

# Data Structures and Algorithm

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Lecture # 23

**Huffman Coding** 



### **Last Lecture**

Binary Search Tree

### **This Lecture**

- What is Encoding?
- Fixed vs variable length encoding
- Huffman Coding

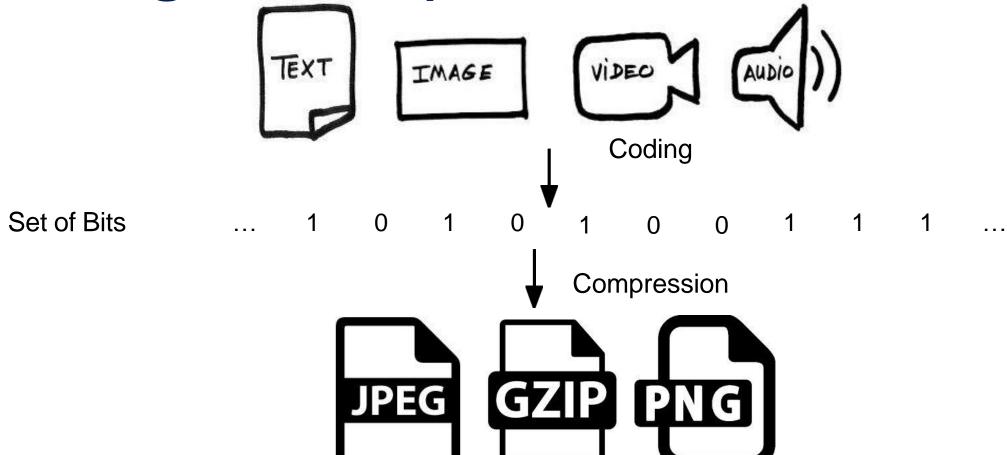


# **Data Compression**

- Suppose we have 1000000000 (1G) character data file that we wish to include in an email.
- Suppose file only contains 26 letters {a,...,z}.
- Suppose each letter a in {a,...,z} occurs with frequency f<sub>a</sub>.
- Suppose we encode each letter by a binary code
- If we use a fixed length code, we need 5 bits for each character
- The resulting message length is  $5(f_a + f_b + \cdots + f_z)$



## **Coding and Compression**



Compression reduces the size of a file to save space when storing and to save time when transmitting

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# **Encoding and Compression**

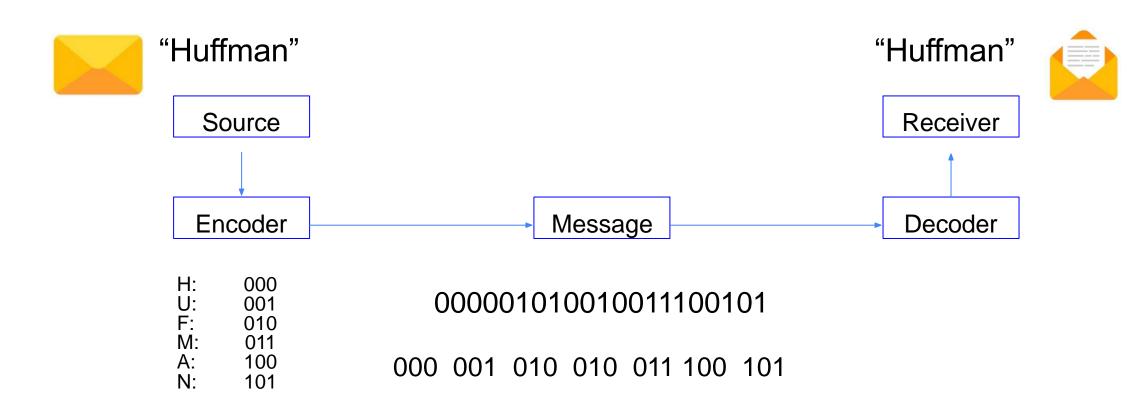
|   | Tags.V1.3.xml      | 169 KB   |
|---|--------------------|----------|
|   | Votes.V1.3.xml     | 759.1 MB |
|   | Users.V1.3.xml     | 160.6 MB |
|   | Badges.V1.3.xml    | 136.4 MB |
| ₩ | Posts.V1.3.zip     | 894.7 MB |
|   | PostLinks.V1.3.xml | 29.1 MB  |
|   | Comments.V1.3.xml  | 447.4 MB |
|   | Posts.V1.3.xml     | 4.09 GB  |
|   |                    |          |

Compression to reduce data size

ARQMath Lab main file posted as both XML and ZIP



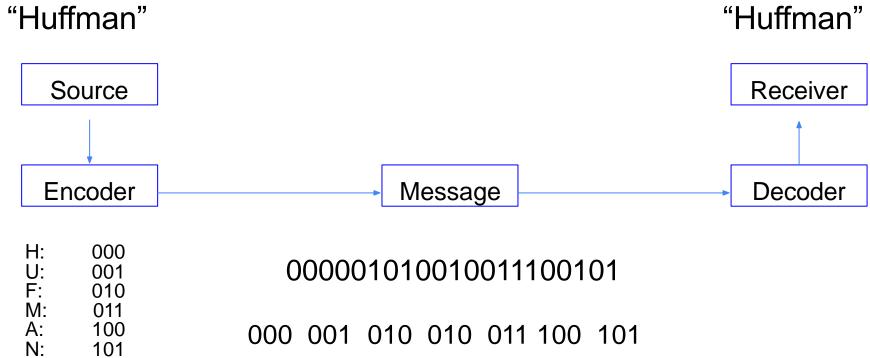
# **Data Compression Problem**



### **Data Compression Problem**







- 1. Single symbol should have unique binary code
- 2. Compression must be lossless (lossless data compression)
- 3. Unique decodability

### **Fixed-Length Coding**

Coding Problem: Given a set of symbols, represent them as a unique bit string, codewords

Codes used by computer systems

- ASCII
  - uses 8 bits per character
  - o can encode 256 characters

| Char | Value       | Char | Value | Char       | Value |
|------|-------------|------|-------|------------|-------|
| (sp) | 32          | @    | 64    | l ,        | 96    |
| !    | 33          | I A  | 65    | a          | 97    |
| п    | 34          | В    | 66    | l b        | 98    |
| #    | 35          | C    | 67    | C          | 99    |
| \$   | 36          | D    | 68    | d          | 100   |
| %    | 37          | E    | 69    | l e        | 101   |
| &    | 38          | F    | 70    | l f        | 102   |
| 1    | 39          | G    | 71    | j g        | 103   |
| (    | 40          | H    | 72    | j h        | 104   |
| )    | 41          | I    | 73    | i          | 105   |
| *    | 42          | J    | 74    | j          | 106   |
| +    | 43          | İΚ   | 75    | į k        | 107   |
| ,    | 44          | į L  | 76    | il         | 108   |
| _    | 45          | M    | 77    | j m        | 109   |
|      | 46          | N    | 78    | n          | 110   |
| 1    | 47          | 0    | 79    | 0          | 111   |
| 0    | 48          | P    | 80    | j p        | 112   |
| 1    | 49          | Q    | 81    | l q        | 113   |
| 2    | 50          | l R  | 82    | ľr         | 114   |
| 3    | 51          | S    | 83    | S          | 115   |
| 4    | <b>52</b> 8 | T    | 84    | l t        | 116   |
| 5    | 53          | U    | 85    | u          | 117   |
| 6    | 54          | į V  | 86    | V          | 118   |
| 7    | 55          | W    | 87    | W          | 119   |
| 8    | 56          | X    | 88    | X          | 120   |
| 9    | 57          | Y    | 89    | ĺу         | 121   |
| :    | 58          | Z    | 90    | Z          | 122   |
| ;    | 59          | ] [  | 91    | <b> </b> { | 123   |
| <    | 60          | ١ ١  | 92    | i I        | 124   |
| =    | 61          | ]    | 93    | į į        | 125   |
| >    | 62          | ^    | 94    | ~          | 126   |
| ?    | 63          | l _  | 95    | (del)      | 127   |

### **Fixed-Length Coding**

Coding Problem: Given a set of symbols, represent them as a unique bit string, codewords

Codes used by computer systems

- ASCII
  - uses 8 bits per character
  - can encode 256 characters
- Unicode
  - 16 bits per character
  - can encode 65536 characters
  - includes all characters encoded by ASCII

ASCII and Unicode are *fixed-length* codes

#### **Drawbacks**

Are we using space optimally?

| Char | Doo     | Dimensi  | Chan | Des        | Discours | Char | Doc     | Discount |
|------|---------|----------|------|------------|----------|------|---------|----------|
| Char | Dec 033 | 00100001 | Char | Dec<br>065 | 01000001 | Char | Dec 097 | 01100001 |
|      | 033     | 00100001 | В    | 066        | 0100001  | b    | 098     | 01100001 |
|      |         |          |      |            |          |      |         |          |
| #    | 035     | 00100011 | С    | 067        | 01000011 | С    | 099     | 01100011 |
| \$   | 036     | 00100100 | D    | 068        | 01000100 | d    | 100     | 01100100 |
| %    | 037     | 00100101 | E    | 069        | 01000101 | е    | 101     | 01100101 |
| &    | 038     | 00100110 | F    | 070        | 01000110 | f    | 102     | 01100110 |
| *    | 039     | 00100111 | G    | 071        | 01000111 | g    | 103     | 01100111 |
| (    | 040     | 00101000 | н    | 072        | 01001000 | h    | 104     | 01101000 |
| )    | 041     | 00101001 | I    | 073        | 01001001 | i    | 105     | 01101001 |
| *    | 042     | 00101010 | J    | 074        | 01001010 | j    | 106     | 01101010 |
| +    | 043     | 00101011 | К    | 075        | 01001011 | k    | 107     | 01101011 |
| ,    | 044     | 00101100 | L    | 076        | 01001100 | 1    | 108     | 01101100 |
| -    | 045     | 00101101 | М    | 077        | 01001101 | m    | 109     | 01101101 |
|      | 046     | 00101110 | N    | 078        | 01001110 | n    | 110     | 01101110 |
| /    | 047     | 00101111 | О    | 079        | 01001111 | o    | 111     | 01101111 |
| 0    | 048     | 0011000  | Р    | 080        | 01010000 | р    | 112     | 01110000 |
| 1    | 049     | 00110001 | Q    | 081        | 01010001 | q    | 113     | 01110001 |
| 2    | 050     | 00110010 | R    | 082        | 01010010 | r    | 114     | 01110010 |
| 3    | 051     | 00110011 | s    | 083        | 01010011 | s    | 115     | 01110011 |
| 4    | 052     | 00110100 | т    | 084        | 01010100 | t    | 116     | 01110100 |
| 5    | 053     | 00110101 | U    | 085        | 01010101 | u    | 117     | 01110101 |
| 6    | 054     | 00110110 | v    | 086        | 01010110 | v    | 118     | 01110110 |
| 7    | 055     | 00110111 | w    | 087        | 01010111 | w    | 119     | 01110111 |



### **ASCII Table**

| Dec Hex | Oct | Chr                        | Dec | Hex  | Oct | HTML    | Chr   | Dec                                     | Hex | Oct | HTML | Chr | Dec | Hex | Oct | HTML   | Chr |
|---------|-----|----------------------------|-----|------|-----|---------|-------|---|-----|-----|------|-----|-----|-----|-----|--------|-----|
| 0 0     | 000 | NULL                       | 32  | 20   | 040 |         | Space | 64                                      | 40  | 100 | @    | @   | 96  | 60  | 140 | `      | ,   |
| 1 1     | 001 | Start of Header            | 33  | 21   | 041 | !       | !     | 65                                      | 41  | 101 | A    | A   | 97  | 61  | 141 | a      | a   |
| 2 2     | 002 | Start of Text              | 34  | 22   | 042 | "       | "     | 66                                      | 42  | 102 | B    | B   | 98  | 62  | 142 | b      | b   |
| 3 3     | 003 | End of Text                | 35  | 23   | 043 | #       | #     | 67                                      | 43  | 103 | C    | C   | 99  | 63  | 143 | c      | C   |
| 4 4     | 004 | <b>End of Transmission</b> | 36  | 24   | 044 | \$      | 5     | 68                                      | 44  | 104 | D    | D   | 100 | 64  | 144 | d      | d   |
| 5 5     | 005 | Enquiry                    | 37  | 25   | 045 | %       | %     | 69                                      | 45  | 105 | E    | E   | 101 | 65  | 145 | e      | e   |
| 6 6     | 006 | Acknowledgment             | 38  | 26   | 046 | &       | 84    | 70                                      | 46  | 106 | F    | F   | 102 | 66  | 146 | f      | f   |
| 77      | 007 | Bell                       | 39  | 27   | 047 | '       | •     | 71                                      | 47  | 107 | G    | G   | 103 | 67  | 147 | g      | g   |
| 88      | 010 | Backspace                  | 40  | 28   | 050 | 84040;  | (     | 72                                      | 48  | 110 | H    | H   | 104 | 68  |     | h      | h   |
| 99      | 011 | Horizontal Tab             | 41  | 29   | 051 | )       | )     | 73                                      | 49  | 111 | I    | I   | 105 | 69  | 151 | i      | i   |
| 10 A    | 012 | Line feed                  | 42  | 2A   | 052 | *       | *     | 74                                      | 4A  | 112 | J    | J   | 106 | 6/  | 152 | j      | i   |
| 11 B    | 013 | Vertical Tab               | 43  | 2B   | 053 | +       | +     | 75                                      | 4B  | 113 | K    | K   | 107 | 6Ь  | 153 | k      | k   |
| 12 C    | 014 | Form feed                  | 44  | 2C   | 054 | 84044;  |       | 76                                      | 4C  | 114 | L    | L   | 108 |     |     | l      | 1   |
| 13 D    | 015 | Carriage return            | 45  | 2D   | 055 | -       | 20    | 77                                      | 4D  | 115 | M    | M   | 109 | 6D  | 155 | m      | m   |
| 14 E    | 016 | Shift Out                  | 46  |      | 056 | .       |       | 78                                      | 4E  | 116 | N    | N   | 110 | 6E  | 156 | n      | n   |
| 15 F    | 017 | Shift In                   | 47  | 2F   | 057 | /       | 1     | 79                                      | 4F  | 117 | O    | 0   | 111 | 6F  |     | o      | 0   |
| 16 10   | 020 | Data Link Escape           | 48  |      | 060 | 0       | 0     |   | 50  |     | P    | P   | 112 |     |     | p      | p   |
| 17 11   | 021 | Device Control 1           | 49  | 31   | 061 | 84,049; | 1     | 81                                      | 51  | 121 | Q    | Q   | 113 | 71  |     | q      | q   |
| 18 12   | 022 | Device Control 2           | 50  |      | 062 | 2       | 2     | 100000000000000000000000000000000000000 | 52  |     | R    | R   | 114 |     |     | r      | r   |
| 19 13   | 023 | Device Control 3           | 51  | 33   | 063 | 3       | 3     |   | 53  |     | S    | S   | 115 | 73  |     | s      | 5   |
| 20 14   | 024 | Device Control 4           | 52  |      | 064 | 4       | 4     |   | 54  |     | T    | T   | 116 |     |     | t      | t   |
| 21 15   | 025 | Negative Ack.              | 53  |      | 065 | 5       | 5     | 1.000.00                                | 55  |     | U    | U   | 117 |     |     | u      | u   |
| 22 16   | 026 | Synchronous idle           | 54  |      | 066 | 6       | 6     | 2555000                                 | 56  |     | V    | V   | 118 |     |     | v      | V   |
| 23 17   | 027 | End of Trans. Block        | 55  | 7777 | 067 | 7       | 7     | 95.555.75                               | 57  |     | W    | W   | 119 |     |     | w      | w   |
| 24 18   | 030 | Cancel                     | 56  |      | 070 | 8       | 8     | 88                                      |     |     | X    | X   | 120 |     |     | x      | ×   |
| 25 19   | 031 | End of Medium              | 57  |      | 071 | 9       | 9     | 22000                                   | 59  |     | Y    | Y   | 121 |     |     | y      | ٧   |
| 26 1A   | 032 | Substitute                 | 58  |      | 072 | :       | :     |   | 5A  |     | Z    | Z   | 122 |     |     | z      | z   |
| 27 1B   | 033 | Escape                     | 59  |      | 073 | ;       |       |   | 5B  |     | [    | 1   | 123 |     |     | {      | 1   |
| 28 1C   | 034 | File Separator             | 60  |      | 074 | <       | <     |   | 5C  |     | \    | 1   | 124 |     |     |        | ì   |
| 29 1D   | 035 | Group Separator            | 61  |      | 075 | =       | =     |   | 5D  |     | ]    | 1   | 125 |     |     | }      | 1   |
| 30 1E   | 036 | Record Separator           | 62  |      | 076 | >       | >     | 94                                      |     |     | ^    | ^   | 126 |     |     | 8#126; | ~   |
| 31 1F   | 037 | Unit Separator             | 63  |      | 077 | ?       | ?     |   | 5F  |     | _    |     | 127 |     |     | 8#127; | Del |





We represent different characters using different numbers of bits

#### Example:

Decode the following binary string: 011

$$0,11 \rightarrow af$$

$$0,11 \rightarrow af$$
  $0,1,1 \rightarrow abb$   $01,1 \rightarrow db$ 

$$01,1 \rightarrow db$$

**Decodability Issue** 



## **Prefix Property**

Prefix code: code that can be deciphered character by character by reading a prefix of the input binary string

Prefix-free code: no code is prefix of any other can be visualized as a binary tree with the encoded characters at the leaves

Which of the following codes are prefix free?

| Char\Code | Code 1 | Code 2 | Code 3 | Code 4 |
|-----------|--------|--------|--------|--------|
| A         | 0      | 0      | 1      | 1      |
| В         | 100    | 1      | 01     | 11     |
| С         | 10     | 00     | 001    | 10     |
| D         | 11     | 11     | 0001   | 01     |



# **Huffman Coding**

- The basic idea
  - Instead of storing each character in a file as an 8-bit ASCII value, we will instead store the more frequently occurring characters using fewer bits and less frequently occurring characters using more bits
  - On average this should decrease the file size (usually ½)
- Huffman codes can be used to compress information
  - Like WinZip although WinZip doesn't use the Huffman algorithm
  - JPEGs do use Huffman as part of their compression process



# **Huffman Coding**

- Huffman coding is a lossless data compression algorithm.
- In this algorithm, a variable-length code is assigned to input different characters.
- The code length is related to how frequently characters are used.
- Most frequent characters have the smallest codes and longer codes for least frequent characters.
- There are mainly two parts.
  - i. First one to create a Huffman tree
  - ii. To traverse the tree to find codes.

#### Example

- Consider some strings "YYYZXXYYX", the frequency of character Y is larger than X and the character Z has the least frequency.
- So, the length of the code for Y is smaller than X, and code for X will be smaller than Z.
- Complexity for assigning the code for each character according to their frequency is O(n log n)



### How to decode?

 At first it is not obvious how decoding will happen, but this is possible if we use prefix codes



# Data Compression: A Smaller Example

#### Input:

A string with different characters, say "ACCEBFFFFAAXXBLKE"

### **Output:**

| Data | Frequency | Code |
|------|-----------|------|
| K    | 1         | 0000 |
| L    | 1         | 0001 |
| E    | 2         | 001  |
| F    | 4         | 01   |
| В    | 2         | 100  |
| С    | 2         | 101  |
| X    | 2         | 110  |
| Α    | 3         | 111  |



# **Huffman Coding Example**

| Letter    | С  | D  | E   | K | L  | М  | U  | Z |
|-----------|----|----|-----|---|----|----|----|---|
| Frequency | 32 | 42 | 120 | 7 | 42 | 24 | 37 | 2 |

#### • STEP 1:

Sort Letters according to the frequency

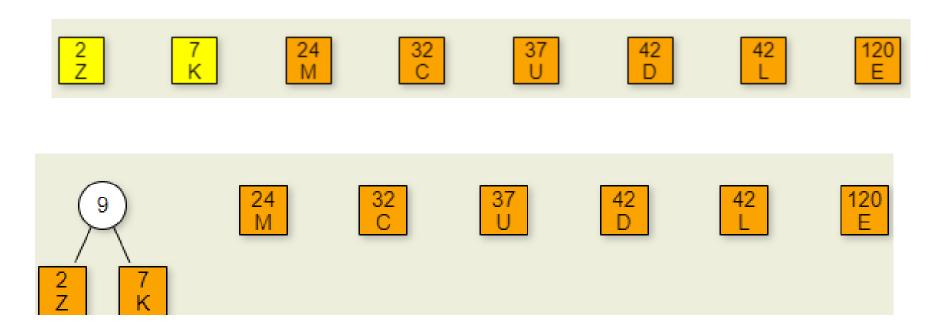
2 Z 7 K 24 M 32 C 37 U 42 D 42 L

120 E

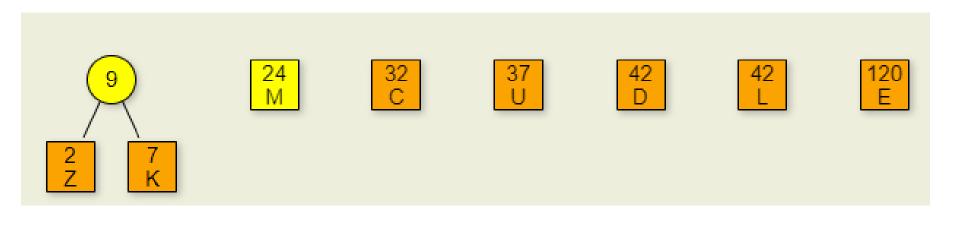


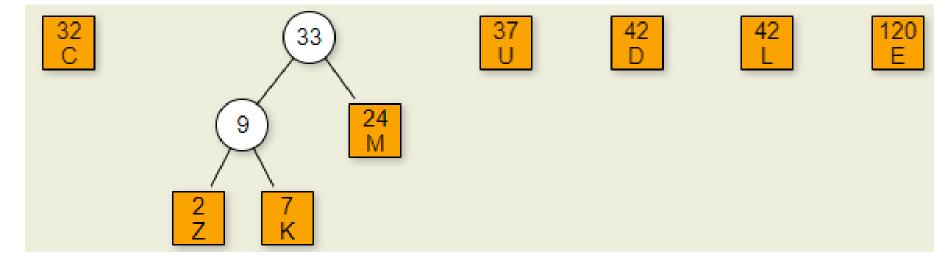
#### • STEP 2:

Merge 2 lowest frequency elements

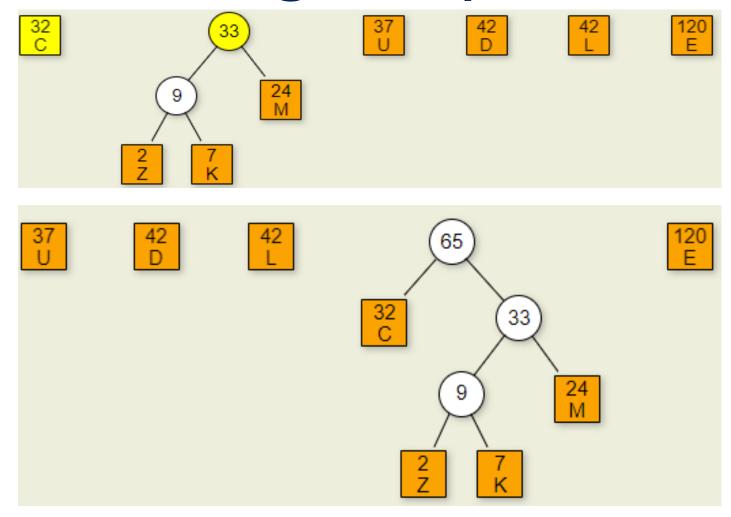




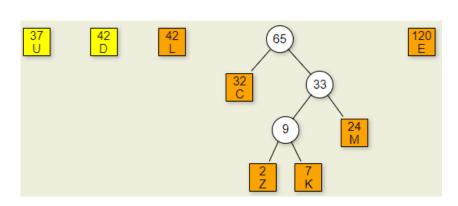


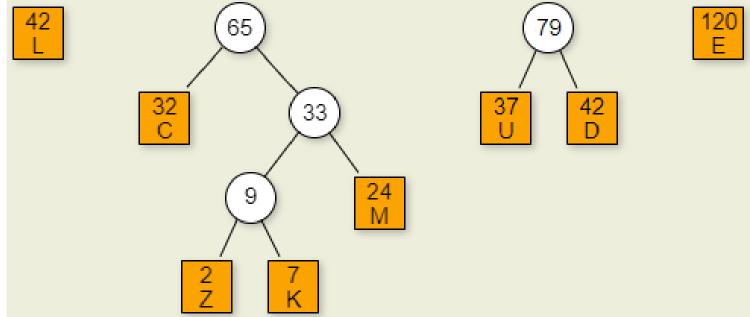




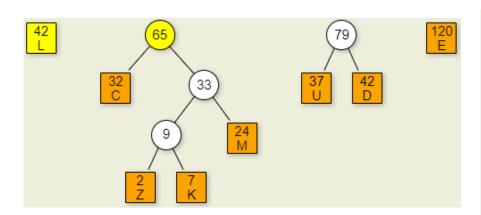


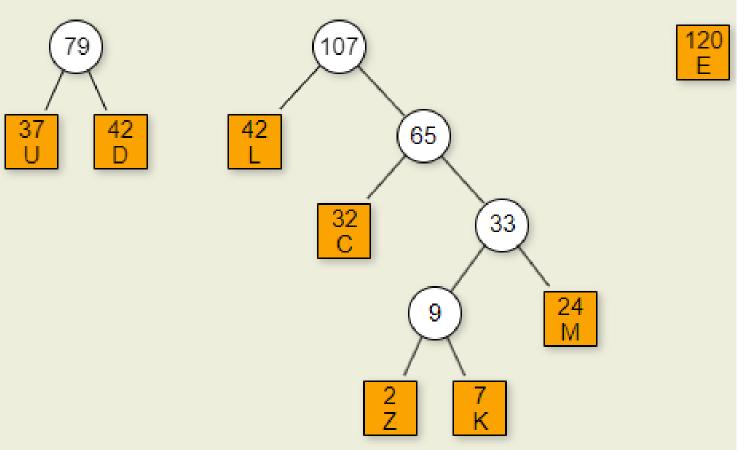




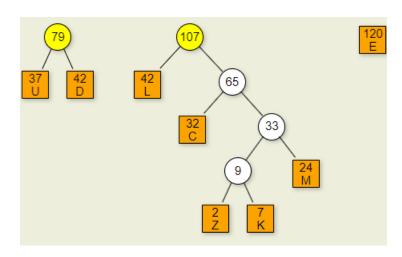


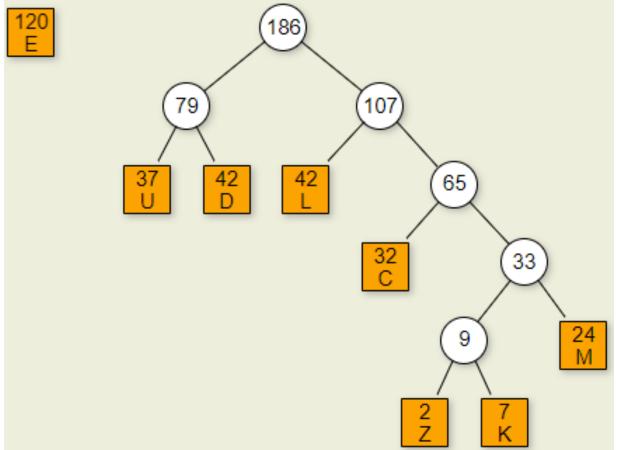




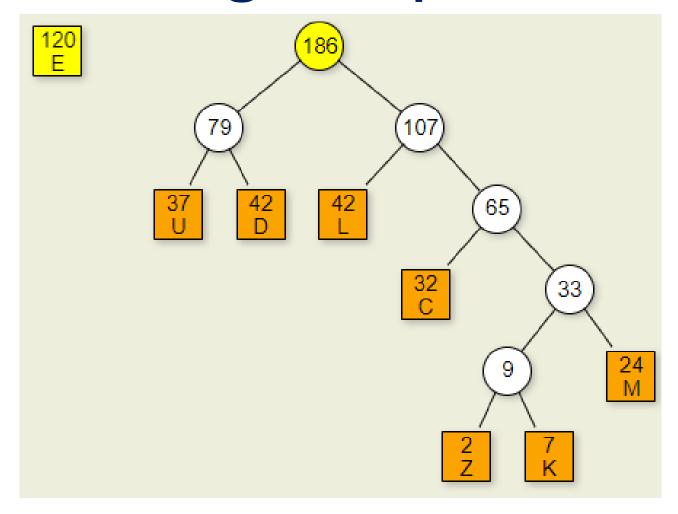






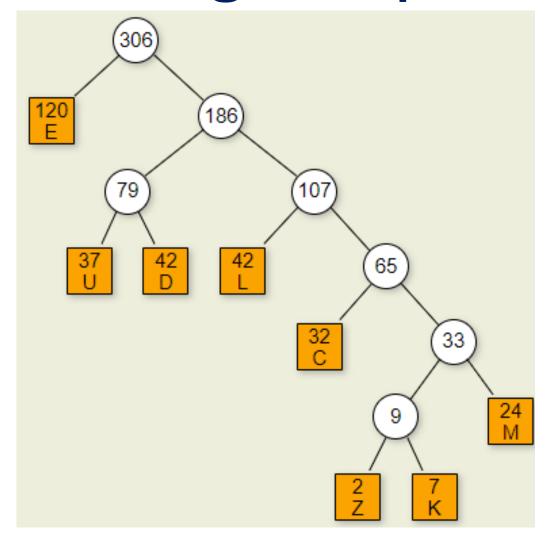






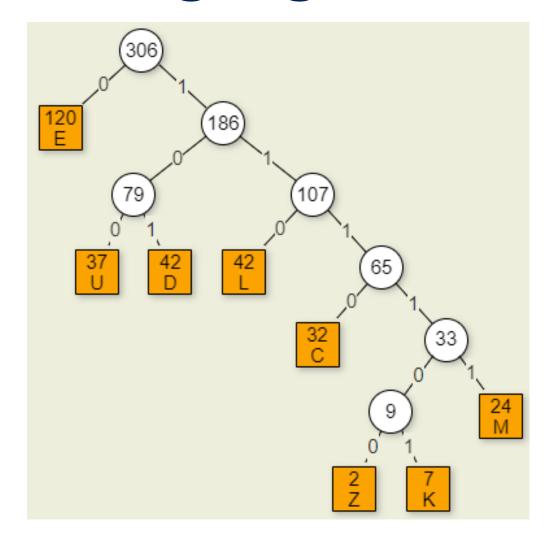


# Huffman Coding Example [Final Tree]



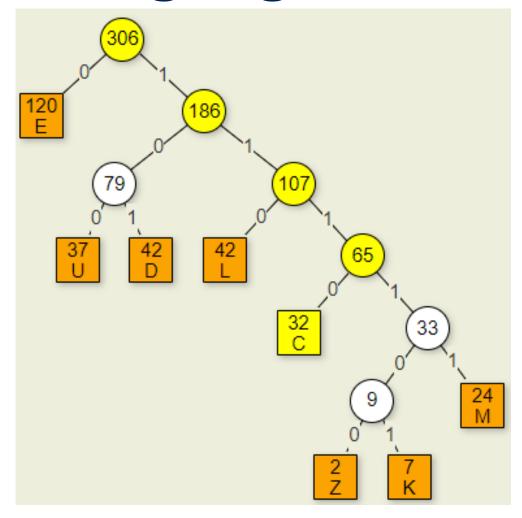


# **Huffman Assigning Code**



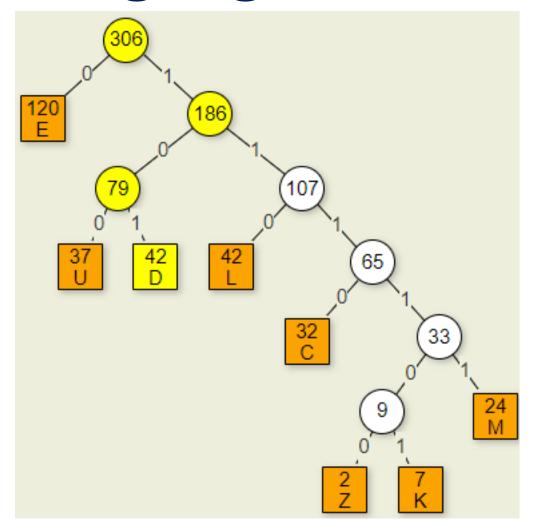


# Huffman Assigning Code [Code for C]





# Huffman Assigning Code [Code for D]





# Huffman Assigning Code [Final]

| Char | Freq | Code   | Bits |
|------|------|--------|------|
| С    | 32   | 1110   | 4    |
| D    | 42   | 101    | 3    |
| Е    | 120  | 0      | 1    |
| K    | 7    | 111101 | 6    |
| L    | 42   | 110    | 3    |
| М    | 24   | 11111  | 5    |
| U    | 37   | 100    | 3    |
| Z    | 2    | 111100 | 6    |



### **Huffman Coding – Example 2**

### **Huffman Coding: Tree Building**



#### 1. Put all the nodes in a priority queue by frequency

Huffman Order

| S | 0 | В | Y | С | А |  |
|---|---|---|---|---|---|--|
| 1 | 1 | 1 | 1 | 2 | 2 |  |

Shannon-Fano Order

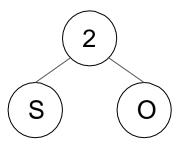
| С | А | S | 0 | В | Y |
|---|---|---|---|---|---|
| 2 | 2 | 1 | 1 | 1 | 1 |

**Casco Bay** 



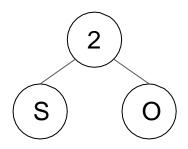
- 1. Put all the nodes in a priority queue by frequency
- 2. While there is more than one node in the queue:
  - a. Dequeue the first two nodes
  - b. Create a new node with the sum of the frequencies
  - c. Reinsert the new node in the priority queue

| S | O | В | Y | С | А |
|---|---|---|---|---|---|
| 1 | 1 | 1 | 1 | 2 | 2 |





| S | 0 | В | Υ | С | Α |
|---|---|---|---|---|---|
| 1 | 1 | 1 | 1 | 2 | 2 |

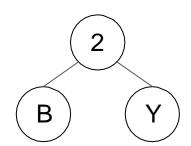


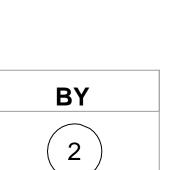


| В | Y | С | А | so |
|---|---|---|---|----|
| 1 | 1 | 2 | 2 | 2  |
|   |   |   |   |    |



| В | Y | С | Α | so |
|---|---|---|---|----|
| 1 | 1 | 2 | 2 | 2  |





| , | Α | SO      | BY  |
|---|---|---------|-----|
|   | 2 | 2       | 2   |
|   |   | (S) (O) | B Y |



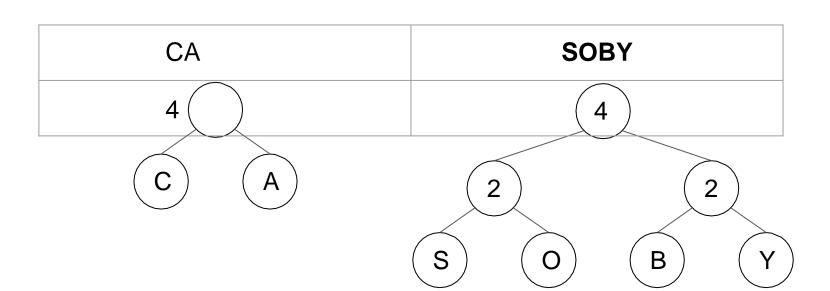
| С | Α | SO      | BY |
|---|---|---------|----|
| 2 | 2 | 2       | 2  |
| 4 |   | (S) (O) | BY |



| SO | BY | CA           |  |
|----|----|--------------|--|
| 2  | 2  | 4            |  |
| SO | B  | $\bigcirc$ A |  |

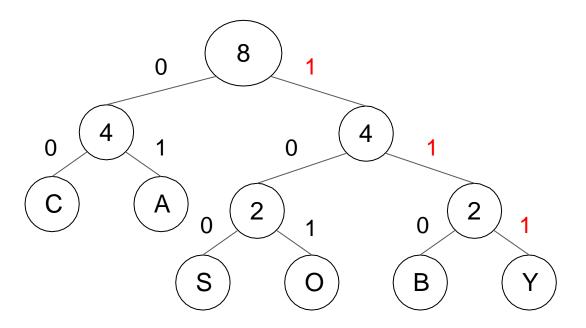


| SO        | BY  | CA  |  |
|-----------|---|---|--|
| 2         | 2   | 4   |  |
| (s) $(o)$ | $\left(\begin{array}{c} \\ \\ \end{array}\right)$ | $\left(\begin{array}{c} C \end{array}\right)$ |  |









| С  | A  | S   | 0   | В   | Y   |
|----|----|-----|-----|-----|-----|
| 00 | 01 | 100 | 101 | 110 | 111 |