

# Cascade Dynamics Modeling with Attention-based Recurrent Neural Network

## Abstract

## 1 Introduction

The emergence of Social Media platform has revolutionized dissemination of information via its great ease in information delivery, accessing and filtering. In Social Media, pieces of information, posted by users spontaneously, propagate along social relationships between users, explicit or implicit, forming cascade dynamics. Modeling cascade dynamics is the fundamental to understand information propagation and launch series of social applications, i.e., viral marketing, popularity prediction and rumor detection.

In order to model the cascades, many information diffusion models have been proposed in the literature, such as discrete-time independent cascade model, discrete-time linear threshold model and continuous-time independent cascade model. A diffusion model is often associated with a directed graph and parameterized by propagation probabilities defined on edges or interpersonal influence defined on nodes. Kempe et al. [Kempe *et al.*, 2003] implemented the linear threshold model by a degree-modulated edge weight. Goyal et al. [Goyal *et al.*, 2010] provided two static models in terms of Bernoulli distribution and Jaccard index, and learned temporal factors to maximize likelihood of cascades. Gomez-Rodriguez et al. [Gomez-Rodriguez *et al.*, 2013] estimated a transmission function over time associated with each edge, assuming that observed diffusion time is independently sampled according to the transmission function. Wang et al. [Wang *et al.*, 2015] captured users' influence and susceptibility in latent space and model the transition probabilities of users' activated status according to users' latent characteristics.

However, the information diffusion is too complicated to easily determine the most appropriate diffusion model in practice. The misspecified diffusion model would lead to large model bias. Besides, the diffusion network structure can be also hidden from us. We need to learn the underlying diffusion network structure with respect to parameter estimation in diffusion model at most cases. It often leads to under-determined high computational cost where specialized methods need to be designed.

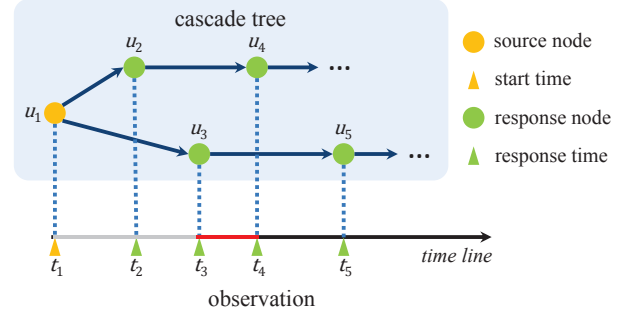


Figure 1: An example of crossing dependency problem in sequence modeling.

Instead of learning a particular diffusion model, sequence modeling can be used to discover complex dependencies and patterns between the following propagation and current histories, thus modeling the cascade dynamics. For example, Manavoglu [Manavoglu *et al.*, 2003] proposed a user behavior generation method based on maxent and Markov mixture model. Recently, the efficient way of sequence modeling can be achieved by Recurrent Neural Network (RNN) [Goldberg and Levy, 2014; Mikolov *et al.*, 2010; Sundermeyer *et al.*, 2012]. Du et al. [Du *et al.*, 2016] proposed a RNN framework, called RMTTP, where the embedding of hidden units are used to parameterize the generation process of propagations. The benefits of sequence modeling are two-fold: 1) It avoids strong prior knowledge on diffusion model; 2) It has no limitation on hidden social network structure.

Despite of advantages in sequence modeling, the traditional methods may meet “crossing dependency” problem in cascade dynamics modeling, mainly caused by tree structure of propagation. Fig. 1 shows the crossing dependency dilemma in practical. As consideration of tree structure of propagations, we need to construct the dependence between the 1st and the 3rd propagations, causing redundant information usage passing from the 2nd one (the transitive dependence marked as grey in time line). If we abandon information inherited from the 2nd propagation, the generation of 4th propagation will lose useful information from the 2nd one as the corresponding user  $u_4$  is directly connected to  $u_2$  on the cascade tree (the transitive dependence marked as red in time

line). In this paper, we propose a Cascade dYnamics modeling with AttentionN-based RNN (CYAN-RNN) to better apply RNN in cascade dynamics modeling. We construct a dynamic pooling layer above hidden units of RNN, aggregating all embeddings of hidden units until current step. The generation of following propagation is relied on the aggregated embedding. We choose attention mechanism [Bahdanau *et al.*, 2014] to realize the pooling layer, where the parameters can be automatically learned by maximizing the likelihood of cascade. More specifically, our work makes the following contributions:

- We exploit crossing dependency problem existed in traditional sequence modeling when applied to model cascade dynamics and proposed attention-based RNN to solve the problem;
- We propose attention mechanism with coverage to further improve the performance of attention mechanism;
- The alignments in proposed attention mechanism are homologous to the underlying structure of propagation.

We conduct extensive experiments on synthetic and real-world datasets. Compared with several widely-used methods in cascade dynamics modeling, our proposed models consistently outperform them at predicting next propagations.

## 2 Model

### 2.1 Background

Firstly, we introduce RNN in cascade dynamics modeling. A cascade  $S = \{x_i | x_i = (t_i, u_i), u_i \in U \text{ and } t_i \in R^+\}_{i=1}^N$  is a set of propagations ascendingly ordered by time, where  $U$  refers to all possible activated users in cascade. The  $i$ -th propagation  $x_i$  is recorded as a tuple  $(t_i, u_i)$  referring to activated time and user respectively. Then, RNN can be used to simulate the generation of propagations by  $N$  steps sequentially. At each step  $k$ , the  $k$ -th propagation are fed into hidden units of RNN by nonlinear transformation  $f$ , jointly with the outputs from the last hidden units, updating the hidden state  $h_k = f(x_k, h_{k-1})$ . The representation of hidden state  $h_k$  can be considered as embedding of the  $k$ -th propagation, and the output is trained to predict the next propagation  $x_{k+1}$  given  $h_k$ . In other words, we use RNN to maximize the likelihood probability of cascade,

$$p(S) = \prod_{k=1}^N p(x_{k+1} | h_k). \quad (1)$$

Based on sufficient observed cascades, RNN can find an optimal solution for Eq. (1) in a huge functional space, avoiding the limits of prior knowledge on diffusion model. Thus, RNN can be a promising method to capture the complex propagation patterns in cascade dynamics modeling.

However, RNN suffers crossing dependency problem caused by tree structure propagations in cascade, shown in Fig. 1. One of the possible solutions is to construct a dynamic pooling layer above the hidden units at each  $k$  step in order to build the direct dependence between the next propagation and all previous propagation embeddings, i.e.,

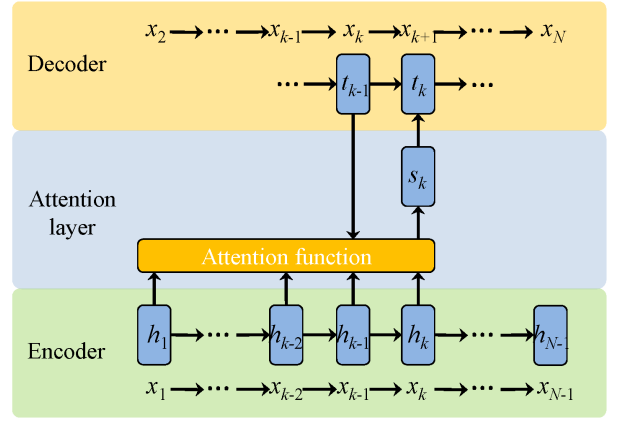


Figure 2: The architecture of CYAN-RNN. The figure presents the case when modeling the generation of the  $(k+1)$ -th propagation. The sequence at bottom is the observed propagations and the sequence at top is the predictive propagations. The blue rectangles refer to representations of hidden units. The yellow rectangle is a general form of attention function  $s_k = \text{AttentionFunc}(t_{k-1}, h_k)$ .

$p(x_{k+1} | \text{pooling}(h_1, \dots, h_k))$ . The simplest way of pooling can be formalized as

$$s_k = \sum_{i=1}^k \alpha_{k,i} h_i, \quad \text{s.t.} \quad \sum_{i=1}^k \alpha_{k,i} = 1, \quad (2)$$

where the weight  $\alpha_{k,i}$  refers to the proportion of dependence between next propagation and the  $i$ -th propagation embedding. Mean pooling and max pooling are two popular choices for setting weights which takes the mean or element-wise max of all hidden states. However, these two methods still ignore the structure information in cascades. Intuitively, the weights can be settled according to the cascade tree, yet we can hardly obtain the tree structure, even given the social relationships. Next we propose attention mechanism to implement the pooling layer.

### 2.2 CYAN-RNN

Attention mechanism is originally used in neural machine translation (NMT), firstly proposed by Bahdanau *et al.* [Bahdanau *et al.*, 2014]. In the scenario of attention-based NMT, the target words are translated by the words in source sequence and attention mechanism can automatically learn the alignment between source words and target words []. However, there remains two problems when applying attention mechanism in cascade dynamics modeling: 1) Only one single sequence can be observed in a cascade; 2) The size of alignments in attention mechanism needs to be updated when the context  $\{h_1, \dots, h_k\}$  is growing along with the proceeding propagations.

Thus, we propose CYAN-RNN for cascade dynamics modeling, applying a dynamic attention mechanism to solve the crossing dependency problem. The architecture of CYAN-RNN is shown in Fig. 2. In CYAN-RNN, we conduct the observed cascade as the source sequence. The each propagation

in target sequence is the following propagation corresponding to the propagation in source sequence. The objective is to jointly predict the next propagation and learn the alignment of dependence between the next propagation and historical propagation embeddings. According to the architecture, we define each conditional probability in Eq. (1) as:

$$p(x_{k+1}|x_1, \dots, x_k) = g(x_k, t_k, s_k), \quad (3)$$

where  $g$  is an objective function defined the joint probability on both activated time and user. Here we use the objective function formalized in RMTTP [Du *et al.*, 2016]. The  $t_k$  is a hidden state related to the  $k$ -th step of target sequence, computed by

$$t_k = f(x_k, t_{k-1}, s_k), \quad (4)$$

where  $f$  is a nonlinear activation function, which can be either a *tanh* or a *sigmoid* function. The context vector  $s_k$  is calculated by Eq. (2) where the weights  $\alpha_{k,\cdot}$  is updated by the growth context  $\{h_1, \dots, h_k\}$  and  $t_{k-1}$ . We can get the weights

$$\alpha_{k,i} = \frac{\exp(e_{k,i})}{\sum_{j=1}^k \exp(e_{k,j})}, \quad (5)$$

where

$$e_{k,i} = a(t_{k-1}, h_i) = v^T \tanh(Wt_{k-1} + Uh_i) \quad (6)$$

scores how well the dependence between the  $i$ -th propagation embedding and the output at the  $k$ -th step. The implementation of attention mechanism in proposed model is briefly represented in Fig. 3(a).

Given a collection of cascades  $\mathcal{C} = \{S_m\}_{m=1}^M$ , we suppose that each cascade is independent on each other. As a result, the logarithmic likelihood of a set of cascades is the sum of logarithmic likelihood of individual cascade. In this way, the negative logarithmic likelihood of the set of cascades can be estimated as

$$\mathcal{L}(\mathcal{C}) = - \sum_{m=1}^M \sum_{k=1}^{N_m} \left[ g(x_k^{(m)}, t_k^{(m)}, s_k^{(m)}) \right], \quad (7)$$

and we can learn parameters of the proposed model by minimizing the negative logarithmic likelihood. With the attention mechanism, the alignment weights  $\alpha_{k,\cdot}$  can be directly updated through the cost function, thus exploit an expected representation  $s_k$  over all historical propagation embeddings for each step  $k$ .

### 2.3 CYAN-RNN with Coverage

Although CYAN-RNN is proposed to better model cascade dynamics in consideration of crossing dependency problem, the proposed model still suffer *over-dependent* and *under-dependent* problems in attention mechanism. As the example shown in Fig. 1, if the user  $u_1$  is an influential user and his propagation is key to the cascade, the propagation activated by  $u_4$  may prefer to depend more on embedding of  $(t_1, u_1)$  instead of  $(t_2, u_2)$ . Here embedding of  $(t_1, u_1)$  is over-dependent and embedding of  $(t_2, u_2)$  is under-dependent. In practice, it is a common phenomenon that users may have a higher probability activated by recent propagation than past

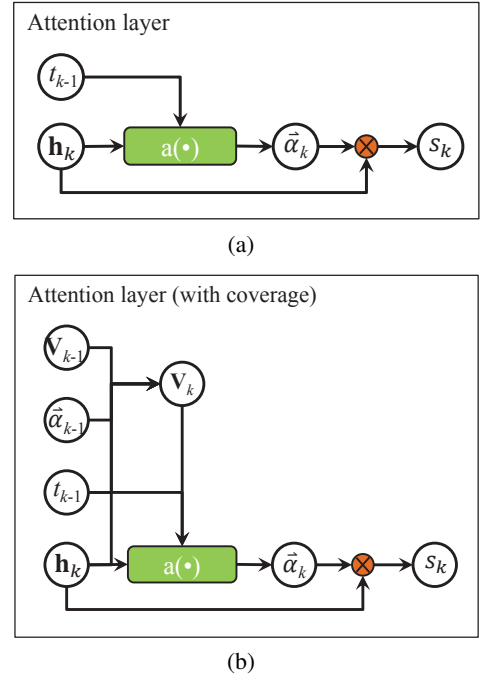


Figure 3: Two kinds of implementation on attention layer. (a) The attention mechanism applied in CYAN-RNN; (b) The attention mechanism with coverage applied in CYAN-RNN (cov). Note that  $\mathbf{h}_k = (h_1, \dots, h_k)$  is matrix assembled by all historical propagation embeddings at step  $k$ , and  $\mathbf{V}_k = (V_1, \dots, V_k)$  is a coverage matrix containing all coverage vectors at step  $k$ .

ones [] and we also conduct experiments to illustrate it (see section 4).

The two problems are caused by memoryless of dynamic attention mechanism. Inspired by linguistic coverage model [Tu *et al.*, 2016], we formulate the general form of coverage, keeping historical alignments so as to release the over-dependent and under-dependent problems. The  $k$ -th step of coverage is defined as

$$V_{k,i} = f(V_{k-1,i}, \alpha_{k-1,i}, t_{k-1}, h_i). \quad (8)$$

Remarkably, as the increasing propagations and alignments,  $V_{k,k}$  and  $\alpha_{k,k}$  have no corresponding values in  $V_{k-1,\cdot}$  and  $\alpha_{k-1,\cdot}$ . Instead we fill up with zero in our work. At each step  $k$ , the  $k$ -th coverage serves an additional input to the attention mechanism, providing complementary information of that how about the dependencies of propagation embeddings are in the past. The rewritten alignment calculation in Eq. (6) by coverage can be formalized<sup>1</sup> as

$$\begin{aligned} e_{k,i} &= a(t_{k-1}, h_i, V_{k,i}) \\ &= v^T \tanh(Wt_{k-1} + Uh_i + ZV_{k,i}). \end{aligned} \quad (9)$$

<sup>1</sup>If we use the last coverage  $V_{k-1,\cdot}$  instead of  $V_{k,\cdot}$  (like [Tu *et al.*, 2016]) to update  $e_{k,\cdot}$  at each step  $k$ , we will lose certain coverage information and cause unbalanced calculation on  $k$ -th propagation embedding, proved by our preliminary experiments.

We expect that the alignment weights would be focus more on recent propagation embeddings. The expectation will be validated in section 4.

## 2.4 Window Size

Practically, a cascade may last long and the propagation length would be huge, causing an extreme computation cost when applying dynamic attention mechanism proposed in CYAN-RNN. According to preference of users' interests on recent propagations, we consider a hyper-parameter, symbolized by *window size*  $l$ , limiting the size of alignments so that the predictive task would only depend on last  $l$  propagations. Empirically, we set  $l = 200$  at most cases.

## 3 Optimization

In this section, we introduce the learning process of CYAN-RNN. The  $k$ -th propagation are transformed into vectors as inputs including user embedding and temporal features. The user embedding matrix related to activated users is learned along with the training process. The temporal features related to activated time are formalized, including logarithm time interval  $\log(t_k - t_{k-1})$  and discretization of numerical attributes on year, month, day, week, hour, minute and second. We adopt GRU [Chung *et al.*, 2014] to encode the inputs of source sequence to event embeddings  $h$ . We apply back-propagation through time (BPTT) [Chauvin and Rumelhart, 1995] for parameter estimation. The parameters are iteratively updated by Adam [Kingma and Adam, 2015], an efficient stochastic optimization algorithm with mini-batch techniques. We also employ early stopping method [Prechelt, 1998] to prevent overfitting. The stopping criterion is achieved when the performance has no more improvement in validation set. For speeding up the convergence, we use orthogonal initialization method [Henaff *et al.*, 2016] in training process.

## 4 Experiments

In this section, we conduct empirical experiments to demonstrate the effectiveness of CYAN-RNN on cascade dynamics modeling. We compare the proposed model to the state-of-the-art cascade dynamics modeling methods on both synthetic and real data showing that CYAN-RNN can better model next propagations and infer the dependence structure in cascades.

### 4.1 Baselines

Rare methods can predict next propagations completely including both next activated users and time. To better illustrate the performance of our proposed model, we choose the state-of-the-art models for either predicting next activated users or predicting next activated time for comparisons in two prediction tasks.

(1) Prediction of next activated user

- **CT Bernoulli** and **CT Jaccard** models [Goyal *et al.*, 2010]: They are continuous time propagation models. The propagation probabilities between two users are defined by Bernoulli or Jaccard distribution and the probabilities are decayed over time.

- **MC-1 Model**: The markov chain model is a classic sequence modeling method. Here we compare with markov chain with order one.

(2) Prediction of next activated time

- **Poisson process** model [Vere-Jones, 1988]: It is a stochastic point process model, depicting the time consuming from one propagation to another. The intensity function is parameterized by a constant.
- **Hawkes process** model [Hawkes, 1971]: It is a stochastic point process model where the intensity function is parameterized by

$$\lambda(t) = \lambda(0) + \alpha \sum_{t_i < t} \exp\left(-\frac{t - t_i}{\sigma}\right), \quad (10)$$

where  $\sigma = 1$  and  $\lambda(0)$  is a intrinsic rate when  $t = 0$ .

We also compare with the model that has the ability to generate both mark and temporal sequences.

- **RMTPP** [Du *et al.*, 2016]: Recurrent marked temporal point process (RMTPP) is a method which can models both next activated user and time based on RNN.

### 4.2 Synthetic Data and Results Analysis

The goal of the experiments on synthetic data is to understand how the underlying network structure and propagation model affect our proposed model on both next propagation prediction and dependency structure inference.

**Experimental setup.** We use Kroneck generator [Leskovec and Faloutsos, 2007] to examine two types of networks with directed edges: 1) the Core-Periphery (CP) network (Kroneck parameter matrix  $[0.962, 0.535; 0.535, 0.107]$ ), mimicing real-world social networks; 2) the Erdős-Rényi random (Random) network [Erdős and Rényi, 1960] ( $[0.5, 0.5; 0.5, 0.5]$ ). The incubation time from activated user to another on networks are sampled from two distributions: 1) mixed exponential (Exp) distributions, controlled by rate parameters  $\alpha$  randomly choosing in  $[0.01, 10]$ ; 2) rayleigh (Ray) distribution, controlled by scale parameters  $\beta$  randomly choosing in  $[0.01, 10]$ . To generate a cascade, we randomly choose a root user as the source of the cascade at first. For each neighbor of the activated user, its activated time is determined by incubation time. The propagation process will further continue in breadth-first fashion until the overall time exceed the predefined observation time window or no new user being activated. In our experiments, we set the total number of users  $|U| = 32$  and the maximal observation time  $\max\{t\} = 100$ . As a result, four kinds of datasets are obtained by the different unions between network and cascade generation distribution, i.e., (CP, Exp), (CP, Rayleigh), (Random, Exp) and (Random, Rayleigh). The number of simulated cascades is up to 20,000 in each dataset, where we randomly pick up 80% of completed sequences for training and the rest sequences are divided into two parts equally as validation and test set respectively.

**Evaluation metrics.** We regard the prediction task on next activated user as a ranking problem with respect to transition probabilities. The predictive performance is evaluated

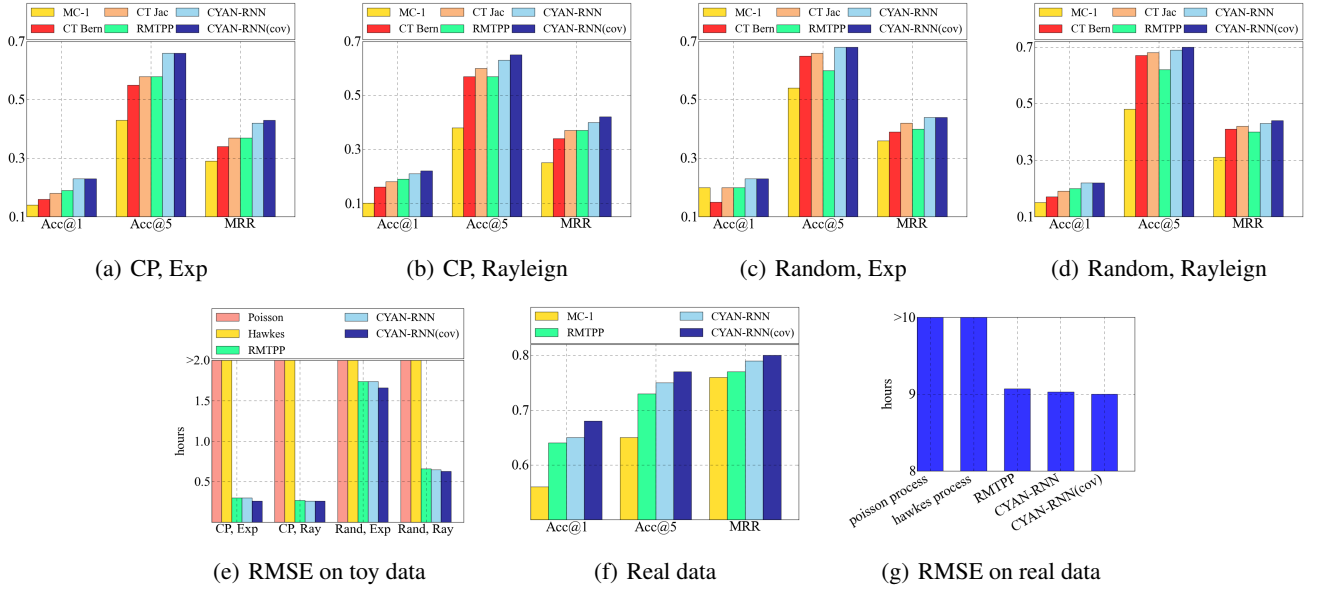


Figure 4: Comparisons on baselines and our proposed models. (a)~(e) The predictions of next activated user and time on toy data produced from different networks and propagation models; (f) and (g) The predictions of next activated user and time on real data.

by *Accuracy on top  $k$*  ( $\text{Acc}@k$ ) and *Mean Reciprocal Rank* (MRR) [Voorhees, 1999], functionized in top  $k$  and global perspectives. The larger values in  $\text{Acc}@k$  and MRR indicate the better performance. On the prediction of next activated time, we use Root Mean Square Deviation (RMSE) between estimated time and practical occurring time. The better performance means the small values in RMSE.

**Prediction results.** We conduct experiments on all four kinds of datasets. We firstly compare the predictive performance on next activated users. The results on predictions of next activated users and time are shown in Fig. 4(a)~(d). As shown in the figures, CYAN-RNN and CYAN-RNN(cov) perform consistently and significantly better than other baselines in metrics of  $\text{Acc}@1$ ,  $\text{Acc}@5$  and MRR, included in all datasets. The results indicate that our proposed methods can better predict next activated users. It is interested that RMTTP has lower accuracy or MRR values than CT Bern and CT Jac in some cases shown in Fig. 4(b), 4(c) and 4(d), however, CYAN-RNN and CYAN-RNN(cov) can still performs better. It clearly demonstrates that the proposed attention mechanism has the ability to directly capture past propagation information which may be “forgetten” by sequential transitions in RNN, called crossing dependency problems in CDM. Fig. 4(e) compares the predictive performance on RMSE. We can observe that Poisson and Hawkes processes are the worst modeling methods, obtaining the errors larger than 2 hours in all datasets. Meanwhile, the RMSE values are similar between RMTTP and CYAN-RNN. But CYAN-RNN(cov) can perform slightly better than RMTTP and CYAN-RNN in all datasets when predicting next activated time. Additionally, we can observe that CYAN-RNN(cov) consistently perform better or even than CYAN-RNN in two prediction tasks. It indicates that the coverage can help to efficiently utilize the

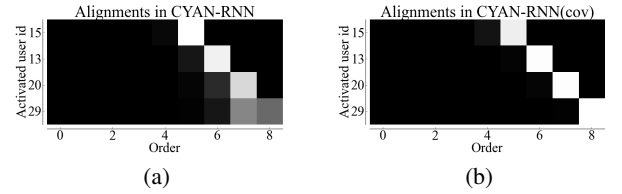


Figure 5: Sample alignments on a fragement of cascade. The y-axis is the users who will be activated next sequentially from top to bottom. The x-axis is the activated order related to next activated user in the cascade. Each pixel shows the weight  $\alpha_{k,i}$  related to  $i$ -th propagation embedding at each step  $k$ , in grayscale (0:black, 1:white). (a) the alignments inferred by CYAN-RNN; (b) the alignments inferred by CYAN-RNN(cov).

propagation embeddings in attention mechanism. Next we will exploit the answers how the coverage can help to boost predictive performance and lead to better inference on dependency structure.

**Alignment quality.** Firstly, we expect to check if coverage can reduce the over-dependent and under-dependent problem mentioned in section 2.3. Fig. 5(a) and 5(b) show an example. Every grid in each plot represents the alignment weights associated with propagation embeddings. The brighter grid refers to the larger weights corresponding to next propagation. From this we see which positions in the past propagations were considered more important when predicting next propagation. Comparing to the alignments in CYAN-RNN, we can observe that the alignments in CYAN-RNN(cov) concentrate more on unused propagation embeddings, which is

consistent to well-studied phenomenon in published works []. Moreover, we wonder if the inferred alignments is homologous to true propagation structures. Thus, we calculate alignments on each step of cascades in test data. We suggest that the propagation structure of next propagation can be inferred by the largest alignment at each step. We then get a matrix of statistic results based on all inferred structures. Every column in the matrix refers to the number of who mainly activate the propagation. As the high connection between propagation structure and network, the inferred structures would be the edges in network. Therefore, we normalize the matrix by rows, and check if the network edges can be classified by the matrix. As the inference results are same in other two datasets, we only show the AUC values computed by the models trained in dataset of (CP, Exp) and (Random, Exp), described in Table 1. The results from our proposed models are both worked in network inference. Besides, CYAN-RNN(cov) obtain better results of network inference than CYAN-RNN in two test data. It indicates that our proposed alignment mechanism can be naturally used in inference of hidden propagation structure, which may have some potential applications in practice, e.g., advertisement and recommendation.

Table 1: AUC values of network inference.

Network	CYAN-RNN	CYAN-RNN(cov)
CP	0.83	0.84
Random	0.95	0.96

### 4.3 Real Data and Result Analysis

**Experimental setup.** The real data is extracted from Sina Weibo, a Chinese microblogging website, spanning from June 1st, 2016 to June 30th, 2016. We focus the records in June 1st and extract users whose posting numbers are ranged in [100, 200]. Then we sort records according to the root message IDs posted by the filtered users in 30 days and arrange them ascendingly by posting time. We drop the cascades that the number of propagations is larger than 1,000. The long length of propagations may dominate the training process, however, rarely occurred in practice. Finally, the processed data contains 2964 users and 596,088 cascades. We use 536,240 sequences for training, 29,758 for validation and 30,005 for testing. The task is to predict next propagation, including activated user and time.

**Prediction results.** The results on predictions of next activate users and time are shown in Fig. 4(f) and 4(g). The hyper-parameters of CYAN-RNN and CYAN-RNN(cov) are setted up as following: learning rate is 0.0001; hidden layer size of encoder is 20; hidden layer size of decoder is 10; window size is 200; coverage size is 10; and batch size is 128. Note that we have no social network in extracted real data, thus we cannot compare our proposed models with CT Bern and CT Jac. CYAN-RNN and CYAN-RNN(cov) outperform the other baselines with higher MRR values and lower RMSE values for predicting both next activated users and time. Comparing to RMTTP, CYAN-RNN(cov) receives 6.25%, 5.48% and 3.90% relative increased performance on

Acc@1, Acc@5 and MRR respectively, and reduces 0.78% relative errors on RMSE. Comparing to CYAN-RNN, CYAN-RNN(cov) receives 4.62%, 2.67% and 1.27% relative increased performance on Acc@1, Acc@5 and MRR respectively, and reduces 0.33% relative errors on RMSE.

## 5 Conclusion

In this paper, we present the cascade dynamics modeling with attention-based RNN. As we know, it is a prior attempt on CDM based on RNN, which embed historical propagations into vectors and then determine the next propagation sequentially. Instead of traditional interpersonal influence modeling, RNN can be used to discover complex dependencies and patterns between the following propagation and current histories. However, RNN suffers crossing dependency problem when applying in CDM. To solve the problem, we propose attention mechanism above hidden units of RNN, named CYAN-RNN, aggregating all current historical embeddings. Thus, the generation of next propagation can directly depend on all current histories instead of transitive dependent way. Moreover, we propose CYAN-RNN(cov) to construct coverage on attention mechanism in order to solve over-dependent and under-dependent problem existed in CYAN-RNN. In experiments, we evaluate the effectiveness of our proposed model on both synthetic and real datasets. Experimental results demonstrate that our proposed models can consistently outperform compared modeling methods at both prediction tasks of next activated user and time. Additionally, CYAN-RNN(cov) performs better or even than CYAN-RNN on both synthetic and real datasets, proving that coverage can help to efficiently utilize historical embeddings in attention mechanism. Besides, we conduct experiments to exploit alignment quality. The results show that the alignments from our proposed models can reflect true propagation structures, which may be well applicable in practice.



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