

# CPSC 471: Computer Communications

## Resource Allocation and Congestion Control

Figures from [Computer Networks: A Systems Approach](#), version 6.02dev  
(Larry L. Peterson and Bruce S. Davie)

You may not distribute/post these lecture slides without written permission  
from Dr. Mike Turi, ECE Dept., California State University, Fullerton

# Introduction

- ⦿ Packets contend at a router for use of a link
  - Each packet placed in a queue
- ⦿ Congestion control and resource allocation are related
  - Congestion can be avoided if network allocates resources effectively
  - Easier to recover from congestion once it happens

# Resource Allocation Definition

- ⦿ How network elements try to meet demands for network resources
  - E.g., link bandwidth and router/switch buffer space
- ⦿ Not isolated to a single level of a protocol hierarchy

# Congestion Control Definition

- ⦿ Efforts made by network nodes to prevent or respond to overload conditions
  - Usually involve “fairness”
- ⦿ Flow control
  - Keep a fast sender from overrunning a slow receiver
- ⦿ Congestion control
  - Keep senders from sending too much data into the network because of a lack of resources at some point

# Resource Allocation Models

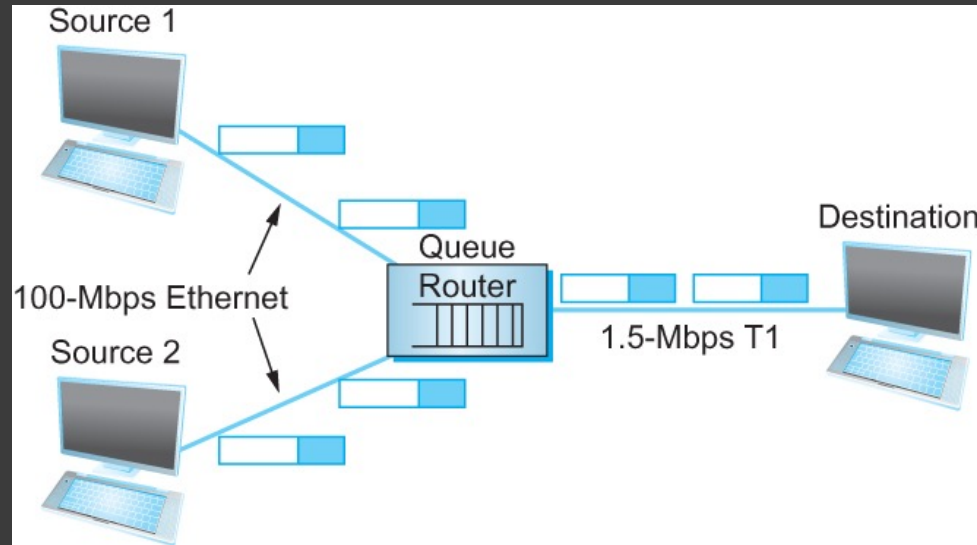


Figure 152

- Packet-switched network/internetwork
- Connection-oriented networks allocate network resources during connection setup

# Flows

- Sequence of packets sent between a source/destination pair
  - Follows the same route through the network
  - Datagrams switched independently not completely independent

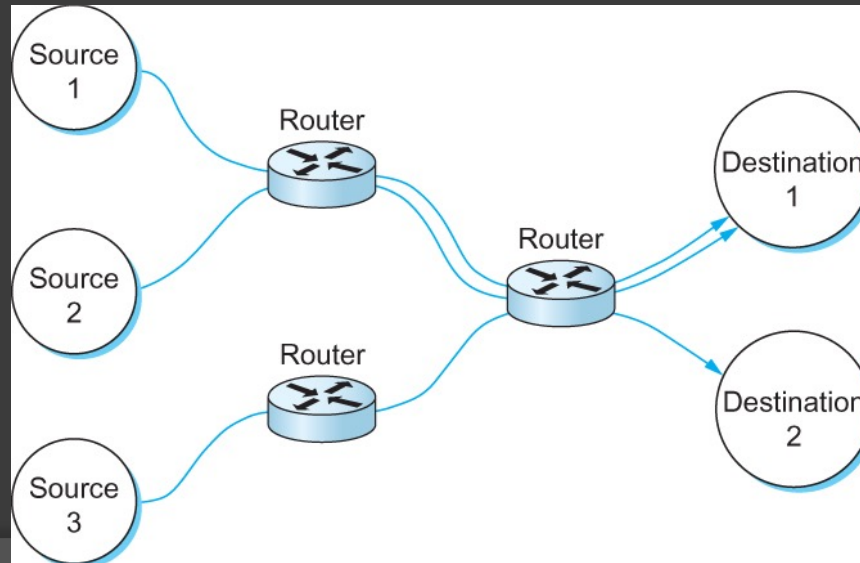


Figure 153

# Flows continued

- ⦿ May wish to maintain state info for each flow
- ⦿ Flow may be
  - Implicitly defined
  - Explicitly established
- ⦿ Service Model
  - Best-effort
  - Qualities of Service (QoS)

# Resource Allocation Mechanisms

- ⦿ Router-centric approach
  - Address resource allocation from inside the network
- ⦿ Host-centric approach
  - Address resource allocation from edges of the network
- ⦿ Not mutually exclusive



# Resource Allocation

## Mechanisms continued

- ⦿ Reservation-based approach
  - End host asks the network for a certain amount of capacity to be allocated for a flow
- ⦿ Feedback-based approach
  - End hosts send data then adjust sending rate according to feedback they receive
  - Feedback can be
    - Explicit
    - Implicit

# Resource Allocation

## Mechanisms continued

- ⦿ Window-based approach
  - Window advertisement can be used to reserve buffer space
- ⦿ Rate-based approach
  - How many bits/second receiver or network can absorb

# Summary of Resource Allocation Mechanisms

- ◎ Two general strategies
  - Best-effort service model
    - Feedback
    - Host-centric
    - Window-based
    - General strategy adopted by the Internet
  - QoS-based service model
    - Reservation
    - Likely router-centric
    - Rate-based

# Evaluation Criteria

- Network effectively and fairly allocates its resources
- Effective resource allocation
  - $\text{Power} = \text{Throughput} / \text{Delay}$

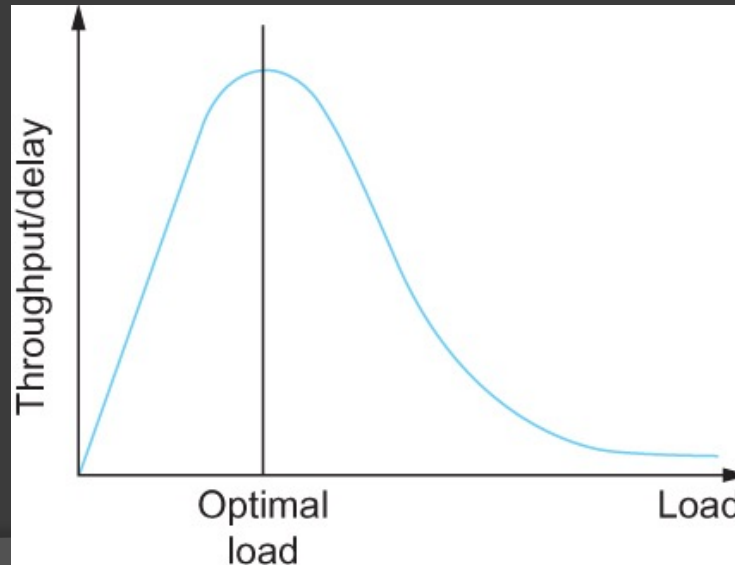


Figure 154

# Evaluation Criteria continued

- ⦿ Fair resource allocation
  - Equal does not necessarily mean fair

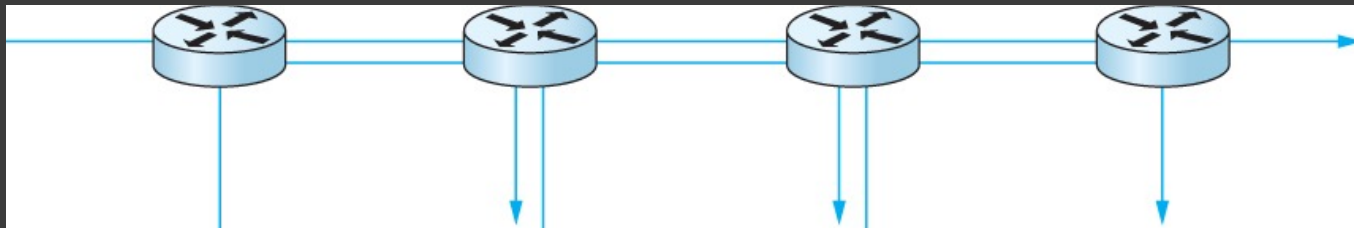


Figure 155

# Queuing Disciplines

- ⦿ Governs how packets are buffered while waiting to be transmitted
- ⦿ Scheduling Discipline
- ⦿ Drop Policy

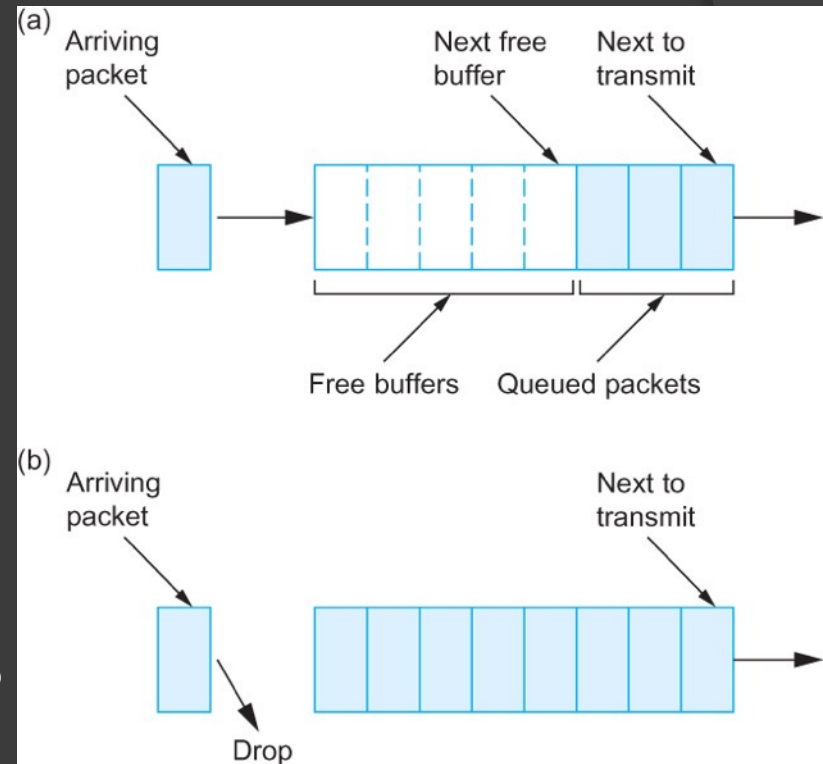
# FIFO

- First-come, first-served
- Droptail queue

- FIFO with tail drop
  - Simplest of all queuing algorithms

- Alternative:
  - Priority Queue

Figure 156



# Fair Queuing

- FIFO does not separate packets by flow
- FQ maintains a separate queue for each flow handled by the router

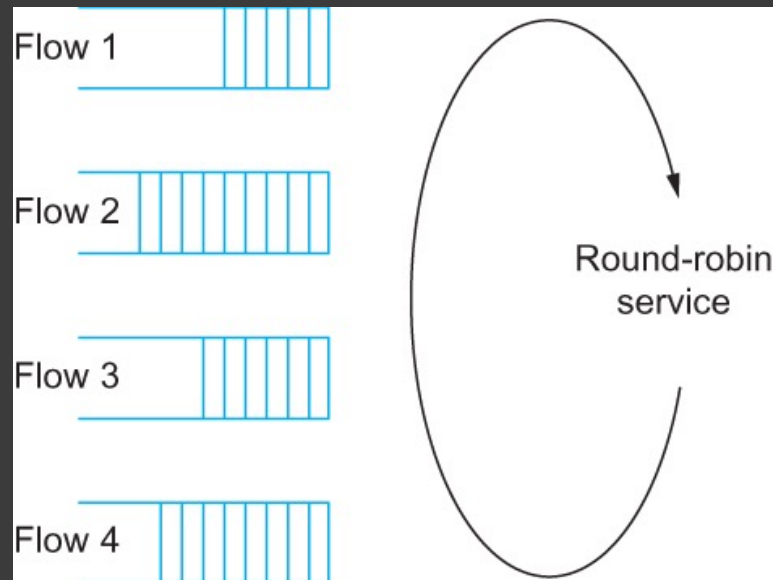


Figure 157



# Fair Queuing continued

- ⦿ Packets serviced at the router may not be the same length
- ⦿ Uses finishing time to sequence the packets for transmission

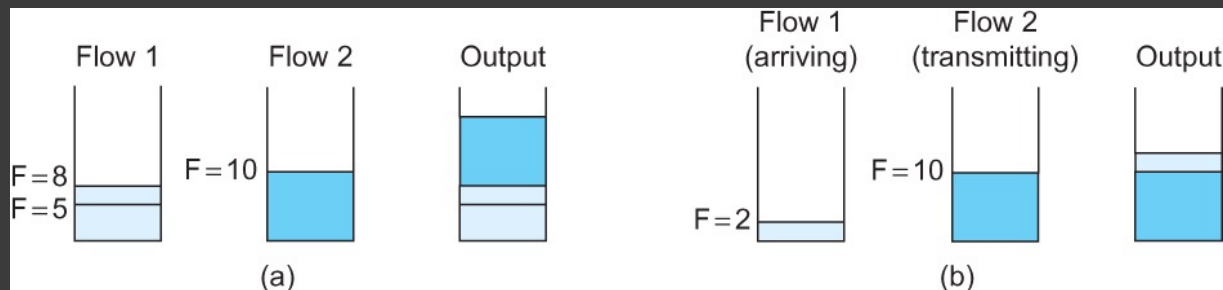


Figure 158

# Fair Queuing continued

- ⦿ Work conserving
  - Link is never left idle as long as there is at least one packet in the queue
- ⦿ Provides a guaranteed minimum share of bandwidth to each flow
- ⦿ Weighted Fair Queuing (WFQ)
  - Weight specifies how many bits to transmit each time the router services queue

# TCP Congestion Control

- ⦿ Each TCP source
  - Determines how many packets can safely be in transit
  - Uses the arrival of an ACK to signal a packet has left the network
- ⦿ Additive Increase/Multiplicative Decrease
- ⦿ Slow Start
- ⦿ Fast Retransmit and Fast Recovery

# Additive Increase/ Multiplicative Decrease (AIMD)

- ⦿ TCP maintains a *CongestionWindow*
  - Limits how much data the source can have in transit
- ⦿ Source limited by *CongestionWindow* or *AdvertisedWindow*
- ⦿ How to set *CongestionWindow*?

# Multiplicative Decrease

- ⦿ TCP interprets timeouts as congestion
- ⦿ Halves *CongestionWindow* when timeout occurs
  - *CongestionWindow*  $\geq$  MSS

# Additive Increase

- ⦿ Must take advantage of new capacity in the network
  - Must increase CongestionWindow
- ⦿ Increment CongestionWindow when ACKs received from last packets
- ⦿  $\text{Increment} = \text{MSS} \times (\text{MSS} / \text{CongestionWindow})$
- ⦿  $\text{CongestionWindow} += \text{Increment}$

# Additive Increase

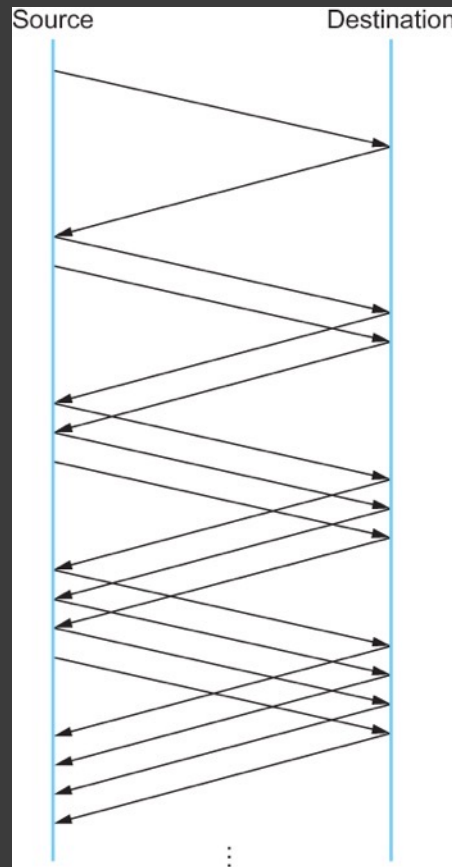


Figure 159

# AIMD

- Source reduces congestion window at a faster rate than increasing it
- AIMD is necessary condition for stable congestion-control

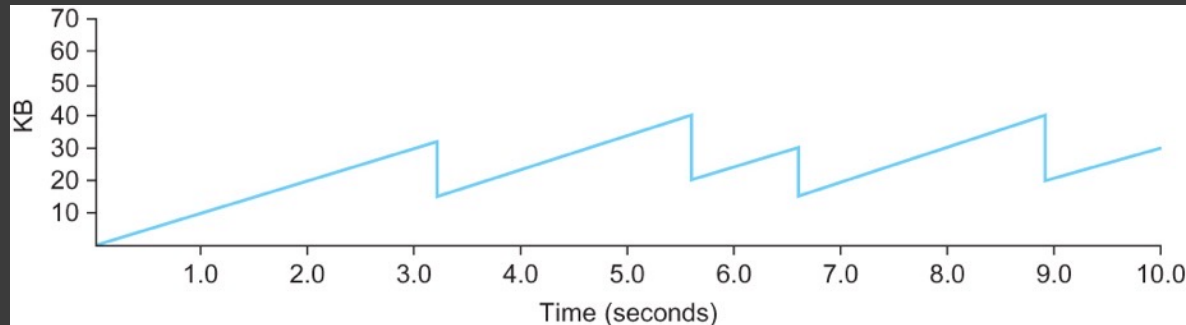


Figure 160



# Slow Start

- ⦿ Rapidly increases congestion window from a cold start
  - Doubles the number of packets in transit every RTT
- ⦿ Occurs at
  - Beginning of a connection
  - When the connection goes dead waiting for a timeout to occur

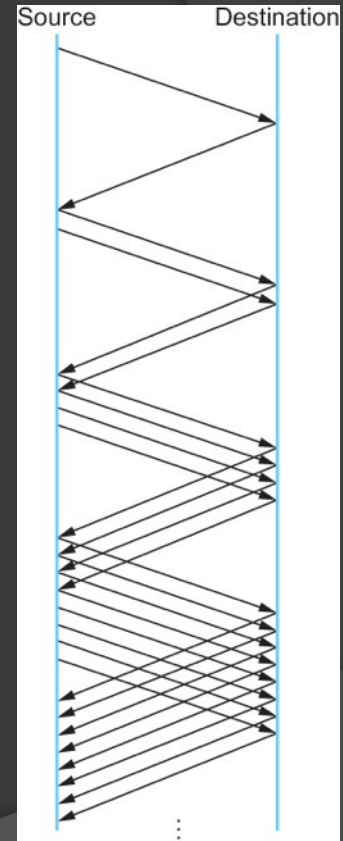


Figure 161

# Slow Start

- ⦿ At beginning of connection
  - Doubles congestion window each RTT until loss
    - Timeout causes multiplicative decrease
- ⦿ When connection goes dead waiting for timeout
  - Restarts the flow of data
  - Already has a value for congestion window
    - Use slow start to reach CongestionThreshold

# Slow Start Behavior

- Blue line: Congestion Window
- Hash marks: A packet is transmitted
- Vertical bars: Time when retransmitted packet was 1<sup>st</sup> transmitted
- Bullets: Timeouts

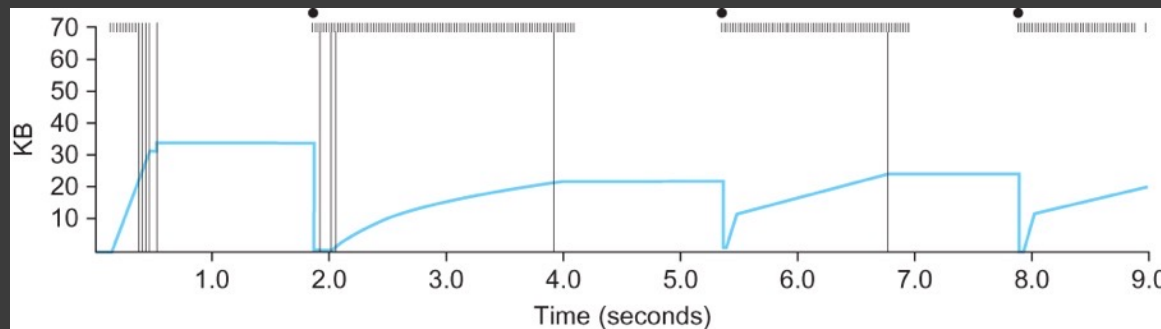


Figure 162

# Slow Start

- ⦿ Many packets lost during initial slow start period
- ⦿ TCP attempts to learn how much network bandwidth is available
- ⦿ Linear increase vs. Exponential increase

# Fast Retransmit

- ⦿ An enhancement to original proposal for TCP congestion control
- ⦿ Triggers quicker retransmission of a dropped packet
  - Sooner than waiting for a timeout

# Duplicate ACKs

- ⦿ If packet arrives out-of-order
  - Receiver sends same ACK as last time
  - What does this tell the sender?
- ⦿ TCP waits for three duplicate ACKs before retransmitting the packet

# Fast Retransmit Behavior

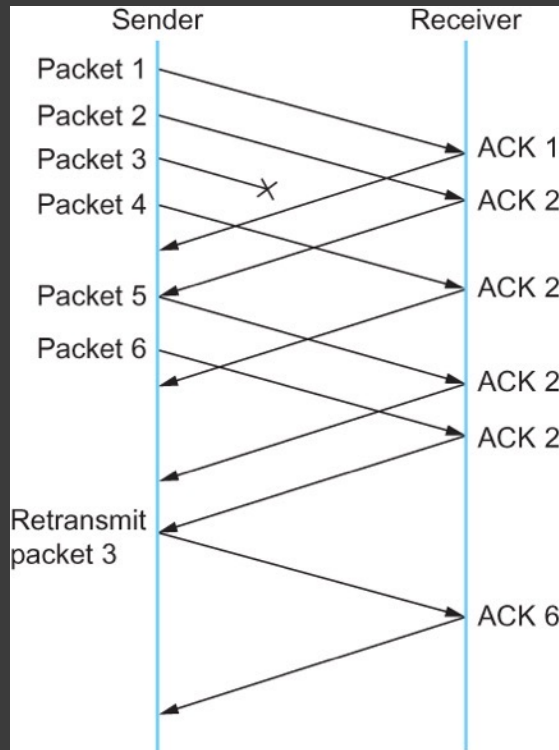


Figure 163

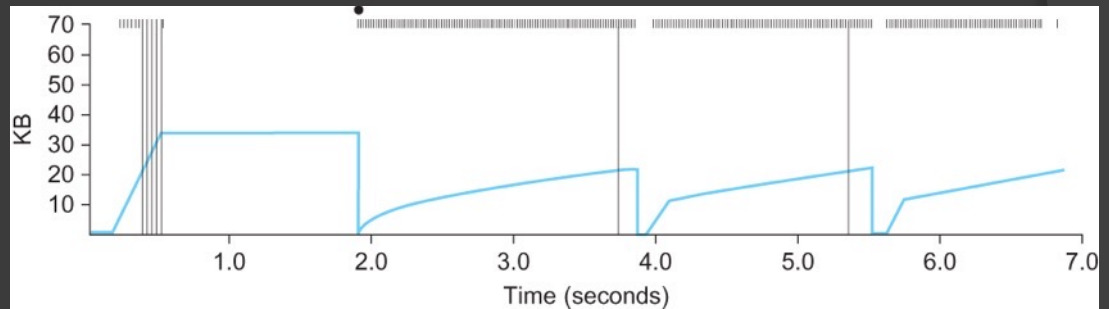


Figure 164

# Fast Recovery

- ◎ When fast retransmit signals congestion
  - Use ACKs that are still in transit to clock sending packets
  - Don't set congestion window to one packet and run slow start



# Congestion-Avoidance Mechanisms

- ⦿ TCP increases the load until losses occur and are detected
  - Controls congestion instead of avoiding it

# DECbit

- ⦿ Each router monitors load and sets a congestion bit in packet
- ⦿ Host looks at how many of last window's packets had bit set
  - $< 50\%$ 
    - Increase congestion window by one packet
  - $\geq 50\%$ 
    - Decrease congestion window by 12.5%

# Random Early Detection (RED)

Figure 167

- Router drops a source's packet early
  - Implicit notification
- Drops a packet based on drop probability
  - Based on average queue length

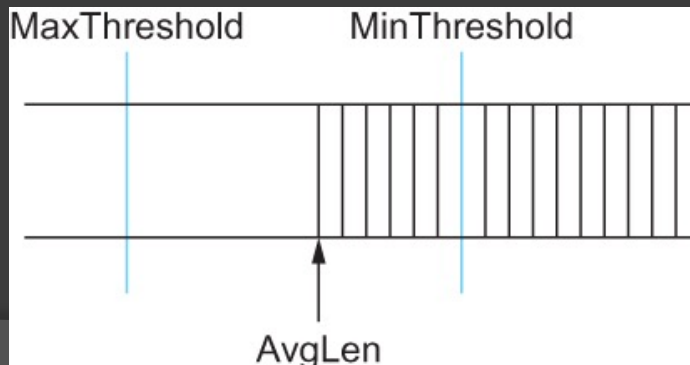
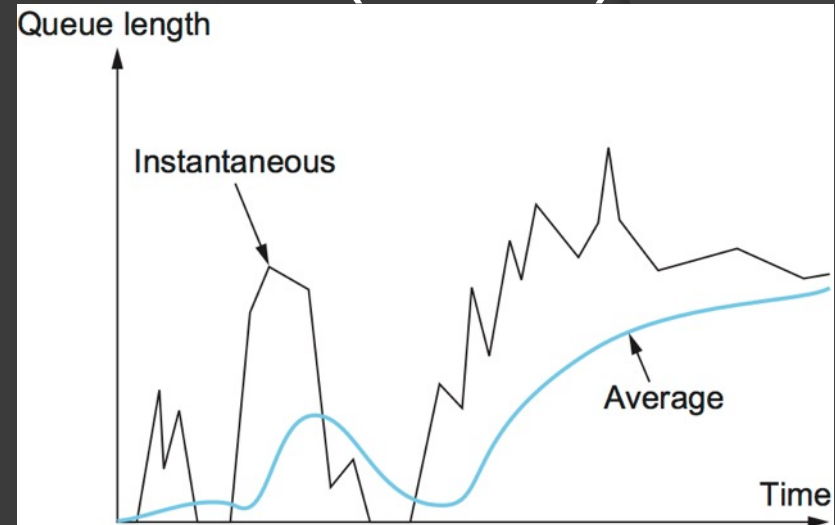


Figure 168

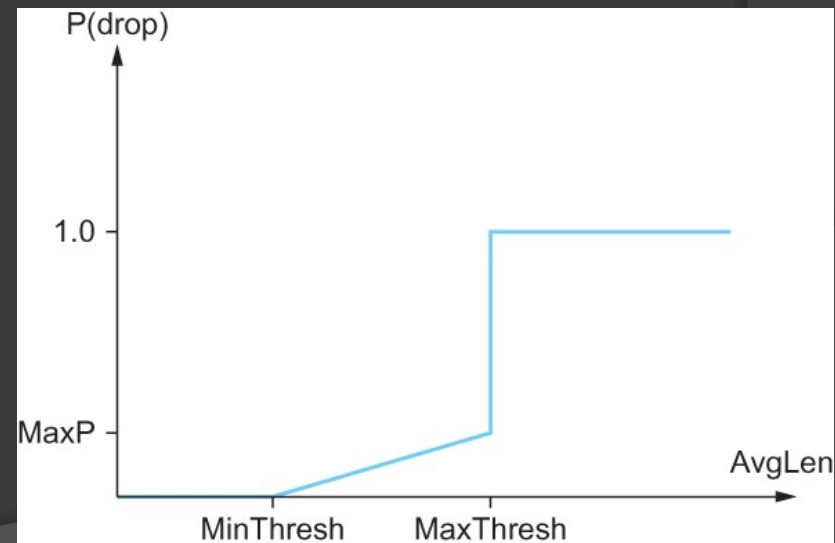


Figure 169

# Source-Based Congestion Avoidance

- ⦿ End hosts detect congestion instead of routers
- ⦿ Check if measurable increase in RTT
- ⦿ Could look at RTT and window size
  - $(\text{CurrentWindow} - \text{OldWindow}) \times (\text{CurrentRTT} - \text{OldRTT})$
- ⦿ Look for flattening of sending rate
  - Compares throughput
    - $\text{Throughput} = (\# \text{ bytes in transit}) / \text{RTT}$

# TCP-Vegas

- ⦿ Compares measured throughput rate to an expected throughput rate
- ⦿ TCP-Tahoe
  - Original implementation of TCP congestion-control mechanism
- ⦿ TCP-Reno
  - Adds fast recovery, header prediction, and delayed ACKs

# Monitoring Throughput

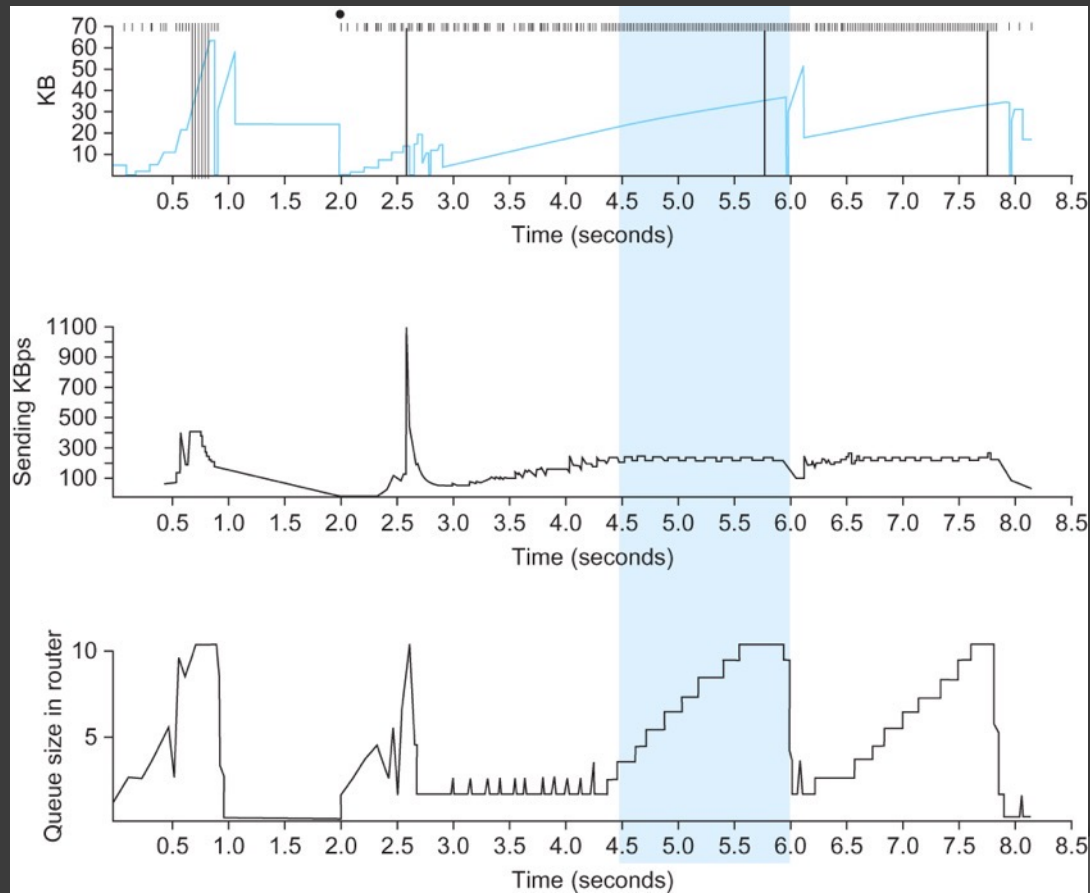


Figure 170

# TCP-Vegas

- ⦿ Tries to match available bandwidth of network
- ⦿ Mechanism based on
  - Estimated amount of extra data in the network
  - Dropped packets
- ⦿ Defines an expected rate
  - $\text{ExpectedRate} = \text{CongestionWindow} / \text{BaseRTT}$

# TCP-Vegas

- ⦿ Calculates ActualRate once per RTT
- ⦿ Compares ActualRate to ExpectedRate and adjusts congestion window
- ⦿ Defines two thresholds
  - Goal is to keep sending rate between alpha and beta
- ⦿ Linear early decrease before congestion occurs
- ⦿ Multiplicative decrease used for timeouts



# TCP-Vegas Behavior

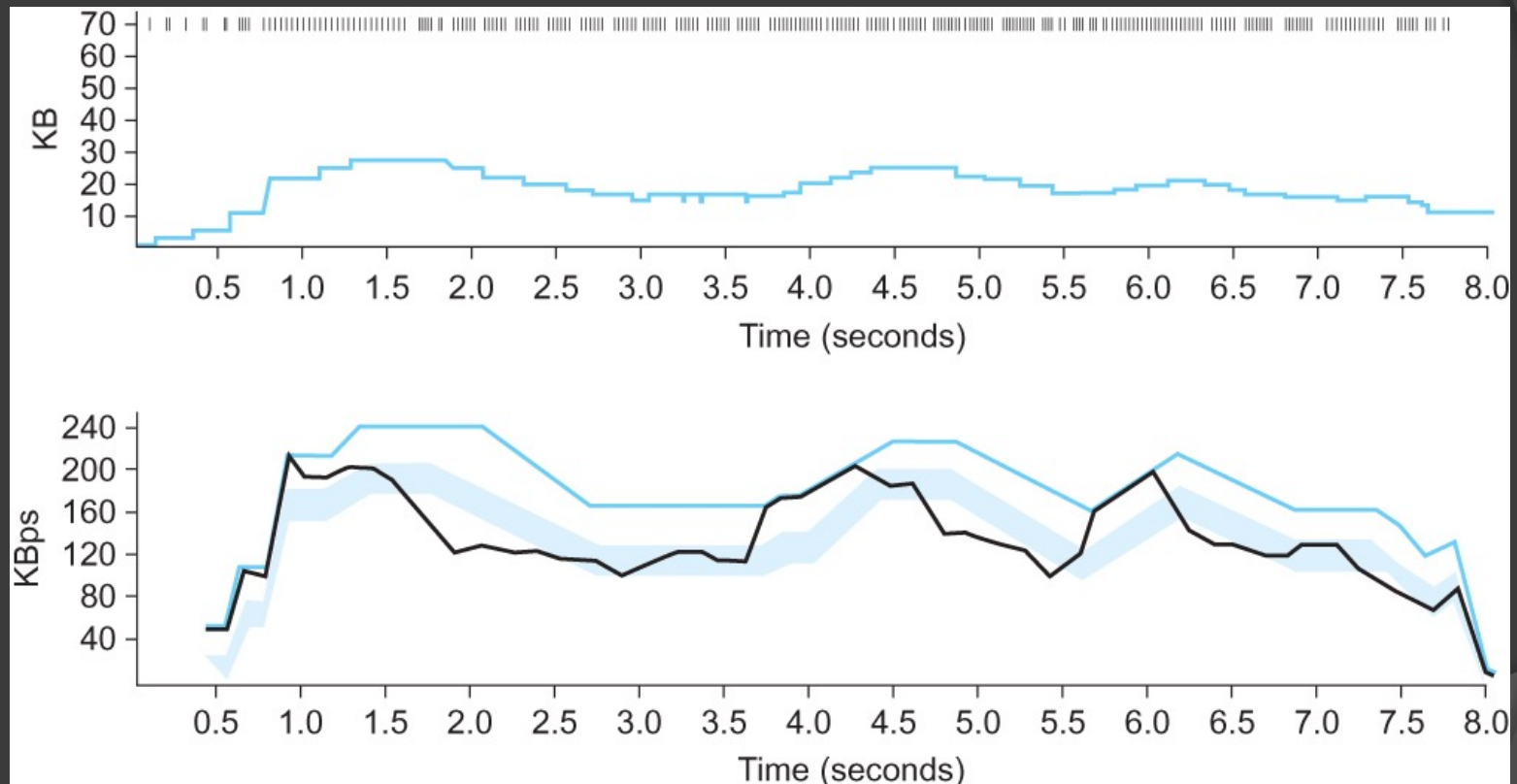


Figure 171

# Real-Time Applications

- ⦿ Multimedia applications
  - Have higher bandwidth needs
  - Timeliness of delivery can be very important
- ⦿ Real-time applications
  - Need assurance from network that data is likely to arrive on time
- ⦿ Non-real-time applications
  - Data arrives correctly, but cannot provide timeliness
  - Also called “elastic”

# Quality of Service (QoS)

- ⦿ Implied that network will treat some packets differently than others
  - Not done in the best-effort model
- ⦿ This is QoS
  - Network provides different levels of service

# Voice Example

- Each sample/packet has a playback time
  - Point of time it is needed by the receiver
- If data arrives after the playback time, it is useless
- Limits to how far we can delay playback of data

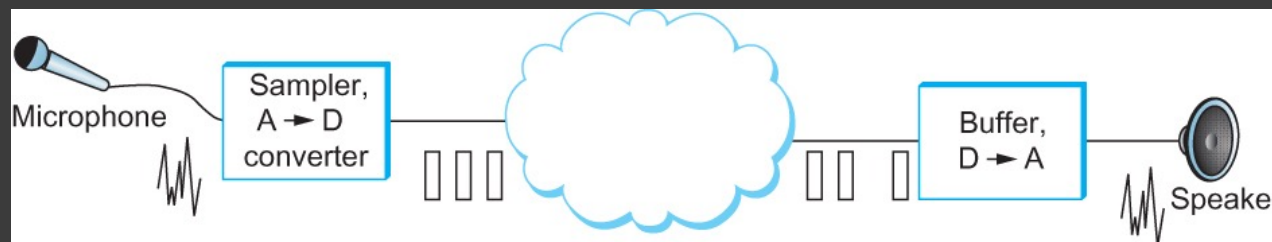


Figure 172

# Playback Buffer

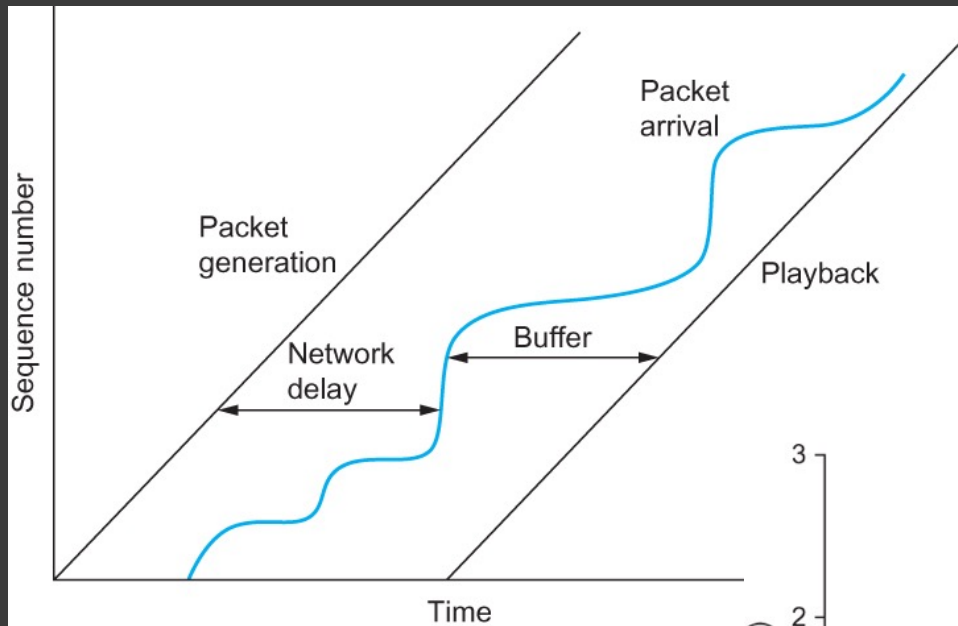


Figure 173

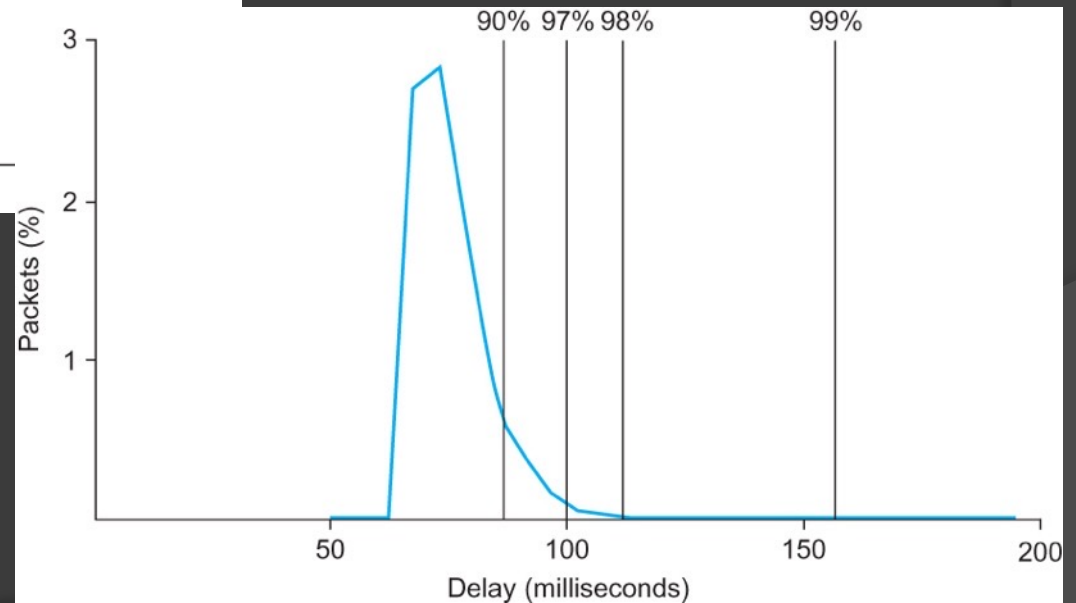


Figure 174

# Taxonomy of Real-Time Apps

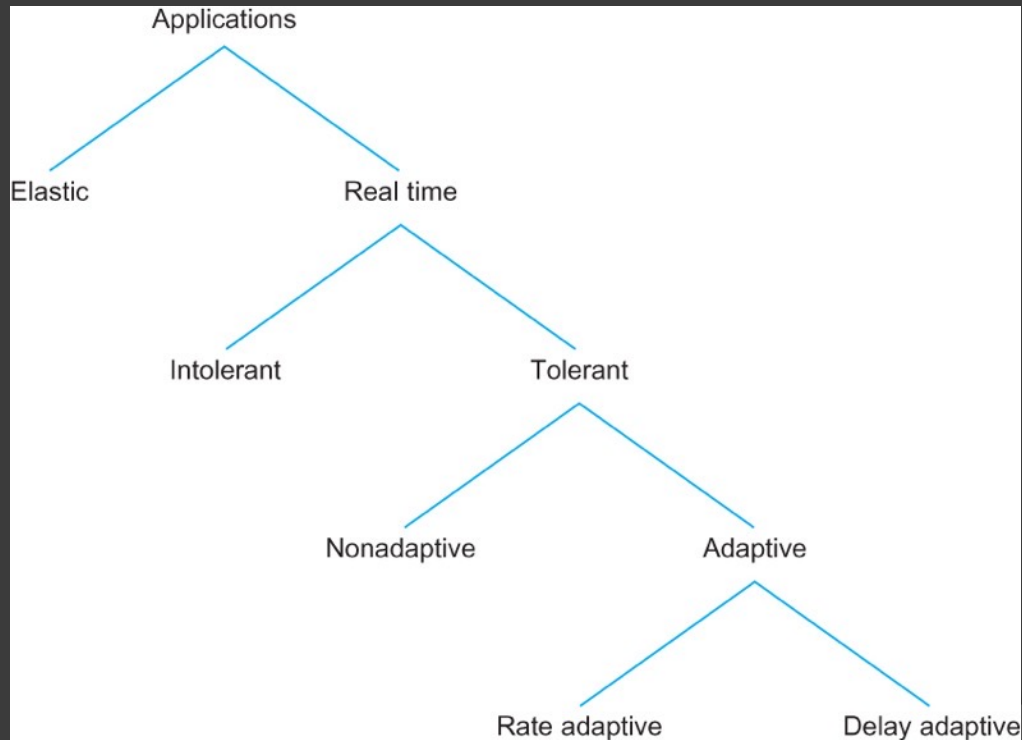


Figure 175

# Taxonomy of Real-Time Apps

- ⦿ Tolerance of loss
  - Tolerant or intolerant
- ⦿ Adaptability
  - Delay-adaptive applications
    - Can adjust their playback point
  - Rate-adaptive applications
    - Trade off bit rate vs. quality

# Approaches to QoS Support

- ② Fine-grained approaches
  - Provide QoS to individual applications or flows
  - Find “Integrated Services”
- ② Course-grained approaches
  - Provide QoS to large classes of data or traffic
  - Find “Differentiated Services”
    - Most widely-deployed QoS mechanism



# Integrated Services

- ⦿ Designed service classes to meet application needs
  - Guaranteed Service
    - Guarantee the maximum delay a packet will see
  - Controlled Load Service
    - Emulate a lightly-loaded network
- ⦿ RSVP (Resource Reservation Protocol) can be used to make reservations using these service classes

# Integrated Services Mechanisms

## ⦿ Flowspec

- Tells the network the type of service required

## ⦿ Admission Control

- Network decides if it can provide the service
- When to say yes/no

## ⦿ Policing

## ⦿ Resource Reservation

- How requests for service, flowspecs, and admission control decisions are exchanged

## ⦿ Packet Scheduling

- Managing how packets are queued and scheduled to meet flow requirements

# Flowspec

- ⦿ RSpec: Describes the service requested
  - Specify a target delay or bound
- ⦿ TSpec: Describes flow's traffic characteristics
  - Give network info about bandwidth used by flow
  - Bandwidth varies constantly for most apps
  - Knowing the average bandwidth is not enough

# Token Bucket Filter

- ⦿ Described by two parameters
  - A token rate ( $r$ )
  - A bucket depth ( $B$ )
- ⦿ A token is needed to send a byte
- ⦿ Tokens accumulated at a rate  $r$  per sec
- ⦿ No more than  $B$  tokens can be accumulated

# Token Bucket Filter

- ⦿ Can send a burst of  $B$  bytes
  - But cannot send more than  $r$  bytes per sec over an interval
- ⦿ Info is important for admission control algorithm

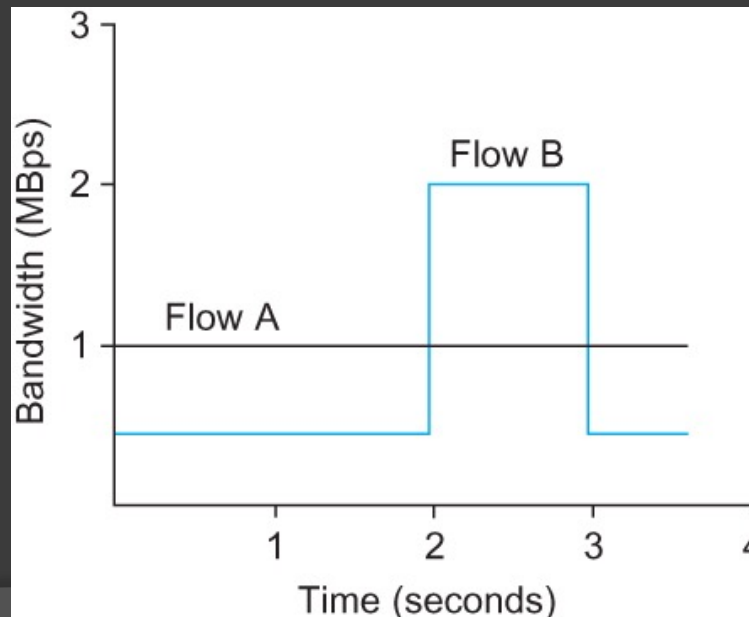


Figure 176

# Integrated Services Reservation Protocol

- ⦿ Some sort of setup protocol is necessary to establish state
  - Connectionless networks do not have this
- ⦿ Receiver needs to know sender's TSpec
- ⦿ Needs to know what path the flow will follow
  - Resource reservations must occur for each router on the path

# Integrated Services Reservation Protocol

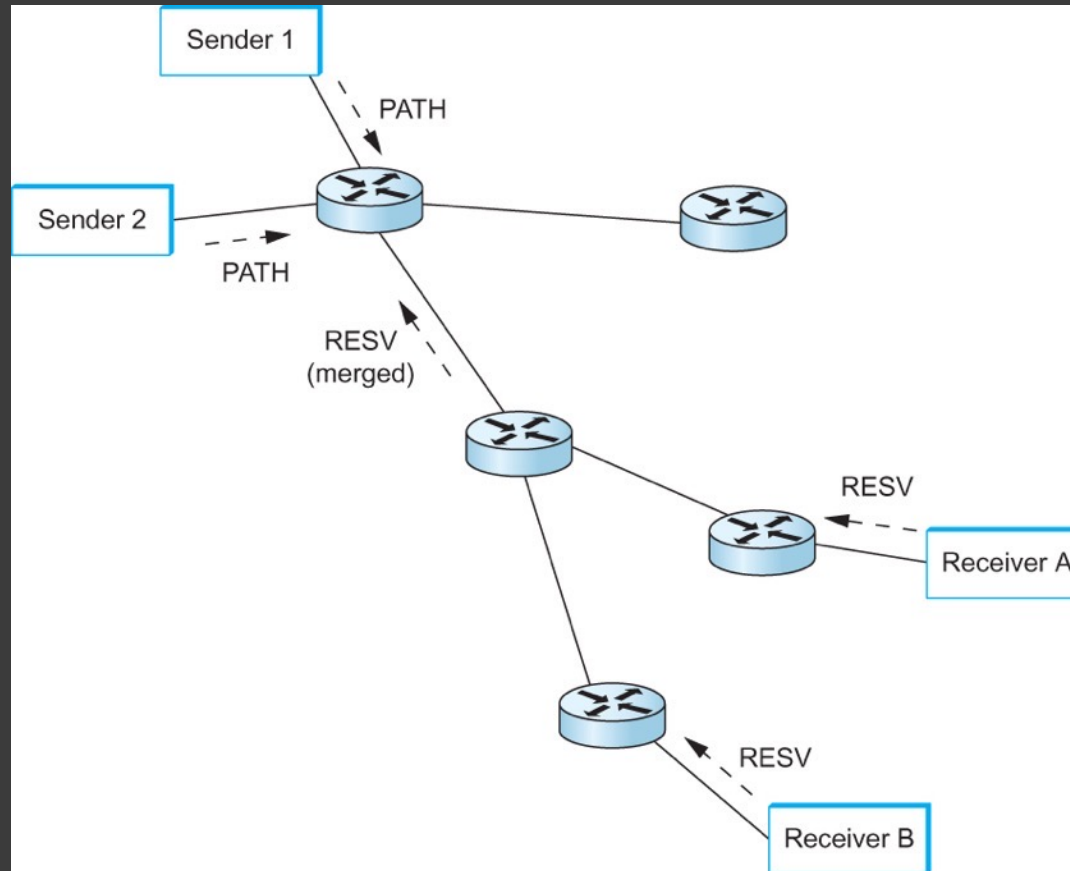


Figure 177

# Integrated Services

## ⦿ Packet Classifying

- Associate each packet with the appropriate reservation

## ⦿ Packet Scheduling

- Manage the packets in the queues so they receive the requested service

## ⦿ Suffers from scalability issues

- Need a lot of state at routers for each flow



# Differentiated Services

- ⦿ Allocates resources to a small number of classes of traffic
- ⦿ Example:
  - Normal service and premium service
  - Use a bit in the packet header
  - Who sets the premium bit and when?
  - What does a router do differently for premium service?

# Per-Hop Behaviors (PHBs)

- ⦿ Indicates the behavior of individual routers
- ⦿ Expedited Forwarding (EF) PHB
  - Forward marked packets with minimal delay and loss
- ⦿ Assured Forwarding (AF) PHB
  - Came from “RED with In and Out” (RIO) or “Weighted RED”
    - Enhancements to basic RED algorithm

# RIO

- ⦿ Packets are “in” or “out” of profile for assured service
  - E.g., profile may be a rate, packets “out” of profile exceed the rate

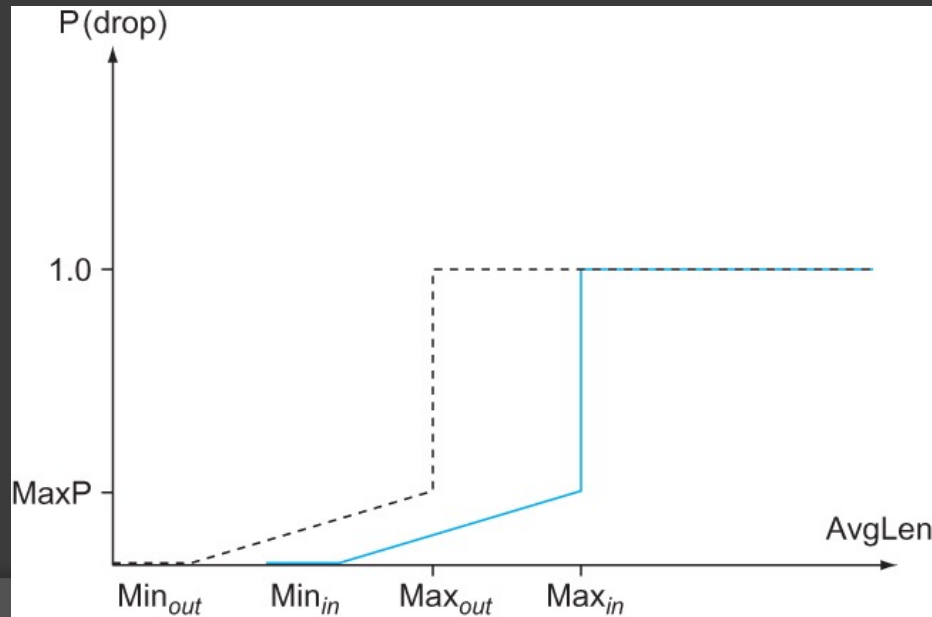


Figure 178