



# [AI Theory&App] 04 Auto-encoders and Generative Adversarial Networks

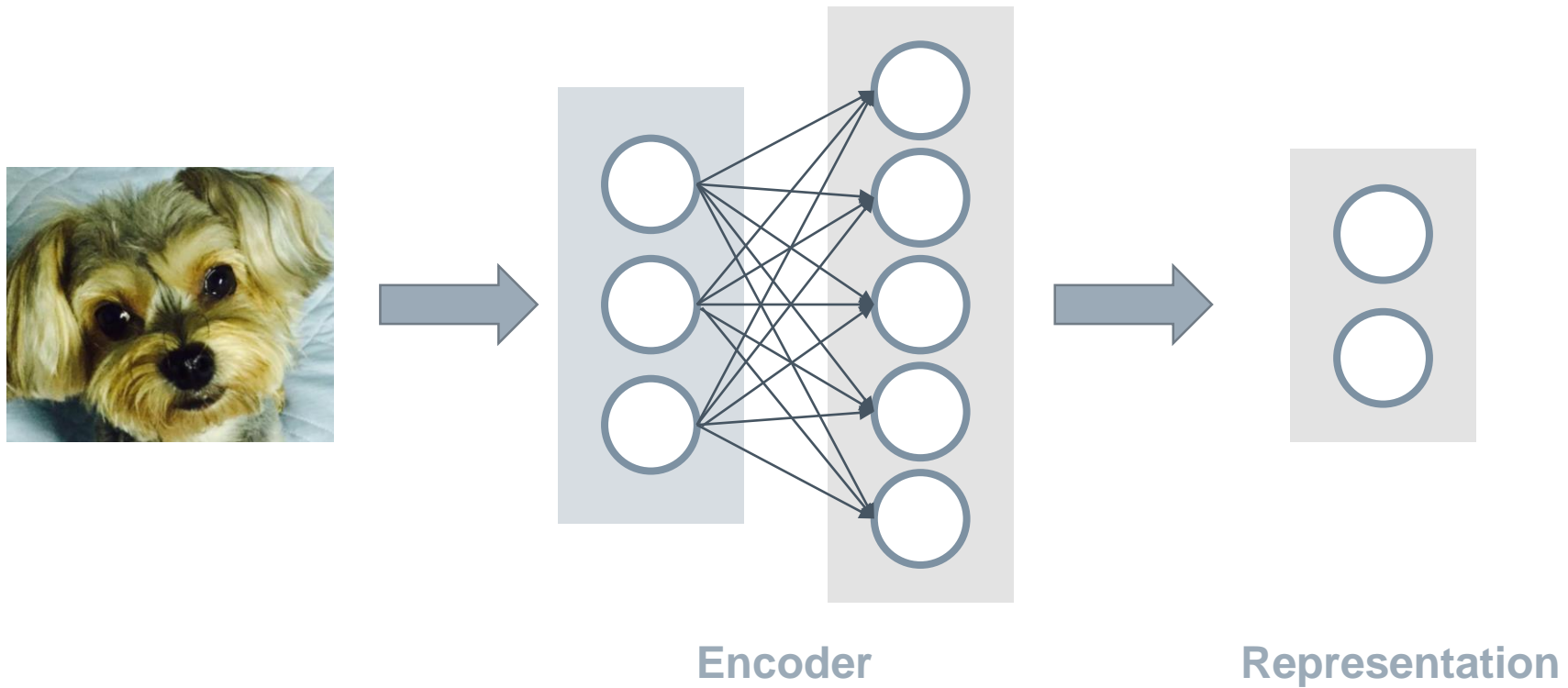
Instructor: Hao-Shang Ma

Department Of Computer Science And Information Engineering, NTUST

# Auto-encoder

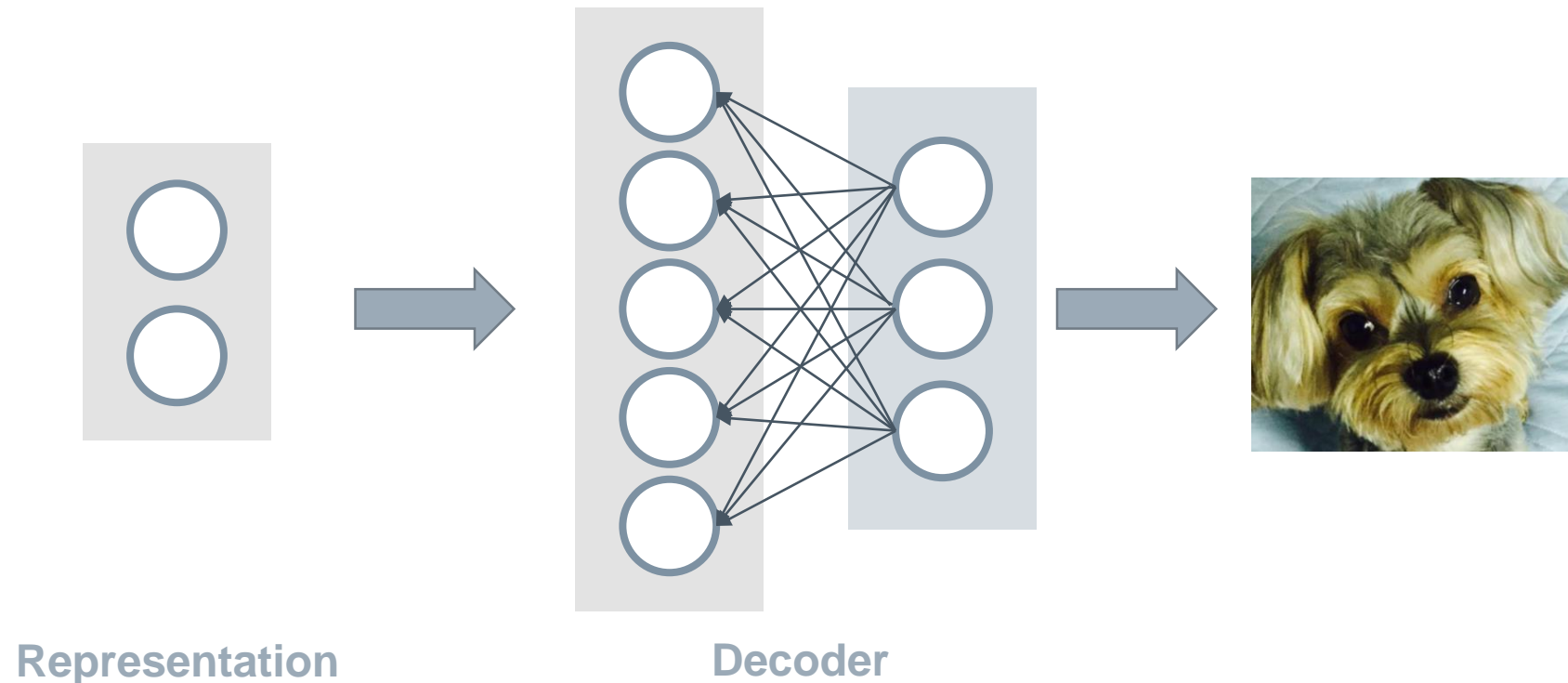
# What are Auto-encoders

- › Learn the latent representation for the input



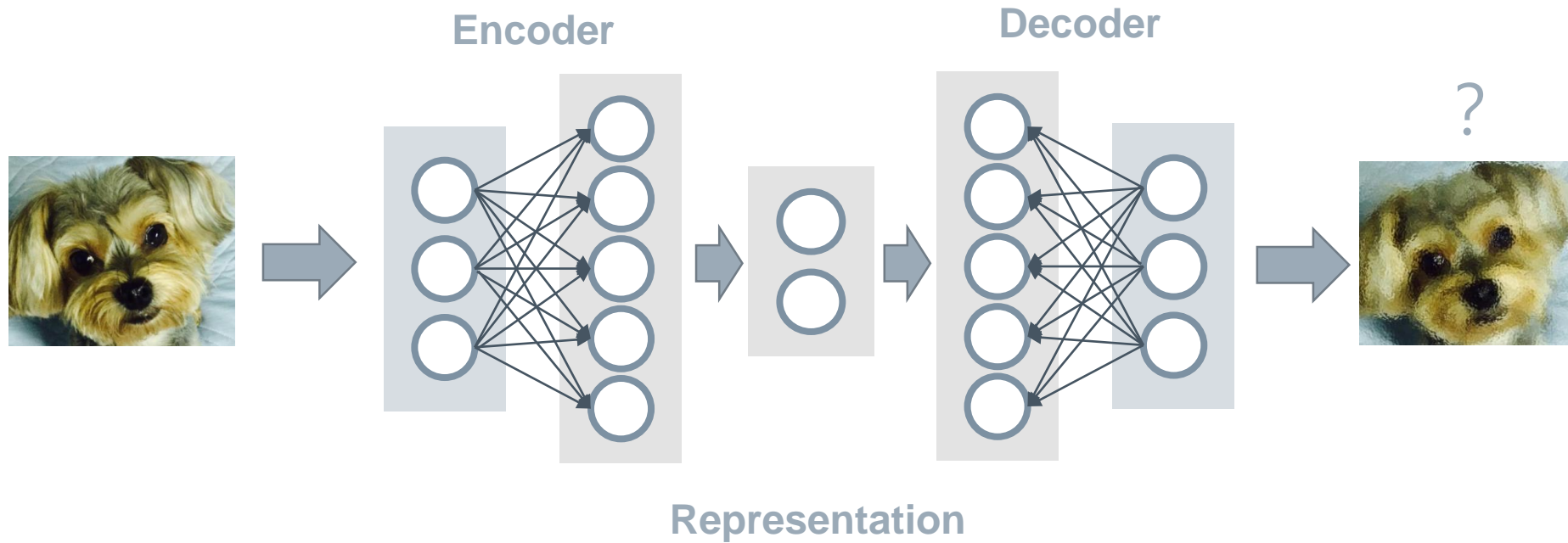
# How to Check the Code

- › Need a decoder to reconstruct the object by the latent representations



# The Goal of Auto-encoders

- › Check if the reconstructed object is similar to the original one



# The Goals of Encoders and Decoders

## › Encoder

- Make the latent representation approximately represent the object
- Build the representation for data

## › Decoder

- Make the latent representation approximately reconstruct the object
- Generate data from the representation

# How to Train Auto-encoders

- › The auto-encoders can be treated as a DNN
  - Input is a vector
  - Output is a vector with the same dimension as the input
  - Errors are the differences between inputs and outputs

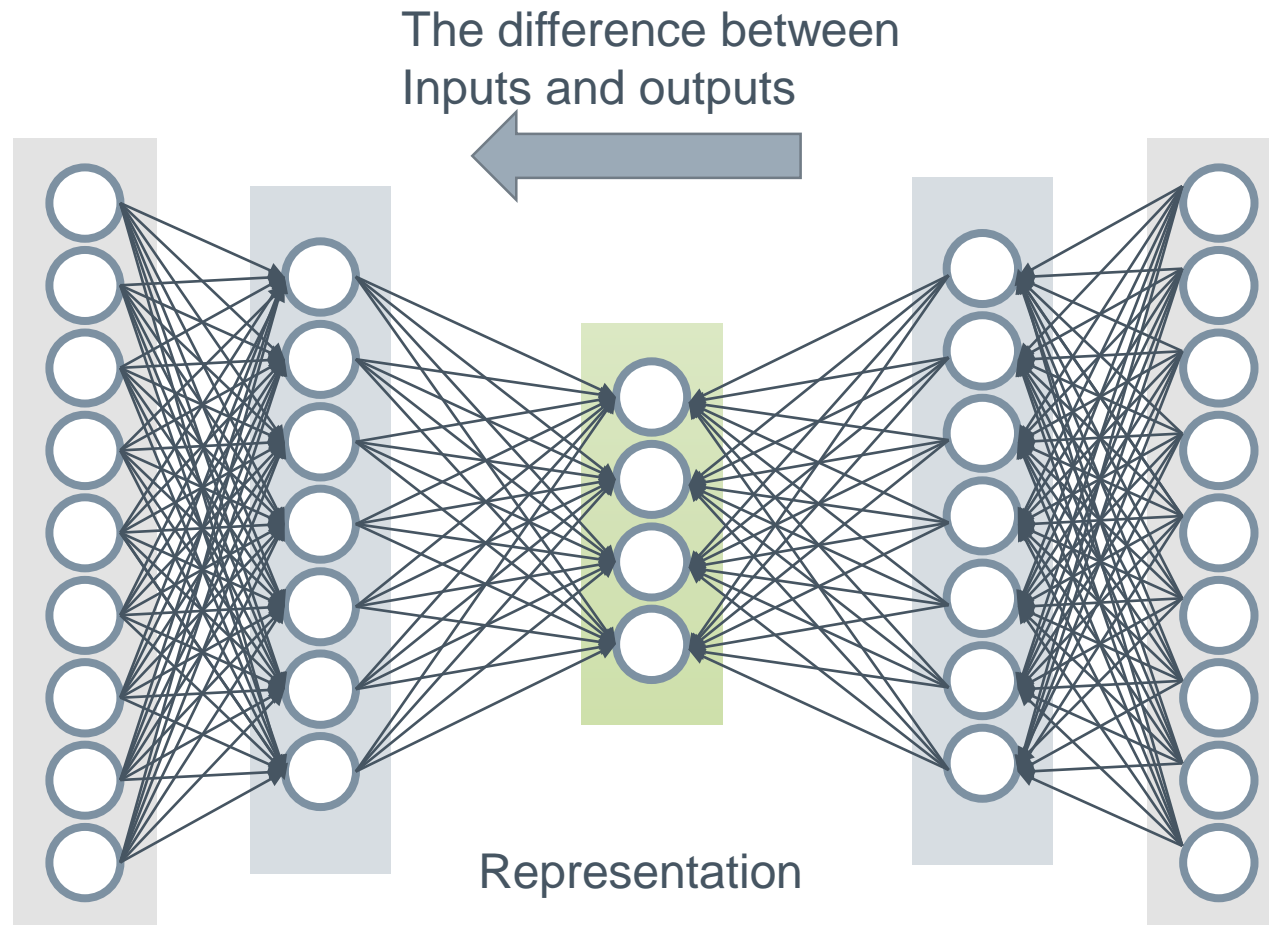
MSE

$$E = \sum_{i=1}^n \|y_i - \hat{y}_i\|^2$$

Cross Entropy

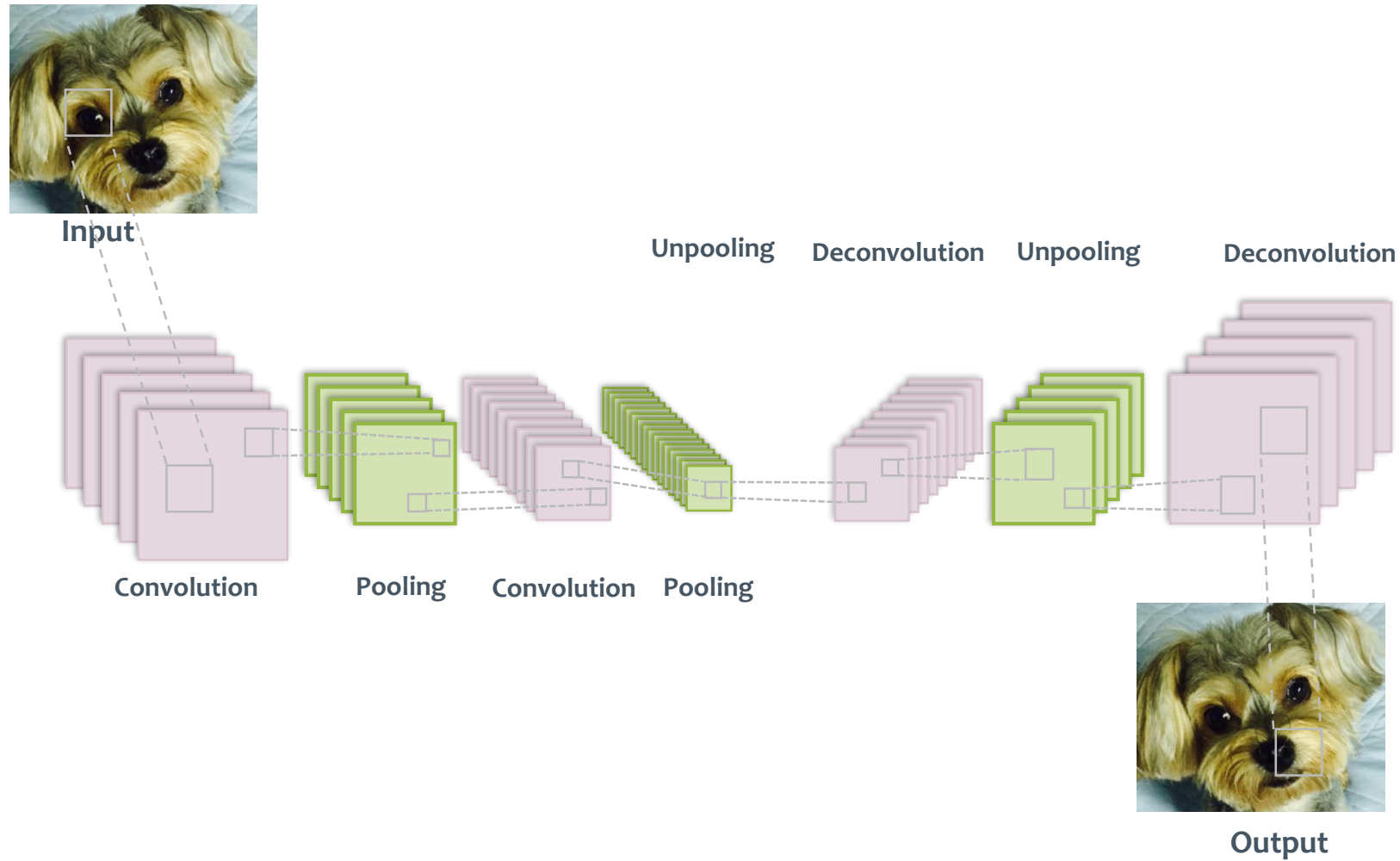
$$E = -\sum_{i=1}^n (y_i \log \hat{y}_i + (1 - y_i) \log(1 - \hat{y}_i))$$

# How to Train Auto-encoders

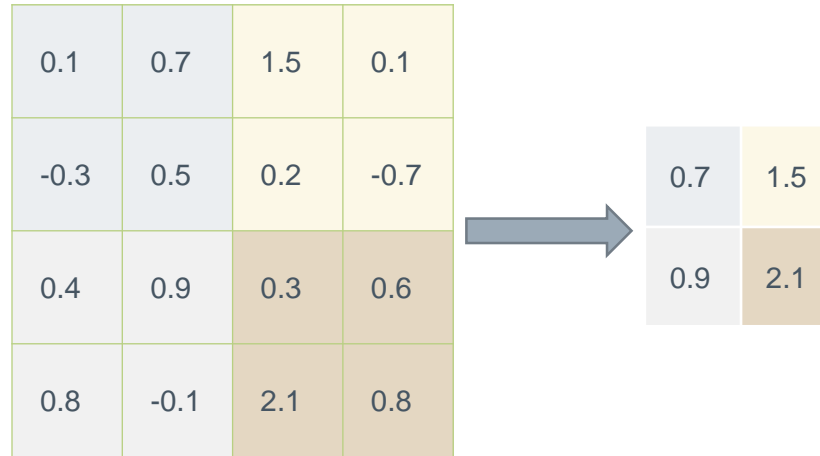




# Auto-encoder by CNN



# Unpooling pooling



## unpooling

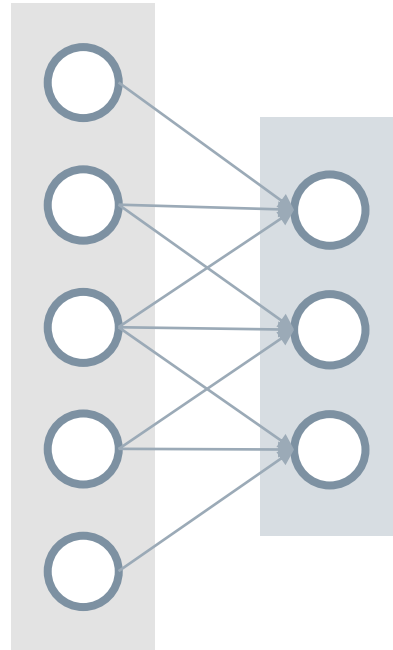


0	1	1	0
0	0	0	0
0	1	0	0
0	0	1	0

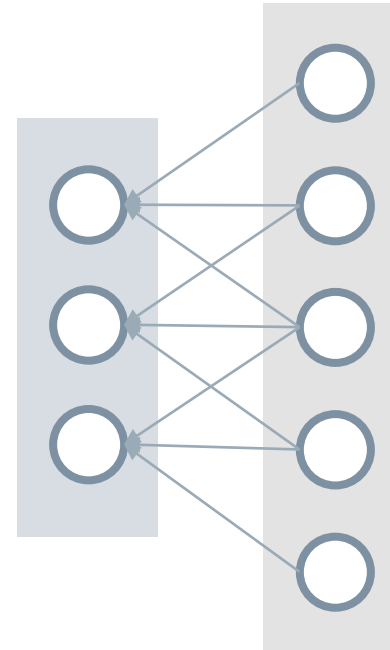
The pooling position

# Deconvolution

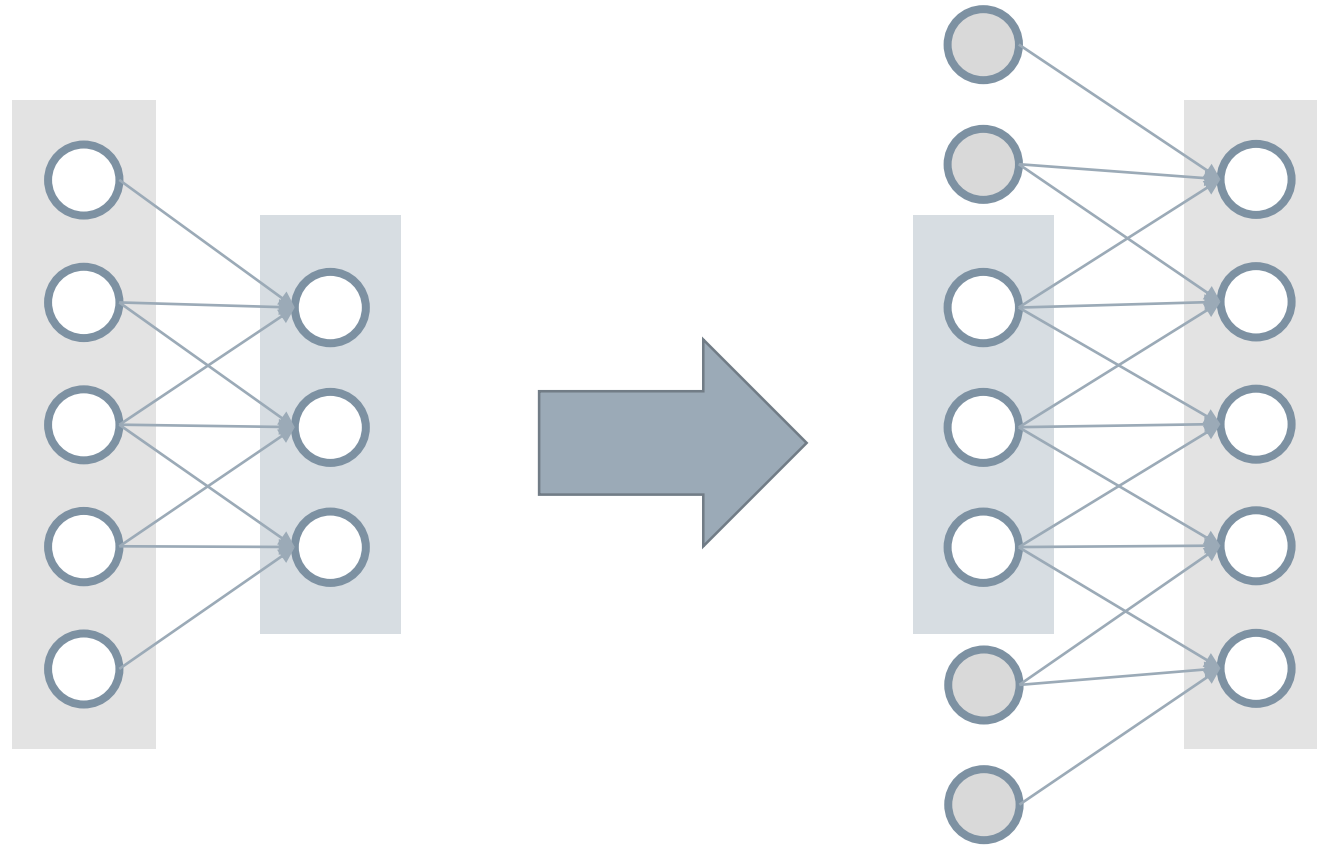
Convolution



Deconvolution

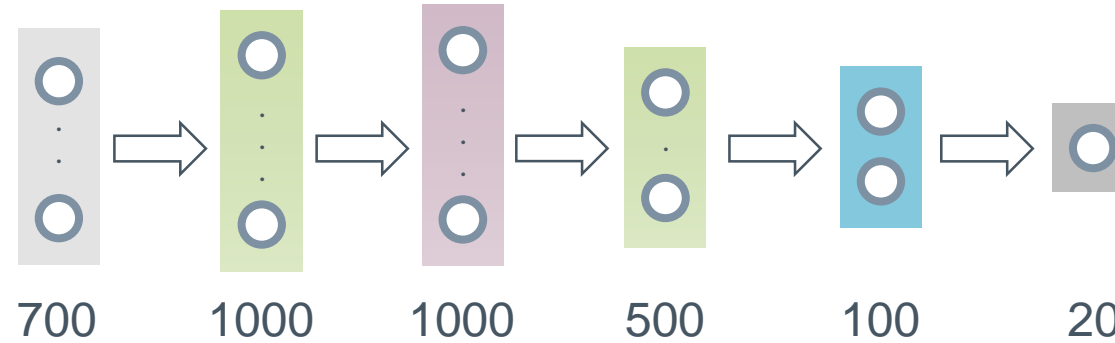


# Using Convolution to Do Deconvolution

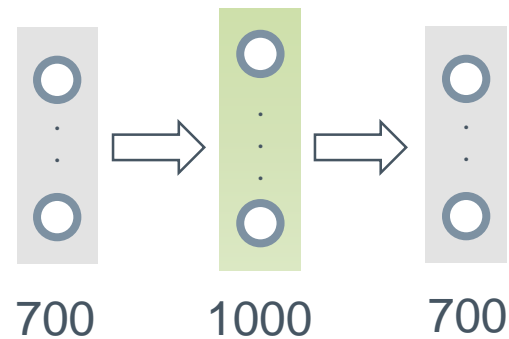


# Pre-trained DNN as Auto-encoders

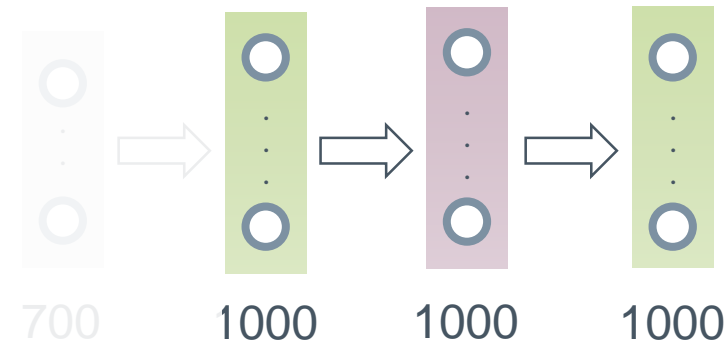
The target auto-encoder



1<sup>st</sup> training

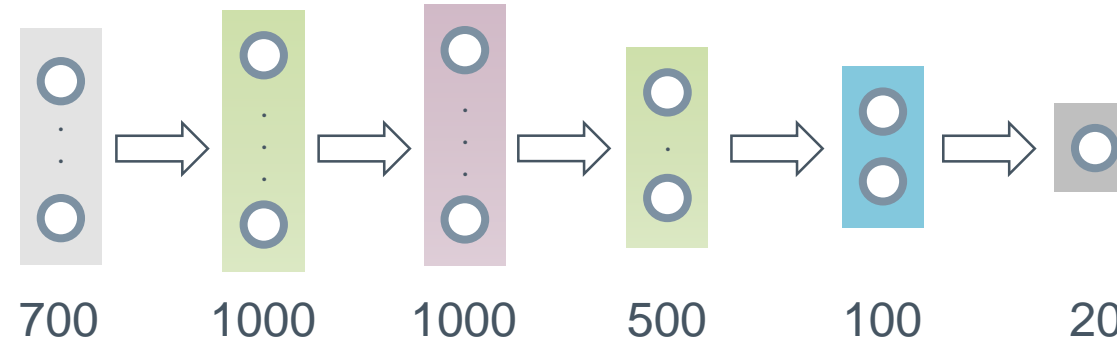


2<sup>nd</sup> training

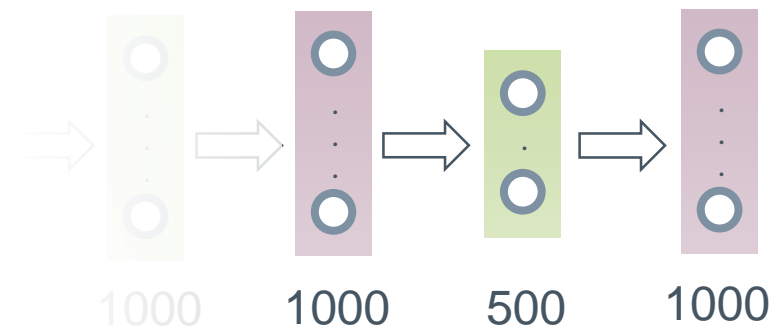


# Pre-trained DNN as Auto-encoder

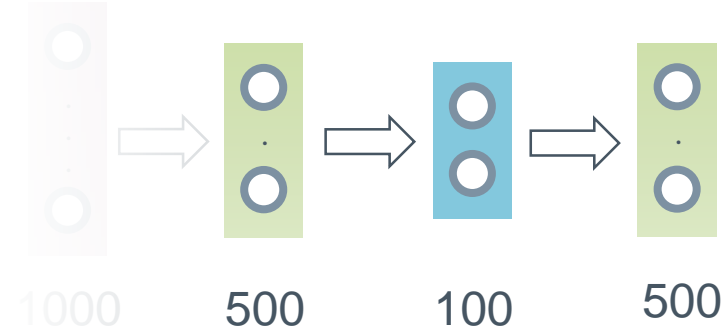
The target auto-encoder



3<sup>rd</sup> training

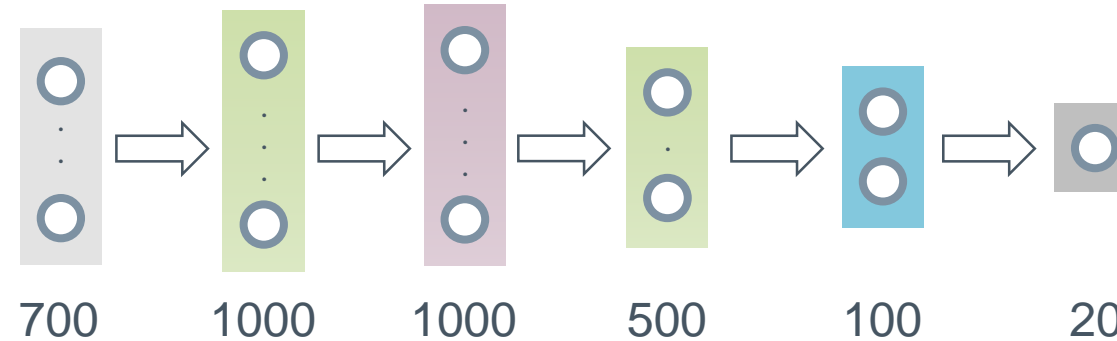


4<sup>th</sup> training

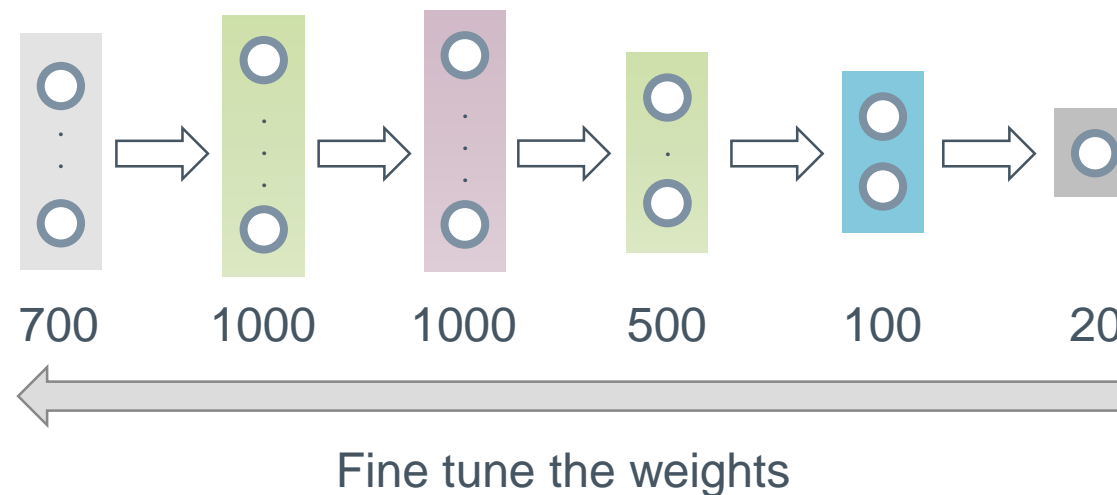


# Pre-trained DNN as Auto-encoders

The target auto-encoder



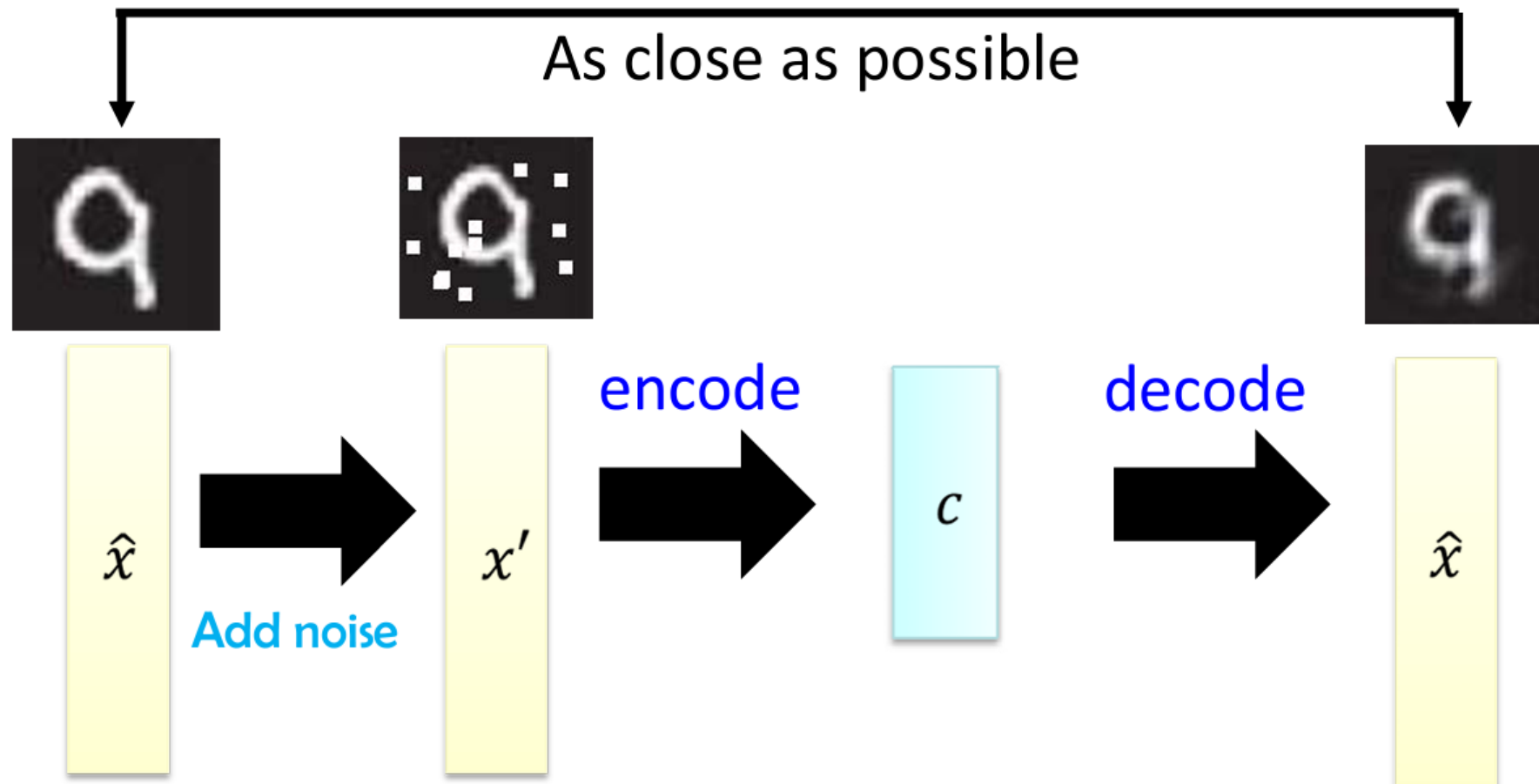
**5<sup>th</sup> training**



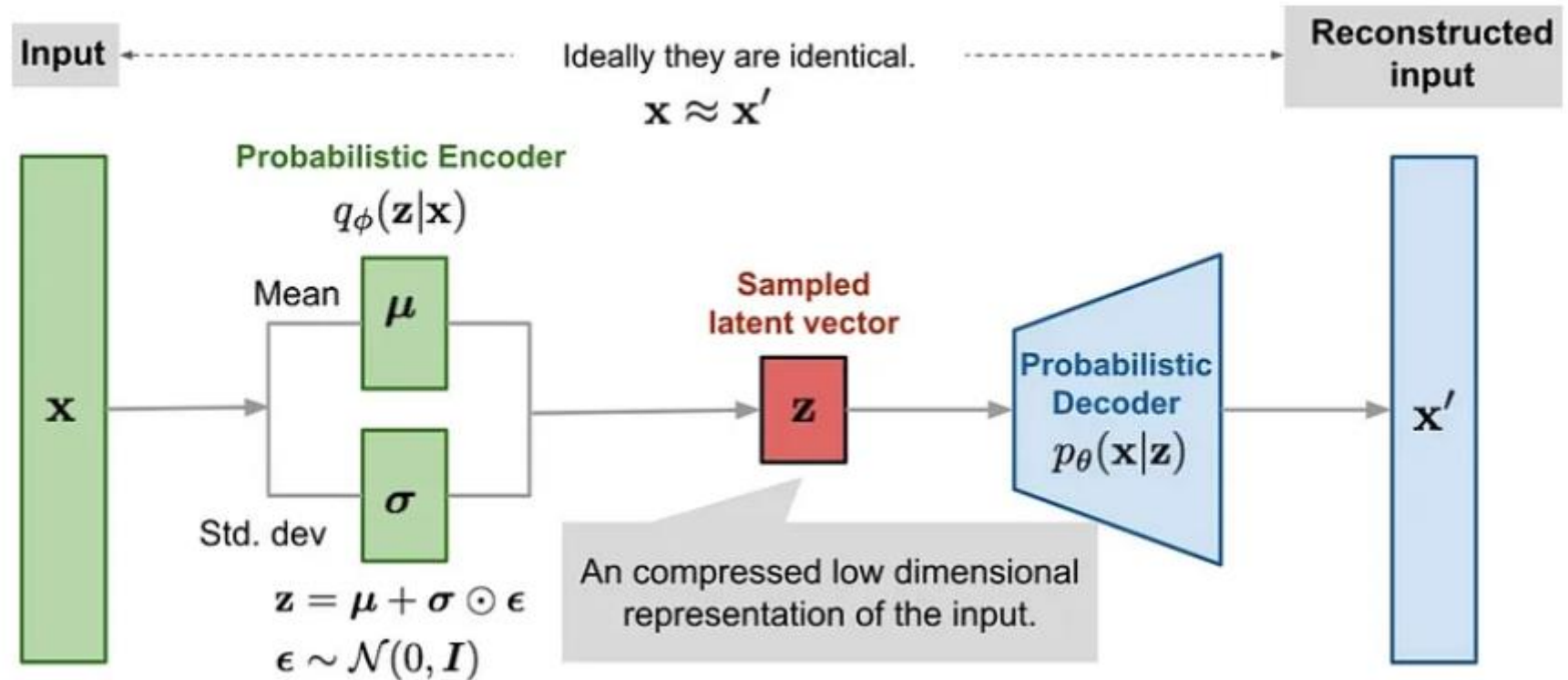
# Different Auto-encoder



# De-noising auto-encoder

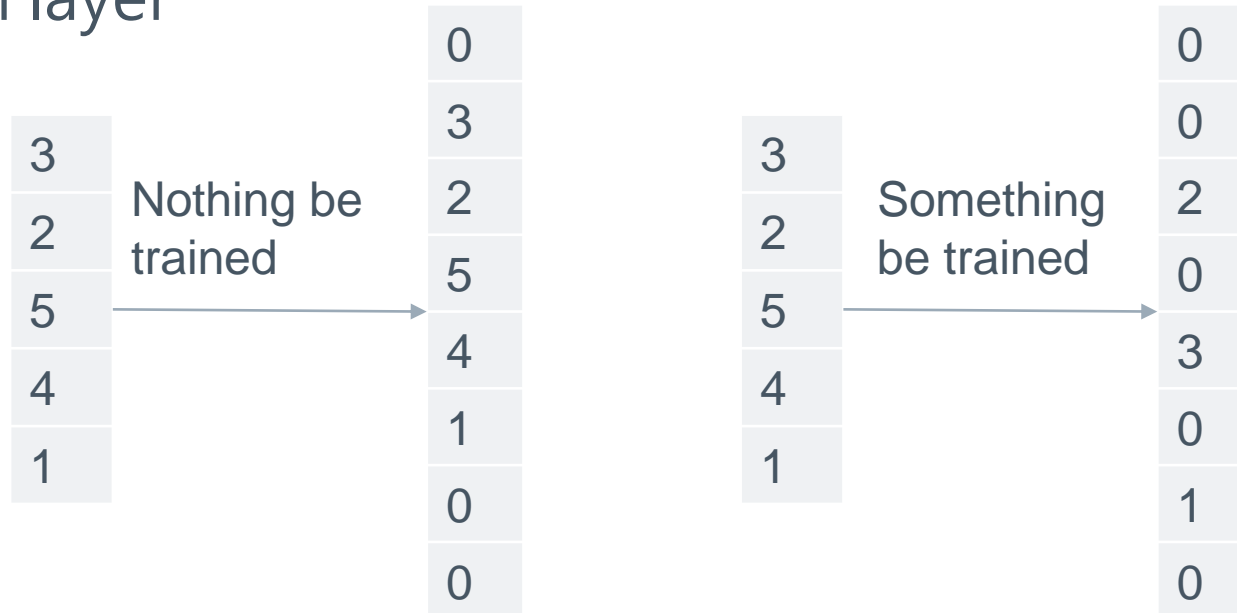


# Variational Auto-Encoder



# Sparse Auto-Encoder

- › If the dimension of hidden layers is higher than the input layer
  - Need some regularizations to make sure the sparsity of the hidden layer



# How to Design a Good Auto-encoder

# The Hyper Parameters

- › The layers of encoder and decoder
- › The dimensions of each layer
  - The dimensions of the code should be lower than the input
- › Pre-train or not

# Weight Sharing

## › Regularization

- Weight sharing acts as a form of regularization.
- By constraining the encoder and decoder to use the same weights
- reduces the model's capacity, preventing overfitting

## › Faster Training

- Weight sharing can make training faster and more stable because the encoder and decoder effectively cooperate during training
- The model may converge more quickly with shared weights.

# Main Applications

## › Data Compression

- Autoencoders can be used to compress data, such as images, text, or audio, into a lower-dimensional representation.

## › Image Denoising

- Autoencoders can be used to remove noise from images.

## › Dimensionality Reduction

- Autoencoders are employed to reduce the dimensionality of data while preserving its essential features
- This is useful in data visualization, feature selection, and simplifying machine learning models

# Main Applications

## › Generation

- Variational Autoencoders (VAEs), a type of auto-encoder, are used to generate new data samples.

## › Text Summarization

- Autoencoders can be used for text summarization tasks.
- By encoding the input text into a lower-dimensional representation and then decoding it, they can generate concise summaries of documents.

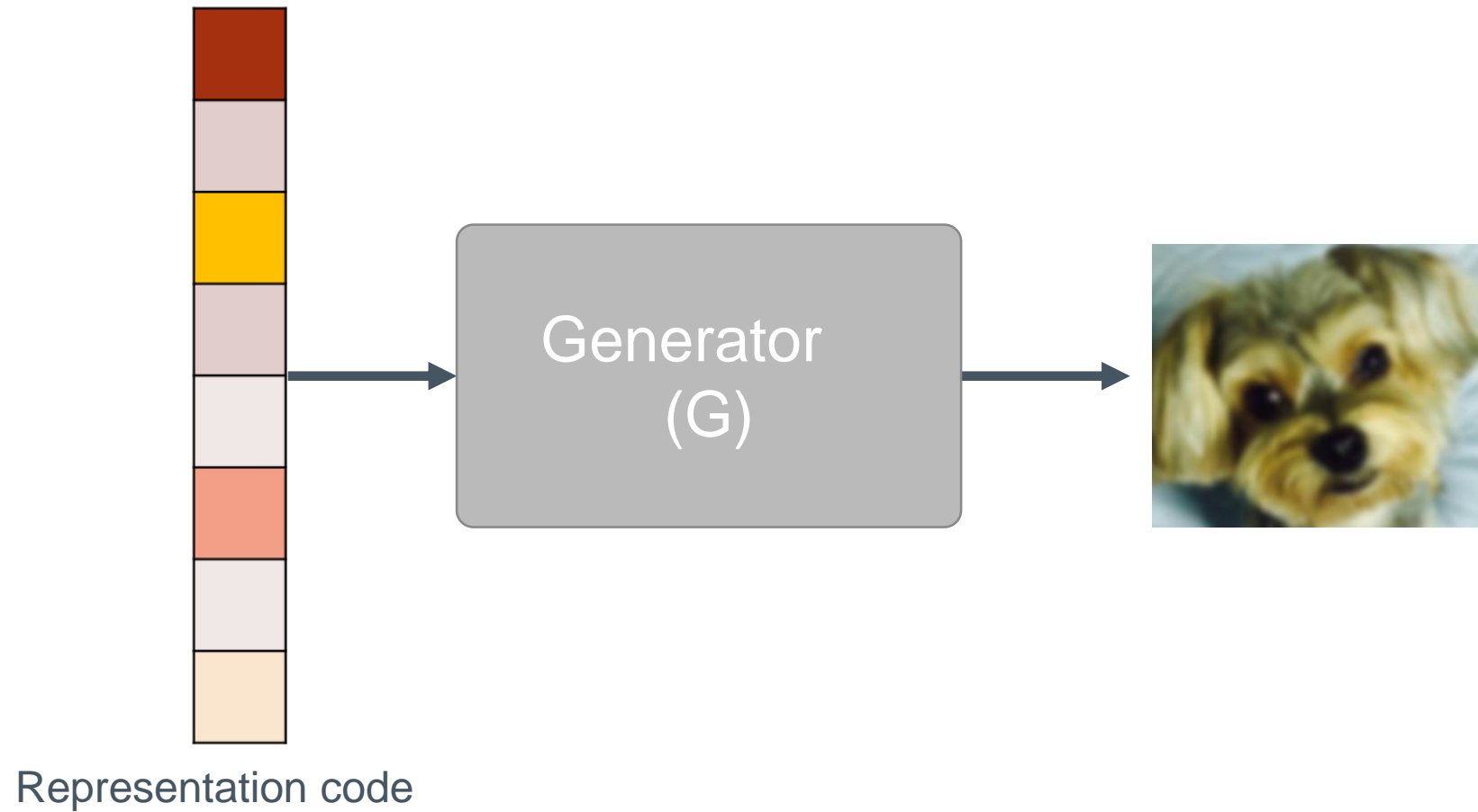


# Generative Adversarial Network

# The Creation of NNs

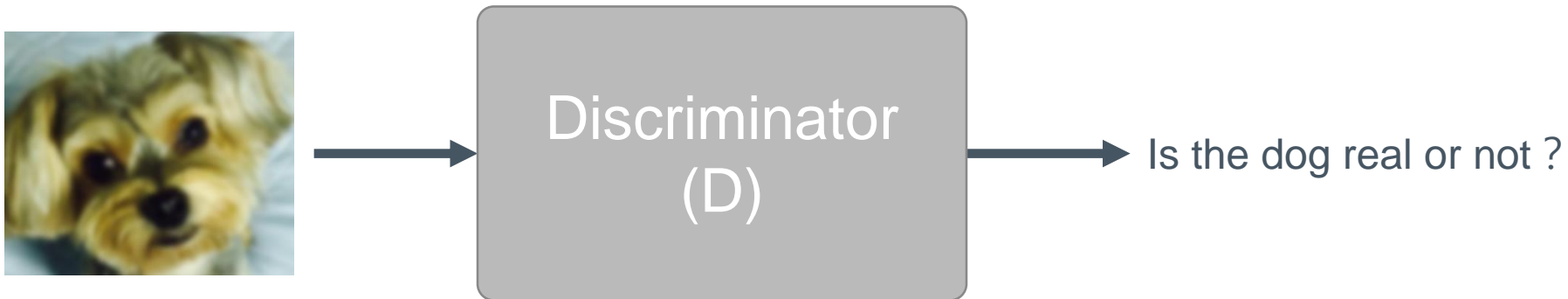
- › Traditional NNs is a supervised learning model
  - Learn knowledge from labeled data
  - The knowledge is based on the label
  - Cannot have some creativity
- › How about creating data by machine?
  - Auto-encoder
    - › The decoder in auto-encoder

# The Generator in GANs

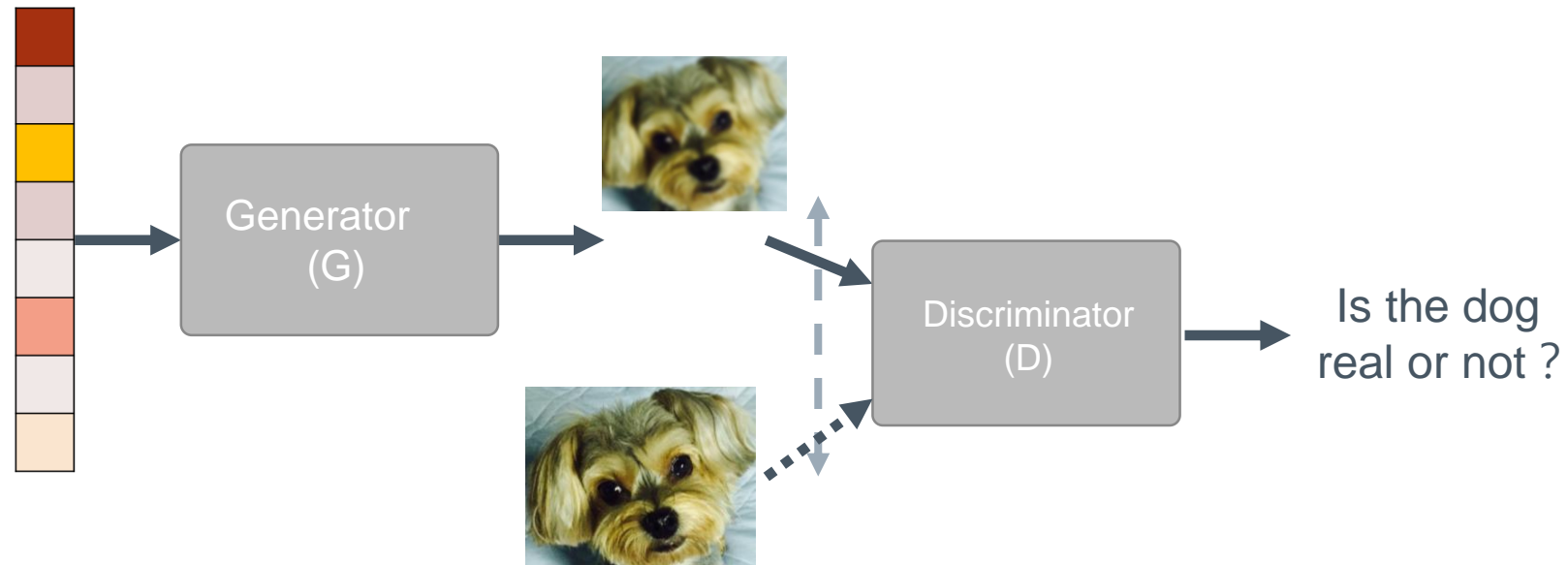


# Is Generated Data Real Enough?

- › Discriminator
  - Used to distinguish the true input object from the generated fake one
- › The goal of G is to confuse the discriminator



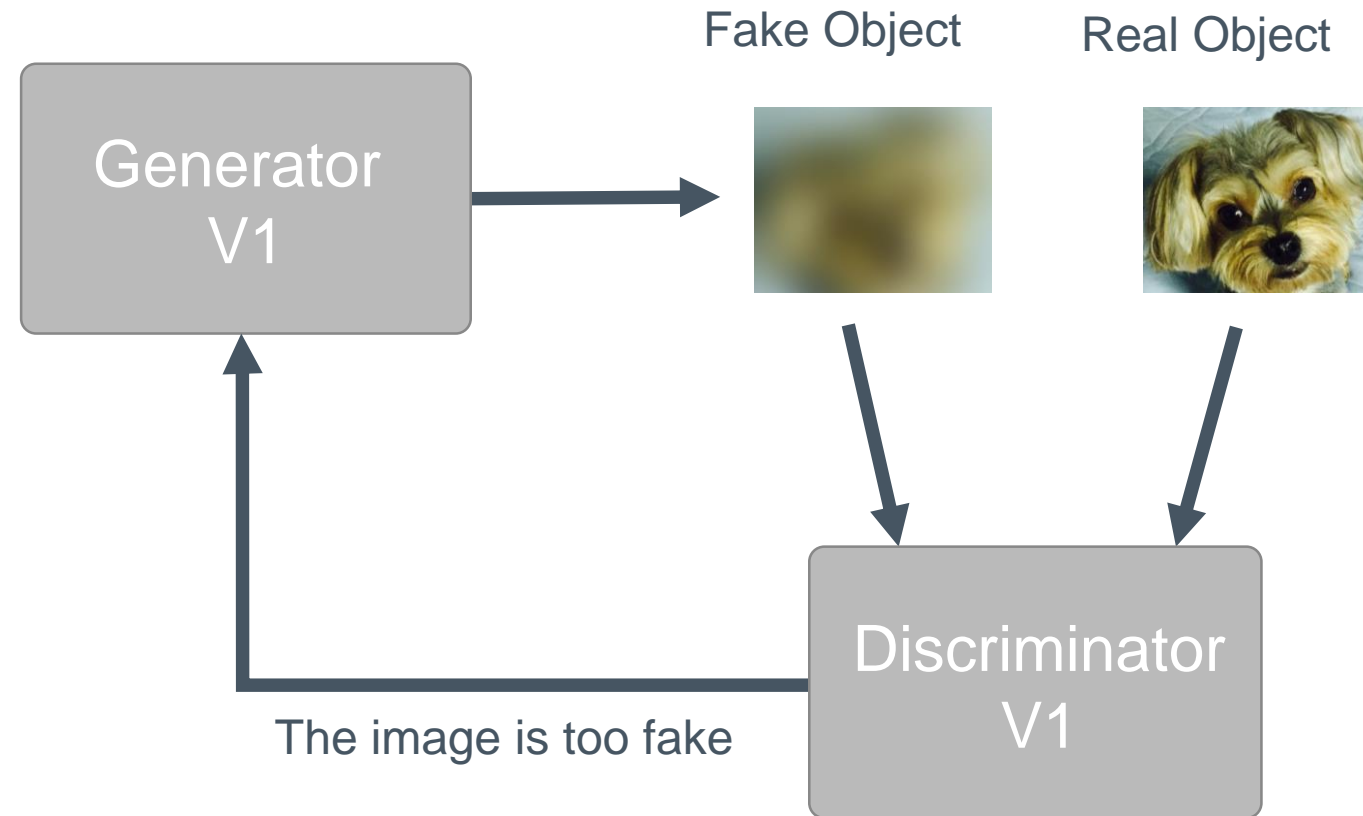
# A Brief Overview of GANs



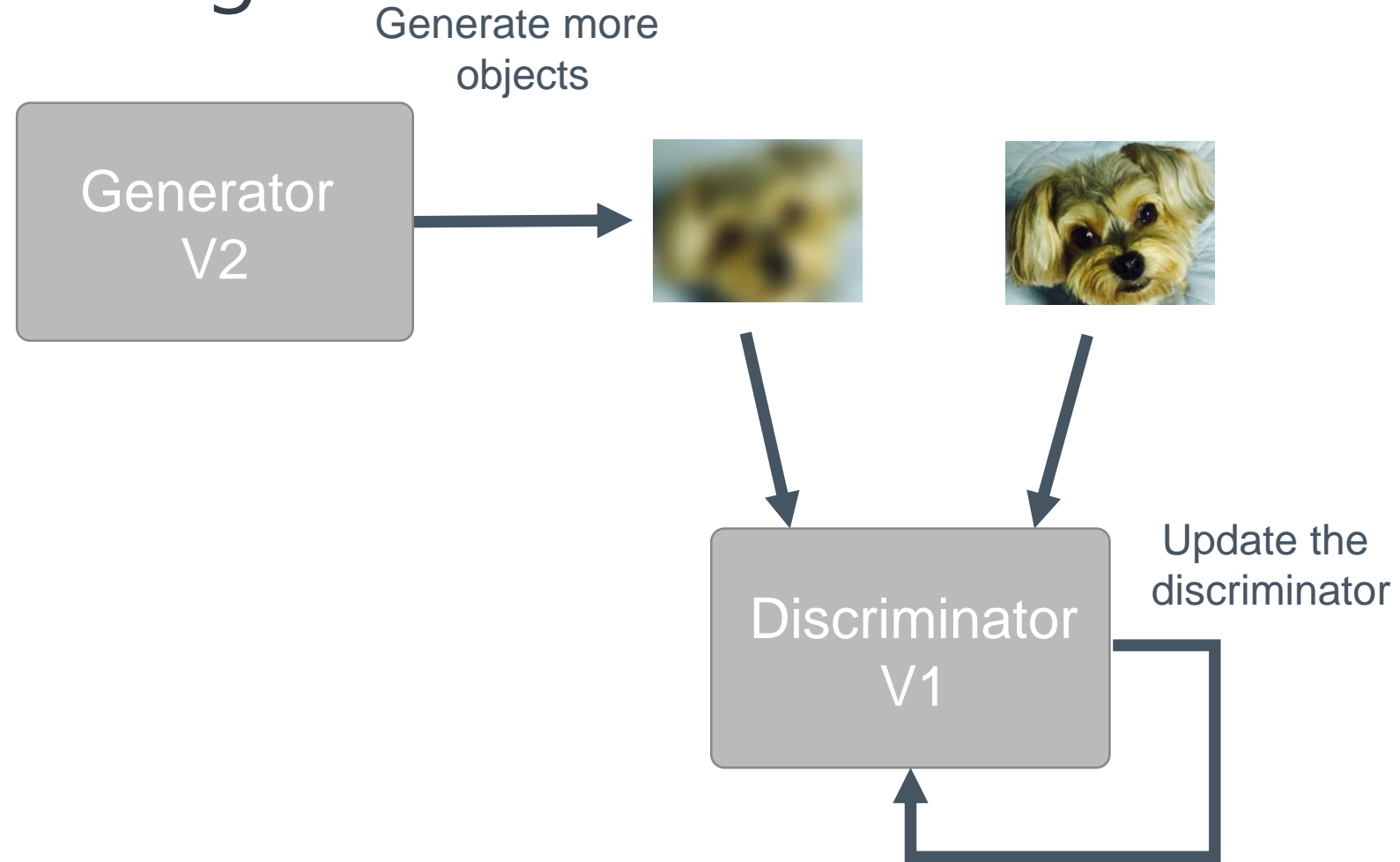
# The Training Objective

- › Generator
  - Generate the object very close to the real object
- › Discriminator
  - Correctly distinguish the true or fake objects

# The Training Process of GANs

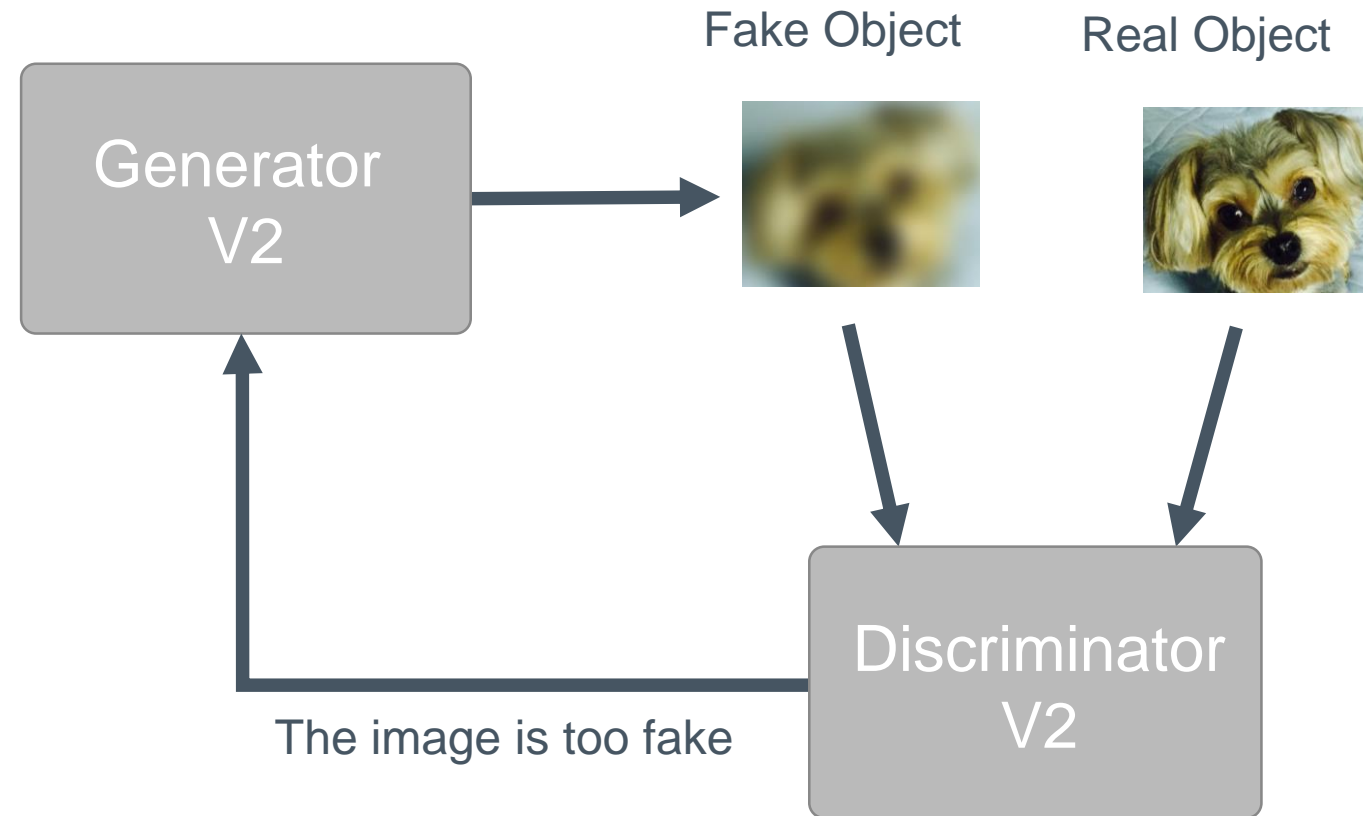


# The Training Process of GANs

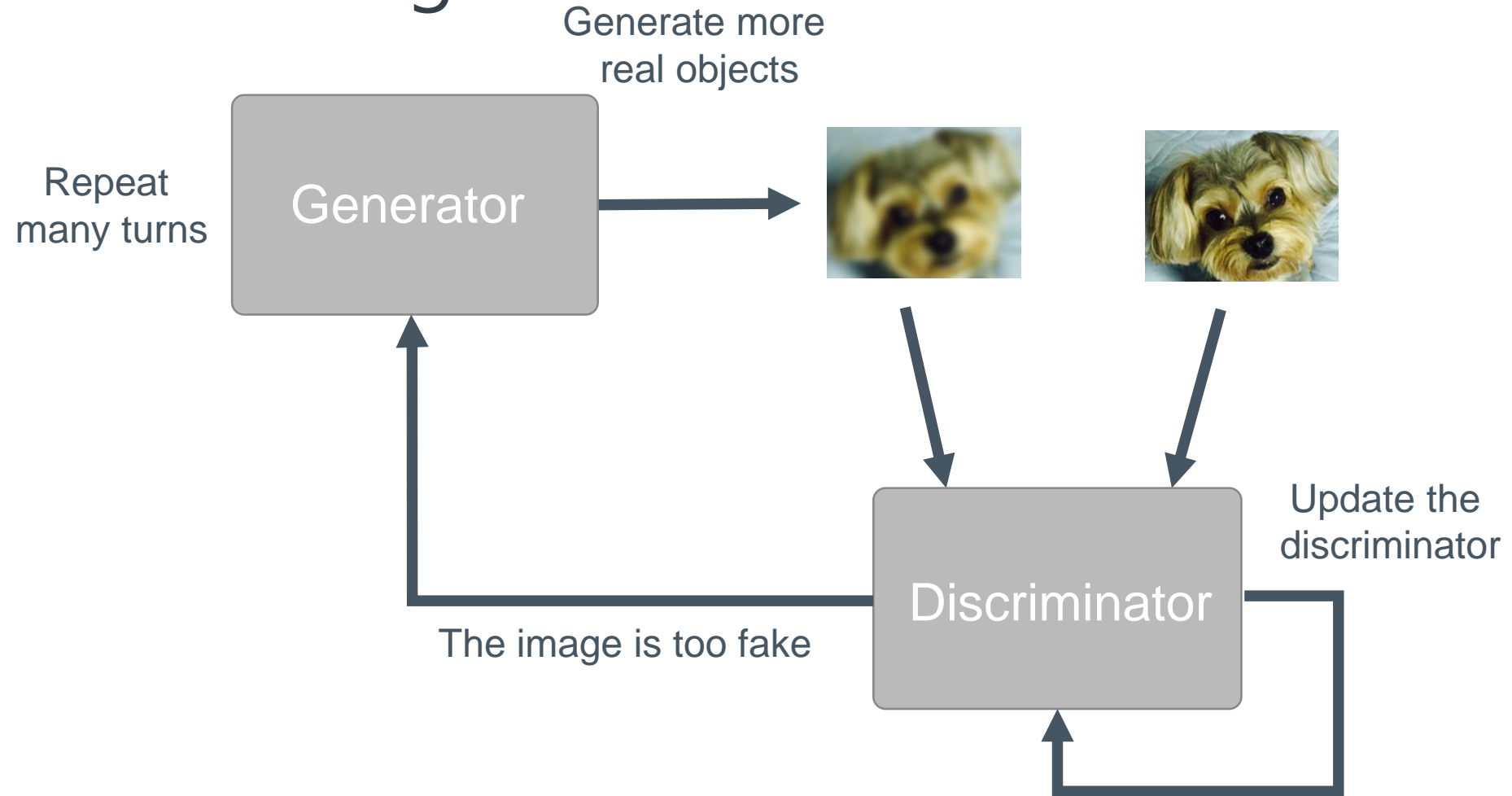




# The Training Process of GANs



# The Training Process of GANs



# How to Train GANs

- › For every iteration
  - We train the discriminator first
    - › By a given generator
    - › Do many rounds to create a powerful discriminator
  - Then we train the generator
    - › By a given discriminator
    - › Only one iteration to prevent overfitting

# Training On Discriminators

- › The discriminator is a binary classifier
  - Classify if the input is real or not
- › Use cross-entropy as the error of the discriminator
  - Back-propagation to train discriminator
  - Lower cross-entropy is better

# Training On Generators

- › The goal of generator is to generate the data close to the real data
  - Let discriminator unable to classify the real objects well
  
- › Using the cross-entropy of the discriminator as the error
  - Back-propagation to train the generators
  - Higher cross-entropy is better

# In Practice

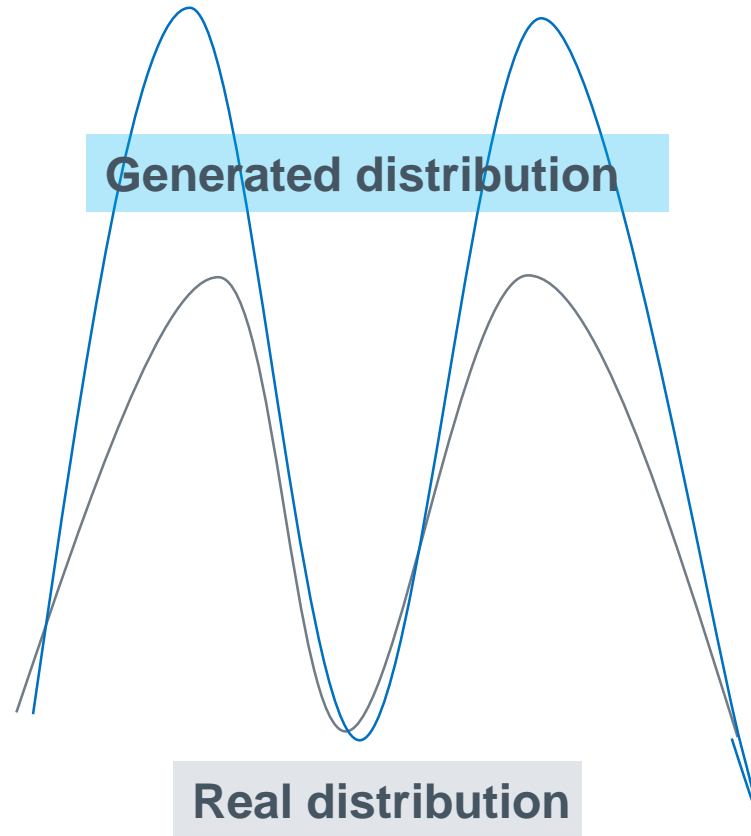
- › We will use reverse KL-divergence instead of KL-divergence
  - To make the training faster

# The Problems in GANs

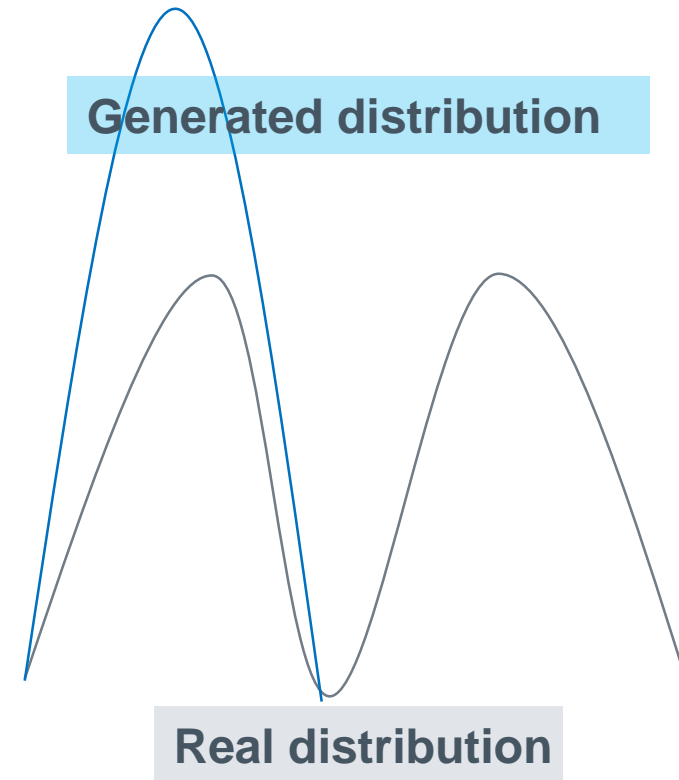
- › The balance between generator and discriminator
- › Mode collapse
- › Gradient vanishing

# Mode Collapse

We hope that



In real case



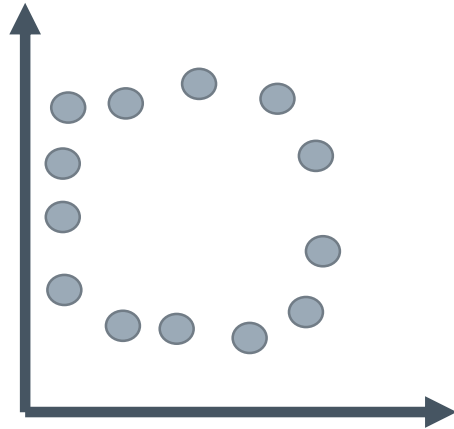


# Mode Collapse

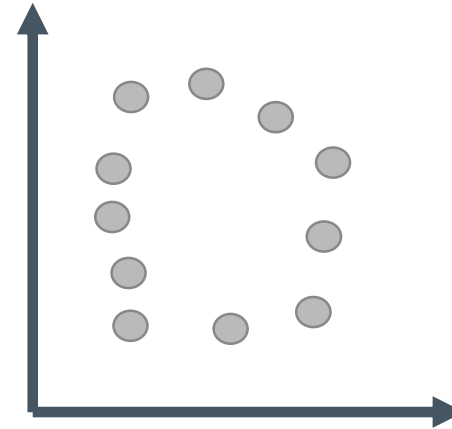
- › Do not generate different kinds of objects
  - Will lead KL or JS divergence larger
  - The loss will be larger
- › Tend to generate the same real objects
  - Will lead the smallest loss
- › The generated object is very similar
  - Loss the diversity of the objects

# Another Example for Mode Collapse

Real distribution

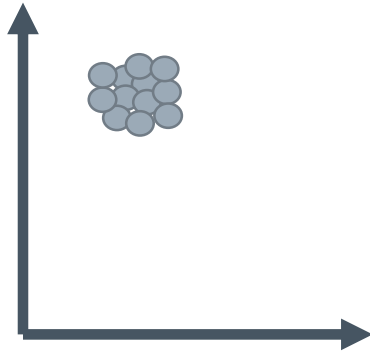


Ideal generated distribution



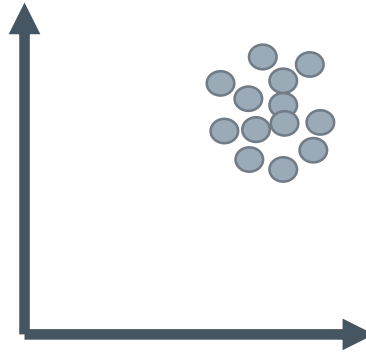
# Another Example for Mode Collapse

100<sup>th</sup> iteration

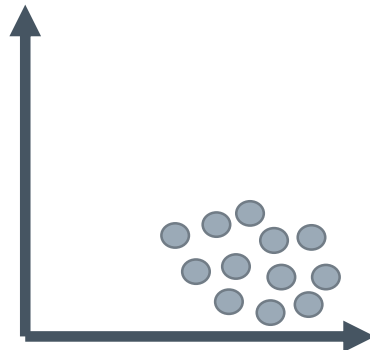


Actually.....

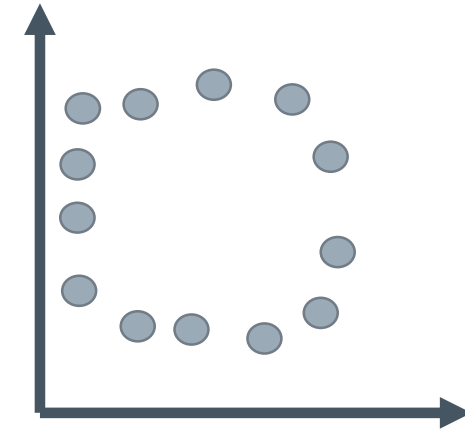
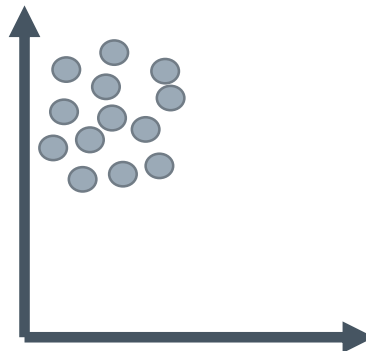
500<sup>th</sup> iteration



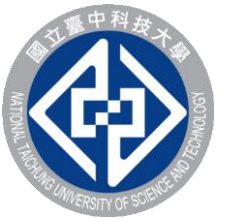
1000<sup>th</sup> iteration



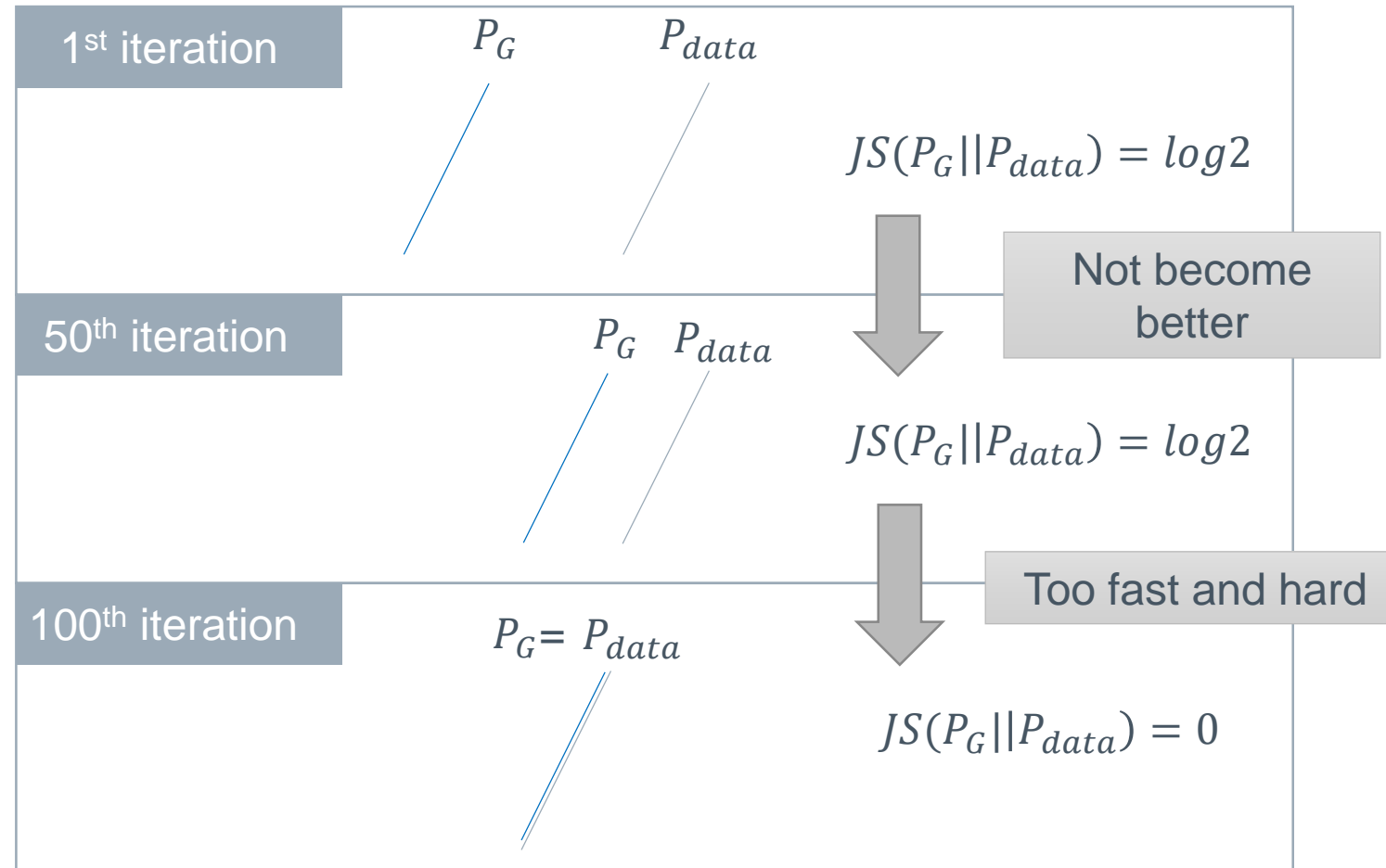
1500<sup>th</sup> iteration



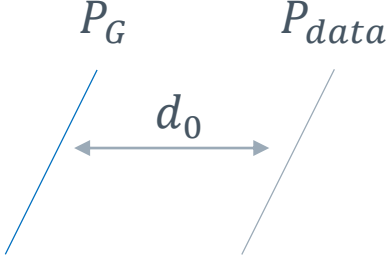
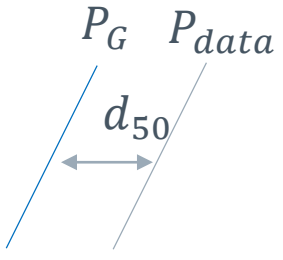
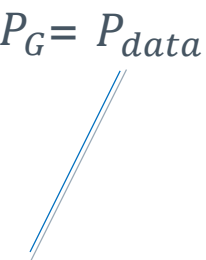
An infinite loop.....  
Cannot converge



# Gradient Vanishing



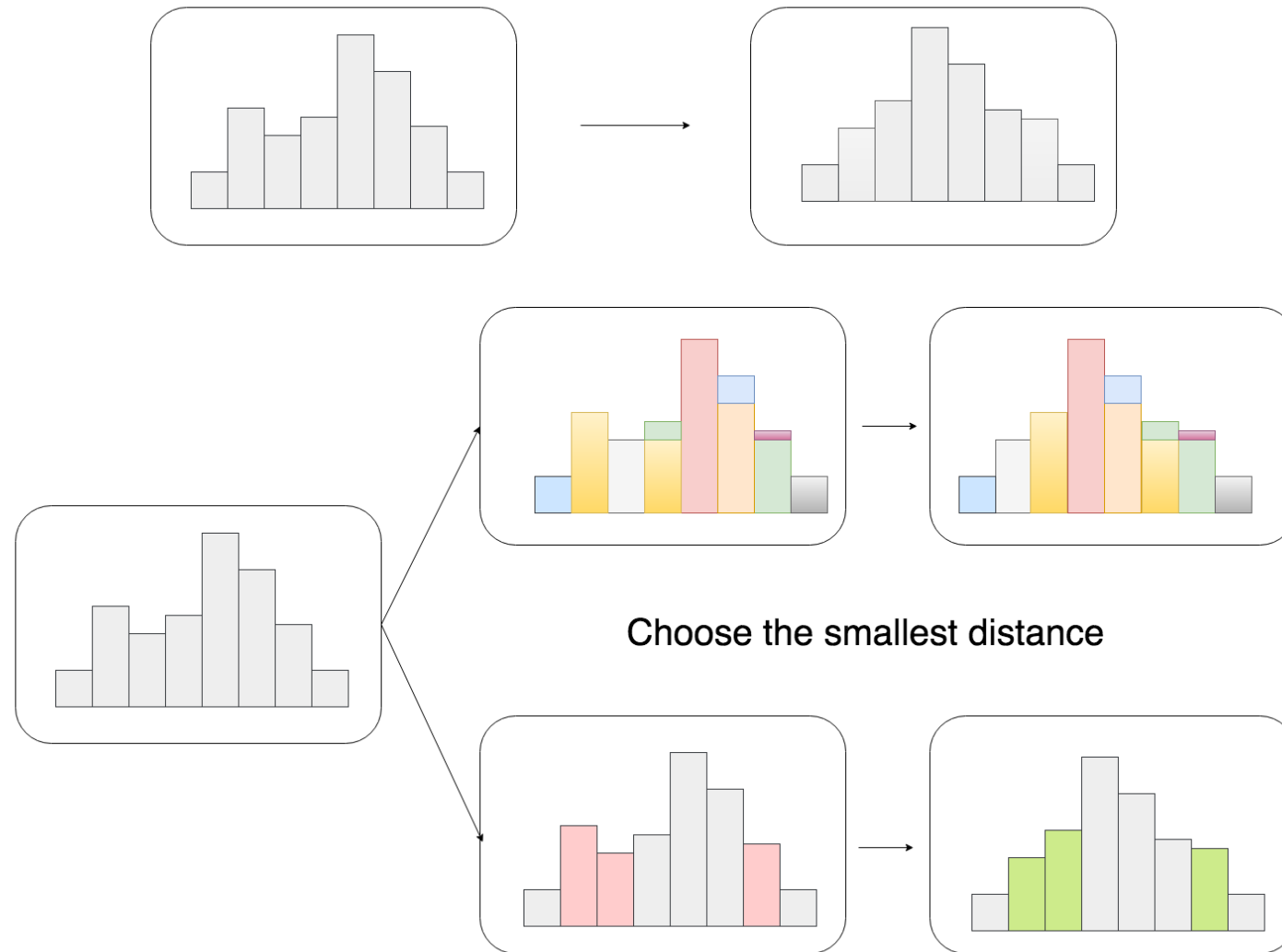
# If We Change The Distance Measurement?

1 <sup>st</sup> iteration		$W(P_G    P_{data}) = d_0$
50 <sup>th</sup> iteration		$W(P_G    P_{data}) = d_{50}$
100 <sup>th</sup> iteration		$W(P_G    P_{data}) = 0$

# Wasserstein Distance

- › Also known as Earth Mover Distance
- › The distance from a distribution to another distribution
- › More simplify :
  - Two distributions are places
  - There is a pile of earth on the first distribution
  - We want to move the earth to the second distribution
  - The average distance is Earth Mover Distance

# Earth Mover Distance





# Wasserstein Generative Adversarial Network (WGAN)

- › Using Wasserstein distance instead of KL divergence or JS divergence
- › Can solve the problems on GAN
  - The balance on generator and discriminator
  - Mode collapse
  - Gradient vanishing

# The Modifications in WGAN

- › Using Wasserstein distance instead of KL divergence or JS divergence
- › Without sigmoid activation in the output layer
- › Using real error instead log error
- › Weight clipping
  - If  $w < -c$ , let  $w = -c$
  - If  $w > c$ , let  $w = c$
- › Use SGD instead of momentum based optimization

# The Notions and Suggestions

- › The performance of generator and discriminator
  - The discriminator should not be very strong
- › The diversity of inputs and outputs
  - Especially the diversity of outputs
  - To prevent mode collapse
- › Consider to use WGAN instead of GANs
  - Prevent the problems in GANs