

#### **USING PYSCF FOR MOLECULES AND SOLIDS**

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FUNDING: CENTER FOR PREDICTIVE SIMULATION OF FUNCTIONAL MATERIALS, DOE BES COMPUTATIONAL MATERIALS SCIENCES PROGRAM

#### **ACKNOWLEDGEMENTS**

Supported by the U.S. Department of Energy, Office of Science, Basic Energy Sciences, Materials Sciences and Engineering Division, as part of the Computational Materials Sciences Program.

CPSFM | Center for Predictive Simulation of Functional Materials















 For full VMC and DMC background theory please refer to relevant litterature or previous workshops

#### • Youtube:

https://www.youtube.com/channel/UCdca2X8NEbjX\_oYv60vS4 gA

Read and practice full labs in QMCPACK Manual!!!



## Variational Monte Carlo

Re-written in an importance sampled form in terms of the probability density

Average of the local energy by a probability distribution

$$E_{V}[\Psi_{T},\{a_{i}\}] = \frac{\left\langle \Psi_{T} \left| \hat{H} \right| \Psi_{T} \right\rangle}{\left\langle \Psi_{T} \left| \Psi_{T} \right\rangle} = \frac{\int d\vec{r} \ \Psi_{T}^{*} \hat{H} \Psi_{T}}{\int d\vec{r} \ \Psi_{T}^{*} \Psi_{T}} = \int d\vec{r} \ \pi(\vec{r}) E_{L}(\vec{r})$$
 
$$Variance \qquad \sigma^{2} = \frac{\left\langle \Psi_{T} \left| (\hat{H} - E_{V})^{2} \right| \Psi_{T} \right\rangle}{\left\langle \Psi_{T} \left| \Psi_{T} \right\rangle} = \left\langle \hat{H}^{2} \right\rangle - E_{V}^{2}$$

VMC Distribution

$$\pi(\vec{r}) = \frac{\left|\Psi_T(\vec{r})\right|^2}{\int d\vec{r} \, \left|\Psi_T\right|^2}$$

Local Energy

$$E_L(\vec{r}) = \frac{\left\langle \vec{r} \left| \hat{H} \right| \Psi_T \right\rangle}{\left\langle \vec{r} \left| \Psi_T \right\rangle}$$

 Variational Principle: Rigorous upper bound to the energy (= only for exact wfn)

$$E_V[\Psi_T] >= E_{exact}$$

Zero-Variance Principle: (Only!!!) Exact solution has zero variance

$$E_V \xrightarrow{\sigma^2 \to 0} E_{exact}$$

Variational calculations depend crucially on the form of trial wavefunction used. By selecting trial wavefunctions on physically motivated grounds, accurate wavefunctions may be obtained.



## Diffusion Monte Carlo

Any initial state  $|\psi\rangle$ , that is not orthogonal to the ground state  $|\phi_0\rangle$ , will evolve to the ground state in the long time limit:

$$\lim_{\tau \to \infty} |\psi(\tau)\rangle = c_0 e^{-\epsilon_0 \tau} |\phi_0\rangle$$

In the DMC method the imaginary time evolution results in excited states decaying exponentially fast, whereas in the VMC method any excited state contributions remain and contribute to the VMC energy.

m

In position space:  $\lim_{\tau \to \infty} |\psi(\mathbf{R}, \tau)\rangle = c_0 e^{-\epsilon_0 \tau} \phi_0(\mathbf{R})$ 

#### SKIPPING MANY DEVELOPMENTS

drift

We introduce a guiding/trial function  $\psi_G(\mathbf{R})$ , which closely approximates the ground state.

$$f(\mathbf{R}, \tau) = \psi_G(\mathbf{R}) \psi(\mathbf{R}, \tau)$$

$$-\frac{\delta f(\mathbf{R}, \tau)}{\delta \tau} = \left[ \sum_{i=1}^{N} -\frac{1}{2} \nabla^{2}_{i} f(\mathbf{R}, \tau) \right] - \nabla \cdot \left[ \frac{\nabla \psi(\mathbf{R})}{\psi(\mathbf{R})} f(\mathbf{R}, \tau) \right] + (E_{L}(\mathbf{R}) - E_{T}) f(\mathbf{R}, \tau)$$
diffusion branching drift

 $E_T$  is a trial energy introduced to maintain normalization of the projected solution at large  $\tau$ 

$$\mathsf{E}_\mathsf{L}$$
 is a local energy  $E_\mathsf{L}(\mathbf{R}) = \frac{\widehat{H}\psi(\mathbf{R})_G}{\psi(\mathbf{R})_G}$ 



The fixed-node approximation

electron : fermion (anti-symmetric wavefunction)

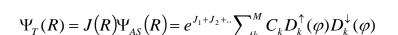
'minus sign problem'

The fixed-node approximation:

$$f^{FN}(R,\infty) = \Psi_T^{FN}(R)\Phi_0^{FN}(R)$$

If nodes of  $\Psi_T(R)$  are exact

$$\Phi_0^{\text{FN}}(R) = \Phi_0(R)$$



Anti-symmetric function (Pauli principle)

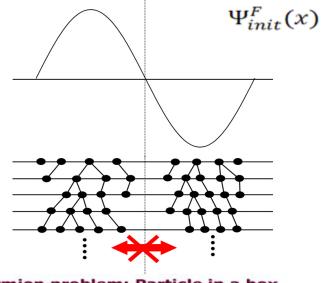
$$D_{k}^{\sigma} = \begin{bmatrix} \varphi_{1}(r_{1}) & \dots & \varphi_{1}(r_{N^{\sigma}}) \\ \vdots & \vdots & \vdots \\ \varphi_{N^{\sigma}}(r_{1}) & \dots & \varphi_{N^{\sigma}}(r_{N^{\sigma}}) \end{bmatrix}$$

Single-particle orbitals

$$\varphi_i = \sum_{l=N_b}^{l=N_b} C_l^i \Phi_l$$

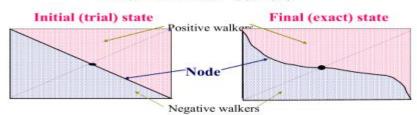
Basis sets: molecular orbitals, plane-wave, grid-based orbitals...





#### Model fermion problem: Particle in a box

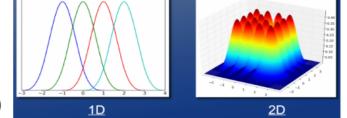
Symmetric potential:  $V(\mathbf{r}) = V(-\mathbf{r})$ Antisymmetric state:  $f(\mathbf{r}) = -f(-\mathbf{r})$ 





## Single particle orbitals (Splines)

- Plane wave representations are inefficient
- A real space basis is much more efficient
  - Extensive testing shows that 3D b-splines are a good choice for efficiency
  - Only 64 basis elements are nonzero at each point (versus thousands or more for plane waves)



- $B_{ijk}(x,y,z) = a_i(x)b_j(y)c_k(z)$
- Efficient routines exist to convert from a plane wave basis to b-splines with an arbitrary real space spacing using the FFT

$$\Psi_T = \exp(J)D^{\uparrow}(\{\phi\})D^{\downarrow}(\{\phi\}),$$

$$D = \text{det}[A] = \text{det} \begin{vmatrix} \phi_1(\mathbf{r}_1) & \cdots & \phi_1(\mathbf{r}_N) \\ \vdots & \vdots & \vdots \\ \phi_N(\mathbf{r}_1) & \cdots & \phi_N(\mathbf{r}_N) \end{vmatrix}$$
,

$$\phi_n(x,y,z) = \sum_{i'=i-1}^{i+2} b_x^{i',3}(x) \sum_{j'=j-1}^{j+2} b_y^{j',3}(y) \sum_{k'=k-1}^{k+2} b_z^{k',3}(z) \ p_{i',j',k',n}.$$



#### LCAO with GTOs

$$Ψ_T = \exp(J)D^{\uparrow}(\{\phi\})D^{\downarrow}(\{\phi\}),$$

$$D = \text{det}[A] = \text{det} \begin{vmatrix} \phi_1(\mathbf{r}_1) & \cdots & \phi_1(\mathbf{r}_N) \\ \vdots & \vdots & \vdots \\ \phi_N(\mathbf{r}_1) & \cdots & \phi_N(\mathbf{r}_N) \end{vmatrix}$$

QMCPACK implements linear combination of atomic orbitals (LCAO) and Gaussian basis sets in (non)periodic boundary conditions.

$$\phi(\mathbf{r}) = R_l(r) Y_{lm}(\theta, \phi)$$

where  $Y_{lm}$  ( $\theta$ ,  $\Phi$ ) is a spherical harmonic, I and m are the angular momentum and its z component, and r,  $\theta$ ,  $\Phi$  are spherical coordinates.

This is the Quantum Chemistry route.

#### DISCUSSION POINTS

- Effect of Basis Sets
  - Dependence of DMC on the Basis Set
- Effect of Nodal surface
  - Choice of Hartree Fock or DFT (and the choice of XC functional)
- Effect of ECP
  - All electrons VS ECP. Which ECP to use.



# **Using Pyscf**

- Modern open source Python code wrapping multiple fast and optimized C++ libraries.
- Handles multiple quantum chemistry (QC) methods with high efficiency for OBC systems
- Generates automatically HDF5 file readable by QMCPACK
- Large developer group with expertise in QC and programming.
- Downloadable via > pip install pyscf
- GitHub: <a href="https://github.com/pyscf/pyscf">https://github.com/pyscf/pyscf</a>

## Workflow

- 1- Running DFT/HF with Pyscf
- 2- generating input files for QMCPACK using convert4qmc
- 3- (if All electron) Applying CuspCorrection to the orbitals
- 4- Optimization of 1,2 and 3 body Jastrow
- 5- DMC run



# Molecules



# WORK CASE (H2O – ALL ELECTRONS)

Detailed example is available in> \$HOME/qmcpack\_workshop\_2019/day1\_pyscf\_molecules/

```
#!/usr/bin/env python
from pyscf import gto
from pyscf import scf, dft, df
mol = gto.Mole()
mol.verbose = 5
mol.atom =""
Н
          0.00000000
                         0.75720000
                                         -0.46920000
Н
          0.00000000
                         -0.75720000
                                         -0.46920000
          0.00000000
                         0.00000000
                                         0.11730000
mol.unit='A'
mol.basis = 'cc-pvtz'
#mol.pseudo = 'bfd-vtz'
mol.spin=0 #Value of S where the spin multiplicity is 2S+1
mol.build()
```

```
#Hartree Fock
#mf = scf.ROHF(mol)
                                   Important:
#DFT
                                   Prefix!
mf = dft.ROKS(mol)
mf.xc ='b3lyp'
e_scf=mf.kernel()
#Section for QMCPACK
title="H2O_AE_DFT" 🖊
from PyscfToQmcpack import savetoqmcpack
savetogmcpack(mol,mf,title=title)
```

#### **BEFORE RUNNING!**

#Section for QMCPACK title="H2O\_AE\_DFT" from PyscfToQmcpack import savetoqmcpack savetoqmcpack(mol,mf,title=title)

The system needs to know where PyscfToQmcpack.py file is located.

Provided with QMCPACK download in ~/qmcpack/src/QMCTools.

Make sure this path is part of your PYTHONPATH.



## WORK CASE (H2O – ECP NCSU)

```
https://pseudopotentiallibrary.org/
(NWChem Format)
#!/usr/bin/env python
from pyscf import gto
from pyscf import scf, dft, df
mol = gto.Mole()
mol.verbose = 5
mol.atom =""
Н
         0.00000000
                                        -0.46920000
                         0.75720000
Н
          0.00000000
                         -0.75720000
                                         -0.46920000
          0.00000000
                         0.00000000
                                         0.11730000
mol.unit='A'
#mol.basis = 'cc-pvtz'
#mol.pseudo = 'bfd-vtz'
```

mol.spin=0 #Value of S where the spin multiplicity is 2S+1

#mol.build()

```
HS
23.843185 0.00411490
10.212443 0.01046440
4.374164 0.02801110
1.873529 0.07588620
'O': gto.parse(""
54.775216 -0.0012444
25.616801 0.0107330
11.980245 0.0018889
6.992317 -0.1742537
2.620277 0.0017622
1.225429 0.3161846
0.577797 0.4512023
0.268022 0.3121534
0.125346 0.0511167
OS
mol.ecp = {'O': gto.basis.parse_ecp(""
O nelec 2
O ul
1 12.30997 6.000000
3 14.76962 73.85984
2 13.71419 -47.87600
OS
2 13.65512 85.86406
'H':gto.basis.parse_ecp(""
H nelec 0
H ul
1 21.24359508259891 1.000000000000000
3 21.24359508259891 21.24359508259891
2 21.77696655044365 -10.85192405303825
HS
2 1.00000000000000 0.00000000000000
```

mol.basis = {'H': gto.parse(""

#### 1) RUNNING PYSCF

> python H2O\_AE\_DFT.py | tee H2O\_AE\_DFT.out

Generates a HDF5 file containing all necessary information to run QMCPACK

#### 2) CONVERT4QMC

Check manual for complete description of all functionalities.

```
> convert4qmc –pyscf H2O_AE_DFT.h5 –production -addCusp
```

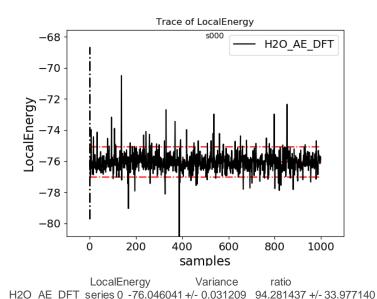
#### Generates 3 files:

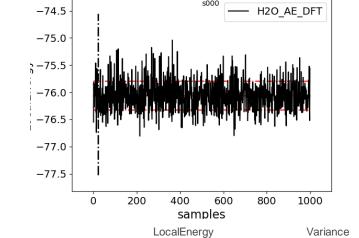
- H2O\_AE\_DFT.structure.xml (geometry file)
- H2O\_AE\_DFT.wfj.xml (Wavefunction file)
- H2O\_AE\_DFT.qmc.in.xml (QMC blocks file)



## addCusp: CUSPCORRECTION

> When running all electron calculations, Orbitals are centered in the atom. This implies that during the VMC/DMC run, an electron can be moved too close to the nuclei. This would lead to a significantly large fluctuations (large variance)





-76.061034 +/- 0.008427

Trace of LocalEnergy

17.649611 +/- 0.510212

ratio

0.2320

## 3) CUSPCORRECTION

#### Wavefunction file

```
<?xml version="1.0"?>
<qmcsystem>
 <wavefunction name="psi0" target="e">
   <determinantset type="MolecularOrbital" name="LCAOBSet" source="ion0" transform="yes" cuspCorrection="yes" href="../H20 AE DFT.h5">
      <slaterdeterminant>
       <determinant id="updet" size="5" cuspInfo="../CuspCorrection/updet.cuspInfo.xml">
         <occupation mode="ground"/>
         <coefficient size="58" spindataset="0"/>
       </determinant>
       <determinant id="downdet" size="5" cuspInfo="../CuspCorrection/downdet.cuspInfo.xml">
         <occupation mode="ground"/>
         <coefficient size="58" spindataset="0"/>
       </determinant>
      </slaterdeterminant>
   </determinantset>
 </wavefunction>
</qmcsystem>
```

- > cd CuspCorrection
- > qmcpack cusp.xml > cusp.out



## WORK CASE (H2O – HF\_AE)

\$HOME/qmcpack\_workshop\_2019/day1\_pyscf\_molecules/H2O/HF\_AE/CuspCorrection

$$\Psi_T(R) = J(R)\Psi_{AS}(R) = e^{J_1 + J_2 + ...} \sum_{k=1}^{M} C_k D_k^{\uparrow}(\varphi) D_k^{\downarrow}(\varphi)$$

With no Jastrow function, one should recover at VMC level, the Hartree Fock Energy.

 $E_{HF}$ = -76.0571274202736 Ha.

LocalEnergy Variance ratio VMC: H2O\_AE\_HF series 0 -76.061252 +/- 0.008513 17.414698 +/- 0.424133 0.2290

Note: To analyze the QMC outputs, use the "qmca" tool:

> qmca -q ev \*.scalar.dat

#### **USING ECP**

Do not use addCusp. The converter will detect if ECP were used and will add the following to the Hamiltonian:

By default, convert4qmc will name your ECP \*.qmcpp.xml Make sure the names and the locations match. For NCSU ECP, you can download the XML format from: https://pseudopotentiallibrary.org/



#### PRODUCTION FLAG

This flag will generate the most generic set of QMC blocks for production runs.

By default, it will assume:

- 1 VMC block expected to provide better samples (reduce equilibration)
- 15 Jastrow Optimization blocks
- 1 VMC long run to generate good samples
- 1 DMC block

These blocks need to be modified by hand depending on the system, hardware and accuracy you want to reach. For some system it might be a significant overkill, for others, it might be too expensive to run.

Check examples for more sensible blocks.



#### **JASTROW OPTIMIZATION**

- A detailed description of all the flags in the optimization block is described in the manual.

#### Practical details:

- Adjust the number of parameters and the cutoff to the system
  - One body Jastrow should not be too large (e-I distance)
  - 2 Body Jastrow should cover all e-e interactions

(see \*.wfj.xml file)

```
<jastrow name="J2" type="Two-Body" function="Bspline" print="yes">
 <correlation rcut="10" size="20" speciesA="u" speciesB="u">
   </correlation>
 <correlation rcut="10" size="20" speciesA="u" speciesB="d">
   </correlation>
</iastrow>
<jastrow name="J1" type="One-Body" function="Bspline" source="ion0" print="yes">
 <correlation rcut="5" size="10" cusp="0" elementType="H">
   <coefficients id="eH" type="Array">0 0 0 0 0 0 0 0 0 0 /coefficients>
 </correlation>
 <correlation rcut="5" size="10" cusp="0" elementType="0">
   <coefficients id="e0" type="Array">0 0 0 0 0 0 0 0 0 0 /coefficients>
 </correlation>
</iastrow>
```

#### **JASTROW OPTIMIZATION**

- A detailed description of all the flags in the optimization block is described in the manual.

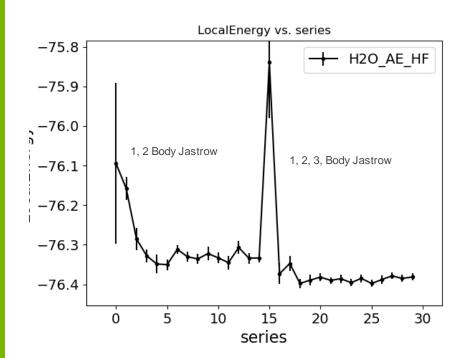
#### Optimization strategy:

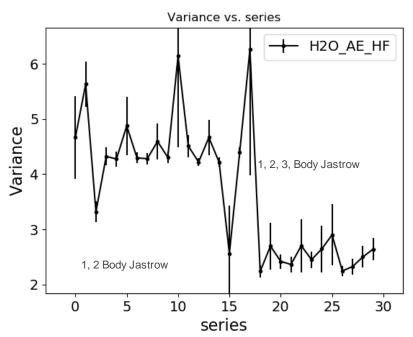
- 1 and 2 body Jastrows only at first (with no 3 body).
- Aggressive for a small number of loops
- Add 3 body Jastrow after converging 1 and 2. Reoptimize all of them.

```
<dop max="4">
     <qmc method="linear" move="pbyp" checkpoint="-1">
          <estimator name="LocalEnergy" hdf5="no"/>
          <parameter name="blocks">40</parameter>
          <parameter name="warmupSteps">2</parameter>
          <parameter name="timestep">0.5</parameter>
          <parameter name="walkers">1</parameter>
          <parameter name="samples">80000</parameter>
          <parameter name="substeps">5</parameter>
          <parameter name="substeps">5</parameter>
          <parameter name="usedrift">no</parameter>
          <parameter name="MinMethod">0neShiftOnly</parameter>
          <parameter name="minwalkers">0.1</parameter>
          </parameter>
          </parameter name="minwalkers">0.1</parameter>
          </parameter>
          </parameter>
          </parameter>
          </parameter name="minwalkers">0.1</parameter>
          </parameter>
          </parameter name="minwalkers">0.1</parameter>
          </parameter</pre>
```



#### **JASTROW OPTIMIZATION**





!!!! New Jastrow parameters stored in file \*.s0X.opt.xml VMC energy at series N corresponds to parameters optimized at series N-1



# 4) DMC

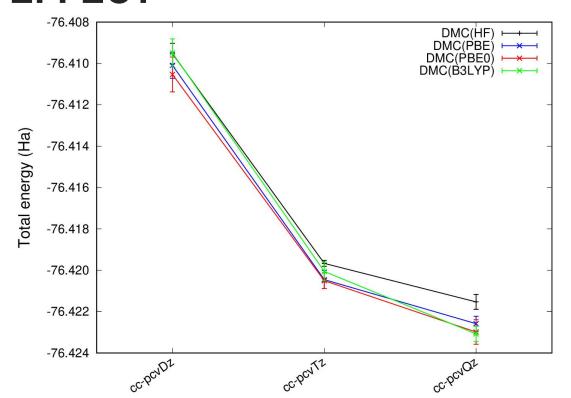
This is a typical DMC block where one needs to account for autocorrelation, time step correction etc..

```
<qmc method="vmc" move="pbyp" checkpoint="-1">
  <estimator name="LocalEnergy" hdf5="no"/>
  <parameter name="walkers">1</parameter>
  <parameter name="samplesperthread">1</parameter>
  <parameter name="stepsbetweensamples">10</parameter>
  <parameter name="substeps">30</parameter>
  <parameter name="warmupSteps">100</parameter>
 <parameter name="blocks">200</parameter>
  <parameter name="timestep">0.1</parameter>
  <parameter name="usedrift">no</parameter>
</amc>
<qmc method="dmc" move="pbyp" checkpoint="20">
  <estimator name="LocalEnergy" hdf5="no"/>
  <parameter name="targetwalkers">16000</parameter>
  <parameter name="reconfiguration">no</parameter>
  <parameter name="warmupSteps">100</parameter>
  <parameter name="timestep">0.001</parameter>
  <parameter name="steps">20</parameter>
  <parameter name="blocks">50</parameter>
  <parameter name="nonlocalmoves">yes</parameter>
</amc>
simulation>
```

Please follow examples in \$HOME/qmcpack\_workshop\_2019/day1\_pyscf\_molecules/H2O and README.rst for guidance.



# ANALYSIS OF NODAL SURFACE AND BASISSET EFFECT



- Small dependence of DMC on the choice of trial Wavefunction
- 2mHa error on the basisset size starting cc-pcvTz.
- Still large error in energy even at large basisset (exp: -73.4368Ha)



## Solids

- Please refer to litteratur or Lab 4 of the QMCPACK manual for a full description on how to run solids in QMC and use twist averaging theory.
- This section will only explain main differences between GTOs and splines and PBC and OBC suing PYSCF.

Detailed example is available in> \$HOME/qmcpack\_workshop\_2019/day1\_pyscf\_solids/

```
#!/usr/bin/env python
import numpy as np
from pyscf.pbc import gto, scf, dft
from pyscf.pbc import df
cell = gto.Cell()
cell.a
            3.37316115 3.37316115 0.000000
           0.000000 3.37316115 3.37316115
            3.37316115 0.000000 3.37316115
cell.dimension = 3
              = 'bfd-vdz'
cell basis
cell.ecp
             = 'bfd'
cell.unit
             = 'B'
cell.atom
               0.000000 0.0000000 0.00000000
               1.68658058 1.68658058 1.68658058
cell.drop exponent = 0.1
cell.verbose
               = 5
cell.charge
              = 0
cell.spin
             = 0
cell.build()
```

```
sp_twist=[0.0, 0.0, 0.0]
kpts = [[0.0, 0.0, 0.0],
[0.46567485, 0.46567485, -0.46567485]]
                                                                           Important:
suncell=cell
                                                                           Prefix!
mydf = df.FFTDF(supcell,kpts)
mydf.auxbasis = 'weigend'
mf = scf.KRHF(supcell,kpts).density fit()
mf.exxdiv = 'ewald'
mf.with df = mydf
e scf=mf.kernel()
ener = open('e scf','w')
ener.write('%s\n' % (e scf))
print('e scf',e scf)
ener.close()
title="S2-twist1"
from PyscfToQmcpack import savetogmcpack
savetogmcpack(cell,mf,title=title,kpts=kpts,sp twist=sp twist)
```



cell.drop\_exponent = 0.1

Some Basis functions in the BFD basis sets are too diffused, leading to ~2200 repeated images to restore periodicity while adding numerical instability and linear dependence.

It's better to remove some diffused function with exponent smaller than 0.1

mydf = df.FFTDF(supcell,kpts)

We use density fitting to generate the electronic integrals. While in the simple case, density fitting can speed up calculations significantly, they carry significant approximation that can generate large errors.

The characters of these PBC DF methods are summarized in the following table				
Subject	FFTDF	AFTDF	GDF	MDF
Initialization	No	No	Slow	Slow
HF Coulomb matrix (J)	Fast	Slow	Fast	Moderate
HF exchange matrix (K)	Slow	Slow	Fast	Moderate
Building ERIs	Slow	Slow	Fast	Moderate
All-electron calculation	Huge error	Large error	Accurate	Most accurate
Low-dimension system	N/A	0D,1D,2D	0D,1D,2D	0D,1D,2D

sp\_twist=[0.0, 0.0, 0.0]

```
kpts = [[ 0.0, 0.0, 0.0], [0.46567485, 0.46567485, -0.46567485]]
```

The goal is to run a supercell 2x1x1 at the QMC level. However, we chose to run it as a primitive cell and use a tiling of 2 0 0 0 1 0 0 0 1 that corresponds to 2 kpoints defined explicitly. (See Nexus talk by J. Krogel for how to specify the kpoints in the primitive cell).

This will lead to a non primitive cell at the QMC level.

#### **TILING AND UNFOLDING ORBITALS**

We performed the HF calculation in a primitive cell using Kpoints.

In Pyscf, when saving for qmcpack, tiling and expanding the orbitals to the supercell is performed. The orbitals will be unfolded with a phase at the SCF level, and so will the coordinates.

This can be seen in the structure.xml file:

```
<qmcsystem>
 <simulationcell>
   <parameter name="lattice">
  6.74632230000000e+00
                       6.746322300000000e+00
                       3.37316115000000e+00
 3.37316115000000e+00 0.0000000000000e+00 3.37316115000000e+00
</parameter>
   <parameter name="bconds">p p p</parameter>
   <parameter name="LR_dim_cutoff">15</parameter>
  </simulationcell>
 <particleset name="ion0" size="4">
   <group name="C">
     <parameter name="charge">4</parameter>
     <parameter name="valence">4</parameter>
     <parameter name="atomicnumber">6</parameter>
   <attrib name="position" datatype="posArray">
  0.000000000e+00 0.000000000e+00 0.000000000e+00
  1.6865805800e+00 1.6865805800e+00 1.6865805800e+00
 3.3731611500e+00 3.3731611500e+00 0.0000000000e+00
 5.0597417300e+00 5.0597417300e+00 1.6865805800e+00
</attrib>
   <attrib name="ionid" datatype="stringArray">
C C C C
</attrib>
 </particleset>
 <particleset name="e" random="yes" randomsrc="ion0">
   <group name="u" size="8">
     <parameter name="charge">-1</parameter>
   </group>
   <group name="d" size="8">
     <parameter name="charge">-1</parameter>
   </group>
 </particleset>
 'qmcsystem>
```



#### TILING AND UNFOLDING ORBITALS

We performed the HF calculation in a primitive cell using Kpoints.

The evaluation of GTOs within PBC at the QMC level implies that:

The orbitals are evaluated at a distance r in the unit cell (2x1x1 cell in this case) and then the contributions of the periodic images are added by evaluating the orbital at a distance r + T where T is a translation of the cell lattice vector.

In the current implementation, the number of periodic images is an input parameter named PBCimages, which takes three integers corresponding to the number of periodic images along the supercell axes (X, Y and Z axes for a cubic cell). By default these parameters are set to PBCimages=5 5 5 but they require manual convergence checks.



#### **SUPERTWIST**

We performed the HF calculation in a primitive cell using Kpoints. The number of kpoints is decided by the tiling, but the coordinates of the kpoints depends on the super twist used (in this case equivalent to a Gamma point in a 2x1x1 supercell).

In QMC, from the previous point, the evaluation of the translated orbitals will have to accommodate a phase factor computation similar to the unfolding of the kpoint orbitals from the primitive cell to the supercell.

This phase is defined as exp(ik.g) where k is the super twist, and g are the PBC periodic images. When the supertwist is 0 0 0 the the phase is 1, leading to a wavefunction with real coefficients.

However, when the phase is different than 1 (or -1) the wavefunction is complex. It becomes necessary to run the complex version of QMCPACK.

While QMCPACK can handle complex wavefunction from pyscf, the phase computation is in development and should be released soon.



## 1) RUNNING PYSCF

> python diamondC\_2x1x1.py | tee diamondC\_2x1x1.out

Generates a S2-twist1.h5 file containing all necessary information to run QMCPACK

## 2) CONVERT4QMC

- Check manual for complete description of all functionalities.
- > convert4qmc –pyscf S2-twist1.h5 –production

#### Generates 3 files:

- S2-twist1.structure.xml (geometry file)
- S2-twist1.wfj.xml (Wavefunction file)
- S2-twist1.qmc.in.xml (QMC blocks file)



# 3) VMC

\$HOME/qmcpack\_workshop\_2019/day1\_pyscf\_solids/diamondC\_2x1x1-Gaussian\_pp\_kpts/VMC

$$\Psi_T(R) = J(R)\Psi_{AS}(R) = e^{J_1 + J_2 + ...} \sum_{k=1}^{M} C_k D_k^{\uparrow}(\varphi) D_k^{\downarrow}(\varphi)$$

With no Jastrow function, one should recover at VMC level, the Hartree Fock Energy.

 $E_{HF}$ = -21.20673553792076 Ha.

LocalEnergy Variance ratio VMC series 0 -21.204825+/- 0.011473 4.322113+/- 0.121838 0.2038

In this specific case, the HF energy was given by pyscf in Ha/unit cell.

Make sure to be consistent.



# 4) DMC

#### \$HOME/qmcpack\_workshop\_2019/day1\_pyscf\_solids/diamondC\_2x1x1-Gaussian\_pp\_kpts/DMC

This is a typical DMC block where one needs to account for autocorrelation, time step correction etc..

```
<qmc method="vmc" move="pbyp" checkpoint="-1">
  <estimator name="LocalEnergy" hdf5="no"/>
  <parameter name="walkers">1</parameter>
  <parameter name="samplesperthread">1</parameter>
  <parameter name="stepsbetweensamples">10</parameter>
  <parameter name="substeps">30</parameter>
  <parameter name="warmupSteps">100</parameter>
  <parameter name="blocks">200</parameter>
  <parameter name="timestep">0.1</parameter>
  <parameter name="usedrift">no</parameter>
 </amc>
<qmc method="dmc" move="pbyp" checkpoint="20">
  <estimator name="LocalEnergy" hdf5="no"/>
  <parameter name="targetwalkers">16000</parameter>
  <parameter name="reconfiguration">no</parameter>
  <parameter name="warmupSteps">100</parameter>
  <parameter name="timestep">0.001</parameter>
  <parameter name="steps">20</parameter>
  <parameter name="blocks">50</parameter>
  <parameter name="nonlocalmoves">yes</parameter>
 </amc>
/simulation>
```

Please follow examples in \$HOME/qmcpack\_workshop\_2019/day1\_pyscf\_solids and README.rst for guidance.

```
E<sub>HF</sub>= -21.20673553792076 Ha
```

LocalEnergy Variance ratio

VMC series 0 -21.672800 +/- 0.028277 1.330476 +/- 0.034129 0.0614

DMC series 1 -21.912048 +/- 0.011781 1.299570 +/- 0.005588 0.0593

Significant decrease in energy with DMC



#### **SUMMARY**

- Pyscf is fully interfaced with QMCPACK allowing to use a wide range of basissets and nodal surfaces with both molecules and solids.
- Shifting kpoints away from supertwist Gamma leads to a complex wavefunction and the application of a phase factor to the orbital. This final phase factor is still in development and will be ready at the next release of the code.
- DMC shows a small dependence of the energy to the size of the basisset and the functional (nodal surface). This last point is system dependent and not general
- Using GTOs with PBC increases significantly the computation time but decreases significantly the memory footprint.







## Variational Monte Carlo

The variational principle may be derived by expanding a normalized trial wavefunction,  $\Psi_T$ , in terms of the exact normalized eigenstates of the Hamiltonian,

$$\psi_T = \sum_{i=0}^{\infty} c_i \psi_i$$
, where the expansion coefficients,  $c_i$ , are normalised  $\sum_{i=0}^{\infty} |c_i|^2 = 1$ .

The expectation of the many-body Hamiltonian,  $\hat{H}$ , may be evaluated

$$<\psi_T|\hat{H}|\psi_T> = <\sum_i c_i\psi_i|\hat{H}|\sum_j c_j\psi_j>$$

The expectation value of a trial wavefunction with the Hamiltonian must therefore be greater than or equal to the true ground state energy.

$$\begin{array}{ll} = & \sum_i \sum_j c_i^* c_j < \psi_i |\hat{H}| \psi_j > \\ \\ = & \sum_i |c_i|^2 \epsilon_i \quad , \quad \text{ where } \quad \epsilon_i \; = \; < \psi_i |\hat{H}| \psi_i > \quad . \end{array}$$

Variational calculations depend crucially on the form of trial wavefunction used. By selecting trial wavefunctions on physically motivated grounds, accurate wavefunctions may be obtained.

## Diffusion Monte Carlo

(Projector Monte Carlo)

Imaginary time Schrödinger equation ( $\tau = it$ )

$$\frac{\partial |\psi\rangle}{\partial \tau} = -\widehat{H} |\psi\rangle,$$

The state  $|\psi\rangle$  is expanded in eigenstates of the Hamiltonian  $|\psi\rangle=\sum_{i=0}^{\infty}c_i|\phi_i\rangle$ , where  $\widehat{H}|\phi_i\rangle=\epsilon_i|\phi_i\rangle$ 

A formal solution is

$$|\psi(\tau_1 + \delta\tau)\rangle = e^{-\hat{H}\delta\tau}|\psi(\tau_1)\rangle$$

where the state  $|\psi\rangle$  evolves from imaginary time  $\tau_1$  to a later time  $\delta\tau$  .

If the initial state is expanded in energy ordered eigenstates,

$$|\psi(\delta\tau)\rangle = \sum_{i=0}^{\infty} c_i e^{-\epsilon_i \delta \tau} |\phi_i\rangle$$



Any initial state  $|\psi\rangle$ , that is not orthogonal to the ground state  $|\phi_0\rangle$ , will evolve to the ground state in the long time limit:

$$\lim_{\tau\to\infty}|\psi(\tau)\rangle=c_0e^{-\epsilon_0\tau}|\phi_0\rangle$$

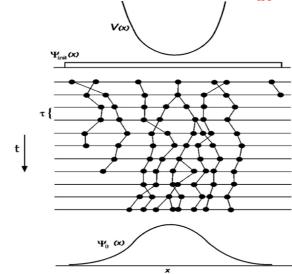
In the DMC method the imaginary time evolution results in excited states decaying exponentially fast, whereas in the VMC method any excited state contributions remain and contribute to the VMC energy.

In position space:  $\lim_{\tau \to \infty} |\psi({\it R},\tau)\rangle = c_0 e^{-\epsilon_0 \tau} \phi_0({\it R})$ 

$$-\frac{\delta\psi(\mathbf{R},\tau)}{\delta\tau} = \left[\sum_{i=1}^{N} -\frac{1}{2}\nabla^{2}_{i}\psi(\mathbf{R},\tau)\right] + \left[(V(\mathbf{R}) - E_{T})\psi(\mathbf{R},\tau)\right]$$

Density of diffusing particles

Branching term: potential-dependent increase or decrease in the particle density.





## Diffusion Monte Carlo

(Projector Monte Carlo)

$$-\frac{\delta\psi(\mathbf{R},\tau)}{\delta\tau} = \left[\sum_{i=1}^{N} -\frac{1}{2}\nabla^{2}{}_{i}\psi(\mathbf{R},\tau)\right] + (V(\mathbf{R}) - E_{T})\psi(\mathbf{R},\tau)$$

Can be solved with MC method but leads to very inefficient algorithm.

The potential  $V(\mathbf{R})$  is unbounded in coulombic systems and hence the rate term,  $(V(\mathbf{R}) - E_T)$ , can diverge. Large fluctuations in the particle density leads to impractically large statistical errors.

Linear scaling for bosons (ground state can be made real)

Exponential scaling for fermions

Does not account for the anti fermionic nature of electrons; Exchange of any 2 electrons imposes a change of sign in the wavefunction.

# Important sampling

(trial wavefunction)

We introduce a guiding/trial function  $\psi_G(\mathbf{R})$ , which closely approximates the ground state.

$$f(\mathbf{R},\tau) = \psi_G(R)\psi(\mathbf{R},\tau)$$

Previous equation becomes

$$-\frac{\delta f(\mathbf{R},\tau)}{\delta \tau} = \left[ \sum_{i=1}^{N} -\frac{1}{2} \nabla^{2}_{i} f(\mathbf{R},\tau) \right] - \nabla \cdot \left[ \frac{\nabla \psi(\mathbf{R})}{\psi(\mathbf{R})} f(\mathbf{R},\tau) \right] + (E_{L}(\mathbf{R}) - E_{T}) f(\mathbf{R},\tau)$$
diffusion branching drift

 $E_T$  is a trial energy introduced to maintain normalization of the projected solution at large  $\tau$   $E_L$  is a local energy  $E_L(\mathbf{R}) = \frac{\hat{H}\psi(\mathbf{R})_G}{\psi(\mathbf{R})_G}$ 









