Lab 5 MaskGIT

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Introduction

This lab aims to implement MaskGIT for the image inpainting task, which is an advanced generative bidirectional image transformer. Image inpainting aimed at filling in missing or damaged parts of an image to restore its original visual coherence. We solve this task by MaskGIT, by first encoding the image into codebook representations, we randomly place masks on the image and train a bidirectional transformer to predict the correct codebook indices.

When performing inpainting, we adopted the Masked Visual Token Modeling, which was inspired by human drawing logic, by having a draft first then refining the draft to a final work, the approach iteratively refines the masked tokens and only kept a portion of tokens that were the best. We compared different decoding strategies yield different results, and the fact that lower loss doens't lead to higher performances.

Implementation Details

Multi-Head Self-Attention (2 implementations)

Multi-head attention allows the model to attend to different positions of the input sequence and capture different aspects of the input information by using multiple attention heads. Each attention head performs a scaled dot-product attention, and the outputs of all the heads are concatenated and projected to obtain the final output.

The variables self.d_v and self.d_k represent the value and key/query vectors for each head, three linear layers Q, K, V project the input x to query vectors, key vectors and value vectors respectively. The layer W_out connects all attention heads back to the original dim dimension. The attn drop layer is a dropout layer to prevent overfitting.

The forward function of MultiHeadAttention takes the input x and projects the input to query vector q, key vector k and value vector v, the attention is

$$Attention(q, k, v) = softmax(\frac{qk^{T}}{\sqrt{d_k}})v$$
(1)

which is calculated by the lines $qk = torch.matmul(q.transpose(1, 2), k) * (1.0 / math.sqrt(self.d_k)), attention = torch.softmax(qk, dim=-1), and output = torch.matmul(attention, v.transpose(2, 3)).transpose(1, 2). Finally, drop a portion of the attention weights to prevent overfitting.$

```
class MultiHeadAttention(nn.Module):
    def __init__(self, dim=768, num_heads=16, attn_drop=0.1):
        super(MultiHeadAttention, self).__init__()
```

```
self.dim = dim
        self.num heads = num heads
        self.d v = dim // num heads
        self.d k = dim // num heads
        self.Q = nn.Linear(dim, dim)
        self.K = nn.Linear(dim, dim)
        self.V = nn.Linear(dim, dim)
        self.W_out = nn.Linear(dim, dim)
        self.attn drop = nn.Dropout(attn drop)
    def forward(self, x):
        batch size, num image tokens, dim = x.size()
        q = self.Q(x).view(batch size, num image tokens, self.num heads,
self.d k)
        k = self.K(x).view(batch size, num image tokens, self.num heads,
self.d_k).permute(0, 2, 3, 1) # (batch_size, nhead, d_head, time)
        v = self.V(x).view(batch size, num image tokens, self.num heads,
self.d v).permute(0, 2, 3, 1) # (batch size, nhead, d head, time)
        qk = torch.matmul(q.transpose(1, 2), k) * (1.0 /
math.sqrt(self.d k))
        attention = torch.softmax(qk, dim=-1)
        output = torch.matmul(attention, v.transpose(2, 3)).transpose(1,
2) # (batch size, time, nhead, d head)
        output = output.contiguous().view(batch size, -1, self.dim) #
(batch size, time, d model)
        output = self.attn drop(self.W out(output))
        return output
```

In order to test the correctness of the implementation between my own version and a "modified" version online, I consulted the implementation <u>MaskGIT-PAT</u>.

Both implementations are interchangeable since the first implementation had the Q, K, and V being fully connected layers of shape dim x dim while the second implementation had num_heads of dim x (dim // num heads) linear layers.

```
class Attention(nn.Module):
    def __init__(self, dim=768, num_heads=16):
        super(Attention, self).__init__()
        self.dim = dim
        self.num heads = num heads
        self.d v = dim // num heads
        self.d k = dim // num heads
        self.Q = nn.Linear(dim, dim // num heads)
        self.K = nn.Linear(dim, dim // num heads)
        self.V = nn.Linear(dim, dim // num heads)
        self.attn drop = nn.Dropout(0.1)
    def forward(self, x):
        batch size, num image tokens, dim = x.size()
        # q = self.Q(x).view(batch_size, num_image_tokens, self.num_heads,
self.d k)
        # k = self.K(x).view(batch size, num image tokens, self.num heads,
self.d_k).permute(0, 2, 3, 1) # (batch_size, nhead, d_head, time)
        # v = self.V(x).view(batch_size, num_image_tokens, self.num_heads,
self.d v).permute(0, 2, 3, 1) # (batch size, nhead, d head, time)
        q = self.Q(x)
        k = self.K(x)
        v = self.V(x)
        qk = torch.matmul(q, k.transpose(1, 2)) * (1.0 /
math.sqrt(self.d k))
        attention = torch.softmax(qk, dim=1)
        attention = self.attn drop(attention)
        attention = torch.matmul(attention, v)
        return attention
```

```
class MultiHeadAttention(nn.Module):
    def __init__(self, dim=768, num_heads=16):
        super(MultiHeadAttention, self).__init__()
        self.self_attention_heads = nn.ModuleList([Attention(dim,
num_heads) for _ in range(num_heads)])
        self.W_out = nn.Linear(dim, dim)

def forward(self, x):
    for i, attn_head in enumerate(self.self_attention_heads):
        if i == 0:
            output = attn_head(x)
        else:
            output = torch.cat((output, attn_head(x)), axis=-1)
        output = self.W_out(output)
        return output
```

This implementation modulizes the MultiHeadAttention into multiple Attention instances, where each Attention instance has its own Q, D, V networks. For each attention head, calculate the attention using Equation 1, then concatenate all the outputs of the attention heads and project the output using a linear layer of shape dim x dim.

MVTM Training (Masked Visual Token Modeling)

One-Iteration Training

```
class MaskGit(nn.Module):
    def __init__(self, configs):
        super().__init__()
        self.vqgan = self.load_vqgan(configs['VQ_Configs'])

        self.num_image_tokens = configs['num_image_tokens'] # 256
        self.mask_token_id = configs['num_codebook_vectors'] # 1024
        self.choice_temperature = configs['choice_temperature']# 4.5
        self.gamma = self.gamma_func(configs['gamma_type'])
        self.transformer =

BidirectionalTransformer(configs['Transformer_param'])
        # For inpainting inference
        self.image = None
        self.image_indices = None
```

```
self.init mask = None
    def load_transformer_checkpoint(self, load_ckpt_path):
        self.transformer.load state dict(torch.load(load ckpt path),
strict=False)
    @staticmethod
    def load vqgan(configs):
        cfg = yaml.safe_load(open(configs['VQ_config_path'], 'r'))
        model = VQGAN(cfg['model param'])
        model.load_state_dict(torch.load(configs['VQ_CKPT_path']),
strict=True)
        model = model.eval()
        return model
##TODO2 step1-1: input x fed to vqgan encoder to get the latent and zq
    @torch.no grad()
    def encode to z(self, x):
        quant_z, indices, _ = self.vqgan.encode(x)
        indices = indices.view(quant z.shape[0], -1)
        return quant z, indices
##TODO2 step1-2:
    def gamma func(self, mode="cosine"):
        """Generates a mask rate by scheduling mask functions R.
        Given a ratio in [0, 1), we generate a masking ratio from (0, 1].
        During training, the input ratio is uniformly sampled;
        during inference, the input ratio is based on the step number
divided by the total iteration number: t/T.
        Based on experiements, we find that masking more in training
helps.
        ratio:
                 The uniformly sampled ratio [0, 1) as input.
        Returns: The mask rate (float).
        . . . .
        if mode == "linear":
            return lambda r: 1 - r
```

```
elif mode == "cosine":
            return lambda r: np.cos(r * np.pi / 2)
        elif mode == "square":
            return lambda r: 1 - r ** 2
        else:
            raise NotImplementedError
##TODO2 step1-3:
    def forward(self, x):
        _, z_indices = self.encode_to_z(x)
        r = math.floor(self.gamma(np.random.uniform()) *
z indices.shape[1])
        sample = torch.rand(z indices.shape,
device=z_indices.device).topk(r, dim=1).indices
        mask = torch.zeros(z indices.shape, dtype=torch.bool,
device=z indices.device)
        mask.scatter (dim=1, index=sample, value=True)
        masked_indices = self.mask_token_id * torch.ones_like(z_indices,
device=z indices.device)
        a_indices = mask * z_indices + (~mask) * masked_indices # Apply
mask to z_indices
        logits = self.transformer(a indices)
        return logits, z_indices
```

gamma func

I implemented three mask scheduling method, given the mask ratio $r \in [0,1]$

cosine:
$$\cos(\frac{\pi r}{2})$$

linear: $1 - r$ (2)
square: $1 - r^2$

forward

For each iteration of an input x, which is an image, we first encode it to the codebook indices, which is z_indices, and determine the ratio r of the portion on the image to be masked, which is calculated by gamma_func. The mask was randomly placed and applied to the image, then feed the masked image to the transformer to obtain the logits.

Training One Epoch

```
def train_one_epoch(self, train_loader, cur_epoch):
        ret loss = 0.0
        for i, data in enumerate(tqdm(train loader, desc=f"Training Epoch
{cur_epoch}")):
            inputs = data.to(args.device)
            logits, targets = self.model(inputs)
            # Flatten the outputs and targets to fit cross-entropy
expectation
            loss = F.cross entropy(logits.view(-1, logits.shape[-1]),
targets.view(-1))
            loss.backward()
            self.optim.step()
            self.optim.zero_grad()
            ret loss += loss.item()
        average loss = ret loss / len(train loader)
        if args.scheduler == 'warm-up':
            lr = trainer.scheduler.lr
        else:
            lr = trainer.scheduler.get last lr()[0]
        print(f"Average training loss for epoch {cur epoch}:
{average_loss:.4f}, lr: {lr}")
        return average loss
```

For every epoch, we feed the input data into the model and calculate the loss as the cross entropy between logits and target, which is the encoded image z_indices. Then perform back propagation on the model to minimize the loss.

Inpainting Inference

```
def inpainting(self,image,mask b,i, true scheduling=False): #MakGIT
inference
        maska = torch.zeros(self.total iter, 3, 16, 16) #save all
iterations of masks in latent domain
        imga = torch.zeros(self.total iter+1, 3, 64, 64)#save all
iterations of decoded images
        mean = torch.tensor([0.4868, 0.4341,
0.3844], device=self.device).view(3, 1, 1)
        std = torch.tensor([0.2620, 0.2527,
0.2543], device=self.device).view(3, 1, 1)
        ori=(image[0]*std)+mean
        imga[0]=ori #mask the first image be the ground truth of masked
image
        self.model.eval()
        with torch.no grad():
            , z indices = self.model.encode to z(image) #z indices:
masked tokens (b, 16*16)
            # print(f"z_indices: {type(z_indices)}")
            mask num = mask b.sum() #total number of mask token
            z indices predict=z indices
            mask bc=mask b
            mask_b=mask_b.to(device=self.device)
            mask bc=mask bc.to(device=self.device)
            ratio = 0
            #iterative decoding for loop design
            #Hint: it's better to save original mask and the updated mask
by scheduling separately
            for step in (range(self.total iter)):
                if step == self.sweet_spot:
                ratio = (step + 1) / self.total iter #this should be
updated
                z indices predict, mask bc =
self.model.inpainting(z indices predict, mask bc, ratio, true scheduling,
mask b)
```

```
z indices predict = torch.where(mask bc,
z indices predict, z indices)
                z_indices_predict = torch.clamp(z_indices_predict, 0,
1023)
                #static method you can modify or not, make sure your
visualization results are correct
               mask i=mask bc.view(1, 16, 16)
                mask image = torch.ones(3, 16, 16)
                indices = torch.nonzero(mask i, as tuple=False)#label mask
true
                mask_image[:, indices[:, 1], indices[:, 2]] = 0 #3,16,16
                maska[step]=mask image
                shape=(1,16,16,256)
                z q =
self.model.vqgan.codebook.embedding(z_indices_predict).view(shape)
                z q = z q.permute(0, 3, 1, 2)
                decoded img=self.model.vqgan.decode(z q)
                dec img ori=(decoded img[0]*std)+mean
                imga[step+1]=dec img ori #get decoded image
                vutils.save image(dec img ori,
os.path.join("test results/" + self.save root + "/" + str(step),
f"image_{i:03d}.png"), nrow=1)
                #demo score
                vutils.save image(maska, os.path.join("mask scheduling/" +
self.save root + "/" + str(step), f"test {i}.png"), nrow=10)
                vutils.save image(imga, os.path.join("imga/" +
self.save root + "/" + str(step), f"test {i}.png"), nrow=7)
```

Before iterative decoding, the image was first decoded and stored in the variable z_indices for later recovering, z_indices_predict is set to be the same as z_indices as the initial image was the same as the masked image, mask_bc was the dynamic mask that would be updated in the iterative decoding, and ratio was set to 0.

In each iteration, ratio was first updated with current iter to determine the predicted portion to be kept, then use the inpainting function in the model to predict and update the z_indices_predict and mask mask_bc.

Since we wish to preserve the unmasked part in the image, we add back the part that was not masked by the original image to the predicted image, which is z_indices_predict, mask_bc = self.model.inpainting(z_indices_predict, mask_bc, ratio, true_scheduling, mask_b), since the prediction may include some values out of bound, we use z_indices_predict = torch.clamp(z_indices_predict, 0, 1023) to ensure the predicted indices lies within [0, 1023].

For each iteration, save all the results for testing the best sweet spot.

```
def inpainting(self, z indices, mask b, ratio, true scheduling=False,
mask original=None):
        z indices = torch.where(mask b, self.mask token id, z indices)
        logits = self.transformer(z indices) # [1, 256, 1025]
        #Apply softmax to convert logits into a probability distribution
across the last dimension.
        probs = torch.softmax(logits, dim=-1) # [1, 256, 1025]
        infinite mask = torch.full like(probs, float('inf'))
        probs = torch.where(mask_b.unsqueeze(-1), probs, infinite mask)
        z_indices_predict_prob, z_indices_predict = probs.max(dim=-1) #
[1, 256]
        ratio = self.gamma(ratio)
        g = torch.distributions.gumbel.Gumbel(0,
1).sample(z indices predict prob.shape).to("cuda")
        temperature = self.choice temperature * (1 - ratio)
        confidence = z_indices_predict_prob + temperature * g
        sorted confidence, = torch.sort(confidence, dim=-1)
        if true scheduling:
            mask len =
torch.unsqueeze(torch.floor(mask original.sum().view([1]) * ratio), 1)
        else:
            mask len = torch.unsqueeze(torch.floor(mask b.sum().view([1])
* ratio), 1)
        mask_len = torch.maximum(torch.zeros_like(mask_len),
torch.minimum(torch.sum(mask_b, dim=-1, keepdim=True)-1, mask_len))
        cut off = torch.take along dim(sorted confidence,
mask_len.to(torch.long), dim=-1)
        masking = (confidence < cut_off) # preserve the max confidence</pre>
part
```

```
z_indices_predict = torch.where(mask_b, z_indices_predict,
z_indices)
    z_indices_predict = torch.clamp(z_indices_predict, 0, 1023)
    return z_indices_predict, masking
```

The image including predictions is passed in with z_indices, we apply the mask to the image with z_indices = torch.where(mask_b, self.mask_token_id, z_indices) to let the transformer predict the masked part. The transformer will output the logits, we use the softmax function to transform it into a probability distribution and set the probability of unmasked part of the image to be infinite (probs = torch.where(mask_b.unsqueeze(-1), probs, infinite_mask)). The predicted probability z_indices_predict_prob and predicted mask z_indices_predict are obtained from taking the maximum probability from the probability distribution of logits.

The size of the updated mask is determined by the mask scheduling function gamma_func, Gumbel noise is sampled from a Gumbel distribution with location 0 and scale 1. This noise introduces randomness to the confidence scores, which helps in exploring different possible values during inpainting. Temperature is used to adjust the scale of randomness. mask_len calculates the number of masked positions based on the sum of mask_b and the adjusted ratio and cut_off determines the confidence threshold for masking by selecting the corresponding value from sorted_confidence. Finally insert the unmasked part that shouldn't be altered into the results and insert predictions into where the image was masked, and return the predictions and updated mask.

The parameter true_scheduling is just to determine whether we choose to use the mask size from the previous iteration or the original mask size.

Experimental Results

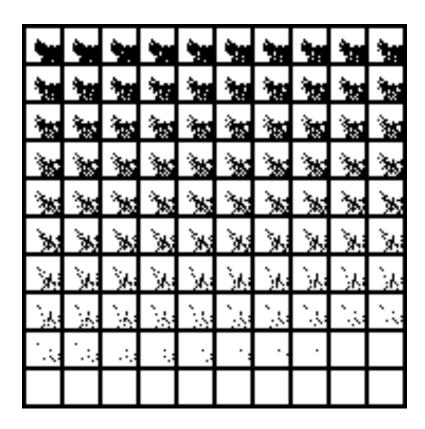
Best FID: 40.286599142976684



Result Image

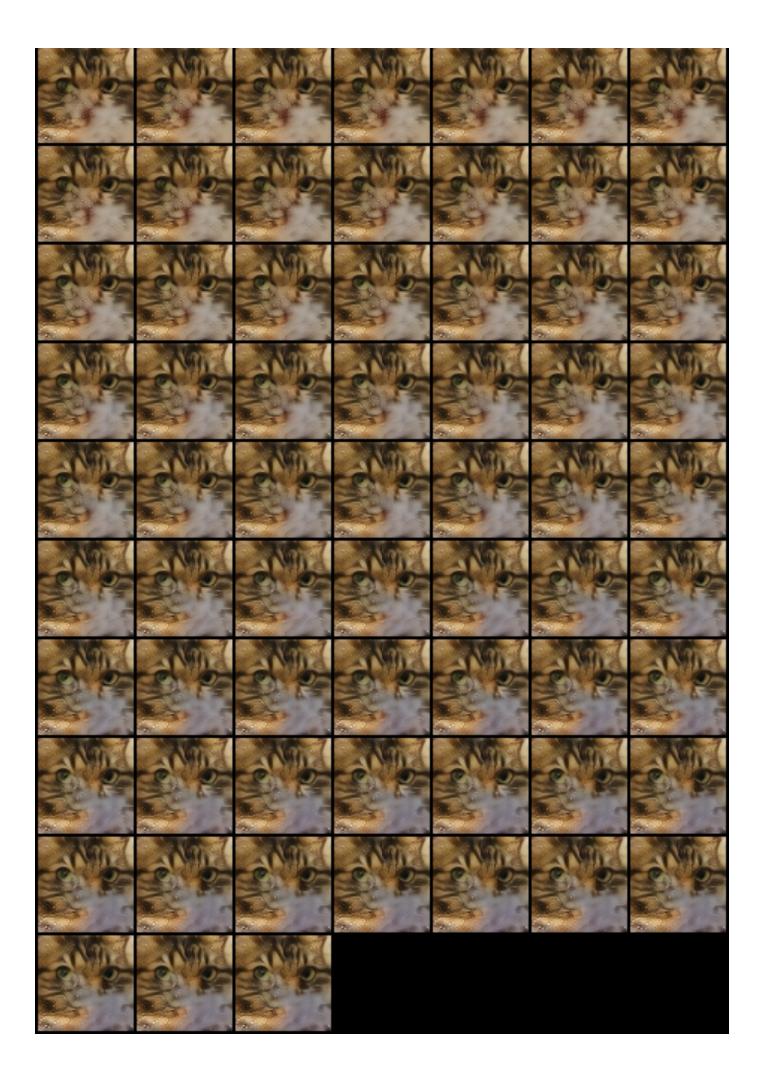


Mask Scheduling



Decode Process





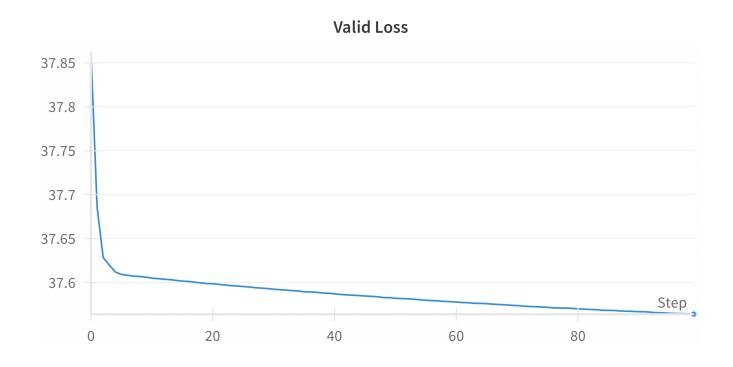
Mask Scheduling Parameters

Parameter	Setting
total-iter	100
sweet-spot	7
mask-func	Cosine

Training Detail

Learning Rate Scheduler

In the begining I used a multi-stage learning rate scheduling but all didn't show strong learning behavior on reducing the loss as shown in the following figure.

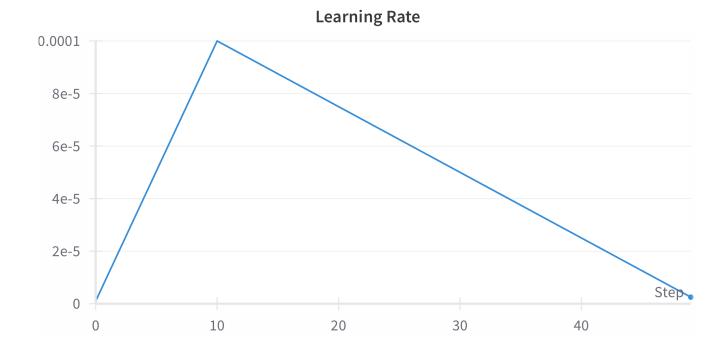


The reduction was minor (only 0.3), therefore, I adapted the learning rate scheduler from the repo MaskGIT-pytorch.

```
class WarmupLinearLRSchedule:
    """
    Implements Warmup learning rate schedule until 'warmup_steps', going
from 'init_lr' to 'peak_lr' for multiple optimizers.
    """
    def __init__(self, optimizer, init_lr, peak_lr, end_lr, warmup_epochs,
epochs=100, current_step=0):
```

```
self.init lr = init lr
        self.peak lr = peak lr
        self.optimizer = optimizer
        if warmup epochs != 0:
            self.warmup rate = (peak lr - init lr) / warmup epochs
        else:
            self.warmup rate = 0
        # print(f"end lr: {end lr}, peak lr: {peak lr}, epochs: {epochs},
warmup epochs: {warmup epochs}")
        self.decay rate = (end lr - peak lr) / (epochs - warmup epochs)
        self.update_steps = current_step
        self.lr = init lr
        self.warmup steps = warmup epochs
        self.epochs = epochs
        if current_step > 0:
            self.lr = self.peak lr + self.decay rate * (current step - 1 -
warmup epochs)
   def set lr(self, lr):
        print(f"Setting lr: {lr}")
        for g in self.optimizer.param groups:
            g['lr'] = lr
    def step(self):
        if self.update steps <= self.warmup steps:</pre>
            lr = self.init_lr + self.warmup_rate * self.update_steps
        # elif self.warmup steps < self.update steps <= self.epochs:</pre>
        else:
            lr = max(0., self.lr + self.decay rate)
        self.set lr(lr)
        self.lr = lr
        self.update steps += 1
        return self.lr
```

The WarmupLinearLRSchedule class implements a learning rate scheduler with a warm-up phase followed by a linear decay phase. If warmup_epochs is not 0, warmup_rate is calculated as the slope of warming up so that the learning rate starts from init_lr and reaches args.lr by warmup_epochs. After reaching the peak learning rate, it strats decaying until the end of traning when it reaches end lr. The effect can be visualized as the following figure.



We configure the WarmupLinearLRSchedule as the following:

Such learning rate scheduling resulted in a more reasonable learning curve and a more dynamic environment that allows the agent to escape from local minima.

Valid Loss 18 17 16 15 14

20

Settings

0

Parameter	Value
batch size	10
epochs	50
Warmup-epochs	10
Peak_lr	0.0001
End_lr	0

30

40

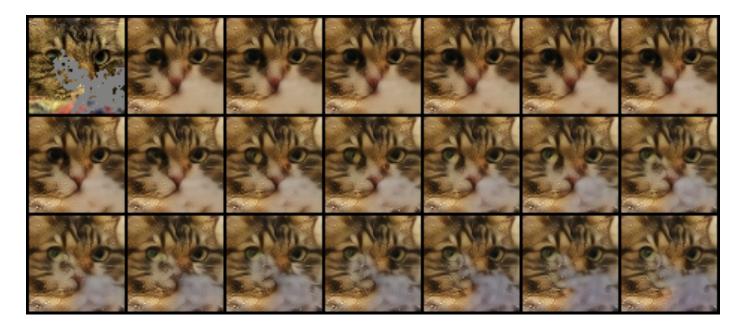
Scheduling Comparisons

The comparisons are done under decoding for 20 iterations.

10

Cosine Scheduling





Linear Scheduling

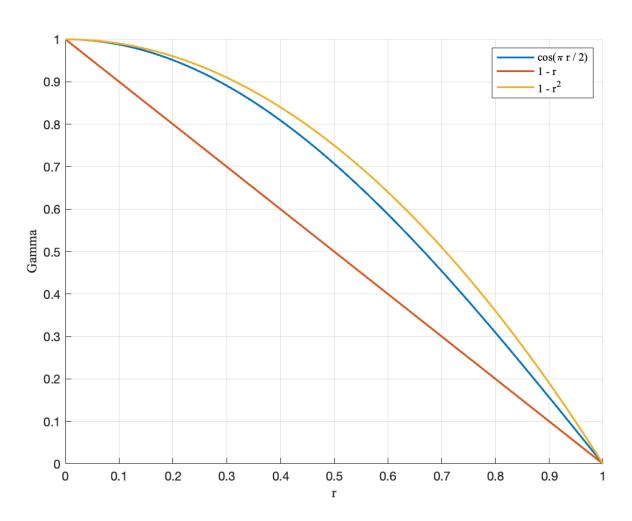


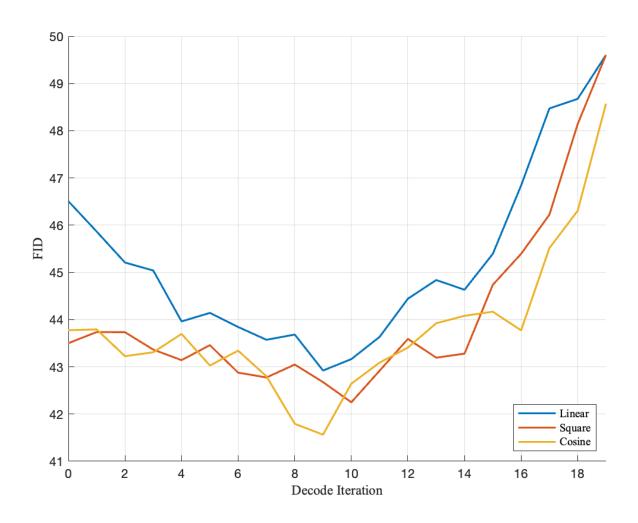


Square Scheduling









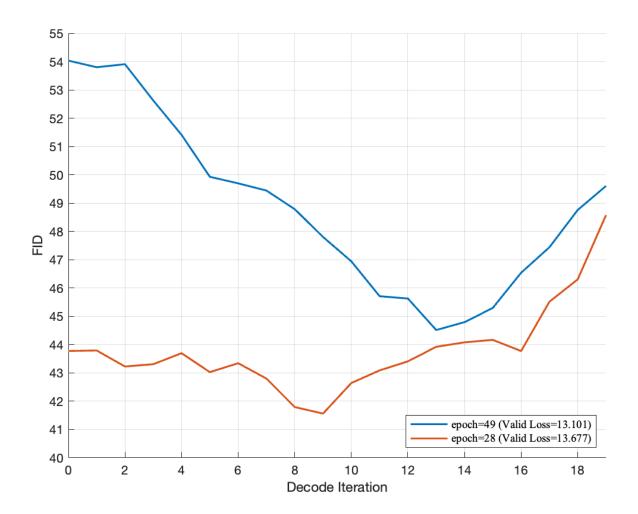
Scheduling Method	Best FID	Mean FID
Cosine	41.5636	43.7906
Square	42.2494	44.0826
Linear	42.9221	45.2226

From the figure, it is obvious that the cosine mask scheduling yields the best decoding results with the lowest Best FID and mean, followed by the square mask scheduling, the poorest is the linear mask scheduling.

Discussion

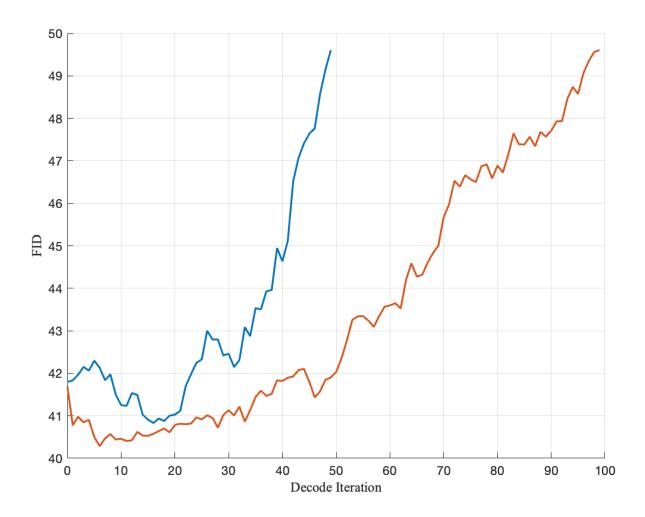
Smaller Loss Doesn't Indicate Smaller FID

The following figure is the FID curve of each decode iteration under the checkpoint with different loss. The checkpoint of epoch 49 had valid loss of value 13.101 while the checkpoint of epoch 28 had a valid loss of 13.677, yet in the figure, the checkpoint from epoch 28 had significantly better ability at predicting the masked pictures. Therefore, the valid loss doesn't always align with the performance. Such result can result from the difference in distribution of the valid dataset and test dataset.



Mask Scheduling Iterations Matter

The inpainting task decodes all the tokens every iteration, the scheduling function determines the number of tokens to be kept, hence, the longer the total iteration, the smaller the number of tokens are kept at each iteration, meaning that we only choose the "best" token at each iteration. That's why decoding for 100 iterations yields a significantly better result compared to decoding for 50 epochs.



Reference:

- <u>MaskGIT-pytorch</u>
- MaskGIT-PAT