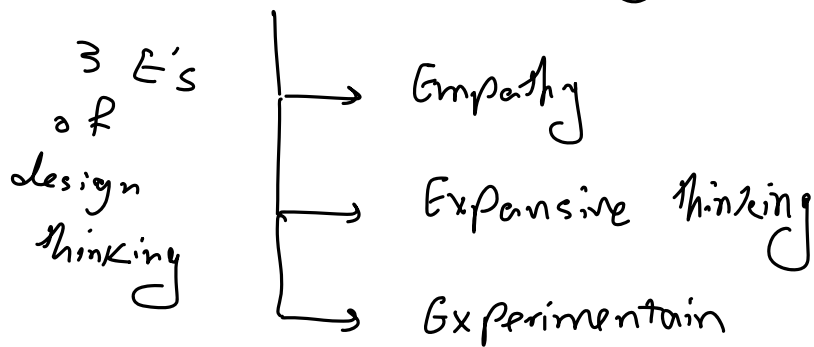


# Human Factors in AI

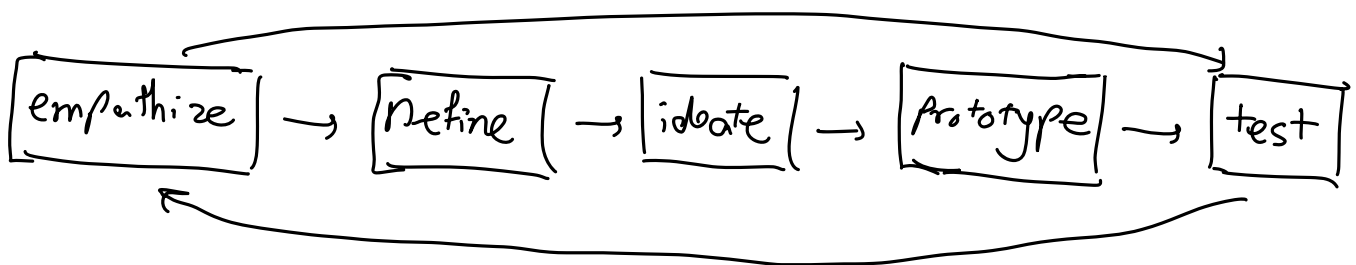
⚠ AI systems are highly susceptible to

- infringing privacy
- biased decision making
- resistance to adoption

⚠ Design thinking is a human-centered methodology for creative problem solving



⚠ Design thinking process



⚠ empathy → set aside your own assumptions and gain insight into your user's needs

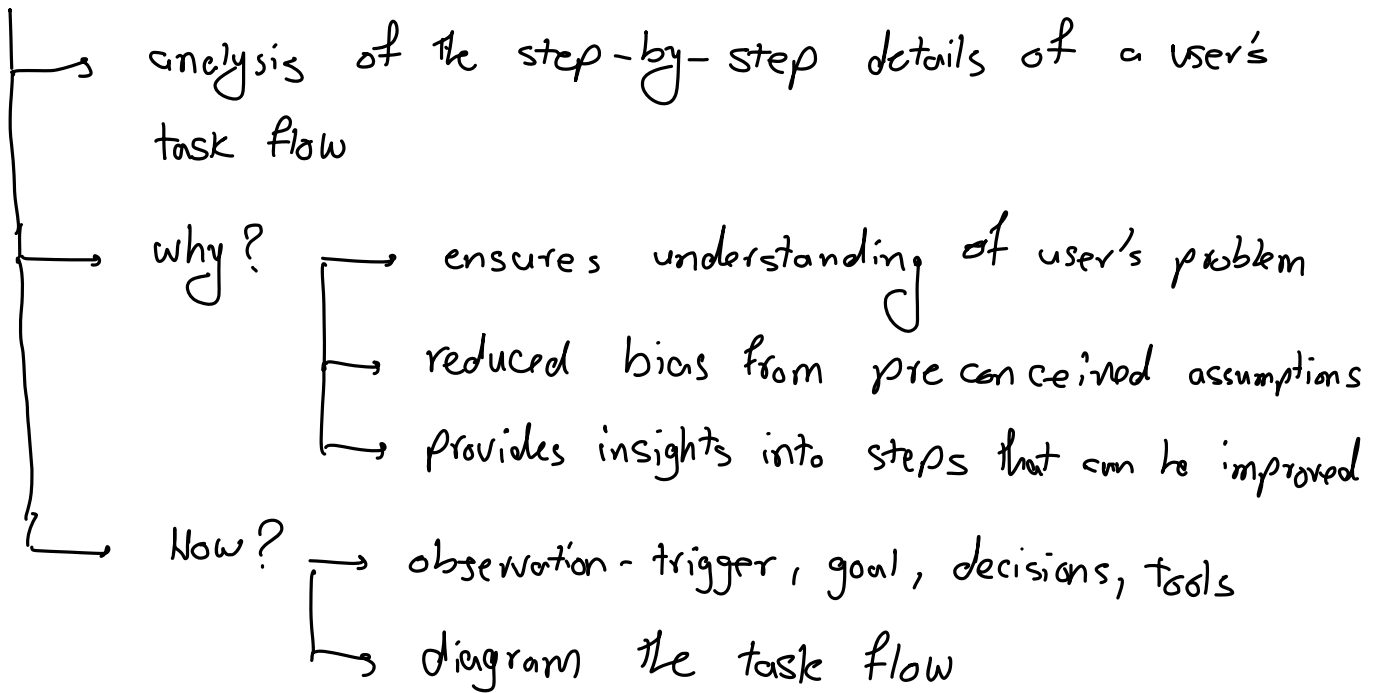
⚠ define → synthesize the information collected in the empathize stage

⚠ ideate → generate ideas of ways to solve the problem


⚠ Prototype should be quick and cheap

↳ to answer questions / test hypotheses

## ⚠ Task Analysis



## ⚠ UX Design Principles

- 
1. **Visibility:** the more important, the more visible
  2. **Feedback:** communicate what action has been taken
  3. **Constraints:** simplify the interface by limiting interaction options
  4. **Mapping:** clear relationships between controls and effects
  5. **Consistency:** consistent elements throughout experience
  6. **Affordance** (clarity): attributes of items communicate purpose

## ⚠ User Inputs

- forms, uploads, votes / ratings, actions
- used to collect data from users

## ⚠ Cold start problem

- if we are relying on user-supplied data for our model, we may initially not have enough to build a quality model

## ⚠ Transparency considerations in AI

- where AI exists / what it does
- what data it uses
- how it reaches its output
- limitations

## ⚠ How to provide transparency

- cite data sources / attributes used
- give insight into importance of attributes
- provide basis for model output

## ⚠ feedback loops

- ↳ many ML systems employ feedback loops where user interactions with a model influence the outputs they see over time
- ↳ can be explicit & implicit
  - ↳ based on direct user feedback
  - ↳ based on user actions as a result of a model

## ⚠ Data privacy

- ↳ right of users to have control over how their information is collected, used and shared

## ⚠ Fair Information Practices (FIPs) organized into 4 themes:

- ↳ rights of individuals
- ↳ controls on information
- ↳ information life cycle
- ↳ management of personal identifiable information (PII)

## ⚠ why protect user data privacy

- avoid violation of privacy laws (GDPR, HIPAA, ...)
- gain trust of users
- maintain reputation

## ⚠ How to protect user data privacy

- compliant policy and practices
- privacy by design
- technological approaches

## ⚠ Technological approaches to protect privacy

- federated learning
  - ↳ allows users/devices to contribute towards improving a shared model without sharing their data
- differential privacy
  - ↳ calculation / modeling approaches where one can not tell from the output whether any individual's data was included in the input dataset

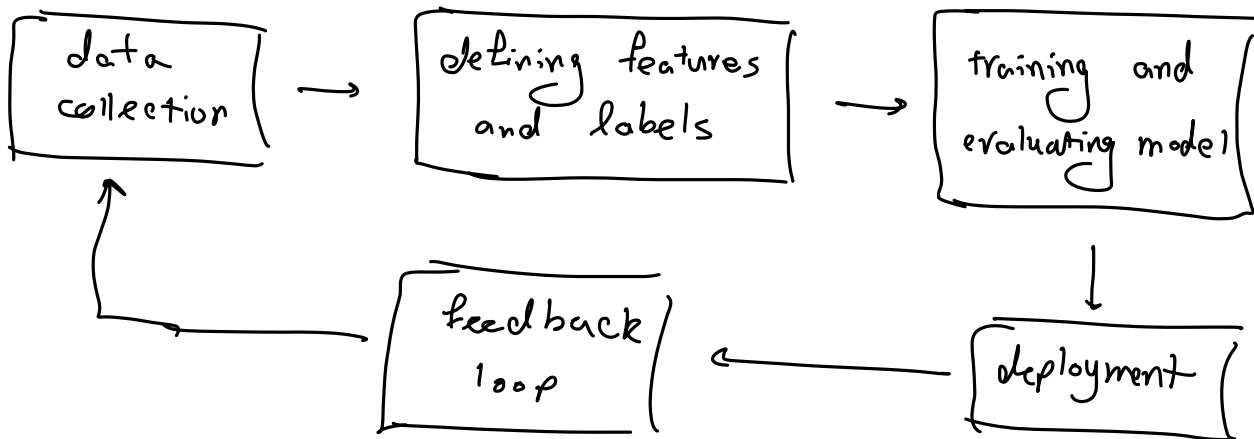
## ⚠ Ethical risks of AI

- ↳ allocate harm
  - ↳ opportunities or resources are withheld from certain people/groups
- ↳ representational harm
  - ↳ certain people/groups are stigmatized or stereotyped

## ⚠ Three criteria of ethical AI systems

- ↳ fair
- ↳ accountable
- ↳ transparent

## ⚠ Sources of bias



## ⚠ Types of bias

- ↳ algorithmic
- ↳ historical
- ↳ representation
- ↳ measurement
- ↳ learning
- ↳ deployment
- ↳ feedback loop

## ⚠ Tools to mitigate ethical risk

- ↳ datasheets for datasets
- ↳ ethical checklist
- ↳ ethical pre-mortems

## ⚠ Objectives of a dataset datasheet

- ↳ for dataset creators
  - ↳ encourage best practices in collecting data
  - ↳ foster reflection on risks and implications of use
- ↳ for dataset consumers
  - ↳ provide transparency to support decisions on whether/how to use dataset
- ↳ for users of models
  - ↳ contribute to explainability of model outputs

## ⚠ Refining fairness goals

- ↳ define groups of significance
- ↳ determine what "fair" means

## ⚠ Anticipation of fairness issues is key to mitigation

⚠ Artificial general intelligence (AGI)

↳ ability of an intelligent agent to learn any intellectual task that a human can

⚠ Narrow AI

↳ ability to accomplish specific pre-learned problem solving tasks

⚠ automation  $\leadsto$  replacing humans

augmentation  $\leadsto$  supporting humans

⚠ forms of AI augmentation

↳ triage

↳ decision support

⚠ Intentional focus on building model trust and proper onboarding can ensure adoption