doi:10.3969/j.issn.1672-4623.2020.09.014

基于Sentinel-1A数据的南京市水体信息提取

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摘 要:基于先进的 Sentinel-1A SAR 数据,对南京地区的水体覆盖信息进行提取,首先对 SAR 图像进行滤波、辐射校正以及几何校正等预处理;再利用灰度共生矩阵提取影像中的纹理信息,并结合散射强度信息,利用 SVM 算法进行初分类;然后利用地形信息提取山体;最后从初分类结果中剔除山体阴影,得到水体提取结果。通过对比实验发现,该方法可有效去除山体阴影以及淹水期水田的影响,减少对水体信息的混淆,使结果与真实地表更加接近。研究结果能为南京市的水资源管理部门提供相应的理论支撑。

 关键词: SAR; 水体信息提取; 纹理特征; DEM; SVM

 中图分类号: P237
 文献标志码: B

文章编号: 1672-4623 (2020) 09-0062-04

城市水资源分布情况与城镇居民的生产生活息息 相关,因此精准提取城市水资源分布信息将对水资源 调查和水利规划产生积极的影响。南京市水域面积 达 11% 以上,采用先进技术对南京市水体进行监测 和信息提取具有重要意义。目前城市水资源调查的常 规手段包括光学遥感数据和人工调查[1-3],人工调查 费时费力,光学遥感受光照、水汽、天气状况影响较 大,因此上述手段均受到一定的限制。合成孔径雷 达(SAR)作为工作在微波波段的新型遥感技术,穿 透力强,具有全天时全天候的工作能力。近年来,随 着越来越多的 SAR 传感器 (Envisat、ALOS PALSAR、 RardarSat-2、TerraSAR、Sentine-1A/B、高分三号等) 相继发射升空以及 SAR 数据逐步产品化, SAR 图像在 资源调查中的应用潜力逐渐被发掘出来^[4-5]。利用 SAR 影像提取城市水体信息的研究很多, 但如何提高提取 精度、减少阴影的影响仍是一个被热烈讨论的问题 [6-7]。 本文基于先进的 Sentinel-1A SAR 数据,对南京地区的 水体覆盖信息进行了提取。

1 理论基础

1.1 基于灰度共生矩阵的纹理特征提取

灰度共生矩阵是一种基于统计分析的纹理特征提取方法,也是通过研究图像灰度的空间相关特性来描述纹理的常用方法之一。1973年,该方法由 Haralick提出,统计了两个像素点位置的联合概率分布,提供了图像的灰度方向、间隔以及变化幅度的信息,但不能直接提供纹理特征,只能通过间接的计算从灰度共生矩阵中提取作为纹理分析的一些特征参量^[8-9]。

Haralick 提出了 14 种特征参量,本文采用熵(en)、均匀性(hom)、角二阶矩(ASM)和差异性(dis)4 种,计算公式为:

$$en = -\sum_{i=0}^{N_g-1} \sum_{j=0}^{N_g-1} g(i,j) \log(g(i,j))$$
 (1)

hom =
$$\sum_{i=0}^{N_g-1} \sum_{j=0}^{N_g-1} \frac{g(i,j)}{1+|i-j|}$$
 (2)

ASM =
$$\sum_{i=0}^{Ng-1} \sum_{j=0}^{Ng-1} g(i,j)^2$$
 (3)

$$dis = \sum_{i=0}^{N_g-1} \sum_{i=0}^{N_g-1} |i-j| g(i,j)$$
 (4)

式中,P(i,j)为灰度共生矩阵中像素对出现的次数; $Ng 为图像量化后的灰度级别; g(i,j) = \frac{P(i,j)}{\sum\limits_{i=0}^{Ng-1}\sum\limits_{j=0}^{Ng-1}P(i,j)},$

可理解为对灰度共生矩阵进行归一化处理。

1.2 SVM 算法

SVM 算法是一种常见的基于机器学习的分类方法,被广泛应用于遥感图像的地物分类中 [10-12]。该算法基于结构风险最小化原理,先在特征空间构建一个最优超平面,再求解全局最优解,即在整个样本空间的期望拟合某个概率上界,在满足分类的限制条件下,尽可能地把分类数据集中的所有点分开,且使点与该超平面距离最远。最优超平面的计算公式为:

$$f(x) = \omega \times x + b = 0 \tag{5}$$

式中, $x_i(i=1,\dots,N)$ 为训练样本输入; $y_i \in \{+1,-1\}$ 为期望输出; ω 为权值矢量; b 为阈值。所要寻找的最优分类超平面能将不同的类分开,同时使分类间隔达到最大。

收稿日期: 2019-01-02。

项目来源: 江苏省测绘地理信息科研资助项目(JSCHKY201708); 江苏省自然科学基金资助项目(BK20180779); 南京林业大学青年科技创新基金资助项目(CX2018015)。

SVM 算法的主要原理可简单概括为:对于线性可分的情况,进行分析分类;对于不能进行线性划分的情况,利用若干非线性的映射算法把低维输入空间的线性不可分的样本转化到高维特征空间内,使其变得线性可分。

2 实验分析

2.1 实验数据与预处理

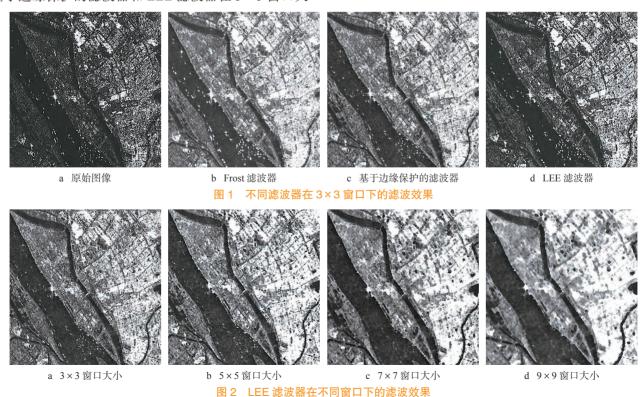
本文采用的数据为 2016 年 5 月获取的覆盖南京市的 Sentinel-1A 数据。Sentinel-1A 遥感卫星于 2014 年 4 月 3 日在法属圭亚那发射升空入轨,是欧空局"哥白尼计划"发射的第一颗环境监测卫星,搭载 C 波段 SAR 系统,轨道高度为 693 km,重访周期为 12 d。该传感器具有宽幅模式(IW)、波模式(WV)、条带模式(SM)和超宽幅模式(EW)4 种成像模式,本文采用 IW 模式图像进行南京市水体信息的提取。该模式下获取的 SAR 影像分辨率为 5 m×20 m,幅宽为 240 km。实验所用影像覆盖了南京市主城区和其他部分地区,但六合和高淳的部分区域未被覆盖。南京市的水体主要包括流经本市的长江、秦淮河、玄武湖等。

对数据进行水体提取前,为了增加图像的可读性需进行多视处理、滤波、辐射校正、几何校正等一系列预处理。由于下载的原始数据是三视的,为了不降低图像的空间分辨率,本文没有再对图像进行多视处理,只进行了滤波处理。本文分别采用 Frost 滤波器、基于边缘保护的滤波器和 LEE 滤波器在 3×3 窗口大

小下对图像进行滤波,并对滤波效果进行目视解译和 比较(图1)。结果表明,采用Frost滤波器滤波后的 图像上仍有很多斑点噪声, 而采用基于边缘保持的滤 波器滤波后的图像中地物边缘变得模糊, 因此通过对 比,本文决定选用 LEE 滤波器。通过比较 LEE 滤波器 在不同窗口尺寸下的滤波效果发现(图2),3×3窗口 下依然存在很多斑点噪声;而窗口尺寸大于5×5时, 图像的细节损失严重,一些地物的纹理信息变得模 糊, 因此本文采用 5×5 窗口的 LEE 滤波器对图像进 行滤波。对滤波后的图像进行辐射校正和几何校正处 理; 再利用灰度共生矩阵提取图像中的纹理特征; 然 后结合纹理特征与散射强度信息,利用 SVM 算法提取 图像中的水体;最后选用90 m的SRTM DEM文件生 成山体斜率,对斜率数据进行处理提取图像中的山体, 并从上一过程结果中剔除山体阴影的影响,得到最终 的水体提取结果。纹理特征图像的局部放大图如图 3 所示,矩形和椭圆形中地物分别为农田和水体,发现 二者的纹理特征有显著差异。具体水体提取流程如图 4 所示。

2.2 实验结果与分析

本文还利用主成分分析法(PCA)、最近邻算法(KNN)以及无纹理和 DEM 信息的 SVM 算法对图像进行水体信息的提取,并与本文方法进行对比,结果如图 5 所示。由于监督分类中样本的选择对最后结果有一定的影响,因此实验采用同一组训练样本进行精度计算,结果如表 1 所示。



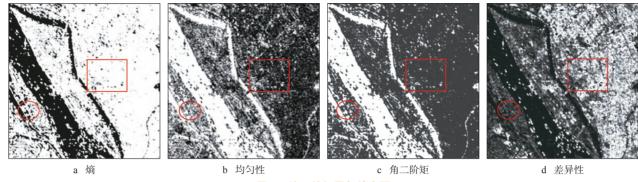


图 3 纹理特征局部放大图

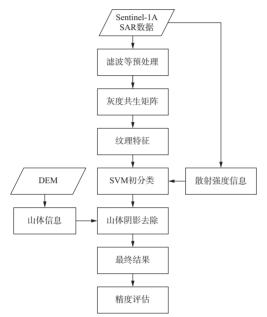


图 4 水体信息提取流程图

由图 5 可知, PCA 法和 KNN 法的提取结果均不如 SVM 算法, 前两种算法对于水体提取的用户精度均在 63%以下, 生产者精度也不足 85%, 分类结果中很多零碎地物被误分为水体, 这种情况在 SVM 算法的提取结果(图 5c)中得到了很大改善。然而,通过与南京地区的高分辨率光学影像和 Google Earth 比

较发现,图 5c 中有部分农田被错分为水体(如椭圆区域),其原因在于影像获取期间这些地区的水田刚好处于淹水期,水田里的水与城市中水体均发生奇次散射,在 SAR 图像上呈现相同的散射特征;而三角形区域的山体阴影和矩形框中的禄口机场也被误分为水体,前者是因为山体阴面接收不到 SAR 信号,导致在 SAR 图像上呈现暗色调;后者是因为机场大面积的水泥跑道也发生奇次散射,呈现与水体相似的散射特性。加入纹理信息和 DEM 后(图 5d),上述情况得到了极大改善,这是因为农田排列整齐,农田中分布的田埂使其呈现与水体明显不同的纹理特征(图 3);机场的熵与水体的熵也有很大不同,所以当加入熵这个纹理特征后,也能把机场剔除;山体都有一定的斜率,加入地形信息后,由山体阴影产生的误分也可被消除。

由表 1 可知,利用本文方法提取水体的用户精度和生产者精度分别为 97.90%和 98.32%,总体精度和 Kappa 系数达到了 98.90%和 0.974 1,比 PCA 法和 KNN 法提高了 35%以上,比无纹理和 DEM 信息的 SVM 算法,总体精度提高了 15%以上。由此可见,本文方法在提取 Sentinel-1A 图像中的水体方面具有一定的优越性。

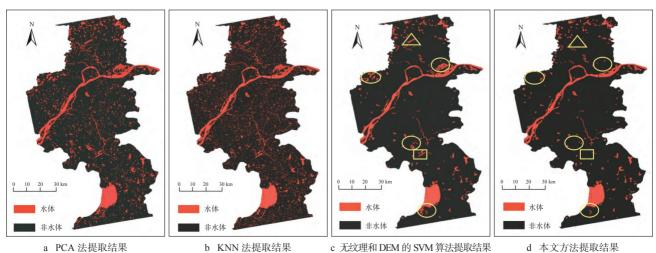


图 5 不同方法提取结果比较

表 1 分类精度					
提取方法		用户精度 /%	生产者 精度/%	总体精度 /%	Kappa 系数
PCA 法	水体	62.54	84.62	85.40	0.693 6
	非水体	95.15	85.62		
KNN 法	水体	60.54	84.98	85.00	0.685 5
	非水体	95.44	85.01		
无纹理和 DEM	水体	81.27	91.01	92.00	0.823 3
的 SVM 算法	非水体	96.58	92.36		
本文方法	水体	97.90	98.32	98.90	0.974 1
	非水体	99.29	99.15		

3 结 语

本文利用 C 波段 Sentinel-1A SAR 数据对南京市进行了水体信息提取,通过对比实验可得到以下结论:在水体提取方面,SVM 算法比 PCA 法和 KNN 法具有更好的适用性;纹理特征可有效减少机场以及淹水期水田与城市水体的混淆;地形信息可去除山体阴影对水体提取的影响;本文方法得到的结果与真实地表更加接近,总体精度和 Kappa 系数分别达到 98.90% 和 0.974 1。实验验证了 Sentinel-1A 数据在城市水体提取中的有效性,能为相关部门管理城市水资源提供相应的参考。

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Research on the Spatial Distribution Variation of the Populus Euphratica in the Mainstream of Tarim River by GU Fang

Abstract Populus euphratica has important practical significance for the ecological stability and safety of the mainstream of Tarim River. In order to understand the distribution of populus euphratica, taking the high-resolution remote sensing images as the main data source, combining with the field survey and the statistical methods, we analyzed the area, land type and spatial distribution characteristics of populus euphratica in different sections of the Tarim River from 2010 to 2015, which could provide some information services for the restoration and protection of populus euphratica. The results show that ① the populus euphratica in the main stream of Tarim River shows a trend of shrinking, the change area are mainly concentrated in the upstream and midstream of main river, and the area of populus euphratica in the downstream of Tarim River is less changed. ② From the populus euphratica in the downstream of Tarim River is less changed. © From the perspective of the spatial change type, the area of populus euphratica transforming into cultivated land is the largest, accounting for 97.56% of the change area. The phenomenon of transforming into cultivated land is more obvious in Yuli, Shaya and Luntai. ③ The variation area of populus euphratica are concentrated in the area within 2 km from the river channel, and with the increase of the distance from the river, the area of variation area decreases.

Key words populus euphratica, spatial variation characteristic, land type, overlay analysis, the mainstream of Tarim River (Page: 48)

Realization of 3D Virtual Scene of Ancient Buildings in the Scenic Spot Based on MapGIS and SketchUp Platform

Abstract In order to strengthen the inheritance and development of ancient buildings, taking Datang Furong Garden of Xi'an City for example, we used the 3D modeling technologies of MapGIS platform and SketchUp to realize the fine modeling of 3D structure of ancient buildings. And then, we constructed and released the 3D model and virtual scene of the ancient buildings in the MapGIS platform, and realized the spatio-temporal dynamic display of the virtual landscape and the 3D visualization of the ancient buildings in the scenic spot. The results show that the MapGIS platform not only realizes the fine modeling and batch modeling of structural units of the complex building, but also achieves the integration of 3D virtual scenes and real scenes and interactive analysis and query of 2D/3D data, which can provide visual technical support for development planning and smart management of tourist scenic spot. The 3D modeling scene and the real 3D historic building model will not only attract more tourists' attention, but also promote the service standard of the tourist scenic spot.

Key words geographical information, fine modeling, 3D scene, virtual technology, scenic spot, ancient building (Page: 52)

Urban Flood Susceptibility Assessment in the Middle and Lower Reaches of the Yangtze River Based on GIS by $LIU\ Na$

Abstract In this paper, we used the daily temperature and rainfall observation Yangtze River basin from 1980 to 2017 to analyze the change characteristics of daily temperature and rainfall in the flood season of past 40 years. Combining with the meteorological and urban characteristics, we established the evaluation index system of urban flood susceptibility, and realized the detailed and spatialized calculation of the evaluation indexes in the study area based on GIS. We used the fuzzy comprehensive evaluation method to establish the evaluation model, and corrected the weight by analytic hierarchy process to realize the evaluation of urban flood susceptibility in the middle and lower reaches of the Yangtze River basin. The results show that the total storm rainfall in the middle and lower reaches of the Yangtze River basin decreases first and then increases, and increases significantly after 2008. The average daily temperature and the number of high temperature days have been on the rise since 1980, and the rising trend is obvious. Urban flood very high susceptibility areas are mainly concentrated in the southern Anhui-northeastern Jiangxi-eastern Hubei, Shanghai, Nanjing, Hangzhou and central Hunan. The low susceptibility areas are mainly concentrated in the northwestern Hubei-southwestern Henan-southern Shaanxi, southwestern Hunan-southeastern Guizhou, and Wuxi, Suzhou, Chuzhou.

Key words the middle and lower reaches of the Yangtze River, urban flood, GIS, susceptibility (Page: 57)

Water Information Extraction of Nanjing City Based on Sentinel-1A Data by CHEN Yuanyuan

Abstract Based on the advanced Sentinel-1A SAR data, we extracted the water information of Nanjing City. Firstly, we preprocessed the SAR images by filtering, radiation correction and geometric correction. And then, we used the gray level co-occurrence matrix to extract the texture information, and combining with the scattering intensity information, implemented the initial classification by SVM algorithm. Finally, we used terrain information to extract mountains, then removed the mountain shadow from the initial classification to obtain the final water extraction result. Through comparison experiments, it is found that the method can effectively remove the influence of the mountain shadow and the paddy field during the flooding period, and make the result closer to the real surface. This study can provide a corresponding theoretical support for the water resource management department of Nanjing City.

Key words SAR, water information extraction, texture feature, DEM, SVM

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by XUE Tianyun

GPS Time Series Analysis in South Tibet

Abstract In this paper, we used the GAMIT/GLOBK software package to calculate 58 GPS continuous observation stations and 41 mobile observation stations in south Tibet from 2011 to 2017, and obtained the high precision coordinate sequence of these sites under ITRF2008. And then, we used the results of GRACE inversion to correct the seasonal term of the time series of GPS stations, and the

results showed that the weighted root mean square (WRMS) of three components (E, N, U) were reduced by 15.52%, 26.41% and 45.06% respectively. According to the characteristic value of PCA, we used the first principal component to calculate the common mode error, and the result showed that a large part of the periodic term in the GPS time series could be attributed to the common mode error. Finally, we used the variance component estimation method to quantitatively calculate the noise characteristics and obtained the accurate velocity field. Key words time series, GRACE, common mode error, noise, velocity field

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Research on Road Update Methods in Construction of One Map on

Navigation by HOU Ailing
Abstract One map on navigation of Hubei Province is an important part of
one map on Beidou high precision navigation and location service and one map on Smart Hubei spatio-temporal information cloud platform. The fineness and current of road network data are of great significance to the construction of one map on navigation. In this paper, we selected five methods to update the vectors and properties of roads, in order to ensure the current of the road network data of one map on navigation.

Key words one map on navigation, road network data, orthoimage, information system, large scale data

Design and Implementation of Weather Service Guarantee System for Bicycle Racing Based on WebGIS

Abstract Aiming at the business requirements of the meteorological service modernization in international road bicycle racing, using the service-oriented B/S four-tier architecture, based on WebGIS, information visualization and other technical means, we combined geospatial data, weather forecast and actual weather, and designed a weather service guarantee system for bicycle racing. And then, we introduced the function modules, system architecture design and main technologies of this system in detail. In the application process of meteorological service business in bicycle racing, the system shows good business application effect, and improves service quality. **Key words** WebGIS, bicycle racing, weather service, GPS

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Quick Update and Loading Elements into Database Based on Change

Abstract In this paper, we proposed a quick method of update and loading elements into the database based on change detection. Firstly, we acquired the homogeneous image segments of the current remote sensing images under the restriction of historic vector data, and constructed the feature space and rule sets according to different features to achieve feature extraction. And then, we obtained increment data by realizing an overlay analysis between extracted features and background vector data. Finally, we loaded the increment data into the historical database with different methods. Experimental result shows that the extraction accuracy of important river system and playground can reach 0.867 and 0.8 respectively. So this method can be an effective measure for the quick update of basis geographical information, provide the reference datum for the update of geographical conditions data.

Key words homogeneous image segment, feature space, change detection, overlay analysis, increment loading

Design of Earthquake Disaster Risk Estimation System Based on HAZUS

Abstract Based on the technical requirements and system application requirements of earthquake disaster risk estimation, we analyzed the present situation of earthquake disaster loss estimation at first. And then, we put forward the overall design of earthquake disaster risk estimation system based on HAZUS earthquake model, which was a widely used earthquake disaster loss estimation system in the world. In order to meet the needs of earthquake disaster risk estimation at different levels, the system adopted modular function design and multi-user version design, and taking network map data as the analysis data, highlighted the link between disaster reduction countermeasures and practical work of earthquake prevention and disaster reduction.

Key words HAZUS, earthquake disaster, risk estimation, system design (Page: 80)

Application of EGM 2008 and Beidou Foundation Augmentation System in **Engineering Measurement**

Abstract In this paper, we examined the accuracy of the Beidou foundation augmentation system and the global EGM2008 gravity model in the actual projects, and attempted to adopt the new field operation mode of "Internet + Location (Beidou)". The result shows that the elevation accuracy of the EGM2008 model can reach 1.2 cm in the region after the correction of the datum plane gap. The difference between the Beidou foundation augmentation system and the JSCORS in the experimental area is less than 3 cm.

Key words Beidou foundation augmentation system, EGM2008 gravity model, elevation anomaly, JSCORS

Application of Surveying and Mapping New Technologies in Land Change Investigation

Abstract Land change investigation is to investigate the current situation of land use change, to update the land investigation database, and to maintain current status of land investigation data, which can provide the basic information for the daily management of land and resources "approval, supply, use, supplement and inspection", as well as economic and social development. How to acquire land change data quickly, efficiently and with high quality is the core content of this work. Using aerial surveying and on-demand mapping of UAV to obtain high quality working basic map, developing real-time survey data acquisition and transmission software based on Android, and developing land change investigation