adversary

- Cryptosystems provide **secrecy** (equivalently, **confidentiality**) against an eavesdropping adversary, which is often called a passive adversary.
- A passive adversary is assumed to be able to access whatever information is being sent from Alice to Bob;

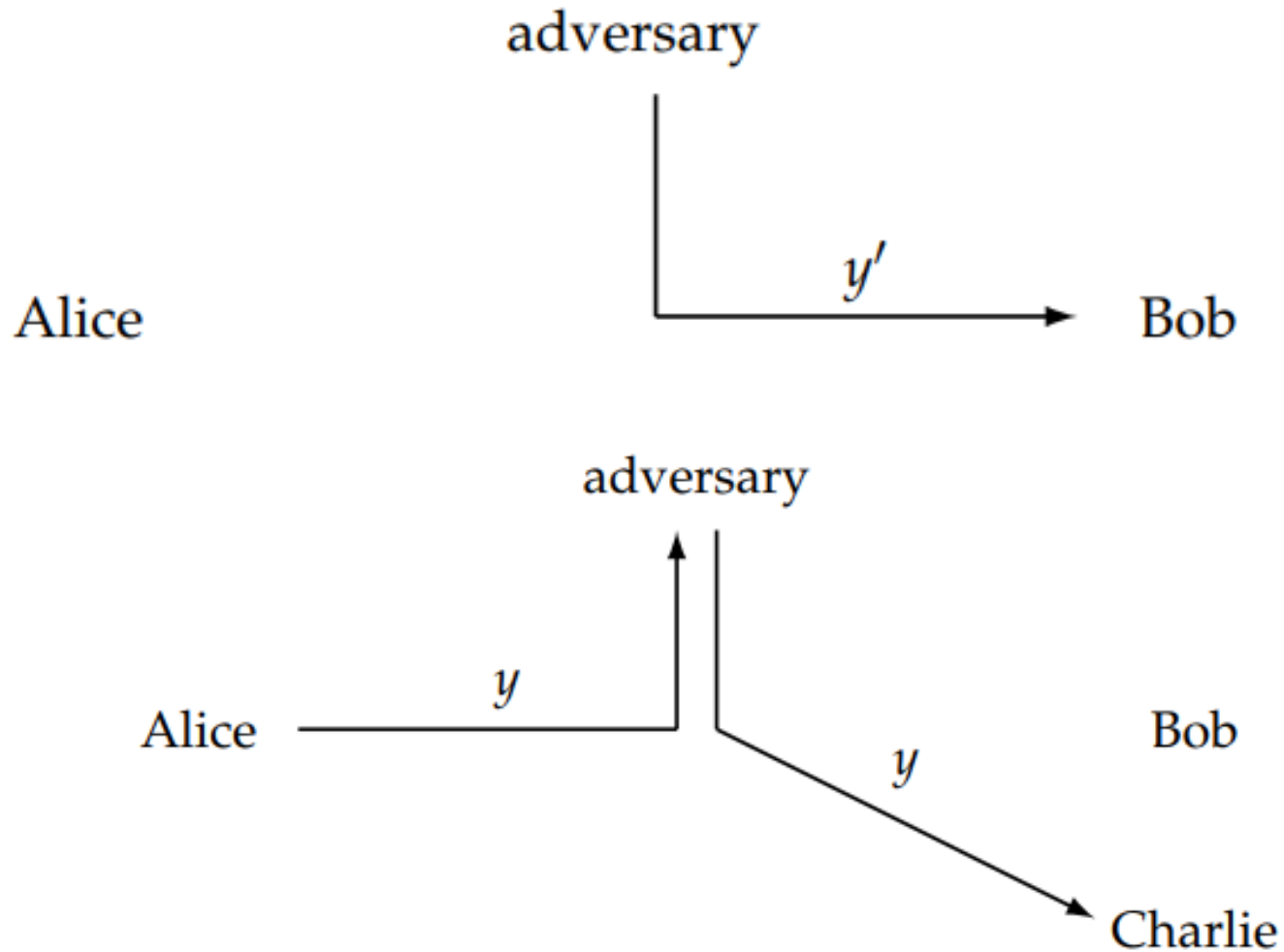


An active adversaries

- An active adversary is one who can alter information that is transmitted from Alice to Bob.



An active adversaries



Review

- Active and passive attacks
- Brute force (exhaustive search) attack
- Cryptanalysis (different types of attacks based on available data for attack)
- Private key (symmetric-key) cryptography
- Public key (asymmetric) cryptography

Cryptanalysis

- The general assumption that is usually made is that the **opponent, Oscar, knows the cryptosystem** being used. This is usually referred to as Kerckhoffs' Principle.
- The attack model specifies the information available to the adversary when he mounts his attack.

Cryptanalysis

- **Ciphertext-only attack:**

The opponent observes a string of **ciphertext**.

- **Known plaintext attack:**

The opponent observes a string of **plaintext**, and the corresponding ciphertext.

- **Chosen plaintext attack:**

The opponent has obtained temporary **access to the encryption machinery**. Hence he can choose a plaintext string, and produce the corresponding ciphertext string.

- **Chosen ciphertext attack**

The opponent has obtained temporary **access to the decryption machinery**. Hence he can choose a ciphertext string, and produce the corresponding plaintext string, x .

A chosen-plaintext attack

- In May 1942, US Navy cryptanalysts intercepted an encrypted message from the Japanese that they were able to partially decode. The result indicated that the Japanese were planning an attack on **AF**, where **AF** was a ciphertext fragment that the US was unable to decode.
- The US believed that **Midway Island** was the target. Unfortunately, their attempts to convince planners in Washington that this was the case were fruitless .
- The general belief was that **Midway** could not possibly be the target. **The Navy cryptanalysts devised the following plan: They instructed US forces at Midway to send a fake message that their freshwater supplies were low. The Japanese intercepted this message and immediately sent an encrypted message to their superiors that “AF is low on water”**

A chosen-plaintext attack

- The Navy cryptanalysts now had their proof that **AF** corresponded to **Midway**, and the US dispatched three aircraft carriers to that location. The result was that Midway was saved, and the Japanese incurred significant losses.
- This battle was a turning point in the war between the US and Japan in the Pacific.
- The Navy cryptanalysts here carried out a **chosen-plaintext attack**, as they were able to influence the Japanese to encrypt the word “**Midway**”
- If the Japanese encryption scheme had been secure against **chosen-plaintext attacks**, this strategy by the US cryptanalysts would not have worked

Shannon's Theory

- In 1949, Claude Shannon published a paper entitled Communication Theory of Secrecy Systems in the Bell Systems Technical Journal
- A great influence on the scientific study of cryptography

computational security

- This measure concerns the **computational effort** required to break a cryptosystem. We might define a cryptosystem to be computationally secure if the best algorithm for **breaking** it requires at least N operations, where N is some specified, very large number.
- The problem is that no known practical cryptosystem **can be proved to be secure under this definition**. In practice, people often study the computational security of a cryptosystem with respect to certain specific types of attacks (e.g., an exhaustive key search).
- Of course, security against **one specific type of attack** does not **guarantee security** against some other type of attack

Provable security

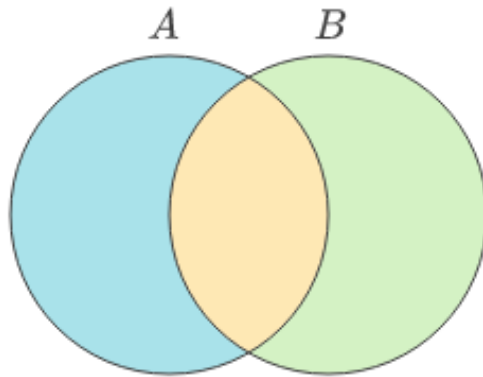
- Another approach is to provide evidence of security by means of a reduction.
- In other words, we show that if the cryptosystem can be “broken” in some specific way, then it would be possible to efficiently solve some **well studied problem** that is **thought to be difficult**. For example, it may be possible to prove a statement of the type “a given cryptosystem is secure if a given integer n cannot be factored.”
- Cryptosystems of this type are sometimes termed provably secure, but it must be understood that this approach only provides a proof of security relative to **some other problem**, **not an absolute proof of security**

Unconditional security

- This measure concerns the security of cryptosystems when there is **no bound placed on the amount of computation** that Oscar is allowed to do.
- A cryptosystem is defined to be unconditionally secure if it cannot be broken, even with **infinite computational resources**.

Perfect Secrecy

- we assume a specific one encryption
- A cryptosystem for all x such that the observed ciphertext is identical to the a priori probability that the plaintext is x .



■ $P(A)$
■ $P(B)$
■ $P(A \cap B)$

Conditional Probability Formula

$$P(A | B) = \frac{P(A \cap B)}{P(B)}$$

Probability that A occurs given that B has already occurred

) is for only

$P(x) = \Pr[x]$ probability that text y is

- Perfect secrecy means that Oscar can obtain **no information** about the plaintext by observing the ciphertext. (intersection (\cap) symbol, Joint events refer to events that occur simultaneously or together)

Defining secure encryption

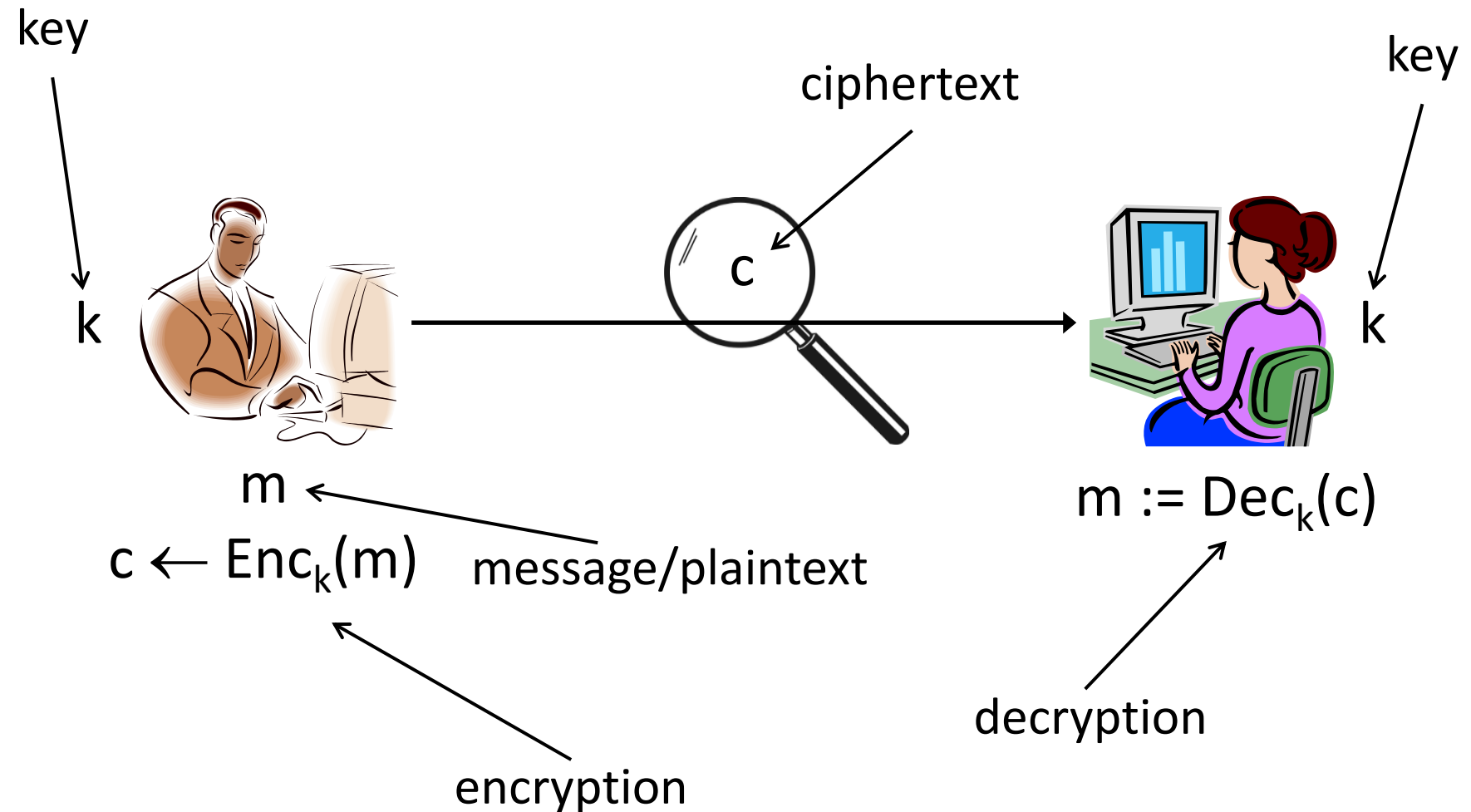
Crypto definitions (generally)

- Security guarantee/goal
 - What we want to achieve (or what we want to prevent the attacker from achieving)
- Threat model
 - What (real-world) capabilities the attacker is assumed to have

encryption scheme

- A *private-key encryption scheme* is defined by a message space \mathcal{M} and algorithms (Gen, Enc, Dec):
 - Gen (key-generation algorithm): generates k
 - Enc (encryption algorithm): takes key k and message $m \in \mathcal{M}$ as input; outputs ciphertext c
$$c \leftarrow \text{Enc}_k(m)$$
 - Dec (decryption algorithm): takes key k and ciphertext c as input; outputs m .
$$m := \text{Dec}_k(c)$$

Private-key encryption



Threat models for encryption

- Ciphertext-only attack
 - One ciphertext or many?
- Known-plaintext attack
- Chosen-plaintext attack
- Chosen-ciphertext attack

Goal of secure encryption?

- How would you define what it means for encryption scheme (Gen, Enc, Dec) over message space \mathcal{M} to be secure?
 - Against a (single) ciphertext-only attack

Secure encryption?

- “Impossible for the attacker to learn the key”
 - The key is a *means to an end*, not the end itself
 - Necessary (to some extent) but not sufficient
 - Easy to design an encryption scheme that **hides the key completely**, but is insecure
 - Can design schemes where most of the key is leaked, but the scheme is still secure?

Secure encryption?

- “Impossible for the attacker to learn any character of the plaintext from the ciphertext”
 - What if the attacker is able to learn (other) partial information about the plaintext?
 - E.g., salary is greater than \$75K
 - What if the attacker guesses a character correctly, or happens to know it?

The right definition

- “Regardless of any *prior* information the attacker has about the plaintext, the ciphertext should leak no *additional* information about the plaintext”
 - How to formalize?

Perfect secrecy

Notation

- \mathcal{K} (key space) – set of all possible keys
- \mathcal{C} (ciphertext space) – set of all possible ciphertexts

Probability distributions

- Let M be the random variable denoting the value of the message
 - M ranges over \mathcal{M}
 - Context dependent!
 - Reflects the likelihood of different messages being sent, given the attacker's prior knowledge
 - E.g.,
$$\Pr[M = \text{"attack today"}] = 0.7$$
$$\Pr[M = \text{"don't attack"}] = 0.3$$

Probability distributions

- Let K be a random variable denoting the key
 - K ranges over \mathcal{K}
- Fix some encryption scheme (Gen, Enc, Dec)
 - Gen defines a probability distribution for K :
$$\Pr[K = k] = \Pr[\text{Gen outputs key } k]$$
 - Generally the uniform distribution

Probability distributions

- Assume random variables M and K are *independent*
 - I.e., parties don't pick the key based on the message, or the message based on the key
- In general, this assumption holds
- If it doesn't hold, can cause problems

Probability distributions

- Fix some encryption scheme (Gen, Enc, Dec), and some distribution for M
- Consider the following (randomized) experiment:
 1. Generate a key k using Gen
 2. Choose a message m , according to the given distribution
 3. Compute $c \leftarrow \text{Enc}_k(m)$
- This defines a distribution on the ciphertext!
- Let C be a random variable denoting the value of the ciphertext in this experiment

Example 1

- Consider the shift cipher
 - So for all $k \in \{0, \dots, 25\}$, $\Pr[K = k] = 1/26$
- Say $\Pr[M = 'a'] = 0.7$, $\Pr[M = 'z'] = 0.3$
- What is $\Pr[C = 'b']$?
 - Either $M = 'a'$ and $K = 1$, or $M = 'z'$ and $K = 2$
 - $\Pr[C='b'] = \Pr[M='a'] \cdot \Pr[K=1] + \Pr[M='z'] \cdot \Pr[K=2]$
 $= 0.7 \cdot (1/26) + 0.3 \cdot (1/26)$
 $= 1/26$

A	B	C	D	E	F	G	H	I	J
1	2	3	4	5	6	7	8	9	10
K	L	M	N	O	P	Q	R	S	T
11	12	13	14	15	16	17	18	19	20
U	V	W	X	Y	Z				
21	22	23	24	25	26				

- Consider the joint distribution on M and C

$$\Pr[M = \text{'one'}] = \frac{1}{2}, \Pr[M = \text{'ten'}] = \frac{1}{2}$$

- $\Pr[C = \text{'rqh'}] = ?$
 $= \Pr[C = \text{'rqh'} \mid M = \text{'one'}] \cdot \Pr[M = \text{'one'}]$
 $+ \Pr[C = \text{'rqh'} \mid M = \text{'ten'}] \cdot \Pr[M = \text{'ten'}]$
 $= \frac{1}{26} \cdot \frac{1}{2} + 0 \cdot \frac{1}{2} = \frac{1}{52}$

Perfect secrecy (informal)

- “Regardless of any *prior* information the attacker has about the plaintext, the ciphertext **should leak no *additional* information about the plaintext**”

Perfectly Secret Encryption, page 27 of textbook:
“Introduction to Modern Cryptography, 3rd
edition,” Katz and Lindell

Perfect secrecy (informal)

- Attacker's information about the plaintext =
attacker knows the *distribution* of M
- Perfect secrecy: observing the ciphertext
should not change the attacker's knowledge
about the distribution of M

Perfect secrecy (formal)

- Encryption scheme (Gen, Enc, Dec) with message space \mathcal{M} and ciphertext space \mathcal{C} is *perfectly secret* if for every distribution over \mathcal{M} , every $m \in \mathcal{M}$, and every $c \in \mathcal{C}$ with $\Pr[C=c] > 0$, it holds that

$$\Pr[M = m \mid C = c] = \Pr[M = m].$$

- I.e., the **distribution of M does not change, even conditioned on observing the ciphertext**

Example 3

- Consider the shift cipher, and the distribution $\Pr[M = \text{'one'}] = \frac{1}{2}$, $\Pr[M = \text{'ten'}] = \frac{1}{2}$
- Take $m = \text{'ten'}$ and $c = \text{'rqh'}$
- $\Pr[M = \text{'ten'} \mid C = \text{'rqh'}] = ?$
 $= 0$
 $\neq \Pr[M = \text{'ten'}]$

Bayes's theorem

- $\Pr[A \mid B] = \Pr[B \mid A] \cdot \Pr[A] / \Pr[B]$

Bayes' theorem may be derived from the definition of conditional probability:

$$P(A \mid B) = \frac{P(A \cap B)}{P(B)}, \text{ if } P(B) \neq 0,$$

where $P(A \cap B)$ is the probability of both A and B being true. Similarly,

$$P(B \mid A) = \frac{P(A \cap B)}{P(A)}, \text{ if } P(A) \neq 0,$$

Example 4

- Shift cipher;
 $\Pr[M='hi'] = 0.3,$
 $\Pr[M='no'] = 0.2,$
 $\Pr[M='in'] = 0.5$
- $\Pr[M = 'hi' \mid C = 'xy'] = ?$
 $= \Pr[C = 'xy' \mid M = 'hi'] \cdot \Pr[M = 'hi'] / \Pr[C = 'xy']$

Example 4, continued

- $\Pr[C = \text{'xy'} \mid M = \text{'hi'}] = 1/26$
- $\Pr[C = \text{'xy'}]$
 - $= \Pr[C = \text{'xy'} \mid M = \text{'hi'}] \cdot 0.3 + \Pr[C = \text{'xy'} \mid M = \text{'no'}] \cdot 0.2$
 $+ \Pr[C = \text{'xy'} \mid M = \text{'in'}] \cdot 0.5$
 - $= (1/26) \cdot 0.3 + (1/26) \cdot 0.2 + 0 \cdot 0.5$
 - $= 1/52$

Example 4, continued

- $\Pr[M = \text{'hi'} \mid C = \text{'xy'}] = ?$
= $\Pr[C = \text{'xy'} \mid M = \text{'hi'}] \cdot \Pr[M = \text{'hi'}] / \Pr[C = \text{'xy'}]$
= $(1/26) \cdot 0.3 / (1/52)$
= 0.6
 $\neq \Pr[M = \text{'hi'}]$

Conclusion

- The shift cipher is not perfectly secret!
 - At least not for 2-character messages
- How to construct a perfectly secret scheme?

One-time pad

- Patented in 1917 by Vernam
 - Recent historical research indicates it was invented (at least) 35 years earlier
- Proven perfectly secret by Shannon (1949)