Instructor: Fei He

TA: Jianhui Chen
Fengmin Zhu

Due date: 04/28/2019

Assignment 6 Zheng Zeng 2016013263

Instructions: Write your answers in the corresponding hw6.tex file, compile it to a pdf, and hand the pdf file to *Tsinghua Web Learning* by the due date. Be sure to add your **student ID** and **full name** in the **stuid** and **stuname** macros at the top of hw6.tex. Problems marked with "(Optional)" are NOT mandatory, to receive full credits, you do NOT need to solve them. You will obtain extra points if you correctly solve them.

Acamedic Honesty: Any kind of plagiarism is strictly prohibited in the full semester for this course. Students who are suspected to copy other's work and is confirmed through investigation will receive no credits (i.e, zero) for this assignment. If you asked other students for help, or your referred to any material that is not provided by us (e.g. websites, blogs, articles, papers, etc., both online and offline), please mention them in your assignment (e.g. writing an acknowledgment, adding a reference).

# IMP, Revisited

Before getting start, let's first revisit IMP, a simple IMPerative programming language, we have introduced in the lectures. We now present all necessary notations we need in the problems.

Above all, let's specify the syntax:

```
Arithmetic expression a ::= n \mid x \mid a_1 + a_2 \mid a_1 - a_2 \mid a_1 \times a_2
Boolean expression b ::= \mathsf{true} \mid \mathsf{false} \mid a_1 = a_2 \mid a_1 \leq a_2 \mid \neg b \mid b_1 \wedge b_2
Command/Statement c ::= \mathsf{skip} \mid x := a \mid c_1; c_2 \mid \mathsf{if} \ b \ \mathsf{then} \ c_1 \ \mathsf{else} \ c_2 \ \mathsf{fi} \mid \mathsf{while} \ b \ \mathsf{do} \ c \ \mathsf{end}
```

where n denotes a constant integer and x denotes a variable. At the top level, an IMP program is simply a command.

We put the assignments of variables in a total map, i.e. a mapping from variables to integers. Initially, the total map is empty, denoted by  $\varnothing$ , and every variable is mapped to 0, by default. We use the notion  $\sigma(x)$  to obtain the integer that variable x maps to. Besides, we can update a total map  $\sigma$ , by letting the variable x map to integer n, yielding a new total map  $\sigma[x \mapsto n]$ . Trivially, you see  $(\sigma[x \mapsto n])(x) = n$  must hold. This total map is usually called a state, when we will later talk about program semantics.

**Big-step Operational Semantics** In the first pass, let's define the semantics of IMP, using big-step operational semantics. For arithmetic expressions, we specify a semantic function  $\mathcal{A}[a]_{\sigma}$ ,

which sends an arithmetic expression to its value, i.e. a constant integer, in the state  $\sigma$ :

$$\mathcal{A}\llbracket n \rrbracket_{\sigma} \triangleq n$$

$$\mathcal{A}\llbracket x \rrbracket_{\sigma} \triangleq \sigma(x)$$

$$\mathcal{A}\llbracket a_1 + a_2 \rrbracket_{\sigma} \triangleq \mathcal{A}\llbracket a_1 \rrbracket_{\sigma} + \mathcal{A}\llbracket a_2 \rrbracket_{\sigma}$$

$$\mathcal{A}\llbracket a_1 - a_2 \rrbracket_{\sigma} \triangleq \mathcal{A}\llbracket a_1 \rrbracket_{\sigma} - \mathcal{A}\llbracket a_2 \rrbracket_{\sigma}$$

$$\mathcal{A}\llbracket a_1 \times a_2 \rrbracket_{\sigma} \triangleq \mathcal{A}\llbracket a_1 \rrbracket_{\sigma} \times \mathcal{A}\llbracket a_2 \rrbracket_{\sigma}$$

Note that in the right hand side, we also use the standard arithmetic operators  $+, -, \times$  for integers.

Remark: By the way, the "+" appeared in " $a_1 + a_2$ " is a *syntactic symbol* (part of the syntax of an arithmetic expression), whereas the "+" appeared in " $\mathcal{A}[a_1]_{\sigma} + \mathcal{A}[a_2]_{\sigma}$ " is a *semantic symbol* (the integer addition operation). To be honest, here we make a "harmless abuse of notations", as human beings can understand them.

For boolean expressions, we specify a semantic function  $\mathcal{B}[\![b]\!]_{\sigma}$ , which sends a boolean expression to its value, i.e. a constant boolean value (either  $\top$  for true, or  $\bot$  for false), in the state  $\sigma$ :

$$\begin{split} \mathcal{B}[\![\mathsf{true}]\!]_{\sigma} &\triangleq \top \\ \mathcal{B}[\![\mathsf{false}]\!]_{\sigma} &\triangleq \bot \\ \mathcal{B}[\![a_1 = a_2]\!]_{\sigma} &\triangleq \mathcal{A}[\![a_1]\!]_{\sigma} = \mathcal{A}[\![a_2]\!]_{\sigma} \\ \mathcal{B}[\![a_1 \leq a_2]\!]_{\sigma} &\triangleq \mathcal{A}[\![a_1]\!]_{\sigma} \leq \mathcal{A}[\![a_2]\!]_{\sigma} \\ \mathcal{B}[\![\neg b]\!]_{\sigma} &\triangleq \neg \mathcal{B}[\![b]\!]_{\sigma} \\ \mathcal{B}[\![b_1 \wedge b_2]\!]_{\sigma} &\triangleq \mathcal{B}[\![b_1]\!]_{\sigma} \wedge \mathcal{B}[\![b_2]\!]_{\sigma} \end{split}$$

Note that in the right hand side, we also use the standard comparison operators  $=/\leq$  for comparing if two integers are equal/one is less greater than or equal to the other, as well as the standard logical operators  $\neg/\land$  for computing negation/conjunction.

Finally, for commands (or statements), the evaluation relation is denoted by  $\langle \sigma, c \rangle \Downarrow \sigma'$ , meaning that executing a command c in a starting state  $\sigma$  results in an ending state  $\sigma'$ . This can also be pronounced "c takes state  $\sigma$  to  $\sigma'$ ". Inference rules are as follows:

$$(Skip) \overline{\hspace{1cm}} \overline{\hspace{1cm}} \langle \sigma, \mathsf{skip} \rangle \Downarrow \sigma$$

$$(Ass) \overline{\hspace{1cm}} \overline{\hspace{1cm}} A[\![a]\!]_{\sigma} = n$$

$$(IfTrue) \overline{\hspace{1cm}} B[\![b]\!]_{\sigma} = \top \hspace{1cm} \langle \sigma, c_1 \rangle \Downarrow \sigma'$$

$$(IfTrue) \overline{\hspace{1cm}} \langle \sigma, \mathsf{if} \hspace{1cm} b \hspace{1cm} \mathsf{then} \hspace{1cm} c_1 \hspace{1cm} \mathsf{else} \hspace{1cm} c_2 \hspace{1cm} \mathsf{fi} \rangle \Downarrow \sigma'}$$

$$(WhileFalse) \overline{\hspace{1cm}} B[\![b]\!]_{\sigma} = \bot$$

$$(VhileFalse) \overline{\hspace{1cm}} \langle \sigma, \mathsf{while} \hspace{1cm} b \hspace{1cm} \mathsf{do} \hspace{1cm} c \hspace{1cm} \mathsf{end} \rangle \Downarrow \sigma'}$$

$$(WhileFalse) \overline{\hspace{1cm}} \langle \sigma, \mathsf{while} \hspace{1cm} b \hspace{1cm} \mathsf{do} \hspace{1cm} c \hspace{1cm} \mathsf{end} \rangle \Downarrow \sigma'}$$

$$(WhileTrue) \overline{\hspace{1cm}} \langle \sigma, \mathsf{while} \hspace{1cm} b \hspace{1cm} \mathsf{do} \hspace{1cm} c \hspace{1cm} \mathsf{end} \rangle \Downarrow \sigma''}$$

**Small-step Operational Semantics** Alternatively, we could use small-step operational semantics to repeat the things we have just done. To follow a "pure small-step" style, you may redefine the semantics of arithmetic expressions and boolean expressions in the style of small-step, the evaluation relations may be denoted by  $\langle \sigma, a \rangle \to_{\mathcal{A}} a'$  and  $\langle \sigma, b \rangle \to_{\mathcal{B}} b'$ , respectively. If you attempt this, you will realize that more inference rules are needed than before.

To make our life easier, we could simply reuse the semantic functions  $\mathcal{A}[\![a]\!]_{\sigma}$  and  $\mathcal{B}[\![b]\!]_{\sigma}$ . We are now only interested in how to describe the semantics for commands, using small-step operational semantics. The evaluation relation has the form  $\langle \sigma, c \rangle \to \langle \sigma', c' \rangle$ , meaning that executing a command c, just "one step", from a starting state  $\sigma$ , results in an ending state  $\sigma'$ , and the remaining command we need to execute later is denoted by c'. Inference rules are as follows:

$$\begin{split} &\mathcal{A}[\![a]\!]_{\sigma} = n \\ &\langle \sigma, x := a \rangle \to \langle \sigma[x \mapsto n], \mathsf{skip} \rangle \\ &\langle \mathsf{SeqStep} \rangle \frac{\langle \sigma, c_1 \rangle \to \langle \sigma', c_1' \rangle}{\langle \sigma, c_1; c_2 \rangle \to \langle \sigma', c_1'; c_2 \rangle} \\ &\langle \mathsf{SeqFinish} \rangle \frac{\langle \sigma, \mathsf{skip}; c_2 \rangle \to \langle \sigma, c_2 \rangle}{\langle \sigma, \mathsf{skip}; c_2 \rangle \to \langle \sigma, c_2 \rangle} \\ &\langle \mathsf{IfTrue} \rangle \frac{\mathcal{B}[\![b]\!]_{\sigma} = \top}{\langle \sigma, \mathsf{if} \ b \ \mathsf{then} \ c_1 \ \mathsf{else} \ c_2 \ \mathsf{fi} \rangle \to \langle \sigma, c_1 \rangle} \\ &\langle \mathsf{IfFalse} \rangle \frac{\mathcal{B}[\![b]\!]_{\sigma} = \bot}{\langle \sigma, \mathsf{if} \ b \ \mathsf{then} \ c_1 \ \mathsf{else} \ c_2 \ \mathsf{fi} \rangle \to \langle \sigma, c_2 \rangle} \\ &\langle \mathsf{While} \rangle \frac{\langle \sigma, \mathsf{while} \ b \ \mathsf{do} \ c \ \mathsf{end} \rangle \to \langle \sigma, \mathsf{if} \ b \ \mathsf{then} \ (c; \mathsf{while} \ b \ \mathsf{do} \ c \ \mathsf{end}) \ \mathsf{else} \ \mathsf{skip} \ \mathsf{fi} \rangle} \end{split}$$

Note that when we have reached  $\langle \sigma, \mathsf{skip} \rangle$  (for an arbitrary state  $\sigma$ ), no rules are applicable and hence we are done. If you wish, we can call this the *normal form*. The so-called "multiple step" relation  $\rightarrow_*$  is the reflexive and transitive closure of the "one step" relation  $\rightarrow$ .

Now, let's dive into the exercises.

## 1 Nondeterministic IMP

IMP's evaluation relation is deterministic. However, nondeterminism is an important part of the definition of many real-world programming languages. For example, in many imperative languages (such as C and its relatives), the order in which function arguments are evaluated is unspecified. The program fragment

```
x = 0;
f(++x, x)
```

might call f with arguments (1, 0) or (1, 1), depending how the compiler chooses to order things. This can be a little confusing for programmers, but it gives the compiler writer useful freedom. Apart from this, non-determinism is unsurprisingly seen in real-world embedded systems and it can model the unspecified behaviors of complicated network protocols, outside physical environment, etc.

In this exercise, we will extend IMP with a simple nondeterministic command and study how this change affects program equivalence. The new command has the syntax  $\mathsf{havoc}\ x$ , where x is a variable. The effect of executing  $\mathsf{havoc}\ x$  is to assign an arbitrary integer to the variable x, nondeterministically. For example, after executing the program

havoc 
$$Y: Z := Y \times 2$$
.

the value of Y could be any integer, while the value of Z is twice that of Y (so Z is always even). Note that we are not saying anything about the *probabilities* of the outcomes – just that there are

(infinitely) many different outcomes that can possibly happen after executing this nondeterministic code.

In a sense, a variable on which we do havoc roughly corresponds to an uninitialized variable in a low-level language like C. After the havoc, the variable holds a fixed but arbitrary number. Most sources of nondeterminism in language definitions are there precisely because programmers don't care which choice is made (and so it is good to leave it open to the compiler to choose whichever will run faster).

- (1) Based upon the big-step operational semantics of IMP, we need to add a new inference rule for havoc. Please find it out.
- (2) Draw a proof tree to show that the following evaluation relation can hold:

$$\langle \varnothing, \mathsf{skip}; \mathsf{havoc}\ Z \rangle \Downarrow \varnothing [Z \mapsto 42].$$

### Solution

(1) The new inference rule should be:

(Havoc)  $\overline{\langle \sigma, \mathsf{havoc} \ x \rangle \Downarrow \sigma[x \mapsto n]}$  as long as n is the ultimate answer of life, universe and everything.

$$(2) \qquad \qquad (\operatorname{Skip}) \qquad \overline{\langle \varnothing, \operatorname{skip} \rangle \Downarrow \varnothing} \qquad (\operatorname{Havoc}) \qquad \overline{\langle \varnothing, \operatorname{havoc} Z \rangle \Downarrow \varnothing [Z \mapsto 42]} \qquad \\ (\operatorname{Seq}) \qquad \overline{\langle \varnothing, \operatorname{skip}; \operatorname{havoc} Z \rangle \Downarrow \varnothing [Z \mapsto 42]} \qquad (2)$$

# 2 Loops that can Break

Imperative languages like C and Java often include a break or similar statement for interrupting the execution of loops. In this exercise we consider how to add break to IMP. First, we need to enrich the language of commands with an additional command break.

Next, we need to define the behavior of break. Informally, whenever break is executed in a sequence of commands, it stops the execution of that sequence and signals that the innermost enclosing loop should terminate. If there aren't any enclosing loops, then the whole program simply terminates. The final state should be the same as the one in which the break statement was executed. One important point is what to do when there are multiple loops enclosing a given break. In those cases, break should only terminate the *innermost* loop. Thus, after executing the following program

```
\begin{split} X := 0; Y := 1; \\ \text{while } \neg (Y = 0) \text{ do} \\ \text{while true do break end}; \\ X := 1; Y := Y - 1 \\ \text{end} \end{split}
```

The value of X should be 1, and not 0.

One way of expressing this behavior is to add another parameter, namely signal, which is either  $\not>$  ("break") or  $\triangleright$  ("continue"), to the (big-step) evaluation relation that specifies whether evaluation of a command executes a break statement. In this way, the evaluation relation now has the form  $\langle \sigma, c \rangle \Downarrow \langle \sigma', s \rangle$ , meaning that, if c is started in state  $\sigma$ , then it terminates in state  $\sigma'$  and either signals that the innermost surrounding loop (or the whole program) should exit immediately (when  $s = \not>$ ), or that execution should continue normally (when  $s = \triangleright$ ).

The definition of the " $\langle \sigma, c \rangle \Downarrow \langle \sigma', s \rangle$ " relation is very similar to the regular one " $\langle \sigma, c \rangle \Downarrow \sigma'$ " – we just need to handle the termination signals appropriately:

- If the command is skip, then the state doesn't change and execution of any enclosing loop can continue normally.
- If the command is break, the state stays unchanged but we signal a  $\triangleright$ .
- If the command is an assignment, then we update the binding for that variable in the state accordingly and signal that execution can continue normally.
- If the command is a if-statement, then the state is updated as in the original semantics, except that we also propagate the signal from the execution of whichever branch was taken.
- If the command is a sequence  $c_1$ ;  $c_2$ , we first execute  $c_1$ . If this yields a  $\not\triangleright$ , we skip the execution of  $c_2$  and propagate the  $\not\triangleright$  signal to the surrounding context; the resulting state is the same as the one obtained by executing  $c_1$  alone. Otherwise, we execute  $c_2$  on the state obtained after executing  $c_1$ , and propagate the signal generated there.
- Finally, for a loop of the form while b do c end, the semantics is almost the same as before. The only difference is that, when the condition b evaluates to true, we execute the body c and check the signal that it raises. If that signal is  $\triangleright$ , then the execution proceeds as in the original semantics. Otherwise, we stop the execution of the loop, and the resulting state is the same as the one resulting from the execution of the current iteration. In either case, since break only terminates the innermost loop, the entire loop signals  $\triangleright$ .
- (1) Based on the above description, write a complete definition (i.e. inference rules) of the evaluation relation " $\langle \sigma, c \rangle \Downarrow \langle \sigma', s \rangle$ ".

(2) Show that for every command c, states  $\sigma$  and  $\sigma'$ , and signal s, if

$$\langle \sigma, \mathsf{break}; c \rangle \Downarrow \langle \sigma', s \rangle,$$

then  $\sigma = \sigma'$ .

(3) Show that for every command c, states  $\sigma$  and  $\sigma'$ , and boolean expression b, if

$$\langle \sigma, \mathsf{while} \ b \ \mathsf{do} \ c \ \mathsf{end} \rangle \Downarrow \langle \sigma', \triangleright \rangle$$

and  $\mathcal{B}[\![\sigma']\!]_b = \top$ , then there exists a state  $\sigma''$  s.t.  $\langle \sigma'', c \rangle \Downarrow \langle \sigma', \not \triangleright \rangle$ . Hint: you may need induction in your proof.

#### Solution

(1)

$$(Skip) \overline{\hspace{1cm}} \langle \sigma, \mathsf{skip} \rangle \Downarrow \langle \sigma, \triangleright \rangle$$

$$(Ass) \overline{\hspace{1cm}} A[\![a]\!]_{\sigma} = n$$

$$(Seq2) \overline{\hspace{1cm}} \langle \sigma, c_1 \rangle \Downarrow \langle \sigma', \not \triangleright \rangle }$$

$$(Seq2) \overline{\hspace{1cm}} \langle \sigma, c_1 \rangle \Downarrow \langle \sigma', \not \triangleright \rangle }$$

$$(Seq2) \overline{\hspace{1cm}} \langle \sigma, c_1 \rangle \Downarrow \langle \sigma', \not \triangleright \rangle }$$

$$(IfFalse) \overline{\hspace{1cm}} B[\![b]\!]_{\sigma} = \bot \hspace{1cm} \langle \sigma, c_2 \rangle \Downarrow \langle \sigma', s \rangle }$$

$$(WhileTrue1) \overline{\hspace{1cm}} B[\![b]\!]_{\sigma} = \top \hspace{1cm} \langle \sigma, c \rangle \Downarrow \langle \sigma', \not \triangleright \rangle }$$

$$(WhileTrue2) \overline{\hspace{1cm}} B[\![b]\!]_{\sigma} = \top \hspace{1cm} \langle \sigma, c \rangle \Downarrow \langle \sigma', s \rangle }$$

$$(WhileTrue2) \overline{\hspace{1cm}} C(WhileTrue2) \overline{\hspace{1cm}} C(While D do c end) \Downarrow \langle \sigma'', s \rangle }$$

(2) We can executing the command break; c and the state  $\sigma$  with the inference rules above:

$$(Seq2) \xrightarrow{\text{(Break)}} \overline{\langle \sigma, \mathsf{break} \rangle \Downarrow \langle \sigma, \not\triangleright \rangle}$$
$$\langle \sigma, \mathsf{break}; c \rangle \Downarrow \langle \sigma, \not\triangleright \rangle$$

For every command c, states  $\sigma$ , we have  $\langle \sigma, \mathsf{break}; c \rangle \Downarrow \langle \sigma, \not \triangleright \rangle$ . Therefore, if  $\langle \sigma, \mathsf{break}; c \rangle \Downarrow \langle \sigma', s \rangle$  for some state  $\sigma'$  and signal s,  $\sigma = \sigma'$  must holds.

- (3) By induction. Suppose we can prove  $\langle \sigma, \mathsf{while}\ b\ \mathsf{do}\ c\ \mathsf{end} \rangle \Downarrow \langle \sigma', \triangleright \rangle$  where  $\mathcal{B}[\![b]\!]_{\sigma'} = \bot \mathsf{with}\ n$  steps.
  - Base case: n = 1, so the inference rule applied in this step must be WhileTrue1, otherwise the rule of the step is WhileFalse, indicating that  $\mathcal{B}[\![b]\!]_{\sigma'} = \mathcal{B}[\![b]\!]_{\sigma} = \bot$ , contradiction! According to WhileTrue1,  $\langle \sigma, c \rangle \Downarrow \langle \sigma', \not \triangleright \rangle$  must holds, so the state  $\sigma''$  is simply  $\sigma$ .
  - Induction step: Suppose for all states  $\sigma'$  have  $\mathcal{B}[\![b]\!]_{\sigma'} = \top$ , if  $\langle \sigma, \mathsf{while}\ b\ \mathsf{do}\ c\ \mathsf{end} \rangle \Downarrow \langle \sigma', \triangleright \rangle$  can be proved by k steps, there exists  $\sigma''$  that  $\langle \sigma'', c \rangle \Downarrow \langle \sigma', \not\triangleright \rangle$ .

    For all states  $\sigma$  that  $\langle \sigma, \mathsf{while}\ b\ \mathsf{do}\ c\ \mathsf{end} \rangle \Downarrow \langle \sigma', \triangleright \rangle$  can be proved by k+1 steps, there must be some  $\sigma''$ ,  $\langle \sigma, c \rangle \Downarrow \langle \sigma'', \triangleright \rangle$  and  $\langle \sigma'', \mathsf{while}\ b\ \mathsf{do}\ c\ \mathsf{end} \rangle \Downarrow \langle \sigma', \triangleright \rangle$  holds. By induction hypothesis, there exists  $\sigma'''$ ,  $\langle \sigma''', c \rangle \Downarrow \langle \sigma', \not\triangleright \rangle$  holds.

Therefore,  $\forall c, \sigma, \sigma', b, (\langle \sigma, \mathsf{while}\ b\ \mathsf{do}\ c\ \mathsf{end} \rangle \Downarrow \langle \sigma', \triangleright \rangle \ \land \ \mathcal{B}[\![b]\!]_{\sigma'} = \top) \to \exists\ \sigma'', \langle \sigma'', c \rangle \Downarrow \langle \sigma', \not \triangleright \rangle.$ 

## 3 Concurrent IMP

So far, we have done two interesting extensions to IMP: nondeterminism and breaks. Finally, we will see one more – concurrency. At a glance, we will invent a new parallel command

par 
$$c_1$$
 with  $c_2$  end

that runs two subcommands  $c_1$  and  $c_2$  in parallel and terminates when both have terminated. To reflect the unpredictability of scheduling, the actions of the subcommands may be interleaved in any order, but they share the same memory and can communicate by reading and writing the same variables.

Unfortunately, the previous elegant big-step operational semantics cannot work in this case. Instead, we use small-step operational semantics and add the following new inference rules:

$$\begin{array}{c} \langle \sigma, c_1 \rangle \rightarrow \langle \sigma', c_1' \rangle \\ \hline \langle \sigma, \mathsf{par} \ c_1 \ \mathsf{with} \ c_2 \ \mathsf{end} \rangle \rightarrow \langle \sigma', \mathsf{par} \ c_1' \ \mathsf{with} \ c_2 \ \mathsf{end} \rangle \\ \hline \langle \sigma, \mathsf{par} \ c_1 \ \mathsf{with} \ c_2 \ \mathsf{end} \rangle \rightarrow \langle \sigma', c_2' \rangle \\ \hline \langle \sigma, \mathsf{par} \ c_1 \ \mathsf{with} \ c_2 \ \mathsf{end} \rangle \rightarrow \langle \sigma', \mathsf{par} \ c_1 \ \mathsf{with} \ c_2' \ \mathsf{end} \rangle \\ \hline \langle \sigma, \mathsf{par} \ \mathsf{skip} \ \mathsf{with} \ \mathsf{skip} \ \mathsf{end} \rangle \rightarrow \langle \sigma, \mathsf{skip} \rangle \\ \end{array}$$

Obviously, we define the binary relation  $\rightarrow_*$  as the reflexive and transitive closure of  $\rightarrow$ , the one that has included the above three new rules.

Using the above definitions, we can evaluate the program

par (if true then 
$$X := 1$$
 else  $X := 0$  fi) with (if true then  $Y := 0$  else  $Y := 1$  fi) end

on a starting state  $\sigma$ , which yields a derivation trace (until we reach the normal form):

```
\begin{split} &\langle \sigma, \mathsf{par} \; (\mathsf{if} \; \mathsf{true} \; \mathsf{then} \; X := 1 \; \mathsf{else} \; X := 0 \; \mathsf{fi}) \; \mathsf{with} \; (\mathsf{if} \; \mathsf{true} \; \mathsf{then} \; Y := 0 \; \mathsf{else} \; Y := 1 \; \mathsf{fi}) \; \mathsf{end} \rangle \\ & \to \langle \sigma, \mathsf{par} \; (X := 1) \; \mathsf{with} \; (\mathsf{if} \; \mathsf{true} \; \mathsf{then} \; Y := 0 \; \mathsf{else} \; Y := 1 \; \mathsf{fi}) \; \mathsf{end} \rangle \\ & \to \langle \sigma, \mathsf{par} \; (X := 1) \; \mathsf{with} \; (Y := 0) \; \mathsf{end} \rangle \\ & \to \langle \sigma[X \mapsto 1], \mathsf{par} \; \mathsf{skip} \; \mathsf{with} \; (Y := 0) \; \mathsf{end} \rangle \\ & \to \langle \sigma[X \mapsto 1][Y \mapsto 0], \mathsf{par} \; \mathsf{skip} \; \mathsf{with} \; \mathsf{skip} \; \mathsf{end} \rangle \\ & \to \langle \sigma[X \mapsto 1][Y \mapsto 0], \mathsf{skip} \rangle \end{split} \qquad \qquad \mathsf{by} \; (\mathsf{Par} \mathsf{Done})
```

- (1) Give a different derivation trace for the above program. Remember to mention the name of the rule you applied, like we have done above.
- (2) Let's now consider a more interesting parallel program involving a loop:

$$P: \mathsf{par}\; (Y:=1) \; \mathsf{with} \; (\mathsf{while}\; Y=0 \; \mathsf{do}\; X:=X+1 \; \mathsf{end}) \; \mathsf{end}$$

Show that the following property holds: there exists a state  $\sigma$ , such that  $\langle \varnothing, P \rangle \to_* \langle \sigma, \mathsf{skip} \rangle$  and  $\sigma(X) = 0$ .

- (3) (Optional) The property mentioned in (2) is still not very general. Find a more general property that program P has and try to proof it.
- (4) We said that big-step operational semantics cannot work in this parallel case. Why? Briefly explain the reason, in one or two sentences.

### Solution

(1)

```
\begin{split} &\langle \sigma, \mathsf{par} \; (\mathsf{if} \; \mathsf{true} \; \mathsf{then} \; X := 1 \; \mathsf{else} \; X := 0 \; \mathsf{fi}) \; \mathsf{with} \; (\mathsf{if} \; \mathsf{true} \; \mathsf{then} \; Y := 0 \; \mathsf{else} \; Y := 1 \; \mathsf{fi}) \; \mathsf{end} \rangle \\ & \to \langle \sigma, \mathsf{par} \; (\mathsf{if} \; \mathsf{true} \; \mathsf{then} \; X := 1 \; \mathsf{else} \; X := 0 \; \mathsf{fi}) \; \mathsf{with} \; (Y := 0) \; \mathsf{end} \rangle \\ & \to \langle \sigma, \mathsf{par} \; (X := 1) \; \mathsf{with} \; (Y := 0) \; \mathsf{end} \rangle \\ & \to \langle \sigma[X \mapsto 1], \mathsf{par} \; \mathsf{skip} \; \mathsf{with} \; (Y := 0) \; \mathsf{end} \rangle \\ & \to \langle \sigma[X \mapsto 1][Y \mapsto 0], \mathsf{par} \; \mathsf{skip} \; \mathsf{with} \; \mathsf{skip} \; \mathsf{end} \rangle \\ & \to \langle \sigma[X \mapsto 1][Y \mapsto 0], \mathsf{skip} \rangle \end{split} \qquad \qquad \mathsf{by} \; (\mathsf{Par} \mathsf{Done})
```

(2) We can get the state  $\sigma$  following the derivation trace below:

$$\begin{split} &\langle\varnothing,P\rangle\\ \to &\langle\varnothing[Y\mapsto 1], \text{par skip with (while }Y=0\text{ do }X:=X+1\text{ end) end}\rangle \\ &\to &\langle\varnothing[Y\mapsto 1], \text{par skip with}\\ &\quad (\text{if }Y=0\text{ then }(X:=X+1;\text{while }Y=0\text{ do }X:=X+1\text{ end) else skip fi})\rangle \\ &\to &\langle\varnothing[Y\mapsto 1], \text{par skip with skip end}\rangle \\ &\to &\langle\varnothing[Y\mapsto 1], \text{skip}\rangle \end{split} \qquad \qquad \text{by (Par2)}$$

Let  $\sigma := \varnothing[Y \mapsto 1]$ , then  $\sigma(X) = 0$ , Q.E.D.

- (3) The following property holds: for all constant integer  $n \geq 0$ , there exists a state  $\sigma$ , such that  $\langle \varnothing, P \rangle \to_* \langle \sigma, \mathsf{skip} \rangle$  and  $\sigma(X) = n$ . First, we prove the lemma Lemma 1 that for all constant integer  $n \geq 0$ ,  $\langle \varnothing, P \rangle \to_* \langle \varnothing [x \mapsto n], P \rangle$  by induction.
  - Base case: For n = 0, the proof is trivial since  $\emptyset = \emptyset[x \mapsto 0]$ .
  - Induction step: Suppose it holds when n = k, i.e,  $\langle \varnothing, P \rangle \to_* \langle \varnothing[x \mapsto k], P \rangle$ .

```
\begin{array}{ll} \langle\varnothing,P\rangle \\ \to \langle\varnothing[x\mapsto k],P\rangle & \text{by induction hypothesis} \\ \to \langle\varnothing[x\mapsto k], \operatorname{par}\ (Y:=1) \ \operatorname{with}\ (\operatorname{if}\ Y=0 \ \operatorname{then} \\ (X:=X+1; \operatorname{while}\ (Y=0) \ \operatorname{do}\ X:=X+1 \ \operatorname{end}) \ \operatorname{else}\ \operatorname{skip}\ \operatorname{end}\rangle & \operatorname{by}\ (\operatorname{Par2}) \\ \to \langle\varnothing[x\mapsto k], \operatorname{par}\ (Y:=1) \ \operatorname{with} \\ (X:=X+1; \operatorname{while}\ Y=0 \ \operatorname{do}\ X:=X+1 \ \operatorname{end}) \ \operatorname{end}\rangle & \operatorname{by}\ (\operatorname{Par2}) \\ \to \langle\varnothing[x\mapsto k+1], \operatorname{par}\ (Y:=1) \ \operatorname{with} \\ (\operatorname{skip}; \operatorname{while}\ Y=0 \ \operatorname{do}\ X:=X+1 \ \operatorname{end}) \ \operatorname{end}\rangle & \operatorname{by}\ (\operatorname{Par2}) \\ \to \langle\varnothing[x\mapsto k+1], P\rangle & \operatorname{by}\ (\operatorname{Par2}) \end{array}
```

Now we can prove the property holds with Lemma 1:

```
\begin{array}{ll} \langle\varnothing,P\rangle \\ \to \langle\varnothing[x\mapsto n],P\rangle & \text{by Lemma 1} \\ \to \langle\varnothing[x\mapsto n][Y\mapsto 1], \text{par skip with (while }Y=0 \text{ do }X:=X+1 \text{ end) end}\rangle & \text{by (Par1)} \\ \to \langle\varnothing[x\mapsto n][Y\mapsto 1], \text{par skip with} \\ (\text{if }Y=0 \text{ then }(X:=X+1; \text{while }Y=0 \text{ do }X:=X+1 \text{ end) else skip fi})\rangle & \text{by (Par2)} \\ \to \langle\varnothing[x\mapsto n][Y\mapsto 1], \text{par skip with skip end}\rangle & \text{by (Par2)} \\ \to \langle\varnothing[x\mapsto n][Y\mapsto 1], \text{skip}\rangle & \text{by (ParDone)} \end{array}
```

With the deriving trace above, we find the state  $\sigma = \varnothing[x \mapsto n][Y \mapsto 1]$  that holds the property, Q.E.D.

(4) In the Big-step operational semantics, we must executing "entirely"  $c_1$  or  $c_2$  first when executing a command  $c_1$  combines  $c_1$  and  $c_2$ , but the par command supports executing some(non-deterministic numbers of) "steps" for  $c_1$  or  $c_2$ , then executing the other one, then the rest "steps" of the first one, so it can't be expressed by Big-step semantics.