

Executive Board

September 10, 2025 12:00 AM

Last Audit Date

Clear all slicers

Nationality

Rule Name

Analyst View

Operations & Compliance
Drilldown

Data Quality Trends &
Forecast

50

Total Clients

50

Total Audit events

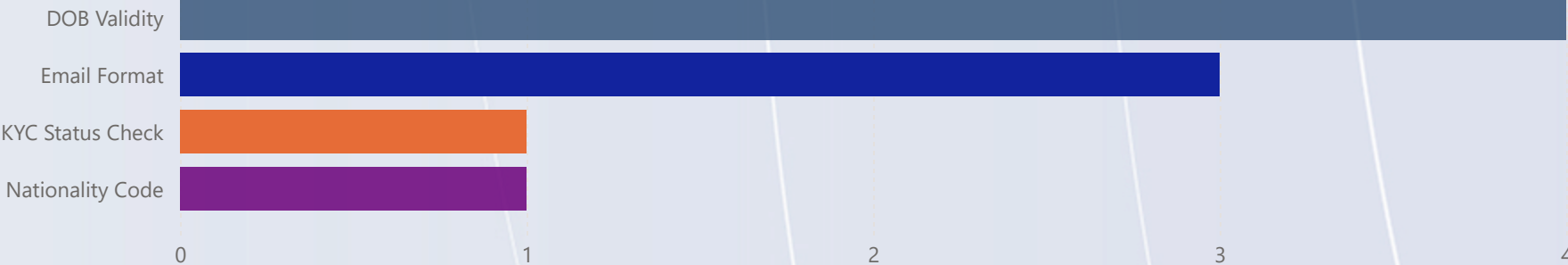
16

Clients All Valid

82%

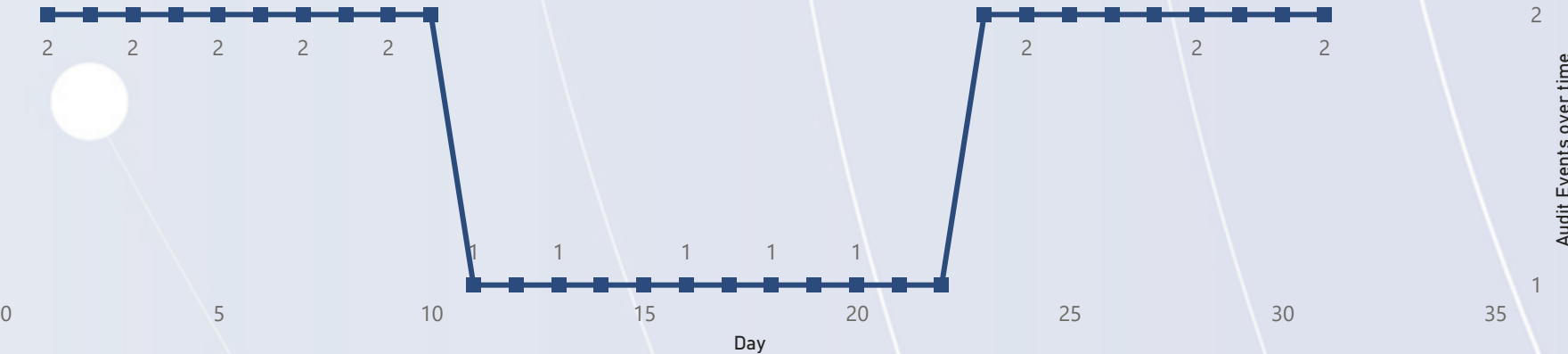
Validation Pass Rate

Failure by Rule Name by Rule Name



Client Pass Rate		Name
	50%	Yuki Nakamura
	100%	Tom Becker
	50%	Sophie Dubois
	100%	Robert Wilson
	50%	Omar Farouk
	100%	Olga Ivanova
82%		

Daily Audits Over Time



Client Id	Event Type
1	Validation
5	Validation
9	Validation
13	Validation
17	Validation
21	Validation
25	Validation
29	Validation



Analyst View

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82.0%

Client Pass Rate

50

Total Validations

82%

Average Client Pass...

Select all

Failed

Pending

Verified

Nationality

All

Rule Name

All

Severity

All

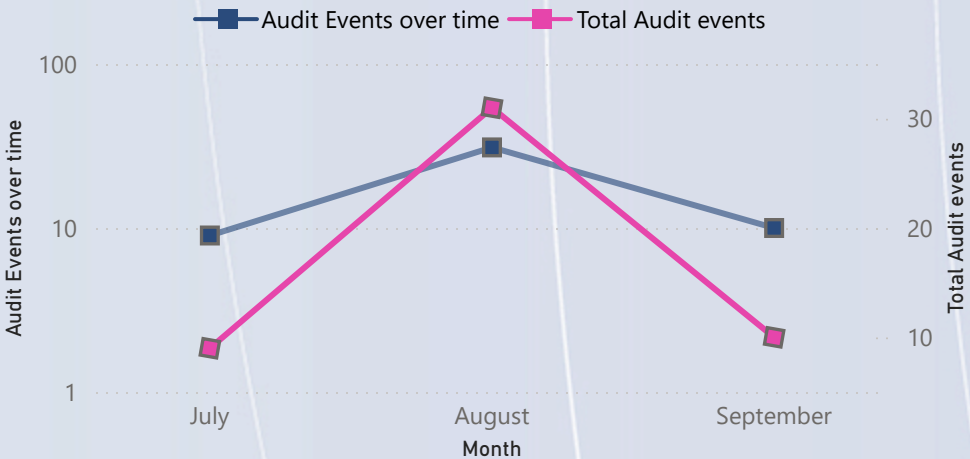
Event Type

All

17/08/2025

10/09/2025

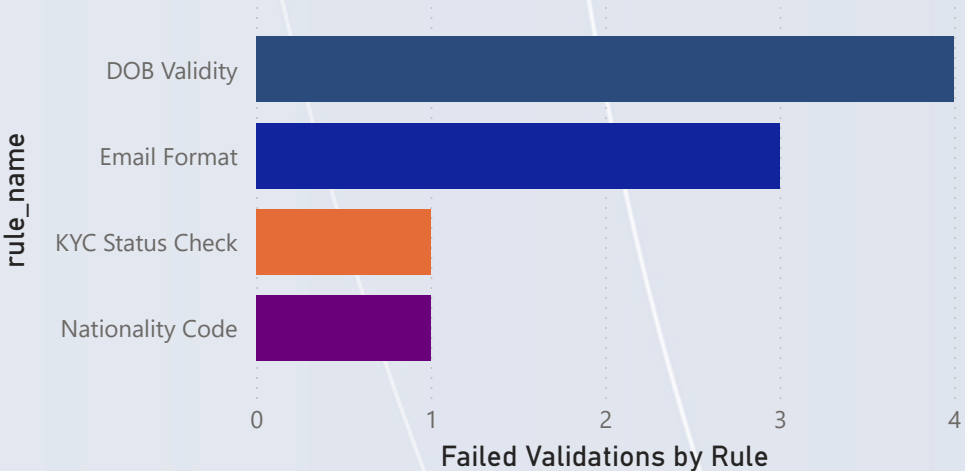
Audit Events Over Time



Client by Nationality



Failed Validations by Rule by rule_name



client_id	Name	Sum of event_id	Audit Events over time	Failed Validations by Rule
1	John Smith	1	1	
2	Maria Lopez	2	1	
3	David Chen	3	1	1
4	Sophie Dubois	4	1	1
5	Ahmed Khan	5	1	
6	Linda Johnson	6	1	
7	Tom Becker	7	1	
Total		1275	50	9

False

True



KYC Compliance Drilldown

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Event Type

All

Severity

All

Failed

Pending

Verified

9

Failed Validations by Rule

82%

Average Client Pass Rate

4

High Severity

50

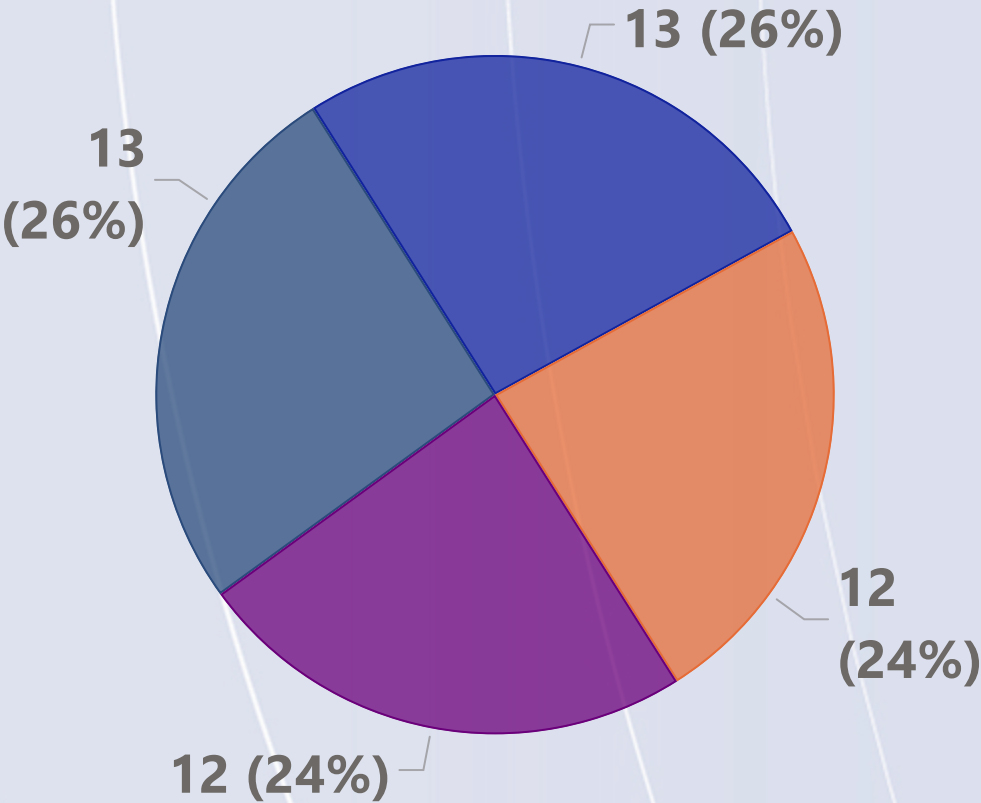
Client with Manual Override

Rule Name	High	Low	Medium	Total
DOB Validity			50	50
Email Format	50			50
KYC Status Check	50			50
Nationality Code		50		50
Total	50	50	50	50

Client ID	Name	Nationality	KYC Status	Failed Rule	Timestamp	Failure Count
24	Omar Farouk	Egypt	Failed	1	August-18-25	1
21	Daniel Miller	United States	Verified	1	August-21-25	1
19	Yuki Nakamura	Japan	Failed	1	August-23-25	1
17	Laura Schmidt	Germany	Verified	1	August-25-25	1
14	Ali Hassan	Egypt	Failed	1	August-28-25	1
11	Michael Brown	United States	Pending	1	August-31-25	1
Total				9		9

Audit Events over time by Event Type

Event Type Update Validation Flag Raised Manual Override





Data Quality Trends

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Last Audit Date

17/08/2025

10/09/2025

Nationality

All

80%

Pass Rate Last Month

85%

Pass Rate This Month

6.25%

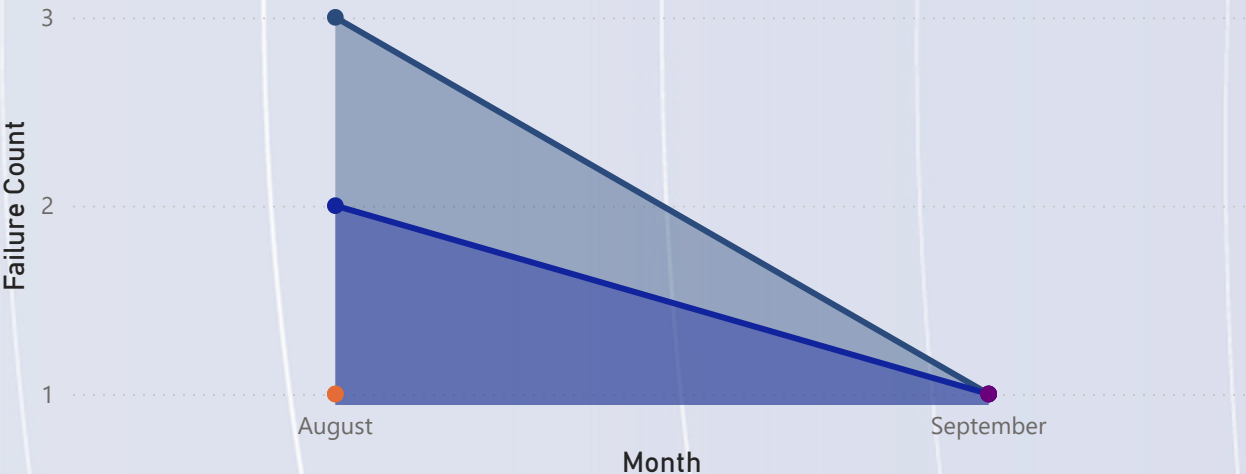
Pass Rate This Month % difference from...

90%

Projected Next Month Pass Rate

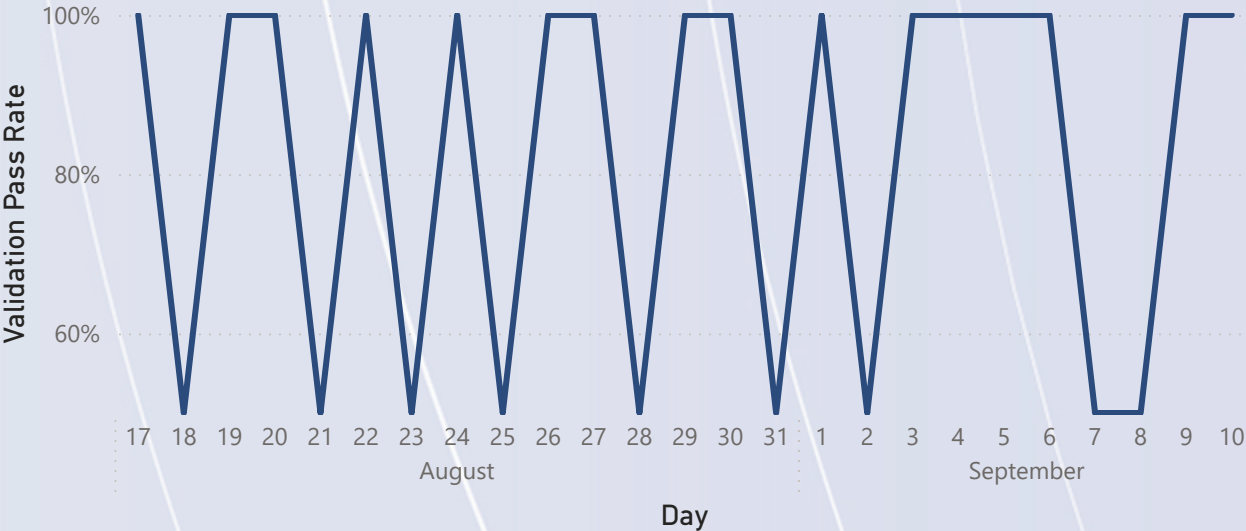
Failure Count by Month and Rule Name

Rule Name ● DOB Validity ● Email Format ● KYC Status Check ● Nationality Code



Client ID	DOB Validity	Email Format	KYC Status Check	Nationality Code	Total
3		1			1
4				1	1
9	1				1
11		1			1
14	1				1
17	1				1
19			1		1
21		1			1
24	1				1
Total	4	3	1	1	9

Validation Pass Rate





Tool Panel

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17/08/2025



10/09/2025



Rule Name

All



Event Type



All



Result

All



Nationality

All



KYC Status

All



Severity

All



Severity

All



50

Total Validations

50

Total Audit events

80%

Pass Rate Last Month

90%

Projected Next Month Pass Rate

6.25%

Pass Rate This Month % difference fro...

9

Failed Validations by Rule

85%

Pass Rate This Month

4

High Severity