

# Comparison of multiple biological sequences

Project in Bioinformatics  
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# MSA and SP score

$$M = \begin{bmatrix} A & C & C & A & C & C & A & G & - & G & T \\ G & C & - & A & - & C & T & - & A & - & T \\ A & C & C & - & - & A & T & G & A & G & G \end{bmatrix} \begin{matrix} \textcircled{1} \\ \textcircled{2} \end{matrix} \textcircled{3}$$

$$A_1 = \begin{bmatrix} A & C & C & A & C & C & A & G & - & G & T \\ G & C & - & A & - & C & T & - & A & - & T \end{bmatrix} \textcircled{1}$$

$$A_2 = \begin{bmatrix} G & C & - & A & \textcolor{red}{X} & C & T & - & A & - & T \\ A & C & C & - & \textcolor{red}{A} & A & T & G & A & G & G \end{bmatrix} \textcircled{2}$$

$$A_3 = \begin{bmatrix} A & C & C & A & C & C & A & G & - & G & T \\ A & C & C & - & - & A & T & G & A & G & G \end{bmatrix} \textcircled{3}$$

$$\begin{aligned} SP(M) &= \sum_{0 \leq c < \ell} \sum_{0 \leq i < j < k} d(M_{i,c}, M_{j,c}) \\ &= \sum_{0 \leq i < j < k} \sum_{0 \leq c < \ell} d(M_{i,c}, M_{j,c}). \end{aligned}$$

# MSA and SP score

$$M = \begin{bmatrix} A & C & C & A & C & C & A & G & - & G & T \\ G & C & - & A & - & C & T & - & A & - & T \\ A & C & C & - & - & A & T & G & A & G & G \end{bmatrix} \begin{matrix} \textcircled{1} \\ \textcircled{2} \end{matrix} \textcircled{3}$$

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► Dyn. prog.:  $O(n^k)$ .

# MSA and SP score

$$M = \begin{bmatrix} A & C & C & A & C & C & A & G & - & G & T \\ G & C & - & A & - & C & T & - & A & - & T \\ A & C & C & - & - & A & T & G & A & G & G \end{bmatrix} \begin{matrix} \textcircled{1} \\ \textcircled{2} \end{matrix} \textcircled{3}$$

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- Dyn. prog.:  $O(n^k)$ .
- Gusfield and MST algo.:  $O(k^2 n^2)$ .

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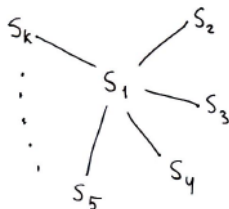
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# Gusfield's approximation algorithm

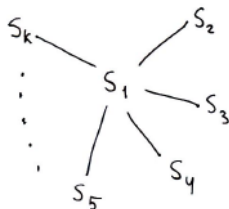
$$\arg \min_{S_1} \sum_{s \in S} OPT(S_1, s).$$





# Gusfield's approximation algorithm

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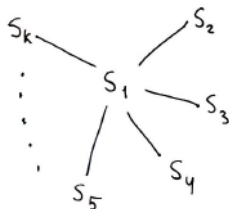
**Algorithm** GUSFIELD-MSA( $S$ )

---

- 1:  $S_1 \leftarrow \text{center}(S)$
  - 2:  $M \leftarrow [ ]$
  - 3: **for**  $i = 2$  to  $k$  **do**
  - 4:      $A \leftarrow \text{align}(S_1, S_i)$
  - 5:      $M \leftarrow \text{extend}(M, A)$
  - 6: **end for**
  - 7: **return**  $M$
-

# Gusfield's approximation algorithm

$$\arg \min_{S_1} \sum_{s \in S} OPT(S_1, s).$$



---

**Algorithm** GUSFIELD-MSA( $S$ )

---

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5:    $M \leftarrow \text{extend}(M, A)$ 
6: end for
7: return  $M$ 
```

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► Time  $O(k^2 n^2)$ .

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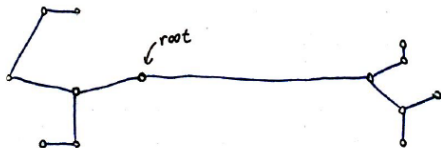
Gusfield's approximation algorithm

MST heuristic algorithm

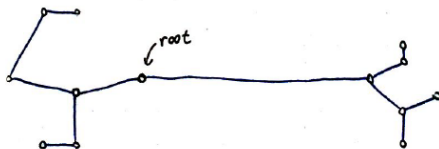
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# MST algorithm



# MST algorithm



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## Algorithm MST-MSA( $MST$ )

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```
1:  $M \leftarrow [ ]$ 
2: for  $(S_i, S_j) \in MST$  do
3:    $A \leftarrow align(S_i, S_j)$ 
4:    $M \leftarrow extend(M, A)$ 
5: end for
6: return  $M$ 
```

---

# Prim's algorithm

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**Algorithm** MST-PRIM-SIMPLE( $G, w, root$ )

---

```
1: for each  $u \in V[G]$  do
2:    $key[u] \leftarrow \infty$ 
3:    $\pi[u] \leftarrow NIL$ 
4: end for
5:  $key[root] \leftarrow 0$ 
6: for  $i = 1$  to  $|V[G]|$  do
7:    $u \leftarrow \text{FIND-MIN}(V[G], key)$ 
8:   report  $(\pi[u], u)$ 
9:   for each  $v \in Adj[u]$  do
10:    if  $v \notin MST$  and  $w(u, v) < key[v]$  then
11:       $\pi[v] \leftarrow u$ 
12:       $key[v] \leftarrow w(u, v)$ 
13:    end if
14:  end for
15: end for
```

---

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# Implementation

```
1 def MST_MSA_approx(seq_indices, seqs, sub_matrix, gap_cost):
2     M = []
3     # Keep track of seq index vs row index in M
4     seq_idx_to_row = [None] * len(seq_indices)
5     # Iterate MST edges
6     MST = MST_prim(seq_indices, seqs, sub_matrix, gap_cost)
7     for i in range(len(MST)):
8         # Edge (parent, node)
9         parent = MST[i][0]
10        node = MST[i][1]
11        # Fill out dyn. prog. table for pairwise alignment
12        table = fill_table(seqs[parent], seqs[node],
13                           sub_matrix, gap_cost)
14        # Construct opt. pairwise align. from table
15        A = construct_alignment(table, seqs[parent], seqs[node],
16                                sub_matrix, gap_cost)
17        if i == 0:
18            seq_idx_to_row[parent] = 0
19            seq_idx_to_row[node] = 1
20            M = A
21        else:
22            seq_idx_to_row[node] = len(M)
23            M = extend_M(M, A, seq_idx_to_row[parent])
24    return M
```



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# Running time

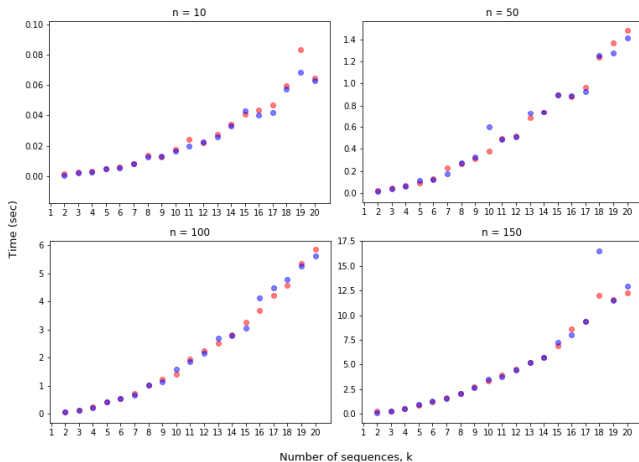
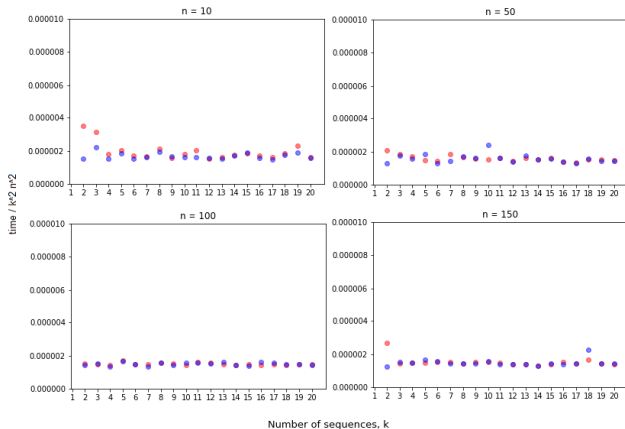


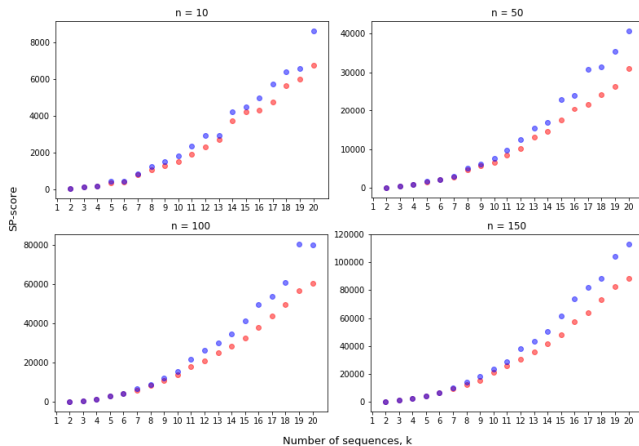
Figure: Running time of the two algorithms on random data as a function of  $k$  for four different values of  $n$ . Gusfield is red, MST is blue.

# Running time



**Figure:** Running time of the two algorithms divided by expected worst case running time,  $k^2 n^2$ , on random data. Gusfield is red, MST is blue.

# SP score - random data



**Figure:** SP scores for the two algorithms as a function of  $k$  for four different values of  $n$  on random data. Gusfield is red, MST is blue.

# SP score - low mutation rate data

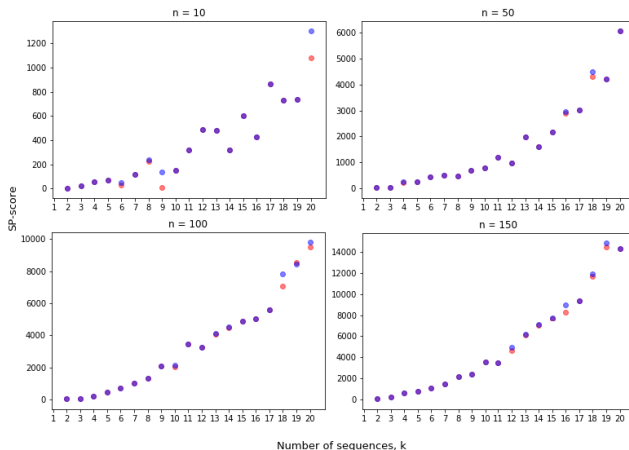
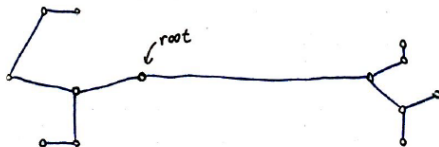
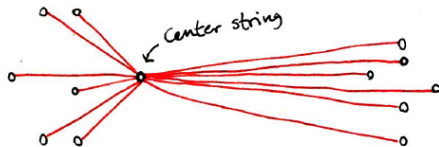


Figure: SP scores for the two algorithms as a function of  $k$  for four different values of  $n$  for simulated data with a low substitution rate of 0.05. Gusfield is red, MST is blue.

# Clustered data



# SP score - two clusters

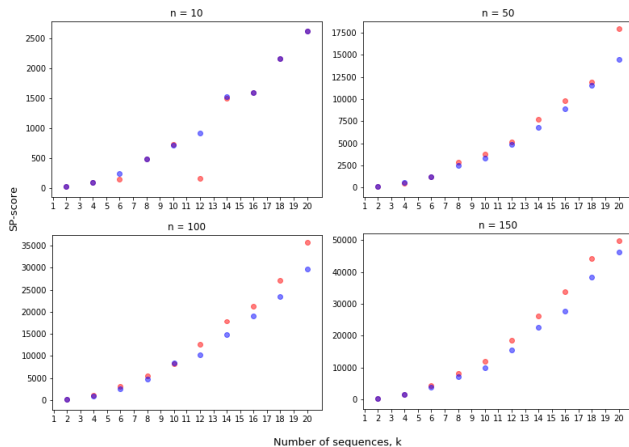


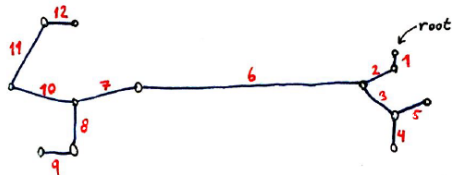
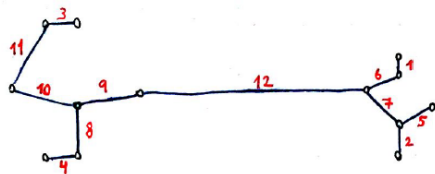
Figure: SP scores for the two algorithms as a function of  $k$  for four different values of  $n$  for simulated data consisting of two clusters (each made with a low substitution rate of 0.05). Gusfield is red, MST is blue.

# Conclusion

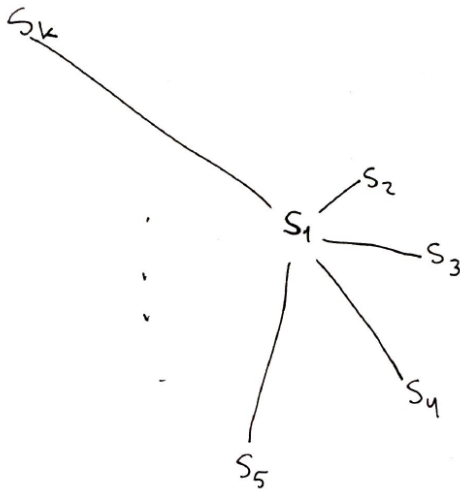
- ▶ Random data, high mutation rate: Gusfield is best.
- ▶ Low mutation rate: Very similar results.
- ▶ Two clusters: MST is best.



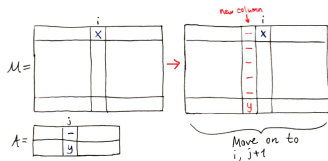
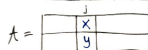
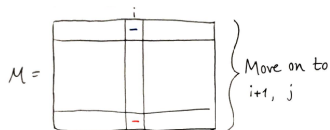
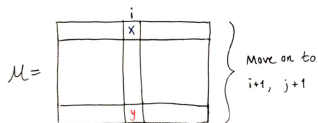
## Alternative MST approach: Kruskal



## Alternative star tree approach



# Extending $M$



# Prim's algorithm using queue

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## Algorithm MST-PRIM( $G, w, root$ )

---

```
1: for each  $u \in V[G]$  do
2:    $key[u] \leftarrow \infty$ 
3:    $\pi[u] \leftarrow NIL$ 
4: end for
5:  $key[root] \leftarrow 0$ 
6:  $Q \leftarrow V[G]$ 
7: while  $Q \neq \emptyset$  do
8:    $u \leftarrow \text{EXTRACT-MIN}(Q)$ 
9:   report  $(\pi[u], u)$ 
10:  for each  $v \in Adj[u]$  do
11:    if  $v \in Q$  and  $w(u, v) < key[v]$  then
12:       $\pi[v] \leftarrow u$ 
13:       $key[v] \leftarrow w(u, v)$ 
14:    end if
15:  end for
16: end while
```

---

# FIND-MIN

---

## Algorithm FIND-MIN( $V, key$ )

---

```
1:  $min\_key \leftarrow \infty$ 
2:  $min\_node \leftarrow NIL$ 
3: for  $v \in V$  do
4:   if  $v \notin MST$  and  $key[v] < min\_key$  then
5:      $min\_node \leftarrow v$ 
6:      $min\_key \leftarrow key[v]$ 
7:   end if
8: end for
9: return  $min\_node$ 
```

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