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# Tree

CSC-114 Data Structure and Algorithms



# Outline

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## Binary Tree Variations

### Binary Heap Tree

Max Heap

Min Heap

► Insertion

Deletion



# Binary Heap

A binary tree which holds two properties:

## Heap Order Property:

Min-Heap Property: Every node is smaller than or equal to each of its children

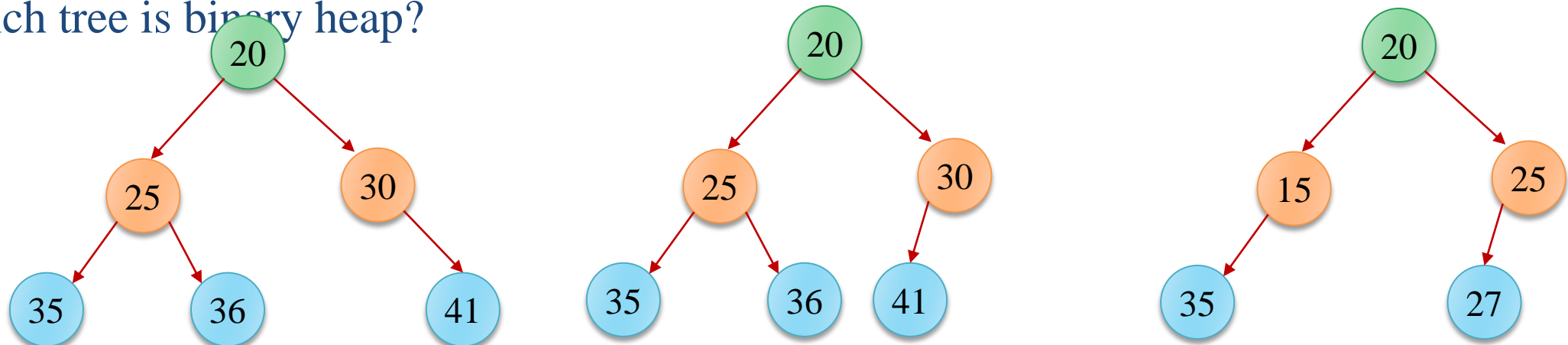
Max-Heap Property: Every node is larger than or equal to each of its children

## ► Shape Property:

Tree is **complete**.

A tree that is full at all levels except last level, and nodes are filled from left to right

Which tree is binary heap?





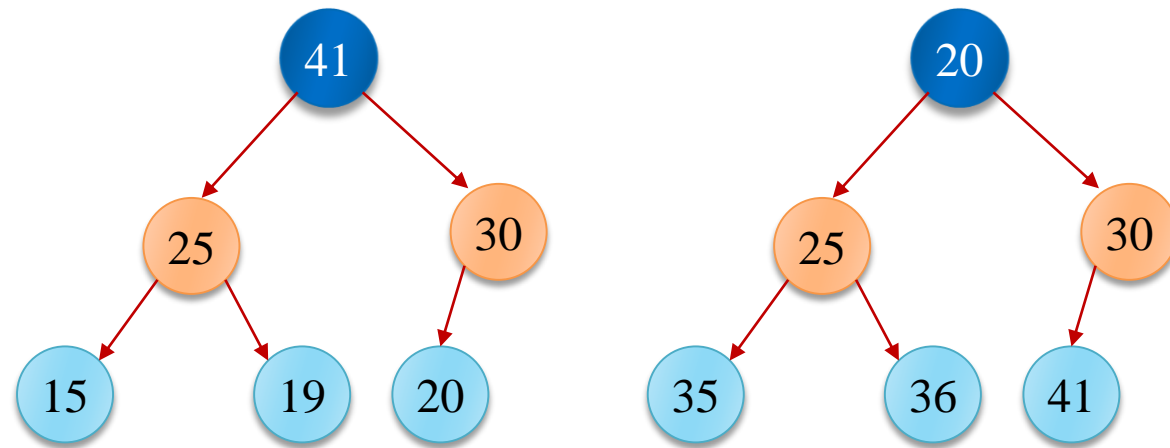
# Finding Min

Finding minimum or maximum?

It will always be root node with max or min value depending upon it is min heap or max heap.

So constant time required

What about removal?





# Deletion

Deleting minimum?

Root node will be removed

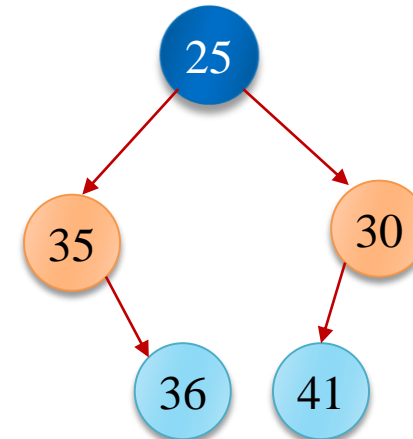
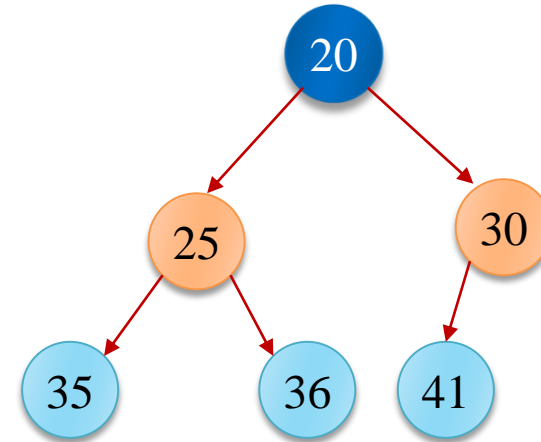
Which node will be next root node?

It must be next minimum that is 25

Then which node will come at place of 25?

Again, the minimum in sub tree of 25

Is this heap tree any more?

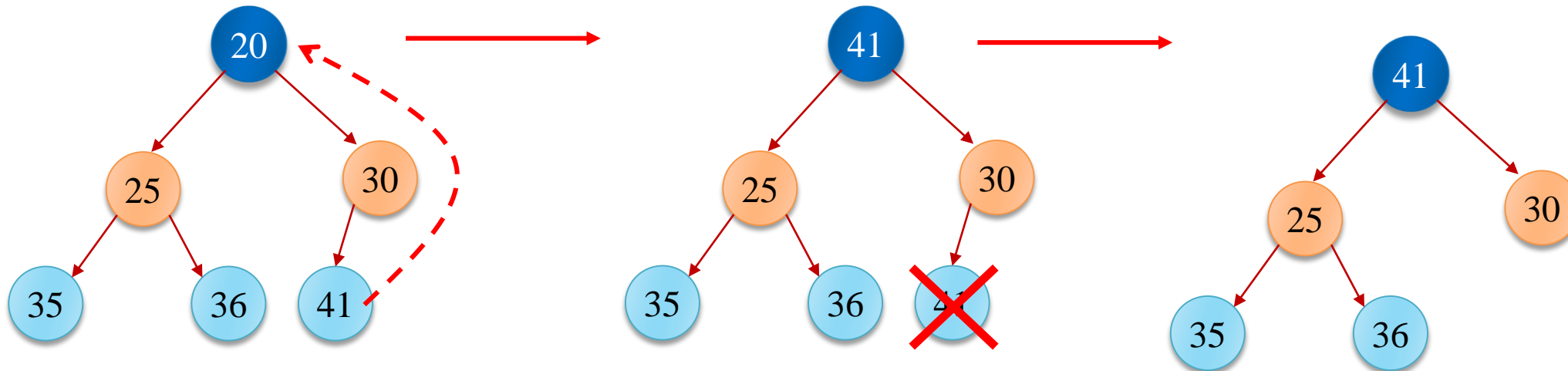




# Deletion

Deleting minimum?

What if we replace root node with **last** inserted node?



Now the completeness property is reserved  
But another problem is created, what is that?



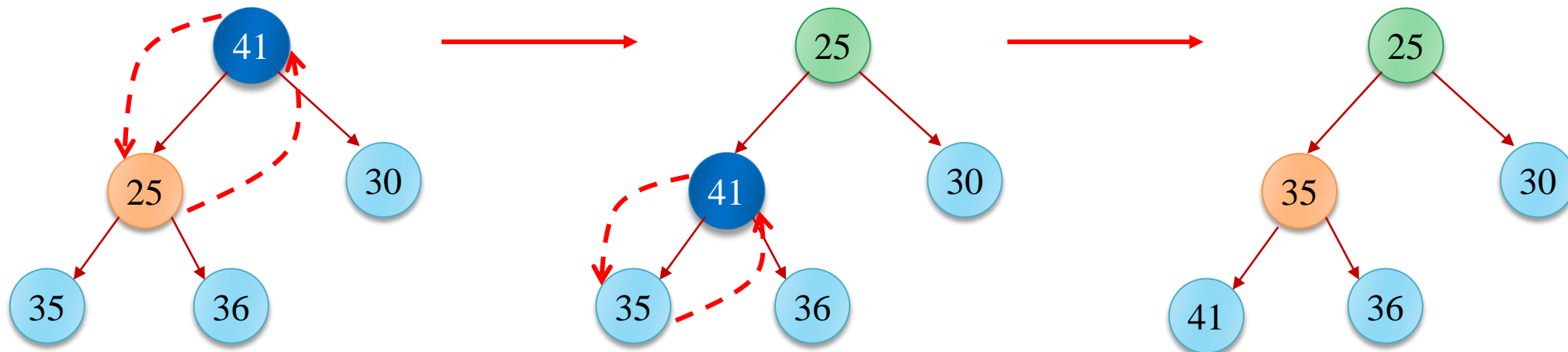
# Deletion

We need to perform another process to maintain heap order

After replacing root node, check if it is greater than its children, swap with appropriate child.

Repeat this process till leaf or parent node becomes smaller than its children

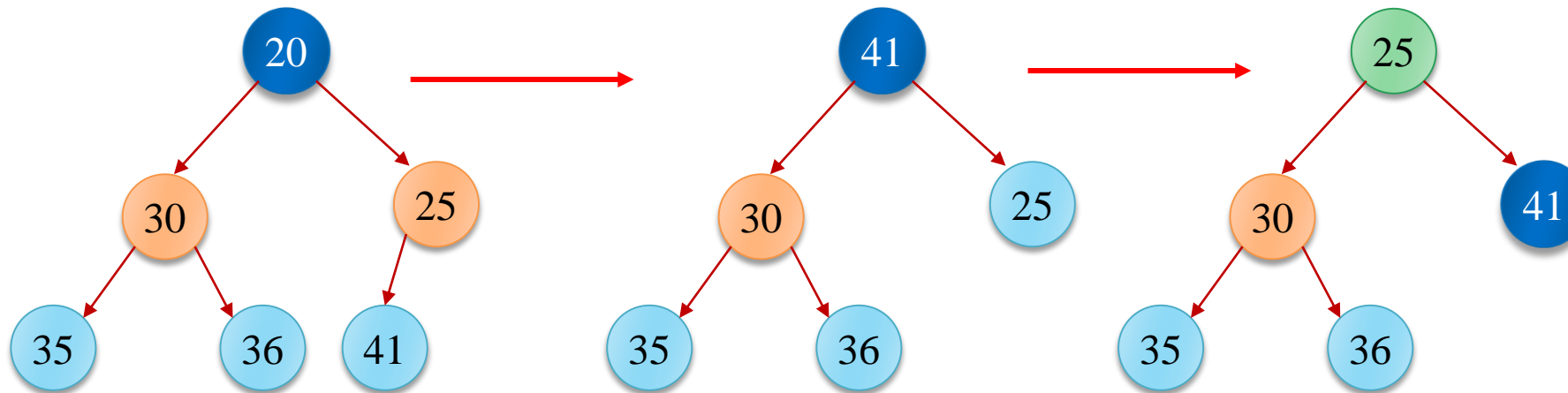
This process is called **Heapify-Down** or **Down Heap**





# Heapify-Down

## Example-2



Deletion involves following steps:

1. Replace root node with last inserted node, to maintain shape
2. Heapify-Down process, to maintain heap order





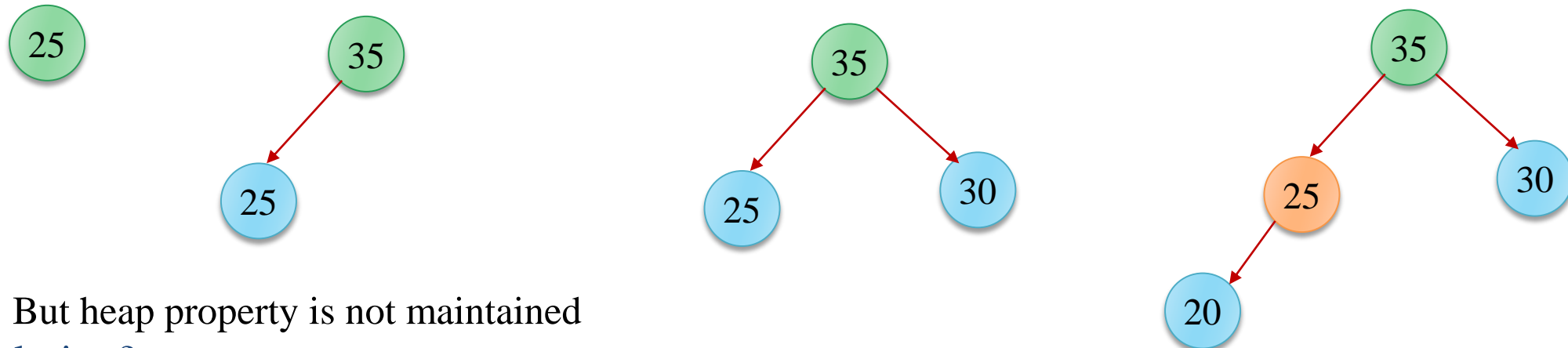
# Insertion

## Insertion of a new node

Always insert from left to right to maintain shape property of left completeness

Let say starting from root node how to decide that we should go left or right?

Always remember where you inserted last node



But heap property is not maintained

### ► Solution?

Repeatedly check if parent is larger than node, then swap the node with parent

**Process is called Heapify-Up or Up Heap**



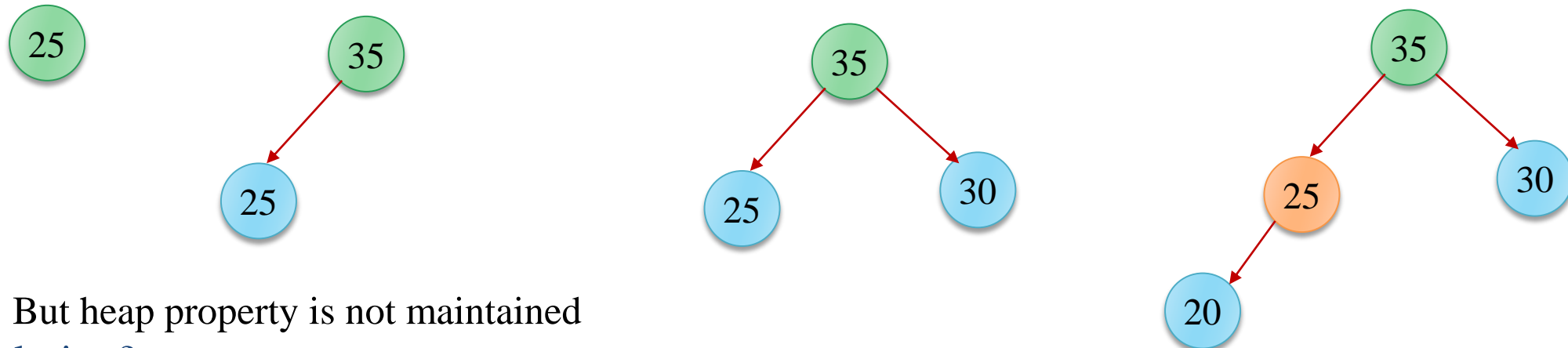
# Insertion

## Insertion of a new node

Always insert from left to right to maintain shape property of left completeness

How?

Always remember where you inserted last node



But heap property is not maintained

### ► Solution?

Repeatedly check if parent is larger than node, then swap the node with parent

**Process is called Heapify-Up or Up Heap**



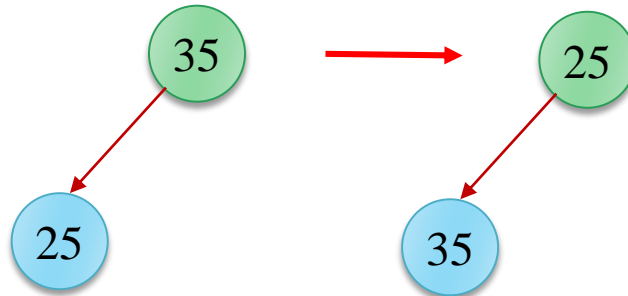
# Heapify-Up

Insert 35



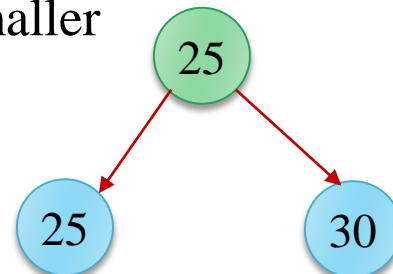
Insert 25

Swap with parent if parent is larger

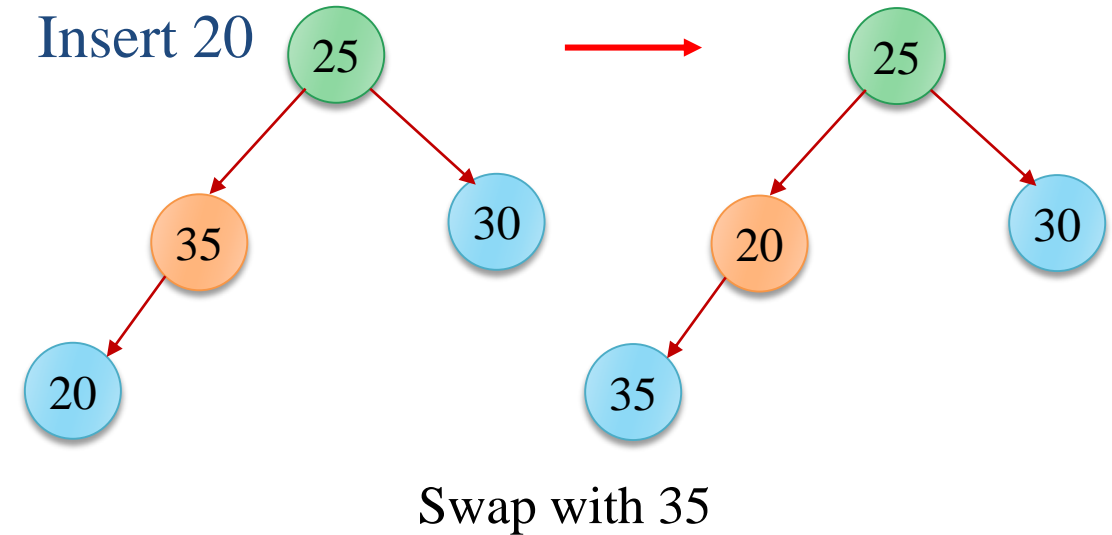


Insert 30

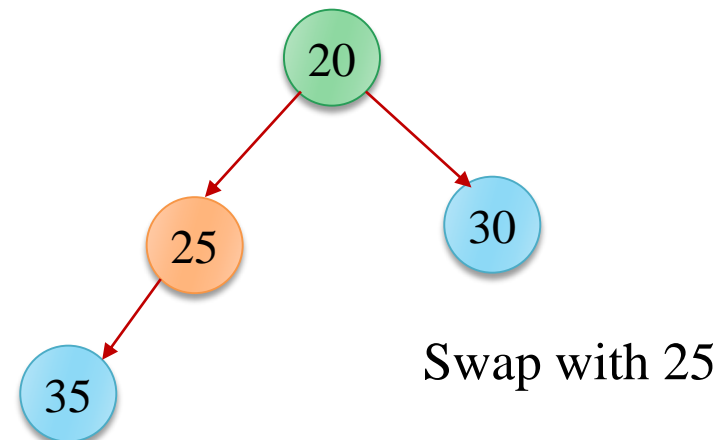
Parent is already smaller



Insert 20



Swap with 35



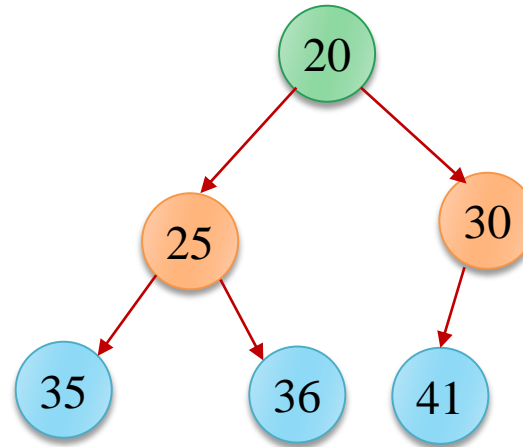
Swap with 25



# Insertion

Insert 36

Insert 41



Insertion involves two steps:

1. Inserting node at correct position using last node, to maintain left completeness
2. Heapify-Up process, to maintain heap order



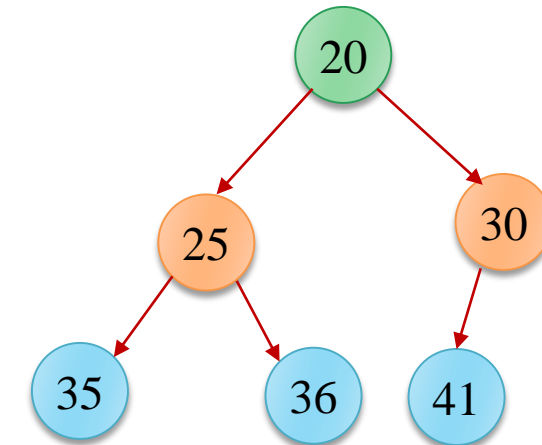
# Implementation

## Using Linked Memory Allocation

Maintain two nodes:

Root

Last node



## Using Array

Children of node at location  $k$

Left  $\rightarrow 2K+1$

Right  $\rightarrow 2K+2$

- Parent of a node located at  $k$   
 $(k-1)/2$  (consider integer division)

0	1	2	3	4	5	6
20	25	30	35	36	41	null



# Using Array

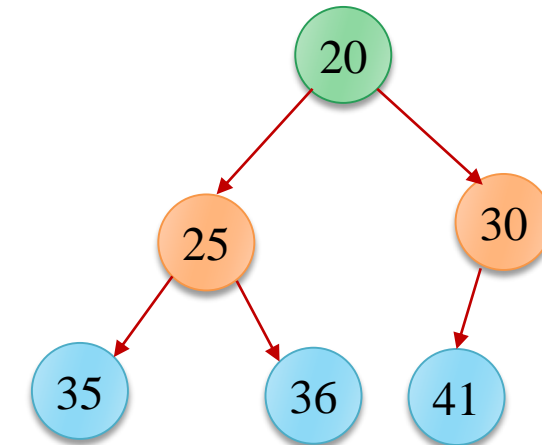
Root is always 1<sup>st</sup> index

Last node's index is = size-1

No need of functions for left, right, parent

Just do calculation

- ▶ Deletion is always replacing root with last  
Then Heapify-Down
- ▶ Insertion is always at end of current nodes  
And then Heapify-Up



0	1	2	3	4	5	6
20	25	30	35	36	41	null



# Using Linked Memory Allocation

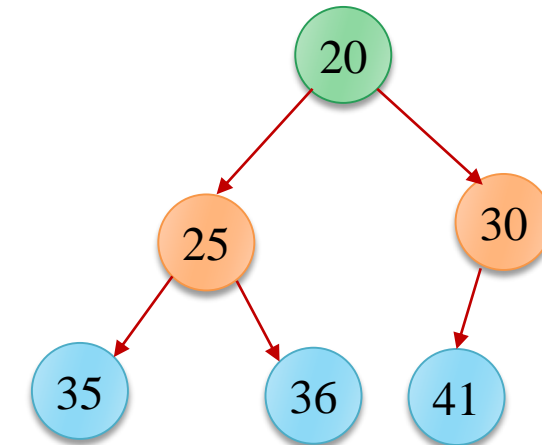
Need to maintain last node?

In Deletion

1. Root is replaced with Last node
2. Last node is updated
3. And then Heapify-Down

► In Insertion

1. Last node used to find correct location for new node
2. Node is inserted
3. Last node is updated
4. And then Heapify-Up



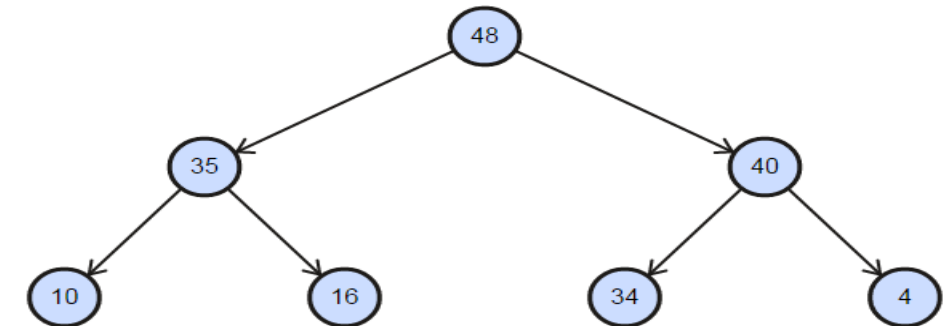
0	1	2	3	4	5	6
20	25	30	35	36	41	null



# Example

See the figure, it's a max heap

Here last node is 4



0	1	2	3	4	5	6
48	35	40	10	16	34	4

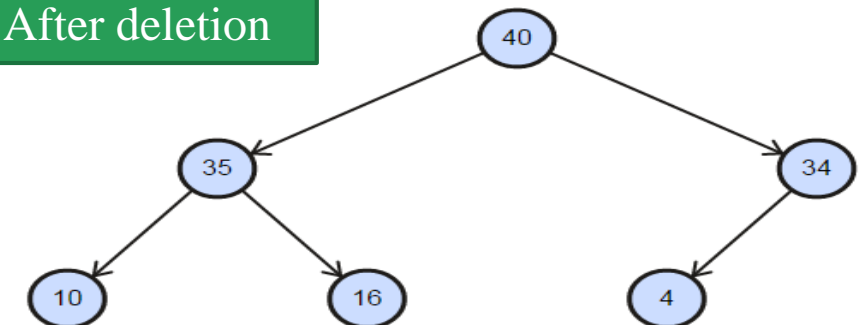
If we delete 48

Which node will become last node now?

In array?

In Linked allocation?

After deletion



0	1	2	3	4	5	6
40	35	34	10	16	4	null





# Example

Last node is 4

Insert 50

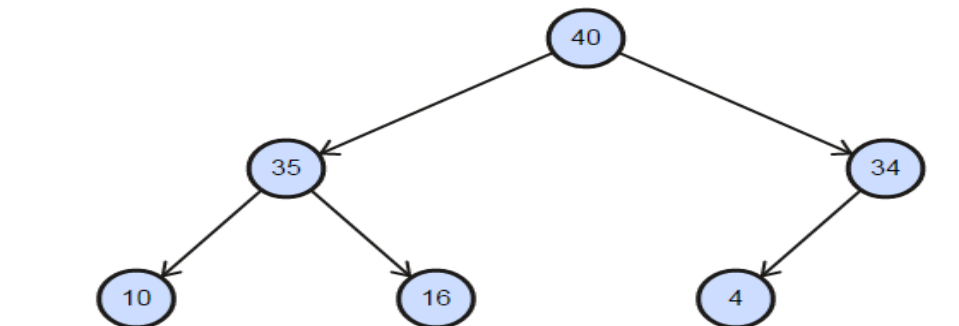
Which node will become last node now?

In array?

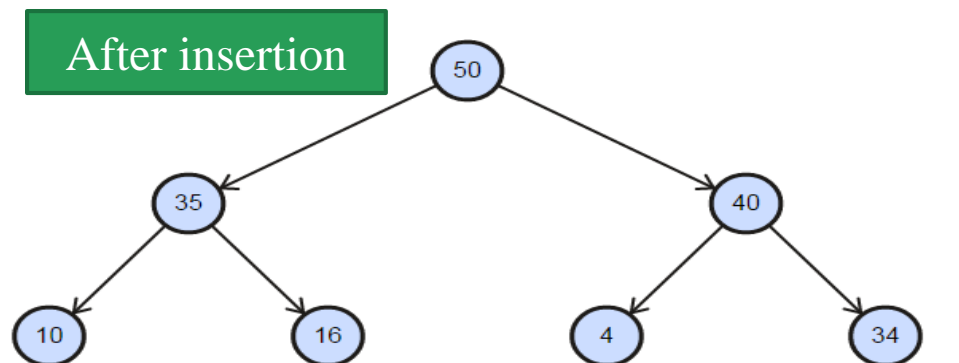
In Linked allocation?

Perform few more insertions and deletions

It will give you good understanding of last node



0	1	2	3	4	5	6
40	35	34	10	16	4	null



0	1	2	3	4	5	6
50	35	40	10	16	4	34

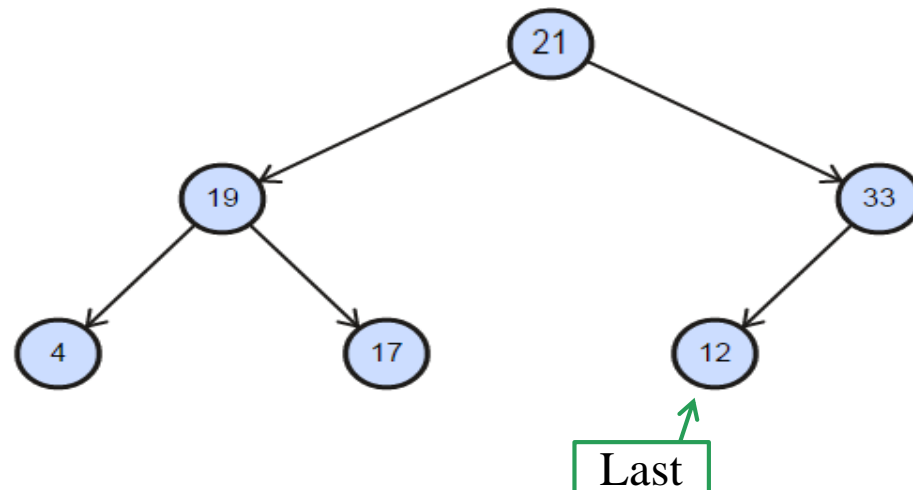


# Deletion: Linked Implementation

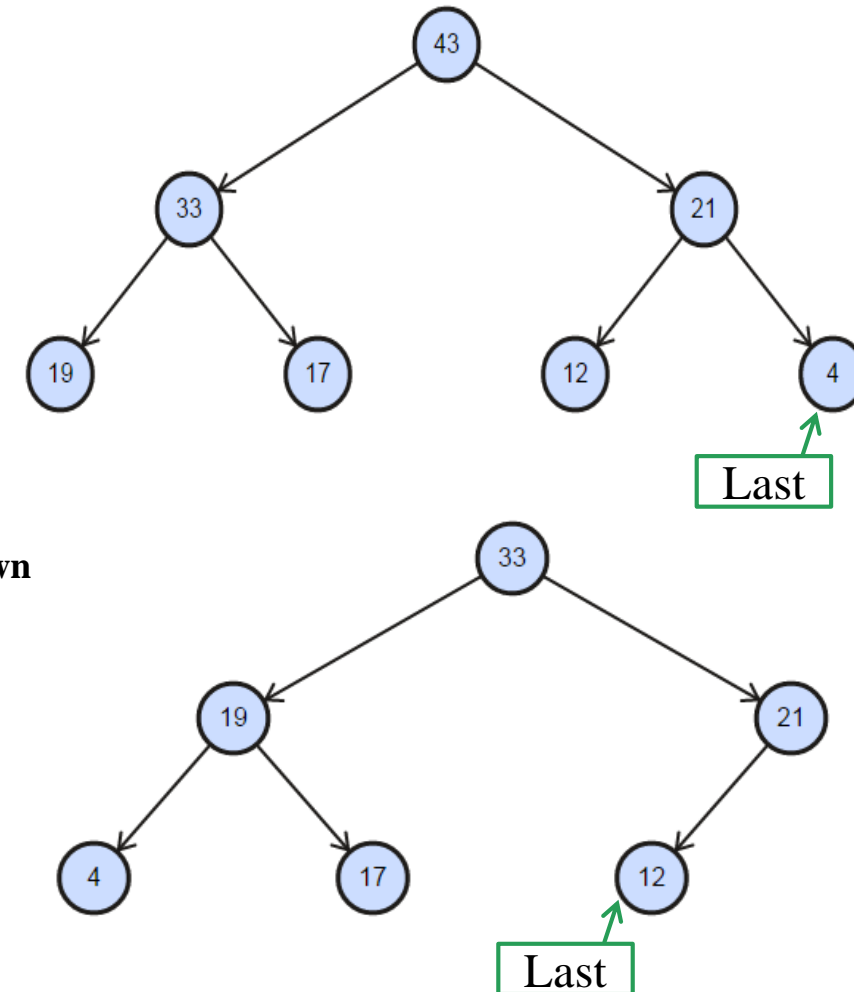
Delete

Last is right node

Last= sibling



Heapify-Down  
→





# Deletion: Linked Implementation

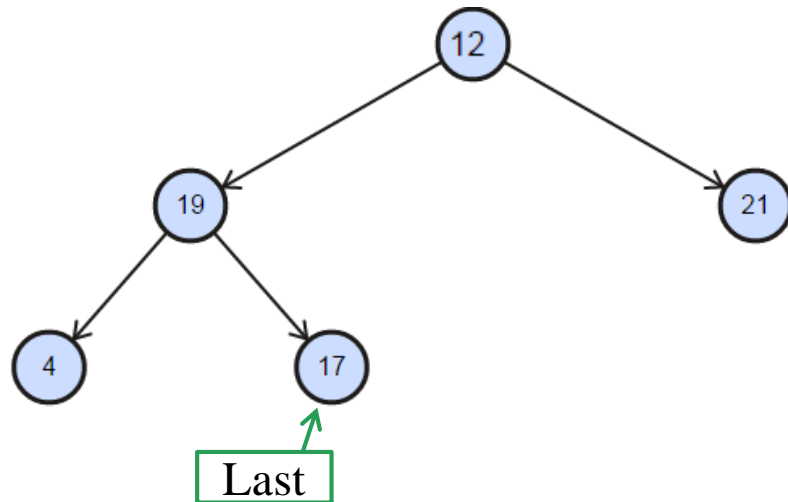
## Delete

Last is left node

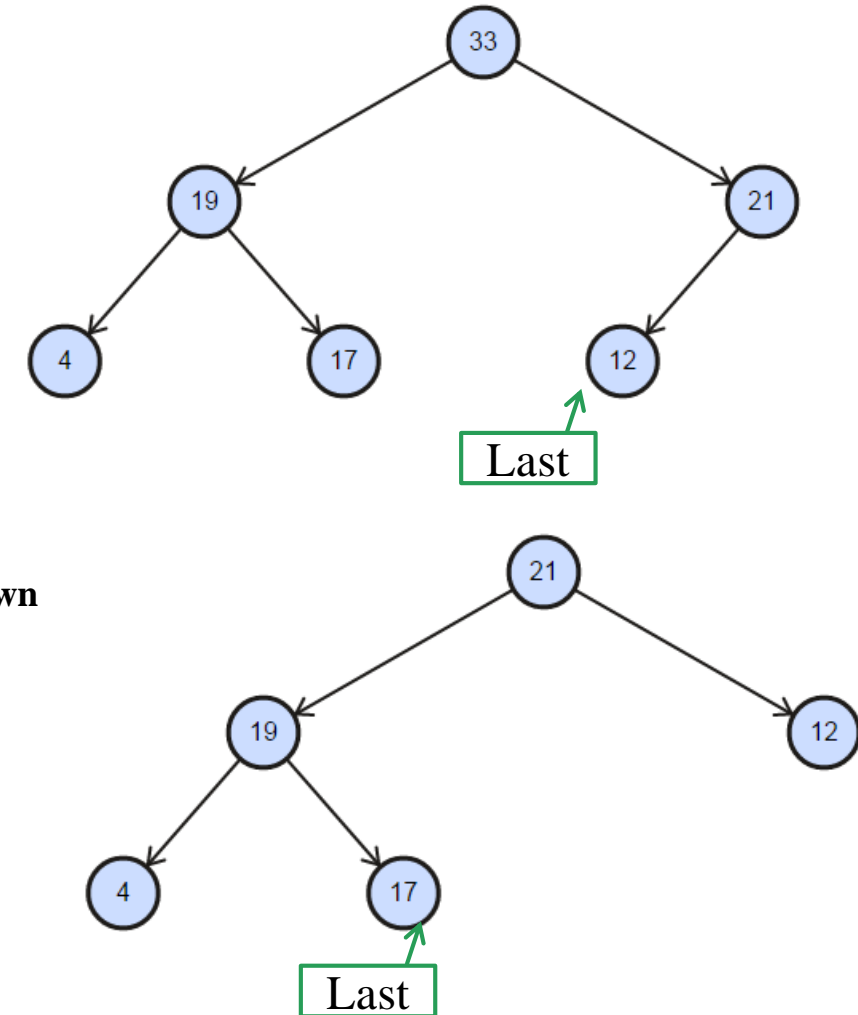
go up, until root or node is right

21 is right

last= most right of sibling → 17



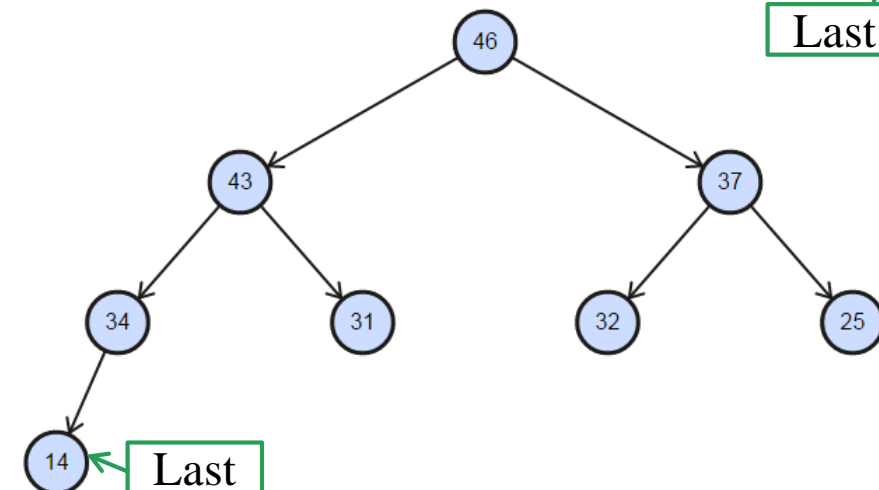
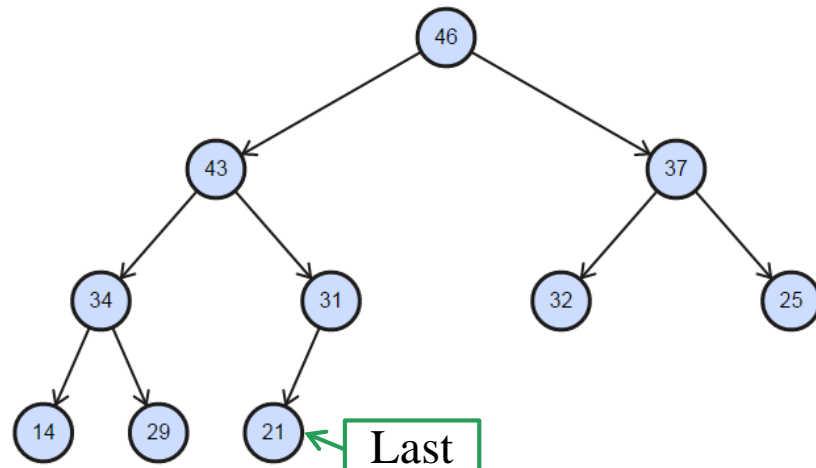
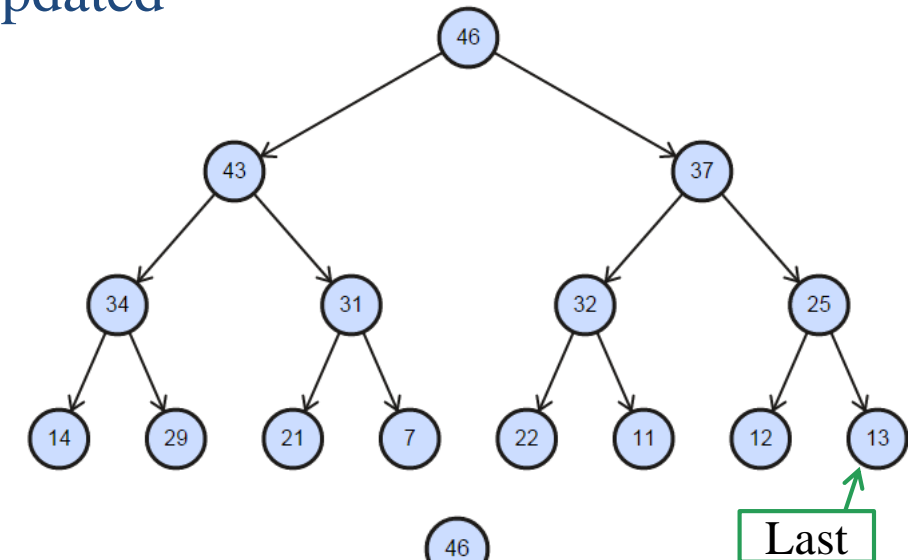
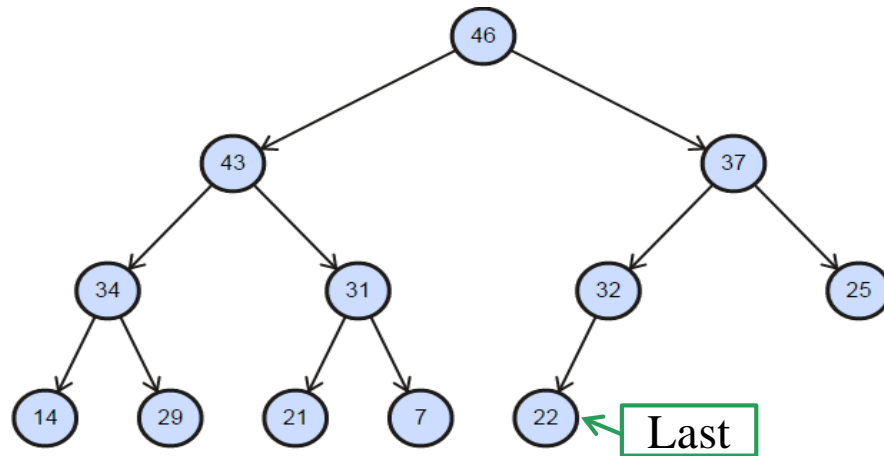
Heapify-Down  
→





# Deletion: Linked Implementation

Perform delete in each figure to see how last is updated





# Deletion: Linked Implementation

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1. Replace root with last node
2. Update last node
  1. Find parent of last node
  2. If last is right node
    - last=parent.left
    - parent.right=null
  3. Else If last is left node
    - Parent.left=null
    - Repeatedly move up in hierarchy to parent nodes of parent until you reach root node or a node that is right node
    - If root node
      - grand parent is left child
      - Last= most right node of root
    - Else //its right node
      - Last= most right of sibling of this node
3. Perform Heapify-Down process



# Insertion: Linked Implementation

Insert 35

Insert 25

Last node is itself a root node

New node will become left node

Heapify-up(last)

Now 35 is last node

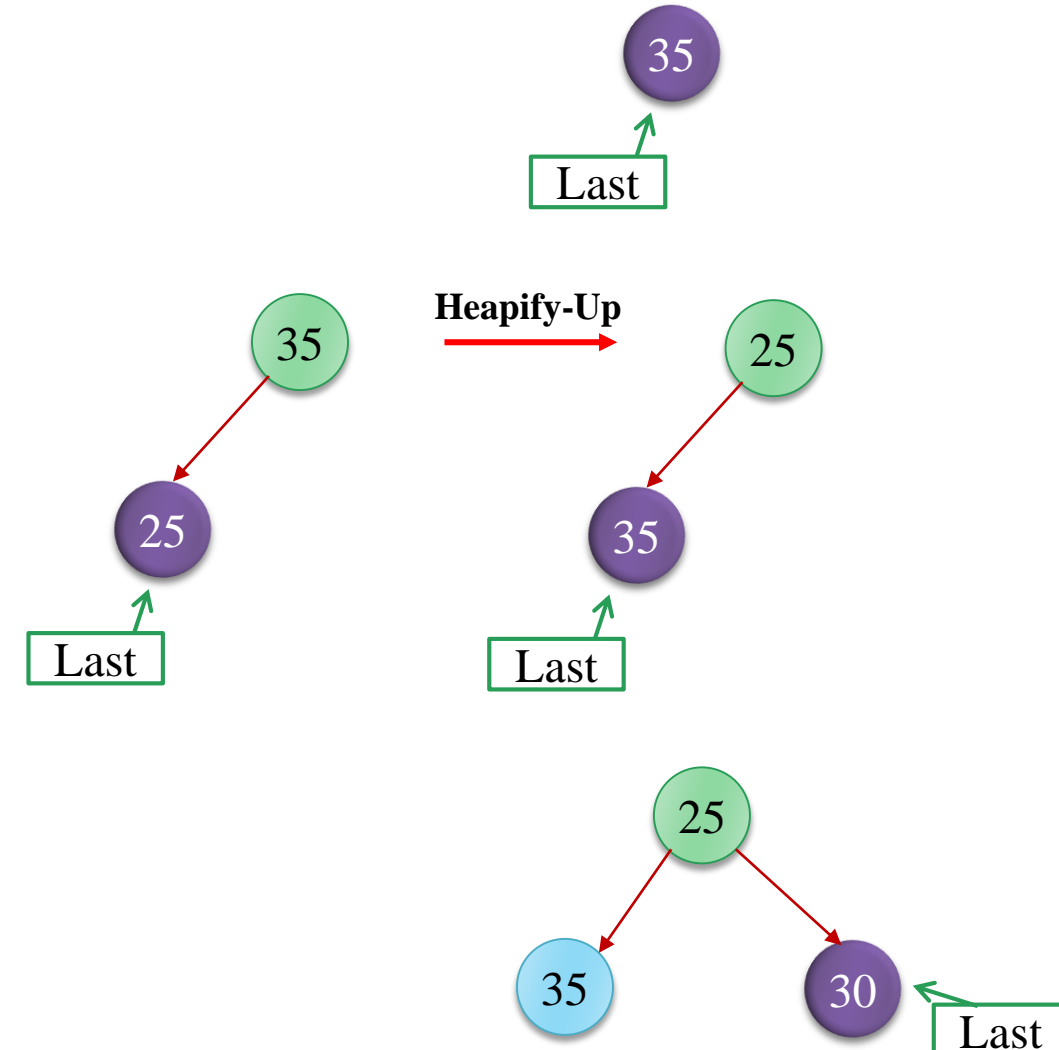
Insert 30

Last node is a left node

New node will become right node

No need of Heapify-Up

Now 30 is last node



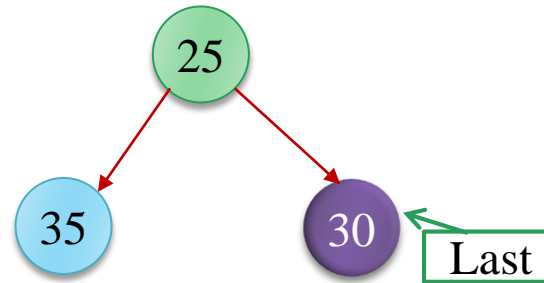


# Insertion- Linked Implementation

## Insert 20

Last node is right child

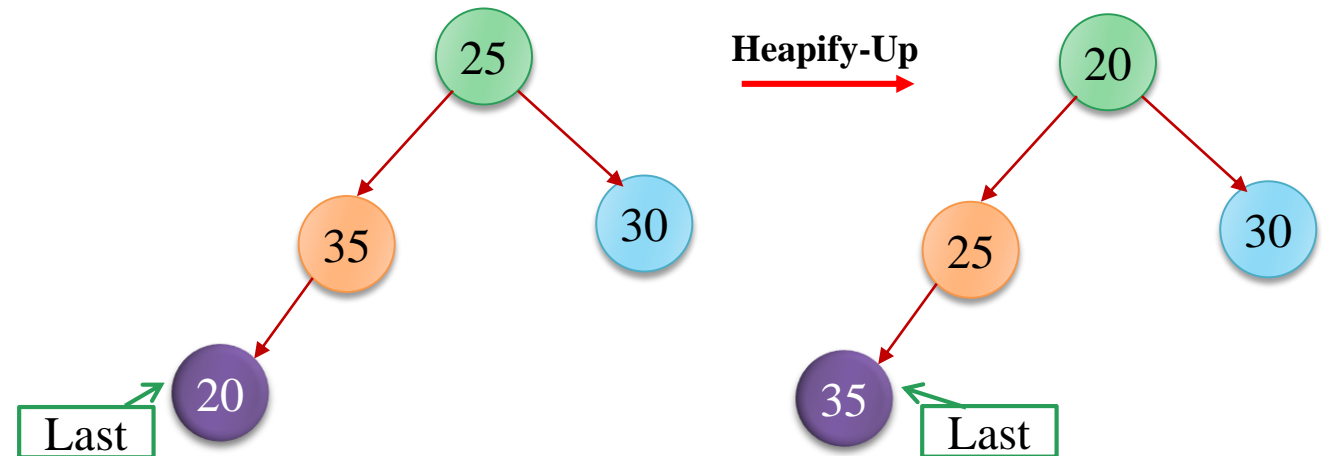
Go up until you reach a node that is either left or root node



25 is root, go to left until you reach leaf node that is 35

Insert new node as left node

Now 35 is last node

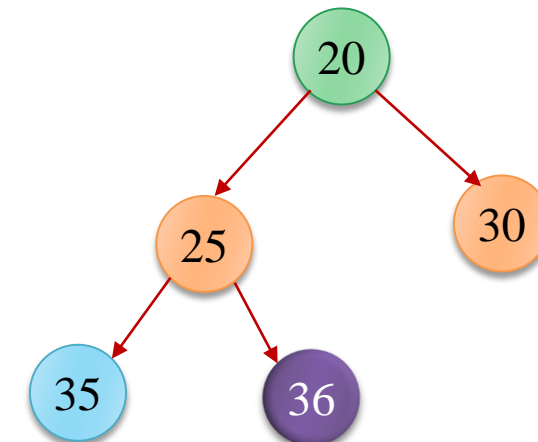




# Insertion- Linked Implementation

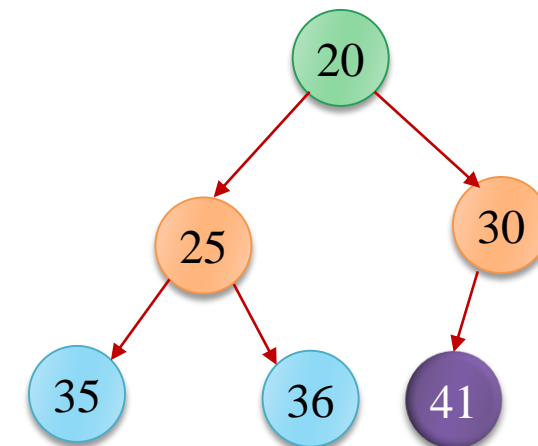
## Insert 36

Last node is a left node  
New node will become right node  
No need of Heapify-Up  
Now 36 is last node



## Insert 41

Last node is right child  
Go up until you reach a node that is either left or root node  
25 is left child, go to its sibling that is 30  
Insert new node as left node  
No need of Heapify-Up  
Now 41 is last node



How much time it will take to find insertion location?





# Insertion: Linked Implementation

1. If **last** node is root node  
new node is left child
2. If **last** node is left node  
new node is right node of parent of **last**
3. Otherwise, repeatedly go to parent nodes of **last** until you reach a node that is either root node or a left child of its parent.

If node is root node

then simply go to its left until you reach leaf node

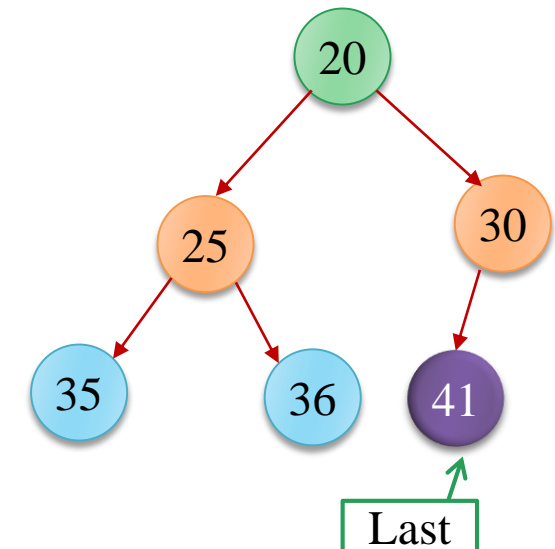
- If node is left child

then go to its sibling node

new node is left child of this node // (sibling will be a leaf node)

last=new node      //update last

Perform Heapify\_Up process





# Applications

## Priority Queue

Heap data structure is mainly as priority queue, often they are used as synonyms

Highest priority is always on top.

Data Structure	FindMin	Add	Remove
Unsorted Array	$O(n)$	$O(1)$	$O(n)$
Sorted Array	$O(1)$	$O(n)$	$O(1)$
Unsorted List	$O(n)$	$O(1)$	$O(n)$
Sorted List	$O(1)$	$O(n)$	$O(1)$
Heap	$O(1)$	$O(\log n)$	$O(\log n)$

Time complexity of binary tree depends upon height of tree which is equivalent to  $\log N$ . Where  $N$  is total number of nodes.

What can be heap tree's worst case?



# Applications

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## Heapsort

Heap tree can also be used to sort a list of numbers

How?

- Build the heap tree from given list

- Reconstruct list by repeatedly doing the following:

  - Remove min and put in list until tree becomes empty

Time Complexity?  $O(n \log n)$

- Remove min?

- Total number of nodes?