

Sorting

CSC-114 Data Structure and Algorithms



Outline

Sorting

Motivation

In-Place vs Non In-Place

Quadratic vs. Linearithmic

Insertion Sort

Selection Sort

Bubble Sort

Quick Sort

Merge Sort



Motivation

Sorting:

A process of arranging things in certain order

Motivation:

Few sample applications:

List of cities with largest populations

List of students in order of GPAs

Alphabetical list of words

Lexicographic order of dictionary

Files and folder arrangement in file explorer according to date, size, name etc.

0	1	2	3	4	5
8	20	15	-5	7	-55
0	1	2	3	4	5



In-Place vs. Not-In-Place Sorting

In-Place:

An in-place algorithm transforms an input into output with a constant amount of extra storage space

In the context of sorting, this means that the input array converted to sorted array without using a new array. Like

Selection Sort

Bubble Sort

Insertion Sort

Heap Sort

Not-In-Place:

Just opposite of in-place, requires amount of extra space proportional to size of input, in case of sorting it will be an additional array. Like

Merge Sort



Stability

If list contains many equal numbers, then there can be multiple correct sorted solutions

For example if we sort the list of three words {apple, chair, king} according to word length, then two possible solutions are:

```
{king, chair, apple}
{king, apple, chair}
```

A sorting algorithm is stable if relative position of equal elements in output is equal to their relative position in input.

Stable sorted solution of above list is {king, apple, chair}



Time Complexity

```
Quadratic \rightarrow O(n<sup>2</sup>)
  Selection Sort
  Bubble Sort
  Insertion Sort
Linearithmic \rightarrow O(nlogn)
  Quick Sort
  Merge Sort
  Heap Sort
Linear \rightarrow O(n)
  Bucket Sort
  Counting Sort
  Radix Sort
```



Comparison vs Non Comparison Sorting

Sorting by comparing values as whole to each other. Complete data values are compared without any kind of assumptions about them.

Selection Sort

Insertion Sort

Bubble Sort

Quick Sort

Merge Sort

Heap Sort

Non-Comparison sorting sorts the numbers with partial comparison and may assume some constraints about data.

Bucket Sort

Counting Sort

Radix Sort



Selection Sort

Iterative and in-place

Main Idea

Selects the smallest element of the array and places it at index 0, then selects the second smallest and places it in index 1, then the third smallest in index 2, etc..

So at any time there are two partitions of list:

One that is sorted

One that is unsorted

Advantages:

Very simple

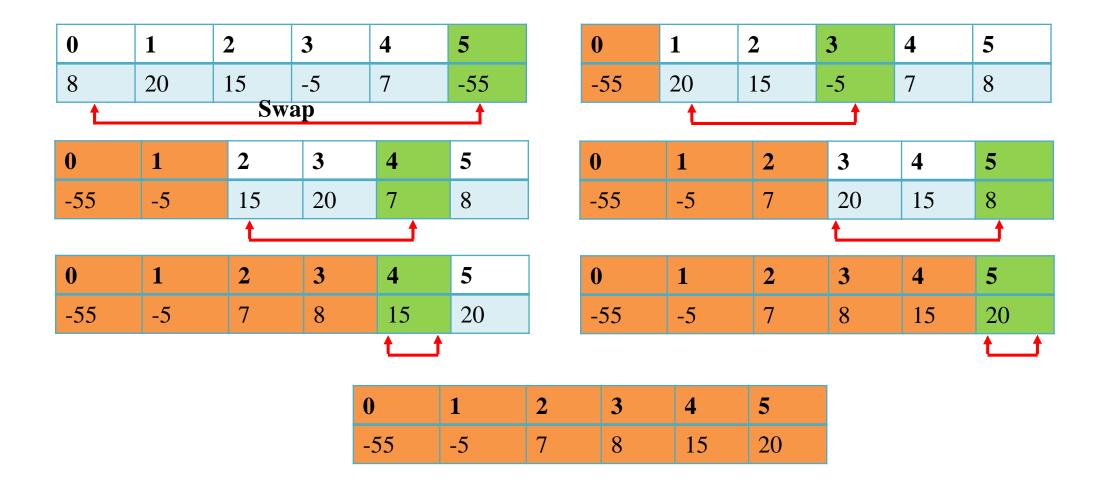
Memory efficient: in-place means swapping elements within same array

Disadvantages:

Slow: runs in quadratic O(n2) time

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Selection Sort





Selection Sort

```
Algorithm: SELECTIONSORT(A[], N)
Input: an array of size N
Output: sorted array
Steps:
     Let pos_min=-1;
     _for(count=0; count<N; count++)</pre>
        //find minimum
        pos_min=count //consider 1st element of remaining array minimum
       for(index=count; index < N; index++)
                 if (A[index]<A[pos_min])</pre>
6.
                         pos_min=index
7.
                 End if
8.
        End loop
9.
        //swap the min with value at count
10.
        tmp=A[pos_min]
        A[pos_min]=A[count]
12.
        A[count]=tmp
13.
  1. Lend loop
```



Insertion Sort

Iterative and in-place

Main Idea

Arranges items one at a time by comparing each element with every element before it and inserting it into the correct position

Advantages:

Works particularly well if the list is already partially sorted

Memory efficient: in-place

Disadvantages:

Slow: runs in quadratic O(n2) time.



Insertion Sort

Find 1st number that is < its previous number, means it is incorrect position, find its correct location by comparing it numbers on left side. Shift numbers to right. Place number at founded location

0	1	2	3	4	5		0	1	2	3	4	5
8	20	15	-5	7	-55		8	15	20	-5	7	-5
	t											
0	1	2	3	4	5		0	1	2	3	4	5
-5	8	15	20	7	-55		-5	7	8	15	20	-5
	t		,						,		t	
			0		1	2	3	4	5			
			_	55	-5	7	8	15	20			



Insertion Sort

```
Algorithm: INSERTIONSORT(A[], N)
Input: an array of size N
Output: sorted array
Steps:
     for(count=1; count<N; count++)</pre>
       v=A[count]
       j=count
       while (A[j-1]>v \text{ and } j>0)
                                             //find the correct position
               A[j] = A[j-1]
       End While
       A[j]=v
                              //store v at correct position
     End loop
9.
```



Bubble Sort

Iterative and in-place

Main Idea

Arranges items from first element to last, comparing each pair of elements and swapping their positions if needed.

Advantages:

Very simple

Memory efficient: in-place

Disadvantages:

Slow: runs in quadratic O(n2) time.

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Bubble Sort

First iteration

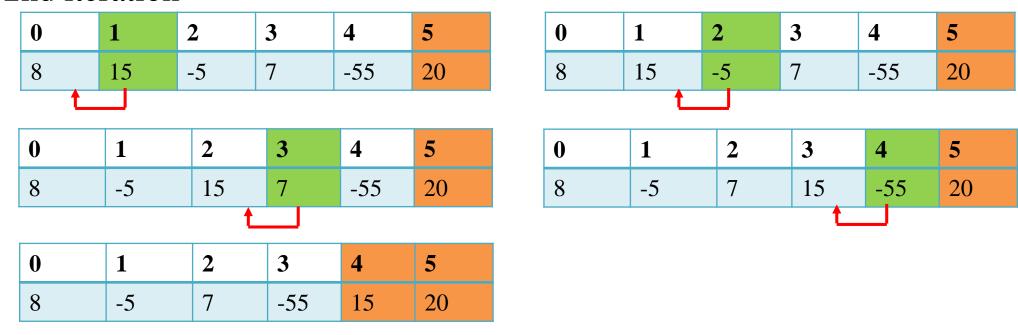
0	1	2	3	4	5		0	1	2	3	4	5
8	20	15	-5	7	-55		8	20	15	-5	7	-55
1						-		L				•
0	1	2	3	4	5		0	1	2	3	4	5
8	15	20	-5	7	-55		8	15	-5	20	7	-55
		•								t		
0	1	2	3	4	5		0	1	2	3	4	5
8	15	-5	7	20	-55		8	15	-5	7	-55	20
				1								

One number is placed at right position. Now we need to repeat the same process on remaining list (Orange part is sorted)



Bubble Sort

2nd iteration



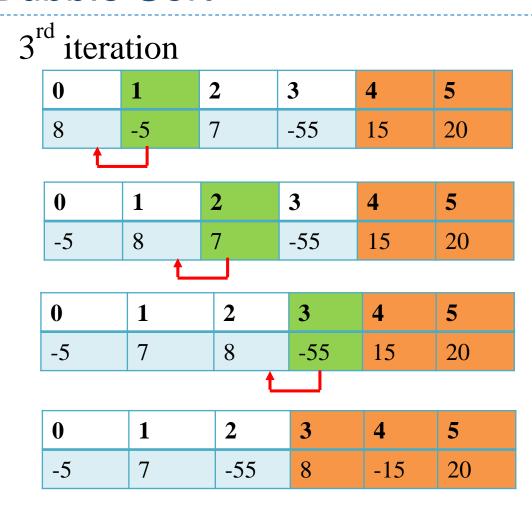
Another number is placed at right position. Now sorted list contain two numbers.



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Bubble Sort



4 th	iteration									
	0	1	2	3	4	5				
	-5	7	-55	8	-15	20				
	←									
	0	1	2	3	4	5				
	-5	7	-55	8	15	20				
		t _								
	0	1	2	3	4	5				
	-5	-55	7	8	15	20				

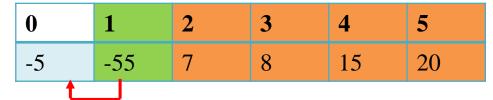


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Bubble Sort

5th iteration



0	1	2	3	4	5
-55	-5	7	8	15	20

6th iteration

0	1	2	3	4	5
-55	-5	7	8	15	20



Bubble Sort

```
Algorithm: BUBBLESORT(A[], N)
Input: an array of size N
Output: sorted array
Steps:
    for(count=N; count>=0; count--) //Outer loop to count iteration
      for(index=0; index < count; index++) //Inner loop for swaps
             if (A[index] < A[index+1])
                    temp = A[index]
                    A[index] = A[index+1]
                   A[index+1] = temp
6.
             End if
      End loop
9.
10. End loop
```



Divide and Conquer

Divide-and-conquer is a general algorithm design paradigm:

Divide: divide the input data S into disjoint subsets $S_1, S_2, ..., S_k$

Recur: solve the sub problems associated with $S_1, S_2, ..., S_k$

Conquer: combine the solutions for $S_1, S_2, ..., S_k$ into a solution for S

The base case for the recursion is generally sub problems of size 0 or 1

There are certain sorting algorithms which works in this way:

Merge sort

Quick sort



Merge sort is a sorting algorithm based on the divide-and-conquer paradigm

Like the quadratic sorts, merge sort is comparative

Merge sort is recursive and runs in O(n log n) time

Main Idea:

Divide: partition list A of size N into two halves left and right of size N/2

Recur: sort the left and right half recursively

Conquer: merge the sorted left and right halves into a sorted sequence

Advantages

Efficient, requires nlogn time

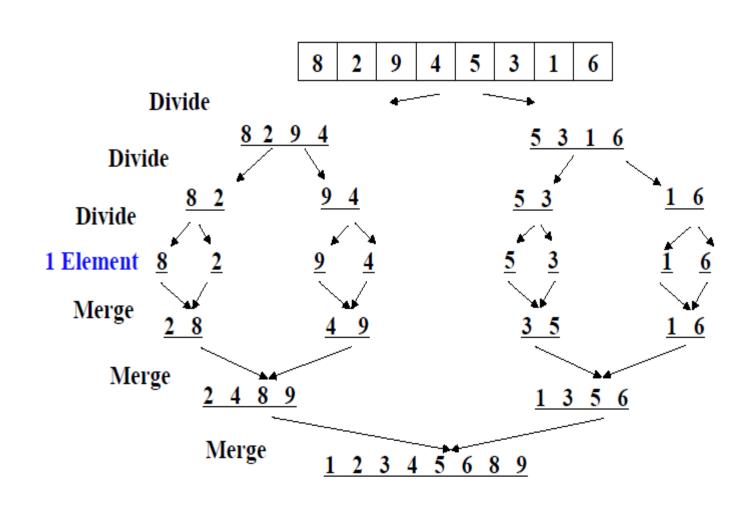
Disadvantages

Not in-place, require O(N) extra space for merging

In-Place solutions do exist but more complex



- 1. If a list has 1 element or 0 elements it is sorted
- 2. If a list has more than 2 elements, split into 2 separate lists
- 3. Perform this algorithm on each of those smaller lists
- 4. Take the 2 sorted lists and merge them together



0	1	2	3	4	5
8	20	15	-5	7	-55



5
-55
•
5
-55
/
/
/
5
5 7



Algorithm: MERGESORT(A[], start, end) Steps:

- 1. If (end-start+1)<2 //if size of array is 0 or 1
- 2. return A
- 3. Else
- 4. mid=(start+end)/2
- 5. MERGESORT(A, start, mid)
- **6.** MERGESORT(A,mid+1, end)
- 7. MERGE(A, start, mid,end)
- 8. End if



MERGE(A[], start, mid, end)

- 1. n1 = mid-start+1
- 2. n2=end-mid
- 3. left[n1], right[n2]
- 4. i=start, j=mid+1
- 5. //make copy of left and right half
- 6. For i=0; i<n1; i++
- 7. left[i]=A[start+i]
- 8. End For
- 9. For j=0; j< n2; j++
- 10. right[j]=arr1[j+mid+1]
- 11. End For
- 12. i=0, j=0, k=start

```
1. While i < n1 and j < n2
```

2. if left[i]<=right[j]

A[k]=left[i]

4. i=i+1

5. Else

6. A[k]=right[j]

7. j=j+1

8. End if

9. k=k+1

10. End While

- 11. While i<n1 //copy rest of left half
- 12. A[k]=left[i]
- 13. k++, i++
- 14. End While
- 15. While j<n2 //copy rest of right half
- $16. \qquad A[k]=right[j]$
- 17. k++, j++
- 18. End While



Quick sort is another sorting algorithm based on the divide-and-conquer paradigm.

Divide: pick an element as pivot and partition list A into elements less than pivot elements greater than pivot

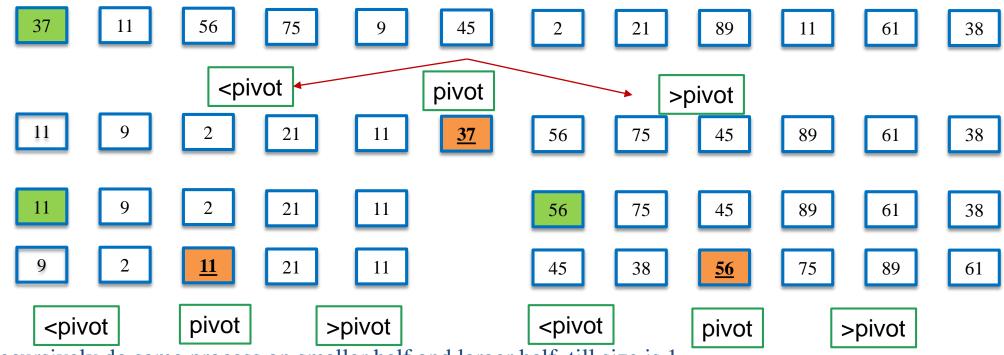
- Recur: quicksort both divisions
 - **Conquer**: a sorted list in order of less than pivot, pivot and greater than pivot elements
- Although merge sort is O(n log n), it is quite inconvenient for implementation with arrays, since we need extra space to merge.

In practice, the fastest sorting algorithm is Quicksort, which uses partitioning as its main idea.



Let say 1st element is selected as pivot.

Get the smaller numbers Get the larger numbers

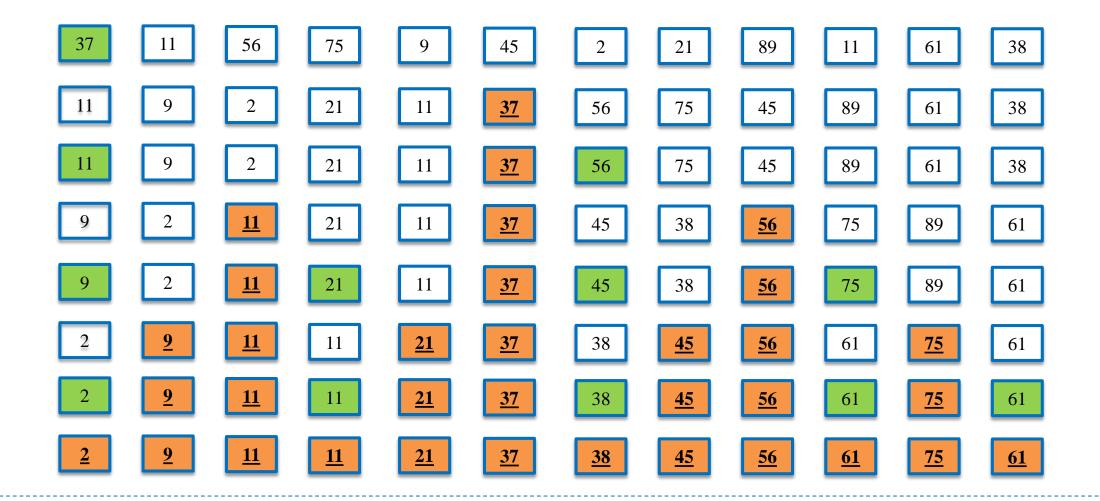


Recursively do same process on smaller half and larger half, till size is 1.

Sorted list= smaller + Pivot + Larger

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Quick Sort





How to choose pivot?

First element or Last element

Middle element

Randomly selected element

Mid of low, mid and high index

How to do partition?

Elements that are less than pivot are shifted to left side of pivot Elements that are greater than pivot are shifted to right side of pivot

▶ Different variations are present.

Two commonly used algo's are discussed here.



Algorithm: QUICKSORT(A[], start, end) **Steps:**

- 1. If (start>=end)//base case, arraysize is 0 or 1
- 2. return
- 3. Else
- 4. pivot_index=PARTITION(A, start, end)
- 5. QUICKSORT(A, start, pivot_index-1)
- 6. QUICKSORT(A,pivot_index+1, end)
- 7. End if

This is known as lomuto partitioning scheme.

https://en.wikipedia.org/wiki/Quicksort#Lomut o_partition_scheme

PARTITION(A[], left, right)

- 1. pivot=A[right]
- 2. //shift numbers, most tricky part
- 3. i=left; j=left
- 4. while (j<right) //start from left
- 5. If A[j]<pivot //move smaller numbers to left of list
- 6. swap(A[i],A[j])
- 7. i=i+1
- 8. End If
- 9. j=j+1
- 10. End While
- 11. swap(A[i], pivot)
- 12. return I

// i is the pivot location after moving smaller and larger numbers



- increment j
- ► Swap (A[i], pivot)
 return i //→pivot_index

left, i, j	_				righ
0	1	2	3	4	5
8	20	15	-5	7	-55
left, i		<u> </u>		j	righ
0	1	2	3	4	5
8	20	15	-5	7	-55
			•	<u> </u>	
0	1	2	3	4	5
-55	20	15	-5	7	8



```
Pivot=A[right], i=left, j=left
While(j<right)

If(A[j] <= pivot)

Swap (A[i], A[j])

increment I
```

- increment j
- ► Swap (A[i], pivot)
 return i //→pivot_index

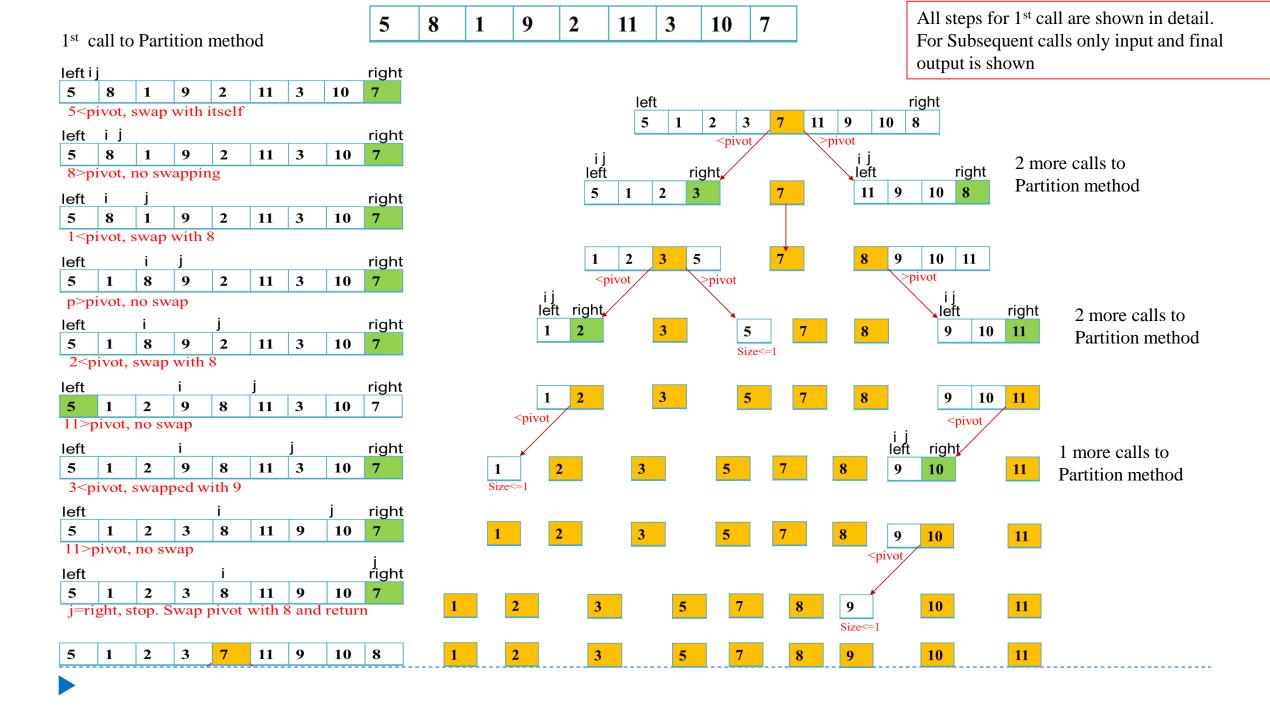
	left, i, j				right
0	1	2	3	4	5
-55	20	15	-5	7	8
	left, i		j		right
0	1	2	3	4	5
-55	20	15	-5	7	8
	left	i		j	right
0	1	2	3	4	5
-55	-5	15	20	7	8
	left		i	j	right
0	1	2	3	4	5
-55	-5	7	20	15	8
0	1	2	3	4	5
-55	-5	7	8	15	20



Complete Working

Array is shown after each call to Partition method

0	1	2	3	4	5
8	20	15	-5	7	-55
0	1	2	3	4	5
-55	20	15	-5	7	8
		-			
0	1	2	3	4	5
-55	-5	7	8	15	20
0	1	2	3	4	5
-55	-5	7	8	15	20
0	1	2	3	4	5
-55	-5	7	8	15	20





Algorithm: QUICKSORT(A[], start, end) **Steps:**

- 1. If (start>=end)//base case, arraysize is 0 or 1
- 2. return
- 3. Else
- 4. pivot_index=PARTITION(A, start, end)
- 5. QUICKSORT(A, start, pivot_index)
- **6**. QUICKSORT(A,pivot_index+1, end)
- 7. End if

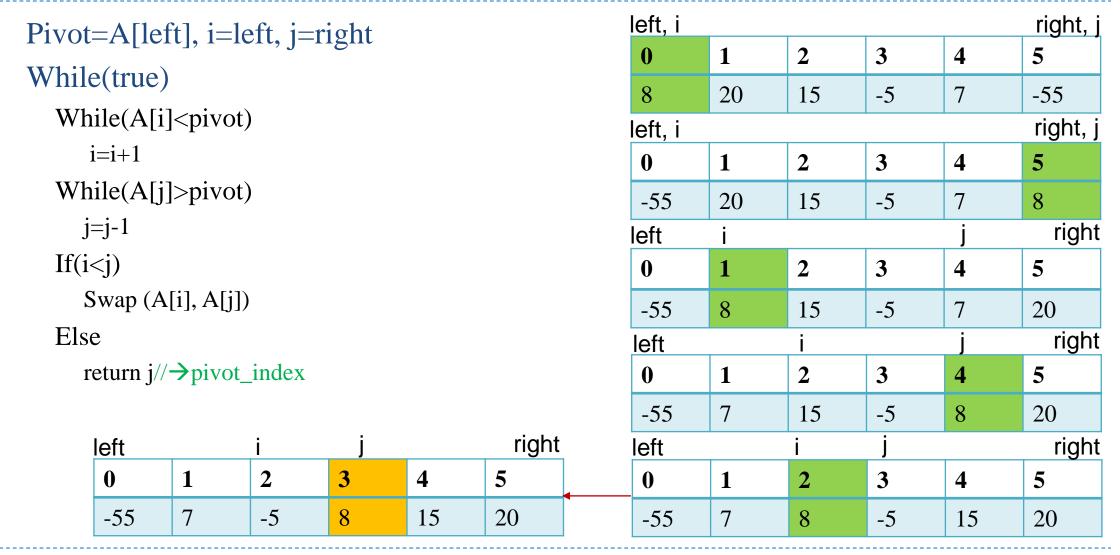
A variation of Hoare's partitioning scheme.

https://en.wikipedia.org/wiki/Quicksort#Hoare_p artition_scheme

```
PARTITION(A[], left, right)
```

- 1. pivot=A[left]
- 2. //shift numbers, most tricky part
- 3. i=start; j=end
- 4. while (true)
- 5. while (A[i] < pivot)
- i++
- 7. while (A[j] > pivot)
 - 3. j--
- 9. **If** i<j //swap
- 10. temp=A[i]
- 11. A[i]=A[j]
- 12. A[j]=temp
- if(A[i]==A[j]) //otherwise loop will never end
 - i=i+1
- 1. Else
- 2. return j
- End if
- 4. End While

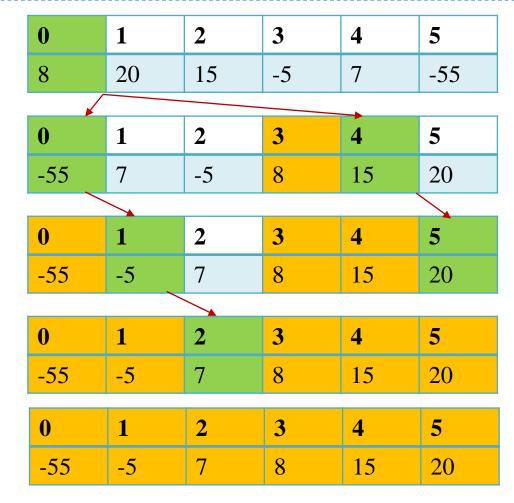


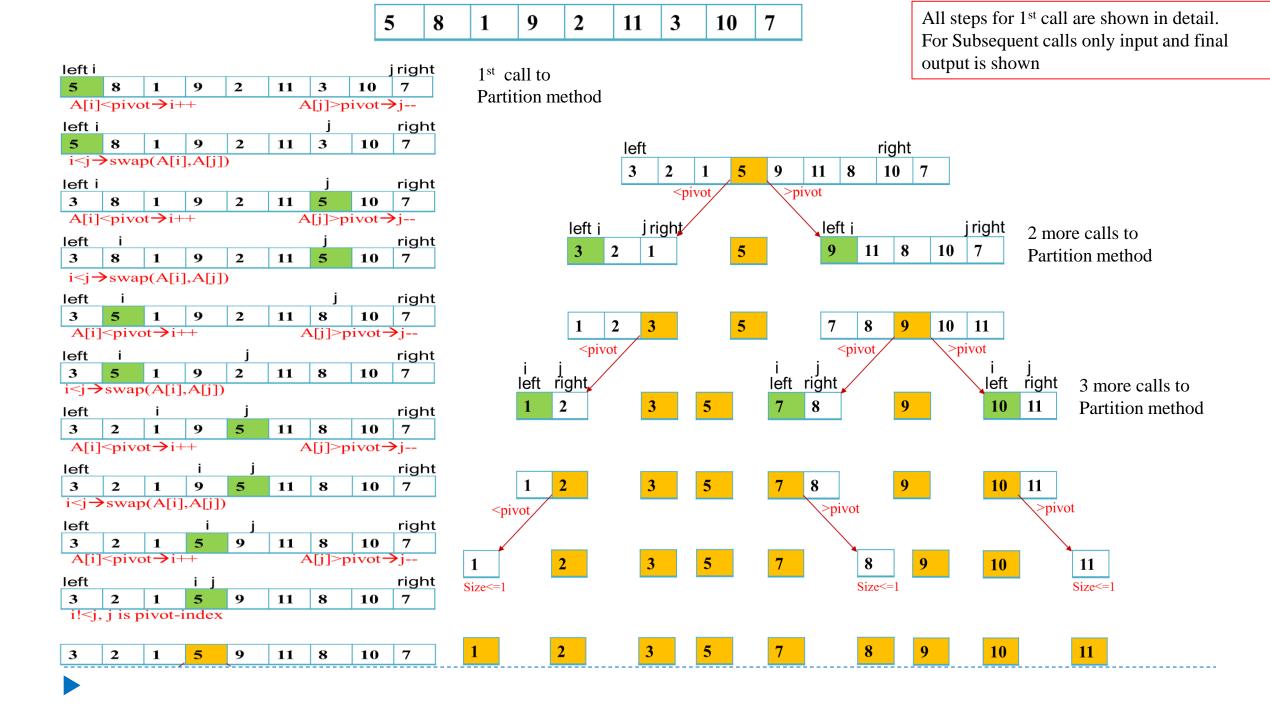




Quick Sort-2

Complete Working







Heap sort is another recursive algorithm to sort the numbers using heap concept.

Main Idea:

Build the heap from given list of numbers

Repeatedly swap the root with last element of heap until list is sorted.

Advantages

Time efficient-O(NlogN)

In-Place

Disadvantages

Uses an additional data structure-heap



1st step: Building Heap

- 1. Start from middle location and go to start
- 0
 1
 2
 3
 4
 5
 6
 7
 8

 23
 1
 6
 19
 14
 18
 8
 24
 15

- 2. Assume it as parent
- 3. Find index of maximum of parent, its left and right child
- 4. If parent is not maximum
- 5. swap parent with maximum
- 6. repeat step(2) on maximum index

0	1	2	3	4	5	6	7	8
23	1	6	19	14	18	8	24	15



Heap Sort

Middle location=4 to 0

P=4, No left, right child

Max = 7

Swap P and Max

Now P=Max=7, no left, right child

Max=5

Swap P and Max

Now P=5, no left, right child

0	1	2	3	4	5	6	7	8
23	1	6	19	14	18	8	24	15
0	1	2	3	4	5	6	7	8
23	1	6	19	14	18	8	24	15
0	1	2	3	4	5	6	7	8
23	1	6	24	14	18	8	19	15
						•		
0	1	2	3	4	5	6	7	8
23	1	6	24	14	18	8	19	15
0	1	2	3	4	5	6	7	8
23	1	18	24	14	6	8	19	15



Max=3

Swap P and Max

Now P=3, L=7, R=8

Max=7

Swap P and Max

Now P= 7, No left, right

P=0, L=1,R=2

Max=1

Swap P and Max

Now P= 1, L=3, R=4

Max=1=P, No need of swapping,

List is finished

0	1	2	3	4	5	6	7	8
23	1	18	24	14	6	8	19	15

0	1	2	3	4	5	6	7	8
23	24	18	1	14	6	8	19	15

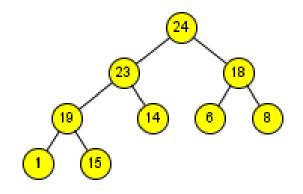
0	1	2	3	4	5	6	7	8
23	24	18	19	14	6	8	1	15

0	1	2	3	4	5	6	7	8
23	24	18	19	14	6	8	1	15

0	1	2	3	4	5	6	7	8
24	23	18	19	14	6	8	1	15



Array is converted into a heap.



U	1	4	J	7	J	U	,	O
23	1	6	19	14	18	8	24	15
0	1	2	2	4	5	6	7	8
U	T	4	3	4	3	U	/	O

Now comes the 2nd part.

Swap the root with last element of heap

15 will go on top

Heap size will be reduced.

Heapify 15 to rebuild heap order.

Repeatedly do the same process, until heap size becomes 1.

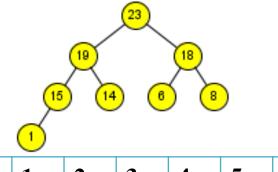


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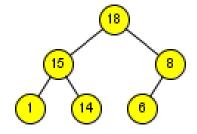
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Heap Sort

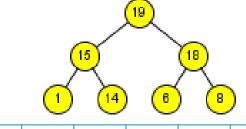
Swap root with last element of heap, rebuild heap



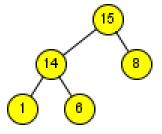
0	1	2	3	4	5	6	7	8
23	19	18	15	14	6	8	1	24



0	1	2	3	4	5	6	7	8
18	15	8	1	14	6	19	23	24



0	1	2	3	4	5	6	7	8
19	15	18	1	14	6	8	23	24



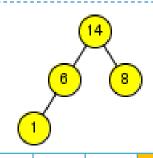
0	1	2	3	4	5	6	7	8
15	14	8	1	6	18	19	23	24



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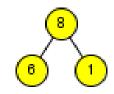
Heap Sort



0	1	2	3	4	5	6	7	8
14	6	8	1	15	18	19	23	24



0	1	2	3	4	5	6	7	8
6	1	8	14	15	18	19	23	24



0	1	2	3	4	5	6	7	8
8	6	1	14	15	18	19	23	24



0	1	2	3	4	5	6	7	8
1	6	8	14	15	18	19	23	24

0	1	2	3	4	5	6	7	8
1	6	8	14	15	18	19	23	24



Algorithm: HEAP_SORT(A[], N)

- 1. buildMaxHeap(A,N)
- 2. For i = N-1; i > 1; i--
- $3. \quad \text{swap}(A[0], A[i])$
- 4. $\max \text{Heapify}(A, i, 0)$
- 5. End For

Algorithm: buildMaxHeap(A[], N)

- 1. For i = N/2; i > = 0; i - 0
- 2. maxHeapify(A, N, i)
- 3. End For

Algorithm: maxHeapify(A[], N, i)

- 1. L=2*i+1
- 2. R=2*i+2
- 3. if 1 < N and A[1] > A[i]
- 4. largest=L
- 5. else
- 6. largest= i
- 7. If r < N and A[r] > A[largest]
- 8. largest=r
- 9. If (largest != i)
- 10. Swap(A[i], A[largest])
- 11. maxHeapifyDown(A, largest)
- 12. End If



Comparison

	Worst Case	Best Case	In-Place	Stable
Selection	$O(n^2)$	$O(n^2)$	Yes	No
Insertion	$O(n^2)$	$O(n^2)$	Yes	Yes
Bubble	$O(n^2)$	$O(n^2)$	Yes	Yes
Quick	$O(n^2)$	O(n logn)	Yes	No
Merge	O(n logn)	O(n logn)	No	Yes
Heap	O(n logn)	O(n logn)	Yes	No

http://www.cprogramming.com/tutorial/computersciencetheory/sortcomp.html



Counting Sort

Counting Sort assumes that input data is in range of 0-k, Where k is a positive integer. So the highest value is k

Main Idea:

Count frequency of each element j, $1 \le j \le k$.

Store results in an array C.

Counting information from C can be used to determine the position of each element in the sorted array.

Modify the values of the C[j] so that now C[j] = the number of keys less than or equal to j. that is C[j]=C[j]+C[j-1]+C[j-2]....

Now there is a mystery. Use a new array B of same size as A.

If we pick the value A[j], let's call it m, now pick the value C[m], let's call it n.

 $B[n]=A[j] \rightarrow$ element located at j is placed in its correct position of B. Reduce the count of C[A[j]] by 1.

Advantages:

Linear \rightarrow O(n+k)

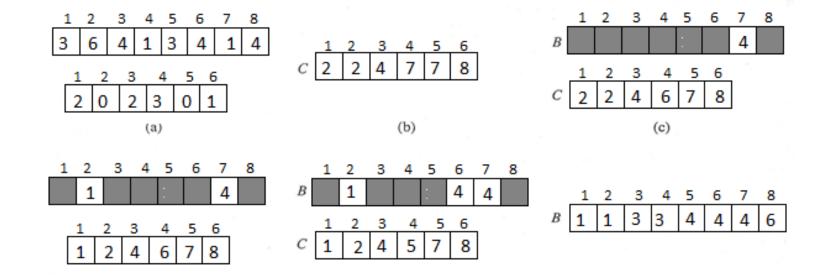
Easy to code

Disadvantages:

Not in place



Counting Sort





Counting Sort

```
COUNTING-SORT (A, B, k)

1 for i \leftarrow 0 to k

2 do C[i] \leftarrow 0

3 for j \leftarrow 1 to length[A]

4 do C[A[j]] \leftarrow C[A[j]] + 1

5 \triangleright C[i] now contains the number of elements equal to i.

6 for i \leftarrow 1 to k

7 do C[i] \leftarrow C[i] + C[i-1]

8 \triangleright C[i] now contains the number of elements less than or equal to i.

9 for j \leftarrow length[A] downto 1

10 do B[C[A[j]]] \leftarrow A[j]

11 C[A[j]] \leftarrow C[A[j]] - 1
```

```
O(n+k)
```



Bucket Sort

Bucket sorts assumes that data is uniformly distributed within a range [0,1]

Main Idea:

Creates n buckets of equal size to create partitions of range.

Place all elements into their respective buckets.

Sort individual buckets

Concatenate the buckets

Advantages:

Linear \rightarrow O(n)

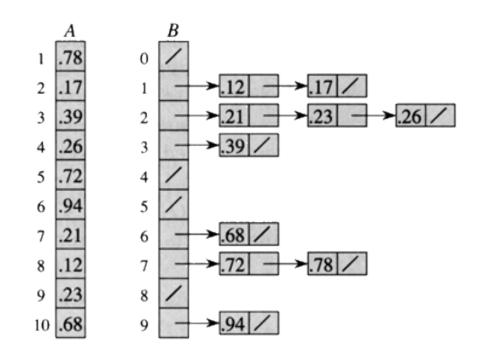
Easy to code

Disadvantages:

Not in place



Bucket Sort





Bucket Sort

```
BUCKET-SORT(A)

1 let B[0..n-1] be a new array

2 n = A.length

3 for i = 0 to n-1

4 make B[i] an empty list

5 for i = 1 to n

6 insert A[i] into list B[[nA[i]]]

7 for i = 0 to n-1

8 sort list B[i] with insertion sort

9 concatenate the lists B[0], B[1], \ldots, B[n-1] together in order
```



Radix Sort

Radix sort assumes that data elements are sequences of digits in a fixed range 0-R-1, and each data value is of fixed length k.

Like ASCII characters

Bank accounts

As characters also represented using integers, so this sort can also be applied to strings.

It sorts the data in multiple passes on basis of significant digit of all data values.

Two classifications:

LSD-Least Significant Digit Radix Sort

Starts from least significant digit and moves to most significant digit

☐ MSD-Most Significant Digit Radix Sort

Vice versa

It uses an intermediate sorting algorithm, which must be stable.

Advantages:

Linear \rightarrow O(n)

Easy to code

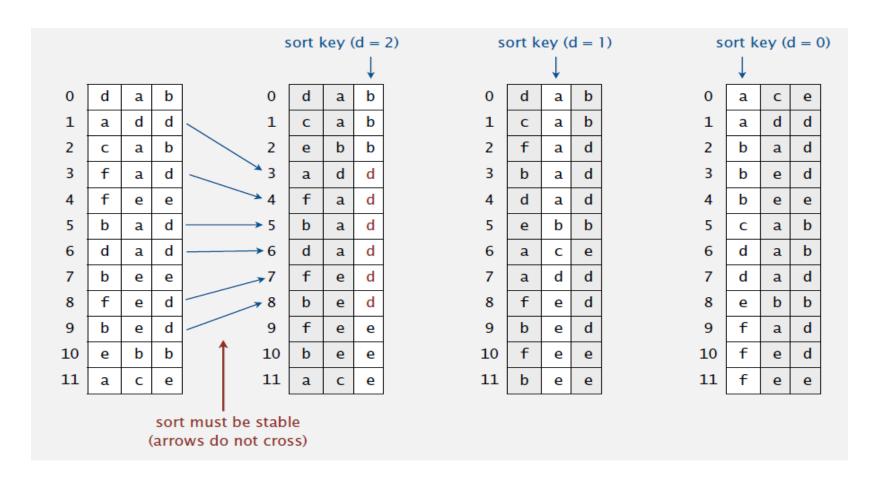
Disadvantages:

Not in place



Radix Sort

Sort the data digit by digit.





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Radix Sort

329	720	720	329
457	355	329	355
657	436	436	436
839 ->	457 ->	839 ->	457
436	657	355	657
720	329	457	720
355	839	657	839



Radix Sort

Radix(A,k)

- 1. For i=1 to k
- 2. Use stable sort to sort A on digit I
- 3. //counting sort will do the work