1 Category theory

1.1 Introduction

We will introduce basic category theory. Good references are: [**?** 1, 3, 5]

Category theory will allow us to model the desired behaviour of dependent types.

Definition 1.1.1. A **category** $\mathcal{C}$ consists of:

- A class $\text{Ob}(\mathcal{C})$ (usually simply denoted $\mathcal{C}$ without ambiguity) of **objects**.

- For each object $A, B \in \mathcal{C}$ a set $\hom_{\mathcal{C}}(A, B)$ of **morphisms** or **arrows** called a **hom-set** (written hom when the context is clear). When writing $f \in \hom(A, B)$ we usually denote this $f : A \to B$.

- For each object $A \in \mathcal{C}$ a morphism $1_A : A \to A$ called the **identity**.

- For each object $A, B, C \in \mathcal{C}$, and for each $f : A \to B$ and $g : B \to C$ there is a function (written infix or sometimes simply omitted ($fg \equiv f \circ g$)

$$- \circ - : \hom(B, C) \times \hom(A, B) \to \hom(A, C)$$

  called **composition**.

Such that the following hold:

- (Identity) For each $A, B \in \mathcal{C}$ and $f : A \to B$ we have $f \circ 1_A = f$ and $1_B \circ f = f$.

- (Associativity) For all $A, B, C, D \in \mathcal{C}$ and $f : A \to B$, $g : B \to C$, $h : C \to D$. We have: $h \circ (g \circ f) = (h \circ g) \circ f$.

Definition 1.1.2. A **category** $\mathcal{C}$ consists of:

- A class of objects $\text{Ob}(\mathcal{C})$

- For each $x, y \in \text{Ob}(\mathcal{C})$ a set of **morphisms** $\hom_{\mathcal{C}}(x, y)$ called the **hom-set** or set of **arrows**.

Remark 1.1.3. There are many similar and mostly equivalent definitions of category in mathematics. The mostly fall into two main camps: how they treat their collection of morphisms. The two definitions are equivalent in the usual foundations of mathematics but each has their own advantages. In books such as [4] a collection of morphisms is used. This approach lends itself more naturally to the notion of an *internal category* which will be an important concept later on. The other definition uses a family of collections of morphisms which lends itself to easily generalise to the notion of an *enriched category*, the definitive reference for which is [2].

The reason it cannot be swept under the rug so easily is because the issue of size is fundamental in category theory. Depending on what definition we chose, it will effect how we can talk about it. For an introduction to category theory, these ideas would mostly confuse the reader, hence we will simply point to [6] for a survey on how size issues are treated in category theory. From here on

We now give some examples:

Example 1.1.4. The **category of sets** denoted **Set** is the category whose objects are small[1] sets and morphisms are functions between sets. Composition is given by composition of functions. This is a very important category in category theory for reasons we shall come across later.

Choosing the direction in which our arrows point was arbitrary, but it does also mean that if we had chosen the other way we would also get a category. So every category we make canonically comes with a friend.

Example 1.1.5. For any category $\mathcal{C}$, there is another category called the **opposite category** $\mathcal{C}^{\mathrm{op}}$ whose objects are the same as $\mathcal{C}$ however the homsets are defined as follows: $\hom_{\mathcal{C}^{\mathrm{op}}}(x, y) := \hom_{\mathcal{C}}(y, x)$. Composition is defined using the composition from the original category.

Size is a common issue in category theory with many similar ways of dealing with it. It can however cause much confusion and hoop-jumping to be correct. This is the nature of how things are done in set theory however. Later we will investigate other logical frameworks and

Definition 1.1.6. We call a category **small** if its class of objects is really a set.

Definition 1.1.7. Let $\mathcal{C}, \mathcal{D}$ be categories. A **functor** F from $\mathcal{C}$ to $\mathcal{D}$ (written $F : C \to D$) consists of:

- An object $F(A) \in \mathcal{D}$, for all $A \in \mathcal{C}$ (also denoted FA).

- For each $A, B \in \mathcal{C}$, a function $F_{A,B} : \hom_{\mathcal{C}}(A, B) \to \hom_{\mathcal{D}}(FA, FB)$ (also denoted F).

- For each $A \in \mathcal{C}$, $F(1_A) = 1_{FA}$.

- For each $A, B, C \in \mathcal{C}$, $f : A \to B$, $g : B \to C$, we have

$$F(g \circ f) = F(g) \circ F(f)$$

Remark 1.1.8. Historically in category theory, one would define covariant, as defined above, and contravariant functors. Contravariant functors mean to swap the order of composition when the functor is applied. In modern category theory texts, this is completely dropped as a contravariant functor from $\mathcal{C}$ to $\mathcal{D}$ is simply a covaraint functor from $\mathcal{C}^{\mathrm{op}}$ to $\mathcal{D}$. Henceforth, we shall not mention co(tra)variance of functors and refer to them simply a functors.

Remark 1.1.9. Given two functors $F : \mathcal{C} \to \mathcal{D}$ and $G : \mathcal{D} \to \mathcal{E}$ we can make a new functor $G \circ F$ by first applying F then applying G. It is simple to check that this is indeed a functor.

Now that we have 'morphisms' between categories we can define another category:

Example 1.1.10. The category of small categories **Cat** has objects small categories and morphisms functors. Composition is given by composition of functors.

References

[1] Michael Barr and Charles Wells. *Category Theory for Computing Science*. Prentice-Hall, Inc., Upper Saddle River, NJ, USA, 1990.

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[1]due to Russellian paradoxes we must distinguish between "all sets" and "enough sets". See appendix for details.

[2] M. Kelly. *Basic Concepts of Enriched Category Theory.* Lecture note series / London mathematical society. Cambridge University Press, 1982.

[3] Saunders Mac Lane. *Categories for the working mathematician.* Graduate texts in mathematics ; 5. Springer, New York, 2nd ed. edition, 1998.

[4] E. Riehl. *Category Theory in Context.* Aurora: Dover Modern Math Originals. Dover Publications, 2017.

[5] J.J. Rotman. *An Introduction to Homological Algebra.* Universitext. Springer New York, 2008.

[6] Michael A. Shulman. Set theory for category theory. *arXiv e-prints*, page arXiv:0810.1279, October 2008.