# Alireza Amiri - Project 3

#### Task 1

```
1 # Import necessary libraries
2 import numpy as np
3 import gym
4 from gym.envs.registration import register
5 import matplotlib.pyplot as plt
6 import pandas as pd
```

### 1. Environment Registration

#### 1.1 Custom Frozen Lake Environment

Register two versions of the Frozen Lake environment: one that is slippery and one that is not. This allows us to compare the performance of the RL algorithms under different conditions.

```
1 # Register custom Frozen Lake environment (non-slippery)
 2 register(
       id='FrozenLakeNotSlippery-v0',
       entry_point='gym.envs.toy_text:FrozenLakeEnv',
       kwargs={'desc': [
           "SFFFFFFH",
           "FFHHHHFH",
           "FFHHFFFF",
           "FFFHFHHG",
           "FFHHFHHF",
     "FFHFFHFF",
           "FHFFFFFF",
           "FFFFFFFF",
13
14 ], 'is_slippery': False}
15)
17 # Register custom Frozen Lake environment (slippery)
18 register(
19 id='FrozenLakeSlippery-v0',
20 entry_point='gym.envs.toy_text:FrozenLakeEnv',
21 kwargs={'desc': [
         "SFFFFFFH",
23 "FFHHHHFH",
24 "FFHHFFFF",
25 "FFFHFHHG",
26 "FFHFHHFF",
27 "FFHFFHFF",
28
           "FHFFFFFF",
29
           "FFFFFFFF",
30
      ], 'is_slippery': True}
31)
33 # Create environments
34 env_not_slippery = gym.make('FrozenLakeNotSlippery-v0', new_step_api=True)
35 env_slippery = gym.make('FrozenLakeSlippery-v0', new_step_api=True)
```

# 2. Q-Learning and SARSA Algorithms

### 2.1 Algorithm Parameters

Define the parameters for the Q-Learning and SARSA algorithms.

```
1 # Algorithm parameters
2 alpha = 0.8
3 epsilon = 1.0
4 epsilon_decay = 0.9999999999
5 min_epsilon = 0.01
6 episodes = 100000
7 max_steps = 100
8 gamma_values = [0.5,0.7,0.99]
```

### 2.2 Q-Learning Implementation

Q-Learning is a value-based method of reinforcement learning. It updates the Q-value of the current state-action pair using the Bellman equation.

```
1 def q_learning(env, gamma):
      Q = np.zeros((env.observation_space.n, env.action_space.n))
      rewards = []
      epsilons = []
      epsilon = 1.0
      def choose_action(state, epsilon, visited_states):
8
          if np.random.rand() < epsilon or state in visited_states:</pre>
9
              return env.action_space.sample()
10
11
              return np.argmax(Q[state, :])
12
13
      for episode in range(episodes):
14
          if episode % 1000 == 0:
15
              print(f"Q-Learning: Episode {episode}")
16
          state = env.reset()
17
          state = state[0] if isinstance(state, tuple) else state
18
          total_reward = 0
19
          visited_states = set()
20
21
          for step in range(max_steps):
22
              visited states.add(state)
23
              action = choose_action(state, epsilon, visited_states)
24
              next_state, reward, done, truncated, info = env.step(action)
25
26
              Q[state, action] = Q[state, action] + alpha * (reward + gamma * np.max(Q[next_state, :]) - Q[state, action])
27
28
              state = next_state
29
              total_reward += reward
30
31
              if done:
32
                  break
33
34
          epsilon = max(min_epsilon, epsilon * epsilon_decay)
35
          rewards.append(total_reward)
36
          epsilons.append(epsilon)
37
38
      return Q, rewards, epsilons
```

### 2.3 SARSA Implementation

SARSA (State-Action-Reward-State-Action) is an on-policy method. It updates the Q-value using the action taken in the next state.

```
1 def sarsa(env, gamma):
      Q = np.zeros((env.observation_space.n, env.action_space.n))
      rewards = []
      epsilons = []
      epsilon = 1.0
      def choose_action(state, epsilon):
          if np.random.rand() < epsilon:</pre>
              return env.action_space.sample()
9
10
11
              return np.argmax(Q[state, :])
12
13
      for episode in range(episodes):
14
          if episode % 1000 == 0:
15
              print(f"SARSA: Episode {episode}")
16
          state = env.reset()
17
          state = state[0] if isinstance(state, tuple) else state
18
          total reward = 0
19
20
          action = choose_action(state, epsilon)
21
22
          for step in range(max_steps):
23
              next state, reward, done, truncated, info = env.step(action)
24
              next_state = next_state if isinstance(next_state, int) else next_state[0]
25
              next_action = choose_action(next_state, epsilon)
26
27
              Q[state, action] = Q[state, action] + alpha * (reward + gamma * Q[next_state, next_action] - Q[state, action])
28
29
              state = next state
30
              action = next_action
31
              total_reward += reward
32
              if done:
33
34
35
36
          epsilon = max(min_epsilon, epsilon * epsilon_decay)
37
          rewards.append(total_reward)
38
          epsilons.append(epsilon)
39
40
      return Q, rewards, epsilons
41
```

# 3. Display and Analysis

# 3.1 Display Optimal Policy

A function to display the optimal policy derived from the Q-values.

```
1 def display_policy(Q):
2    actions = ["←", "↓", "→", "↑"]
3    optimal_policy = np.argmax(Q, axis=1).reshape(8, 8)
4    policy_display = np.vectorize(lambda x: actions[x])(optimal_policy)
5    for row in policy_display:
6        print(" ".join(row))
```

## 3.2 Train and Compare Algorithms

Train both Q-Learning and SARSA algorithms with different gamma values and compare their performance.

```
1 # Train Q-Learning and SARSA with different gamma values
2 results_q_learning_not_slippery = []
3 results q learning slippery = []
4 results_sarsa_not_slippery = []
5 results sarsa slippery = []
7 for gamma in gamma values:
      print(f"Training Q-Learning (slippery=False) with gamma={gamma}")
      Q, rewards, epsilons = q_learning(env_not_slippery, gamma)
      results q learning not slippery.append({'gamma': gamma, 'Q': Q, 'rewards': rewards, 'epsilons': epsilons})
11
12
      print(f"Training Q-Learning (slippery=True) with gamma={gamma}")
13
      Q, rewards, epsilons = q learning(env slippery, gamma)
14
      results_q_learning_slippery.append({'gamma': gamma, 'Q': Q, 'rewards': rewards, 'epsilons': epsilons})
15
      print(f"Training SARSA (slippery=False) with gamma={gamma}")
17
      0, rewards, epsilons = sarsa(env not slippery, gamma)
18
      results_sarsa_not_slippery.append({'gamma': gamma, 'Q': Q, 'rewards': rewards, 'epsilons': epsilons})
19
20
      print(f"Training SARSA (slippery=True) with gamma={gamma}")
21
      Q, rewards, epsilons = sarsa(env slippery, gamma)
22
      results_sarsa_slippery.append({'gamma': gamma, 'Q': Q, 'rewards': rewards, 'epsilons': epsilons})
23
```

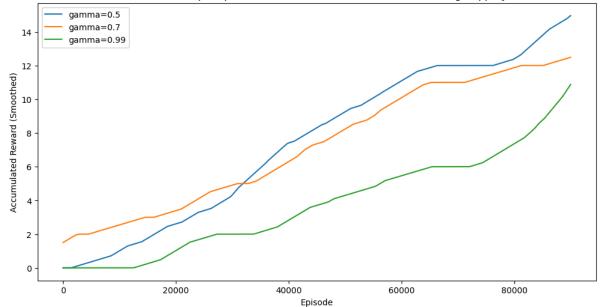
Show hidden output

#### 3.3 Plot Results

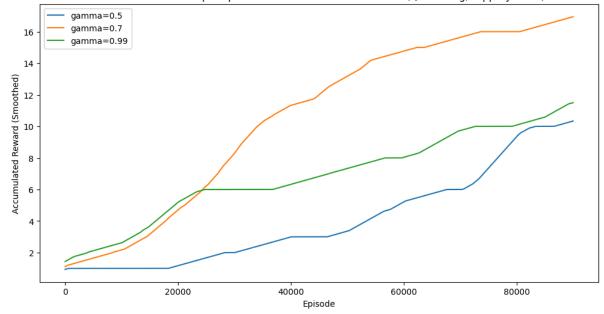
Plot the accumulated rewards for each algorithm.

```
1 def plot_rewards_smooth(results, title, filename, window_size=100):
      plt.figure(figsize=(12, 6))
      for result in results:
          accumulated rewards = np.cumsum(result['rewards'])
          smoothed rewards = moving average(accumulated rewards, window size)
          plt.plot(smoothed_rewards, label=f'gamma={result["gamma"]}')
      plt.xlabel('Episode')
      plt.ylabel('Accumulated Reward (Smoothed)')
      plt.title(title)
      plt.legend()
11
      plt.savefig(filename)
      plt.show()
14 # Call the function with the desired window size
15 plot_rewards_smooth(results_q_learning_not_slippery, 'Accumulated Reward per Episode for Different Gamma Values (Q-Learning, slippery=False)', 'q_learning_not_slippery.png', window_size=10000)
16 plot_rewards_smooth(results_q_learning_slippery, 'Accumulated Reward per Episode for Different Gamma Values (Q-Learning, slippery=True)', 'q_learning_slippery.png', window_size=10000)
17 plot rewards smooth(results sarsa not slippery, 'Accumulated Reward per Episode for Different Gamma Values (SARSA, slippery=False)', 'sarsa not slippery.png', window size=10000)
18 plot rewards smooth(results sarsa slippery, 'Accumulated Reward per Episode for Different Gamma Values (SARSA, slippery=True)', 'sarsa slippery.png', window size=10000)
```



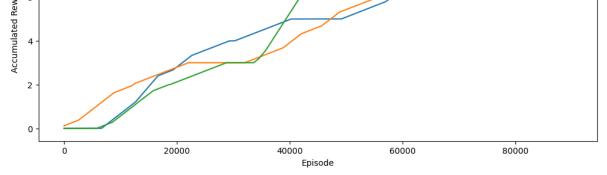


### Accumulated Reward per Episode for Different Gamma Values (Q-Learning, slippery=True)

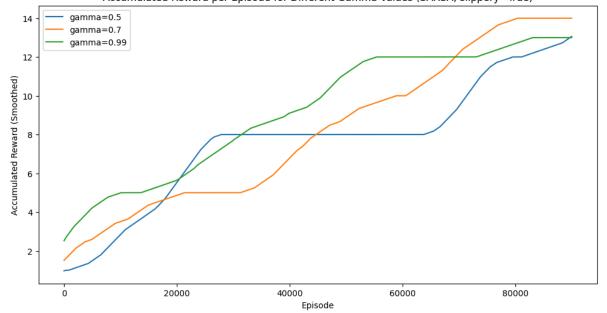


Accumulated Reward per Episode for Different Gamma Values (SARSA, slippery=False)





# Accumulated Reward per Episode for Different Gamma Values (SARSA, slippery=True)



# 3.4 Display Optimal Policies

Display the optimal policies for both Q-Learning and SARSA in slippery and non-slippery environments.

```
1 # Display optimal policies
2 print("Optimal Policy for Q-Learning (slippery=False):")
3 for result in results_q_learning_not_slippery:
      print(f"gamma={result['gamma']}")
      display_policy(result['Q'])
7 print("Optimal Policy for Q-Learning (slippery=True):")
8 for result in results_q_learning_slippery:
      print(f"gamma={result['gamma']}")
10
      display_policy(result['Q'])
11
12 print("Optimal Policy for SARSA (slippery=False):")
13 for result in results_sarsa_not_slippery:
      print(f"gamma={result['gamma']}")
      display_policy(result['Q'])
17 print("Optimal Policy for SARSA (slippery=True):")
18 for result in results_sarsa_slippery:
      print(f"gamma={result['gamma']}")
```

```
display_policy(result['Q'])
21
\rightarrow \uparrow \leftarrow \leftarrow \leftarrow \downarrow \uparrow \downarrow
                \uparrow \uparrow \leftarrow \leftarrow \downarrow \leftarrow \leftarrow
                 \leftarrow \leftarrow \leftarrow \leftarrow \rightarrow \leftarrow \uparrow
                 \leftarrow \leftarrow \leftarrow \uparrow \leftarrow \leftarrow \downarrow \leftarrow
                 \rightarrow \leftarrow \leftarrow \uparrow \rightarrow \uparrow \uparrow
                  \downarrow \ \rightarrow \ \rightarrow \ \rightarrow \ \rightarrow \ \rightarrow \ \rightarrow \ \rightarrow
                  gamma=0.7
                 \uparrow \rightarrow \uparrow \downarrow \uparrow \leftarrow \rightarrow \leftarrow
                 ← ↑ ← ← ← ← ↑ ←
                 \leftarrow \rightarrow \leftarrow \leftarrow \downarrow \rightarrow \rightarrow \leftarrow
                 ← ↑ ← ← ← ← ←
                 \uparrow \uparrow \leftarrow \downarrow \leftarrow \leftarrow \uparrow \uparrow
                  \leftarrow \ \leftarrow \ \rightarrow \ \rightarrow \ \uparrow \ \rightarrow \ \leftarrow \ \leftarrow
                 \uparrow \uparrow \uparrow \rightarrow \rightarrow \leftarrow \leftarrow \leftarrow
                  gamma=0.99
                 \uparrow \rightarrow \downarrow \rightarrow \uparrow \uparrow \leftarrow \leftarrow
                 \rightarrow \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow
                 \uparrow \uparrow \leftarrow \leftarrow \downarrow \rightarrow \rightarrow \downarrow
                  \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow
                 \leftarrow \leftarrow \leftarrow \leftarrow \downarrow \leftarrow \leftarrow \rightarrow
                 \leftarrow \leftarrow \leftarrow \rightarrow \leftarrow \leftarrow \rightarrow
                  \leftarrow \leftarrow \rightarrow \uparrow \rightarrow \downarrow \uparrow \rightarrow
                 \downarrow \ \downarrow \ \downarrow \ \uparrow \ \rightarrow \ \uparrow \ \rightarrow
                 Optimal Policy for SARSA (slippery=False):
                 gamma=0.5
                 \uparrow \leftarrow \rightarrow \rightarrow \uparrow \downarrow \leftarrow
                 \uparrow\uparrow\leftarrow\leftarrow\leftarrow\leftarrow\leftarrow
                 \uparrow \ \uparrow \ \leftarrow \ \leftarrow \ \rightarrow \ \rightarrow \ \downarrow
                  \uparrow \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow
                 \uparrow \leftarrow \leftarrow \leftarrow \downarrow \leftarrow \leftarrow \uparrow
                 \leftarrow \uparrow \leftarrow \downarrow \leftarrow \leftarrow \downarrow \uparrow
                  \uparrow \leftarrow \downarrow \downarrow \leftarrow \downarrow \leftarrow \uparrow
                 \downarrow \leftarrow \rightarrow \downarrow \leftarrow \downarrow
                 gamma=0.7
                 \uparrow \leftarrow \rightarrow \uparrow \uparrow \leftarrow
                 \leftarrow \uparrow \leftarrow \leftarrow \leftarrow \leftarrow \downarrow \leftarrow
                 \uparrow \ \uparrow \ \leftarrow \ \leftarrow \ \leftarrow \ \rightarrow \ \rightarrow \ \downarrow
                 \downarrow \uparrow \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow
                 \uparrow \uparrow \leftarrow \leftarrow \downarrow \leftarrow \leftarrow \uparrow
                  \leftarrow \leftarrow \leftarrow \downarrow \downarrow \leftarrow \rightarrow \downarrow
                 \uparrow \leftarrow \rightarrow \downarrow \rightarrow \uparrow \uparrow
                 \rightarrow \rightarrow \rightarrow \downarrow \uparrow \leftarrow \rightarrow \leftarrow
                 gamma=0.99
                 \uparrow \uparrow \leftarrow \rightarrow \rightarrow \rightarrow \uparrow \leftarrow
                 \uparrow \downarrow \leftarrow \leftarrow \leftarrow \leftarrow \downarrow \leftarrow
                 \rightarrow \leftarrow \leftarrow \leftarrow \rightarrow \rightarrow \downarrow
                 \rightarrow \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow
                 \leftarrow \uparrow \leftarrow \leftarrow \leftarrow \leftarrow \uparrow
                 \uparrow \uparrow \leftarrow \downarrow \downarrow \leftarrow \leftarrow \downarrow
                 \downarrow \leftarrow \rightarrow \rightarrow \downarrow \leftarrow \leftarrow
                 \uparrow \leftarrow \leftarrow \downarrow \rightarrow \downarrow \leftarrow \uparrow
                 Optimal Policy for SARSA (slippery=True):
                 gamma=0.5
                 \downarrow \rightarrow \uparrow \uparrow \uparrow \uparrow \uparrow \leftarrow
                 \uparrow \ \rightarrow \ \leftarrow \ \leftarrow \ \uparrow \ \uparrow \ \rightarrow \ \rightarrow
```

Double-click (or enter) to edit

1 Start coding or generate with AI.