









Al for Link Adaptation and Energy Prediction in Realistic 5G Networks

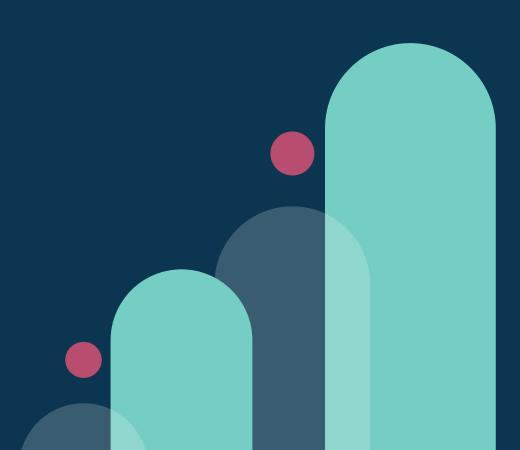
Alka Valiparambil Narendran

Supervisor: Prof. Evgeny Osipov Collaboration: Effnet AB, Lulea

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01 Introduction

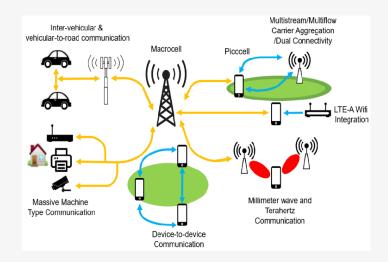


Introduction

5G is complex—dense networks, low latency, high energy demands.

Challenges

- Complex scenarios that are hard to model
- Gathering even simple data is difficult
- Differing usecases and priorities –
 Performance, Energy Usage, Quality of Experience, Network slicing etc.



Research Aim

To investigate and demonstrate the capabilities of Artificial Intelligence in:

- Optimizing link adaptation through predictive MCS selection in realistic 5G network simulations.
- Accurately forecasting energy consumption of 5G base stations using real-world data.
- Ultimately, to build a comprehensive perspective on Al-driven 5G network optimization, informing practical applications with Effnet AB.

Problem Statement

Despite the potential of Artificial Intelligence, its application to critical 5G operational components—specifically, predictive link adaptation (MCS selection) under realistic conditions and granular energy consumption forecasting—remains underdeveloped. This research addresses this gap by developing and evaluating Al models for each of these distinct tasks, aiming to demonstrate their individual efficacy and pave the way for more intelligent network management.

Research Objectives

Data Extraction & Modelling

RO1: Identify and extract relevant KPIs from 5G-LENA simulations, including SNR,

CQI, transmission power, and buffer size, to support AI-based MCS prediction.

RO2: Develop and evaluate an **AI model to predict MCS values** based on historical network states, targeting im proved robustness in the absence of frequent CSI updates.

RO3: Design a separate **AI model to estimate energy consumption** under varying transmission parameters using an external dataset.

Analysis & Validation

Practical Relevance

Research Objectives

Data Extraction & Modelling

Analysis & Validation

RO4: Analyze and **compare energy efficiency patterns** derived from the energy model, highlighting trade-offs with performance.

RO5: Integrate findings to formulate **practical recommendations** for optimizing 5G link adaptation strategies with sustainability considerations.

Practical Relevance

Research Objectives

Data Extraction & Modelling

Analysis & Validation

Practical Relevance

RO6: **Investigate the practical utility** of Al-driven optimization techniques for 5G systems, demonstrated within the 5G-LENA simulation framework, and identify path ways for their integration or enhancement in alignment with the objectives of industry partner Effnet.

SDG

9 INDUSTRY, INNOVATION AND INFRASTRUCTURE





02 Link Adaptation

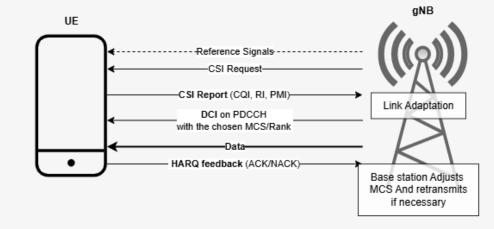


Link Adaptation

Adjusts modulation and coding scheme (MCS) based on channel quality

Aims to maximize throughput while keeping error rate acceptable

Decision made at the gNB, based on UE feedback (SINR, CQI, HARQ)



Traditional Approaches

AMC (Adaptive Modulation and Coding)

Inner Loop Link Adaptation (ILLA)

Uses instantaneous SINR or CQI feedback from the receiver to select the best Modulation and Coding Scheme (MCS) based on a predefined lookup table

Outer Loop Link Adaptation (OLLA)

Adjusts an SINR offset dynamically using ACK/NACK feedback to ensure the target Block Error Rate (BLER) is met. Works on top of ILLA.

Hybrid Automatic Repeat Request (HARQ) with AMC

Retransmits failed packets with additional coding redundancy (incremental redundancy or chase combining) while also adapting MCS dynamically to improve reliability.

Limitations of Traditional Approach







Al Optimisation for Link Adaptation

Direct vs Indirect MCS Prediction

- Direct: Models predict MCS from features like SINR, CQI
- Indirect: First predict channel metrics (e.g., SNR), then map to MCS

Temporal Models Perform Better

- Recurrent models (e.g., LSTM, GRU) can outperform CNNs and MLPs in dynamic channels
- These models capture short-term channel memory better, especially in mobile scenarios

Al Optimisation for Link Adaptation

Simulation Data Dominates

- Due to lack of real-world datasets, most research uses simulators (NYUSIM, MATLAB, custom tools)
- Realism varies widely: many simplify SINR traces or skip HARQ logic

Single-Direction Traffic

- Most studies target downlink, since MCS is gNB-controlled
- Uplink-focused works are rarer; datasets are harder to obtain

Data Collection - Simulation

1 gNB, 4 Ues

3GPP UMa Channel Model (NLOS)

Frequency: 30.5 GHz

Bandwidth: 100 MHz

Random Walk mobility

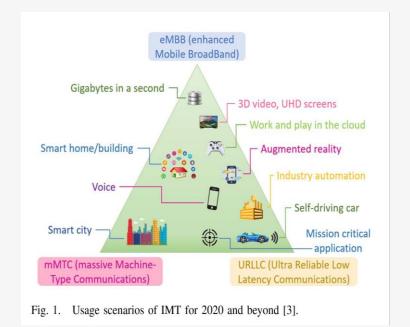
Gaming traffic over UDP

Logged: SINR, CQI, MCS, HARQ

10 seeds \times 3 runs \rightarrow ~21,000 samples





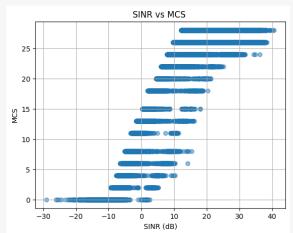


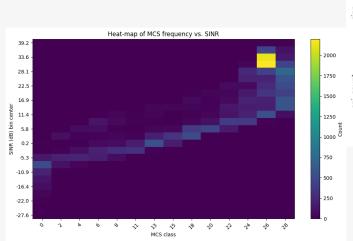
Data Preprocessing

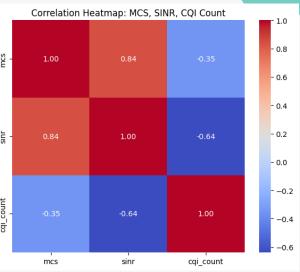


70% Training 30% Validation

20718 Datapoints







AI Modelling

Input

Sequences of SINR (and CQI, in some versions), with T = 10

Output

Next MCS

Models

CNN (baseline – sliding window of SINR)

CNN + CQI (adds feedback)

CNN + CQI + Masking (simulates missing

feedback)

LSTM (captures longer temporal dependencies)

Training Details

Optimizer: Adagrad Loss: Cross-Entropy

Epochs: 50.

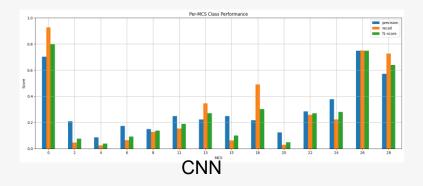
Handled Class Imbalance: Weighted

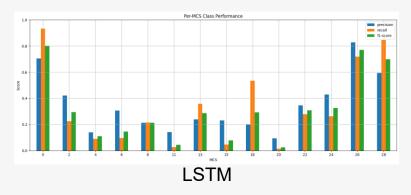
Random Sampler.

Hyperparameter Tuning: Basic sweep performed (12 configs for CNN, identified best params like 128 filters, 8D RNTI embedding, LR 0.005 leading to ~53.5% Acc).

Result

Model	Input Features	Architecture	Accuracy
CNN	SINR only	Conv1D + Dense	52.3%
CNN + CQI	SINR + CQI	Conv1D + Dense	53.7%
CNN + Masking	SINR + CQI + mask	Conv1D + Dropout	54.1%
LSTM	SINR + CQI	LSTM layers	55.1%





03

Energy Prediction

Literature Review



Energy Optimization are Often Reactive & Rule -Based



Al Models Are Rare – And Often Use Synthetic or Simplified Data



Underuse of Temporal and Contextual Features

Dataset

Source: dataset that was provided by the <u>international telecommunication</u> union (ITU) in 2023 as part of a global challenge[1]

Size: 92,629 entries from 1,019 base

stations

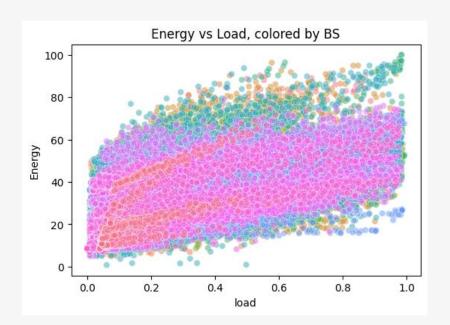
Granularity: Hourly logs

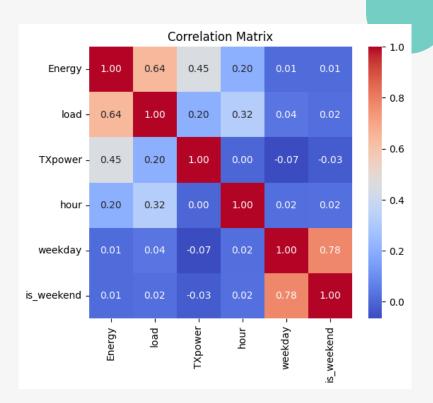
Key Features

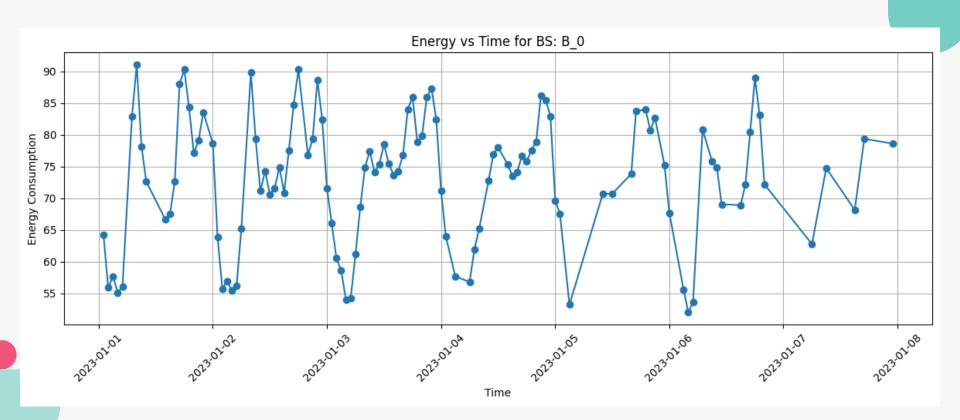
- Energy (Watt-hours)
- Load (traffic load)
- TXpower (transmission power)
- ESMODE (energy-saving mode)
- BSID
- Timestamp

	Time	BS	Energy	load	ESMODE	TXpower
0	20230101 010000	B_0	64.275037	0.487936	0.0	7.101719
1	20230101 020000	B_0	55.904335	0.344468	0.0	7.101719
2	20230101 030000	B_0	57.698057	0.193766	0.0	7.101719
3	20230101 040000	B_0	55.156951	0.222383	0.0	7.101719
4	20230101 050000	B_0	56.053812	0.175436	0.0	7.101719









AI Modelling

Input

Load, TXpower, ESMODE

Time-based features (hour, weekday/weekend)

Encoded Base Station IDs (one-hot or learned embeddings)

Target

Hourly energy consumption of base stations

Loss Function Mean Absolute Percentage Error (MAPE)

MAPE =
$$\frac{\sum_{i=1}^{n} |y_i - \hat{y}_i|}{\sum_{i=1}^{n} |y_i|}$$
,

AI Modelling

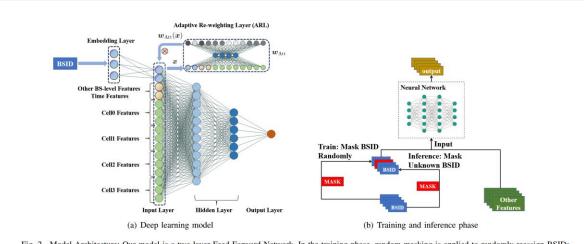


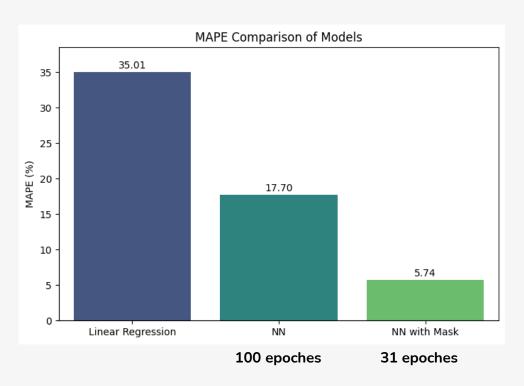
Fig. 2. Model Architecture: Our model is a two-layer Feed Forward Network. In the training phase, random masking is applied to randomly reassign BSIDs to 'Unknown BS'.

Models

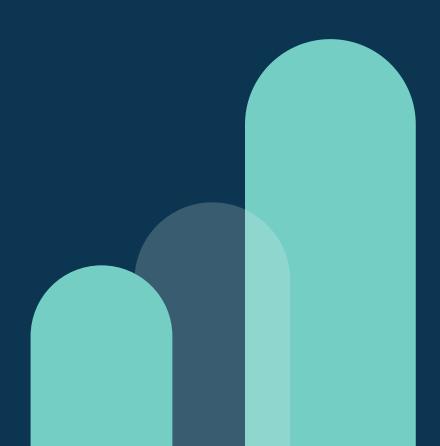
Linear Regression
3-layer Neural Network
Masked Neural Network

Chen, Tingwei, et al. "Modelling the 5G Energy Consumption using Real-world Data: Energy Fingerprint is All You Need." *arXiv preprint arXiv:2406.16929* (2024).

Result

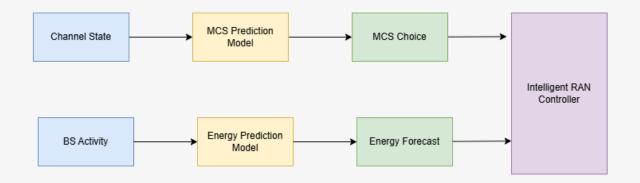


04 Analysis



Discussion

- Link Adaptation: Al (LSTMs/tuned CNNs) show promise (~55% acc.) in learning temporal channel dynamics for MCS prediction, outperforming simpler methods.
- **Energy Prediction:** Advanced AI (Masked NN) achieved high accuracy (5.5% MAPE) in forecasting real-world BS energy use.
- Though not yet integrated, these findings lay a foundation for dual-objective (Performance & Sustainability) optimization.



Discussion

- Realistic and useful 5g Dataset is difficult to acquire
- Link Adaptation :
 - Temporal models are crucial
 - Data imbalance is a real-world challenge.
- Energy Modelling:
 - 'Energy fingerprints' are learnable from real-world data.
 - Masking techniques are crucial for model generalization.
- Al models can learn from structure in data, but results depend heavily on data realism and balance.

05 Future Work

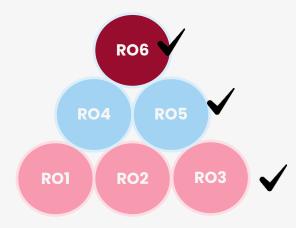
Future Work

- Explore temporal architectures like GRU, MiniROCKET, and HDC-Minirocket to improve MCS prediction under volatile channel conditions
- Simulate denser, more diverse network scenarios to address MCS class imbalance and improve generalizability
- Use richer energy datasets with detailed BS configurations (e.g., antenna count, RU type, deployment mode)
- Integrate link adaptation with energy modeling, enabling joint optimization of throughput and consumption

06 Conclusion

To Conclude

- Have successfully developed and evaluated AI models for both MCS prediction (simulation-based) and energy estimation (real-world data)
- Addressed key challenges in preprocessing, temporal modeling, and dataset realism
- All research objectives were successfully met
- This thesis demonstrates the potential of AI to support smarter, greener 5G networks, even when working with real-world complexity



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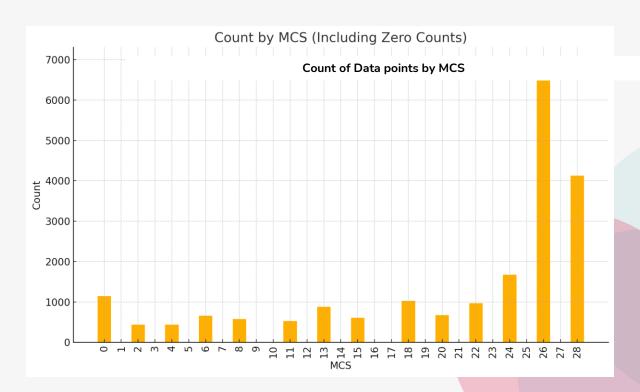
Appendix A1

Table A.1. Comparison of Datasets in Recent MCS/Channel Prediction Studies

Study	Data Method	Scenario	Realism	Tool / Company
Varshney et al.	NYUSIM-based mmWave	28 GHz Urban Macro	High	NYUSIM / NYU
(2023)	sim			
Zhang et al. (2018)	MIMO-OFDM, SNR	Synthetic system-level	Medium-Low	_
	sweep			
Herath et al. (2019)	Real RSS from 5 networks	LTE, WiFi, Zigbee,	Real-world	CRAWDAD / G5
		etc.		
Li et al. (2024)	RSSI via spectrum ana-	2.4 GHz RSSI trace	Real-world	Spectrum Analyzer
	lyzer			
Seeram (2022)	MATLAB 5G testbed	SU-MIMO, mobility,	Medium-High	Huawei
		HARQ		
Oh et al. (2023)	Rayleigh/Rician in MAT-	5G NR-like setup	Medium	MATLAB/TensorFlow
	LAB			
Elgabroun (2019)	mmWave sim (UL)	28 GHz, walking UE	High	Ericsson tool
Stenhammar et al.	3GPP TDL-A model	2 GHz NLOS, mobile	Medium-High	3GPP / -
(2024)		UE		
Tsipi et al. (2024)	Ray-tracing + LTE over-	Urban 2.1 GHz NSA	High	Altair FEKO
	lay			
Yun et al. (2024)	5G NR sim,	UAV, 3.6 GHz, 100	Medium	MATLAB/TensorFlow
	Rayleigh/Rician	MHz		

Skewed Data

M C count S 26 6965 28 4132 24 1677 0 1143	M	
28 4132 24 1677	C S	count
24 1677	26	6965
	28	4132
0 1143	24	1677
	0	1143
18 1030	18	1030
22 968	22	968
13 880	13	880
20 674	20	674
6 658	6	658
15 610	15	610
8 577	8	577
11 529	11	529
4 400	4	439
4 439	2	436



What is Link Adptation in 5G?

Link adaptation in 5G networks refers to the process of dynamically adjusting transmission parameters, such as modulation, coding, and transmit power, to optimize the communication link between the base station (gNodeB) and the user equipment (UE). The goal of link adaptation is to maximize spectral efficiency, throughput, and reliability while adapting to changing channel conditions and user requirements.[1]

Appendix B1

