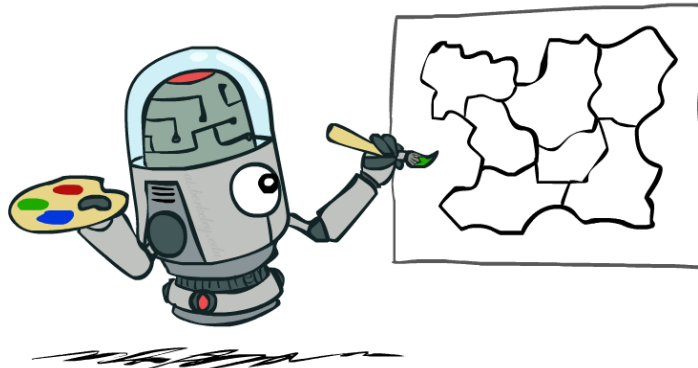


# Introduction to Artificial Intelligence

Lecture 4: Constraint satisfaction problems

# Today

- **Constraint satisfaction problems:**
  - Exploiting the representation of a state to accelerate search.
  - Backtracking.
  - Generic heuristics.
- **Logical agents**
  - Propositional logic for reasoning about the world.
  - ... and its connection with CSPs.



# **Constraint satisfaction problems**

# Motivation

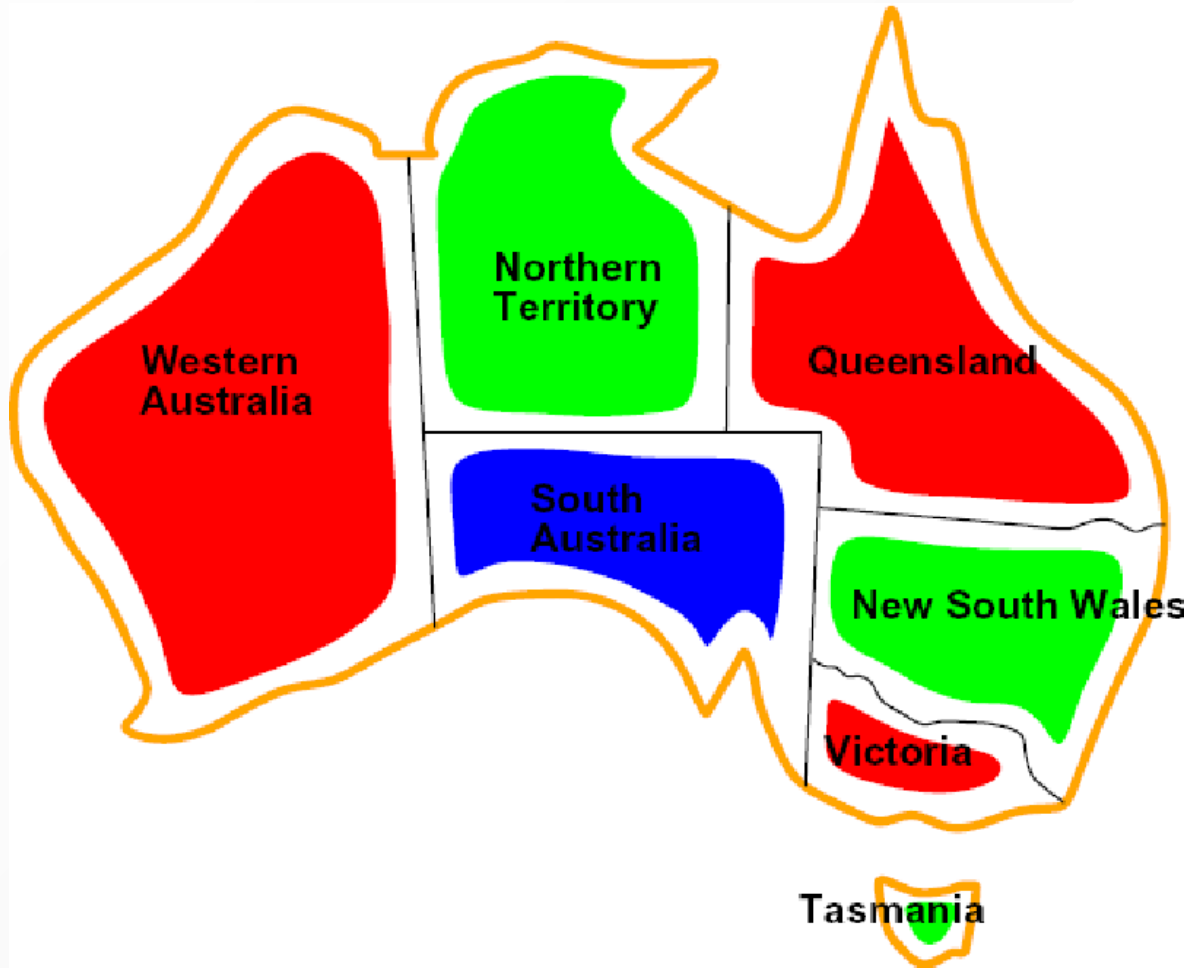
- In **standard search problems**:
  - States are evaluated by domain-specific heuristics.
  - States are tested by a domain-specific function to determine if the goal is achieved.
  - From the point of view of the search algorithms however, **states are atomic**.
    - A state is a black box.
- Instead, if states have **a factored representation**, then the structure of states can be exploited to improve the **efficiency of the search**.
- **Constraint satisfaction problem** algorithms **take advantage of this structure** and use **general-purpose** heuristics to solve complex problems.
- Main idea: eliminate large portions of the search space all at once, by identifying combinations of variable/value that violate constraints.

# Constraint satisfaction problems

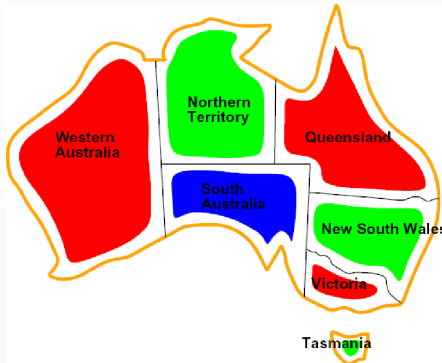
Formally, a **constraint satisfaction problem** (CSP) consists of three components  $X$ ,  $D$  and  $C$ :

- $X$  is a set of **variables**,  $\{X_1, \dots, X_n\}$ ,
- $D$  is a set of **domains**,  $\{D_1, \dots, D_n\}$ , one for each variable,
- $C$  is a set of **constraints** that specify allowable combinations of values.

# Example: Map coloring

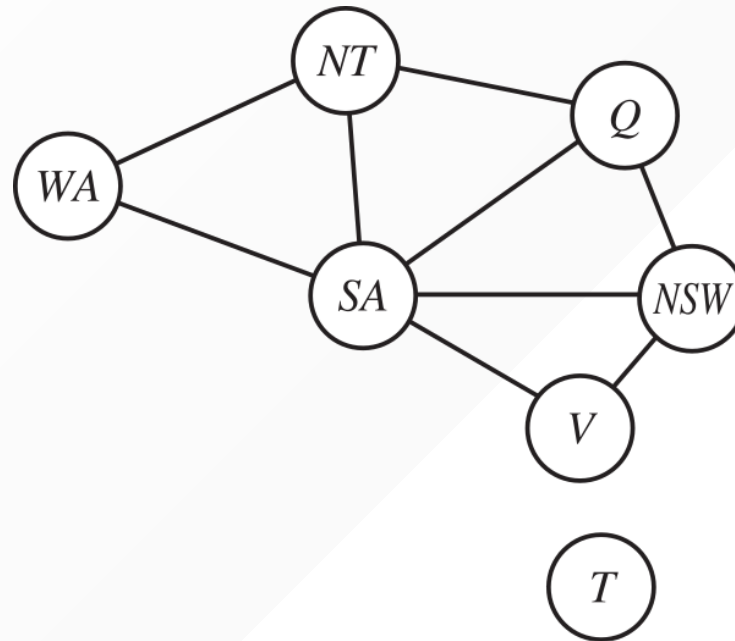


# Example: Map coloring



- Variables:  $X = \{WA, NT, Q, NSW, V, SA, T\}$
- Domains:  $D_i = \{red, green, blue\}$  for each variable.
- Constraints:  $C = \{SA \neq WA, SA \neq NT, SA \neq Q, \dots\}$ 
  - Implicit:  $WA \neq NT$
  - Explicit:  $(WA, NT) \in \{\{red, green\}, \{red, blue\}, \dots\}$
- Solutions are **assignments** of values to the variables such that constraints are all satisfied.
  - e.g.,  $\{WA = red, NT = green, Q = red, SA = blue, NSW = green, V = red, T = green\}$

# Constraint graph

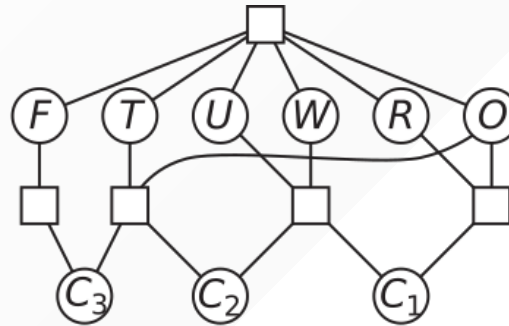


- **Nodes** = variables of the problems
- **Edges** = constraints in the problem involving the variables associated to the end nodes.
- General purpose CSP algorithms **use the graph structure** to speedup search.
  - e.g., Tasmania is an independent subproblem.



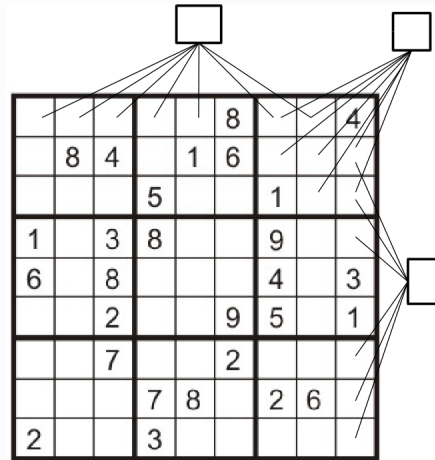
# Example: Cryptarithmic

$$\begin{array}{r} T W O \\ + T W O \\ \hline F O U R \end{array}$$



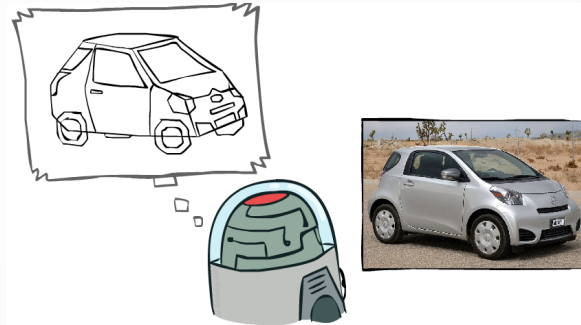
- Variables:  $\{T, W, O, F, U, R, C_1, C_2, C_3\}$
- Domains:  $D_i = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$
- Constraints:
  - $\text{alldiff}(T, W, O, F, U, R)$
  - $O + O = R + 10 \times C_1$
  - $C_1 + W + W = U + 10 \times C_2$
  - ...

# Example: Sudoku



- Variables: each (open) square
- Domains:  $D_i = \{1, 2, 3, 4, 5, 6, 7, 8, 9\}$
- Constraints:
  - 9-way alldiff for each column
  - 9-way alldiff for each row
  - 9-way alldiff for each region

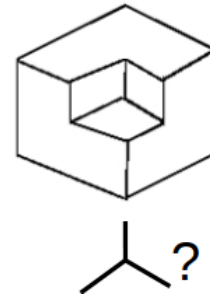
# Example: The Waltz algorithm



The Waltz algorithm is a procedure for interpreting 2D line drawings of solid polyhedra as 3D objects. Early example of an AI computation posed as a CSP.

CSP formulation:

- Each intersection is a variable.
- Adjacent intersections impose constraints on each other.
- Solutions are physically realizable 3D objects.



# Variations on the CSP formalism

- Discrete variables

- Finite domains

- Size  $d$  means  $O(d^n)$  complete assignments.
    - e.g., boolean CSPs, including the SAT boolean satisfiability problem (NP-complete).

- Infinite domains

- e.g., job scheduling, variables are start/end days for for each job.
    - need a constraint language, e.g.  $start_1 + 5 \leq start_2$ .
    - Solvable for linear constraints, undecidable otherwise.

- Continuous variables

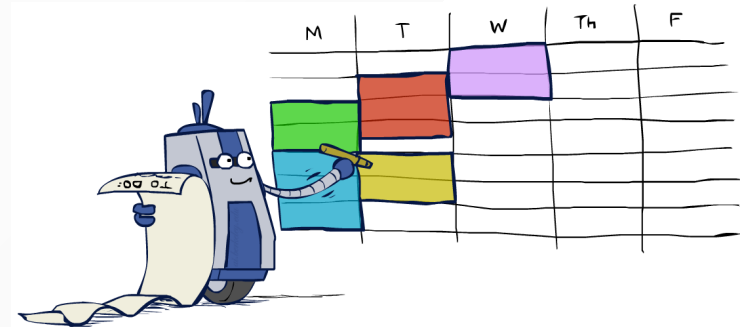
- e.g., precise start/end times of experiments.
  - Linear constraints solvable in polynomial time by LP methods.

# Variations on the CSP formalism

- Varieties of constraints:
  - Unary constraint involve a single variable.
    - Equivalent to reducing the domain, e.g.  $SA \neq green$ .
  - Binary constraints involve pairs of variables, e.g.  $SA \neq WA$ .
  - Higher-order constraints involve 3 or more variables.
- Preferences (soft constraints)
  - e.g., red is better than green.
  - Often representable by a cost for each variable assignment.
  - Results in constraint optimization problems.
  - (We will ignore those for now.)

# Real-world examples

- Assignment problems
  - e.g., who teaches what class
- Timetabling problems
  - e.g., which class is offered when and where?
- Hardware configuration
- Spreadsheets
- Transportation scheduling
- Factory scheduling
- Circuit layout
- ... and many more



Notice that many real-world problems involve real-valued variables.

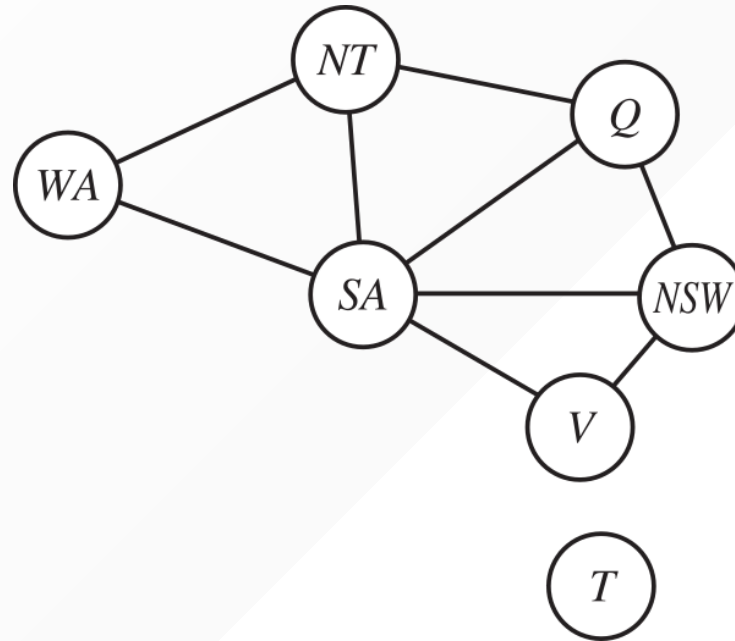
# Solving CSPs

# Standard search formulation

- CSPs can be cast as standard search problems.
  - For which we have solvers, including DFS, BFS or A\*.
- States are partial assignments:
  - The **initial state** is the empty assignment {}.
  - **Actions**: assign a value to an unassigned variable.
  - **Goal test**: the current assignment is complete and satisfies all constraints.
- This algorithm is the same for all CSPs!



# Search methods

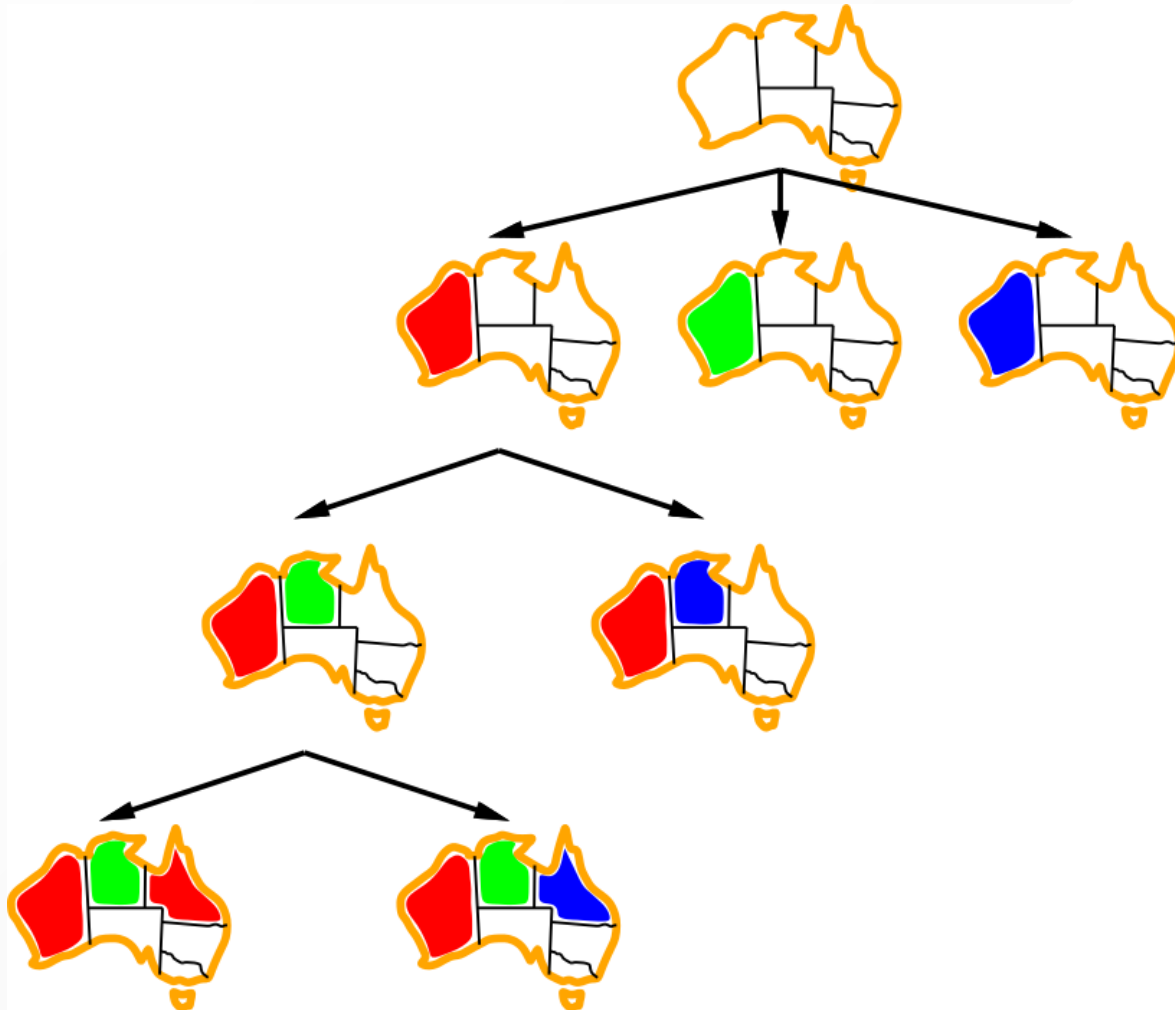


- What would BFS or DF do? What problems does naive search have?
- For  $n$  variables of domain size  $d$ ,  $b = (n - l)d$  at depth  $l$ .
  - We generate a tree with  $n!d^n$  leaves even if there are only  $d^n$  possible assignments!

# Backtracking search

- Backtracking search is the basic uninformed algorithm for solving CSPs.
- Idea 1: **One variable at a time:**
  - The naive application of search algorithms ignore a crucial property: variable assignments are **commutative**. Therefore, fix the ordering.
    - $WA = red$  then  $NT = green$  is the same as  $NT = green$  then  $WA = red$ .
  - One only needs to consider assignments to a single variable at each step.
    - $b = d$  and there are  $d^n$  leaves.
- Idea 2: **Check constraints as you go:**
  - Consider only values which do not conflict with current partial assignment.
  - Incremental goal test.

# Backtracking example



# Backtracking search

```
function BACKTRACKING-SEARCH(csp) returns solution/failure
  return RECURSIVE-BACKTRACKING({ }, csp)

function RECURSIVE-BACKTRACKING(assignment, csp) returns soln/failure
  if assignment is complete then return assignment
  var ← SELECT-UNASSIGNED-VARIABLE(VARIABLES[csp], assignment, csp)
  for each value in ORDER-DOMAIN-VALUES(var, assignment, csp) do
    if value is consistent with assignment given CONSTRAINTS[csp] then
      add {var = value} to assignment
      result ← RECURSIVE-BACKTRACKING(assignment, csp)
      if result ≠ failure then return result
      remove {var = value} from assignment
  return failure
```

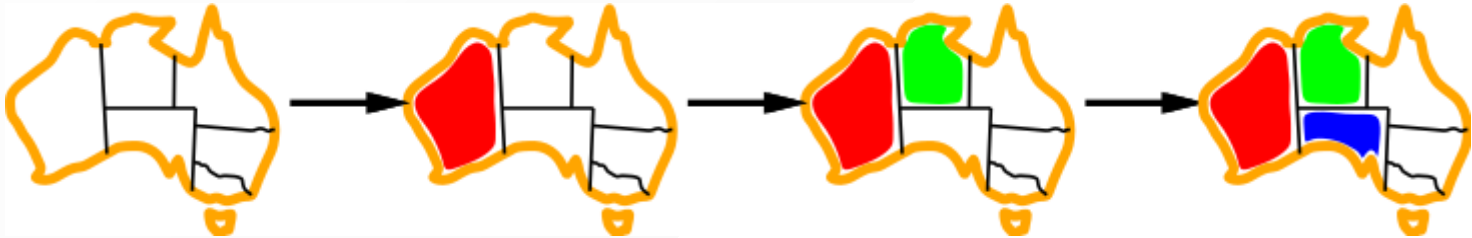
- Backtracking = DFS + variable-ordering + fail-on-violation
- What are the choice points?

# Improving backtracking

- Can we improve backtracking using **general-purpose** ideas, without domain-specific knowledge?
- **Ordering**:
  - Which variable should be assigned next?
  - In what order should its values be tried?
- **Filtering**: can we detect inevitable failure early?
- **Structure**: can we exploit the problem structure?

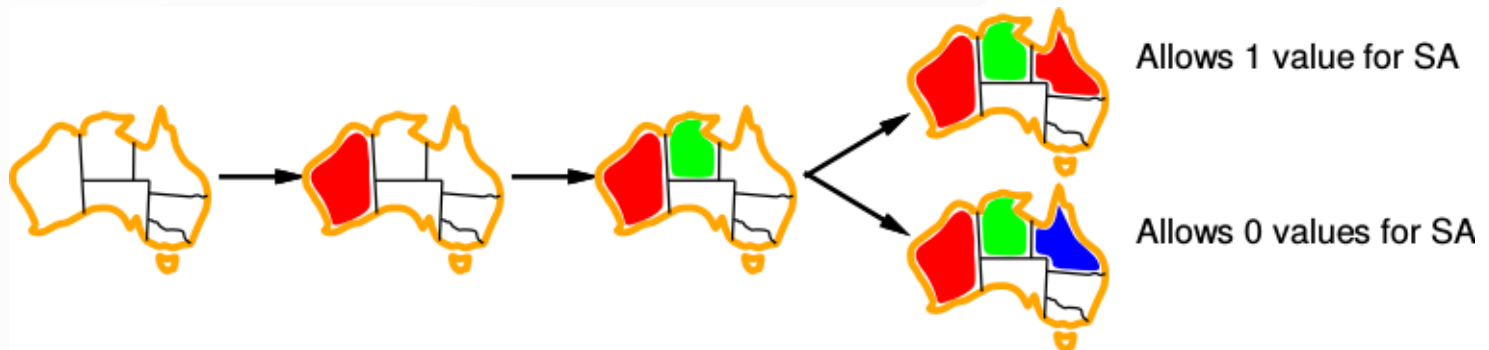
# Variable ordering

- **Minimum remaining values:** Choose the variable **with the fewest legal values left** in its domain.
- Also known as the **fail-first** heuristic.
  - Detecting failures quickly is equivalent to pruning large parts of the search tree.



# Value ordering

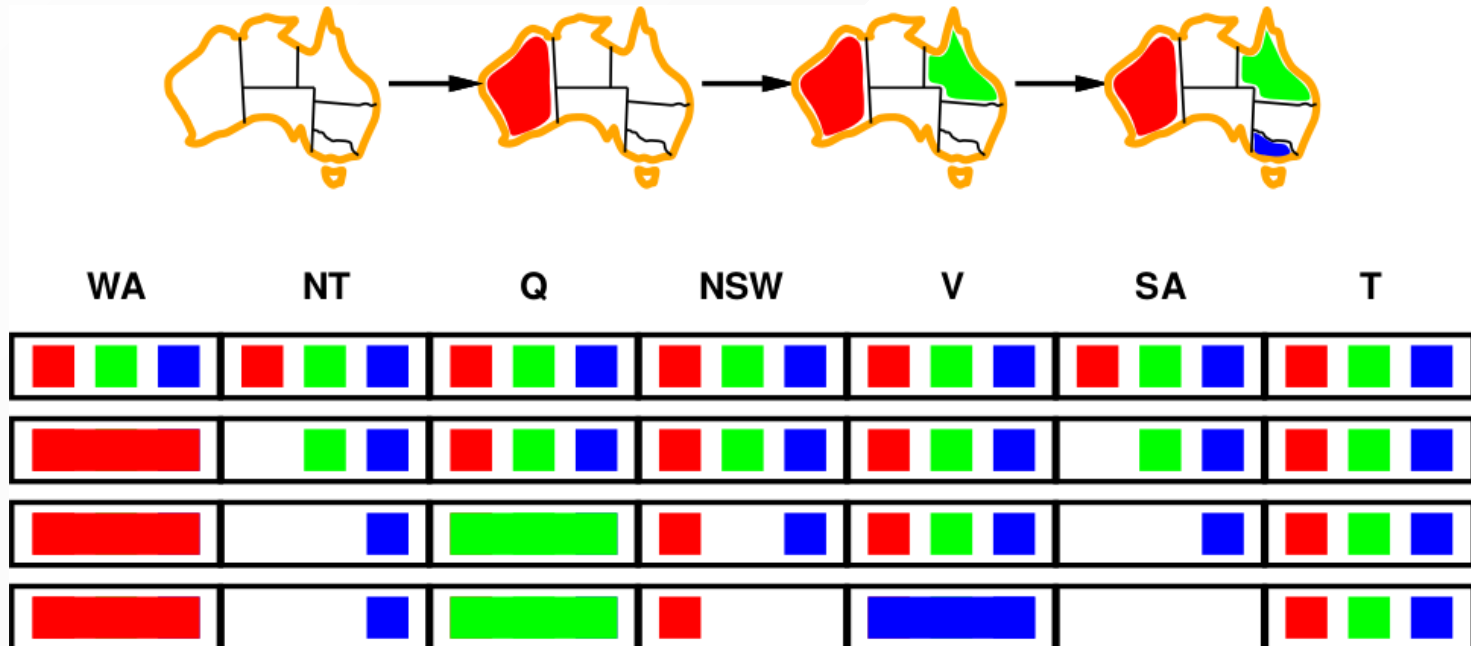
- **Least constraining value:** Given a choice of variable, choose the **least constraining value**.
- i.e., the value that rules out the fewest values in the remaining variables.



[Q] Why should variable selection be fail-first but value selection be fail-last?

# Filtering: Forward checking

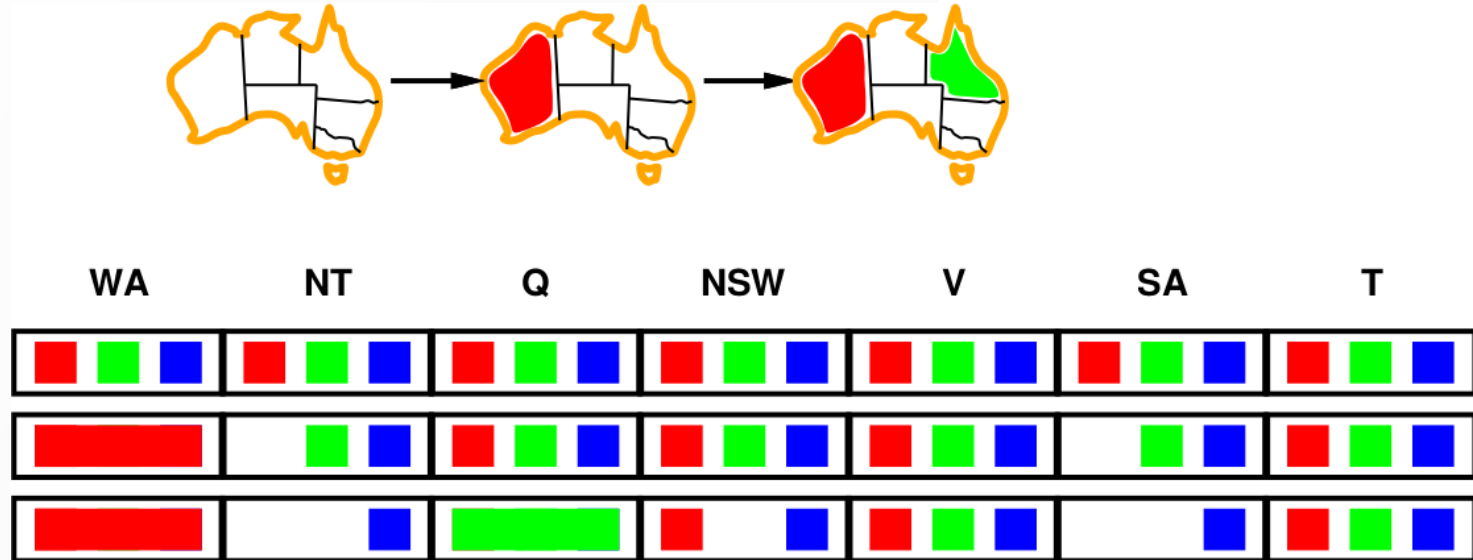
- Keep **track of remaining legal values** for unassigned variables.
  - Whenever a variable  $X$  is assigned, and for each unassigned variable  $Y$  that is connected to  $X$  by a constraint, delete from  $Y$ 's domain any value that is inconsistent.
- **Terminate search** when any variable has no legal value left.





# Filtering: Constraint propagation

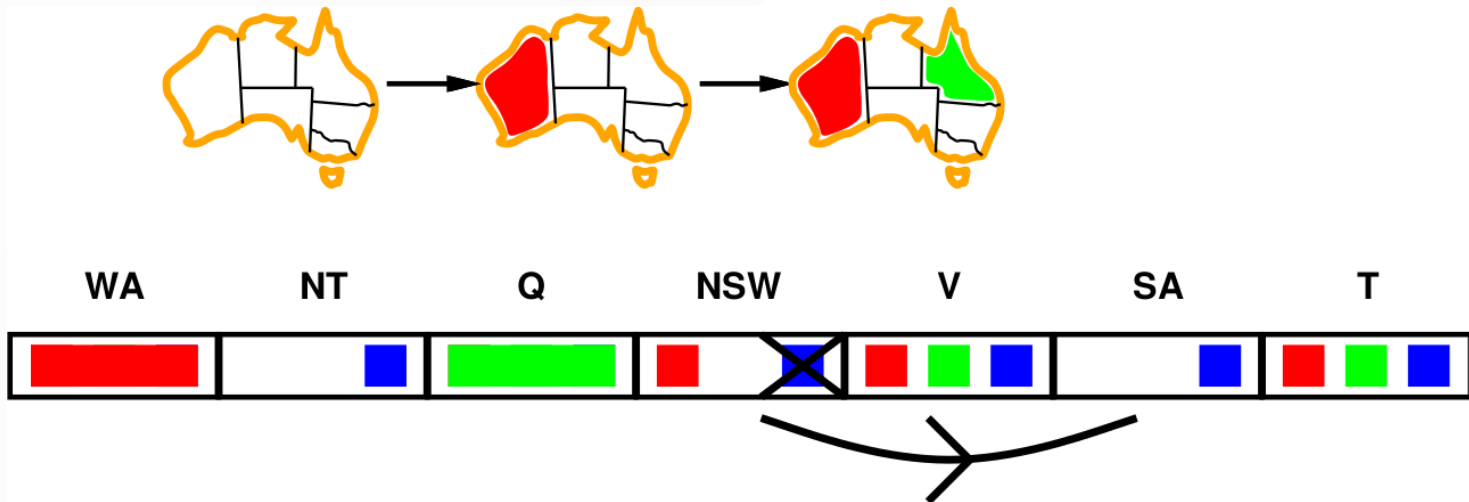
Forward checking propagates information assigned to unassigned variables, but does not provide early detection for all failures:



- $NT$  and  $SA$  cannot both be blue!
- **Constraint propagation** repeatedly enforces constraints locally.

# Arc consistency

- An arc  $X \rightarrow Y$  is **consistent** if and only if for every value  $x$  in the domain of  $X$  there is some value  $y$  in the domain of  $Y$  that satisfies the associated binary constraint.
- Forward checking  $\Leftrightarrow$  enforcing consistency of arcs pointing to each new assignment.
- This principle can be generalized to enforce consistency for **all** arcs.



# Arc consistency algorithm

**function** AC-3(*csp*) **returns** false if an inconsistency is found and true otherwise

**inputs:** *csp*, a binary CSP with components ( $X$ ,  $D$ ,  $C$ )

**local variables:** *queue*, a queue of arcs, initially all the arcs in *csp*

**while** *queue* is not empty **do**

$(X_i, X_j) \leftarrow \text{REMOVE-FIRST}(\text{queue})$

**if** REVISE(*csp*,  $X_i$ ,  $X_j$ ) **then**

**if** size of  $D_i = 0$  **then return** *false*

**for each**  $X_k$  **in**  $X_i.\text{NEIGHBORS} - \{X_j\}$  **do**

            add  $(X_k, X_i)$  to *queue*

**return** *true*

---

**function** REVISE(*csp*,  $X_i$ ,  $X_j$ ) **returns** true iff we revise the domain of  $X_i$

*revised*  $\leftarrow$  *false*

**for each**  $x$  **in**  $D_i$  **do**

**if** no value  $y$  in  $D_j$  allows  $(x, y)$  to satisfy the constraint between  $X_i$  and  $X_j$  **then**

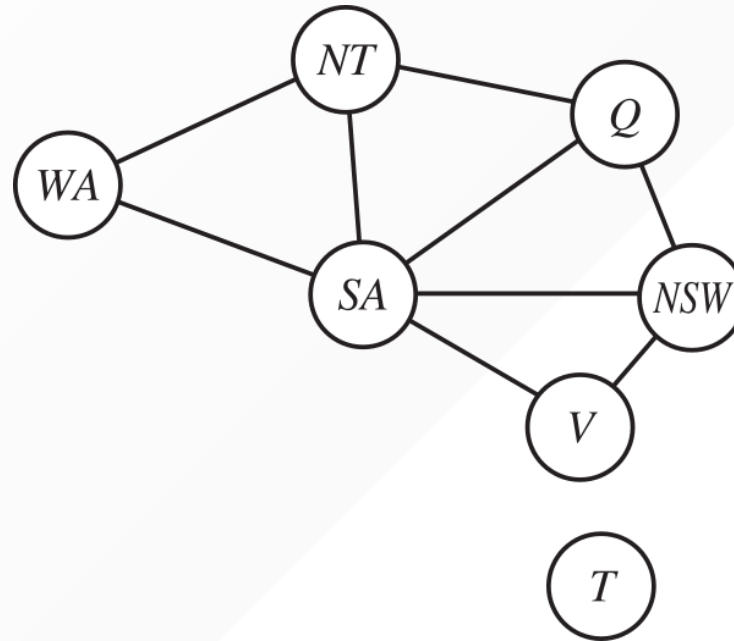
            delete  $x$  from  $D_i$

*revised*  $\leftarrow$  *true*

**return** *revised*

[Q] When in backtracking shall this procedure be called?

# Structure (1)

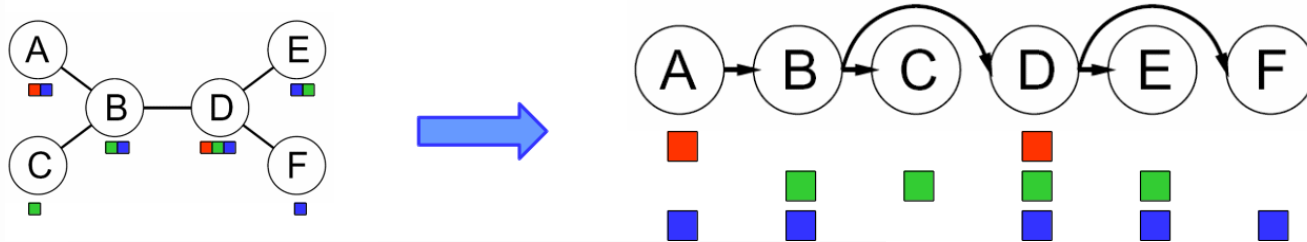


- Tasmania and mainland are **independent subproblems**.
  - Any solution for the mainland combined with any solution for Tasmania yields a solution for the whole map.
- Independence can be ascertained by finding **connected components** of the constraint graph.

# Structure (2)

- Time complexity: Assume each subproblem has  $c$  variables out of  $n$  in total. Then  $O\left(\frac{n}{c}d^c\right)$ .
  - E.g.,  $n = 80, d = 2, c = 20$ .
  - $2^{80} = 4$  billion years at 10 million nodes/sec.
  - $4 \times 2^{20} = 0.4$  seconds at 10 million nodes/sec.

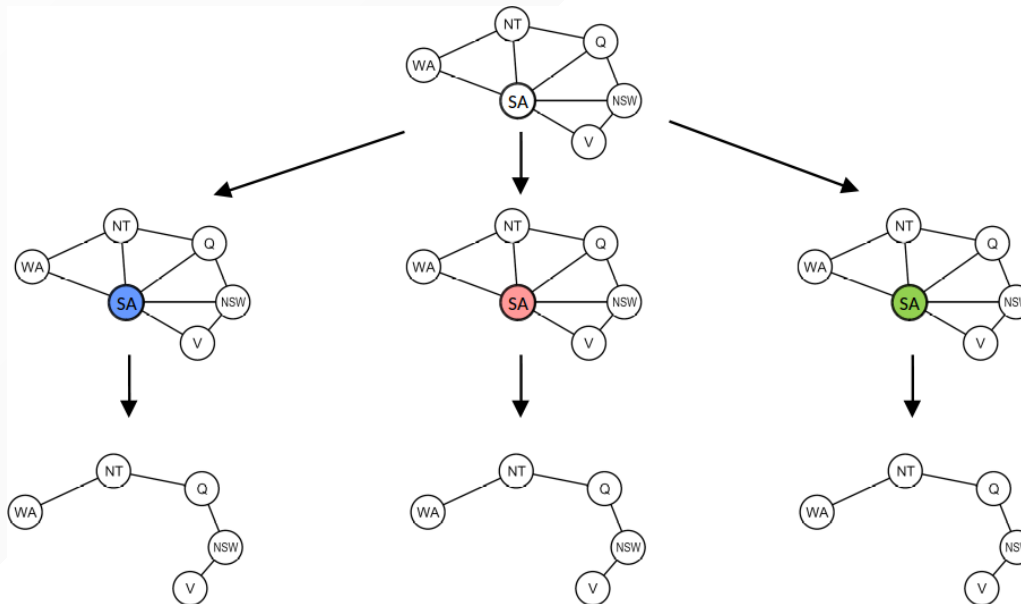
# Tree-structured CSPs



- Algorithm for tree-structured CSPs:
  - Order: choose a root variable, order variables so that parents precede children (topological sort).
  - Remove backward:
    - for  $i = n$  down to 2, enforce arc consistency of  $parent(X_i) \rightarrow X_i$ .
  - Assign forward:
    - for  $i = 1$  to  $n$ , assign  $X_i$  consistently with its  $parent(X_i)$ .
- Time complexity:  $O(nd^2)$ 
  - Compare to general CSPs, where worst-case time is  $O(d^n)$ .

# Nearly tree-structured CSPs

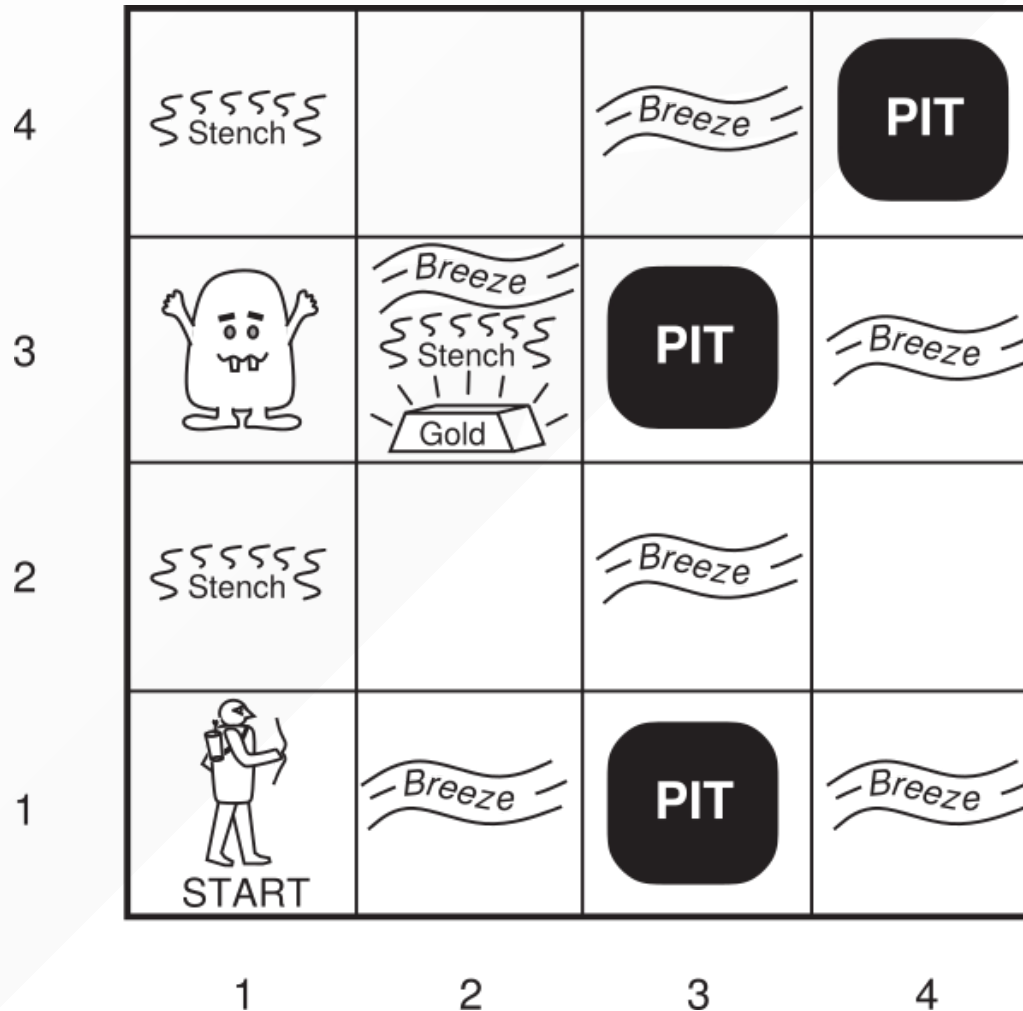
- **Conditioning:** instantiate a variable, prune its neighbors' domains.
- **Cutset conditioning:**
  - Assign (in all ways) a set  $S$  of variables such that the remaining constraint graph is a tree.
  - Solve the residual CSPs (tree-structured).
  - If the residual CSP has a solution, return it together with the assignment for  $S$ .



# Logical agents



# The Wumpus world



# PEAS description

- **Performance measure:**
  - +1000 for climbing out of the cave with gold;
  - -1000 for falling into a pit or being eaten by the wumpus;
  - -1 per step.
- **Environment:**
  - $4 \times 4$  grid of rooms;
  - The agent starts in the lower left square labeled  $[1, 1]$ , facing right;
  - Locations for gold, the wumpus and pits are chosen randomly from squares other than the start square.
- **Actuators:**
  - Forward, Turn left by  $90^\circ$  or Turn right by  $90^\circ$ .
- **Sensors:**
  - Squares adjacent to wumpus are **smelly**;
  - Squares adjacent to pit are **breezy**;
  - **Glitter** if gold is in the same square;
    - Gold is picked up by reflex, and cannot be dropped.
  - You **bump** if you walk into a wall.
  - The agent program with receives the percept  $[Stench, Breeze, Glitter, Bump]$ .

# Wumpus world characterization

- **Deterministic**: Yes, outcomes are exactly specified.
- **Static**: Yes, Wumpus and pits do not move.
- **Discrete**: Yes.
- **Single-agent**: Yes, Wumpus is essential a natural feature.
- **Fully observable**: No, only **local** perception.
- **Episodic**: No, what was observed before is very useful.

The agent need to maintain a model of the world and to update this model upon percepts.

We will use **logical reasoning** to overcome the initial ignorance of the agent.

# Exploring the Wumpus world (1)

1,4	2,4	3,4	4,4
1,3	2,3	3,3	4,3
1,2 OK	2,2	3,2	4,2
1,1 A OK	2,1 OK	3,1	4,1

(a)

**A** = Agent  
**B** = Breeze  
**G** = Glitter, Gold  
**OK** = Safe square  
**P** = Pit  
**S** = Stench  
**V** = Visited  
**W** = Wumpus

1,4	2,4	3,4	4,4
1,3	2,3	3,3	4,3
1,2 OK	2,2 P?	3,2	4,2
1,1 V OK	2,1 A B OK	3,1 P?	4,1

(b)

(a) Percept =  $[None, None, None, None]$

(b) Percept =  $[None, Breeze, None, None]$

# Exploring the Wumpus world (2)

1,4	2,4	3,4	4,4
1,3 W!	2,3	3,3	4,3
1,2 <b>A</b> S OK	2,2  OK	3,2	4,2
1,1  V OK	2,1 B  V OK	3,1 P!	4,1

(a)

**A** = Agent  
**B** = Breeze  
**G** = Glitter, Gold  
**OK** = Safe square  
**P** = Pit  
**S** = Stench  
**V** = Visited  
**W** = Wumpus

1,4	2,4 P?	3,4	4,4
1,3 W!	2,3 <b>A</b> S G B	3,3 P?	4,3
1,2 S  V OK	2,2  V OK	3,2	4,2
1,1  V OK	2,1 B  V OK	3,1 P!	4,1

(b)

(a) Percept = [*Stench, None, None, None*]

(b) Percept = [*Stench, Breeze, Glitter, None*]

# Logical agents

- Most useful in non-episodic, partially observable environments.
- **Logic (knowledge-based) agents** combine:
  - A **knowledge base** ( $KB$ ): a list of facts that are known to the agent.
  - Current **percepts**.
- Hidden aspects of the current state are **inferred** using rules of inference.
- **Logic** provides a good formal language for both
  - Facts encoded as **axioms**.
  - Rules of **inference**.

# Propositional logic: Syntax

The **syntax** of propositional logic defines allowable **sentences**.

$$\begin{aligned} \textit{Sentence} &\rightarrow \textit{AtomicSentence} \mid \textit{ComplexSentence} \\ \textit{AtomicSentence} &\rightarrow \textit{True} \mid \textit{False} \mid P \mid Q \mid R \mid \dots \\ \textit{ComplexSentence} &\rightarrow (\textit{Sentence}) \mid [\textit{Sentence}] \\ &\mid \neg \textit{Sentence} \\ &\mid \textit{Sentence} \wedge \textit{Sentence} \\ &\mid \textit{Sentence} \vee \textit{Sentence} \\ &\mid \textit{Sentence} \Rightarrow \textit{Sentence} \\ &\mid \textit{Sentence} \Leftrightarrow \textit{Sentence} \end{aligned}$$

OPERATOR PRECEDENCE :  $\neg, \wedge, \vee, \Rightarrow, \Leftrightarrow$

# Propositional logic: Semantics

- In propositional logic, a **model** is an assignment of truth values for every proposition symbol.
  - E.g., if the sentences of the knowledge base make use of the symbols  $P_1$ ,  $P_2$  and  $P_3$ , then one possible model is  $m = \{P_1 = \text{false}, P_2 = \text{true}, P_3 = \text{true}\}$ .
- The **semantics** for propositional logic specifies how to (recursively) evaluate the **truth value** of any complex sentence, with respect to a model  $m$ , as follows:
  - The truth value of a proposition symbol is specified in  $m$ .
  - $\neg P$  is true iff  $P$  is false;
  - $P \wedge Q$  is true iff  $P$  and  $Q$  are true;
  - $P \vee Q$  is true iff either  $P$  or  $Q$  is true;
  - $P \Rightarrow Q$  is true unless  $P$  is true and  $Q$  is false;
  - $P \Leftrightarrow Q$  is true iff  $P$  and  $Q$  are both true or both false.

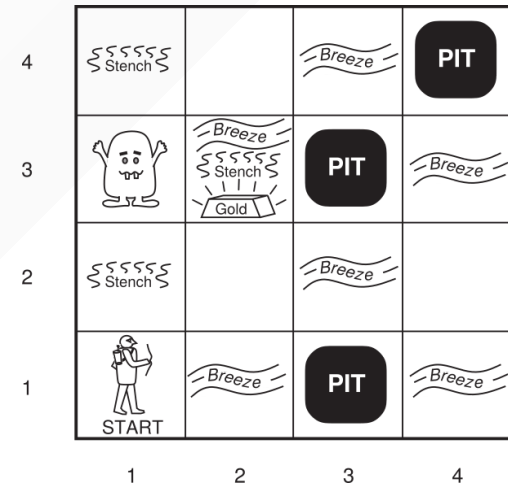


# Wumpus world sentences

- Let  $P_{i,j}$  be true if there is a pit in  $[i, j]$ .
- Let  $B_{i,j}$  be true if there is a breeze in  $[i, j]$ .

Examples:

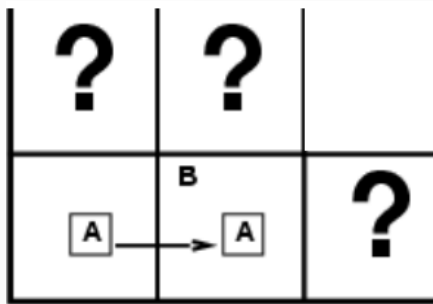
- Start:  $\neg P_{1,1}, \neg B_{1,1}, B_{2,1}$
- Pits cause breezes in adjacent squares:
  - $B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1})$
  - $B_{2,1} \Leftrightarrow (P_{1,1} \vee P_{2,2} \vee P_{3,1})$



# Entailment

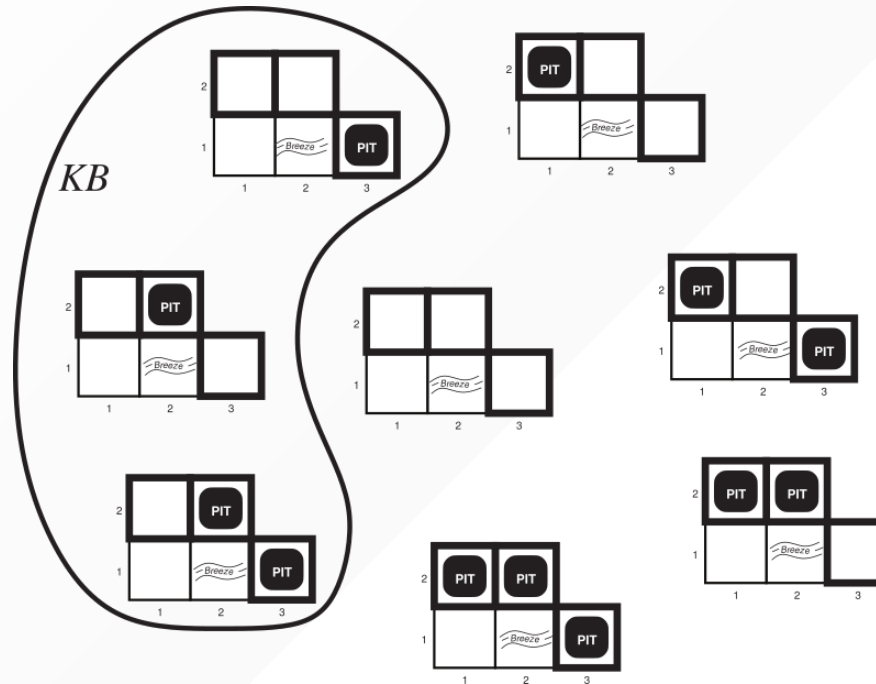
- We say a model  $m$  **satisfies** a sentence  $\alpha$  if  $\alpha$  is true in  $m$ .
  - $M(\alpha)$  is the set of all models of  $\alpha$ .
- $\alpha \models \beta$  iff  $M(\alpha) \subseteq M(\beta)$ .
  - We say that the sentence  $\alpha$  **entails** the sentence  $\beta$ .
  - $\beta$  is true in all models where  $\alpha$  is true.
  - That is,  $\beta$  **follows logically** from  $\alpha$ .

# Wumpus models (1)



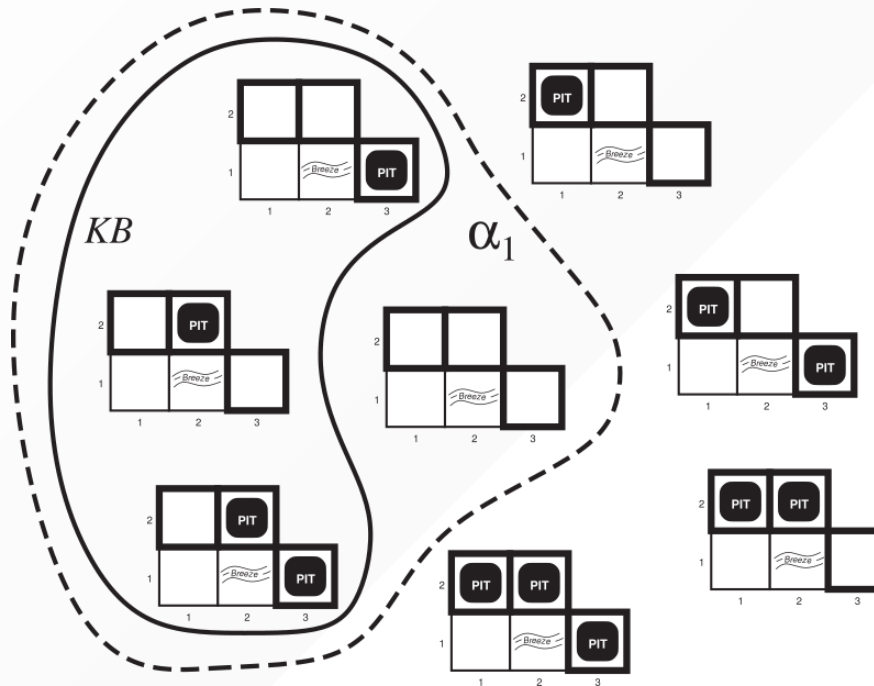
- Let consider possible models for  $KB$  assuming only pits and a reduced Wumpus world with only 5 squares and pits.
- Situation after:
  - detecting nothing in  $[1, 1]$ ,
  - moving right, breeze in  $[2, 1]$ .

# Wumpus models (2)



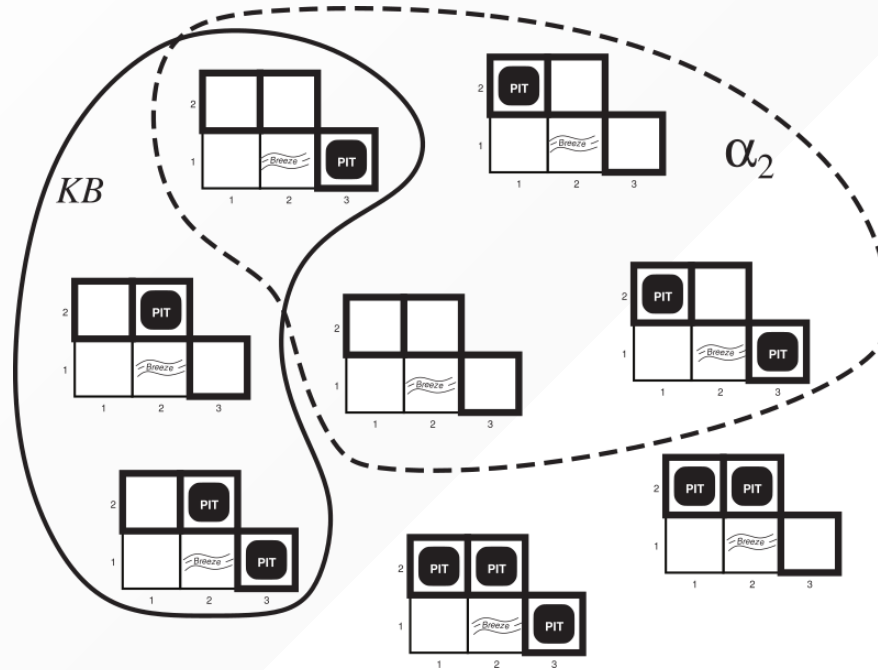
- All 8 possible models in the reduced Wumpus world.
- The knowledge base *KB* contains all possible Wumpus worlds consistent with the observations and the physics of the world.

# Entailments (1)



- $\alpha_1 = "[1, 2]$  is safe". Does  $KB$  entails  $\alpha_1$ ?
- $KB \models \alpha_1$  since  $M(KB) \subseteq M(\alpha_1)$ .
  - This proof is called **model checking** because it **enumerates** all possible models to check whether  $\alpha_1$  is true in all models where  $KB$  is true.
- Entailment can be used to carry out **logical inference**.

# Entailments (2)



- $\alpha_2 = "[2, 2]$  is safe". Does  $KB$  entails  $\alpha_2$ ?
- $KB \not\models \alpha_2$  since  $M(KB) \not\subseteq M(\alpha_2)$ .
- We **cannot** conclude whether  $[2, 2]$  is safe (it may or may not).

# Unsatisfiability theorem

$\alpha \models \beta$  iff  $(\alpha \wedge \neg\beta)$  is unsatisfiable

- $\alpha$  is unsatisfiable iff  $M(\alpha) = \{\}$ .
  - i.e., there is no assignment of truth values such that  $\alpha$  is true.
- Proving  $\alpha \models \beta$  by checking the unsatisfiability of  $\alpha \wedge \neg\beta$  corresponds to the proof technique of reductio ad absurdum.
- Checking the satisfiability of a sentence  $\alpha$  can be cast as CSP!
  - More efficient than enumerating all models.
  - But remains NP-complete.
  - See also SAT solvers, tailored for this specific problem.

# Summary

- Constraint satisfaction problems:
  - States are represented by a set of variable/value pairs.
  - Backtracking, a form of depth-first search, is commonly used for solving CSPs.
  - The complexity of solving a CSP is strongly related to the structure of its constraint graph.
- Logical agents:
  - Intelligent agents need knowledge about the world in order to reach good decisions.
  - Logical inference can be used as tool to reason about the world.
    - The inference problem can be cast as the problem of determining the unsatisfiability of a formula.
    - This in turn can be cast as a CSP.